

# MATH4045GU

IZ TECUM

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## 1. BASIC DEFINITIONS AND FIRST CONSEQUENCES

**Definition 1.1.** A Riemann Surface (RS) is a topological space  $X$  that is 2nd countable and Hausdorff equipped with a complex structure.

$$\mathcal{A} = \{\phi_\alpha : U_\alpha \rightarrow V_\alpha \subset \mathbb{C}\}.$$

*Remark 1.2.* Let  $X$  be a topological space such that  $A, B$  are complex structures so that you have  $(X, \mathcal{A})$  and  $(X, \mathcal{B})$ . If  $\mathcal{A} \cup \mathcal{B}$  happens to be a complex structure, then  $(X, \mathcal{A} \cup \mathcal{B})$  are the same RS (refer Zoren's lemma).

**Proposition 1.3.** All Riemann Surfaces are also orientable 2-dimensional real manifolds.

**Definition 1.4.** Let  $X$  be an  $n$ -dimensional real manifold that is orientable. If  $\forall \phi_{\alpha\beta} : \mathbb{R}^n \rightarrow \mathbb{R}^n$  one has

$$d\phi_{\alpha\beta} : \mathbb{R}^n \rightarrow \mathbb{R}^n.$$

**Corollary 1.5.** Every compact Riemann Surface is a compact orientable 2-dimensional real manifold which are classified by  $g \in \mathbb{Z}_{\geq 0}$ .

## 2. PROJECTIVE LINE AND BASIC EXAMPLES

**Example 2.1.** Consider  $\mathbb{P}_{\mathbb{C}}^1 = S^2$ . Recall  $\mathbb{P}_{\mathbb{R}}^n = \mathbb{R}^{n+1} \setminus \{(0, 0, \dots, 0)\} / \sim$ , where

$$(x_0, x_1, \dots, x_n) \sim (\lambda x_0, \lambda x_1, \dots, \lambda x_n).$$

Similarly,  $\mathbb{P}_{\mathbb{C}}^n = \mathbb{C}^{n+1} \setminus \{(0, 0, \dots, 0)\} / \sim$ , where

$$(z_0, z_1, \dots, z_n) \sim (\lambda z_0, \lambda z_1, \dots, \lambda z_n) \quad (\lambda \in \mathbb{C}_{\neq 0})$$

is called the complex projective space.

**Fact 2.2.**  $\mathbb{P}^1$  is homeomorphic to  $S^2$ .

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Date: January 2026.

*Proof.* For a complex structure on  $\mathbb{P}_{\mathbb{C}}^1 = \{[z, w] : z, w \in \mathbb{C}, (z, w) \neq (0, 0)\}$ , set

$$U_1 = \mathbb{P}_{\mathbb{C}}^1 \setminus [0, 1], \quad \phi_1 : U_1 \rightarrow \mathbb{C}, \quad [z, w] \mapsto \frac{w}{z}.$$

(And similarly  $U_2 = \mathbb{P}_{\mathbb{C}}^1 \setminus [1, 0]$  with  $\phi_2([z, w]) = \frac{z}{w}$ .) We claim that  $\phi_1, \phi_2$  are compatible, i.e.  $\phi_{21}$  is biholomorphic. Observe,

$$\phi_{21} : \mathbb{C} \setminus \{0\} \rightarrow \mathbb{C} \setminus \{0\}, \quad t \mapsto [1, t] \mapsto \frac{1}{t},$$

so  $\phi(t) = \frac{1}{t}$  is a biholomorphic map.  $\square$

**Example 2.3.** Fix  $\omega_1, \omega_2 \in \mathbb{C}$  that are  $\mathbb{R}$ -linearly independent. Set

$$L = \mathbb{Z}\{\omega_1, \omega_2\} = \{n_1\omega_1 + n_2\omega_2 : n_1, n_2 \in \mathbb{Z}\}.$$

Check  $L \subset \mathbb{C}$ .

**Example 2.4.** Consider  $X = \mathbb{C}$  and  $X = \{z \in \mathbb{C} : |z| < 1\}$  are examples of non-compact Riemann Surfaces.

### 3. AFFINE PLANE CURVES AND THE IMPLICIT FUNCTION THEOREM

**Definition 3.1.** An affine plane curve is the zero set of a single polynomial, say  $f \in \mathbb{C}[z, w]$ . Formally,

$$X = \{(z, w) \in \mathbb{C}^2 : f(z, w) = 0\} \subset \mathbb{C}^2.$$

**Theorem 3.2** (Implicit function). *Consider  $\rho_0 = (z_0, w_0) \in X = \{f(z, w) = 0\}$ . Assume  $\frac{\partial f}{\partial w}(\rho_0) \neq 0$ . Then there exists  $U \subset X$  open neighborhood of  $\rho_0$ , there also exists  $V \subset \mathbb{C}$  such that  $g : V \rightarrow \mathbb{C}$  is a holomorphic function such that*

$$U = \{(t, g(t)) \in \mathbb{C}^2 : t \in V\}.$$

**Definition 3.3.** An affine plane curve  $X = \{f(z, w) = 0\}$  is smooth at  $p \in X$  if

$$\left( \frac{\partial f}{\partial z}(p), \frac{\partial f}{\partial w}(p) \right) \neq (0, 0).$$

If  $X$  is smooth  $\forall p \in X$ , then we say that  $X$  is a smooth affine curve.

### 4. SMOOTH AFFINE PLANE CURVES ARE RIEMANN SURFACES

**Theorem 4.1.** *Every smooth affine plane curve is necessarily a non-compact Riemann Surface.*

*Proof.* We already have a topology on  $X \subset \mathbb{C}^2$ . For each  $p \in X$ , either  $\frac{\partial f}{\partial z}(p) \neq 0$  or  $\frac{\partial f}{\partial w}(p) \neq 0$ . Assume the latter.

Using Definition 3.2, there exists an open neighborhood  $U_p \ni p$ , an open set  $V_p \subset \mathbb{C}$ , and a holomorphic map  $g_p : V_p \rightarrow \mathbb{C}$  such that

$$U_p = \{(t, g_p(t)) \in \mathbb{C}^2 : t \in V_p\}.$$

Define the chart

$$\phi_p : U_p \rightarrow V_p, \quad (t, g_p(t)) \mapsto t.$$

This defines local charts  $\{\phi_p : U_p \rightarrow V_p\}$  around each point where  $\partial f / \partial w \neq 0$ . Similarly, whenever  $\frac{\partial f}{\partial z}(p) \neq 0$ , we can instead solve for  $z = h_p(w)$  and define

$$\psi_p : U_p \rightarrow W_p, \quad (h_p(s), s) \mapsto s,$$

for suitable open  $W_p \subset \mathbb{C}$ .

It remains to check that on overlaps the transition maps are biholomorphic. Take charts centered at  $p$  and  $q$  and consider  $U_p \cap U_q \neq \emptyset$ . There are three cases.

**Case 1.**  $\frac{\partial f}{\partial w}(p) \neq 0$  and  $\frac{\partial f}{\partial w}(q) \neq 0$ . Then on  $U_p \cap U_q$  both charts are of the form

$$\phi_p(z, w) = z, \quad \phi_q(z, w) = z$$

(because each chart uses the  $z$ -coordinate as parameter). Hence the transition map is

$$\phi_q \circ \phi_p^{-1} : \phi_p(U_p \cap U_q) \rightarrow \phi_q(U_p \cap U_q), \quad t \mapsto t,$$

which is holomorphic with holomorphic inverse.

**Case 2.**  $\frac{\partial f}{\partial z}(p) \neq 0$  and  $\frac{\partial f}{\partial z}(q) \neq 0$ . Then on  $U_p \cap U_q$  both charts use the  $w$ -coordinate as parameter:

$$\psi_p(z, w) = w, \quad \psi_q(z, w) = w.$$

Thus the transition map is again the identity

$$\psi_q \circ \psi_p^{-1}(s) = s,$$

hence biholomorphic.

