

# CS2040S

## Data Structures and Algorithms

### Dynamic Programming

#### Riddle of the Week: The Travelling SalesPeople

Three travelers show up at a hotel where a room costs \$300. They each pay \$100 and go to their room.

The manager realizes there is a special sale and the room only costs \$250. He gives his assistant \$50 to return to the travelers. The assistant only has tens for change, and so gives each traveler \$10 in change, keeping \$20 for himself.

So each traveler paid \$90, and the assistant kept \$20, leading to a total of  $3 \times 90 + 20 = 290$  dollars. What happened to the remaining 10 dollars?

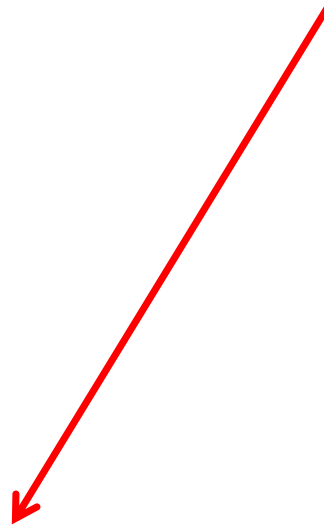
# Semester Roadmap

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Where are we?

- Searching
- Sorting
- Lists
- Trees
- Hash Tables
- Graphs
- **Dynamic Programming**

You are here



# Roadmap

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## Today and Monday: Dynamic Programming

- Basics of DP
- Example: Longest Increasing Subsequence
- Example: Bounded Prize Collecting
- Example: Vertex Cover on a Tree
- Example: All-Pairs Shortest Paths

# Dynamic Programming Basics

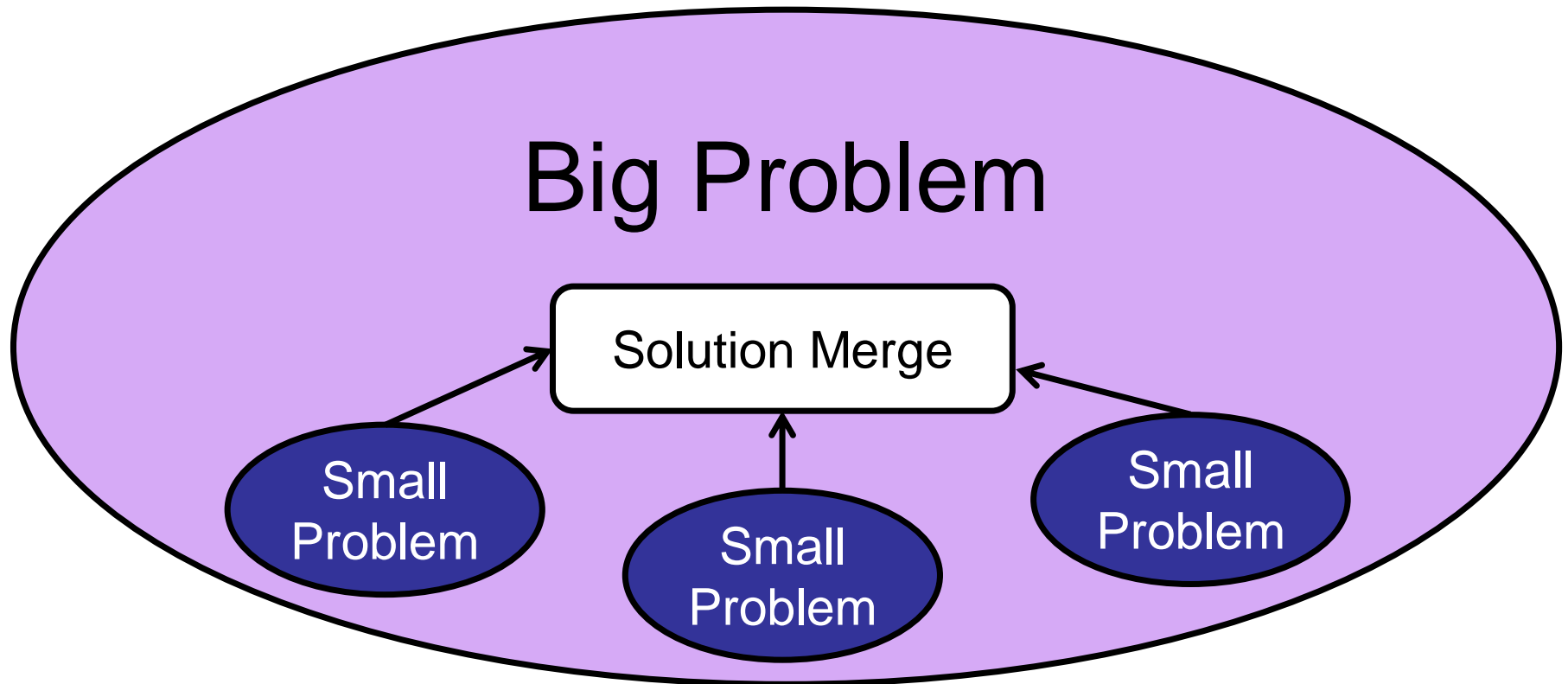
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# Dynamic Programming Basics

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Optimal sub-structure:

Optimal solution can be constructed from optimal solutions to smaller sub-problems.



Which of these problems exhibit optimal sub-structure? (Choose all that apply.)

1. Sorting
2. Reversing a string
3. Merging two arrays
4. Shortest paths
5. Minimum spanning tree

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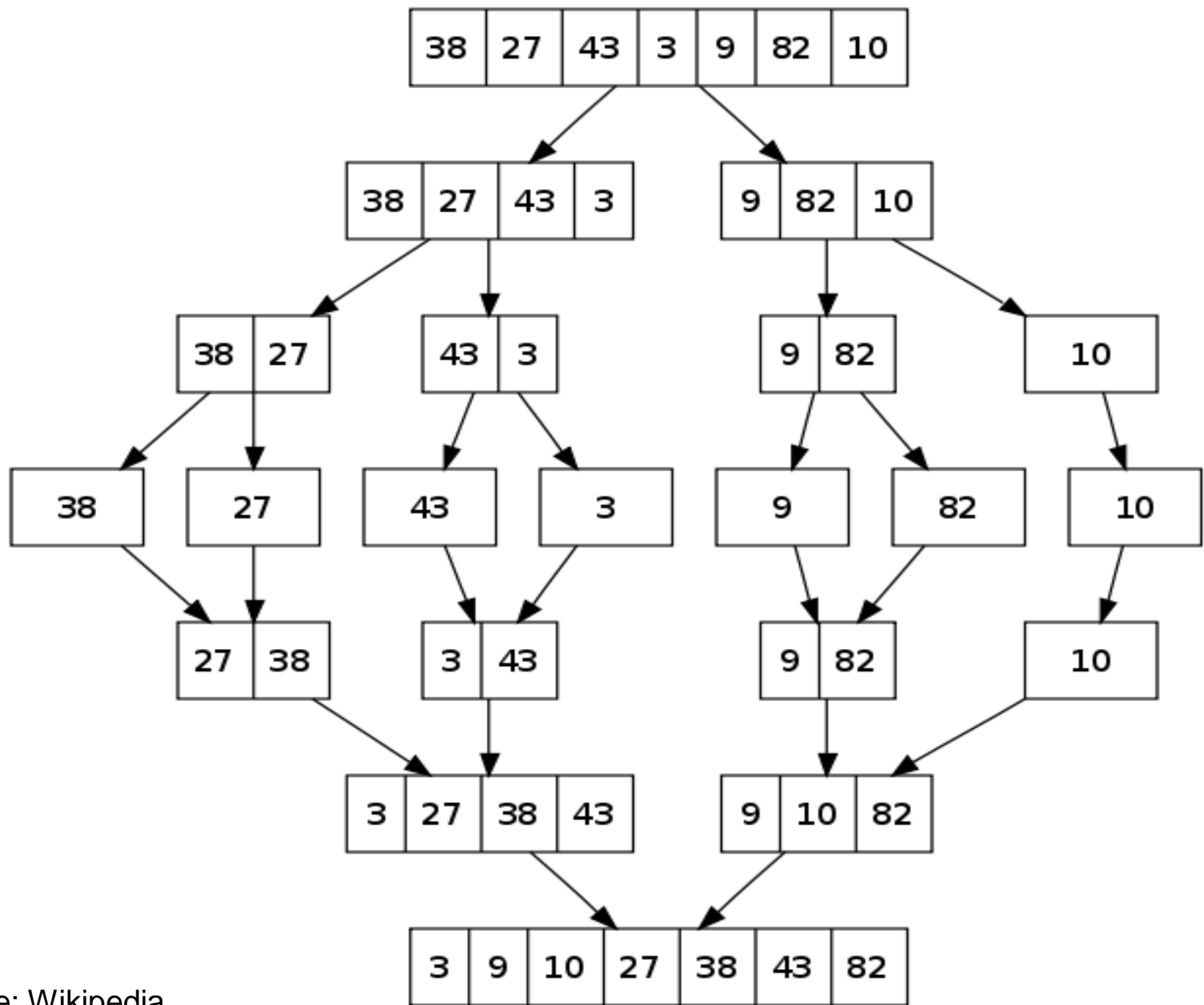
is open

# Optimal Sub-structure

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Property of (nearly) every problem we study:

- Greedy algorithms
  - Dijkstra's Algorithm
  - Minimum Spanning Tree algorithms
- Divide-and-conquer algorithms
  - MergeSort
  - Fast Fourier Transform





# Optimal Sub-structure

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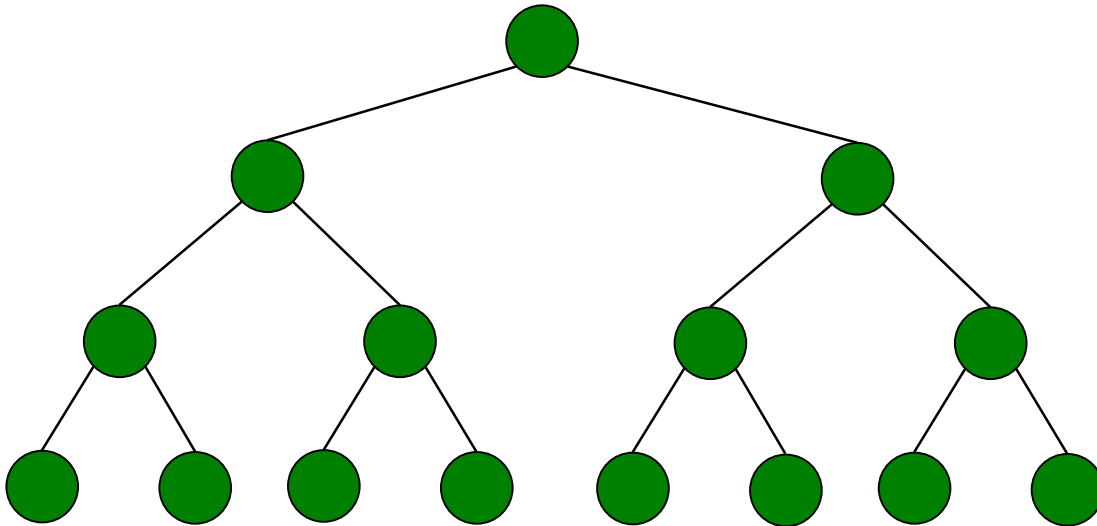
Property of (nearly) every problem we study:

- Greedy algorithms
  - Dijkstra's Algorithm
  - Minimum Spanning Tree algorithms
- Divide-and-conquer algorithms
  - MergeSort
  - Fast Fourier Transform

# Dynamic Programming

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Optimal substructure (simple case):

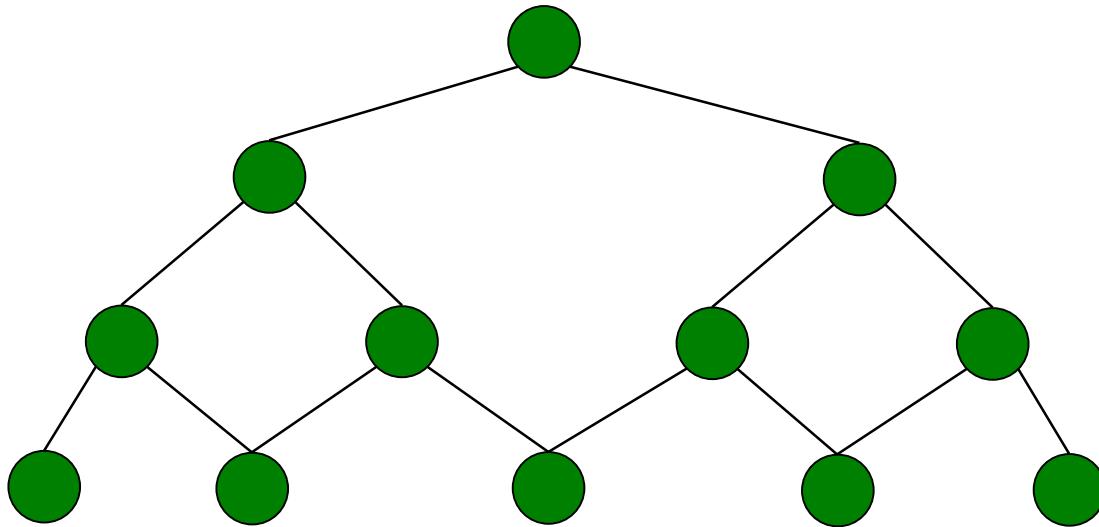


# Dynamic Programming

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Optimal substructure (overlapping sub-problems):

The same smaller problem is used to solve multiple different bigger problems.

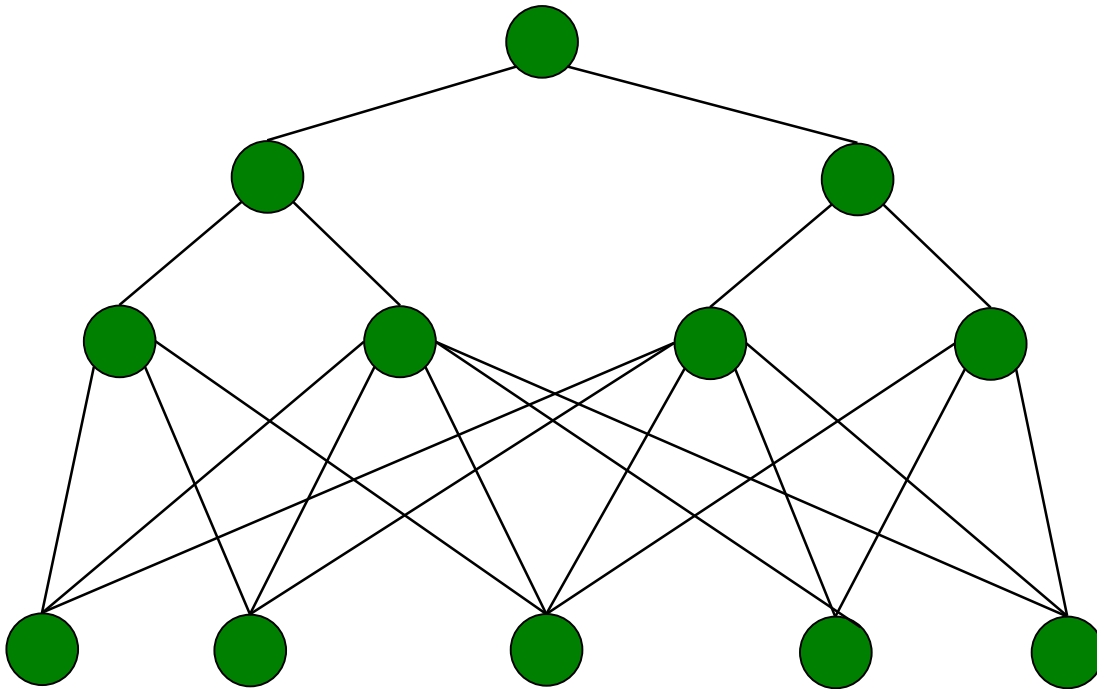


# Dynamic Programming

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## Overlapping sub-problems:

The same smaller problem is used to solve multiple different bigger problems.

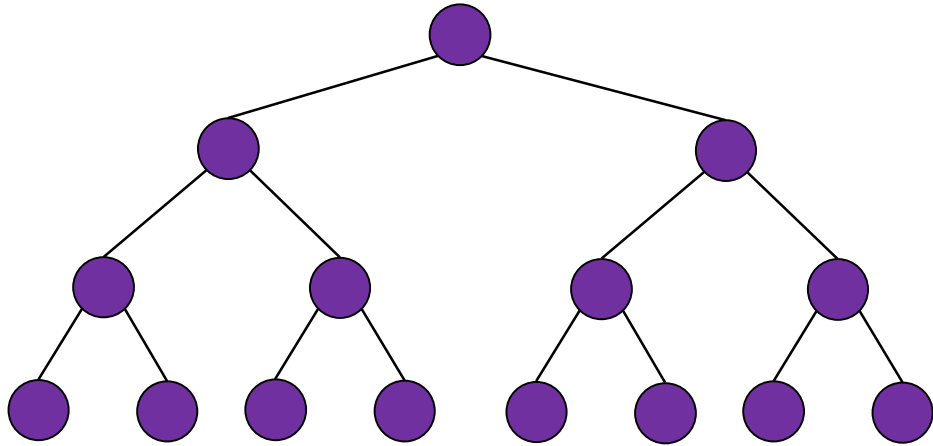


# Dynamic Programming

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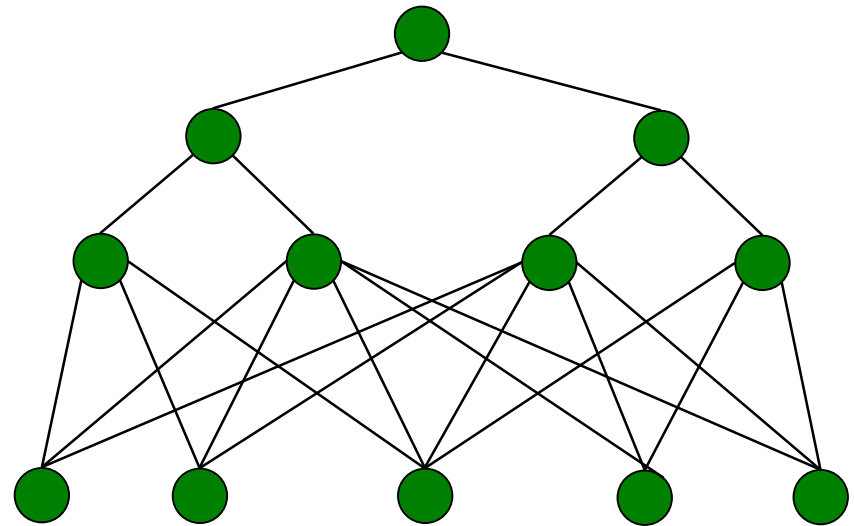
Contrast: Both have optimal substructure

No overlapping subproblems



Divide-and-Conquer

Overlapping subproblems



Dynamic Programming

# Dynamic Programming

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Basic strategy:

