# MATLAB LESSON 2: VECTOR SPACES AND REDUCED ROW-ECHELON FORM

ABSTRACT. In this laboratory session, we will learn how to use the rref command to better understand and solve problems related to concepts in vector space, including linear combinations, linear spans, linear independence, bases and dimensions.

Type format rat. Throughout the entire worksheet, we will use the rational format to read the entries of matrices.

# 1. LINEAR COMBINATIONS

Let  $S = \{u_1, u_2, ..., u_k\}$  be a set of vectors in  $\mathbb{R}^n$ . A vector  $u \in \mathbb{R}^n$  is a **linear combination** of  $u_1, u_2, ..., u_k$  if there exist numbers  $c_1, c_2, ..., c_k$  such that

$$\boldsymbol{u} = c_1 \boldsymbol{u}_1 + c_2 \boldsymbol{u}_2 + \cdots + c_k \boldsymbol{u}_k.$$

View each  $u_i$  and v as column vectors, and write  $A = \begin{pmatrix} u_1 & u_2 & \cdots & u_k \end{pmatrix}$ . Then v is a linear combination of  $u_1, u_2, \dots, u_k$  if and only if the linear system Ax = v is consistent.

For example, let  $u_1 = (1,0,1,2,3)$ ,  $u_2 = (2,1,-1,1,0)$ ,  $u_3 = (1,1,-2,-1,-3)$  and  $u_4 = (1,2,3,1,1)$ . To see whether u = (2,0,0,1,0) is a linear combination of  $u_1, u_2, u_3, u_4$ :

(i) Input  $u_1, u_2, u_3, u_4$  and u as column vectors in MATLAB. For example,

```
>> u1 = [1; 0; 1; 2; 3]
u1 = 1
0
1
2
```

(ii) Define the  $5 \times 4$  matrix A whose columns are  $u_1, u_2, u_3$  and  $u_4$ :

(iii) Find the reduced row-echelon form of the augmented matrix  $(A \mid u)$  to check the consistency of Ax = u:

Since the last column of the reduced row-echelon form of  $(A \mid u)$  is pivot, the system Ax = u is inconsistent. Therefore, u is not a linear combination of  $u_1, u_2, u_3, u_4$ .

Repeat the same argument for v = (-2, -1, 1, -1, 0).

```
>> v = [-2; -1; 1; -1; 0]
v = -2
     -1
     1
     -1
     0
  rref([A v])
                 -1
      1
                    0
ans =
                      0
       0
       0
            0
                 0
                           0
       0
            0
                 0
                      0
                           0
       \cap
            0
                      0
                           0
                 0
```

Since the last column of the reduced row-echelon form of  $(A \mid v)$  is non-pivot, the system Ax = v is consistent. Therefore, v is a linear combination of  $u_1, u_2, u_3, u_4$ .

# 2. LINEAR INDEPENDENCE

Let  $S = \{v_1, v_2, ..., v_k\}$  be a subset of vectors in  $\mathbb{R}^n$ . Then S is said to be **linearly independent** if the linear system  $c_1v_1 + c_2v_2 + \cdots + c_kv_k = 0$  has only the trivial solution  $c_1 = c_2 = \cdots = c_k = 0$ .

View each  $v_i$  and 0 as column vectors, and write  $B = \begin{pmatrix} v_1 & v_2 & \cdots & v_k \end{pmatrix}$ . Then S is linearly independent if and only if the homogeneous linear system Bx = 0 has only the trivial solution.

For example, let  $v_1 = (1,0,2,0,3)$ ,  $v_2 = (1,1,0,2,2)$ ,  $v_3 = (1,-3,8,-6,6)$ ,  $v_4 = (1,2,3,4,1)$ ,  $v_5 = (0,-1,1,-2,1)$ ,  $v_6 = (1,1,1,1,1)$ .