**Case 3.**  $\frac{\partial f}{\partial w}(p) \neq 0$  and  $\frac{\partial f}{\partial z}(q) \neq 0$  (or vice versa). Then  $\phi_p$  uses  $z$  as parameter and  $\psi_q$  uses  $w$  as parameter. On  $U_p \cap U_q$  we may write

$$U_p = \{(t, g_p(t)) : t \in V_p\}, \quad U_q = \{(h_q(s), s) : s \in W_q\},$$

with  $g_p$  holomorphic on  $V_p$  and  $h_q$  holomorphic on  $W_q$ . On the overlap, points satisfy simultaneously  $w = g_p(z)$  and  $z = h_q(w)$ , so

$$h_q(g_p(t)) = t, \quad g_p(h_q(s)) = s$$

whenever the expressions are defined on the overlap domains. Therefore the transition map is

$$\psi_q \circ \phi_p^{-1} : \phi_p(U_p \cap U_q) \rightarrow \psi_q(U_p \cap U_q), \quad t \mapsto \psi_q(t, g_p(t)) = g_p(t),$$

which is holomorphic. Its inverse is

$$\phi_p \circ \psi_q^{-1} : \psi_q(U_p \cap U_q) \rightarrow \phi_p(U_p \cap U_q), \quad s \mapsto \phi_p(h_q(s), s) = h_q(s),$$

also holomorphic. Hence the transition maps are biholomorphic.

Thus the atlas formed by all such local charts is compatible and defines a complex structure on  $X$ . Since  $X$  is a proper closed subset of  $\mathbb{C}^2$  given by a single holomorphic equation, it is non-compact in general (and in particular smooth affine curves are not compact in the induced topology). Therefore  $X$  is a non-compact Riemann surface.  $\square$

## 5. PROJECTIVE SPACE, PLANE CURVES, AND SMOOTHNESS

**Example 5.1** (Singularities of an affine plane curve). Solve the following system in  $\mathbb{C}^2$ :

$$y^2 = x^2(x + 1), \quad f(x, y) = y^2 - x^2(x + 1).$$

*Proof.* The curve is the zero set  $V(f) \subset \mathbb{C}^2$  with

$$f(x, y) = y^2 - x^2(x + 1) = y^2 - x^3 - x^2.$$

A point  $(x_0, y_0) \in V(f)$  is singular if and only if  $f_x(x_0, y_0) = f_y(x_0, y_0) = 0$ .

Compute partial derivatives:

$$f_x(x, y) = -(3x^2 + 2x), \quad f_y(x, y) = 2y.$$

Thus  $f_y = 0$  forces  $y = 0$ . Then  $f_x = 0$  gives

$$3x^2 + 2x = x(3x + 2) = 0 \implies x = 0 \text{ or } x = -\frac{2}{3}.$$

Now impose the curve equation  $f(x, 0) = 0$ :

$$f(0, 0) = 0 \implies (0, 0) \in V(f).$$

But

$$f\left(-\frac{2}{3}, 0\right) = -\left(-\frac{2}{3}\right)^2 \left(-\frac{2}{3} + 1\right) = -\frac{4}{9} \cdot \frac{1}{3} = -\frac{4}{27} \neq 0,$$

so  $(-\frac{2}{3}, 0) \notin V(f)$ .

Therefore the unique singular point is  $(0, 0)$ .  $\square$

**Proposition 5.2** (Singular points of a plane affine curve). *Let  $f \in \mathbb{C}[x, y]$  and let  $C = V(f) \subset \mathbb{C}^2$ . A point  $p = (x_0, y_0) \in C$  is singular if and only if*

$$\frac{\partial f}{\partial x}(p) = \frac{\partial f}{\partial y}(p) = 0.$$

*Proof.* This is the Jacobian criterion in the hypersurface case:  $C$  is smooth at  $p$  iff the differential  $df_p \neq 0$ , equivalently not both partials vanish at  $p$ .  $\square$

**Example 5.3** (Complex structure on projective space). Is projective space  $\mathbb{P}^n$  a complex manifold?

*Proof.* Let  $\mathbb{P}^n = (\mathbb{C}^{n+1} \setminus \{0\})/\mathbb{C}^*$  with homogeneous coordinates  $[z_0 : \dots : z_n]$ .

For each  $i \in \{0, \dots, n\}$  define the standard chart

$$U_i = \{[z_0 : \dots : z_n] \in \mathbb{P}^n \mid z_i \neq 0\}.$$

Define a map  $\phi_i : U_i \rightarrow \mathbb{C}^n$  by

$$\phi_i([z_0 : \dots : z_n]) = \left( \frac{z_0}{z_i}, \dots, \widehat{\frac{z_i}{z_i}}, \dots, \frac{z_n}{z_i} \right),$$

where the hat means we omit the  $i$ th coordinate, so  $\phi_i$  has  $n$  entries.

This map is well-defined on projective classes: if we replace  $(z_0, \dots, z_n)$  by  $\lambda(z_0, \dots, z_n)$  with  $\lambda \in \mathbb{C}^*$ , then each ratio  $\frac{\lambda z_j}{\lambda z_i} = \frac{z_j}{z_i}$  is unchanged.

The inverse map  $\psi_i : \mathbb{C}^n \rightarrow U_i$  is given by inserting 1 in the  $i$ th slot:

$$\psi_i(w_1, \dots, w_n) = [w_0 : \dots : w_{i-1} : 1 : w_{i+1} : \dots : w_n],$$

where the  $w$ 's are placed in the non- $i$  positions in the obvious order. Hence  $\phi_i$  is a homeomorphism onto its image.

It remains to check the transition maps are holomorphic. On overlaps  $U_i \cap U_j$  we have  $z_i \neq 0$  and  $z_j \neq 0$ , and

$$\phi_j \circ \psi_i : \mathbb{C}^n \supset \phi_i(U_i \cap U_j) \rightarrow \mathbb{C}^n$$

is given by rational functions in the coordinates with denominator equal to the  $j$ th coordinate in the  $i$ -chart. Concretely, if  $\phi_i([z]) = (w_0, \dots, \widehat{w_i}, \dots, w_n)$  with  $w_k = z_k/z_i$ , then on  $U_i \cap U_j$  we have  $w_j = z_j/z_i \neq 0$  and

$$\phi_j([z]) = \left( \frac{z_0}{z_j}, \dots, \widehat{\frac{z_j}{z_j}}, \dots, \frac{z_n}{z_j} \right) = \left( \frac{w_0}{w_j}, \dots, \widehat{\frac{w_j}{w_j}}, \dots, \frac{w_n}{w_j} \right),$$

which is holomorphic on the domain  $w_j \neq 0$ .

Therefore these charts define a complex manifold structure on  $\mathbb{P}^n$ .  $\square$

**Definition 5.4** (Homogeneous polynomial). A polynomial  $F \in \mathbb{C}[x_0, \dots, x_n]$  is *homogeneous of degree  $d$*  if

$$F(\lambda x_0, \dots, \lambda x_n) = \lambda^d F(x_0, \dots, x_n) \quad \text{for all } \lambda \in \mathbb{C}.$$

Equivalently, every monomial appearing in  $F$  has total degree  $d$ .

**Definition 5.5** (Homogenization). Given  $f \in \mathbb{C}[x_1, \dots, x_n]$  of total degree  $\leq d$ , its *homogenization* of degree  $d$  is

$$F(x_0, x_1, \dots, x_n) := x_0^d f\left(\frac{x_1}{x_0}, \dots, \frac{x_n}{x_0}\right) \in \mathbb{C}[x_0, \dots, x_n],$$

which is homogeneous of degree  $d$  and satisfies  $F(1, x_1, \dots, x_n) = f(x_1, \dots, x_n)$ .

**Example 5.6** (Homogenizing a plane curve). In the affine chart  $x_0 = 1$ , the curve  $x_2 - x_1^3 = 0$  in  $\mathbb{C}_{(x_1, x_2)}^2$  homogenizes to

$$F(x_0, x_1, x_2) = x_0^2 x_2 - x_1^3,$$

so the associated projective curve in  $\mathbb{P}_{[x_0:x_1:x_2]}^2$  is  $V(F)$ .

**Definition 5.7** ((Smooth) projective plane curve). A *projective plane curve* is the zero set of a homogeneous polynomial  $F \in \mathbb{C}[x_0, x_1, x_2]$  in  $\mathbb{P}^2$ :

$$X = \{[x_0 : x_1 : x_2] \in \mathbb{P}^2 \mid F(x_0, x_1, x_2) = 0\} \subset \mathbb{P}^2.$$

It is *smooth* if it has no singular points (equivalently, if it is smooth in each affine chart  $U_i = \{x_i \neq 0\}$ ).

