

Unsupervised Deep Learning

Tutorial - Part 2

Alex Graves

gravesa@google.com



Marc'Aurelio Ranzato

ranzato@fb.com



Artificial Intelligence Research

Overview

- Practical Recipes of Unsupervised Learning
 - Learning representations
 - Learning to generate samples
 - Learning to map between two domains
- Open Research Problems



DISCLAIMER

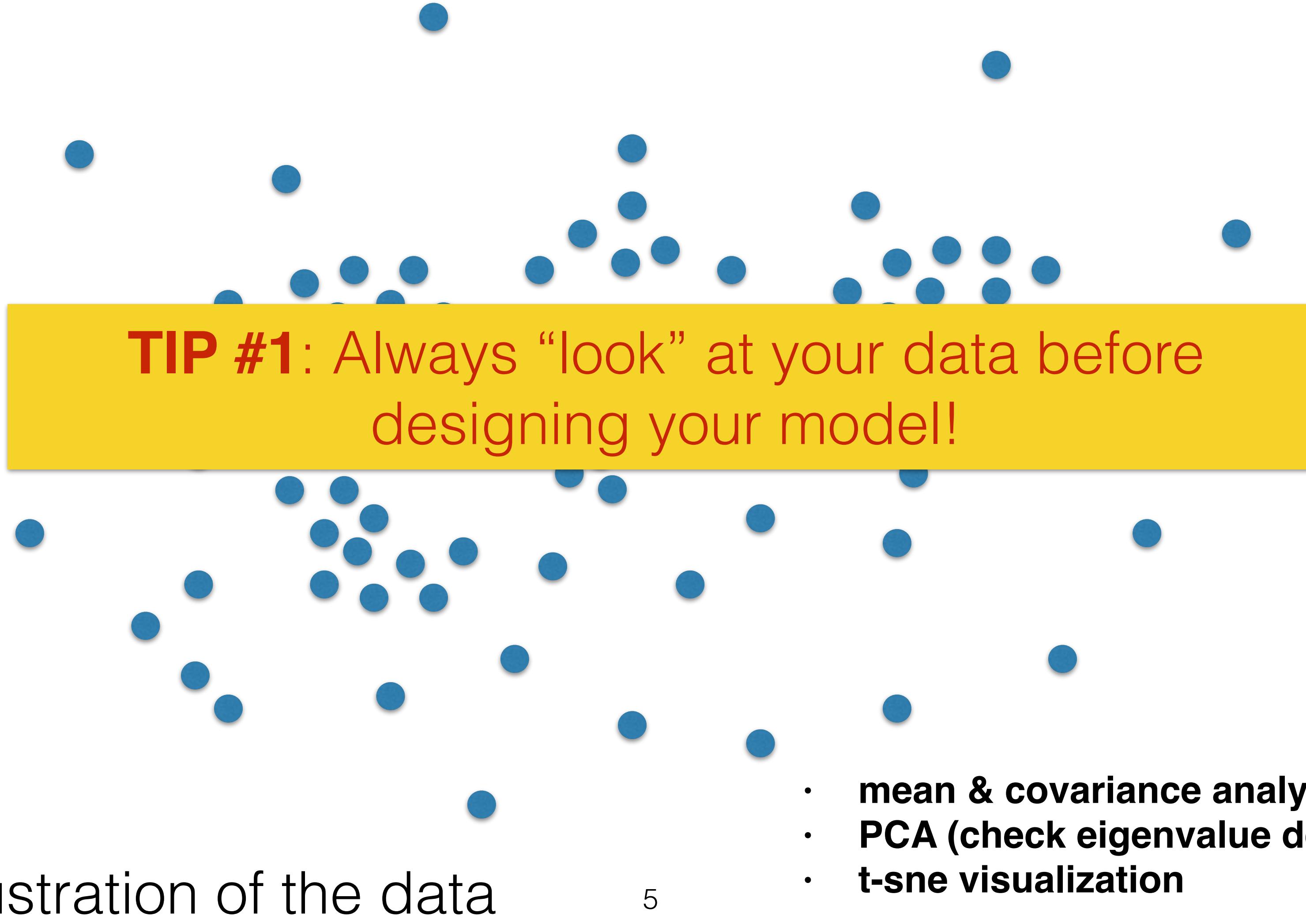
This tutorial is not an exhaustive list of all relevant works!
Goal: overview major research directions in the field and provide pointers for further reading.