(i) Input  $v_1, v_2, ..., v_6$  and w = 0 as column vectors in MATLAB. For example,

```
>> v1 = [1; 0; 2; 0; 3]
v1 = 1
0
2
0
3
```

(ii) Define the  $5 \times 6$  matrix  $\mathbf{B} = \begin{pmatrix} \mathbf{v}_1 & \mathbf{v}_2 & \mathbf{v}_3 & \mathbf{v}_4 & \mathbf{v}_5 & \mathbf{v}_6 \end{pmatrix}$ .

```
>> B = [v1 v2 v3 v4 v5 v6]
B =
  1
      1
           1
               1
                         1
   0
       1
           -3
                2
    2
               3
               4
                         1
       2
           6
                    1
                         1
               1
```

(iii) Find the reduced row-echelon form of the augmented matrix  $(B \mid 0)$  of the homogeneous linear system Bx = 0. (Recall that w = 0 is defined in Step (i).)

```
>> rref([B w])
ans = 1
              4
                        4/5
                                  0
          1 -3
                   0 -3/5
                              0
                                  0
              0
                   1
                        -1/5
                                0
         0
                    0
                         0
                              1
                                  0
     0
         0
              0
                         0
                              0
                                  0
                   0
```

Since the last column is non-pivot and the 3<sup>rd</sup> and 5<sup>th</sup> columns are non-pivot, the homogeneous linear system Ax = 0 has infinitely many non-trivial solutions (with 2 arbitrary parameters). As a conclusion,  $\{v_1, v_2, v_3, v_4, v_5, v_6\}$  is a linearly dependent set.

Note that if R is the reduced row-echelon form of B, then  $(R \mid 0)$  is the reduced row-echelon form of  $(B \mid 0)$ , and vice versa. Therefore, we can drop the last zero column and simply check whether the reduced row echelon form R of B has non-pivot columns:

```
>> R = rref(B)
R = 1
         0
                     0
                          4/5
                                 0
                         -3/5
     0
         1
              -3
                    0
                                 0
                         -1/5
     0
         0
              0
                     1
                                 0
     0
                     0
                            0
         0
              0
                                 1
     0
         0
              0
                     0
                            0
                                 0
```

Since the 3<sup>rd</sup> and 5<sup>th</sup> columns of the reduced row-echelon form of B are non-pivot, the homogeneous linear system Bx = 0 has infinitely many non-trivial solutions. We also conclude that  $\{v_1, v_2, v_3, v_4, v_5, v_6\}$  is a linearly dependent set.

#### 3. REDUNDANT VECTORS

Let  $S = \{v_1, v_2, ..., v_k\}$  be a set of vectors in  $\mathbb{R}^n$ , and let V = span(S). If S is linearly independent, then S is a **basis** for V. If S is linearly dependent, then some of the vectors in S are redundant to generate the vector space V.

For example, let  $v_1 = (1,0,2,0,3)$ ,  $v_2 = (1,1,0,2,2)$ ,  $v_3 = (1,-3,8,-6,6)$ ,  $v_4 = (1,2,3,4,1)$ ,  $v_5 = (0,-1,1,-2,1)$ ,  $v_6 = (1,1,1,1,1)$  as in Section 2.

View each  $v_i$  as column vectors, and write  $B = (v_1 \ v_2 \ v_3 \ v_4 \ v_5 \ v_6)$ . We have found the reduced row-echelon form R of B:

Since the 3<sup>rd</sup> and 5<sup>th</sup> columns of R are non-pivot;  $v_3$  and  $v_5$  are redundant vectors to span V, i.e.,  $V = \text{span}\{v_1, v_2, v_4, v_6\}$ .

Moreover, by observing the entries in the 3<sup>rd</sup> column  $\begin{pmatrix} 4 \\ -3 \\ 0 \\ 0 \\ 0 \end{pmatrix}$  and 5<sup>th</sup> column  $\begin{pmatrix} 4/5 \\ -3/5 \\ -1/5 \\ 0 \\ 0 \end{pmatrix}$  of  $\boldsymbol{R}$ ,

$$v_3 = 4v_1 - 3v_2$$
 and  $v_5 = \frac{4}{5}v_1 - \frac{3}{5}v_2 - \frac{1}{5}v_4$ .