**Lemma 1** (Euler's identity). If  $F \in \mathbb{C}[x_0, x_1, x_2]$  is homogeneous of degree  $d$ , then

$$x_0 \frac{\partial F}{\partial x_0} + x_1 \frac{\partial F}{\partial x_1} + x_2 \frac{\partial F}{\partial x_2} = dF.$$

*Proof.* Write  $F$  as a sum of monomials  $m = x_0^{a_0} x_1^{a_1} x_2^{a_2}$  with  $a_0 + a_1 + a_2 = d$ . For such a monomial,

$$x_0 \frac{\partial m}{\partial x_0} + x_1 \frac{\partial m}{\partial x_1} + x_2 \frac{\partial m}{\partial x_2} = (a_0 + a_1 + a_2)m = dm.$$

Sum over monomials.  $\square$

**Theorem 5.8** (Projective Jacobian criterion for plane curves). Let  $X = V(F) \subset \mathbb{P}^2$  be a projective plane curve with  $F \in \mathbb{C}[x_0, x_1, x_2]$  homogeneous. A point  $p \in X$  is singular if and only if

$$\frac{\partial F}{\partial x_0}(p) = \frac{\partial F}{\partial x_1}(p) = \frac{\partial F}{\partial x_2}(p) = 0.$$

*Proof.* Work in an affine chart, say  $U_0 = \{x_0 \neq 0\}$  with coordinates

$$u = \frac{x_1}{x_0}, \quad v = \frac{x_2}{x_0}.$$

Let  $d = \deg(F)$  and define the dehomogenization

$$f(u, v) := F(1, u, v).$$

Then  $X \cap U_0$  is identified with the affine curve  $V(f) \subset \mathbb{C}_{(u,v)}^2$ .

By Proposition 5.2, a point  $p \in X \cap U_0$  is singular iff  $f_u(p) = f_v(p) = 0$ . We relate these to the homogeneous derivatives. Using  $F(x_0, x_1, x_2) = x_0^d f(x_1/x_0, x_2/x_0)$ , a direct chain rule computation yields, at points of  $U_0$ ,

$$\frac{\partial F}{\partial x_1} = x_0^{d-1} f_u, \quad \frac{\partial F}{\partial x_2} = x_0^{d-1} f_v,$$

so  $f_u = f_v = 0$  is equivalent to  $\partial_{x_1} F = \partial_{x_2} F = 0$  on  $U_0$ .

Finally, on  $X$  we have  $F = 0$ , so Euler's identity (Lemma 1) gives

$$x_0 \frac{\partial F}{\partial x_0} + x_1 \frac{\partial F}{\partial x_1} + x_2 \frac{\partial F}{\partial x_2} = 0.$$

Thus, at any point with  $x_0 \neq 0$ , if  $\partial_{x_1} F = \partial_{x_2} F = 0$ , then automatically  $\partial_{x_0} F = 0$ . Hence, in  $U_0$ , singularity is equivalent to the vanishing of all three partials.

The same argument applies in the other charts  $U_1$  and  $U_2$ , so the criterion holds globally on  $\mathbb{P}^2$ .  $\square$

**Theorem 5.9** (Smooth projective plane curves are compact Riemann surfaces). Every smooth projective plane curve  $X \subset \mathbb{P}^2$  is a compact Riemann surface.

*Proof.* Since  $\mathbb{P}^2$  is a compact complex manifold (Example 5.3), any closed subset is compact in the subspace topology. A projective curve  $X = V(F)$  is closed, hence compact.

Smoothness means that for every point  $p \in X$  the Jacobian criterion (Theorem 5.8) guarantees a nonvanishing gradient, so  $X$  is a complex submanifold of codimension 1 in  $\mathbb{P}^2$  near each point. Therefore  $X$  is a complex 1-manifold, i.e. a Riemann surface. Combining with compactness gives a compact Riemann surface.

$$\therefore f|_U : U \rightarrow \mathbb{C} \text{ is a constant}$$

$\square$

**Remark 5.10.** Euler's identity (Lemma 1) is exactly why, on  $X$  inside an affine chart, it suffices to check only the “affine” partials: the remaining homogeneous derivative is forced by the others once  $F = 0$ .

**Example 5.11.** Choose  $F(x, y, z) = x^4 + y^4 + z^4$  to be a homogeneous  $X = (-z^4 = x^4 + y^4) \subset \mathbb{P}^2$  is a smooth polynomial. After evaluation, find that

$$\begin{aligned} F_x &= 4x^3 = F_y = F_z = 0 \\ \implies x = y = z &= 0 \implies [0, 0, 0] \in \mathbb{P}^2 \end{aligned}$$

**Example 5.12.** Consider the three-degree polynomial  $f(x, y) = y^2 - x(x+1)(x-1)$ , where  $f \in \mathbb{C}^2$ .

$$F(x, y, z) = y^2 z - x(x+z)(x-z)$$

After partitioning the above  $F$ ,

$$\begin{aligned} F_x &= -3x^2 + z^2 \\ F_y &= 2yz \\ F_z &= y^2 - 2xz \end{aligned}$$

Compute when  $z = 0$

$$\implies x = y = 0 \implies \emptyset$$

Compute when  $y = 0$

$$\begin{aligned} \implies xz &= 0 \implies 3x^2 = z^2 \\ \implies x = z &= 0 \implies \emptyset \end{aligned}$$

$\therefore$  smooth  $\implies$  compact riemann surface

## 6. MEROMORPHIC FUNCTIONS

*Remark 6.1.* For the purpose of notation we recognize  $X$  as a noncompact Riemann surface

**Definition 6.2** (Holomorphic function on a Riemann surface). Let  $X$  be a Riemann surface Let  $W \subset X$  be open Let  $f : W \rightarrow \mathbb{C}$  be a function We say that  $f$  is holomorphic at  $p \in W$  if there exists a chart  $\phi : U \rightarrow V$  with  $p \in U \subset W$  such that the map

$$f \circ \phi^{-1} : V \rightarrow \mathbb{C}$$

is holomorphic at the point  $\phi(p) \in V$  We say that  $f$  is holomorphic on  $W$  if it is holomorphic at every  $p \in W$

**Proposition 6.3** (Chart independence). Let  $X$  be a Riemann surface Let  $W \subset X$  be open Let  $f : W \rightarrow \mathbb{C}$  be a function Then the following are equivalent

- (1)  $f$  is holomorphic at  $p \in W$
- (2) For every chart  $\phi : U \rightarrow V$  with  $p \in U \subset W$  the map  $f \circ \phi^{-1} : V \rightarrow \mathbb{C}$  is holomorphic at  $\phi(p)$

*Proof.* Fix  $p \in W$

*Proof that (2) implies (1)* Assume (2) Choose any chart  $\phi : U \rightarrow V$  with  $p \in U \subset W$  Then  $f \circ \phi^{-1}$  is holomorphic at  $\phi(p)$  So by definition  $f$  is holomorphic at  $p$  This proves (1)

*Proof that (1) implies (2)* Assume (1) Then there exists a chart  $\phi_1 : U_1 \rightarrow V_1$  with  $p \in U_1 \subset W$  such that

$$f \circ \phi_1^{-1} : V_1 \rightarrow \mathbb{C}$$

is holomorphic at  $\phi_1(p)$

Let  $\phi_2 : U_2 \rightarrow V_2$  be any other chart with  $p \in U_2 \subset W$  Set

$$U_{12} = U_1 \cap U_2 \quad V_{12} = \phi_2(U_{12})$$

Then  $p \in U_{12}$  and  $V_{12}$  is open in  $\mathbb{C}$  On  $V_{12}$  we have the identity

$$(1.1) \quad f \circ \phi_2^{-1} = (f \circ \phi_1^{-1}) \circ (\phi_1 \circ \phi_2^{-1})$$

because for any  $z \in V_{12}$  we have  $\phi_2^{-1}(z) \in U_{12}$  so

$$(f \circ \phi_1^{-1})(\phi_1(\phi_2^{-1}(z))) = f(\phi_2^{-1}(z))$$

which is exactly (1.1)

The transition map

$$\phi_1 \circ \phi_2^{-1} : V_{12} \rightarrow \phi_1(U_{12})$$

is holomorphic because  $X$  is a Riemann surface. The map  $f \circ \phi_1^{-1}$  is holomorphic on a neighborhood of  $\phi_1(p)$  by assumption. Therefore the composition on the right side of (1.1) is holomorphic on a neighborhood of  $\phi_2(p)$ . Thus  $f \circ \phi_2^{-1}$  is holomorphic at  $\phi_2(p)$ . Since  $\phi_2$  was arbitrary this proves (2).  $\square$

**Example 6.4** (Holomorphicity of a chart). Let  $X$  be a Riemann surface. Let  $\phi : U \rightarrow V$  be a chart. Consider  $\phi$  as a function  $\phi : U \rightarrow \mathbb{C}$ . Then  $\phi$  is holomorphic on  $U$ .