Learning Representations: Continuous Case

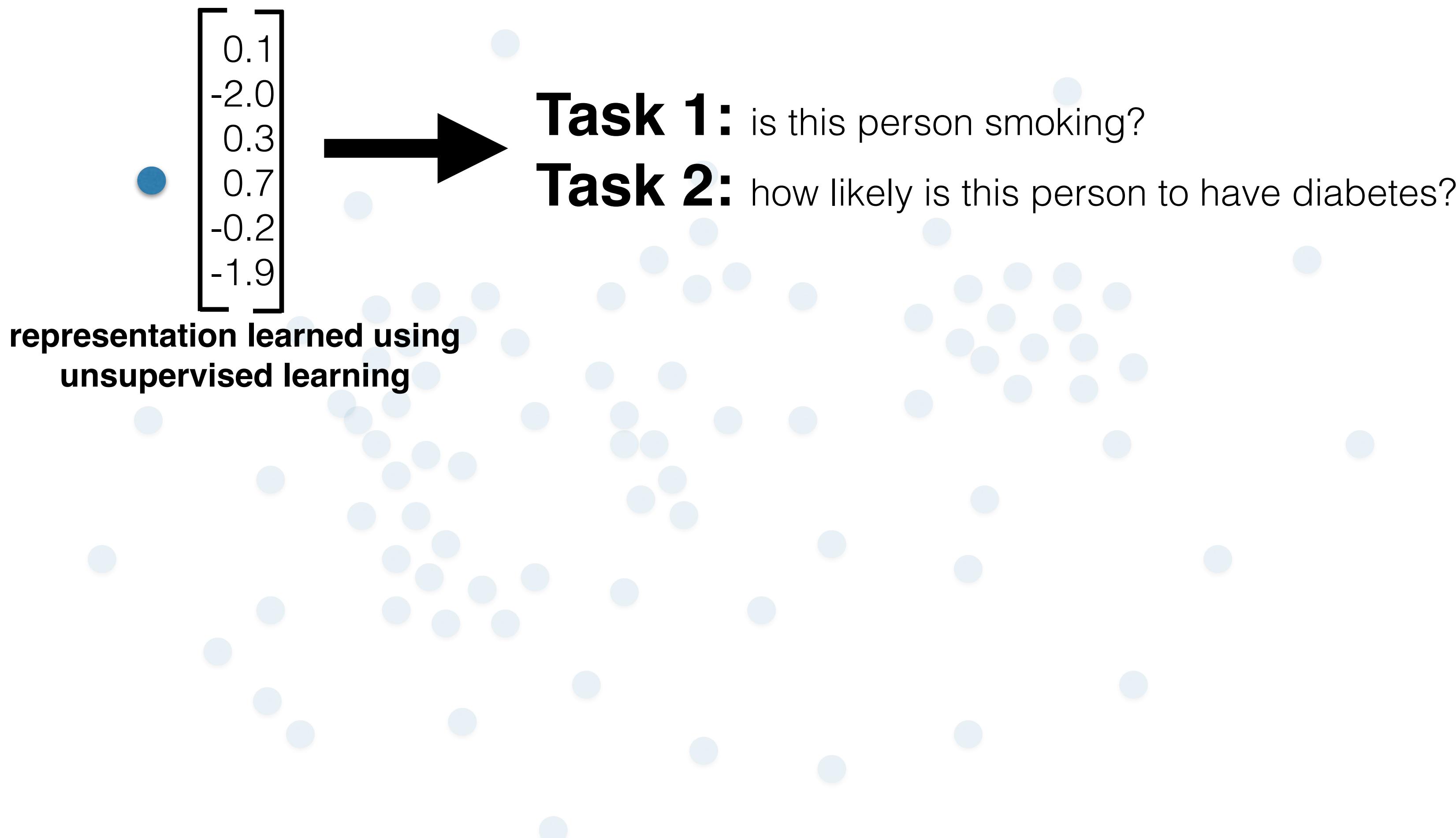


Toy illustration of the data

Learning Representations

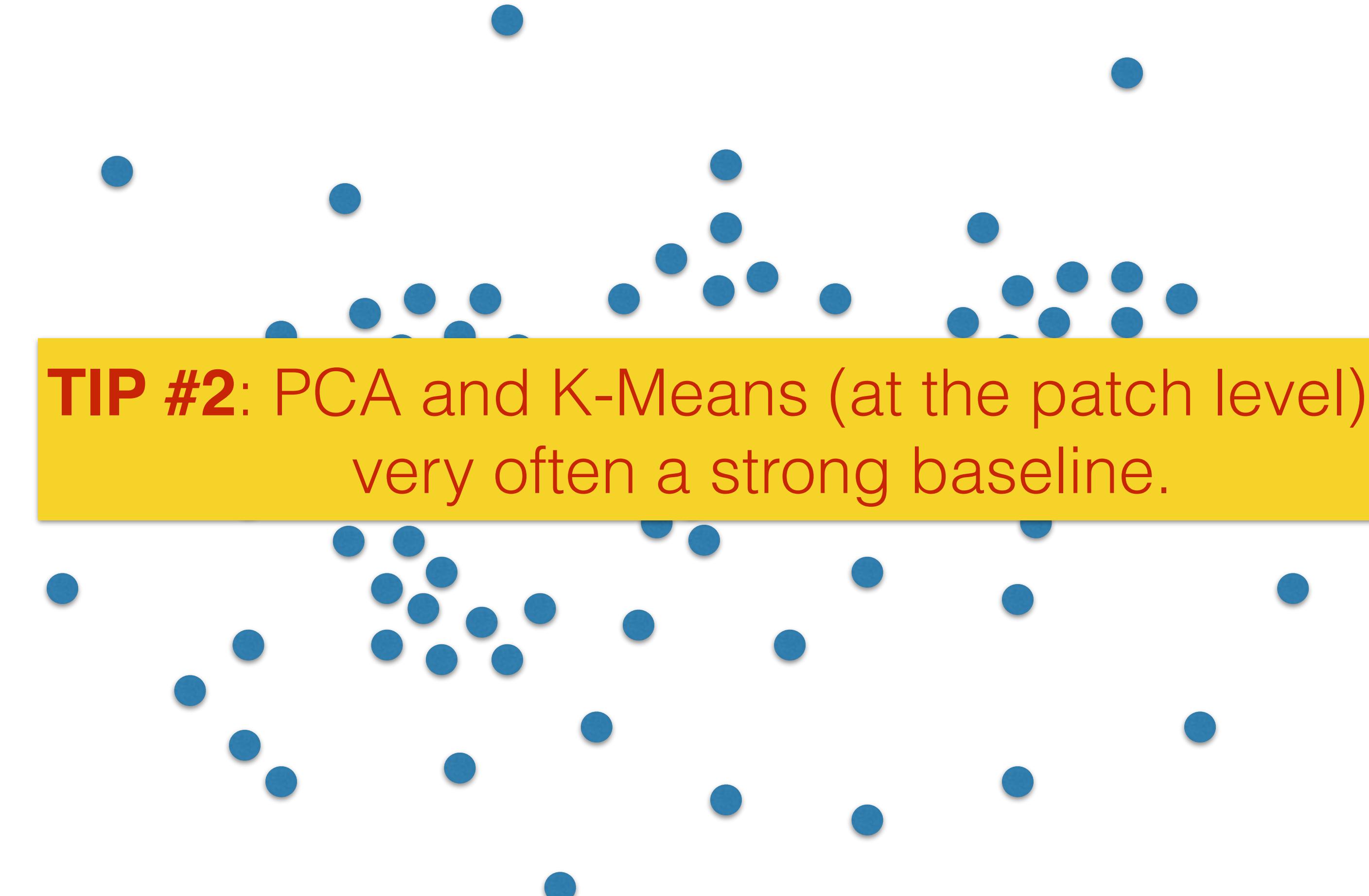


Learning Representations



Features are (hopefully) useful in down-stream tasks

Learning Representations

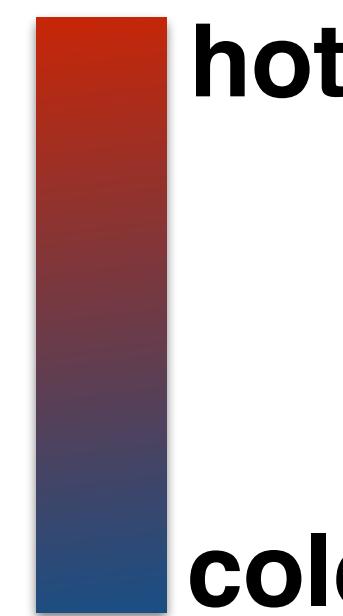
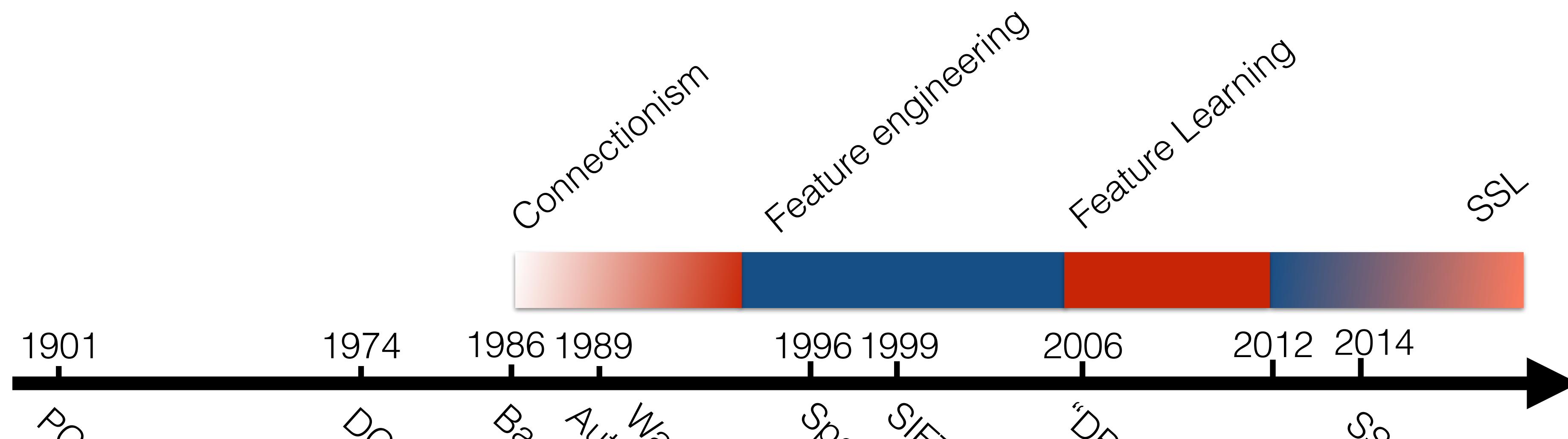
A scatter plot consisting of numerous small blue circular markers scattered across a white background. They are clustered in several distinct groups, suggesting data points in a multi-class classification problem.

TIP #2: PCA and K-Means (at the patch level) are very often a strong baseline.

Learning Visual Representations

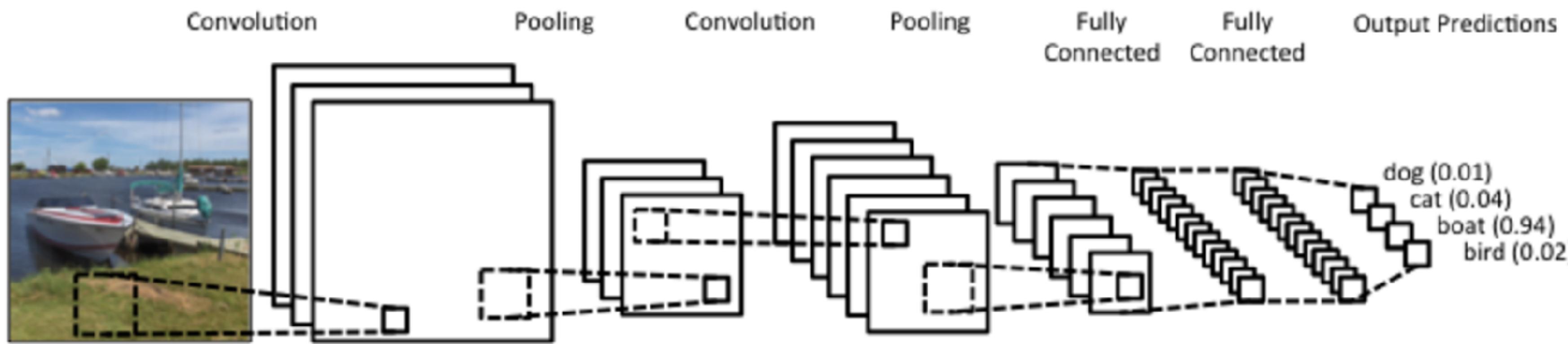
- Brief History
- Self-Supervised Learning
- Other Approaches