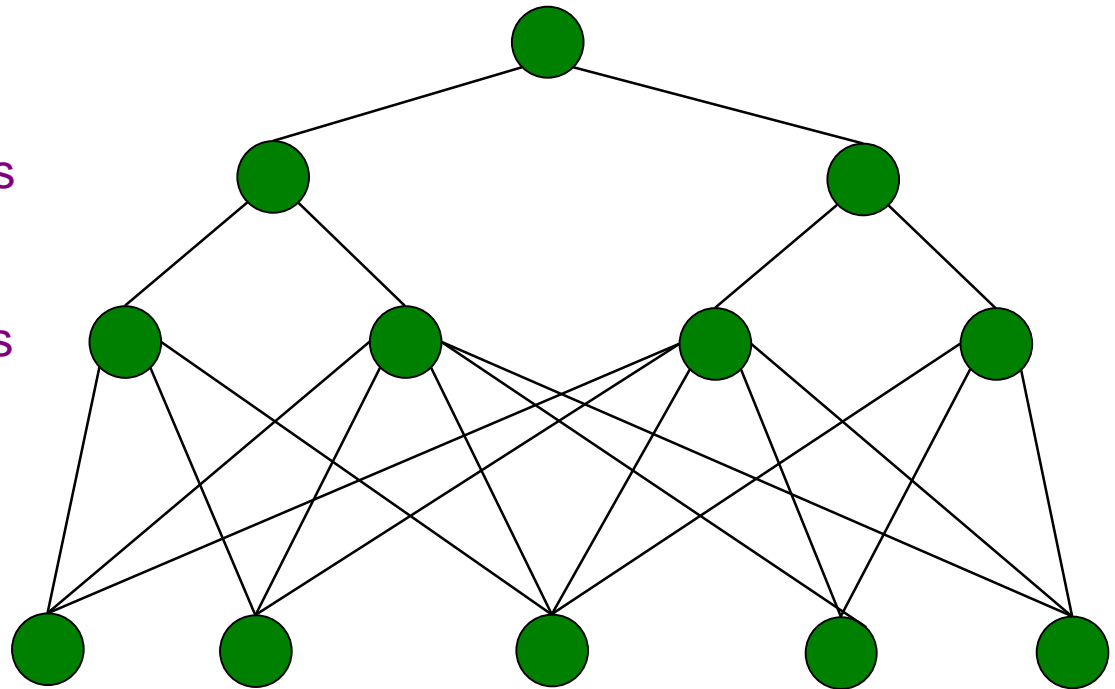
*(bottom up dynamic programming)*

Step 4: solve root problem

Step 3: combine smaller problems

Step 2: combine smaller problems

Step 1: solve smallest problems



# Dynamic Programming

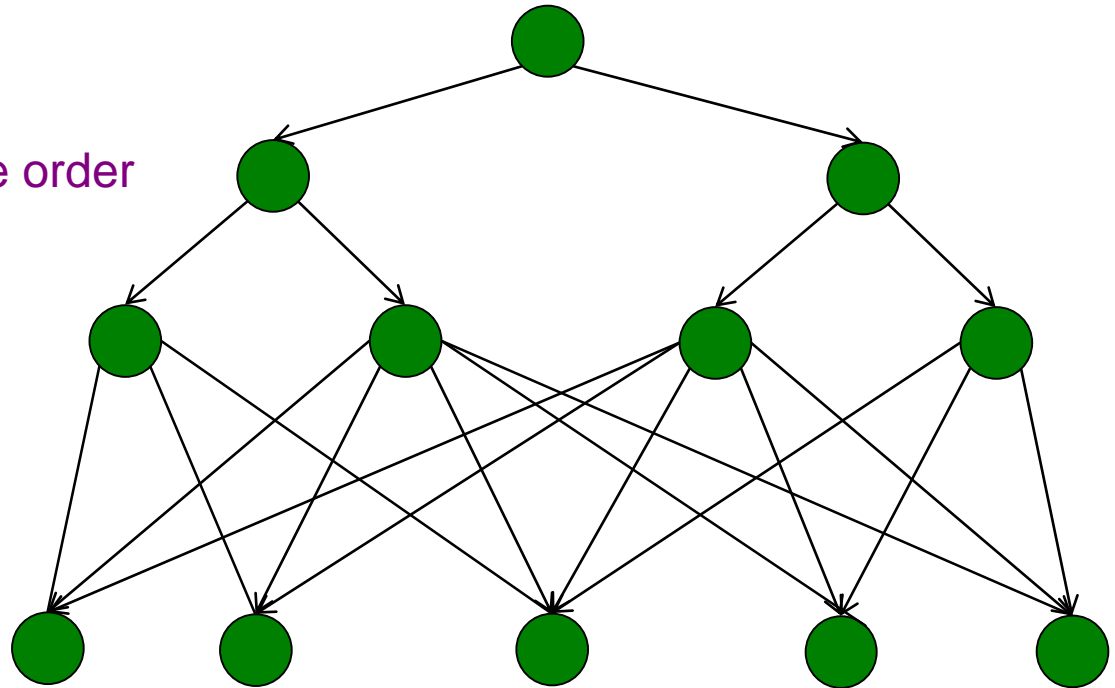
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Basic strategy:

*(DAG + topological sort)*

Step 1: Topologically sort DAG

Step 2: Solve problems in reverse order



# Dynamic Programming

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Basic strategy:

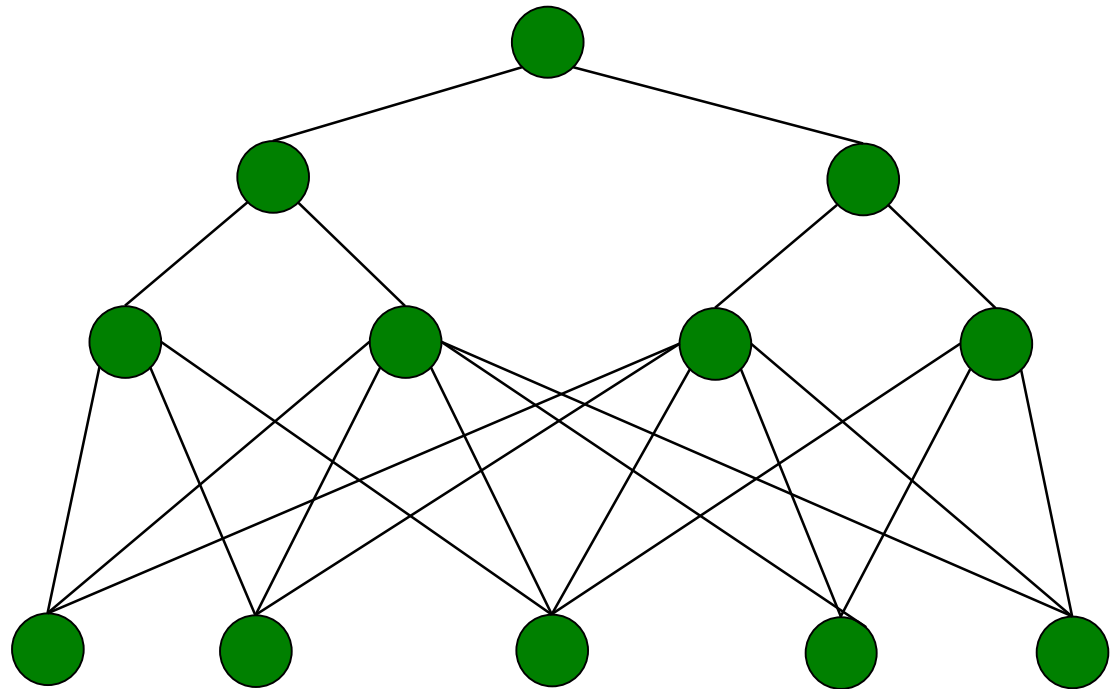
*(top down dynamic programming)*

Step 1: Start at root and recurse.

Step 2: Recurse.

Step 3: Recurse.

Step 4: Solve and memoize.  
Only compute each  
solution once.





# Dynamic Programming

## Table view:

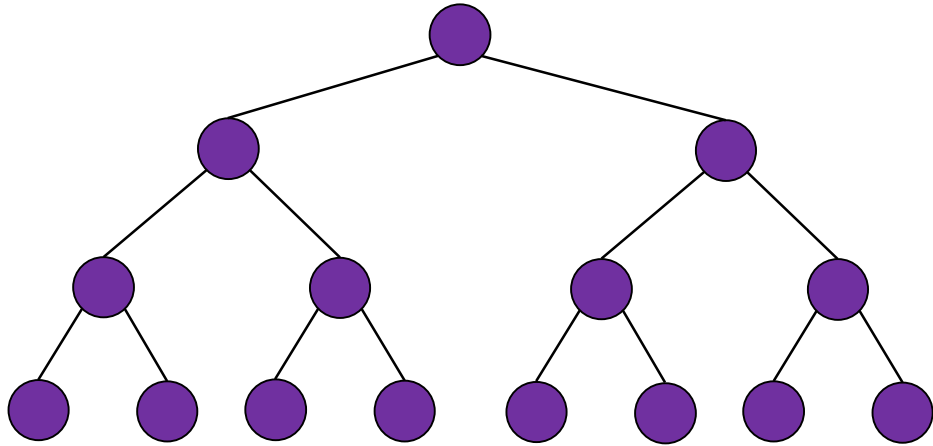
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# Dynamic Programming

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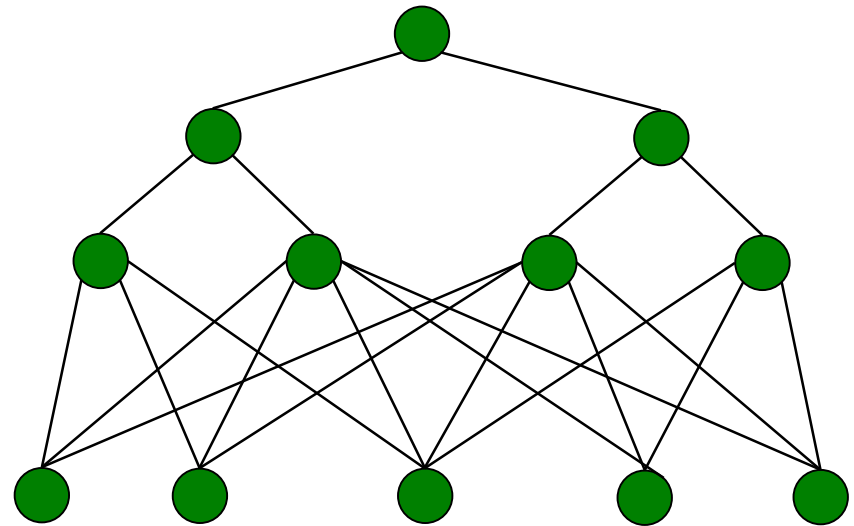
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No overlapping subproblems



Divide-and-Conquer

Overlapping subproblems



Dynamic Programming

# Roadmap

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## Today and Monday: Dynamic Programming

- Basics of DP
- Example: Longest Increasing Subsequence
- Example: Bounded Prize Collecting
- Example: Vertex Cover on a Tree
- Example: All-Pairs Shortest Paths