Indeed take the chart  $\phi$  itself. Then

$$\phi \circ \phi^{-1} = \text{id}_V$$

which is holomorphic on  $V$ . So  $\phi$  is holomorphic by the definition.

**Example 6.5** (Restriction of a coordinate projection on an affine curve). Let  $X \subset \mathbb{C}^2$  be a one dimensional complex manifold realized as a smooth affine plane curve. Fix the map

$$\pi_x : X \rightarrow \mathbb{C} \quad \pi_x(x, y) = x$$

Then  $\pi_x$  is holomorphic on  $X$ .

*Proof.* Fix  $p \in X$ . Choose a chart  $\phi : U \rightarrow V$  for  $X$  near  $p$ . Then the map  $\phi^{-1} : V \rightarrow U \subset \mathbb{C}^2$  is holomorphic by definition of a complex chart. Write

$$\phi^{-1}(z) = (\alpha(z), \beta(z))$$

where  $\alpha : V \rightarrow \mathbb{C}$  and  $\beta : V \rightarrow \mathbb{C}$  are holomorphic functions. Then on  $V$  we have

$$\pi_x \circ \phi^{-1}(z) = \alpha(z)$$

which is holomorphic. So  $\pi_x$  is holomorphic at  $p$ . Since  $p$  was arbitrary,  $\pi_x$  is holomorphic on  $X$ .  $\square$

**Proposition 6.6** (Maximum modulus on a compact Riemann surface). *Let  $X$  be a compact Riemann surface. Then every holomorphic function  $f : X \rightarrow \mathbb{C}$  is constant.*

*Proof.* Since  $X$  is compact and  $f$  is continuous the image  $f(X)$  is a compact subset of  $\mathbb{C}$ . Hence the function

$$|f| : X \rightarrow \mathbb{R} \quad |f|(p) = |f(p)|$$

attains a maximum at some point  $p_0 \in X$ .

Choose a chart  $\phi : U \rightarrow V$  with  $p_0 \in U$ . Define

$$g = f \circ \phi^{-1} : V \rightarrow \mathbb{C}$$

Then  $g$  is holomorphic on  $V$  and  $|g|$  has a local maximum at  $\phi(p_0)$ . By the maximum modulus principle on planar domains,  $g$  is constant on the connected component of  $V$  containing  $\phi(p_0)$ . Therefore  $f$  is constant on  $U$ .

Now let

$$A = \{p \in X \mid f \text{ is constant on a neighborhood of } p\}$$

We have shown  $A$  is nonempty. By definition  $A$  is open. To see  $A$  is closed, take a sequence  $p_k \in A$  with  $p_k \rightarrow p$ . Choose a chart neighborhood  $U$  of  $p$ . For large  $k$  we have  $p_k \in U$ . On  $U$  the function  $f$  agrees on overlaps with constant values near  $p_k$ . By connectedness of a small neighborhood in  $U$ , these constants coincide. So  $f$  is constant near  $p$ . Hence  $p \in A$  so  $A$  is closed.

Because  $X$  is connected and  $A$  is nonempty, open and closed we have  $A = X$ . Thus  $f$  is locally constant everywhere and therefore constant on  $X$ .  $\square$

**Theorem 6.7** (Classification of isolated singularities in the plane). *Let  $V \subset \mathbb{C}$  be open and let  $z_0 \in V$ . Let  $f : V \setminus \{z_0\} \rightarrow \mathbb{C}$  be holomorphic. Then exactly one of the following holds:*

- (1) *Removable singularity. There exists a holomorphic function  $\tilde{f} : V \rightarrow \mathbb{C}$  such that  $\tilde{f} = f$  on  $V \setminus \{z_0\}$ .*
- (2) *Pole. There exists an integer  $n \geq 1$  and a holomorphic function  $g : V \rightarrow \mathbb{C}$  with  $g(z_0) \neq 0$  such that*

$$f(z) = \frac{g(z)}{(z - z_0)^n} \quad \text{for } z \in V \setminus \{z_0\}$$

(3) *Essential singularity* The singularity at  $z_0$  is neither removable nor a pole Equivalently  $f$  has a Laurent expansion

$$f(z) = \sum_{k \in \mathbb{Z}} a_k (z - z_0)^k$$

with infinitely many negative index coefficients  $a_k \neq 0$

**Definition 6.8** (Meromorphic at a point in the plane). Let  $V \subset \mathbb{C}$  be open and let  $z_0 \in V$ . Let  $f : V \setminus \{z_0\} \rightarrow \mathbb{C}$  be holomorphic. We say that  $f$  is meromorphic at  $z_0$  if the singularity at  $z_0$  is either removable or a pole.

**Definition 6.9** (Type of singularity on a Riemann surface). Let  $X$  be a Riemann surface. Let  $W \subset X$  be open and let  $p \in W$ . Let  $f : W \setminus \{p\} \rightarrow \mathbb{C}$  be holomorphic. We say that  $f$  has a removable singularity at  $p$  or a pole at  $p$  or an essential singularity at  $p$  if there exists a chart  $\phi : U \rightarrow V$  with  $p \in U \subset W$  such that the function

$$f \circ \phi^{-1} : V \setminus \{\phi(p)\} \rightarrow \mathbb{C}$$

has the corresponding type of isolated singularity at the point  $\phi(p)$  in the usual complex analytic sense.

**Definition 6.10** (Meromorphic function on a Riemann surface). Let  $X$  be a Riemann surface and let  $W \subset X$  be open. A function  $f : W \rightarrow \mathbb{C} \cup \{\infty\}$  is meromorphic on  $W$  if for every  $p \in W$  there exists a chart  $\phi : U \rightarrow V$  with  $p \in U \subset W$  such that the coordinate representative

$$f \circ \phi^{-1} : V \rightarrow \mathbb{C} \cup \{\infty\}$$

is meromorphic at  $\phi(p)$  in the usual one variable sense. Equivalently there exists a discrete set  $D \subset W$  such that  $f$  is holomorphic on  $W \setminus D$  and every point of  $D$  is a pole or removable singularity of  $f$ .

## 7. MEROMORPHIC FUNCTIONS AND ORDERS

**Proposition 7.1.** If  $f : W \dashrightarrow \mathbb{C}$  is meromorphic on a Riemann surface (or complex manifold of dimension 1), then for every  $p \in W$  there exists an open neighborhood  $U \subset W$  of  $p$  and holomorphic functions  $g, h \in \mathcal{O}(U)$  with  $h \not\equiv 0$  such that

$$f = \frac{g}{h} \quad \text{on } U.$$

*Proof.* Fix  $p \in W$ .

By definition of meromorphic, there exists a chart  $(U, \phi)$  with  $p \in U$  and  $\phi : U \rightarrow \phi(U) \subset \mathbb{C}$  biholomorphic such that the coordinate expression

$$F := f \circ \phi^{-1} : \phi(U) \dashrightarrow \mathbb{C}$$

is meromorphic in the complex-analytic sense on the open set  $\phi(U) \subset \mathbb{C}$ .

Let  $z_0 = \phi(p)$ . Since  $F$  is meromorphic at  $z_0$ , there exists an integer  $n \geq 0$  and a holomorphic function  $G$  on a smaller neighborhood  $\phi(U_0) \subset \phi(U)$  of  $z_0$  such that

$$F(z) = \frac{G(z)}{(z - z_0)^n} \quad \text{on } \phi(U_0),$$

and  $G(z_0) \neq 0$  if  $n > 0$  (after absorbing maximal power of  $(z - z_0)$  into  $n$ ).