Unsup. Feature Learning in Vision



how ML community feels about
unsup. feature learning

The Vision Architecture



Convolutional Neural Network

Y. LeCun et al. "Gradient-Based Learning Applied to Document Recognition", IEEE 1998

A. Krizhevsky et al. "Imagenet classification with CNNs", NIPS 2012

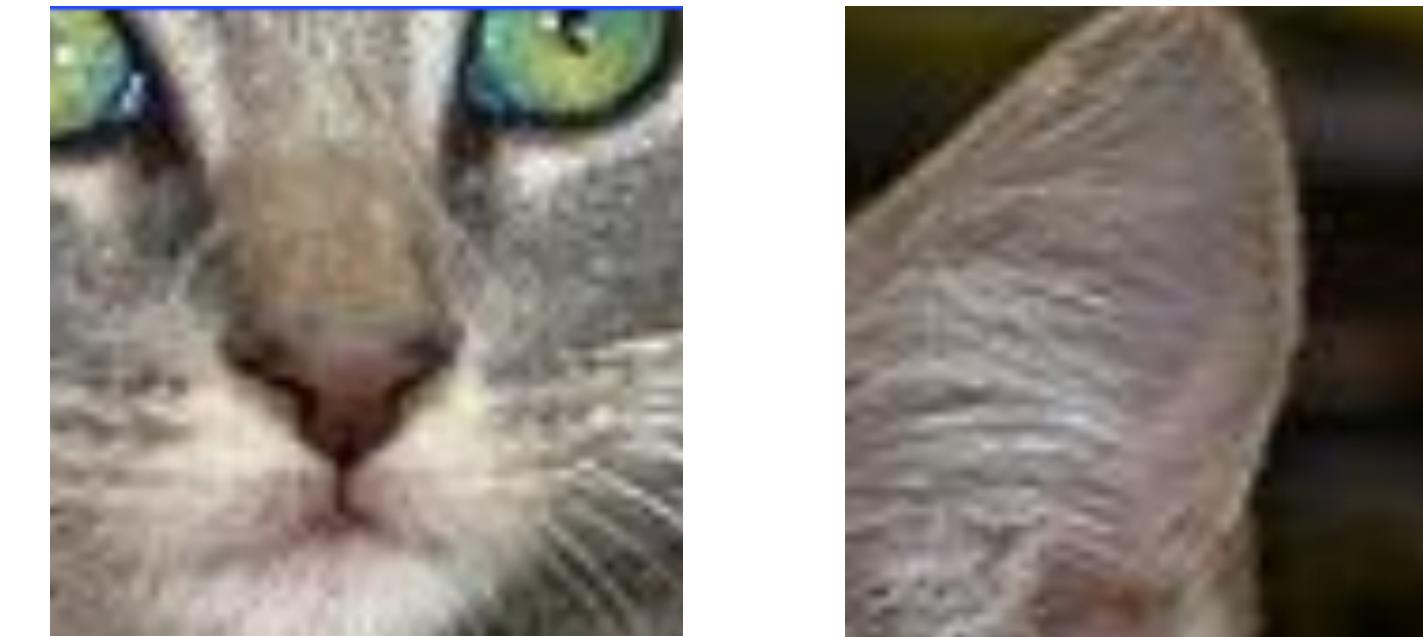
K. He et al. "Deep Residual Learning for Image Recognition", CVPR 2016

https://ranzato.github.io/publications/ranzato_deeplearn17_lec1_vision.pdf

Self-Supervised Learning

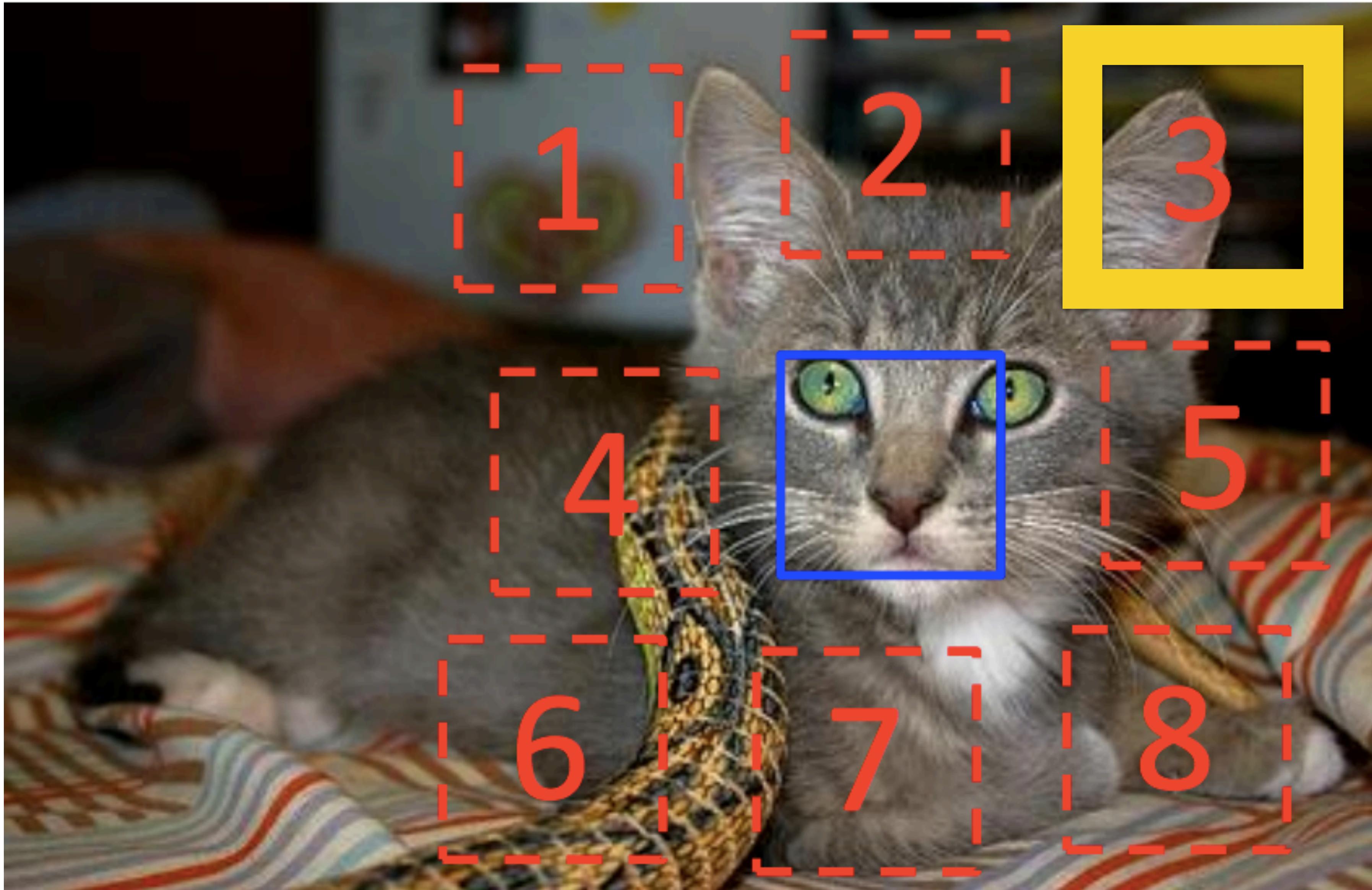
- Unsupervised learning is hard: model has to reconstruct high-dimensional input.
- With domain expertise define a prediction task which requires some semantic understanding.
 - conditional prediction (less uncertainty, less high-dimensional)
 - often times, original regression is turned into a classification task

SSL on Static Images: Example



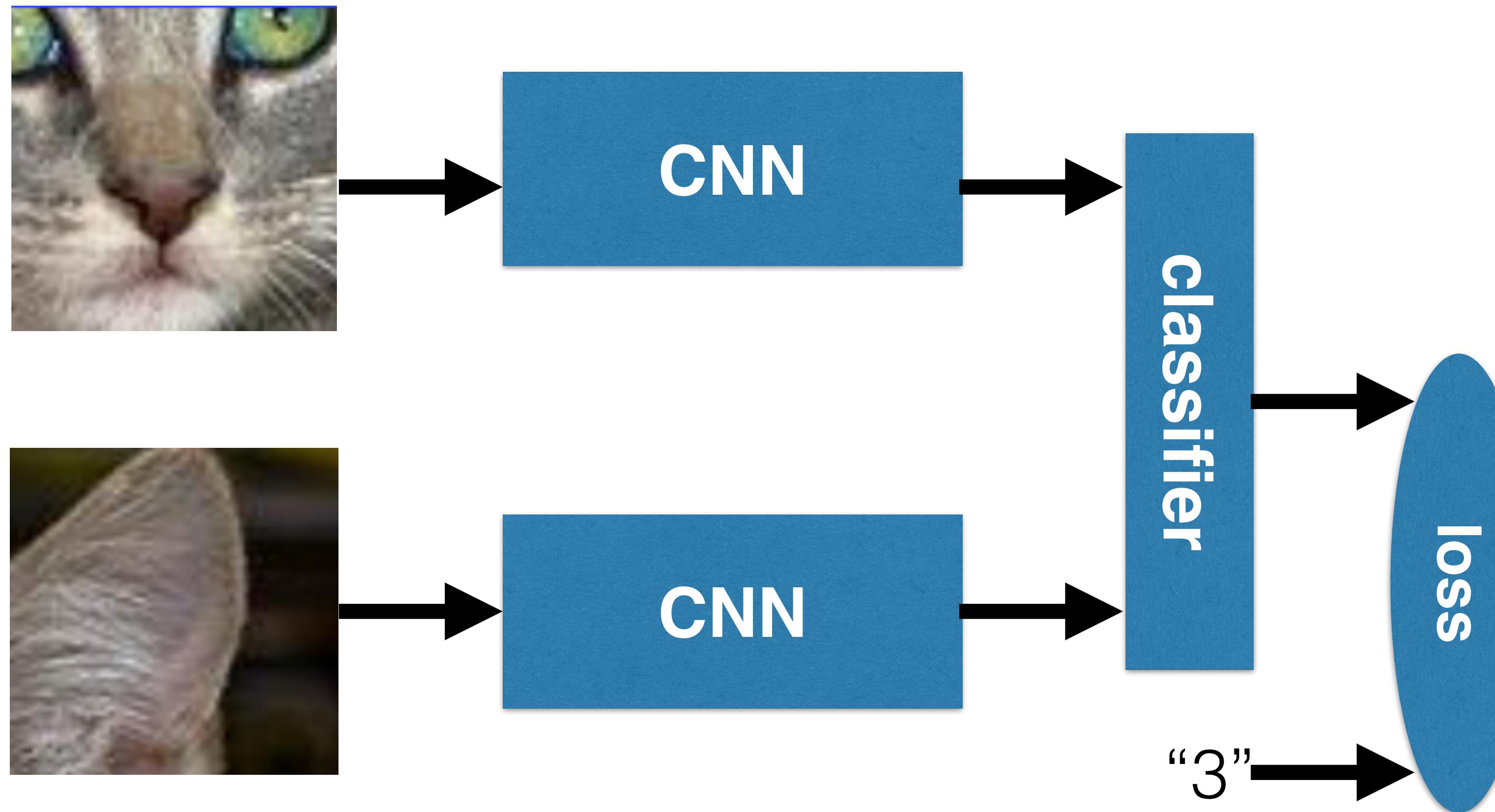
Input: two image patches from the same image.

