



UESTC4004

Digital Communications

Channel Coding

CONVOLUTIONAL ENCODING

- A convolutional code is described by three integers, n , k , and K where the ratio k/n is called the rate of the code

码长 (码元)

→ 信息 (元) message

- The integer K is constraint length; it represents number of k -tuple stages in the encoding shift register.

约束长度 → 寄存器长

- Encoder has memory—the n -tuple emitted by the convolutional encoding procedure is not only a function of an input k -tuple, but is also a function of the previous $K-1$ input k -tuples

Connection Representation

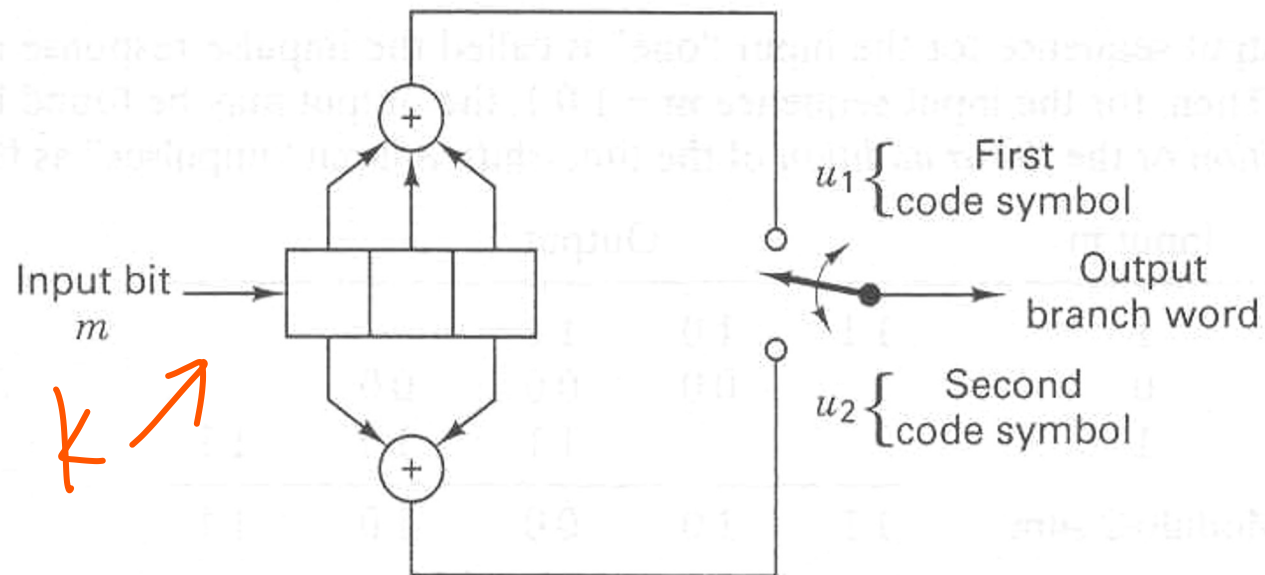


Figure: Convolutional Encoder (rate $\frac{1}{2}$, $K=3$)

$$\frac{k}{n} = \frac{1}{2}$$

$$k = 3 \text{ 位}$$

$$n = 12 \text{ 位} \Rightarrow 12/2 = 6 \text{ 个码元}$$

State Representation and State Diagram

状态:

x	0	1
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state

因为入出栈

最后一位在

下一位中出栈

⇒ state就是后2位

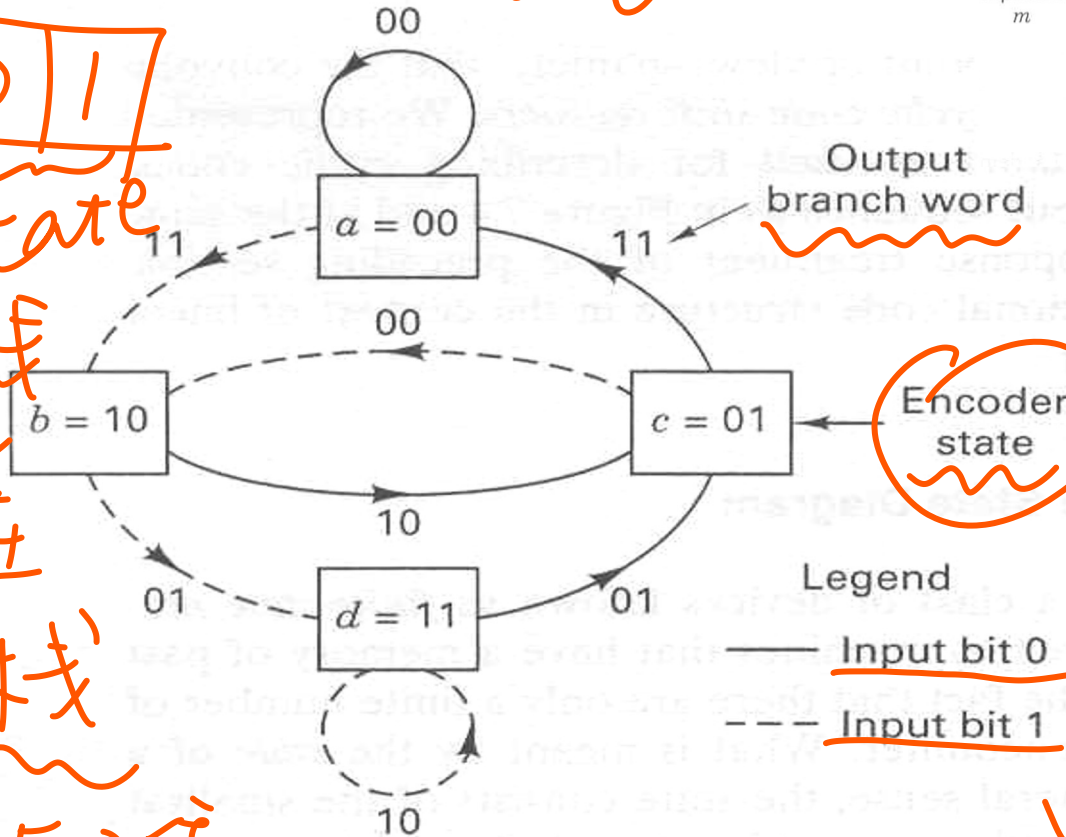
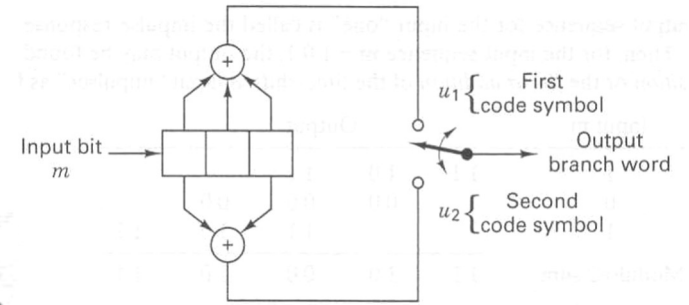


Figure: Encoder state (the contents of the K-1 leftmost registers) diagram (rate $\frac{1}{2}$, $k=3$)



Input: 0, 1

branch: u_1, u_2

输出:

1	0	0
1	0	1

(每个t)

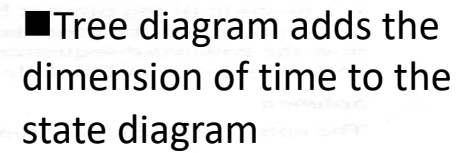
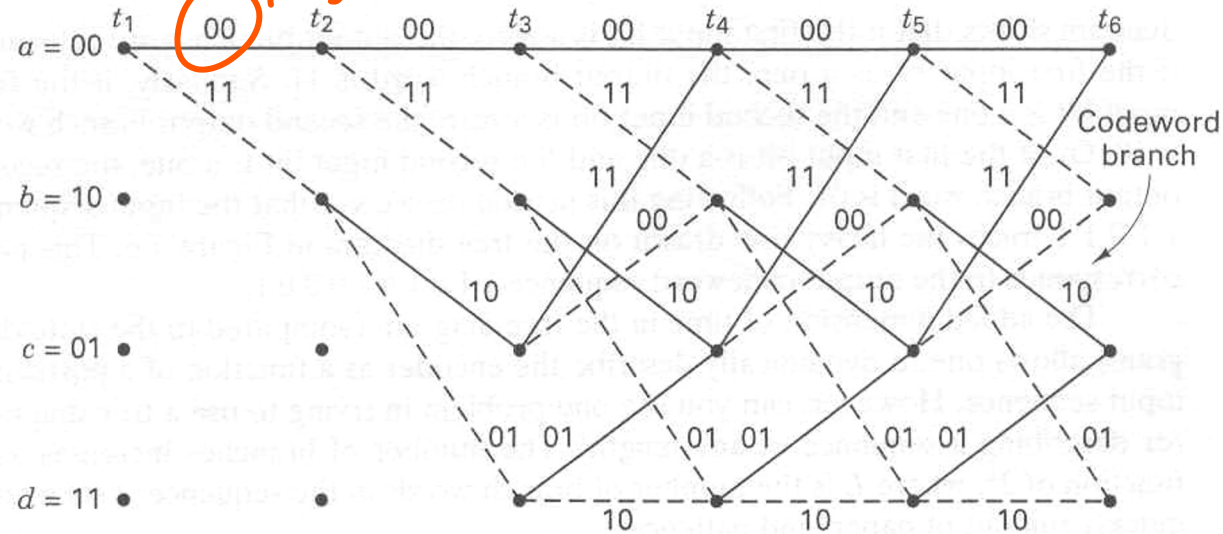