Define

$$g := G \circ \phi \in \mathcal{O}(U_0), \quad h := (z - z_0)^n \circ \phi \in \mathcal{O}(U_0),$$

where  $U_0 := \phi^{-1}(\phi(U_0)) \subset U$ . Then for every  $q \in U_0$ ,

$$f(q) = F(\phi(q)) = \frac{G(\phi(q))}{(\phi(q) - z_0)^n} = \frac{(G \circ \phi)(q)}{((z - z_0)^n \circ \phi)(q)} = \frac{g(q)}{h(q)}.$$

Hence  $f = g/h$  on  $U_0$ . Since  $p \in U_0$  and  $U_0$  is open, the claim holds.  $\square$

**Fact 7.2.** Let  $f : W \dashrightarrow \mathbb{C}$  be meromorphic and let  $(U, \phi)$  be a chart with  $p \in U$ ,  $z = \phi(\cdot)$ ,  $z_0 = \phi(p)$ . Then there exist  $n \in \mathbb{Z}_{\geq 0}$  and holomorphic  $G$  near  $z_0$  such that

$$(f \circ \phi^{-1})(z) = \frac{G(z)}{(z - z_0)^n}.$$

Equivalently, with  $g := G \circ \phi$  and  $h := (z - z_0)^n \circ \phi$ ,

$$f = \frac{g}{h} \quad \text{on a neighborhood of } p.$$

*Remark 7.3* (Stalks and sheaf maps). Let  $X$  be a Riemann surface.

Define the presheaves (indeed sheaves) of holomorphic and meromorphic functions:

$$\mathcal{O}_X(U) := \mathcal{O}(U), \quad \mathcal{M}_X(U) := \{\text{meromorphic } U \dashrightarrow \mathbb{C}\}.$$

For each point  $p \in X$ , define stalks

$$\mathcal{O}_{X,p} := \varinjlim_{p \in U} \mathcal{O}_X(U), \quad \mathcal{M}_{X,p} := \varinjlim_{p \in U} \mathcal{M}_X(U).$$

There is a canonical morphism of sheaves (restriction-compatible maps on each open set)

$$\iota : \mathcal{O}_X \longrightarrow \mathcal{M}_X, \quad \iota_U : \mathcal{O}_X(U) \rightarrow \mathcal{M}_X(U), \quad g \mapsto g,$$

and hence induced morphisms on stalks

$$\iota_p : \mathcal{O}_{X,p} \rightarrow \mathcal{M}_{X,p}.$$

Moreover,  $\mathcal{M}_X$  is the sheaf of total quotient rings of  $\mathcal{O}_X$  in the sense that on stalks

$$\mathcal{M}_{X,p} \cong \text{Frac}(\mathcal{O}_{X,p}),$$

and under this identification, every germ of a meromorphic function at  $p$  is a quotient of germs of holomorphic functions:

$$[f]_p = \frac{[g]_p}{[h]_p} \quad \text{with } [g]_p, [h]_p \in \mathcal{O}_{X,p}, \quad [h]_p \neq 0.$$

Categorical diagram (stalk as a colimit over neighborhoods):

$$[\text{columnsep} = \text{large}] \{\mathcal{O}_X(U)\}_{p \in U} [r, " \iota_U "] [d] \{\mathcal{M}_X(U)\}_{p \in U} [d] \mathcal{O}_{X,p} [r, " \iota_p "] \mathcal{M}_{X,p}.$$

**Example 7.4.** Let  $X$  be a compact Riemann surface and take  $W = X$ . A meromorphic function is defined by local data: for each point  $p \in X$  one requires the coordinate expression to be meromorphic in a neighborhood. One cannot demand a single global holomorphic chart on all of  $X$  (no global coordinate in general), so the locality in the definition is essential.

**Example 7.5.** Let  $X = \mathbb{P}^1_{[x:y]}$ . Consider

$$U_0 := \{[x:y] \in \mathbb{P}^1 : y \neq 0\}, \quad \phi_0 : U_0 \rightarrow \mathbb{C}, \quad \phi_0([x:y]) = \frac{x}{y}.$$

Define

$$f : U_0 \rightarrow \mathbb{C}, \quad f([x:y]) = \frac{x}{y}.$$

Then  $f$  is holomorphic on  $U_0$ .

Let  $\infty := [1:0]$  and  $U_\infty := \{[x:y] : x \neq 0\}$ . Define the chart

$$\phi_\infty : U_\infty \rightarrow \mathbb{C}, \quad \phi_\infty([x:y]) = \frac{y}{x}.$$

On  $U_0 \cap U_\infty$  we have

$$(\phi_0 \circ \phi_\infty^{-1})(t) = \frac{1}{t}.$$

Compute the coordinate expression near  $\infty$ : for  $t \in \mathbb{C}$ ,

$$\phi_\infty^{-1}(t) = [1:t],$$

so

$$(f \circ \phi_\infty^{-1})(t) = f([1:t]) = \frac{1}{t}.$$

Thus  $f$  has a pole of order 1 at  $\infty$ , so  $f$  extends to a meromorphic function on all of  $\mathbb{P}^1$  with at worst a pole at  $[1 : 0]$ .

**Definition 7.6** (Order of a meromorphic function). Let  $f : W \dashrightarrow \mathbb{C}$  be meromorphic, fix  $p \in W$ , choose a chart  $(U, \phi)$  with  $p \in U$ , and write  $z = \phi(\cdot)$  and  $z_0 = \phi(p)$ .

There exists a unique integer  $r \in \mathbb{Z}$  and a holomorphic function  $H$  near  $z_0$  with  $H(z_0) \neq 0$  such that

$$(f \circ \phi^{-1})(z) = (z - z_0)^r H(z).$$

Define the order of  $f$  at  $p$  to be

$$\text{ord}_p(f) := r \in \mathbb{Z}.$$

*Remark 7.7.* Let  $\text{ord}_p(f) = r$ .

- (1)  $r > 0 \iff f$  has a zero of order  $r$  at  $p$ .
- (2)  $r = 0 \iff f$  is holomorphic at  $p$  and  $f(p) \neq 0$ .
- (3)  $r < 0 \iff f$  has a pole of order  $|r|$  at  $p$ .

**Lemma 2.** *Definition 7.6 is well-defined: if  $(U, \phi)$  and  $(U', \psi)$  are charts around  $p$  with  $z = \phi(\cdot)$  and  $w = \psi(\cdot)$ , and*

$$\begin{aligned} (f \circ \phi^{-1})(z) &= (z - z_0)^r H(z), & H(z_0) &\neq 0, \\ (f \circ \psi^{-1})(w) &= (w - w_0)^s K(w), & K(w_0) &\neq 0, \end{aligned}$$

then  $r = s$ .

*Proof.* Let  $z_0 = \phi(p)$  and  $w_0 = \psi(p)$ . Consider the transition map

$$T := \psi \circ \phi^{-1} : \phi(U \cap U') \rightarrow \psi(U \cap U'), \quad w = T(z),$$

which is biholomorphic with  $T(z_0) = w_0$ .

Write the power series of  $T$  at  $z_0$ :

$$T(z) - w_0 = a_1(z - z_0) + a_2(z - z_0)^2 + a_3(z - z_0)^3 + \dots, \quad a_1 \neq 0.$$

Factor

$$T(z) - w_0 = (z - z_0) \alpha(z), \quad \alpha(z) := a_1 + a_2(z - z_0) + a_3(z - z_0)^2 + \dots, \quad \alpha(z_0) = a_1 \neq 0.$$

Now compare the two expressions for  $f$  on  $U \cap U'$ :

$$(f \circ \phi^{-1})(z) = (f \circ \psi^{-1})(T(z)).$$

Substitute:

$$(z - z_0)^r H(z) = (T(z) - w_0)^s K(T(z)) = ((z - z_0)\alpha(z))^s K(T(z)) = (z - z_0)^s \alpha(z)^s K(T(z)).$$

Rearrange:

$$(z - z_0)^{r-s} = \frac{\alpha(z)^s K(T(z))}{H(z)}.$$

The right-hand side is holomorphic and nonvanishing at  $z_0$  because

$$\alpha(z_0) \neq 0, \quad K(w_0) \neq 0, \quad H(z_0) \neq 0,$$

so  $\alpha(z)^s K(T(z))/H(z)$  has a holomorphic inverse near  $z_0$ .