Task: predict their spatial relationship.

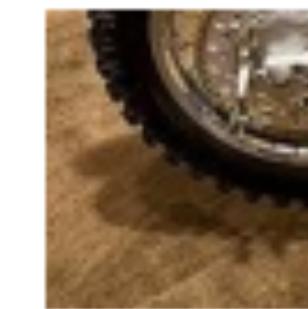


C. Doersch et al. "Unsupervised Visual Representation Learning by Context Prediction", ICCV 2015
13

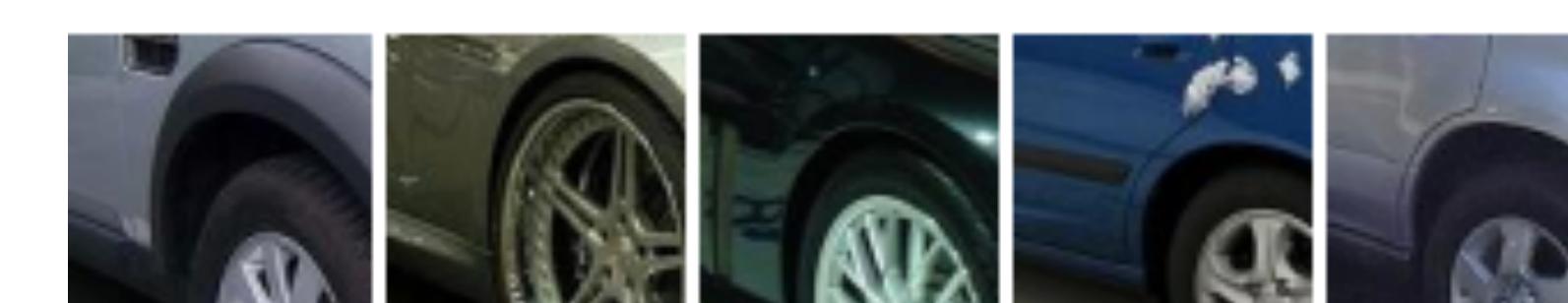
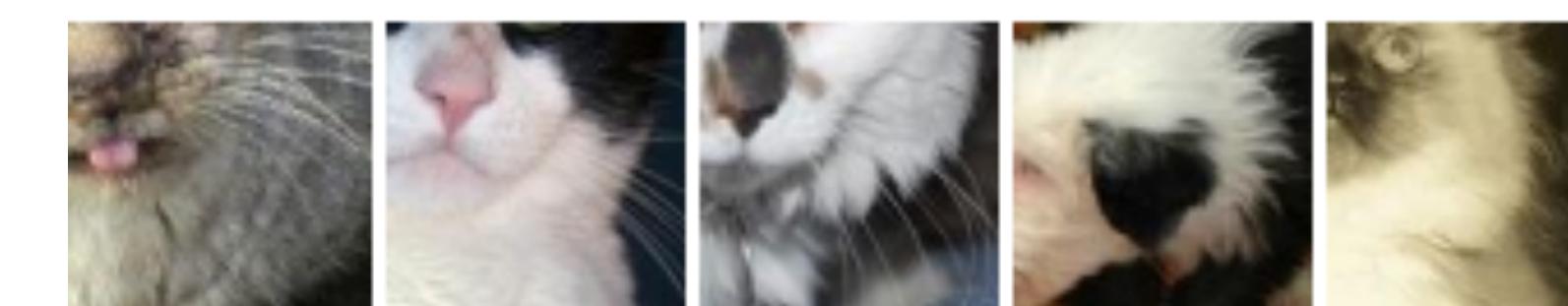
SSL on Static Images: Example