Figure: Tree representation of encoder (rate $\frac{1}{2}$, $k=3$)

The Trellis Diagram

入力 (Input) 出力 (Output)

State



Legend

—— Input bit 0

----- Input bit 1

■ The trellis diagram, by exploiting the repetitive structure, provides a more manageable encoder description

Figure: Encoder trellis diagram (rate $\frac{1}{2}$, $K=3$)

The Viterbi convolutional decoding Algorithm

Input data sequence **m:** 1 1 0 1 1 ...
 Transmitted codeword **U:** 11 01 01 00 01 ...
 Received sequence **Z:** 11 01 01 10 01 ...

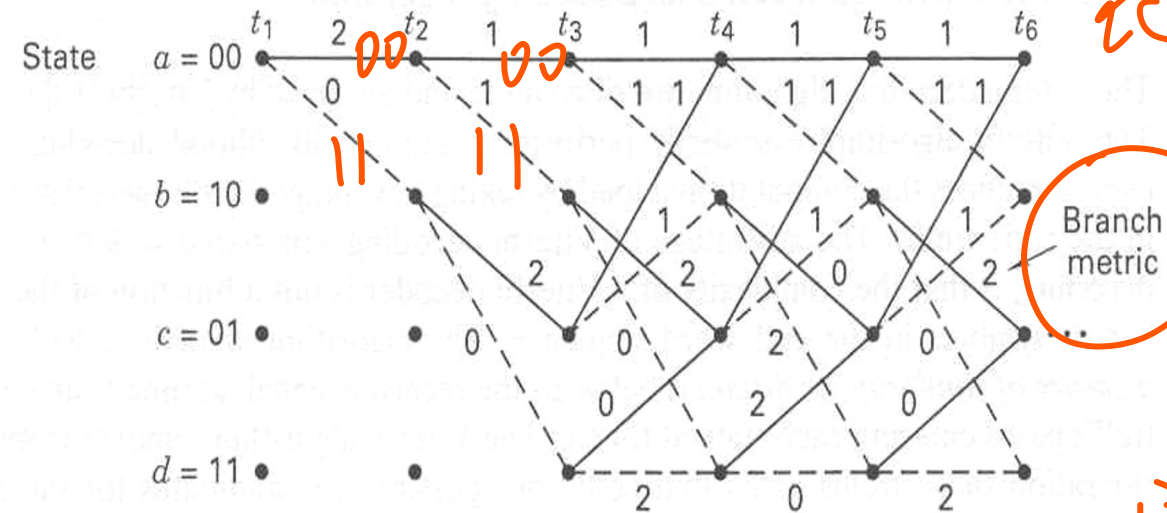
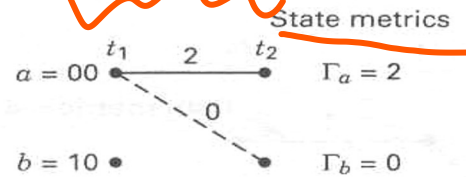