Verify the above relations:

```
0
>> v5 - (4/5*v1 - 3/5*v2 - 1/5*v4)
ans = -1/18014398509481984
0
0
0
-1/2251799813685248
```

The  $1^{\text{st}}$  and  $5^{\text{th}}$  entries are supposed to be 0. The nonzero values displayed are due to rounding errors.

### 4. LINEAR SPANS

Let  $S = \{u_1, ..., u_k\}$  and  $T = \{v_1, ..., v_l\}$  be subsets of vectors in  $\mathbb{R}^n$ . Let  $U = \operatorname{span}(S)$  and  $V = \operatorname{span}(V)$ . Then

- (i)  $U \subseteq V$  if and only if every vector in S is a linear combination of  $v_1, \ldots, v_l$ .
- (ii)  $V \subseteq U$  if and only if every vector in T is a linear combination of  $u_1, \ldots, u_k$ .

For example, let  $U = \text{span}\{c_1, c_2, c_3\}$  and  $V = \text{span}\{d_1, d_2, d_3, d_4\}$ , where

$$c_1 = (1, 1, 2, 2, 3), \quad c_2 = (1, 0, 2, 0, 3), \quad c_3 = (1, 1, 1, 1, 1),$$

and

$$d_1 = (3, 2, 5, 3, 7),$$
  $d_2 = (0, 0, 1, 1, 2),$   $d_3 = (2, 2, 1, 1, 0),$   $d_4 = (1, -1, 3, -1, 5).$ 

(i) Input  $c_1, c_2, c_3$  and  $d_1, d_2, d_3, d_4$  as column vectors in MATLAB. For example,

```
>> c1 = [1; 1; 2; 2; 3]
c1 = 1
1
2
2
3
```

(ii) Form the matrices  $C = \begin{pmatrix} c_1 & c_2 & c_3 \end{pmatrix}$  and  $D = \begin{pmatrix} d_1 & d_2 & d_3 & d_4 \end{pmatrix}$ . For example,

(iii) In order to check whether  $V \subseteq U$ , we shall check if each  $d_1, d_2, d_3, d_4$  is a linear combination of  $c_1, c_2, c_3$ ; i.e., if the linear systems  $Cx = d_i$ , i = 1, 2, 3, 4, are consistent.

One may check one by one. Alternatively, consider  $(C \mid D) = (C \mid d_1 \mid d_2 \mid d_3 \mid d_4)$ :

The columns corresponding to  $d_1, d_2, d_3, d_4$  are all non-pivot. So  $d_1, d_2, d_3, d_4$  are linear combinations of  $c_1, c_2, c_3$ . In fact, observing the entries of the column vectors,

$$d_1 = c_1 + c_2 + c_3$$
,  $d_2 = c_1 - c_3$ ,  $d_3 = -c_1 + 3c_3$ ,  $d_4 = 2c_2 - c_3$ .

Hence,  $V \subseteq U$ .

(iv) In order to check whether  $U \subseteq V$ , we shall check if each  $c_1, c_2, c_3$  is a linear combination of  $d_1, d_2, d_3, d_4$ . Similarly, consider  $(D \mid C) = (D \mid c_1 \mid c_2 \mid c_3)$ :

The columns corresponding to  $c_1$ ,  $c_2$ ,  $c_3$  are all non-pivot. So  $c_1$ ,  $c_2$ ,  $c_3$  are linear combinations of  $d_1$ ,  $d_2$ ,  $d_3$ ,  $d_4$ . In fact,

$$c_1 = \frac{3}{2}d_2 + \frac{1}{2}d_3$$
,  $c_2 = d_1 - 2d_2 - d_3$ ,  $c_3 = \frac{1}{2}d_2 + \frac{1}{2}d_3$ .

Hence,  $U \subseteq V$ . We conclude that U = V.

Suppose we use the same *U* and *V* except  $d_4$  is replaced by  $e_4 = (1, -1, 3, -1, 0)$ .