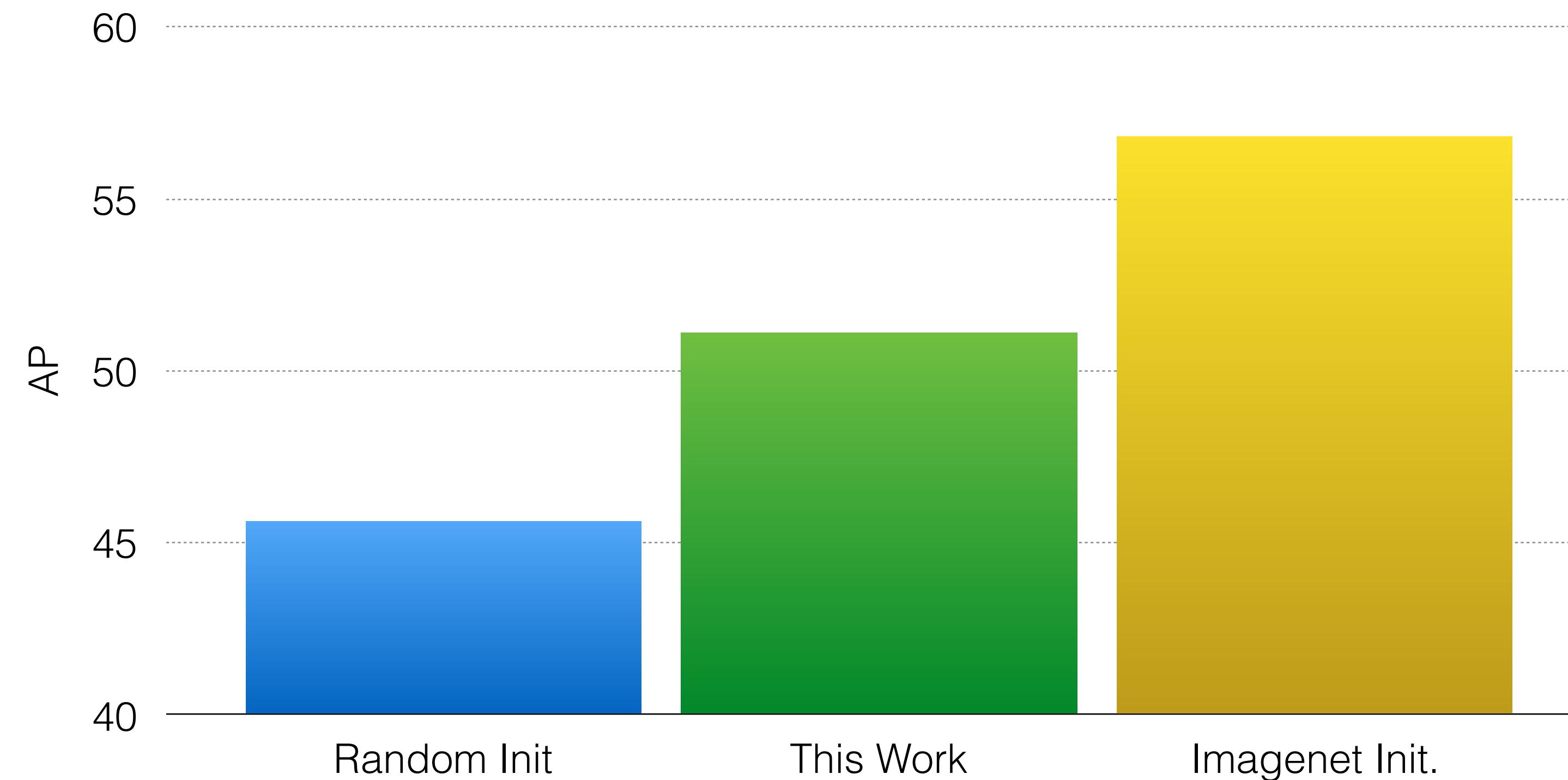
Input



Nearest Neighbors in Feature Space

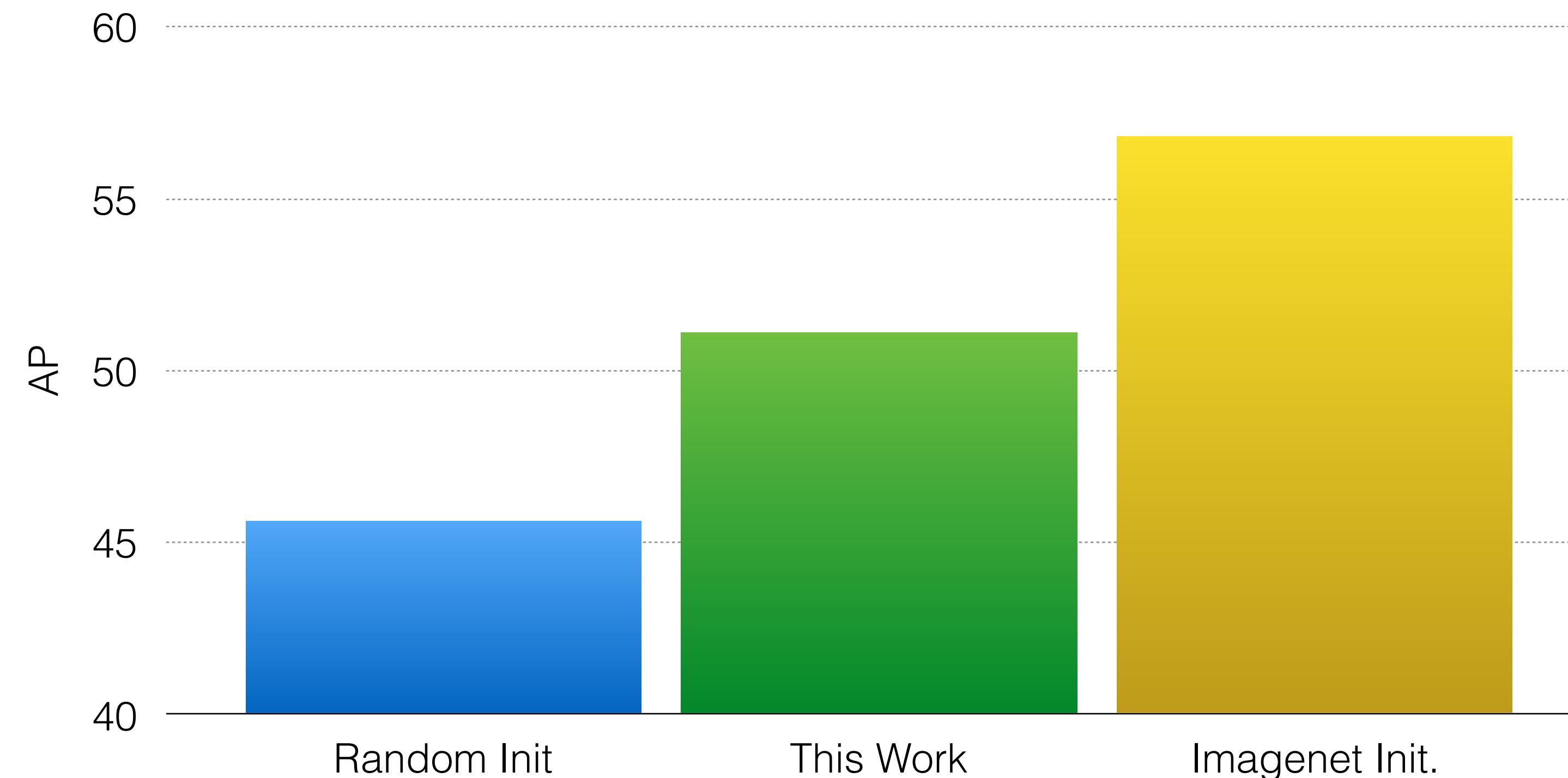


Pascal VOC Detection



Pascal VOC Detection

K. He et al. “Rethinking ImageNet pretraining”, arXiv 2018 shows that with better normalization and with longer training, random initialization works as well as ImageNet pretraining!



SSL on Static Images: Other Examples

- Predict color from gray scale values.
R. Zhang et al. “Colorful Image Colorization”, ECCV 2016
- Predict image rotation
S. Gidaris et al. “Unsupervised Representation Learning by Predicting Image Rotations”, ICLR 2018

TIP #3: Often times, you can learn features without explicitly predicting pixel values.

TIP #4: If you are OK using domain knowledge, you can learn using a variety of auxiliary tasks.

SSL on Videos: Example

- Predict whether the video snippet is playing **forward** or **backward**.
- Requires to understand gravity, causality, friction, ...



FWD

D. Wei et al. “Self-supervision using the arrow of time”, CVPR 2018

SSL on Videos: Example

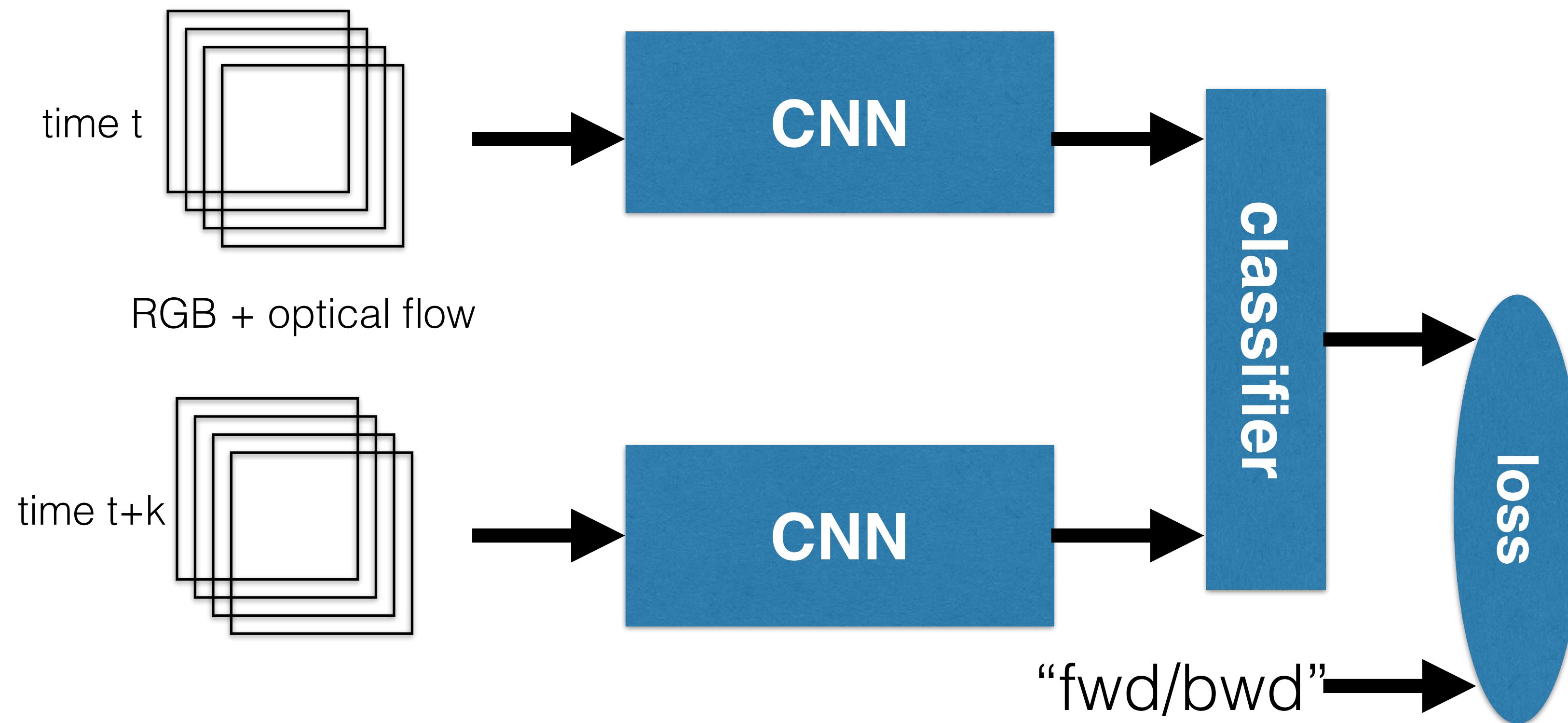
- Predict whether the video snippet is playing **forward** or **backward**.
- Requires to understand gravity, causality, friction, ...