# Longest Increasing Subsequence

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Input: Sequence of integers

- Example: {8, 3, 6, 4, 5, 7, 7}

Output: Increasing subsequence

- Example: {8, 3, 6, 4, 5, 7, 7}

Goal: Output sequence of maximum length

- Example: {8, 3, 6, 4, 5, 7, 7}

# Longest Increasing Subsequence

---

Input: Sequence of integers

- Example: {8, 3, 6, 4, 5, 7, 7}

Output: Length of increasing subsequence

- Example: 3  $\rightarrow$  {8, 3, 6, 4, 5, 7, 7}

Goal: Output ~~sequence~~ of maximum length

- Example: 4  $\rightarrow$  {8, 3, 6, 4, 5, 7, 7}

# DAG Solution

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8

3

6

4

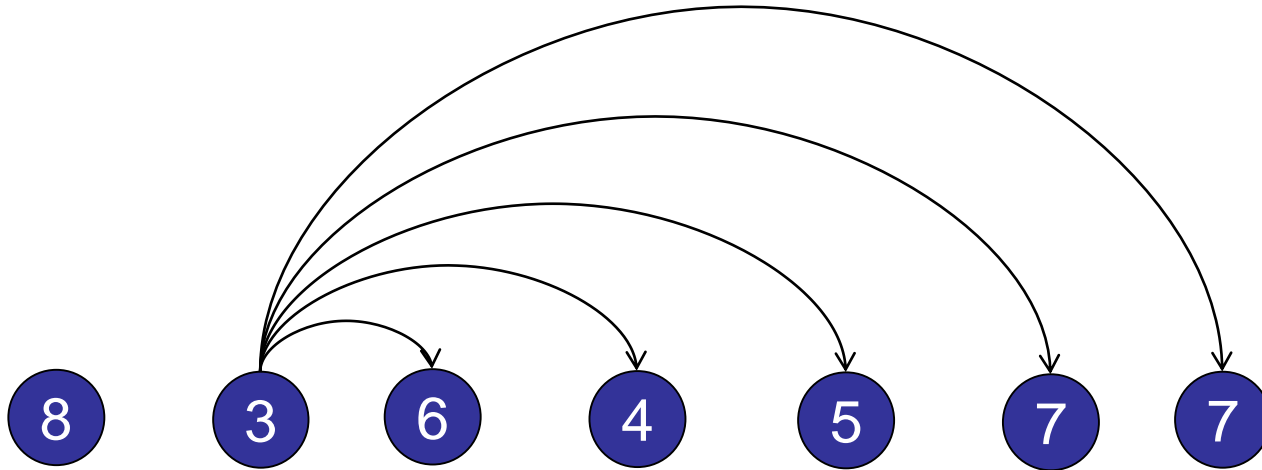
5

7

7

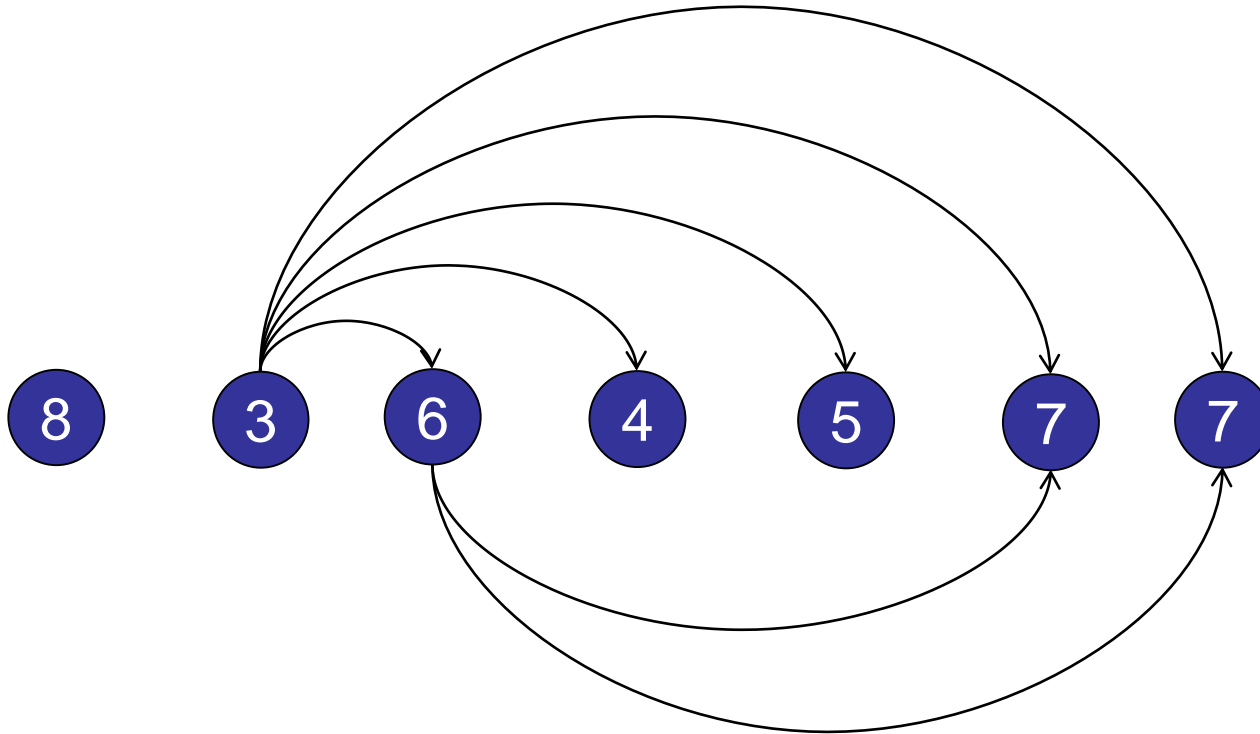
# DAG Solution

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# DAG Solution

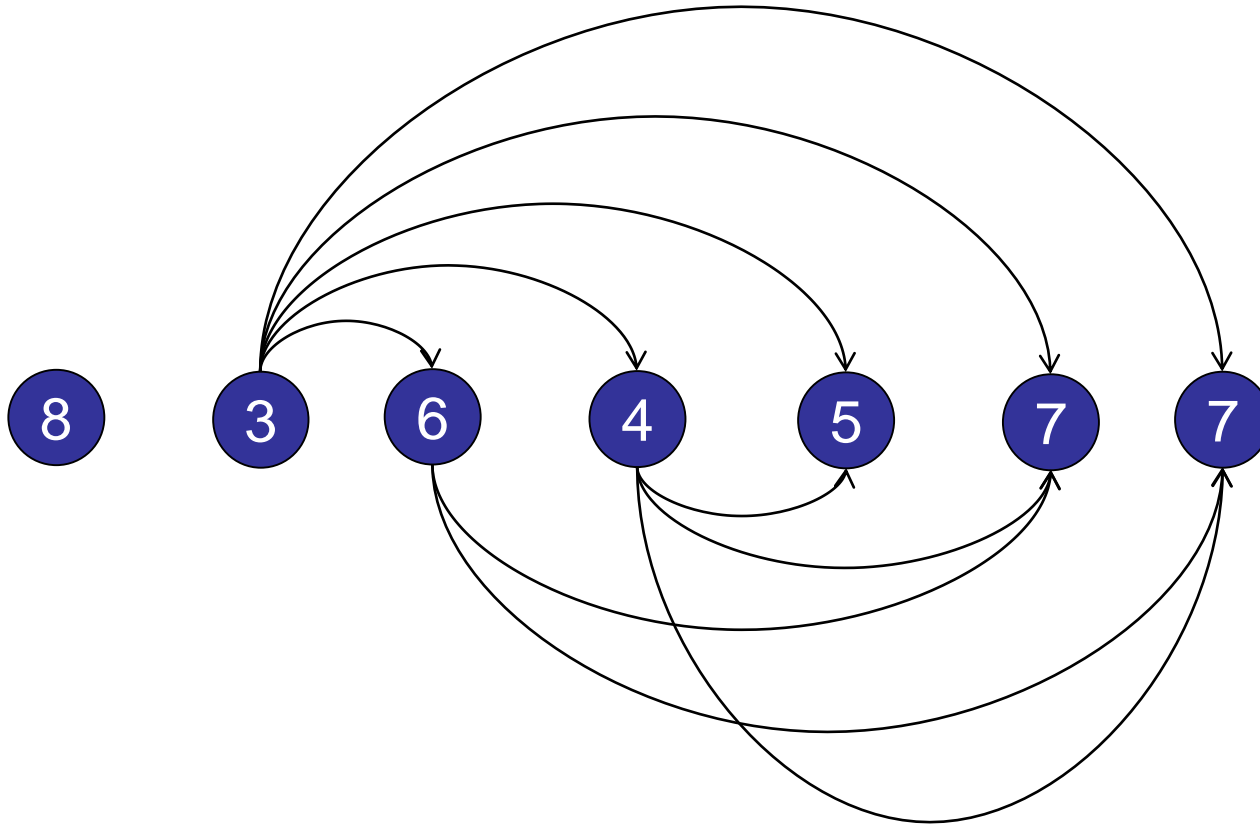
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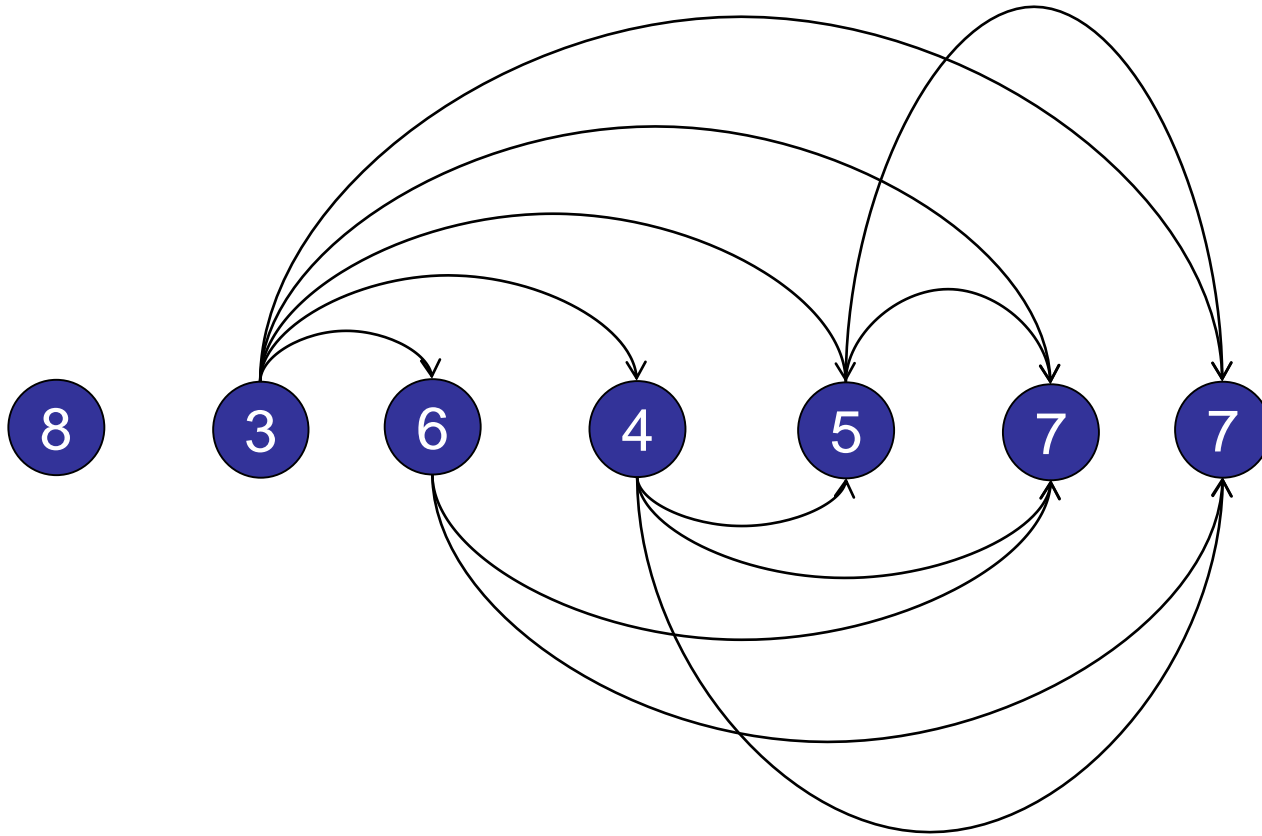
# DAG Solution

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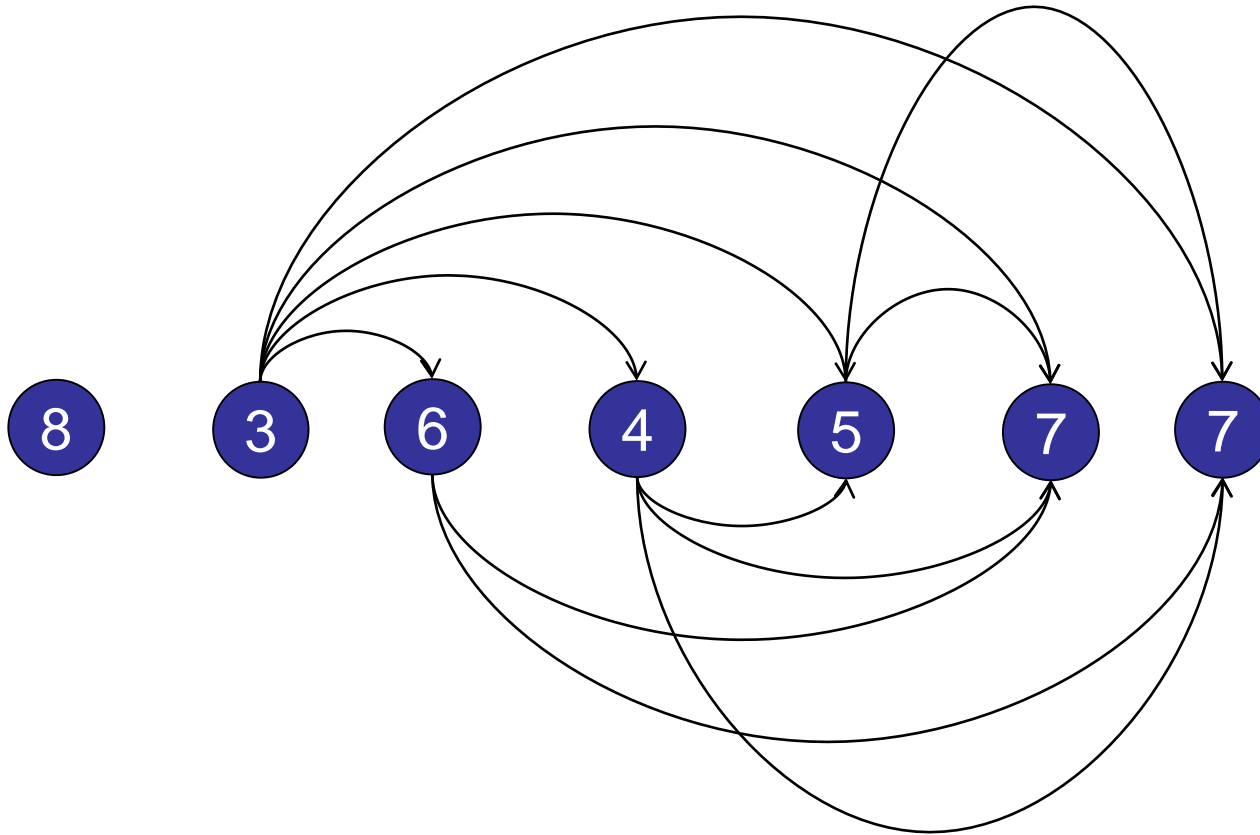
# DAG Solution

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# DAG Solution

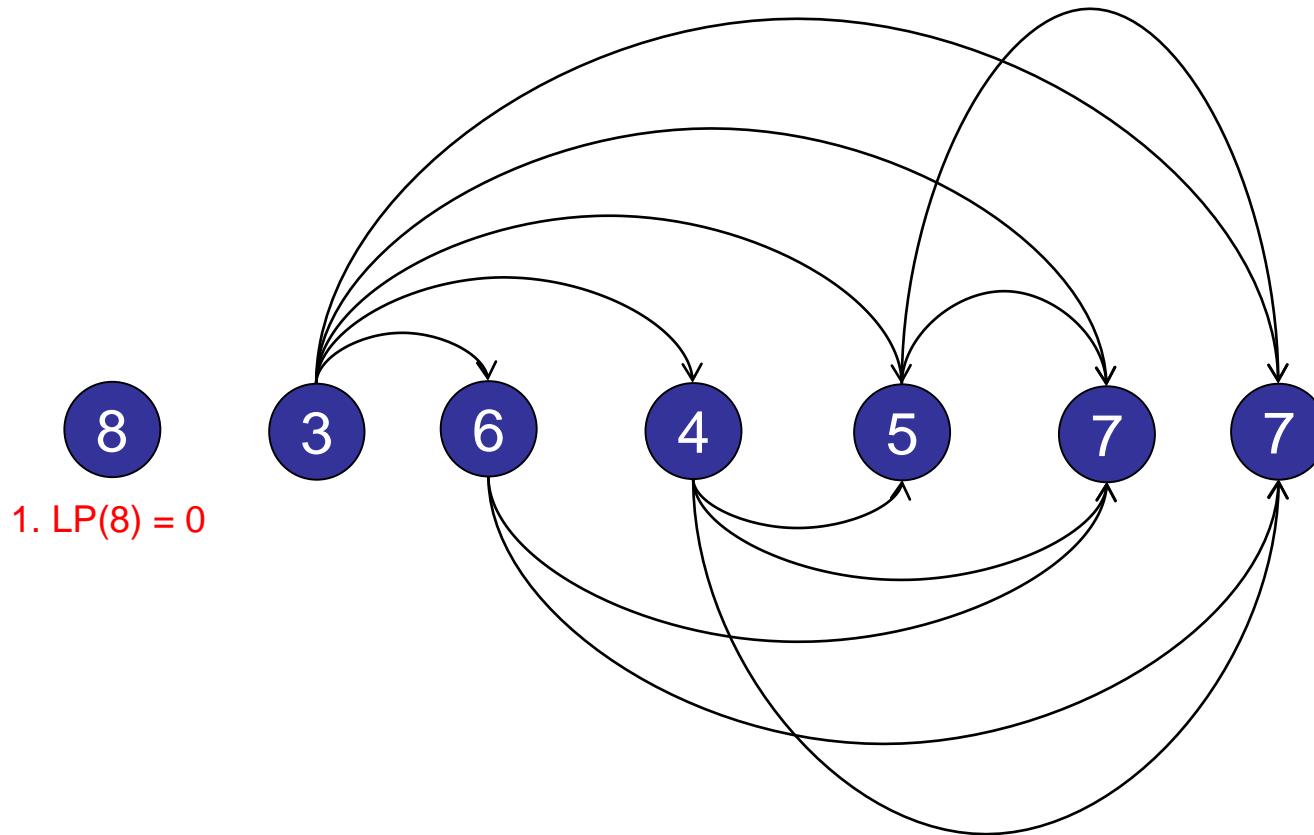
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Step 1: Topological sort. (Oops, nothing to do.)

# DAG Solution

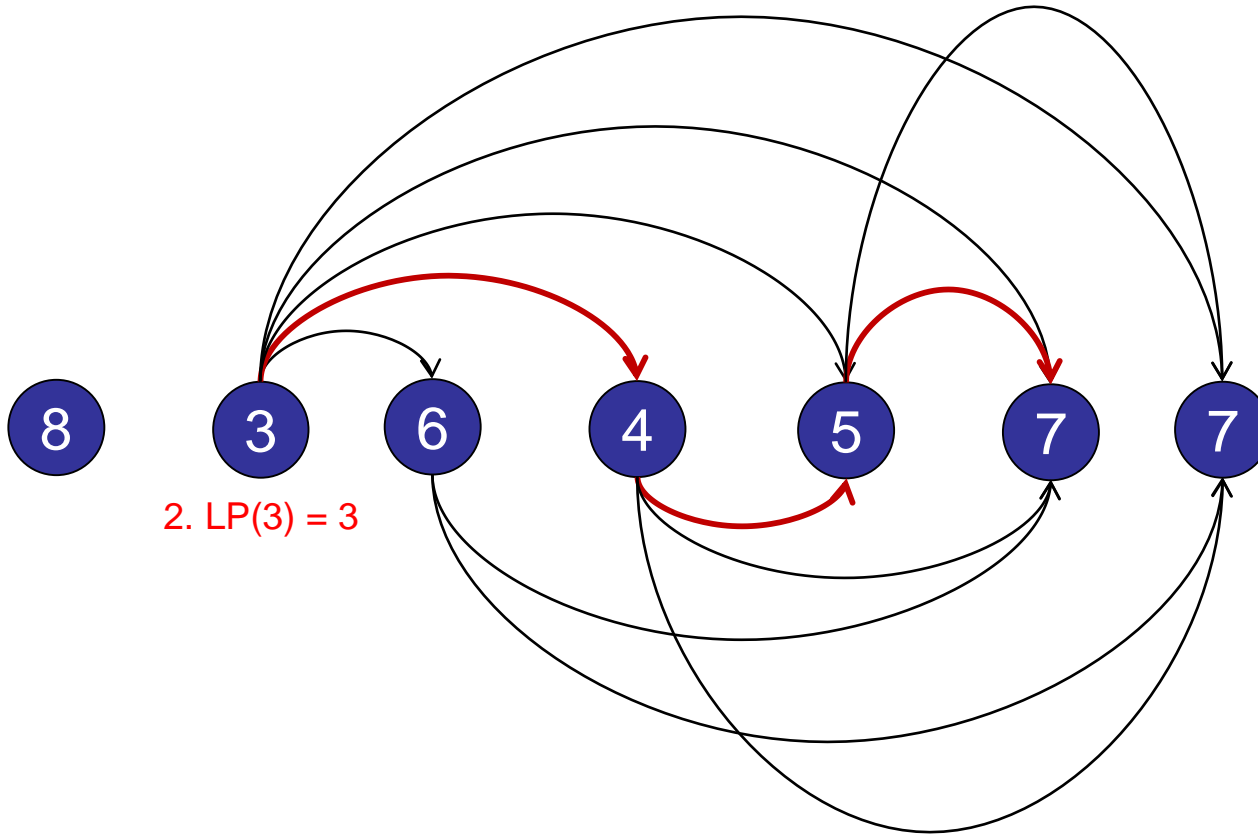
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Step 2: Calculate longest paths.

# DAG Solution

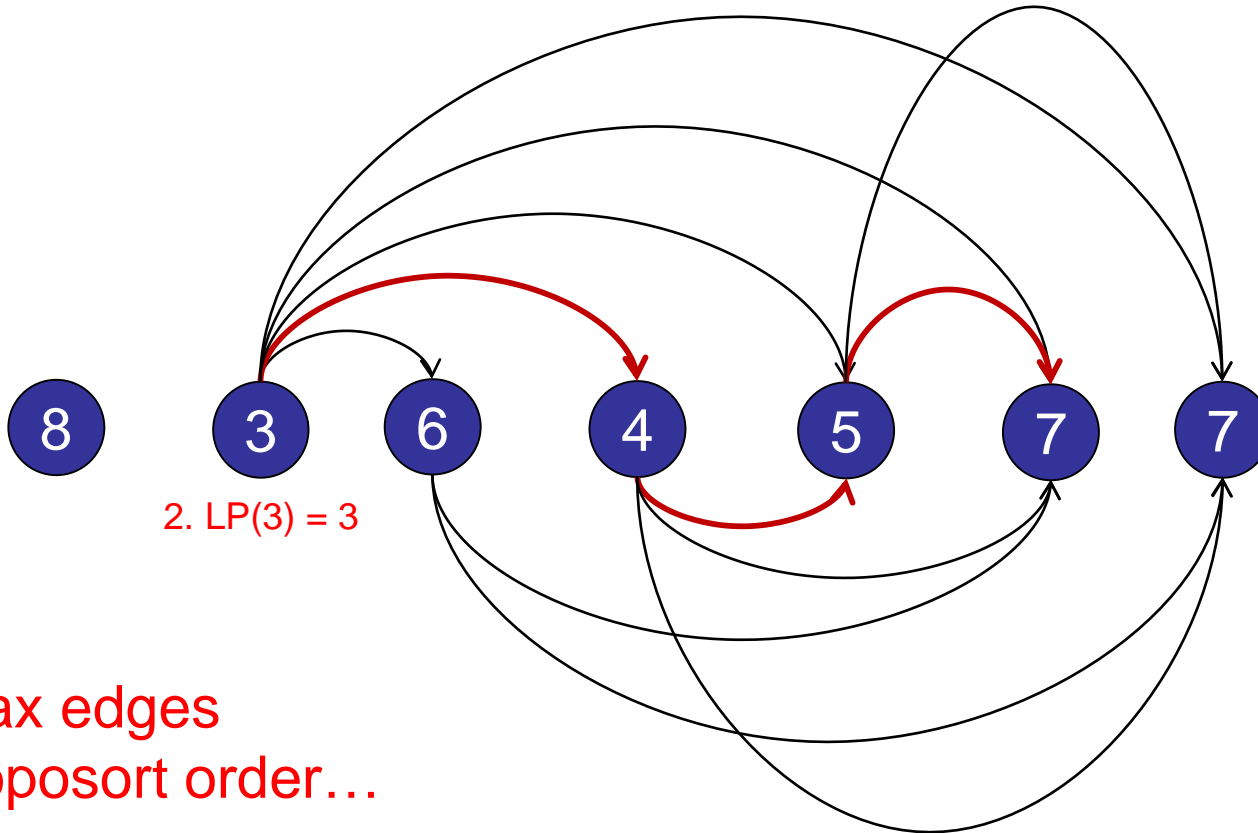
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Step 2: Calculate longest paths: DAG\_SSSP.

# DAG Solution

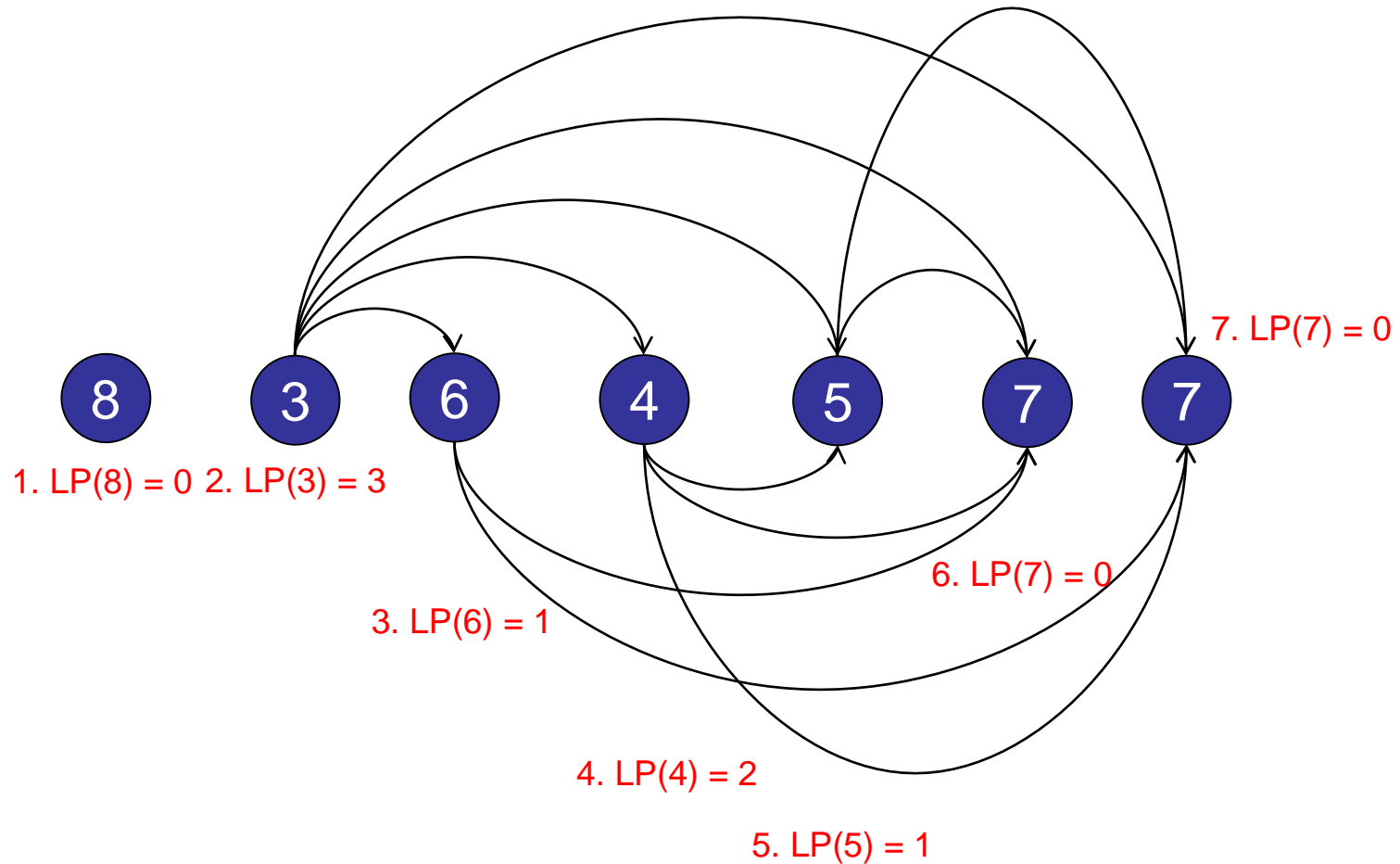
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Step 2: Calculate longest paths: DAG\_SSSP.