Hence  $(z - z_0)^{r-s}$  is holomorphic and nonvanishing at  $z_0$ . This is possible only if  $r - s = 0$ . Therefore  $r = s$ .  $\square$

**Example 7.8.** Let

$$f(z) = \frac{z(z-1)^3(z-i)^5}{(z+2)^4(z-\sqrt{2})^6} \quad (z \in \mathbb{C}).$$

Then

$$\text{ord}_0(f) = 1, \quad \text{ord}_1(f) = 3, \quad \text{ord}_i(f) = 5, \quad \text{ord}_{-2}(f) = -4, \quad \text{ord}_{\sqrt{2}}(f) = -6,$$

and for  $p \in \mathbb{C} \setminus \{0, 1, i, -2, \sqrt{2}\}$ ,

$$\text{ord}_p(f) = 0.$$

**Proposition 7.9.** Let  $f, g$  be nonzero meromorphic functions on an open set  $W$  and let  $p \in W$ . Then

- (1)  $\text{ord}_p(fg) = \text{ord}_p(f) + \text{ord}_p(g)$ .
- (2)  $\text{ord}_p\left(\frac{f}{g}\right) = \text{ord}_p(f) - \text{ord}_p(g)$ .
- (3)  $\text{ord}_p(f+g) \geq \min\{\text{ord}_p(f), \text{ord}_p(g)\}$ , with equality if  $\text{ord}_p(f) \neq \text{ord}_p(g)$ .

*Proof.* Fix  $p \in W$ . Choose a chart  $(U, \phi)$  around  $p$  with coordinate  $z$ ,  $z_0 = \phi(p)$ , and write

$$\begin{aligned} f(z) &= (z - z_0)^r H(z), & H(z_0) &\neq 0, \\ g(z) &= (z - z_0)^s K(z), & K(z_0) &\neq 0, \end{aligned}$$

where  $r = \text{ord}_p(f)$  and  $s = \text{ord}_p(g)$ .

$$(1) \quad (fg)(z) = (z - z_0)^{r+s} H(z)K(z), \quad (HK)(z_0) = H(z_0)K(z_0) \neq 0,$$

so  $\text{ord}_p(fg) = r + s$ .

$$(2) \quad \frac{f}{g}(z) = (z - z_0)^{r-s} \frac{H(z)}{K(z)}, \quad \frac{H(z_0)}{K(z_0)} \neq 0,$$

so  $\text{ord}_p(f/g) = r - s$ .

$$(3) \quad f(z) + g(z) = (z - z_0)^{\min\{r,s\}} \left( (z - z_0)^{r-\min\{r,s\}} H(z) + (z - z_0)^{s-\min\{r,s\}} K(z) \right).$$

The bracketed term is holomorphic near  $z_0$ . Hence

$$\text{ord}_p(f+g) \geq \min\{r, s\}.$$

If  $r < s$ , then

$$f(z) + g(z) = (z - z_0)^r \left( H(z) + (z - z_0)^{s-r} K(z) \right),$$

and the bracket satisfies

$$H(z_0) + (0) \cdot K(z_0) = H(z_0) \neq 0,$$

so  $\text{ord}_p(f+g) = r = \min\{r, s\}$ . The case  $s < r$  is identical. This proves the equality statement when  $r \neq s$ .  $\square$

*Remark 7.10.* For a fixed point  $p \in X$ , the map

$$\text{ord}_p : \mathcal{M}_X(W)^\times \rightarrow \mathbb{Z}, \quad f \mapsto \text{ord}_p(f)$$

is a group homomorphism (multiplicative group to additive group) by Definition 7.9(1):

$$\text{ord}_p(fg) = \text{ord}_p(f) + \text{ord}_p(g).$$

It is a discrete valuation in the sense that the inequality in Definition 7.9(3) holds:

$$\text{ord}_p(f+g) \geq \min\{\text{ord}_p(f), \text{ord}_p(g)\}.$$

## 8. MEROMORPHIC FUNCTIONS ON $\mathbb{P}^1$

**Proposition 8.1.** Let  $X$  be a compact Riemann surface and let  $f : X \dashrightarrow \mathbb{C}$  be meromorphic. Then  $f$  has only finitely many zeros and poles on  $X$ .

*Proof.* Define the zero set and pole set

$$Z := \{p \in X : \text{ord}_p(f) > 0\}, \quad P := \{p \in X : \text{ord}_p(f) < 0\}.$$

We show  $Z$  and  $P$  are discrete subsets of  $X$ .

Fix  $p \in X$ . Choose a chart  $(U, \phi)$  around  $p$  with coordinate  $z$  and  $z_0 = \phi(p)$ . Write

$$(f \circ \phi^{-1})(z) = (z - z_0)^r H(z), \quad H(z_0) \neq 0, \quad r = \text{ord}_p(f).$$

If  $r > 0$  then  $z_0$  is an isolated zero of  $f \circ \phi^{-1}$  because  $H$  is nonvanishing near  $z_0$ . Hence there is a neighborhood of  $p$  in which  $p$  is the only zero of  $f$ . Thus zeros are isolated. If  $r < 0$  then  $f$  has a pole at  $p$ , equivalently  $1/f$  has a zero at  $p$ , and poles are isolated by the same argument. Therefore  $Z$  and  $P$  are discrete.

A discrete subset of a compact space is finite. Indeed, if  $Z$  were infinite, choose distinct points  $p_n \in Z$ ; by compactness,  $(p_n)$  has an accumulation point  $p \in X$ . But  $Z$  is discrete, so  $p$  has a neighborhood containing at most one point of  $Z$ , contradicting accumulation. Hence  $Z$  is finite. The same argument shows  $P$  is finite.  $\square$

**Theorem 8.2.** *Every meromorphic function  $f : \mathbb{P}^1 \dashrightarrow \mathbb{C}$  is of the form*

$$f([x : y]) = \frac{F(x, y)}{G(x, y)}$$

where  $F, G \in \mathbb{C}[x, y]$  are homogeneous polynomials of the same degree and  $G \not\equiv 0$ . Conversely, any such quotient defines a meromorphic function on  $\mathbb{P}^1$ .

*Proof.* **Step 1: The converse.** Assume  $F, G$  are homogeneous of the same degree  $d$  and  $G \not\equiv 0$ . On  $U_0 = \{y \neq 0\}$ , set  $t = x/y$  and write

$$F(x, y) = y^d F(t, 1), \quad G(x, y) = y^d G(t, 1),$$

so

$$\frac{F(x, y)}{G(x, y)} = \frac{F(t, 1)}{G(t, 1)},$$

a meromorphic function of  $t \in \mathbb{C}$ . On  $U_\infty = \{x \neq 0\}$ , set  $s = y/x$  and similarly obtain

$$\frac{F(x, y)}{G(x, y)} = \frac{F(1, s)}{G(1, s)},$$

a meromorphic function of  $s \in \mathbb{C}$ . On  $U_0 \cap U_\infty$  we have  $t = 1/s$ , and the two expressions agree because both equal  $F/G$  on that overlap. Hence the quotient defines a meromorphic function on  $\mathbb{P}^1$ .

**Step 2: Reduction of an arbitrary meromorphic function to a rational function in the affine coordinate.** Let  $f : \mathbb{P}^1 \dashrightarrow \mathbb{C}$  be meromorphic. Restrict to the affine chart

$$U_0 = \{y \neq 0\}, \quad \phi_0([x : y]) = t = \frac{x}{y}.$$

Then

$$f_0(t) := (f \circ \phi_0^{-1})(t)$$

is a meromorphic function on  $\mathbb{C}$ . By Definition 8.1 applied to  $\mathbb{P}^1$ ,  $f$  has finitely many poles on  $\mathbb{P}^1$ ; in particular  $f_0$  has finitely many poles in  $\mathbb{C}$ . Let these poles be

$$t = a_1, \dots, a_m \in \mathbb{C}$$

with orders

$$\text{ord}_{a_i}(f_0) = -n_i, \quad n_i \in \mathbb{Z}_{\geq 1}.$$

Define the polynomial

$$Q(t) := \prod_{i=1}^m (t - a_i)^{n_i} \in \mathbb{C}[t].$$

Then  $Q(t)f_0(t)$  is meromorphic on  $\mathbb{C}$  and has no poles in  $\mathbb{C}$  by construction, hence  $Qf_0$  is entire on  $\mathbb{C}$ .