BWD

D. Wei et al. “Self-supervision using the arrow of time”, CVPR 2018

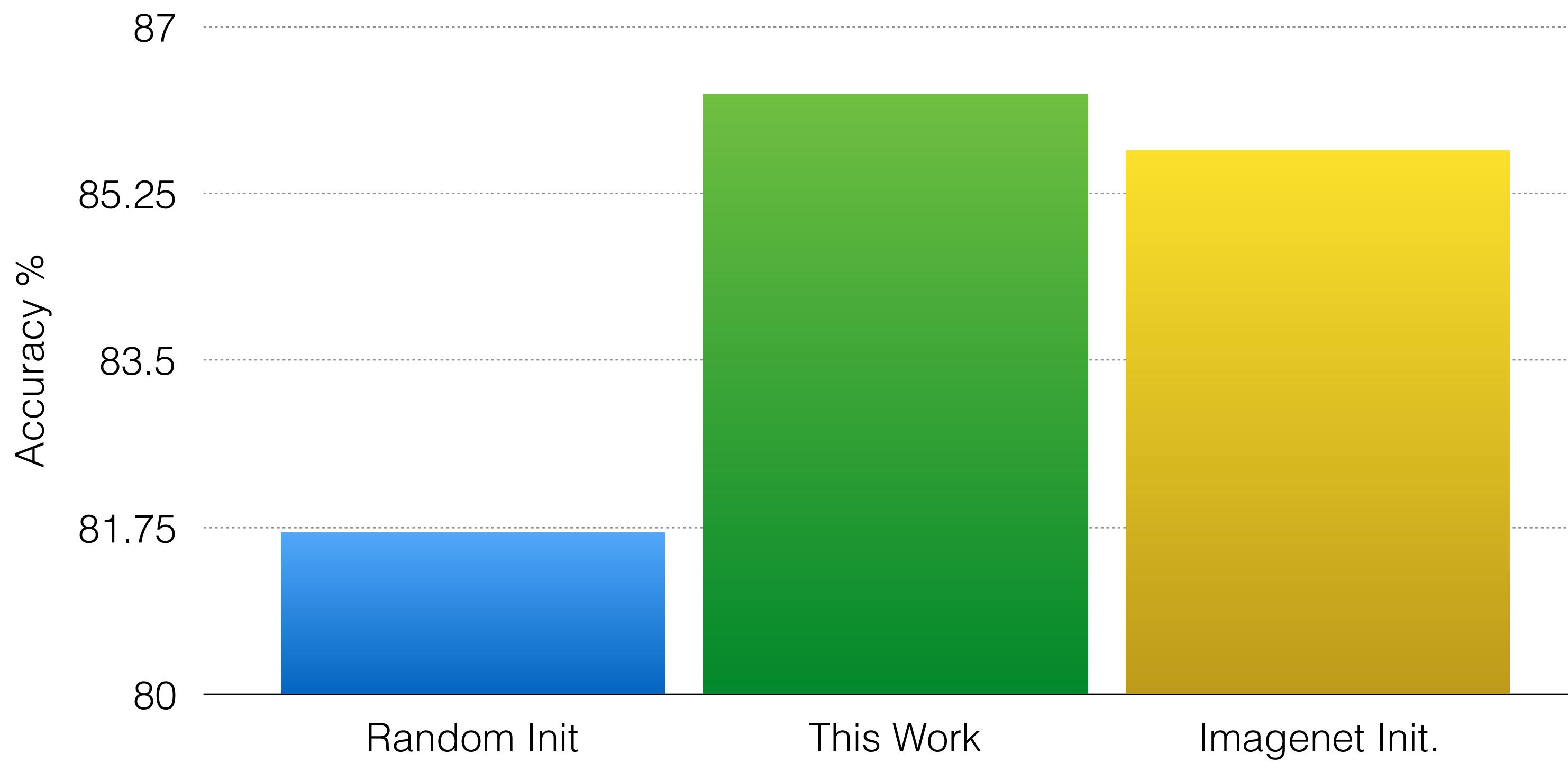
SSL on Videos: Example



D. Wei et al. “Self-supervision using the arrow of time”, CVPR 2018

UCF101 Action Recognition

First train using SSL, and then finetune on the task.



SSL: Other Examples

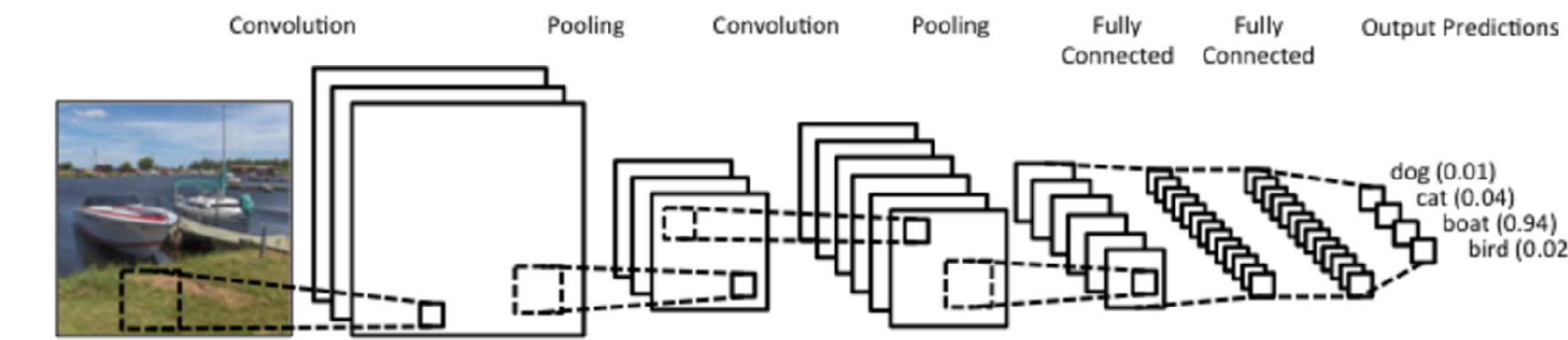
- Learn features by colorizing video sequences.
C. Vondrik et al. “Tracking emerges from colorizing videos”, ECCV 2018
- Predict whether and how frames are shuffled
I. Misra et al. “Shuffle and laern: unsupervised learning using temporal order verification”, ECCV 2016
- Future frame prediction
E. Denton et al. “Unsupervised learning of disentangled representations from video”, NIPS 2017
- Predict one modality from the other
V. de Sa “Learning classification from unlabeled data”, NIPS 1994
- ...
R. Arandjelovic et al. “Object that sound”, ECCV 2018

Learning Visual Representations

- Brief History
- Self-Supervised Learning
- **Other Approaches**

Learning by Clustering

- CNN architecture has many good inductive biases, such as:
 - spatio-temporal stationarity,
 - scale invariance,
 - compositionality, etc.
- (Small) random filters have orientation-frequency selectivity.
- As a result, even randomly initialized CNNs extract non-trivial features.



Learning by Clustering

Randomly initialize the CNN.

Repeat:

1. Extract features from each image and run K-Means in feature space.
2. Train the CNN in supervised mode to predict the cluster id associated to each image (1 epoch).

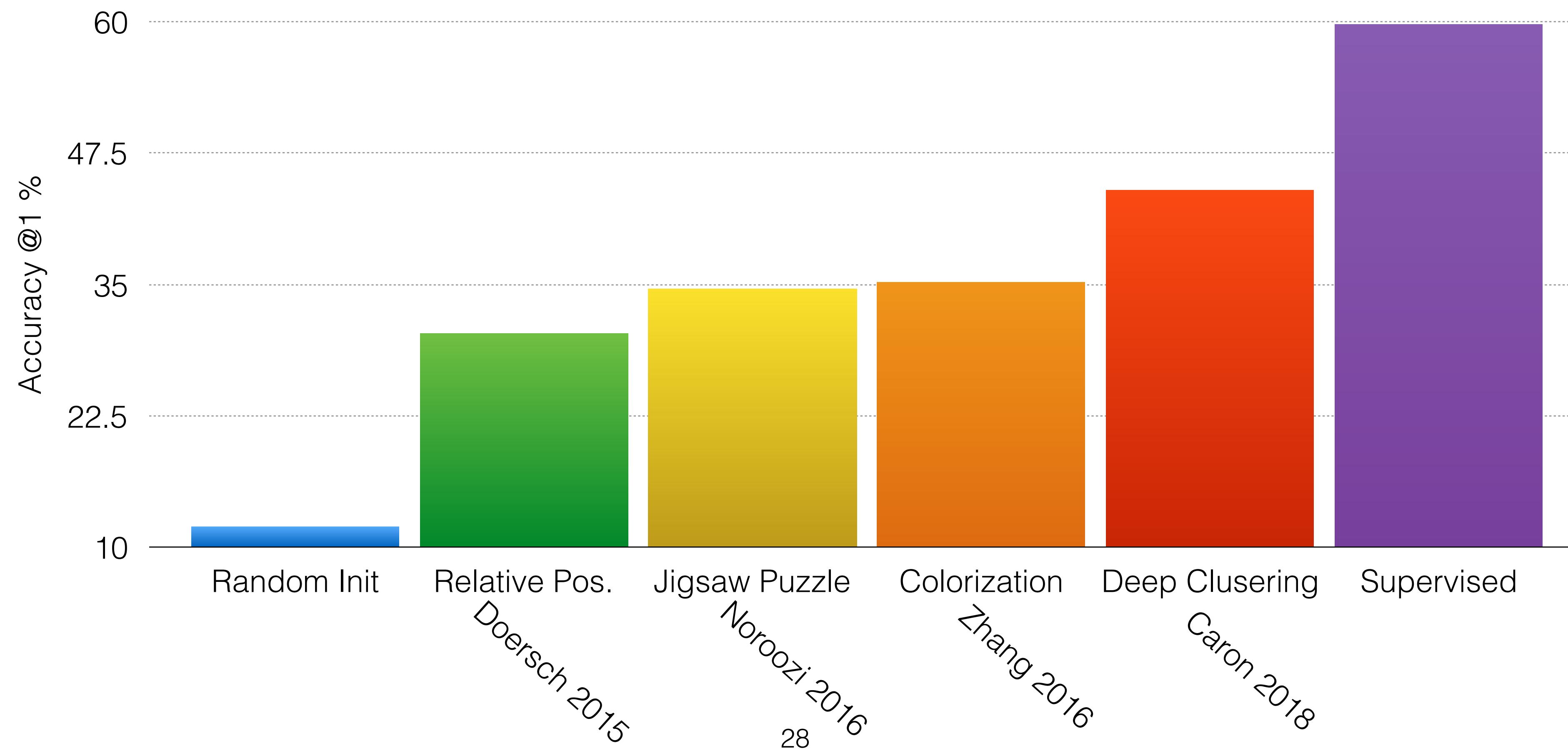
Learning by Clustering

Caveat: watch out for cheating...

