# Existence of a ribbon element

#### Fedel Berkenbosch

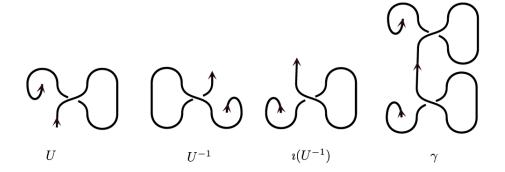
### April 2023

### 1 Introduction

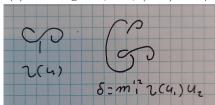
In the last lecture of this course it was claimed that we were satisfactorily finished with the subject of XC tangles. We constructed an XC algebra  $\mathbb D$  whose universal invariant is well defined for 0-rotation tangles. We can make all tangles 0 rotation by adding some Reidemeister I curls and if we really also want to consider tangles that are not 0-rotation we just adjoin an element C to obtain  $I\mathbb D$ . The final question that is yet to be answered is whether we always need to adjoin this element or if there are algebras  $\mathbb D$  that already contain an element with the desired properties.

# 2 (Quasi-)ribbon elements

Recall the following tangles from lecture 13:



(a) The tangles  $U, U^{-1}, i(U^{-1})$  and  $\gamma$ .



(b) The tangles i(U) and  $\delta$ .

So  $U = m_1^{123} X_{12} C_3, U^{-1} = m_1^{123} X_{32}^{-1} X_1^{-1}$  and  $\gamma = m_1^{12} \imath(U_1^1) U_2$ . Additionally we define  $\delta = U \imath(U)$  or more precisely  $\delta = m_1^{12} \imath(U_1) U_2$ .

**Definition 2.1.** Let  $\mathbb{D}$  be the algebra constructed in the lectures. We call an element  $\alpha \in \mathbb{D}$  a quasi-ribbon element of  $\mathbb{D}$  if the following conditions hold:

- 1.  $\alpha^2 = \delta$
- 2.  $i(\alpha) = \alpha$
- 3.  $\varepsilon(\alpha) = 1$
- 4.  $\Delta(\alpha) = m_1^{135} m_2^{246} (\alpha_5 \alpha_6 X_{12} X_{43})$

A quasi ribbon element is called a **ribbon element** if it is in the centre of  $\mathbb{D}$ .

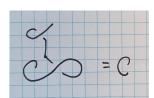
If we compare this definition with exercise 3 of homework 4 we see that the  $\alpha$  defined in that exercise is a ribbon element. However this definition of  $\alpha$  depends on already having a C.

**Definition 2.2.** An element C either in  $\mathbb{D}$  or adjoined has the following properties:

- 1.  $C^2 = \gamma$
- 2.  $xC = Ci(x)^2$  for all  $x \in \mathbb{D}$ .
- 3.  $\Delta(C) = C_1 C_2$
- 4.  $i(C) = C^{-1}$
- 5.  $\varepsilon(C) = 1$

We have two options for obtaining such a C. We could adjoin it to  $\mathbb{D}$  to obtain  $\mathbb{ID}$  as was done in lecture 13. In this case C has the desired properties by definition. Alternatively we can search in  $\mathbb{D}$  for an element with the desired properties.

We claim that finding such an element  $\alpha$  is equivalent to finding an element C which behaves like  $\check{C}$  in the XC tangles. Since we would want that a ribbon element  $\alpha$  behave in the XC tangles as the Reidemeister I curl we might as well use that as inspiration for how to define C using  $\alpha$ . So based on figure 2 we would like to define C as  $C:=m_1^{123}i_1^2X_{1,2}\alpha_3$ .



**Lemma 2.3.** Finding a ribbon element  $\alpha \in \mathbb{D}$  is equivalent to finding an element  $C \in \mathbb{D}$ .

Figure 2: Obtaining C from  $\alpha$ 

*Proof.* Given a C we claim that  $\alpha=m_1^{123}X_{3,1}C_2$  is a ribbon element. Proof: Homework due on Thursday!

Given a ribbon element  $\alpha$  we claim that  $m_1^{123}i_1^2X_{1,2}\alpha_3$  satisfies the properties of a C. Proof: exercise.

### 3 Integrals and co-integrals

Before we can state the theorem of when ribbon elements exists and give an example we need to introduce the concepts of grouplike elements, integrals and co-integrals.

**Definition 3.1.** Suppose A is a bialgebra. An element  $a \in A$  is called a **grouplike element** of A if  $\Delta(a) = a_1 a_2$ . We denote the grouplike elements of A by G(A). The grouplike elements of  $A^*$  are denoted by  $G(A^*)$ .