Now inspect the behavior at  $\infty$ . Use the chart

$$U_\infty = \{x \neq 0\}, \quad \phi_\infty([x : y]) = s = \frac{y}{x}.$$

On  $U_0 \cap U_\infty$  we have  $t = 1/s$ . Define

$$f_\infty(s) := (f \circ \phi_\infty^{-1})(s), \quad \phi_\infty^{-1}(s) = [1 : s].$$

Then

$$f_0\left(\frac{1}{s}\right) = f_\infty(s), \quad (s \neq 0).$$

Also

$$Q\left(\frac{1}{s}\right) f_0\left(\frac{1}{s}\right) = Q\left(\frac{1}{s}\right) f_\infty(s)$$

extends meromorphically across  $s = 0$  (since  $f_\infty$  is meromorphic at 0 and  $Q(1/s)$  has a pole of finite order at 0). Thus the entire function  $Qf_0$  has at most polynomial growth at  $\infty$  (equivalently,  $Q(1/s)f_\infty(s)$  has at most a pole at  $s = 0$ ).

Concretely, there exists  $N \geq 0$  such that

$$s^N Q\left(\frac{1}{s}\right) f_\infty(s)$$

is holomorphic at  $s = 0$ . Since  $s = 1/t$ , this means there exists  $N$  such that

$$\frac{Q(t)f_0(t)}{t^N}$$

is bounded for  $|t|$  large, hence  $Q(t)f_0(t)$  is a polynomial in  $t$  (entire function with at most polynomial growth). Therefore there exists  $P(t) \in \mathbb{C}[t]$  such that

$$Q(t)f_0(t) = P(t), \quad f_0(t) = \frac{P(t)}{Q(t)}.$$

**Step 3: Homogenization.** Let

$$\deg P \leq d, \quad \deg Q \leq d, \quad d := \max\{\deg P, \deg Q\}.$$

Define homogeneous polynomials of degree  $d$  by

$$F(x, y) := y^d P\left(\frac{x}{y}\right), \quad G(x, y) := y^d Q\left(\frac{x}{y}\right)$$

(on the level of polynomials this is the standard homogenization:  $P(t) = \sum_{k=0}^d p_k t^k$  gives  $F(x, y) = \sum_{k=0}^d p_k x^k y^{d-k}$ , similarly for  $G$ ). Then on  $U_0$ ,

$$\frac{F(x, y)}{G(x, y)} = \frac{P(x/y)}{Q(x/y)} = f_0(x/y) = f([x : y]).$$

By analytic continuation this equality holds as meromorphic functions on  $\mathbb{P}^1$ . Thus

$$f([x : y]) = \frac{F(x, y)}{G(x, y)}$$

with  $F, G$  homogeneous of the same degree.  $\square$

**Example 8.3** (Projective plane curve). Let  $X = (F = 0) \subset \mathbb{P}^2$  for  $F \in \mathbb{C}[x, y, z]$  homogeneous. Say  $X$  is a smooth projective plane curve and, consequently, a connected Riemann Surface. Take two homogeneous polynomials,  $G, H \in \mathbb{C}[x, y, z]$  of same degree such that

$$\frac{G}{H} : \mathbb{P}^2 \dashrightarrow \mathbb{C}$$

We define the following linear mapping:

$$\frac{G}{H} : X \dashrightarrow \mathbb{C} \quad [x, y, z] \mapsto \frac{G(x, y, z)}{H(x, y, z)}$$

Take an open affine subset, and say

$$X_0 = \{[x, y, z] \in X \mid x \neq 0\} = X \cap U_0$$

$$X_1 = X \cap U_1$$

$$X_2 = X \cap U_2$$

We take an example,  $X_0$

$$X_0 := (f(1, y, z) = 0) \subset \mathbb{C}_{(y, z)}^2$$

On  $X_0$ ,

$$\frac{G(1, y, z)}{H(1, y, z)}$$

is meromorphic because of the prior example on  $X_0$ . Unless  $F \mid H$ , this is a smooth projective plane curve.

## 9. HOLOMORPHIC MAPS BETWEEN TWO RIEMANN SURFACES

Intuitively, we are now extending the codomain from  $\mathbb{C}^2 \rightarrow Y$ .

**Definition 9.1.** Let  $X, Y$  be Riemann Surfaces. A map  $f : X \rightarrow Y$  is holomorphic at  $p \in X$  if

$$\exists p \in U, \exists F(p) \in U' \rightarrow V'$$

such that

$$\psi \circ F \circ \psi^{-1} : V \rightarrow V'$$

is holomorphic at  $\phi(p)$ .

**Lemma 3.** Let  $F : X \rightarrow Y$  be a continuous map between two Riemann Surfaces, then  $F$  is holomorphic at  $p \in X$  iff

$$\forall p \in U \rightarrow V \quad F(p) \in U \rightarrow U' \quad F(p) \in V \rightarrow V'$$

so

$$\psi \circ F \circ \psi^{-1}$$

is holomorphic at  $\phi(p)$ .

**Proposition 9.2.** A holomorphic map has the following properties,

- (1) Every holomorphic map  $f : X \rightarrow Y$  where  $X, Y$  are Riemann Surfaces is continuous.
- (2) If you have  $X \rightarrow Y \rightarrow Z$  are holomorphic maps between Riemann Surfaces, then  $g \circ f : X \rightarrow Z$  is holomorphic.
- (3) If  $X \rightarrow Y$  is a holomorphic map, and  $Y \dashrightarrow \mathbb{C}$  is a meromorphic map, then  $g \circ f : X \xrightarrow{f} Y \dashrightarrow \mathbb{C}$  is a meromorphic function

**Remark 9.3.** If  $X \xrightarrow{f} \mathbb{C}$  is a holomorphic function and  $X \xrightarrow{f} Y$  is a holomorphic function, then you can do operations on the two observe holomorphic functions.

$$f, g : X \rightarrow \mathbb{C} \quad f + g : X \rightarrow \mathbb{C} \quad p \mapsto f(p) + g(p)$$

**Definition 9.4.** Let  $X, Y$  be two Riemann Surfaces. If  $X \xrightarrow{F} Y$ , and  $Y \xrightarrow{G} X$  are holomorphic maps such that

$$X \xrightarrow{F} Y \xrightarrow{G} X = \text{id}_X \quad F \circ G : Y \xrightarrow{G} X \xrightarrow{F} Y = \text{id}_Y$$

Then we say  $F, G$  are isomorphisms (or biholomorphism) between  $X, Y$ , and  $X, Y$  are also isomorphisms. We denote isomorphisms by  $X \cong Y$

If  $X, Y$  are isomorphisms, where  $X \cong Y$ , then this means  $X, Y$  belong the same way as a Riemann Surface.

**Lemma 4.** Let  $F : X \rightarrow Y$  be a holomorphic map between Riemann Surfaces. If  $F$  is bijective (as sets), then  $F$  is biholomorphic.

*Proof.* WTS  $F^{-1} : Y \rightarrow X$  is holomorphic.

Fix  $q \in Y$ .

Since  $F$  is bijective as a set map, there exists a unique  $p \in X$  such that

$$F(p) = q.$$

Choose complex charts

$$\begin{aligned} \phi : U \rightarrow V \subset \mathbb{C} &\quad \text{with } p \in U \subset X, \\ \psi : U' \rightarrow V' \subset \mathbb{C} &\quad \text{with } q \in U' \subset Y. \end{aligned}$$

Since  $F$  is continuous,  $F^{-1}(U')$  is open in  $X$  and contains  $p$  because  $F(p) = q \in U'$ . Hence

$$p \in U \cap F^{-1}(U') \neq \emptyset.$$

Replace  $U$  by  $U \cap F^{-1}(U')$  so that

$$U \subset F^{-1}(U'), \quad \text{equivalently } F(U) \subset U'.$$

Now consider the coordinate representative of  $F$  on  $U$ :

$$f := \psi \circ F \circ \phi^{-1} : \phi(U) \rightarrow \psi(U').$$

Because  $F$  is holomorphic,  $f$  is holomorphic at  $\phi(p)$  by the definition of holomorphic map between Riemann surfaces.

Since  $F$  is bijective as a set map, the restriction

$$F|_U : U \rightarrow F(U)$$

is bijective, hence its inverse

$$(F|_U)^{-1} : F(U) \rightarrow U$$

is well-defined.