# DAG Solution

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Step 2: Calculate longest paths.  $LIS = \max(LP) + 1$

What is the running time of the DAG alg for a sequence of  $n$  numbers?

1.  $O(n)$
2.  $O(n \log n)$
3.  $O(n^2)$
4.  $O(n^2 \log n)$
- ✓ 5.  $O(n^3)$
6. None of the above.

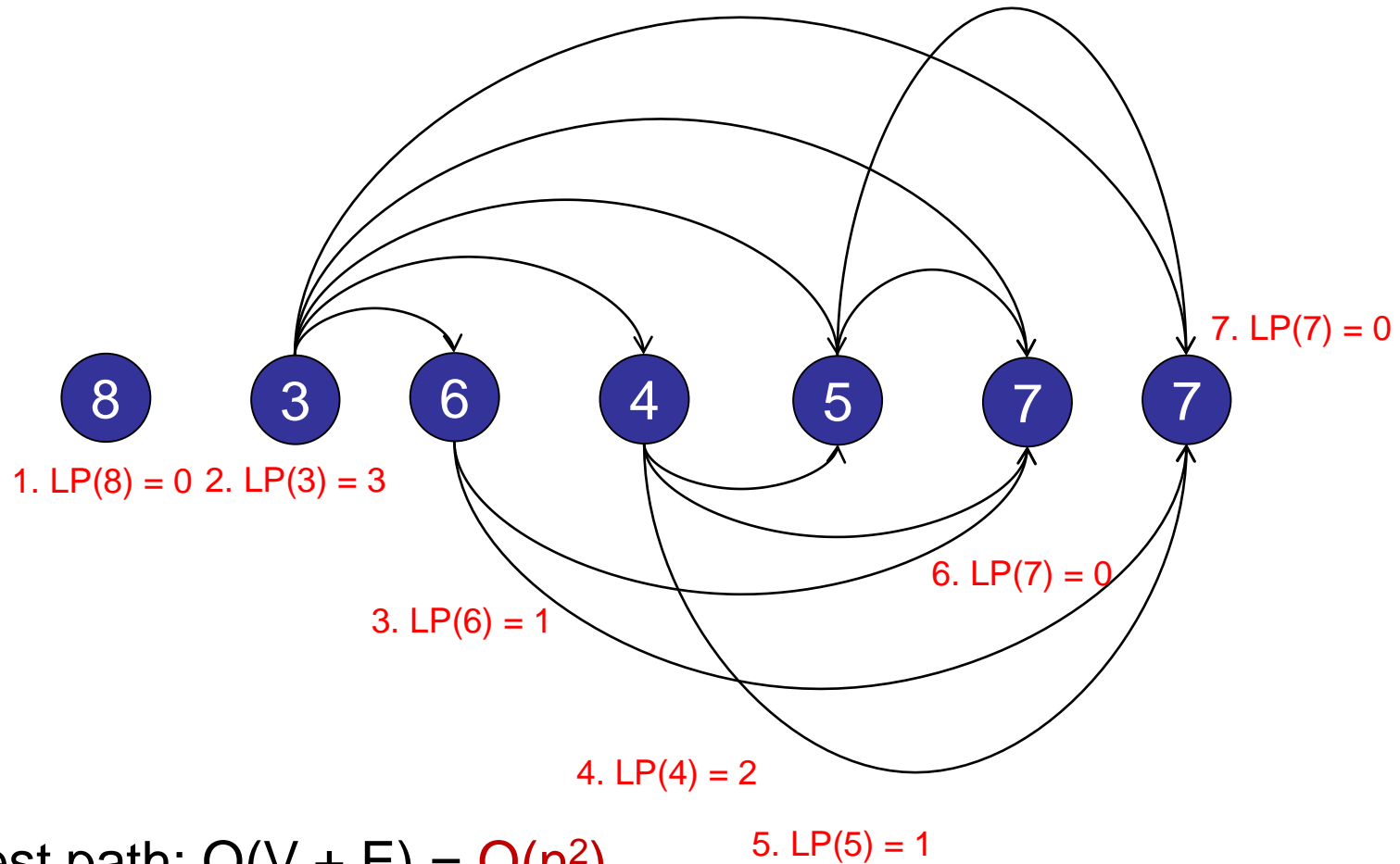


# DAG Solution

$V$  = list of numbers

$|V| = n$

$|E| = (n + n-1 + n-2 + \dots)$



Longest path:  $O(V + E) = O(n^2)$

Run longest path  $n$  times =  $O(n^3)$

# Overlapping Subproblems

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# Overlapping Subproblems

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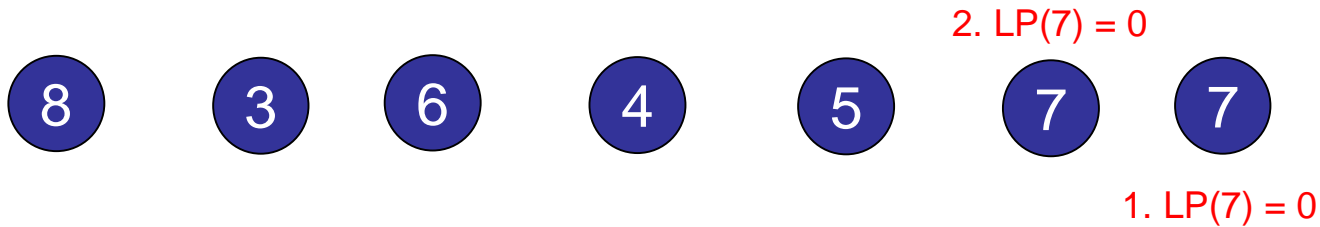


1.  $LP(7) = 0$

Start with the smallest sub-problem:  $LP(7)$

# Overlapping Subproblems

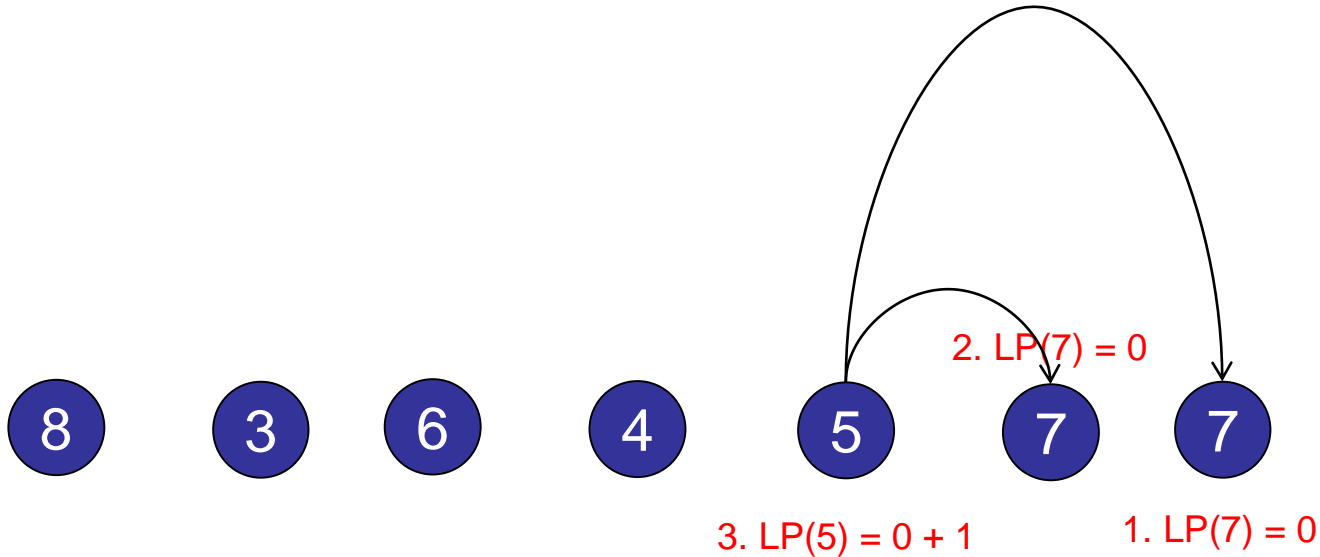
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Start with the smallest sub-problem:  $LP(7)$

# Overlapping Subproblems

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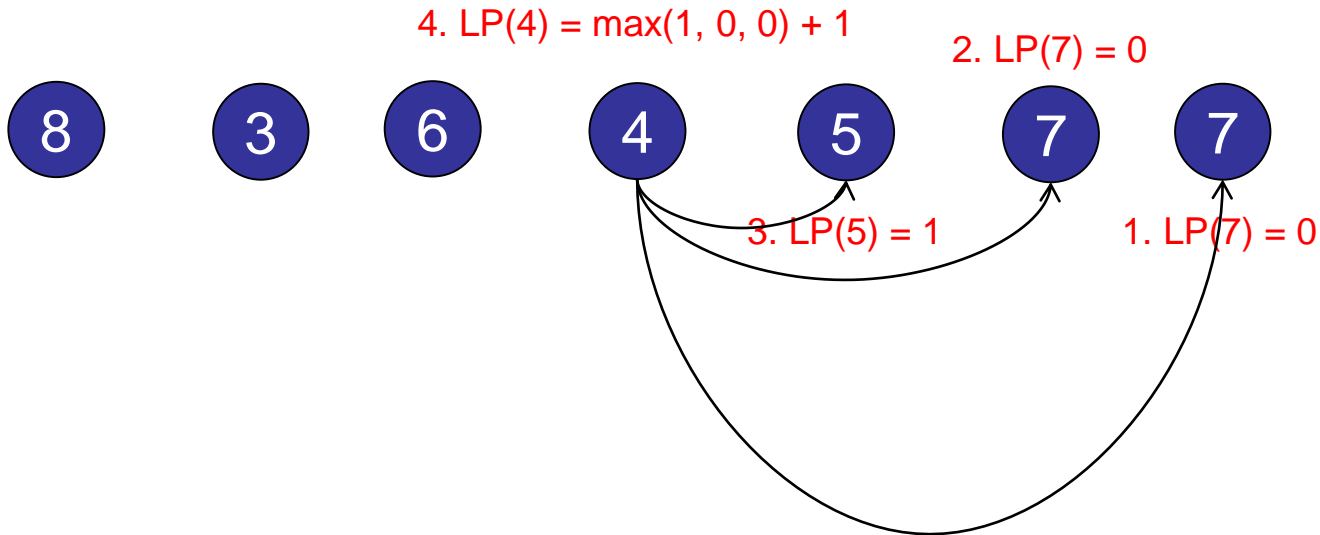


Calculate  $LP(5)$ :

- Examine each outgoing edge.
- Find the maximum.
- Add 1.

# Overlapping Subproblems

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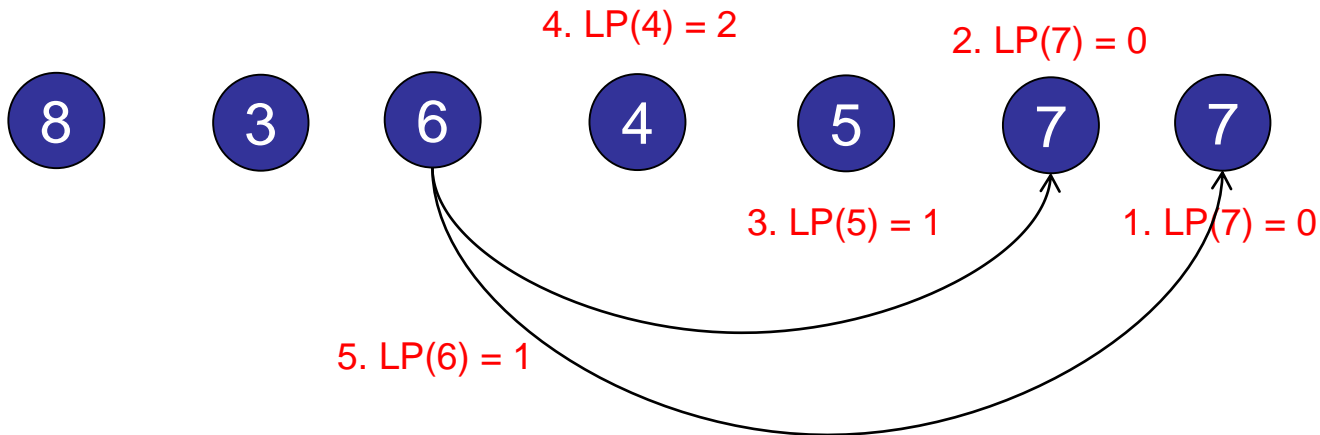


Calculate  $LP(4)$ :

- Examine each outgoing edge.
- Find the maximum.
- Add 1.

# Overlapping Subproblems

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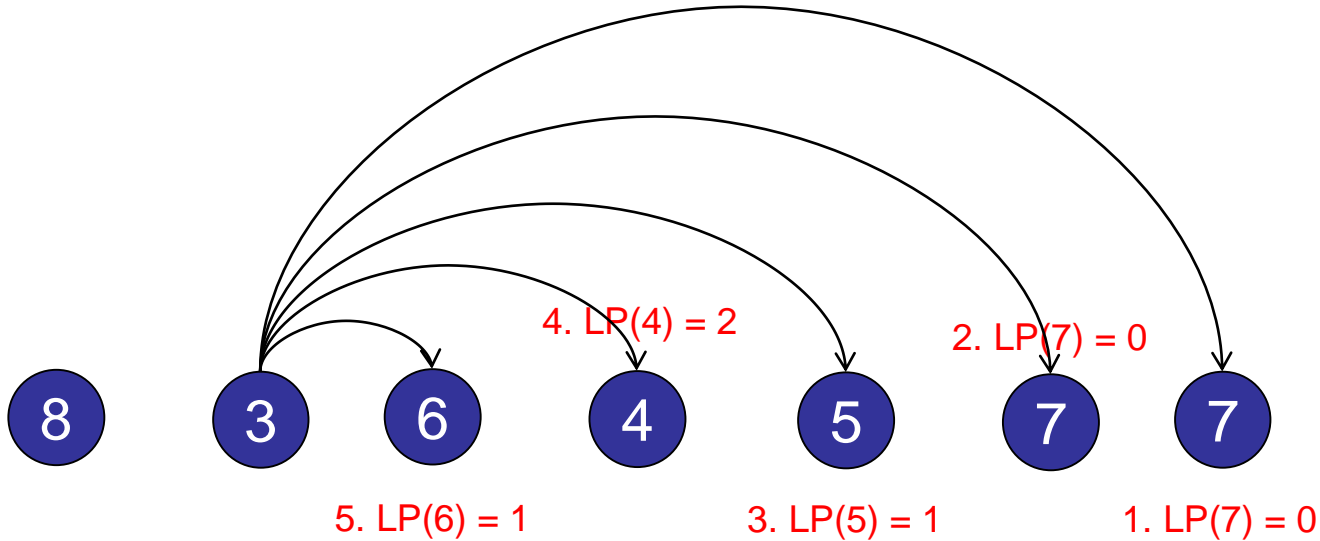


Calculate  $LP(6)$ :

- Examine each outgoing edge.
- Find the maximum.
- Add 1.

# Overlapping Subproblems

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6.  $LP(3) = \max(1, 2, 1, 0, 0) + 1 = 3$

Calculate  $LP(3)$ :

- Examine each outgoing edge.
- Find the maximum.
- Add 1.



# Longest Increasing Subsequence

---

Input:

- Array  $A[1..n]$

Define sub-problems:

- $S[i] = \text{LIS}(A[i..n])$  starting at  $A[i]$

Example:  $\{8, 3, 6, 4, 5, 7, 7\}$

- $S[5] = 2 \rightarrow \{8, 3, 6, 4, 5, 7, 7\}$
- $S[2] = 4 \rightarrow \{8, 3, 6, 4, 5, 7, 7\}$

# Dynamic Programming

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Table view:

Entry	Longest path that starts at entry X
7	0
7	0
5	...
4	
6	
3	
8	

# Longest Increasing Subsequence

---

Input:

- Array  $A[1..n]$

Define sub-problems:

- $S[i] = \text{LIS}(A[i..n])$  starting at  $A[i]$

Solve using sub-problems:

- $S[n] = 0$
- $S[i] = (\max_{(i,j) \in E} S[j]) + 1$

# Dynamic Programming Recipe

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Step 1: Identify optimal substructure

E.g., LIS can be built from suffix LIS

Step 2: Define sub-problems

E.g.,  $S[i] = \text{LIS}(A[i..n])$  starting at  $A[i]$

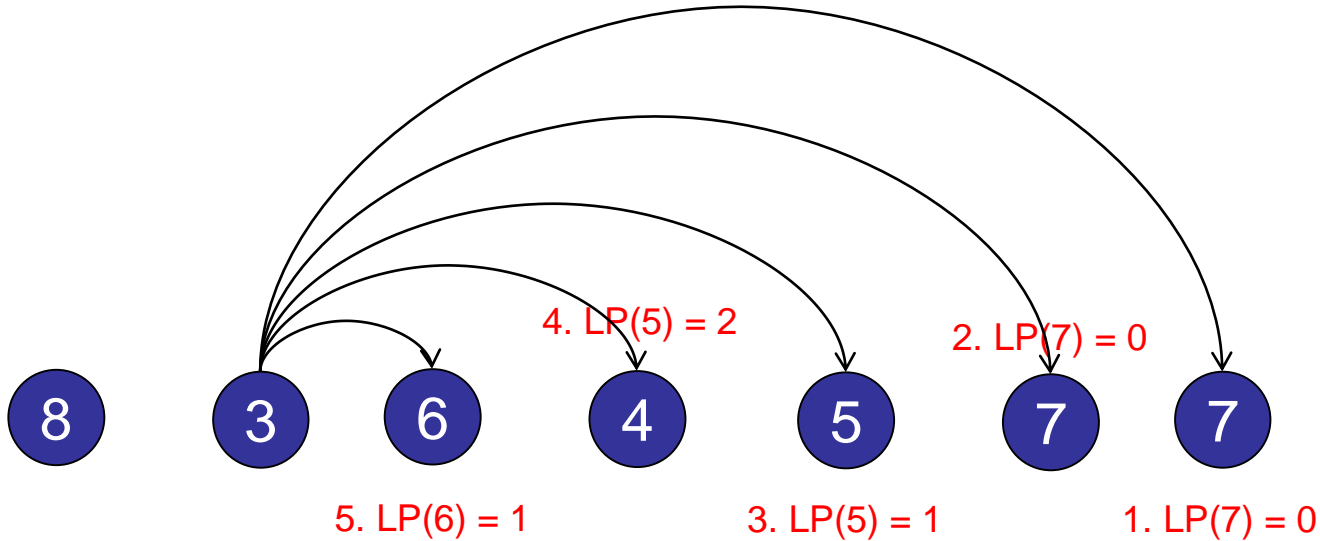
Step 3: Solve problem using sub-problems

E.g.,  $S[i] = (\max_{(i,j) \in E} S[j]) + 1$

Step 4: Write (pseudo)code.