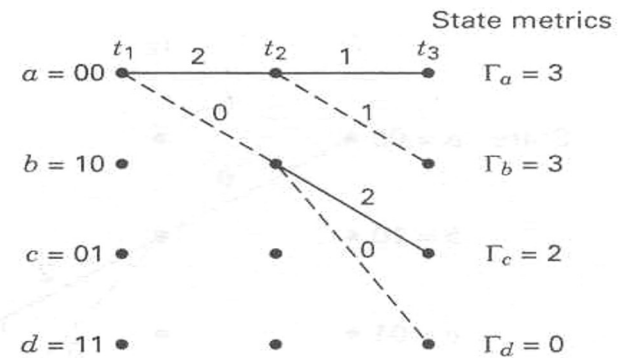
Figure: Decoder trellis diagram (rate $\frac{1}{2}$, $K=3$)

表示接收
序列与
输出之
间汉明
距离

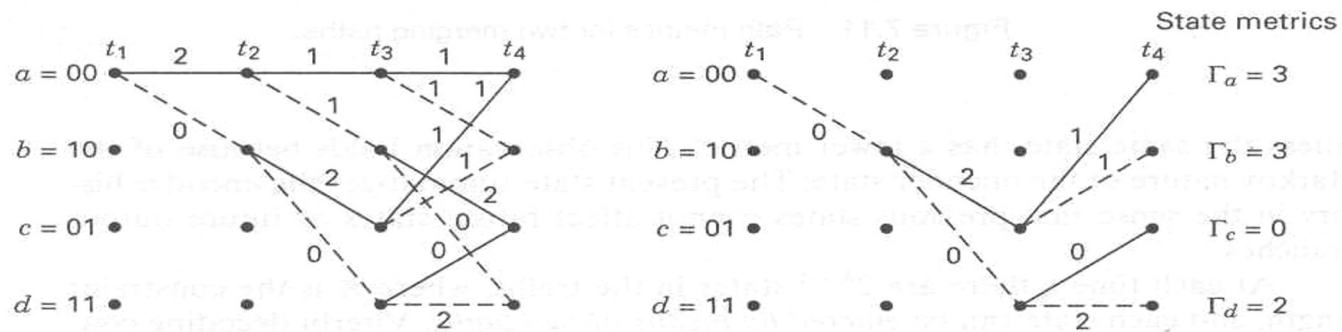
Steps in Decoding



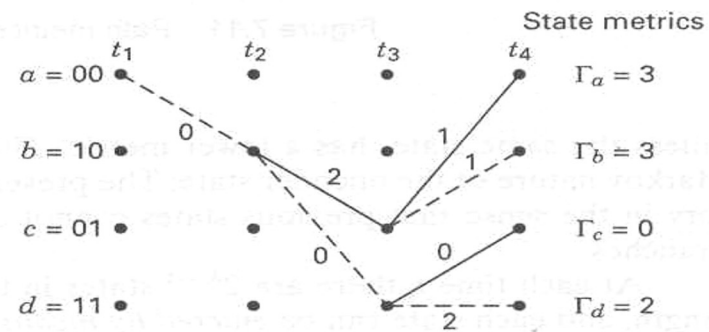
(a)



(b)

