

8. Exemption Table

8.1. Self-certification REs and small-size REs shall be exempted from compliance with standards mentioned below in Table 25. Following exemptions shall be applicable for small-size REs and self-certification REs provided they are onboarded to Market SOC.

Table 25: Standards exempted for Self-certification REs and small-size REs

S.no	Standard Code	Standard name
1.	GV.OC.S1	Governance: Organizational Context – Standard 1
2.	GV.OC.S3	Governance: Organizational Context – Standard 3
3.	GV.RR.S1	Governance: Roles, Responsibilities and Authorities – Standard 1
4.	GV.RR.S4	Governance: Roles, Responsibilities and Authorities – Standard 4
5.	GV.RR.S5	Governance: Roles, Responsibilities and Authorities – Standard 5
6.	GV.OV.S1	Governance: Oversight – Standard 1
7.	GV.OV.S2	Governance: Oversight – Standard 2
8.	GV.OV.S3	Governance: Oversight – Standard 3
9.	GV.OV.S4	Governance: Oversight – Standard 4
10.	GV.RM.S1	Governance: Risk Management– Standard 1
11.	GV.RM.S2	Governance: Risk Management– Standard 2
12.	GV.RM.S3	Governance: Risk Management– Standard 3
13.	GV.RM.S4	Governance: Risk Management– Standard 4
14.	GV.SC.S1	Governance: Cybersecurity Supply Chain Risk Management – Standard 1
15.	GV.SC.S2	Governance: Cybersecurity Supply Chain Risk Management – Standard 2
16.	GV.SC.S3	Governance: Cybersecurity Supply Chain Risk Management – Standard 3
17.	GV.SC.S6	Governance: Cybersecurity Supply Chain Risk Management – Standard 6
18.	GV.SC.S7	Governance: Cybersecurity Supply Chain Risk Management – Standard 7
19.	ID.AM.S2	Identify: Asset Management – Standard 2
20.	ID.AM.S6	Identify: Asset Management – Standard 6
21.	ID.RA.S1	Identify: Risk Assessment– Standard 1
22.	ID.RA.S2	Identify: Risk Assessment– Standard 2
23.	ID.RA.S3	Identify: Risk Assessment– Standard 3
24.	ID.RA.S5	Identify: Risk Assessment – Standard 5
25.	PR.AA.S4	Protect: Identity Management, Authentication, Access Control – Standard4
26.	PR.AA.S15	Protect: Identity Management, Authentication, Access Control – Standard15
27.	PR.AA.S16	Protect: Identity Management, Authentication, Access Control – Standard16
28.	PR.AA.S17	Protect: Identity Management, Authentication, Access Control – Standard17

S.no	Standard Code	Standard name
29.	PR.DS.S1	Protect: Data Security – Standard 1
30.	PR.DS.S5	Protect: Data Security – Standard 5
31.	PR.DS.S6	Protect: Data Security – Standard 6
32.	PR.IP.S3	Protect: Information Protection Processes and Procedures – Standard 3
33.	PR.IP.S16	Protect: Information Protection Processes and Procedures – Standard 16
34.	PR.IP.S17	Protect: Information Protection Processes and Procedures – Standard 17
35.	PR.MA.S1	Protect: Maintenance – Standard 1
36.	PR.MA.S2	Protect: Maintenance – Standard 2
37.	DE.CM.S4	Detect: Security Continuous Monitoring – Standard 4
38.	DE.DP.S4	Detect: Detection Process – Standard 4
39.	DE.DP.S5	Detect: Detection Process – Standard 5
40.	RS.MA.S2	Respond: Incident Management – Standard 2
41.	RS.MA.S3	Respond: Incident Management – Standard 3
42.	RS.MA.S5	Respond: Incident Management – Standard 5
43.	EV.ST: S1	Evolve: Strategies – Standard 1
44.	EV.ST: S2	Evolve: Strategies – Standard 2
45.	EV.ST: S3	Evolve: Strategies – Standard 3
46.	EV.ST: S4	Evolve: Strategies – Standard 4

Along with Standards mentioned in Table 25, Self-certification REs shall be exempted from compliance to periodic cyber audit by CERT-In empanelled IS auditing organizations, i.e., Protect – Information Protection Processes and Procedures – Standard 14 (PR.IP.S14) and periodic evaluation of cybersecurity posture – Evolve – Strategies -Standard 5 (EV.ST.S5).

8.2. Mid-size REs shall be exempted from compliance to standards mentioned below in Table 26. Following exemptions shall be applicable mid-size REs provided they are onboarded to Market SOC.

Table 26: Standards exempted for Mid-size REs

S.no	Standard Code	Standard name
1.	GV.OV.S4	Governance: Oversight – Standard 4
2.	ID.RA.S3	Identify: Risk Assessment – Standard 3
3.	PR.DS.S5	Protect: Data Security – Standard 5
4.	PR.DS.S6	Protect: Data Security – Standard 6
5.	PR.IP.S16	Protect: Information Protection Processes and Procedures – Standard 16
6.	PR.IP.S17	Protect: Information Protection Processes and Procedures – Standard 17
7.	DE.DP.S4	Detect: Detection Process – Standard 4
8.	DE.DP.S5	Detect: Detection Process – Standard 5
9.	RS.MA.S5	Respond: Incident Management – Standard 5