# Overlapping Subproblems

---



6.  $LP(2) = \max(1, 2, 1, 0, 0) + 1 = 3$

Calculate  $LP(2)$ :

- Examine each outgoing edge.
- Find the maximum.
- Add 1.

# Longest Increasing Subsequence

---

LIS(V): // Assume graph is already topo-sorted

```
int[] S = new int[V.length]; // Create memo array
```

```
for (i=0; i<V.length; i++) S[i] = 0; // Initialize array to zero
```

```
S[n-1] = 1; // Base case: node V[n-1]
```

```
for (int v = A.length-2; v>=0; v--) {
```

```
    int max = 0; // Find maximum S for any outgoing edge
```

```
    for (Node w : v.nbrList()) { // Examine each outgoing edge
```

```
        if (S[w] > max) max = S[w]; // Check S[w], which we already  
                                    // calculated earlier.
```

```
    }
```

```
    S[v] = max + 1; // Calculate S[v] from max of outgoing edges.
```

```
}
```

# Longest Increasing Subsequence

---

Input:

- Array  $A[1..n]$

Let's stop thinking about this as a graph...

Alternate definition:

- $S[i] = \text{LIS}(A[1..i])$  **ending** at  $A[i]$

Example:  $\{8, 3, 6, 4, 5, 7, 7\}$

- $S[4] = 2 \rightarrow \{8, 3, 6, 4, 5, 7, 7\}$
- $S[5] = 3 \rightarrow \{8, 3, 6, 4, 5, 7, 7\}$

# Longest Increasing Subsequence

---

Input:

- Array  $A[1..n]$

Let's stop thinking about this as a graph...

Alternate definition:

- $S[i] = \text{LIS}(A[1..i])$  **ending** at  $A[i]$

Solve using sub-problems:

- $S[1] = 0$
- $S[i] = (\max_{(j < i, A[j] < A[i])} S[j]) + 1$



# Longest Increasing Subsequence

---

LIS(A):

```
int[] S = new int[A.length]; // Create memo array
for (i=0; i<A.length; i++) S[i] = 0; // Initialize array to zero
S[0] = 1; // Base case: length 1
for (int i = 0; i<A.length; i++) {
    int max = 0; // Find maximum S for any preceding node
    for (int j=0; j<i; j++) { // Examine each preceding element in the sequence
        if (A[j] < A[i]) // If A[i] is bigger than A[j]
            if (S[j] > max)
                max = S[j]; // If S[j] is longer sequence
    }
    S[i] = max + 1; // Calculate S[i] from max of preceding elements.
}
```

What is the running time of the LP-LIS alg for a sequence of  $n$  numbers?

1.  $O(n)$
2.  $O(n \log n)$
- ✓ 3.  $O(n^2)$
4.  $O(n^2 \log n)$
5.  $O(n^3)$
6. None of the above.

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is open

# Longest Increasing Subsequence

---

LIS(A):

```
int[] S = new int[A.length]; // Create memo array
for (i=0; i<A.length; i++) S[i] = 0; // Initialize array to zero
S[0] = 1; // Base case: length 1
for (int i = 0; i<A.length; i++) {
    int max = 0; // Find maximum S for any preceding node
    for (int j=0; j<i; j++) { // Examine each preceding element in the sequence
        if (A[j] < A[i]) // If A[i] is bigger than A[j]
            if (S[j] > max)
                max = S[j]; // If S[j] is longer sequence
    }
    S[i] = max + 1; // Calculate S[i] from max of preceding elements.
}
```

# Longest Increasing Subsequence

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## Summary:

Greedy subproblems:  $S[i] = \text{LIS}(A[1..i])$

- $n$  subproblems
- Subproblem  $i$  takes takes time  $O(i)$

Total time:  $O(n^2)$

# Challenge of the Day:

How do you solve LIS in time  $O(n \log n)$ ?

*Hint: use binary search to solve subproblems faster.*

# Roadmap

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## Today and Monday: Dynamic Programming

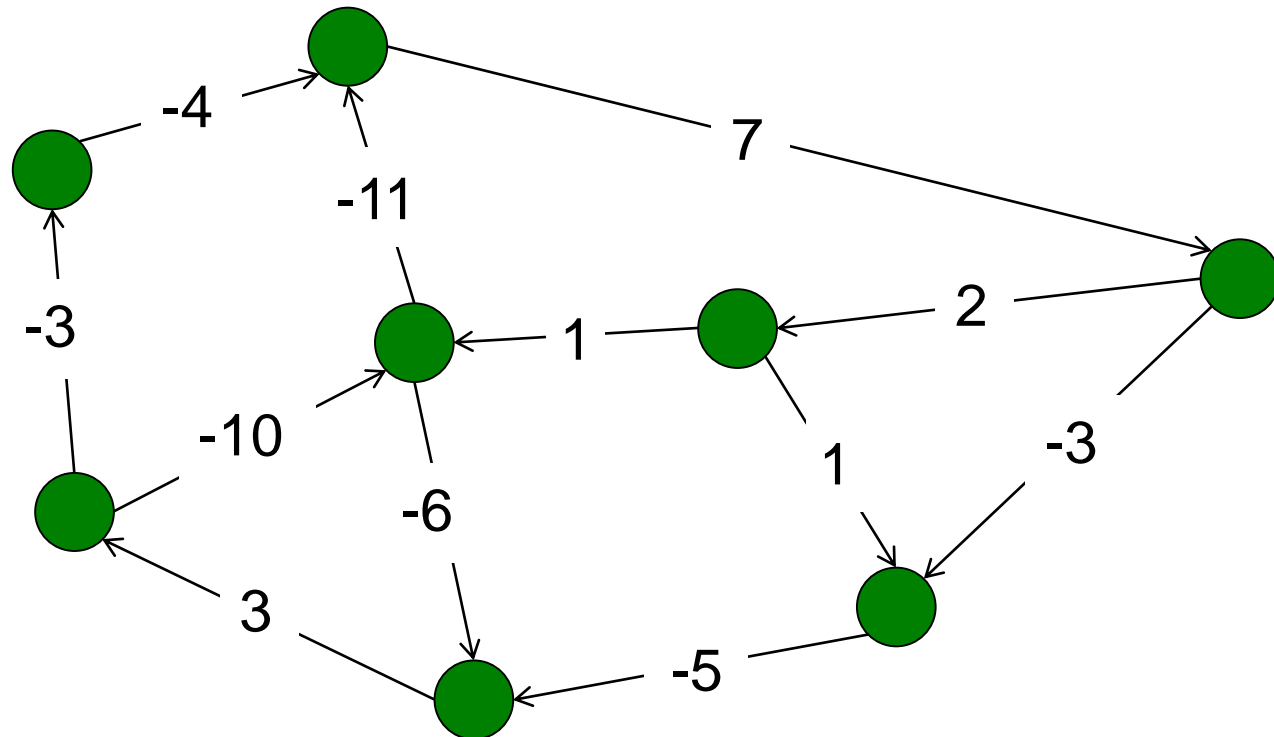
- DP Basics
- Longest Increasing Subsequence
- Prize Collecting
- Vertex Cover on a Tree
- All-Pairs-Shortest-Paths

# Prize Collecting

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Input:

- Directed Graph  $G = (V, E)$
- Edge weights  $\mathbf{w}$  = prizes on each edge

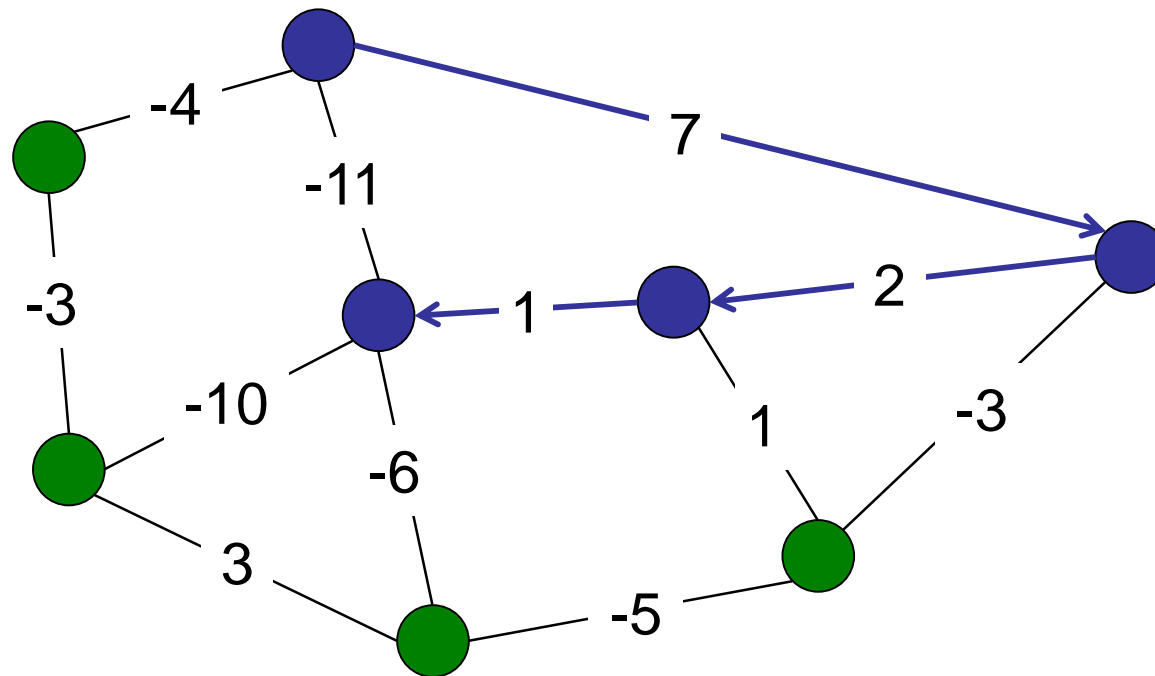


# Prize Collecting

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Output:

- Prize collecting path
- Example:  $7 + 2 + 1 = 10$





What is the maximum prize?

1. 1

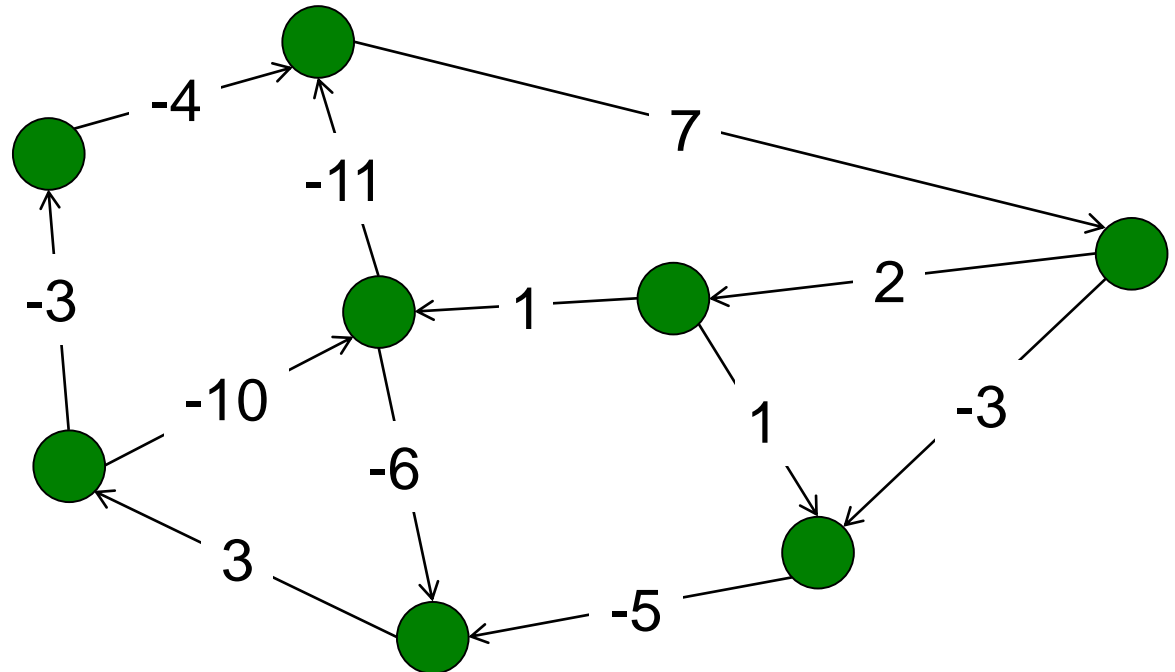
2. 3

3. 10

4. 15

5. 17

✓ 6. Infinite

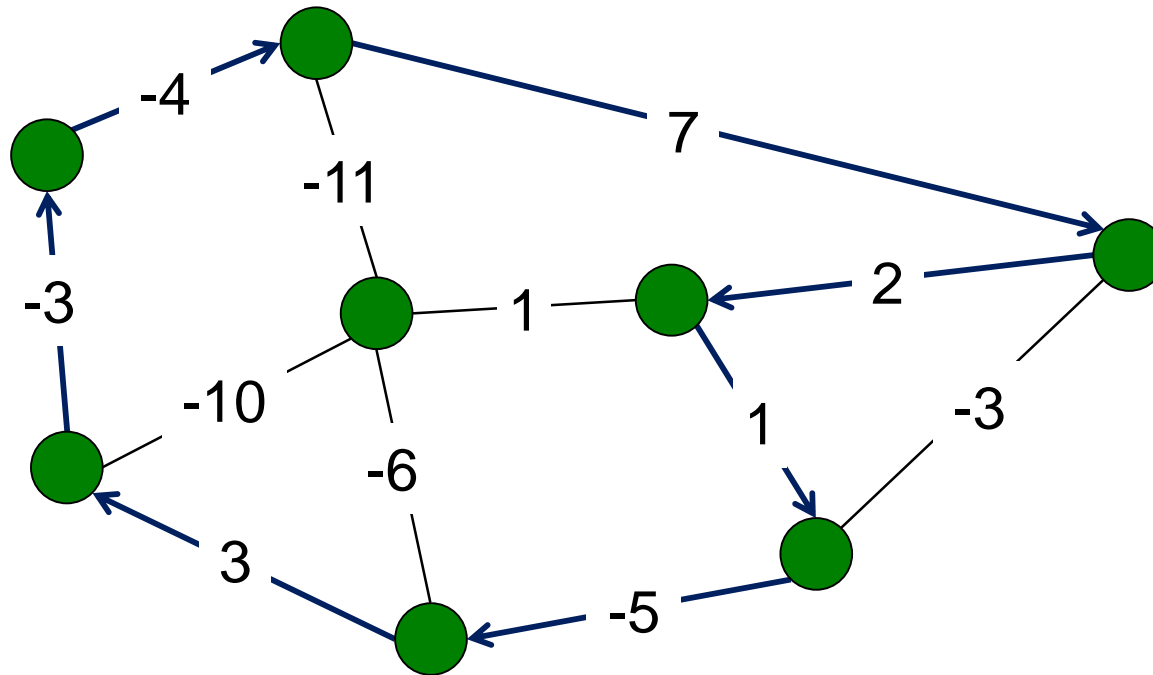


# Prize Collecting

---

Output:

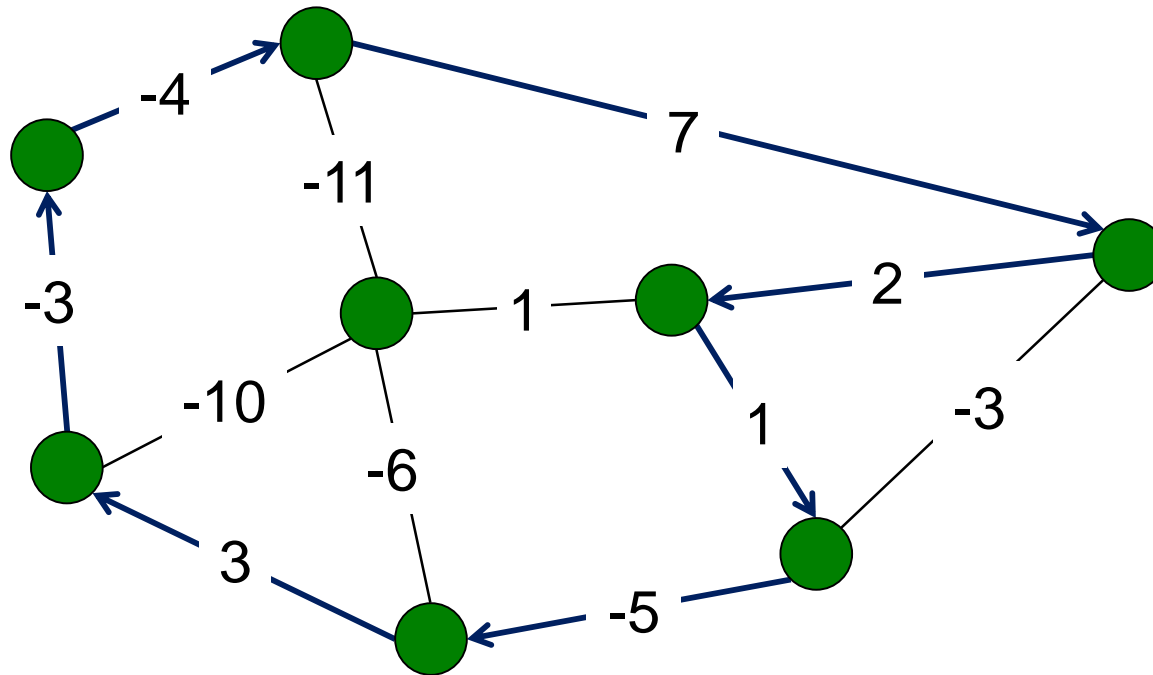
- Prize collecting path:  $7 + 2 + 1 - 5 + 3 - 3 - 4 = 1$
- Positive weight cycle  $\rightarrow$  infinite prizes!