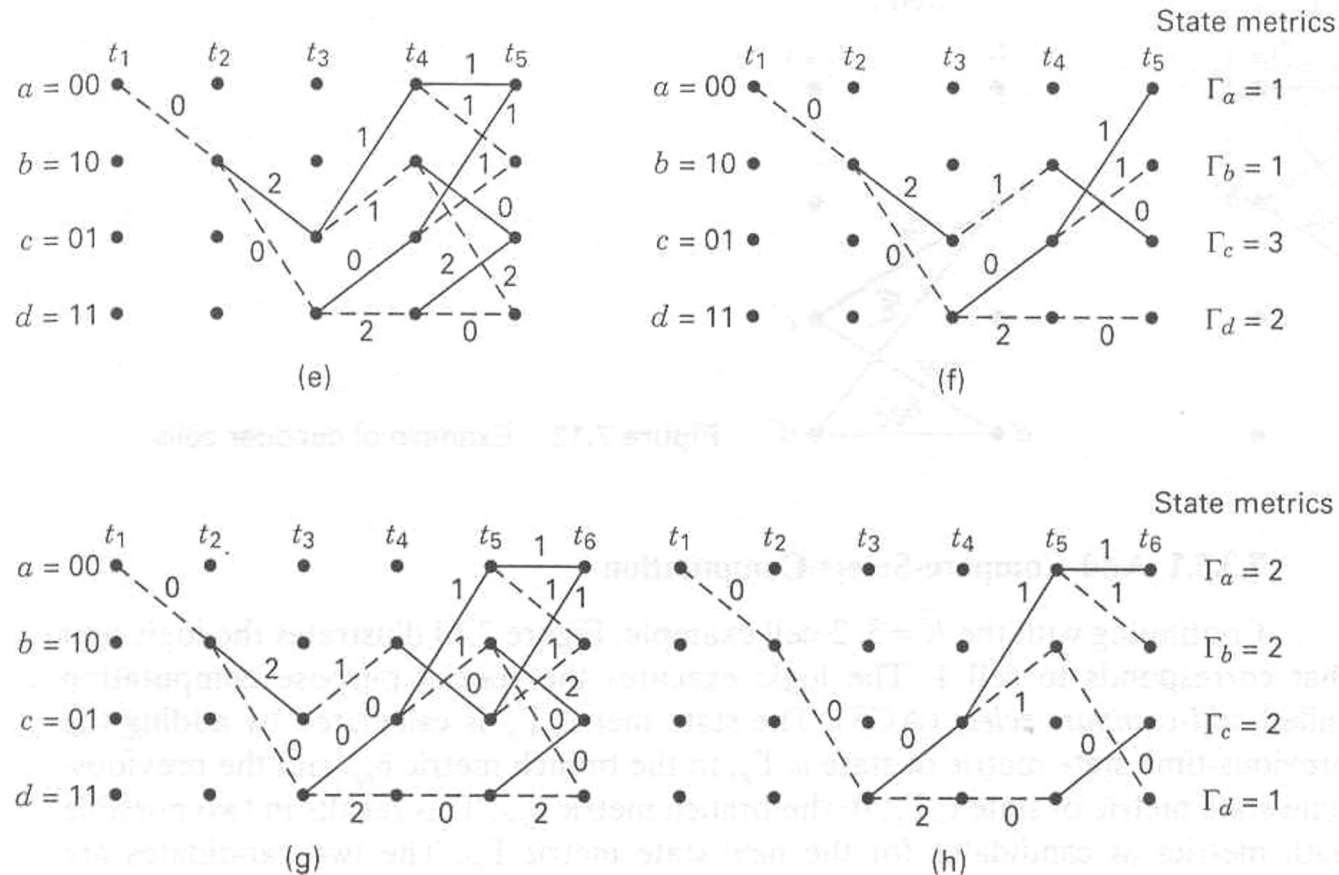


(c)



(d)

Figure: selection of survivors (a) survivors at t_2 (b) survivors at t_3 (c) metric comparison at t_4 (d) survivors at t_4



保留该
状态下

到达的
最短路径

Figure: Selection of survivors (e) metric comparison at t5 (f) survivors at t5 (g) metric comparison at t6 (h) survivors at t6