**Proposition 3.2.** [1] The grouplike elements of  $A^*$  are isomorpic to  $Alg_k(A, k)$ .

**Example 3.3.** Let SW denote the Sweedler algebra. To compute the grouplike elements it is enough to check what  $\Delta$  does to the basis elements. So recall that  $\Delta(s) = s_1 s_2$  and  $\Delta(w) = w_1 s_2 + w_2$ . This means that G(SW) = (s).

By the above proposition the grouplike elements of  $A^*$  are the algebra homomorphisms of A to k.

Let f be any algebra homomorphism from A to k. We define such a morphism by defining where it sends s and w and extending. It must be compatible with the relations. We must have  $0 = f(0) = f(w^2) = f(w)f(w)$ . So f(w) = 0. Likewise we must have  $1 = f(1) = f(s^2) = f(s)f(s)$ . So we can have f(s) = 1 or f(s) = -1. Note that the first map is a scalar multiple of the second map. So we claim that  $G(A^*) = (\eta)$  where  $\eta(w) = 0$  and  $\eta(s) = -1$ . That any  $f \in (\eta)$  is compatible with the relations  $w^2 = 0$  and  $s^2 = 1$  follows from what we did above. We also have f(sw) = f(s)f(w) = 0 = -f(w)f(s) = f(-ws) and the maps are algebra homomorphism by construction.

Remark 3.4. In Hopf algebras the grouplike elements form a group.

**Definition 3.5.** A (left) integral is an element k of a Hopf algebra H such that  $hk = \varepsilon(h)k$  for all  $h \in H$ . A (right) co-integral is an element  $\lambda$  of H\* such that  $\mu\lambda = <\mu, 1>\lambda$ .

**Proposition 3.6.** [1] If H is a finite dimensional Hopf algebra then the left integrals and the right co-integrals form one dimensional ideals.

**Example 3.7.** We again consider the Sweedler algebra. By the proposition we only need to find one nonzero integral. Recall that  $\varepsilon(s) = 1$  and  $\varepsilon(w) = 0$  We compute hk and  $\varepsilon(h)k$  for k = w and k = sw.

h	hw	$\varepsilon(h)w$	h	hsw	$\varepsilon(h)w$
1	w	w	1	sw	sw
s	sw	w	s	w	sw
w	0	0	w	0	0
sw	0	0	sw	0	0

Notice that if we add these columns they are equal so we conclude that w + sw is a left integral.

Before we can compute the co-integrals we introduce a more convenient basis for  $SW^*$ . Let  $1, \sigma, \omega, \sigma\omega$  be a basis for the dual defined by the pairing given by the following table:

	1	s	w	sw
1	1	1	0	0
$\sigma$	1	-1	0	0
$\omega$	0	0	1	1
$\sigma\omega$	0	0	1	-1

This basis has the following properties  $\sigma^2 = 1, \omega^2 = 0, \sigma\omega = -\omega\sigma, \Delta(\sigma) = \sigma_1\sigma_2, \Delta(\omega) = \omega_1\sigma_2 + \omega_2, \varepsilon(\sigma) = 1$  and  $\varepsilon(\omega) = 0$ . In other words  $SW^* \cong SW$ .

By doing a similar computation as above we find that  $\omega - \sigma \omega$  is a right co-integral for  $SW^*$ .

**Definition 3.8.** Let k be a nonzero left integral of A then there exists a unique  $\beta \in G(A^*)$  such that  $kx = \beta(x)k$  for all  $x \in A$ .

Let  $\lambda$  be a nonzero right co integral of  $A^*$  then there is a unique  $g \in G(A^{**}) = G(A)$  such that  $\pi \lambda = <\pi, g > \lambda$  for all  $\pi \in A^*$ .

We call  $\beta$  the distinguished grouplike element of  $A^*$  and g the distinguished grouplike element of A.

**Example 3.9.** We look again at the Sweedler algebra and begin with computing the distinguished grouplike element of SW. Take k = w + sw a left integral of SW. We want to find  $\beta \in G(A^*)$  such that  $kx = \beta(x)k$  for all  $x \in A$ . It is enough to determine  $\beta$  on the basis of SW. So for example taking x = 1 gives  $w + sw = \beta(1)(w + sw)$ 

<sup>&</sup>lt;sup>1</sup>For those wondering whether this notion of integral is related to the calculus integral it is. See page 181 of [1].

implies that  $\beta(1) = 1$ . Taking x = s gives  $s(w + sw) = sw + w = \beta(s)(w + sw)$  also gives  $\beta(s) = 1$ . For the last two basis elements we get  $\beta(w) = \beta(sw) = 0$ . Looking back at the dual basis we see that  $\beta$  behave as 1.