# Prize Collecting

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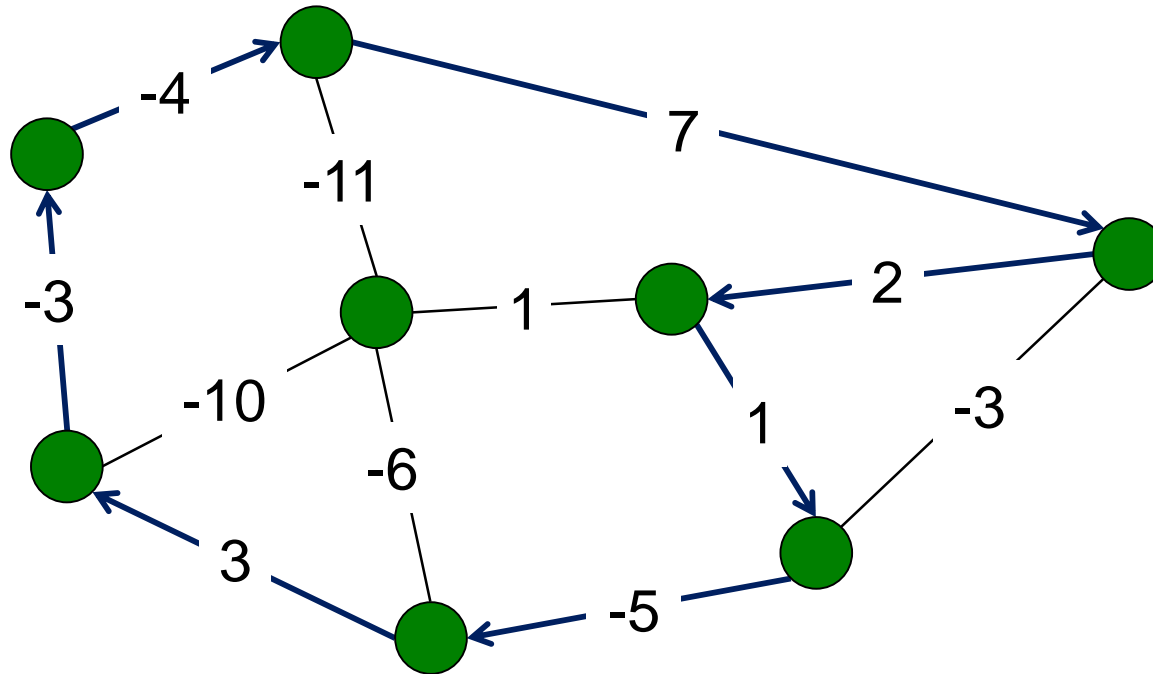
Aside: How could we determine if there is a positive weight cycle in a graph?



# Prize Collecting

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1. Check for positive weight cycles.
2. Negate the edges, run BF.

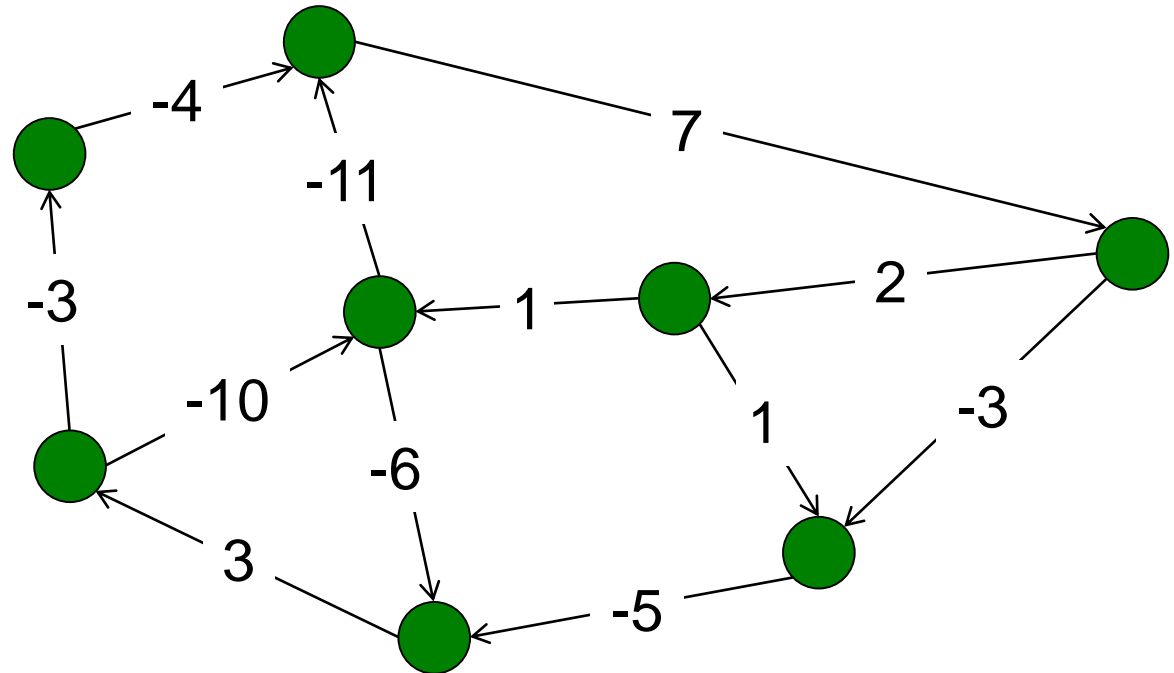


# Lazy Prize Collecting

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Input:

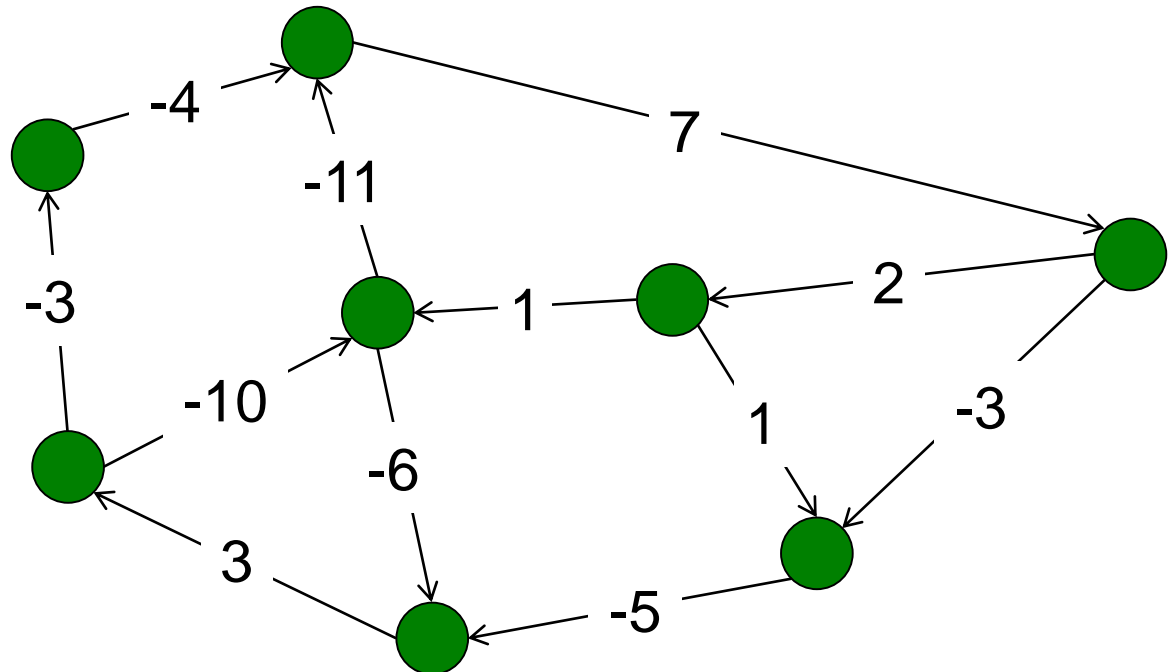
- Graph  $G = (V, E)$
- Edge weights  $w$  = prizes on each edge
- Limit  $k$ : only cross at most  $k$  edges



# Lazy Prize Collecting

Example:

- $k = 1 \rightarrow 7$
- $k = 2 \rightarrow 9$
- $k = 3 \rightarrow 10$
- ...
- $k = 71 \rightarrow 17$

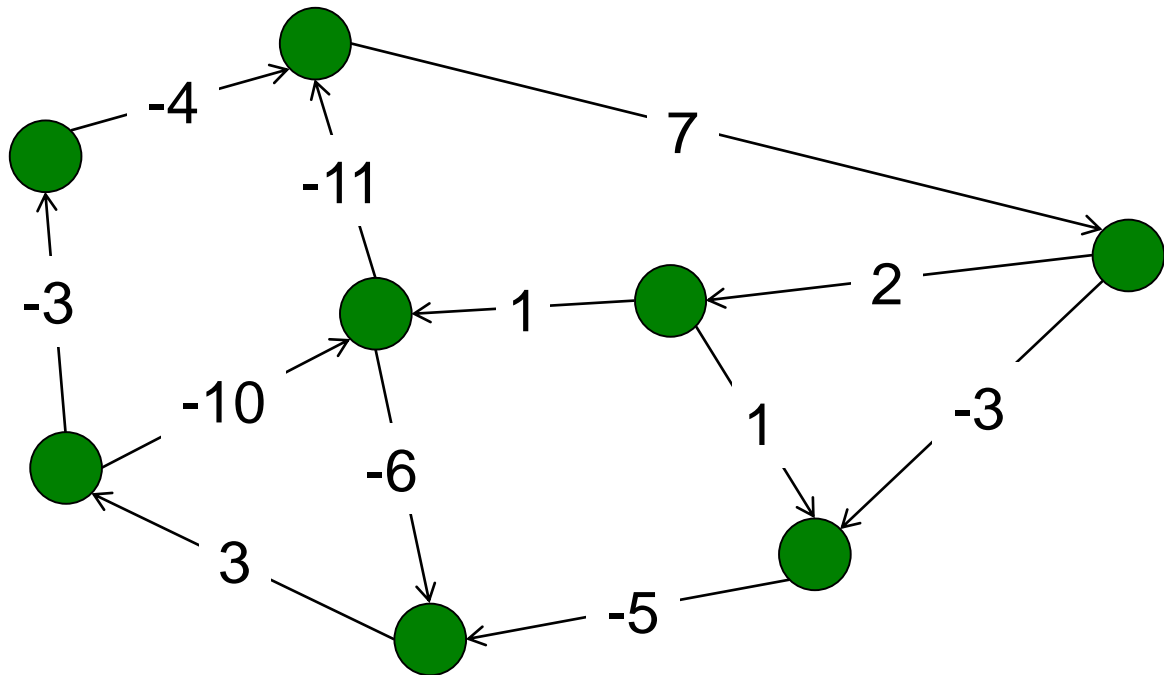


# Lazy Prize Collecting

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Note: Not a shortest path problem

- Not a shortest path problem! Longest path...
- Negative weight cycles.
- Positive weight cycles.

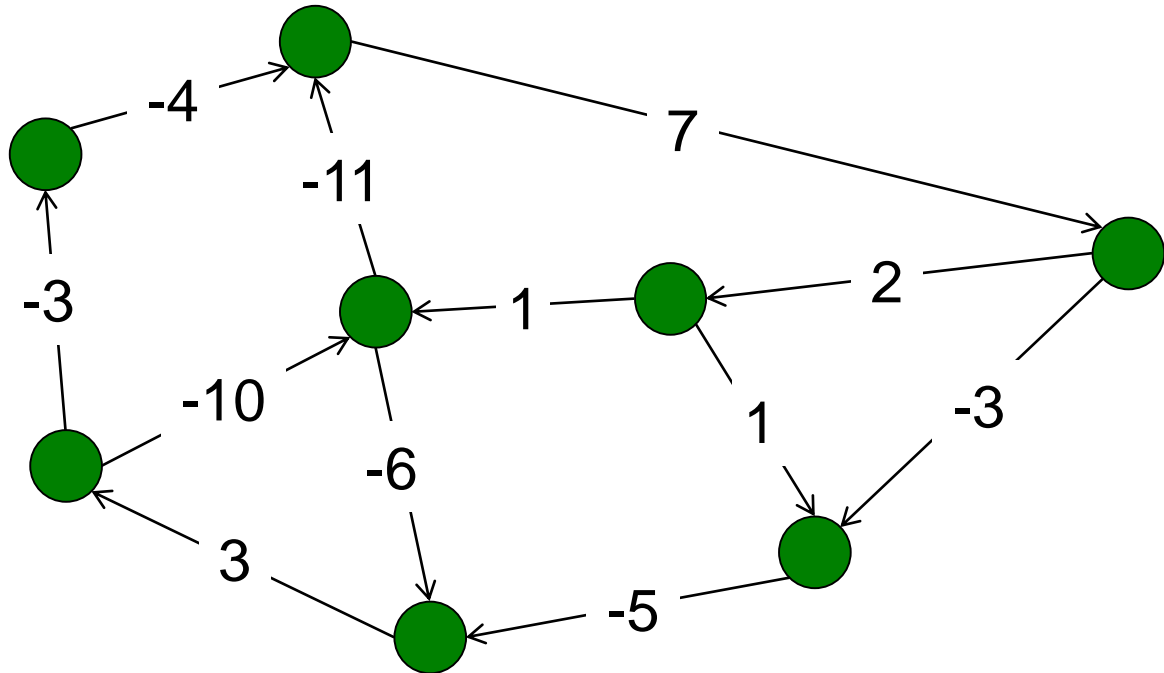


# Lazy Prize Collecting

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Idea 1:

- Transform  $G$  into a DAG



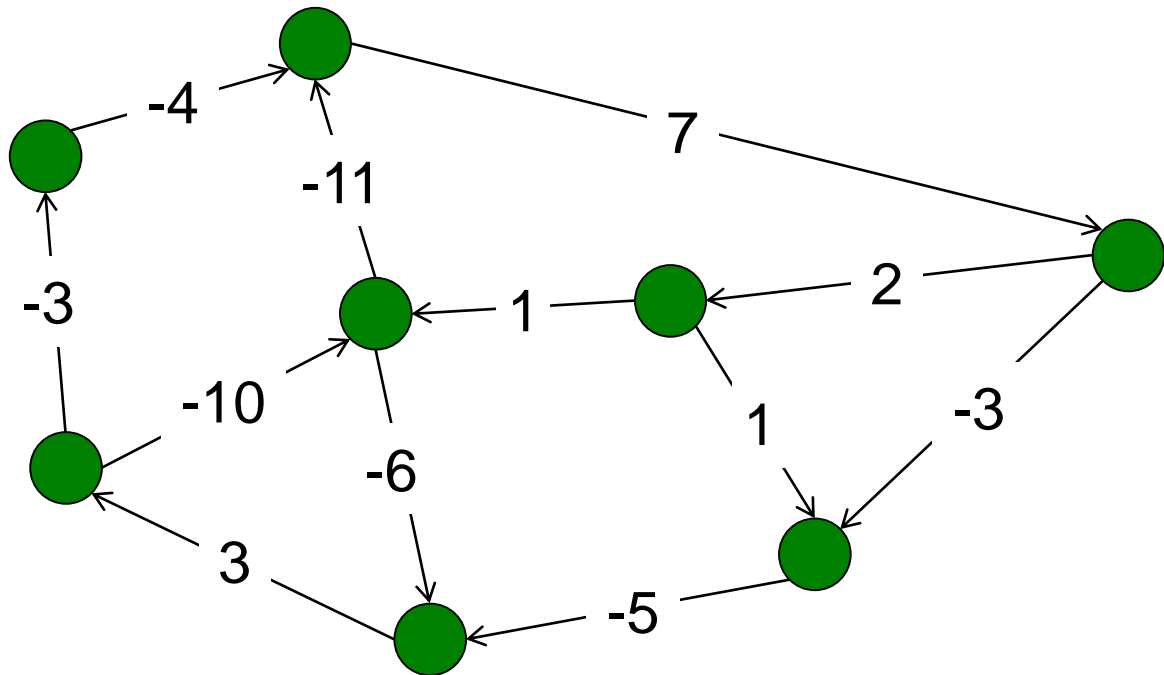


# Lazy Prize Collecting

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Idea 1:

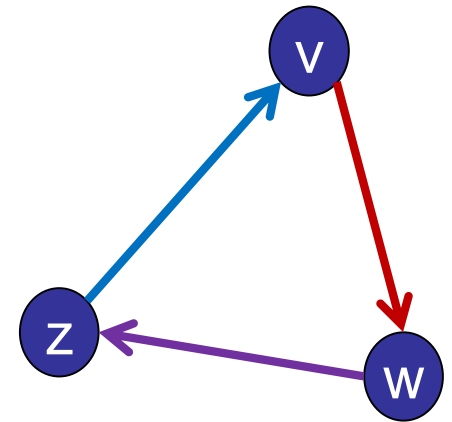
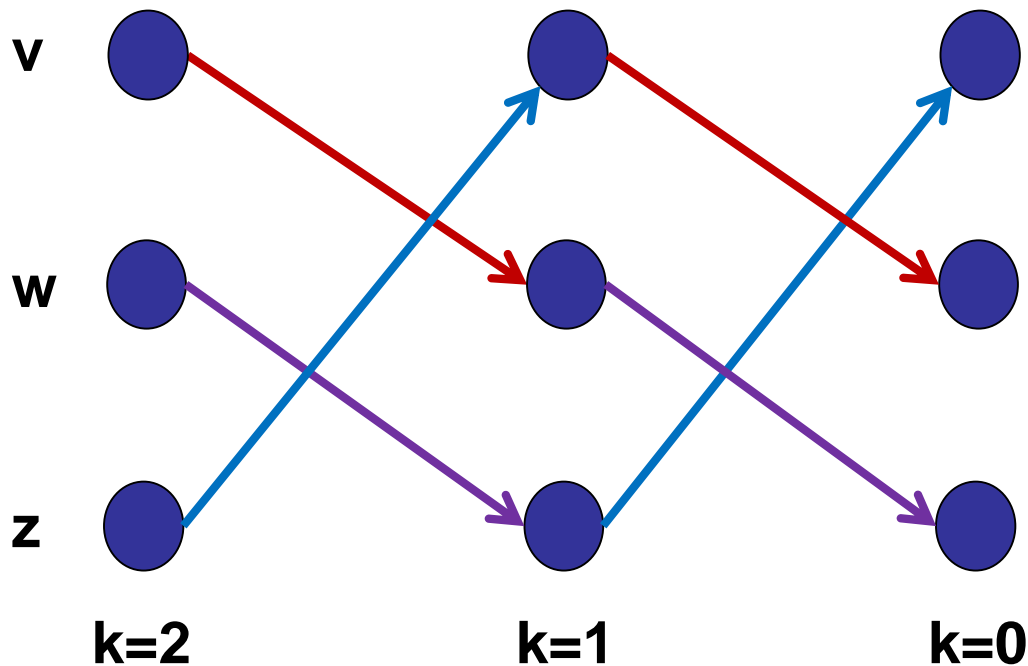
- Transform  $G$  into a DAG
- Make **k** copies of every node:  $(v,1), (v,2), (v,3), \dots$



# Lazy Prize Collecting

Idea 1:

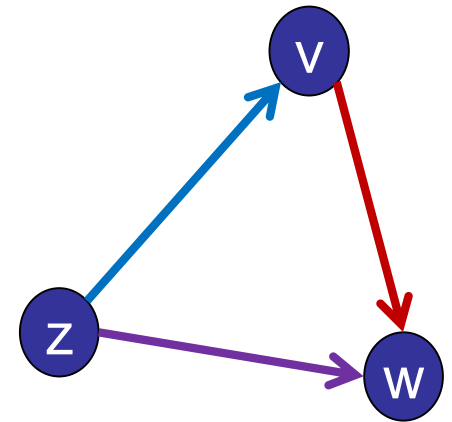
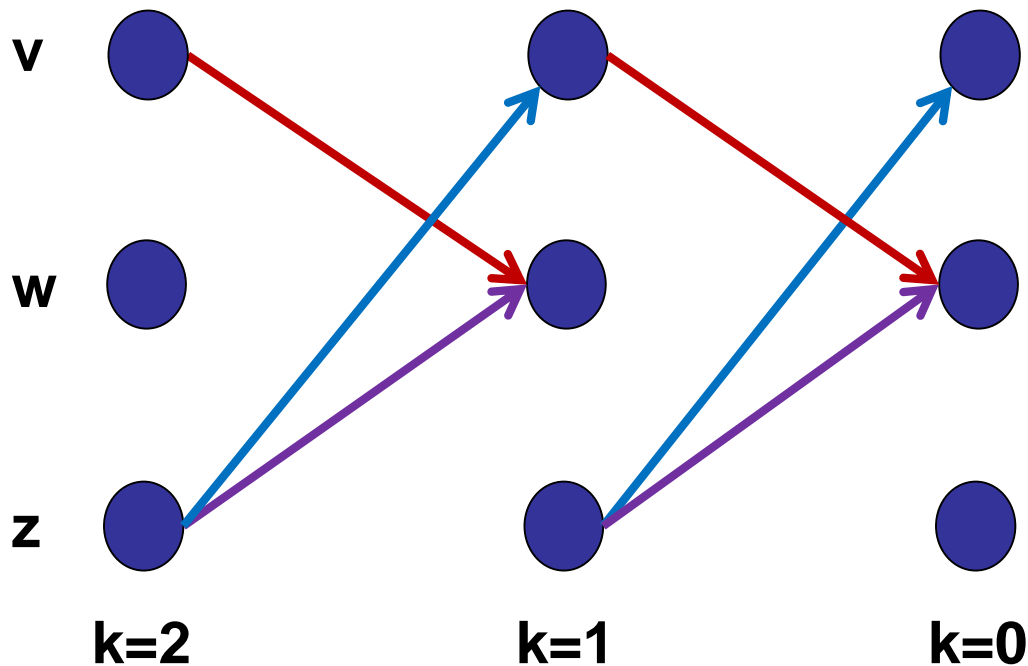
- Transform  $G$  into a DAG
- Make  **$k$**  copies of every node:  $(v,1), (v,2), (v,3), \dots$



# Lazy Prize Collecting

Idea 1:

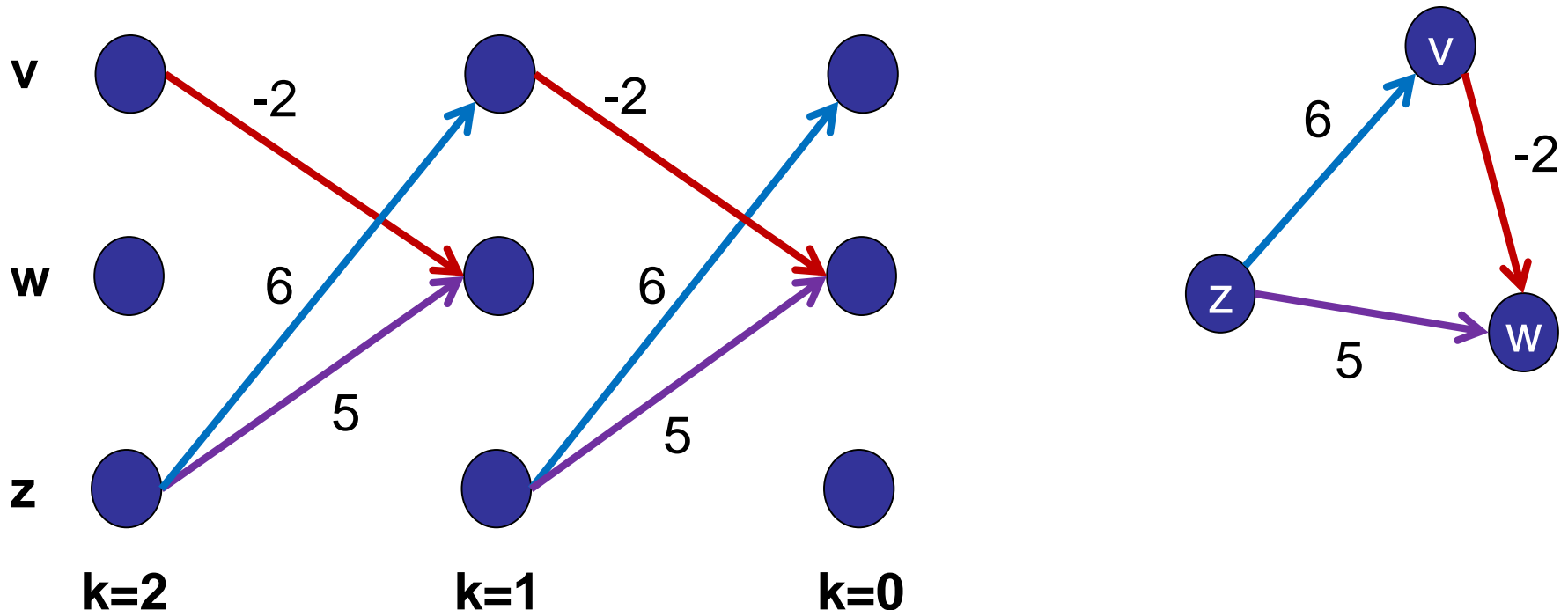
- Transform  $G$  into a DAG
- Make  **$k$**  copies of every node:  $(v,1), (v,2), (v,3), \dots$



# Lazy Prize Collecting

## Idea 1:

- Transform  $G$  into a DAG
- Make  **$k$**  copies of every node:  $(v,1), (v,2), (v,3), \dots$
- Solve prize collecting via DAG\_SSSP (longest path)

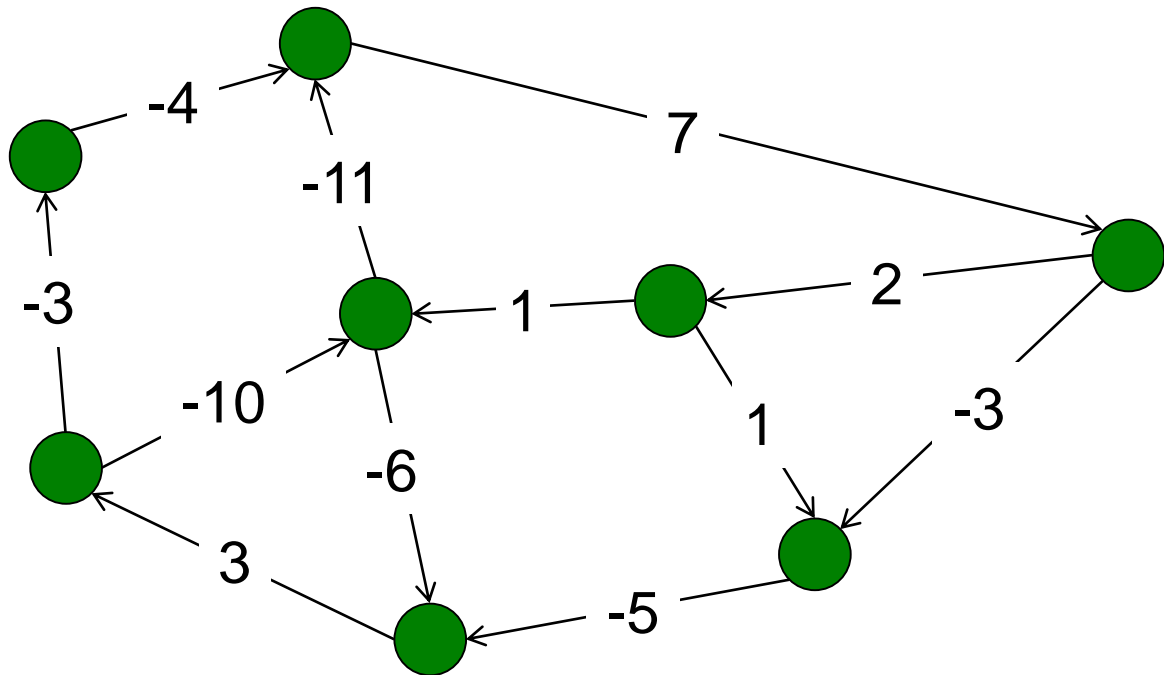


# Lazy Prize Collecting

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## Idea 1:

- Transform  $G$  into a DAG
- Make  $k$  copies of every node:  $(v,1), (v,2), (v,3), \dots$
- Solve longest-path problem for each source.



What is the running time of Idea 1?

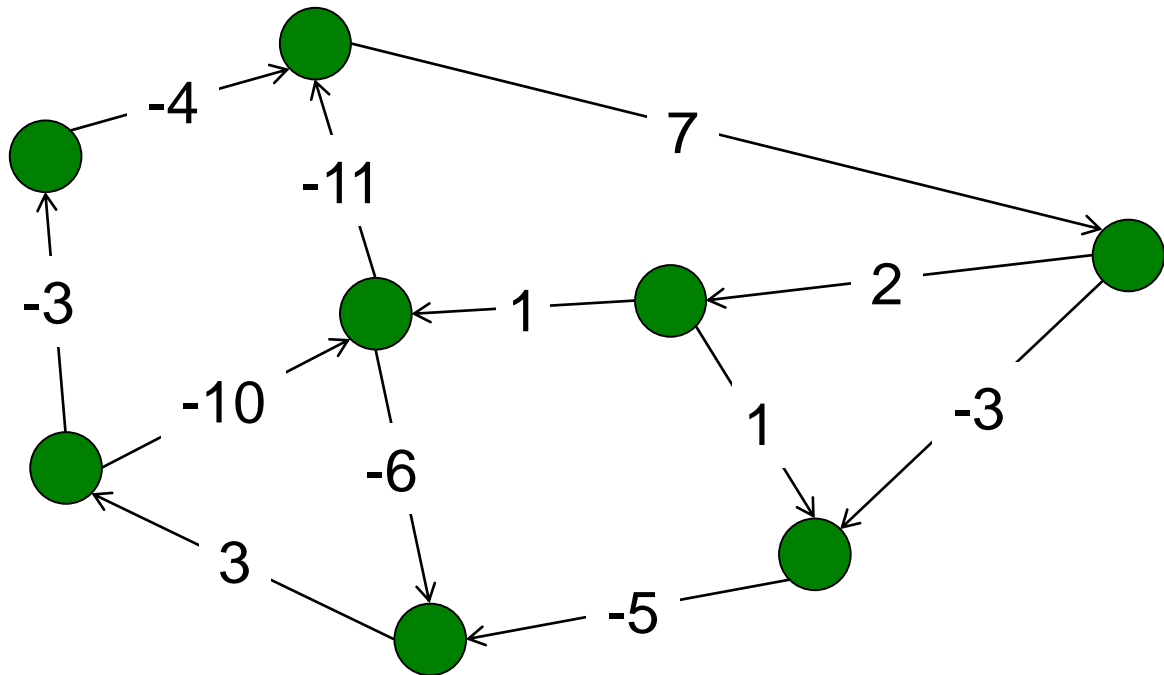
1.  $O(E)$
2.  $O(VE)$
- ✓ 3.  $O(kE)$
- ✓ 4.  $O(kVE)$
5.  $O(kV^2E)$
6. None of the above

# Lazy Prize Collecting

## Running Time:

- Transformed graph:  $kV$  nodes,  $kE$  edges
- Topo-sort / Longest path:  $O(kV + kE)$
- Once per source: repeat  $V$  times  $\rightarrow O(kVE)$ ?

Whenever you transform a graph, do NOT forget to recompute the number of nodes and edges in the new graph.

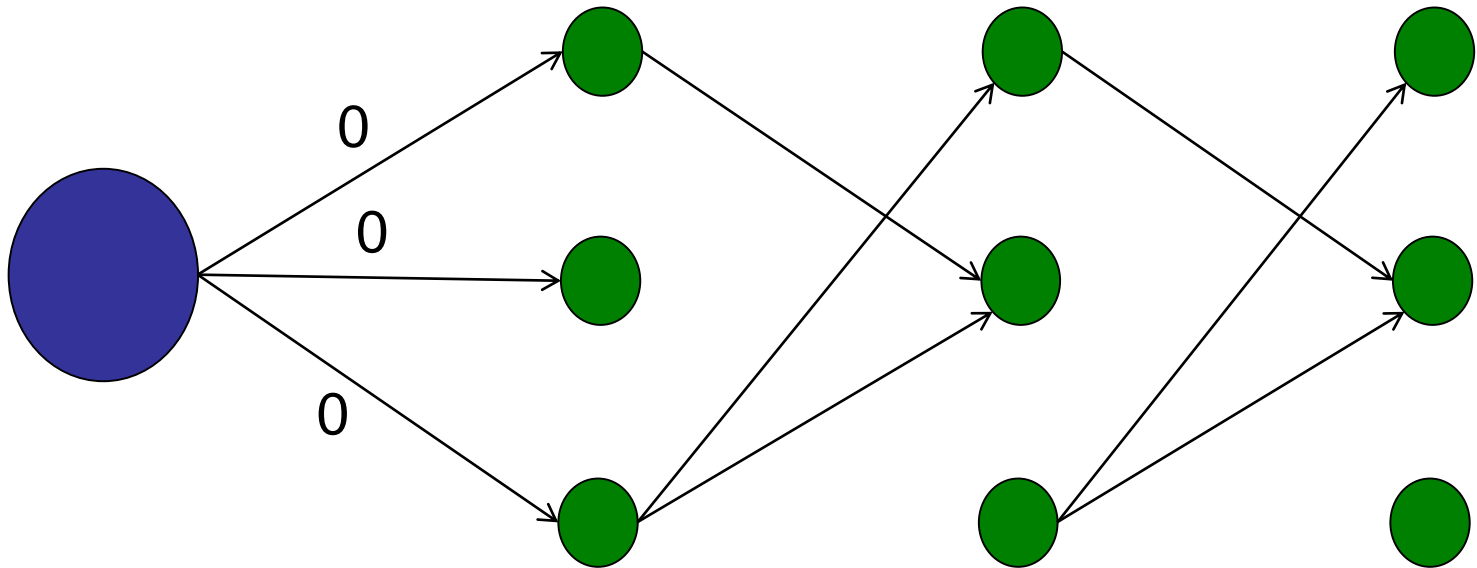


# Lazy Prize Collecting

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## Running Time:

- Transformed graph:  $kV$  nodes,  $kE$  edges
- Topo-sort / Longest path:  $O(kV + kE)$
- Create super-source....



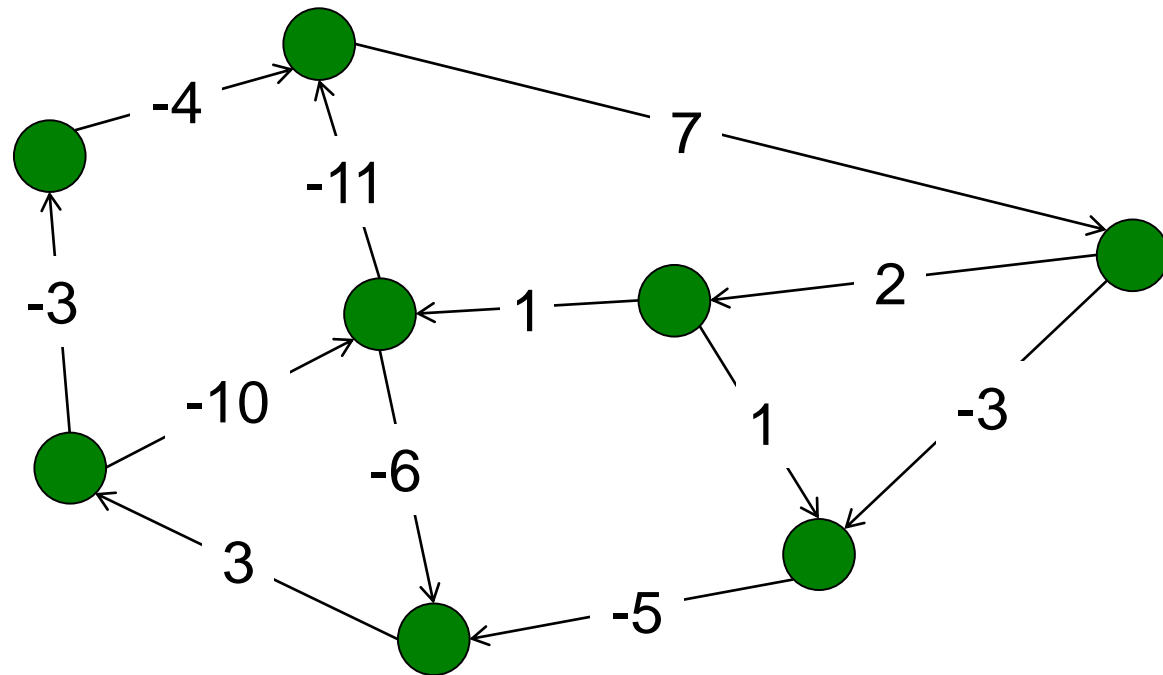


# Lazy Prize Collecting

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## Idea 2: Dynamic Programming

If you know the optimal solution for  $(k-1)$ , then it is easy to compute optimal solution for  $k$ .



# Dynamic Programming Recipe

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Step 1: Identify optimal substructure

E.g., solution for  $(k-1) \rightarrow$  solution for  $k$

Step 2: Define sub-problems

Step 3: Solve problem using sub-problems

Step 4: Write (pseudo)code.

# Lazy Prize Collecting

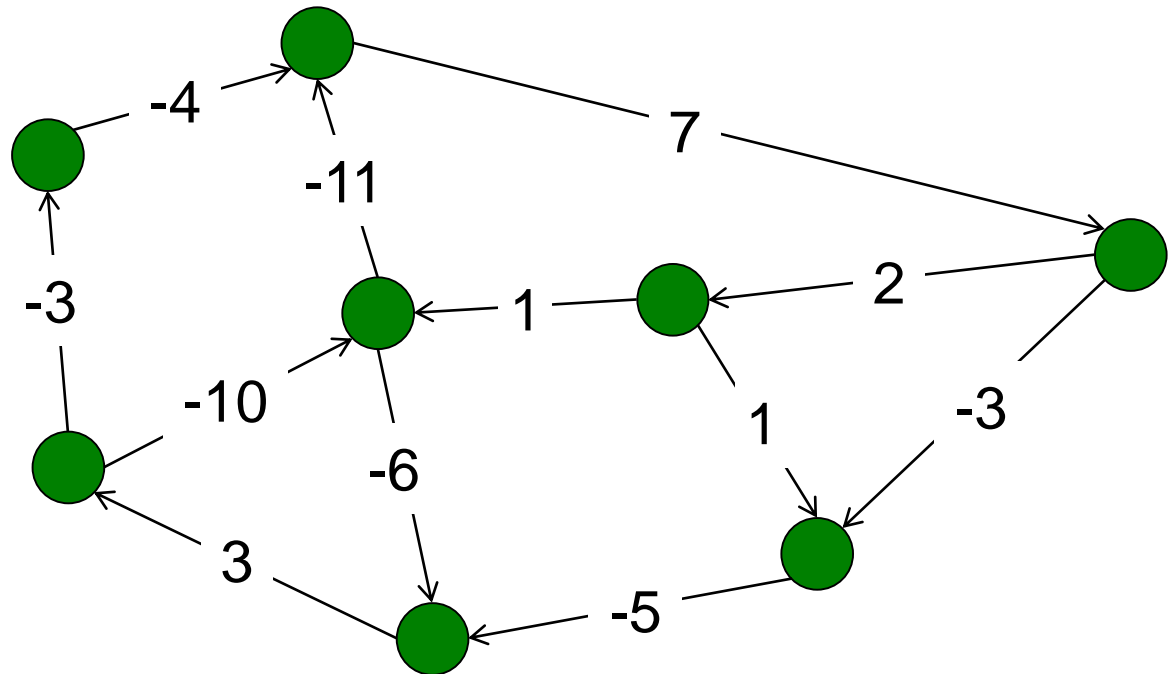
## Idea 2: Dynamic Programming

$P[v, k]$  = maximum prize that you can collect starting at  $v$  and taking *exactly*  $k$  steps.