Best Known Convolution Codes

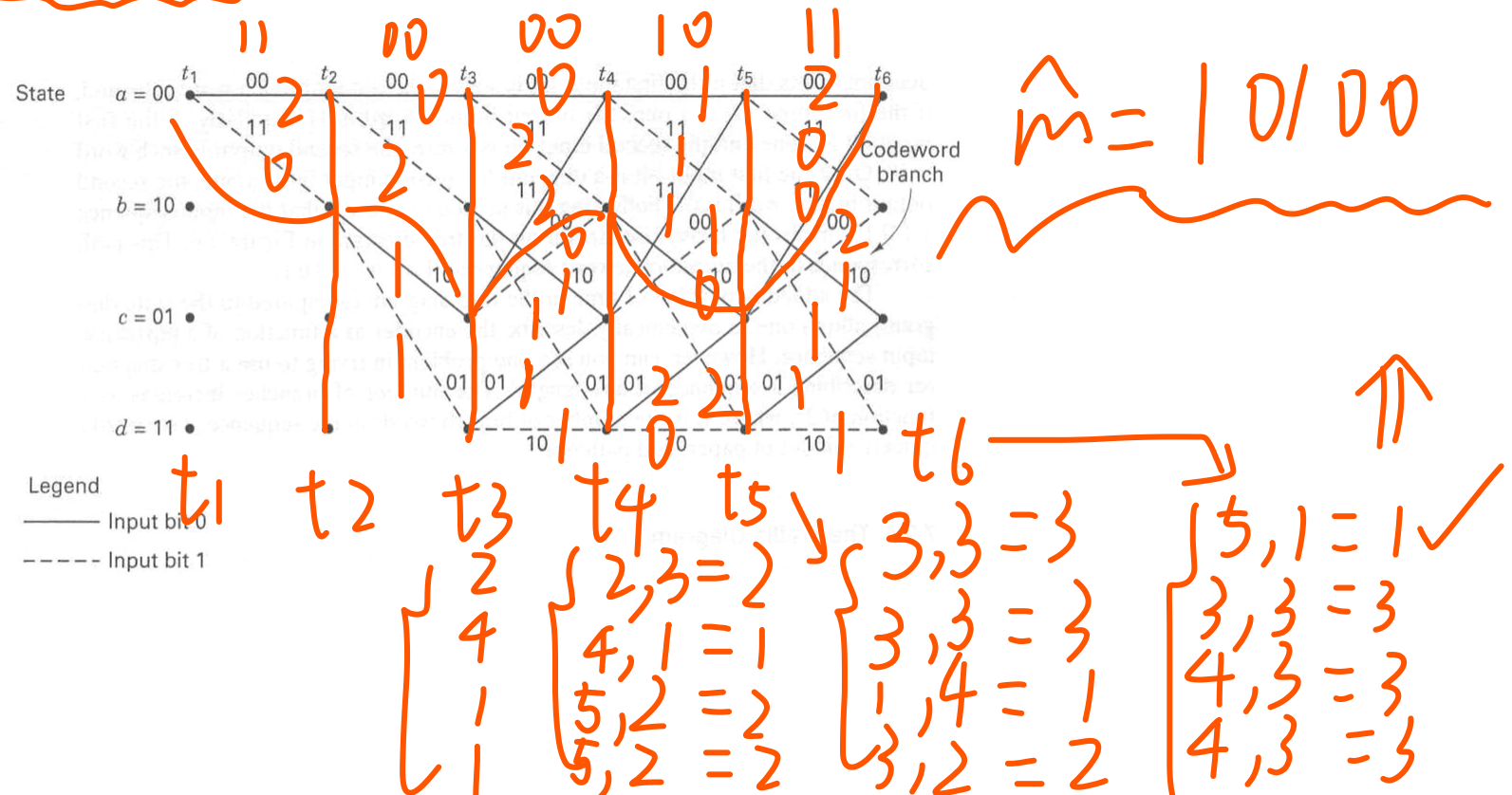
Rate	Constraint Length	Free Distance	Code Vector
$\frac{1}{2}$	3	5	111 101
$\frac{1}{2}$	4	6	1111 1011
$\frac{1}{2}$	5	7	10111 11001
$\frac{1}{2}$	6	8	101111 110101
$\frac{1}{2}$	7	10	1001111 1101101
$\frac{1}{2}$	8	10	10011111 11100101
$\frac{1}{2}$	9	12	110101111 100011101
$\frac{1}{3}$	3	8	111 111 101
$\frac{1}{3}$	4	10	1111 1011 1101
$\frac{1}{3}$	5	12	11111 11011 10101
$\frac{1}{3}$	6	13	10111 110101 111001
$\frac{1}{3}$	7	15	1001111 1010111 1101101
$\frac{1}{3}$	8	16	11101111 10011011 10101001

Table: Optimum Short Constraint Length Convolutional Codes

Practice Question

译码

- For the trellis diagram, given in figure below, of a $K=3$, rate= $1/2$ convolutional encoder and the received sequence $\mathbf{Z} = \underline{1\ 1\ 0\ 0\ 0\ 0\ 1\ 0\ 1\ 1}$ (rest all '0') (starts with MSB).
- Determine the first 5 decoded information bits. If a tie occurs between any two merged paths, choose the upper branch entering the particular state.



A brief introduction to Turbo Codes

- Turbo codes are forward error correction codes based on Convolution codes
- Turbo codes have performance close to Shannon theoretical limit. In fact, when they were presented in a paper, the idea was rejected as it was not considered possible to have such large coding gain
- Turbo coders are formed by the parallel concatenation of two convolutional codes separated by an interleaver
- Turbo codes are widely used in communication e.g., WiMAX, UMTS, CDMA 2000, LTE etc.