(i) Input  $c_1, c_2, c_3$  and  $d_1, d_2, d_3, e_4$  as column vectors. In fact, we just need to define  $e_4$ :

(ii) Form the matrices  $C = \begin{pmatrix} c_1 & c_2 & c_3 \end{pmatrix}$  and  $E = \begin{pmatrix} d_1 & d_2 & d_3 & e_4 \end{pmatrix}$ . Indeed, we just need to define E:

(iii) Check the consistency of  $Cx = d_i$ , i = 1, 2, 3, and  $Cx = e_4$ :

Since the column corresponding to  $e_4$  is pivot, the system  $Cx = e_4$  is inconsistent; so  $e_4 \notin \text{span}\{c_1, c_2, c_3\} = U$ . Consequently,  $V \not\subseteq U$ .

(iv) Check the consistency of  $Ex = c_i$ , i = 1,2,3.

Since the columns corresponding to  $c_1, c_2, c_3$  are all non-pivot, the systems  $Ex = c_i$ , i = 1, 2, 3, are all consistent; so  $c_i \in \text{span}\{d_1, d_2, d_3, e_4\} = V$ , i = 1, 2, 3. Consequently,  $U \subseteq V$ .

# 5. Base and Dimensions

Let *S* be a subset of vectors in  $\mathbb{R}^n$ . Then *S* is a **basis** for a vector space *V* if (i) V = span(S) and (ii) *S* is linearly independent. For this case, the number of vectors in *S*, |S|, is called the **dimension** of *V*, denoted by dim(*V*).

# 5.1. Find Basis from Generating Set.

Let 
$$S = \{g_1, g_2, g_3, g_4\}$$
, where

$$g_1 = (1, 1, 1, 1, 1),$$
  $g_2 = (1, -1, 2, 3, 0),$   $g_3 = (-1, -3, 0, 1, -2),$   $g_4 = (0, 1, 1, -1, -1).$ 

Let V = span(S). Then S is a basis for V if and only if S is linearly independent.

(i) Input  $g_1, g_2, g_3, g_4$  as column vectors in MATLAB.

(ii) Define the matrix  $G = (g_1 \ g_2 \ g_3 \ g_4)$ :

(iii) Find the reduced row-echelon form of *G*:

The 1<sup>st</sup>, 2<sup>nd</sup> and 4<sup>th</sup> columns are pivot, while the 3<sup>rd</sup> column is non-pivot. We conclude that

- (i)  $\{g_1, g_2, g_4\}$  is a basis for V.
- (ii)  $\dim(V) = 3$ .

Moreover, by observing the entries of the 3<sup>rd</sup> column,  $g_3 = -2g_1 + g_2$ .

#### 5.2. Check Basis.

If V = span(S), in order to check whether a set of vectors T is a basis for V, we shall verify:

- (i) *T* is linearly independent;
- (ii)  $V \subseteq \text{span}(T)$ , i.e., every vector in S is a linear combination of vectors in T; and
- (iii) span(T)  $\subseteq V$ , i.e., every vector in T is a linear combination of vectors in S.

We use the set *S* and vector space *V* as in Section 5.1:

Let V = span(S), where  $S = \{g_1, g_2, g_3, g_4\}$ ,

$$g_1 = (1, 1, 1, 1, 1),$$
  $g_2 = (1, -1, 2, 3, 0),$   $g_3 = (-1, -3, 0, 1, -2),$   $g_4 = (0, 1, 1, -1, -1).$ 

Set  $T = \{h_1, h_2, h_3\}$ , where

$$h_1 = (2,0,3,4,1), \quad h_2 = (1,0,3,2,-1), \quad h_3 = (1,2,2,0,0).$$

In the following, we check whether *T* is a basis for *V*:

(i) Input  $h_1, h_2, h_3$  as column vectors, and define  $\mathbf{H} = \begin{pmatrix} h_1 & h_2 & h_3 \end{pmatrix}$ . Find the reduced rowerhelon form of  $\mathbf{H}$ :

Since the columns are all pivot,  $h_1$ ,  $h_2$ ,  $h_3$  are linearly independent.