Modified subproblem:

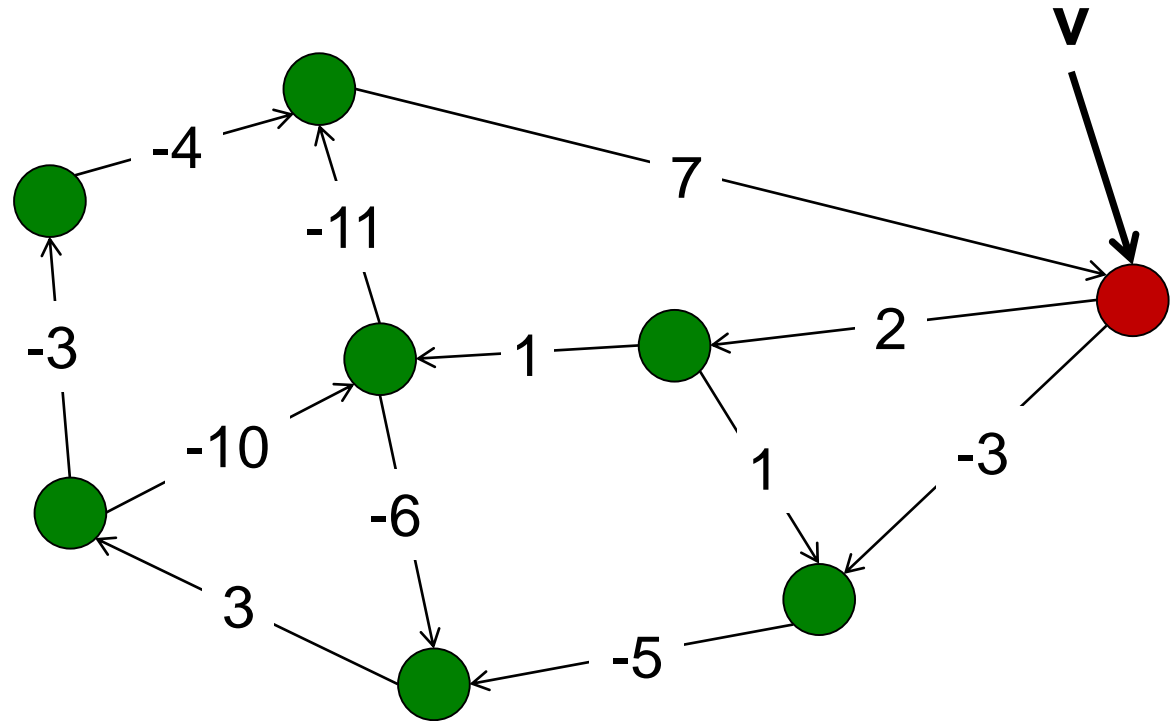
Leads to better  
optimal substructure.

Often, useful to solve  
modified problem.



$$P(v, 0) = ??$$

- ✓ 1. 0
- 2. 2
- 3. -3
- 4. 4
- 5. 5

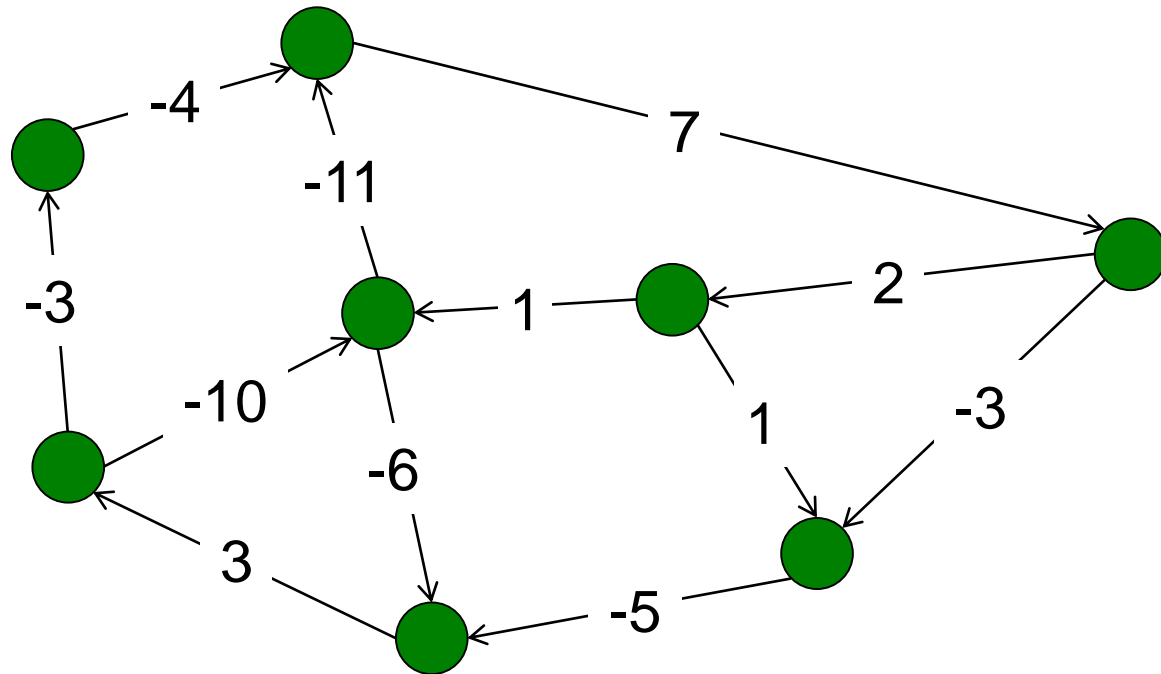


# Lazy Prize Collecting

## Idea 2: Dynamic Programming

$P[v, k]$  = maximum prize that you can collect starting at  $v$  and taking exactly  $k$  steps.

$$P[v, 0] = 0$$



# Lazy Prize Collecting

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## Idea 2: Dynamic Programming

$P[v, k]$  = maximum prize that you can collect starting at  $v$  and taking *exactly*  $k$  steps.

Solve  $P[v, k]$  using subproblems:

$$P[v, k] = \text{MAX} \{ \begin{array}{l} P[w_1, k-1] + w(v, w_1), \\ P[w_2, k-1] + w(v, w_2), \\ P[w_3, k-1] + w(v, w_3), \dots \end{array} \}$$

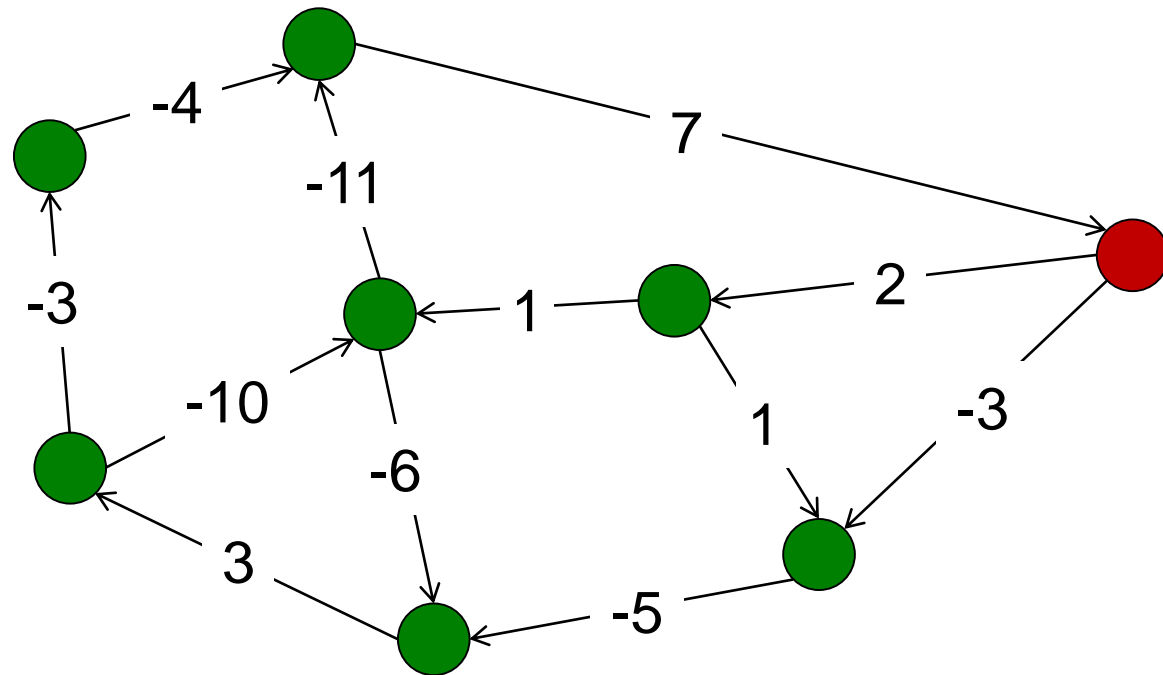
where  $v.\text{nbrList}() = \{w_1, w_2, w_3, \dots\}$

# Lazy Prize Collecting

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## Idea 2: Dynamic Programming

$$P[v, 1] = \max(0+2, 0-3) = 2$$



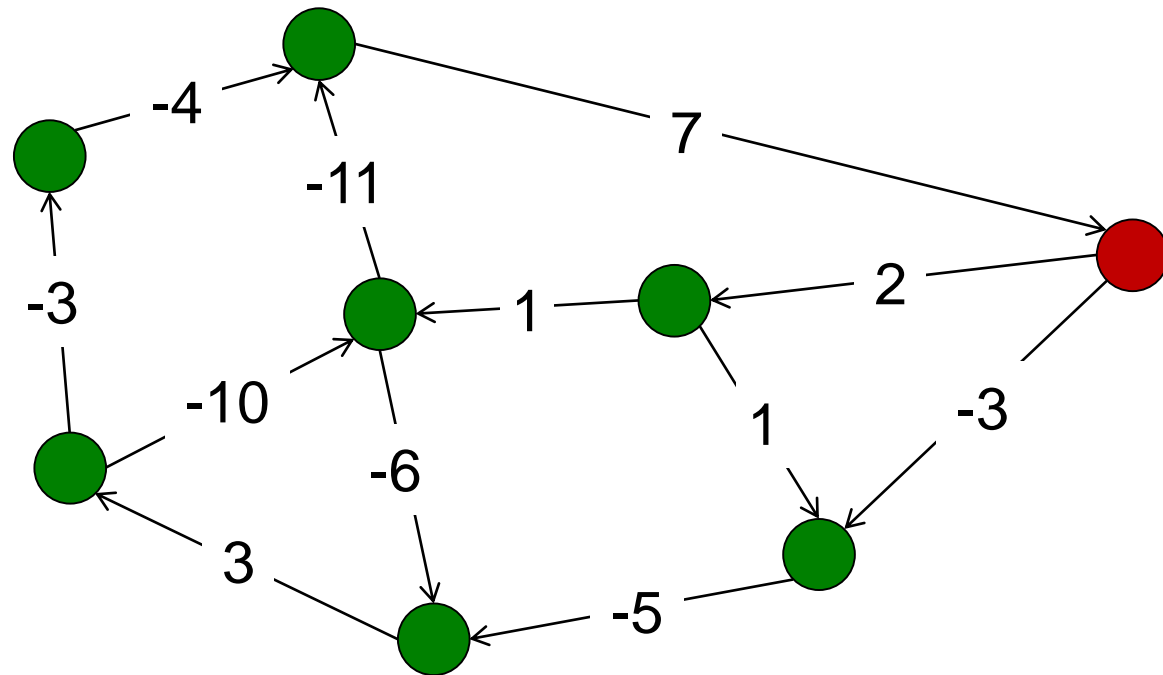
# Lazy Prize Collecting

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## Idea 2: Dynamic Programming

$$P[v, 1] = \max(0+2, 0-3) = 2$$

$$P[v, 2] = \max(1+2, -5-3) = 3$$





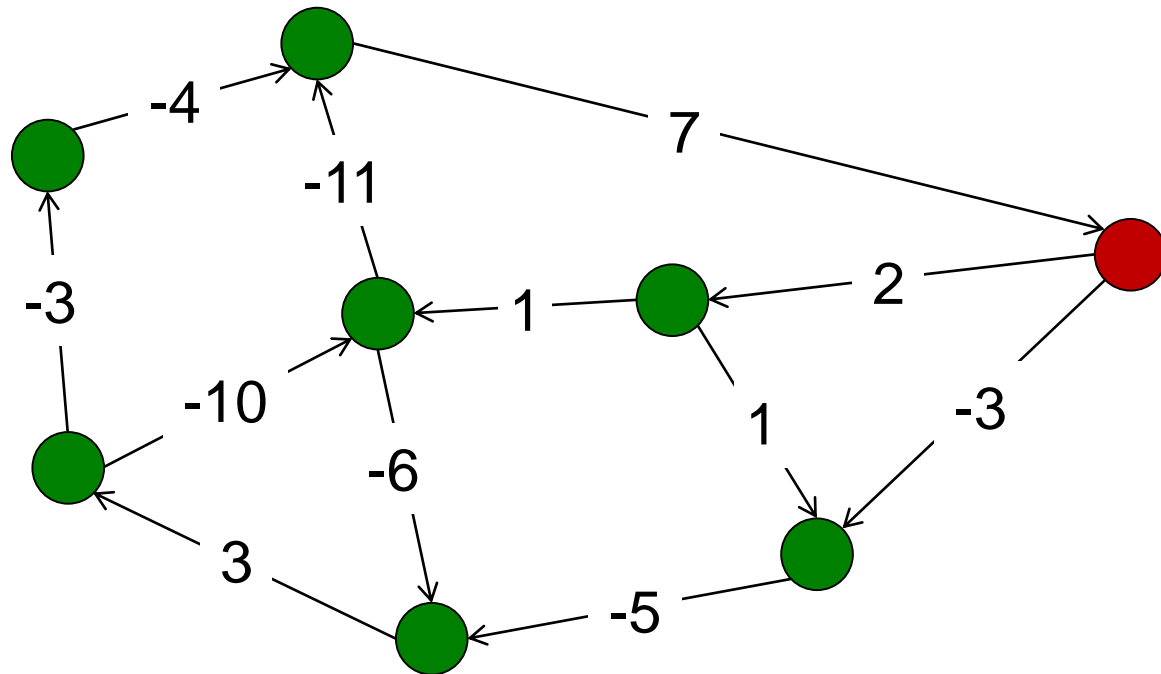
# Lazy Prize Collecting

## Idea 2: Dynamic Programming

$$P[v, 1] = \max(0+2, 0-3) = 2$$

$$P[v, 2] = \max(1+2, -5-3) = 3$$

$$P[v, 3] = \max(-4+2, -2-3) = -2$$

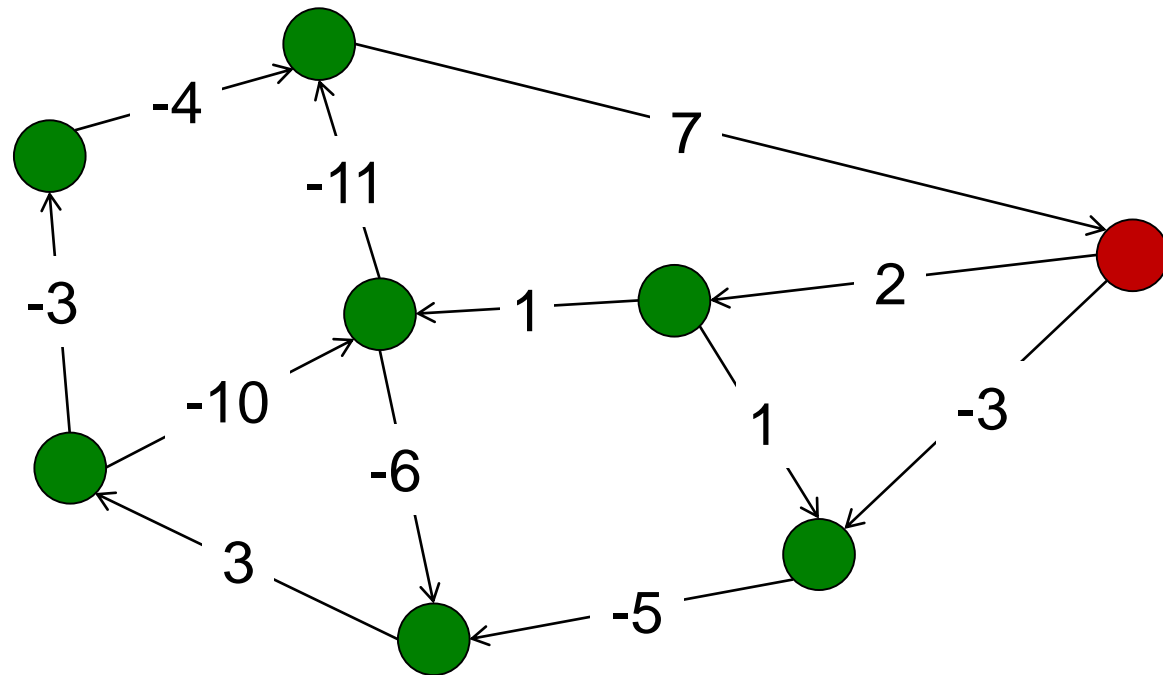


# Lazy Prize Collecting

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## Idea 2: Dynamic Programming

When is it worth crossing a negative edge?



# Dynamic Programming

Table view:  $P[k, v]$

[illegible]

```

int LazyPrizeCollecting(V, E, kMax) {

    int[][] P = new int[V.length][kMax+1]; // create memo table P
    for (int i=0; i<V.length; i++) // initialize P to zero
        for (int j=0; j<kMax+1; j++)
            P[i][j] = 0;

    for (int k=1; k<kMax+1; k++) { // Solve for every value of k
        for (int v = 0; v<V.length; v++) { // For every node...
            int max = -INFTY;
            // ...find max prize in next step
            for (int w : V[v].nbrList()) {
                if (P[w,k-1] + E[v,w] > max)
                    max = P[w,k-1] + E[v,w];
            }
            P[v, k] = max;
        }
    }
    return maxEntry(P); // returns largest entry in P
}

```

# Lazy Prize Collecting

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## Idea 2: Dynamic Programming

$P[v, k]$  = maximum prize that you can collect starting at  $v$  and taking exactly  $k$  steps.

### Total Cost:

Two factors:

- Number of subproblems:  $kV$
- Cost to solve each subproblem:  $|v.\text{nbrList}|$

Total:  $O(kV^2)$

# Dynamic Programming

Table view:  $P[k, v]$

[illegible]

# Lazy Prize Collecting

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## Idea 2: Dynamic Programming

$P[v, k]$  = maximum prize that you can collect starting at  $v$  and taking exactly  $k$  steps.

### Total Cost:

Two factors:

- Number of rows:  $k$
- Cost to solve all problems in a row:  $E$

Total:  $O(kE)$

# Roadmap

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## Today and Monday: Dynamic Programming

- DP Basics
- Longest Increasing Subsequence
- Prize Collecting
- Vertex Cover on a Tree
- All-Pairs-Shortest-Paths