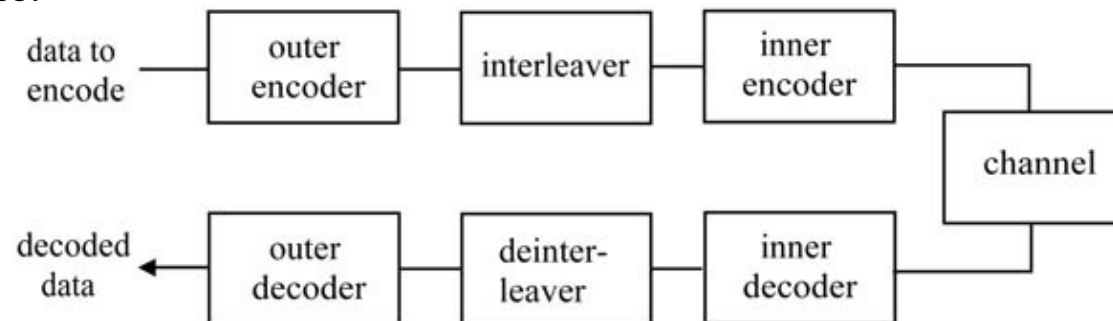
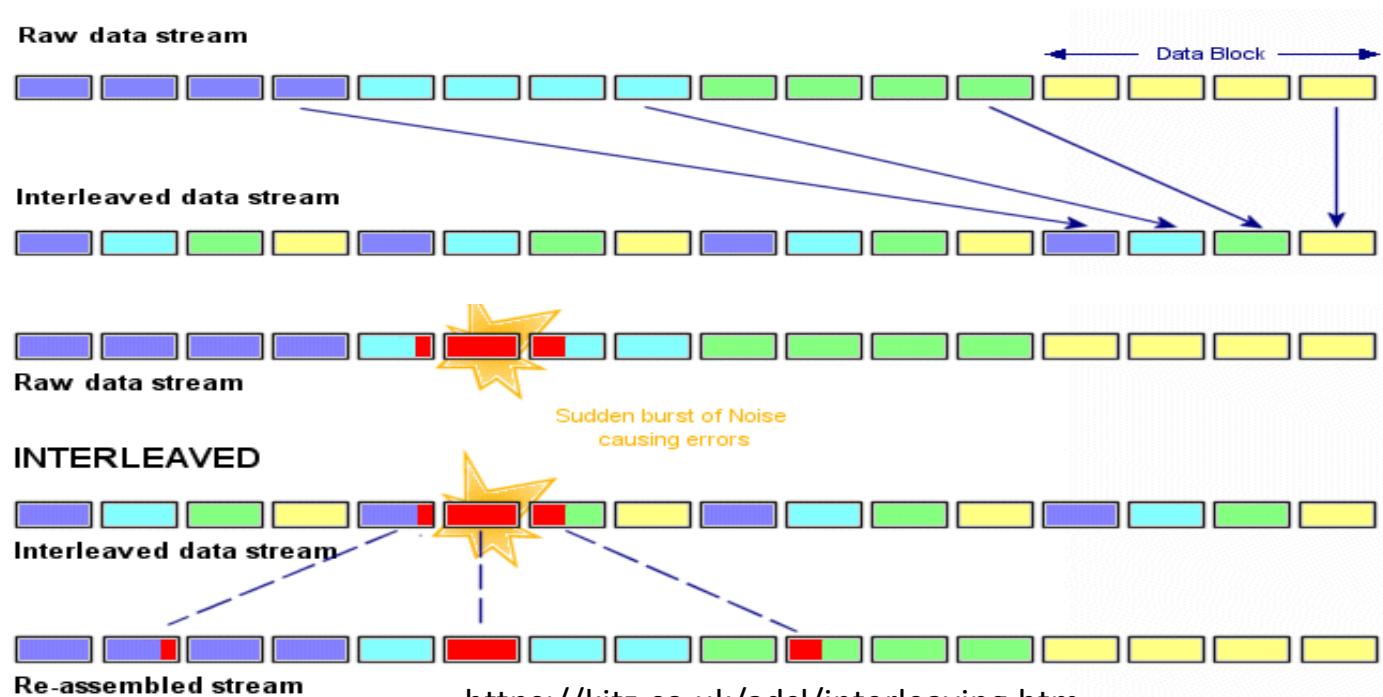


Figure: Concatenated encoder and decoder [1]

Interleaver

- The performance of error correction coding is improved by introducing an interleaver
- An interleaver is used to improve the error correction in the presence of burst errors



<https://kitz.co.uk/adsl/interleaving.htm>