- cluster collapsing (re-assign images to empty clusters)
- equalize clusters at training time

ImageNet Classification

First train unsupervised, then train MLP with supervision using unsupervised features.



Conclusions on Unsupervised Learning of Visual Features

- In general, still a sizable gap between unsupervised feature learning and supervised learning in vision.
- Pixel prediction is hard, many recent approaches define auxiliary classification tasks.
- Domain knowledge can inform the design of tasks that require some level of semantic understanding.
- Network will “cheat” if you are not careful:
 - check for trivial solutions
 - check for biases and artifacts in the data

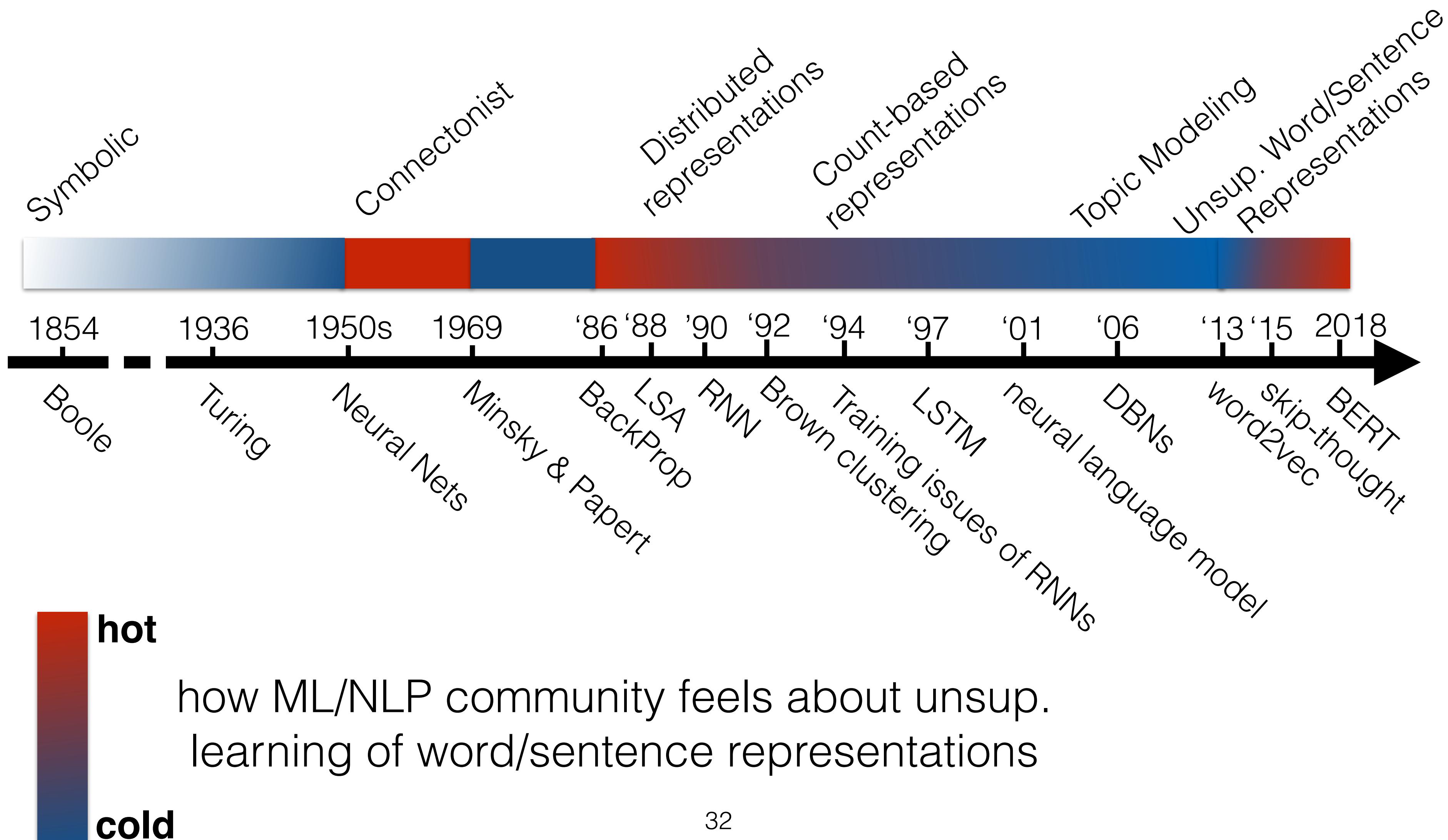
Overview

- Practical Recipes of Unsupervised Learning
 - **Learning representations:** continuous / **discrete**
 - Learning to generate samples: continuous / discrete
 - Learning to map between two domains: continuous / discrete
 - Open Research Problems

Vision \longleftrightarrow NLP

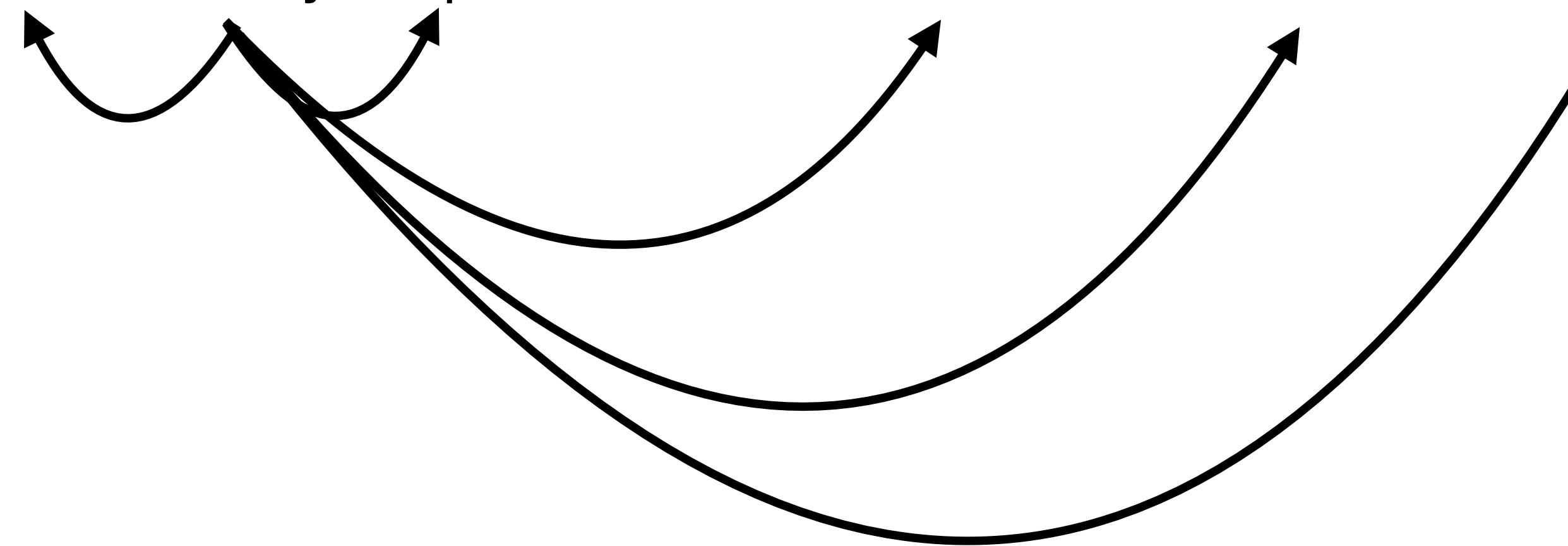
- Atomic unit:
 - a word in NLP carries a lot of information.
 - a pixel value in Vision carries negligible information
- Nature of the signal:
 - discrete in NLP: search is hard but modeling of uncertainty is easy.
 - continuous in Vision: search is easy but modeling of uncertainty is hard.

Unsup. Feature Learning in NLP



word2vec

“All of the sudden a **cat** jumped from a tree to chase a mouse.”