Now take  $\lambda = \omega - \sigma \omega$ . If we take for  $\pi$  the dual then the equation  $\pi \lambda = \langle \pi, g \rangle$  determines  $\langle \pi, g \rangle$  which are the coefficients of g with respect to that basis. So taking  $\pi = 1$  (the dual 1!) gives  $\omega - \sigma \omega = \langle 1, g \rangle \omega - \sigma \omega$  so  $\langle 1, g \rangle = 1$ . Similarly  $\langle \sigma, g \rangle = 1$ ,  $\langle \omega, g \rangle = 0$ ,  $\langle \sigma \omega = 0 \rangle$ . So  $g = 1^* + \sigma^*$  which written in the normal basis is s

### 4 Condition for existence

Before we can formulate the theorem we need a final algebraic definition: the actions of A\* on A. We will only give them for the Hopf algebras that we are interested in:  $\mathbb{D}$ .

**Definition 4.1.** Let  $\pi \in A^*$  and  $a \in A$ .

The left action of A\* on A is denoted by  $\pi \leftarrow a$  as applying p to the second tensor factor of  $\Delta(a)$ . The right action is denoted by  $a \rightharpoonup \pi$  and is defined by applying p to the first tensor factor of  $\Delta(a)$ .

Example 4.2. 
$$\varepsilon \rightarrow = \varepsilon_2(w_1s_2 + w_2) = w_1(\varepsilon(s))_2 + \varepsilon(w)_2 = w_1$$

**Theorem 4.3.** (Kauffman and Radford [2]) Suppose that  $\mathbb{O}$  is a finite dimensional Hopf algebra with antipode i over a field k. Let g and  $\delta$  be the distinguished grouplike elements of  $\mathbb{O}$  and  $\mathbb{U}$ , respectively. Then:

- (a)  $\mathbb{D}$  has a quasi-ribbon element if and only if there are  $l \in G(\mathbb{O})$  and  $\beta \in G(\mathbb{U})$  such that  $l^2 = g$  and  $\beta^2 = \delta$ .
- (b)  $\mathbb{D}$  has a ribbon element if and only if there are l and  $\beta$  as in part (a) such that

$$i^2(x) = l(\beta \rightarrow x \leftarrow \beta^{-1})l^{-1}$$

for all  $x \in \mathbb{O}$ .

**Example 4.4.** Let us determine whether it was indeed necessary to adjoin a C to  $\mathbb{D}$  defined by the Sweedler algebra or if it in fact has a ribbon element. Recall that for the Sweedler algebra g = s and  $\delta = 1$ . (Note this is the 1 from the dual basis!) So for  $\mathbb{D}$  to have a quasi-ribbon element we must find an  $l \in G(SW)$  such that  $l^2 = g$ . We write  $l = a_1 + a_2 s + a_3 w + a_4 s w$ . Working out the square gives  $l^2 = a_1^2 + a_2^2 + 2a_1a_2s + 2a_1a_3w$ . This has no solutions in  $\mathbb{R}$  but over  $\mathbb{C}$  we have for example  $a_1 = \frac{1}{2} + \frac{i}{2}$ ,  $a_2 = \frac{1}{2} - \frac{i}{2}$ . However all possible solutions have a nonzero  $a_1$  and are hence not in G(SW) = (s).

**Example 4.5.** Lets also finish with an example where a ribbon element does exists. We generalise the Sweedler algebra as follows:

Let  $\zeta$  be a primitive nth root of unity. Let  $A_n$  be the algebra generated by a, x subject to the relations

$$a^n = 1, x^n = 0, xa = \zeta ax$$

And has co algebra structure

$$\Delta(a) = a_1 a_2, \Delta(x) = x_1 a_2 + x_2$$

It turns out that this algebra has a unique ribbon element if and only if n is odd. See proposition 7 of [2] for more details.

### References

- [1] Nastasescu C. Raianu S. Dascalescu, S. Hopf algebra: An introduction. 2000.
- [2] Louis H. Kauffman and David E. Radford. A necessary and sufficient condition for a finite-dimensional drinfeld double to be a ribbon hopf algebra. *Journal of Algebra*, 159:98–114, 1993.