(ii) In order to check the consistency of  $Hx = g_i$ , i = 1, ..., 4, we find the reduced row-echelon form of  $(H \mid g_1 \mid g_2 \mid g_3 \mid g_4) = (H \mid G)$ :

Since the columns corresponding to  $g_1, g_2, g_3, g_4$  are all non-pivot, each  $g_i$  is a linear combination of  $h_1, h_2, h_3$ . Hence,  $V = \text{span}(S) \subseteq \text{span}(T)$ .

(iii) In order to check the consistency of  $Gx = h_i$ , i = 1, 2, 3, we find the reduced row-echelon form of  $(G \mid h_1 \mid h_2 \mid h_3) = (G \mid H)$ :

```
>> rref([G H])
ans = 1
          -2
             0
                1
                      1
      1 1
    0
             0
                1
                  1
                      0
    0 0 0
            1 0
    0 0 0 0 0
                      0
       0
          0
             0
                0
                   0
```

Since the columns corresponding to  $h_1, h_2, h_3$  are all non-pivot, each  $h_i$  is a linear combination of  $g_1, g_2, g_3, g_4$ . Hence, span $(T) \subseteq \text{span}(S)$ .

Therefore, we conclude that *T* is a basis for *V*.

# 5.3. Find Coordinate Vector.

Let  $S = \{v_1, v_2, ..., v_k\}$  be a basis for a vector space V. Then every vector in V can be uniquely represented as a linear combination of  $v_1, ..., v_k$ . Precisely, for any  $v \in V$ , there exist unique

numbers  $c_1, c_2, ..., c_k \in \mathbb{R}$  such that

$$\boldsymbol{v} = c_1 \boldsymbol{v}_1 + c_2 \boldsymbol{v}_2 + \dots + c_k \boldsymbol{v}_k.$$

Then the column vector  $(c_1, c_2, ..., c_k)$  is called the **coordinate vector** of v relative to S, denoted by  $(v)_S$ .

Using the same definition as in Sections 5.1 and 5.2,  $T = \{h_1, h_2, h_3\}$  is a basis for V. In the following, we find the coordinate vector of  $\mathbf{h} = (-1, -3, 0, 1, -2)$  relative to S.

(i) Input h as a column vector in MATLAB.

(ii) Solve the linear system  $\mathbf{H}\mathbf{x} = \mathbf{h}$  (recall that  $\mathbf{H} = \begin{pmatrix} \mathbf{h}_1 & \mathbf{h}_2 & \mathbf{h}_3 \end{pmatrix}$ ).

Observing the entries in the column corresponding to h, we obtain  $h = -\frac{1}{2}h_1 + \frac{3}{2}h_2 - \frac{3}{2}h_3$ . Hence,  $(h)_T = \left(-\frac{1}{2}, \frac{3}{2}, -\frac{3}{2}\right)$ .

### 6. PRACTICES

1. Let  $S = \{u_1, u_2, u_3, u_4, u_5, u_6\}$  and  $T = \{v_1, v_2, v_3, v_4, v_5, v_6\}$ , where

$$u_1 = (1, 1, 1, 1, 1, 1),$$
  $u_2 = (1, 0, 1, 0, 1, 0),$   $u_3 = (1, 1, 1, 0, 0, 0),$   $u_4 = (1, 1, 0, 0, 1, 1),$   $u_5 = (1, 1, 0, 1, 1, 0),$   $u_6 = (1, 0, 0, 1, 0, 0),$ 

and

$$egin{aligned} & v_1 = (1,-1,2,0,1,2), & v_2 = (-1,2,0,1,2,1), & v_3 = (2,-3,2,-1,-1,1), \\ & v_4 = (0,1,2,1,-1,2), & v_5 = (1,2,1,-1,2,0), & v_6 = (1,3,3,0,1,2). \end{aligned}$$

(i) Determine the relation between span(S) and span(T), i.e., whether (a) span(S)  $\subseteq$  span(T) and (b) span(T)  $\subseteq$  span(S).

- (ii) Let  $V = \operatorname{span}(T)$ . Find a basis T' for V consisting of vectors in T. Express the redundant vectors in T as linear combinations of vectors in T'. Moreover, write down their coordinate vectors with respect to T'.
- (iii) Determine whether *S* is a basis for  $\mathbb{R}^6$ .