Compute the inverse of  $f$  explicitly. First write  $f$  again:

$$f = \psi \circ F \circ \phi^{-1}.$$

We claim that on the set  $\psi(F(U)) \subset \psi(U')$  the inverse is

$$f^{-1} = \phi \circ F^{-1} \circ \psi^{-1}.$$

Verify by direct computation.

(1) Compute  $f \circ (\phi \circ F^{-1} \circ \psi^{-1})$ .

Take any  $w \in \psi(F(U))$ . Then there exists  $u \in U$  such that

$$w = \psi(F(u)).$$

Compute

$$(f \circ (\phi \circ F^{-1} \circ \psi^{-1}))(w) = f(\phi(F^{-1}(\psi^{-1}(w)))).$$

Substitute  $f = \psi \circ F \circ \phi^{-1}$ :

$$f(\phi(F^{-1}(\psi^{-1}(w)))) = (\psi \circ F \circ \phi^{-1})(\phi(F^{-1}(\psi^{-1}(w)))).$$

Now apply  $\phi^{-1} \circ \phi = \text{id}$  on  $U$ :

$$\phi^{-1}(\phi(F^{-1}(\psi^{-1}(w)))) = F^{-1}(\psi^{-1}(w)).$$

Hence

$$(\psi \circ F \circ \phi^{-1})(\phi(F^{-1}(\psi^{-1}(w)))) = \psi(F(F^{-1}(\psi^{-1}(w)))).$$

Since  $F \circ F^{-1} = \text{id}$  on  $F(U)$  and  $\psi^{-1}(w) \in F(U)$ , we get

$$F(F^{-1}(\psi^{-1}(w))) = \psi^{-1}(w).$$

Therefore

$$\psi(F(F^{-1}(\psi^{-1}(w)))) = \psi(\psi^{-1}(w)) = w.$$

So

$$f \circ (\phi \circ F^{-1} \circ \psi^{-1}) = \text{id}_{\psi(F(U))}.$$

(2) Compute  $(\phi \circ F^{-1} \circ \psi^{-1}) \circ f$ .

Take any  $z \in \phi(U)$ . Then there exists  $u \in U$  such that

$$z = \phi(u).$$

Compute

$$((\phi \circ F^{-1} \circ \psi^{-1}) \circ f)(z) = \phi(F^{-1}(\psi^{-1}(f(z)))).$$

Substitute  $f(z) = (\psi \circ F \circ \phi^{-1})(z)$ :

$$\psi^{-1}(f(z)) = \psi^{-1}((\psi \circ F \circ \phi^{-1})(z)) = F(\phi^{-1}(z)).$$

Thus

$$\phi(F^{-1}(\psi^{-1}(f(z)))) = \phi(F^{-1}(F(\phi^{-1}(z)))).$$

Since  $\phi^{-1}(z) \in U$  and  $F^{-1} \circ F = \text{id}$  on  $U$ , we get

$$F^{-1}(F(\phi^{-1}(z))) = \phi^{-1}(z).$$

Therefore

$$\phi(F^{-1}(F(\phi^{-1}(z)))) = \phi(\phi^{-1}(z)) = z.$$

So

$$(\phi \circ F^{-1} \circ \psi^{-1}) \circ f = \text{id}_{\phi(U)}.$$

Hence  $f$  is bijective with inverse

$$f^{-1} = \phi \circ F^{-1} \circ \psi^{-1}.$$

Now use the chart-compatibility principle already used in the notes when checking overlaps in the proof of *Smooth affine plane curves are Riemann surfaces*: if a map between charts is holomorphic and bijective, then its inverse is the corresponding reverse transition map (and is holomorphic). Therefore  $f^{-1}$  is holomorphic at  $\psi(q)$ .

Finally, observe that  $f^{-1}$  is exactly the coordinate representative of  $F^{-1}$ :

$$f^{-1} = \phi \circ F^{-1} \circ \psi^{-1}.$$

Since this is holomorphic at  $\psi(q)$ , by the definition of holomorphic map between two Riemann surfaces,  $F^{-1}$  is holomorphic at  $q$ .

Since  $q \in Y$  was arbitrary,  $F^{-1}$  is holomorphic on  $Y$ . Therefore  $F$  is biholomorphic.  $\square$

**Theorem 9.5** (Open mapping theorem). *Every non-constant holomorphic map  $F : X \rightarrow Y$  is an open map (i.e. if  $U \subset X$ , then  $f(U) \subset Y$  is open).*

**Example 9.6.** Let

$$f : \mathbb{R} \rightarrow \mathbb{R} \quad x \mapsto x^2 \quad f((-1, 1)) = [0, 1]$$

**Theorem 9.7** (Identity). *Let  $F, G : X \rightarrow Y$  be holomorphic maps between Riemann Surfaces. If there exists  $S \subset X$  such that  $S$  has a limit point and  $F(p) = G(p)$  for  $\forall p \in S$ , then  $F = G$  on entire  $X$ .*

**Proposition 9.8.** *Let  $X, Y$  be two compact, connected Riemann Surfaces, then every non-constant holomorphic map*

$$F : X \rightarrow Y$$

*is surjective.*

*Proof.* By open mapping theorem,  $F(X)$  is an open subset of  $Y$ .

Since  $X$  is compact and  $F$  is continuous,  $F(X)$  is compact.

Since  $Y$  is a Hausdorff topological space (because  $Y$  is a Riemann surface), compact subsets of  $Y$  are closed. Hence  $F(X)$  is closed in  $Y$ .

Therefore  $F(X)$  is both open and closed in  $Y$ .

Since  $X$  is nonempty,  $F(X)$  is nonempty. Since  $Y$  is connected, the only subsets of  $Y$  that are both open and closed are  $\emptyset$  and  $Y$ . Hence

$$F(X) = Y.$$

Therefore  $F$  is surjective.  $\square$

**Proposition 9.9.** *Let  $X, Y$  be two Riemann Surfaces, and  $F : X \rightarrow Y$  is a non-constant holomorphic map between two Riemann Surfaces, then*

$$\forall y \in Y, F^{-1}(y) \subset X$$

*is discrete.*

*Proof.* Fix  $y \in Y$  and set

$$S := F^{-1}(y) = \{p \in X : F(p) = y\}.$$

Suppose  $S$  is not discrete. Then there exists an accumulation point  $p_0 \in X$  of  $S$ .

Define the constant map

$$G : X \rightarrow Y \quad p \mapsto y.$$

Then  $G$  is holomorphic.

For every  $p \in S$  we have

$$F(p) = y = G(p).$$

Thus

$$F(p) = G(p) \quad \text{for all } p \in S.$$

The set  $S$  has a limit point  $p_0$  by assumption.

By the Identity Theorem, since  $F$  and  $G$  are holomorphic and agree on a subset with a limit point, we conclude

$$F = G \quad \text{on all of } X.$$

But  $G$  is constant, so  $F$  is constant. This contradicts the assumption that  $F$  is non-constant.

Therefore our assumption was false, and  $S = F^{-1}(y)$  must be discrete.  $\square$

**Corollary 9.10.** *Let  $X, Y$  be compact, connected Riemann Surfaces, with  $F : X \rightarrow Y$  is a nonconstant holomorphic map, then*

- (1)  *$F$  is surjective.*
- (2) *For every  $y$ ,  $F^{-1}(y)$  is finite.*

*Proof.* We already proved part 1.

Fix  $y \in Y$ .

By the previous proposition, the set

$$F^{-1}(y) \subset X$$

is discrete.

Since  $X$  is compact, every infinite subset of  $X$  has an accumulation point. Equivalently, a discrete subset of a compact space must be finite.

To show this directly, assume for contradiction that  $F^{-1}(y)$  is infinite. Then we can choose a sequence of distinct points

$$p_1, p_2, p_3, \dots \in F^{-1}(y).$$

Since  $X$  is compact, the sequence  $(p_n)$  has a convergent subsequence  $(p_{n_k})$  with limit  $p_* \in X$ :

$$p_{n_k} \rightarrow p_* \in X.$$

Thus  $p_*$  is an accumulation point of  $F^{-1}(y)$ .

This contradicts that  $F^{-1}(y)$  is discrete.

Therefore  $F^{-1}(y)$  must be finite.  $\square$

**Fact 9.11.** *There exists a finite subset  $\{z_1, \dots, z_n\} \subset Y$  such that*

$$F : X \setminus F^{-1}(z_i) \rightarrow Y \setminus \{z_i\}$$

*is a covering topological space.*