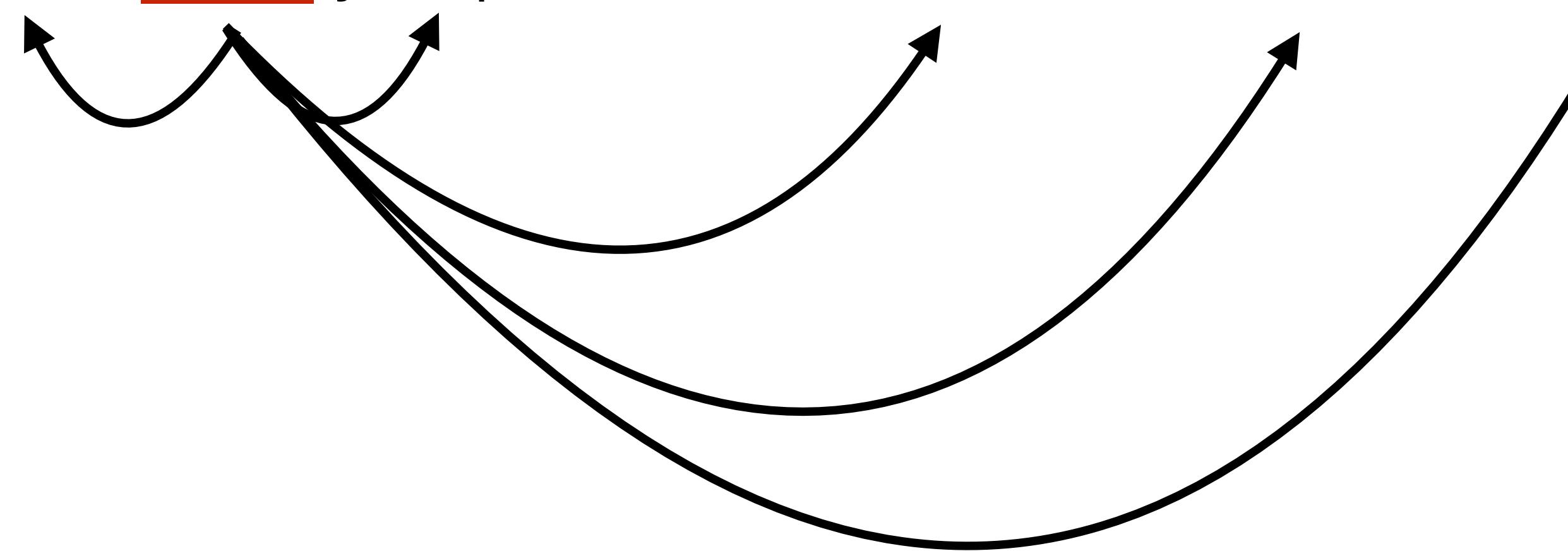


The meaning of a word is determined by its context.

T. Mikolov et al. “Efficient estimation of word representations in vector space” arXiv 2013

word2vec

“All of the sudden a _____ jumped from a tree to chase a mouse.”

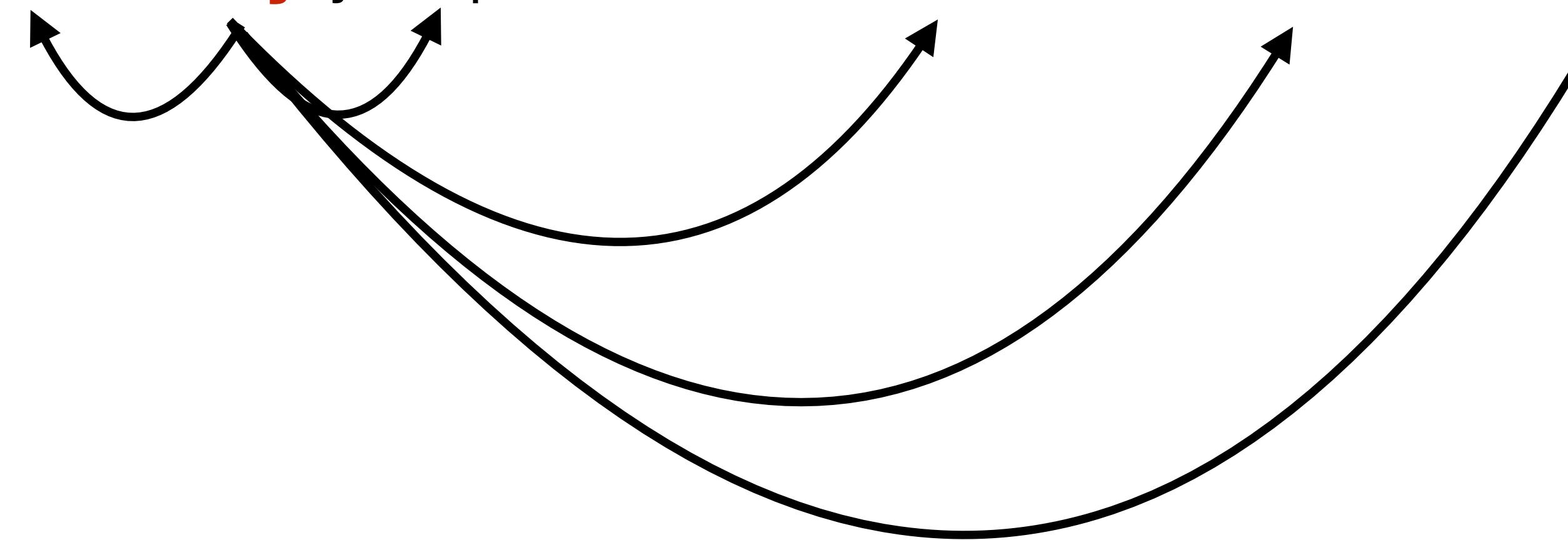


The meaning of a word is determined by its context.

T. Mikolov et al. “Efficient estimation of word representations in vector space” arXiv 2013

word2vec

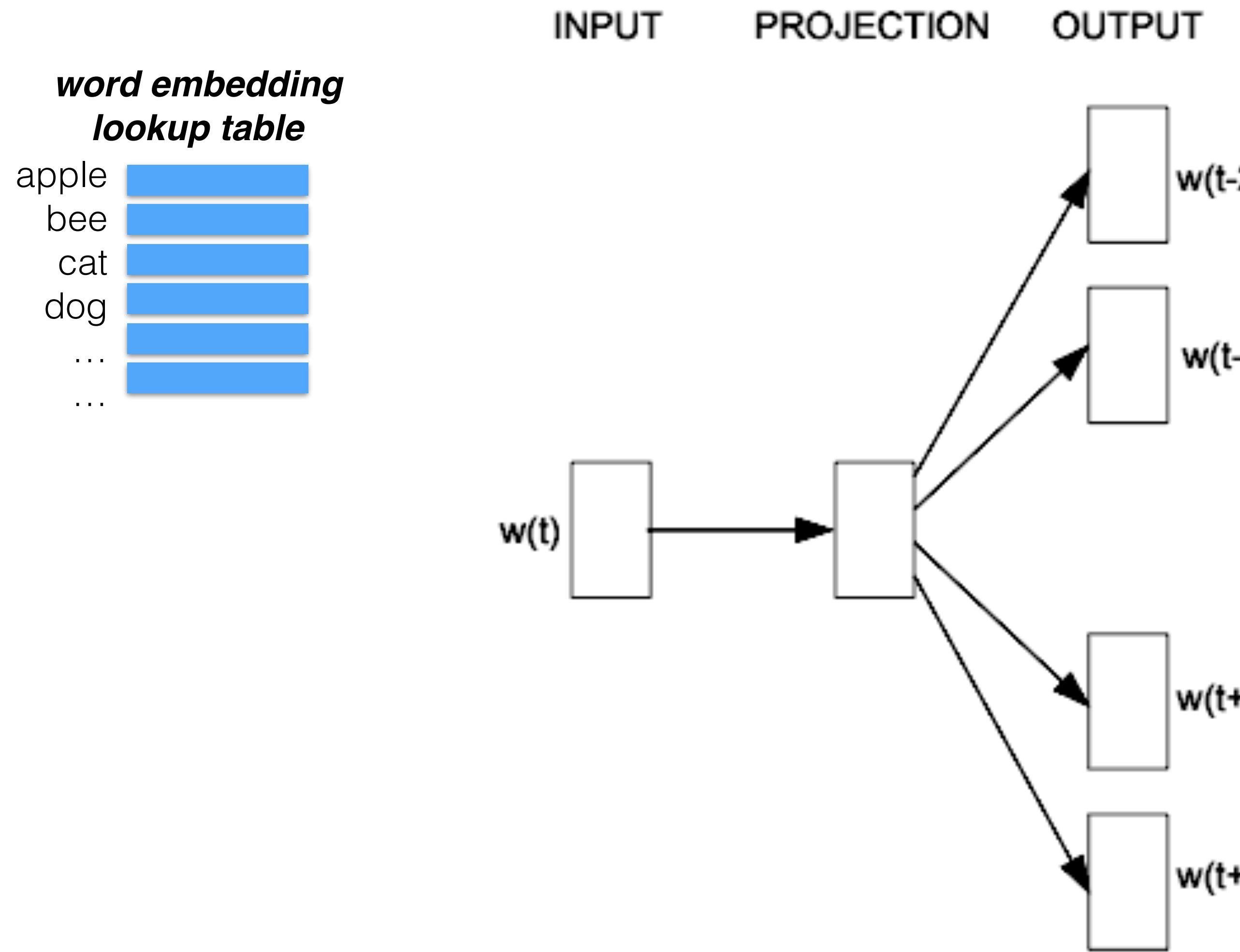
“All of the sudden a **kitty** jumped from a tree to chase a mouse.”



The meaning of a word is determined by its context.

Two words mean similar things if they have similar context.

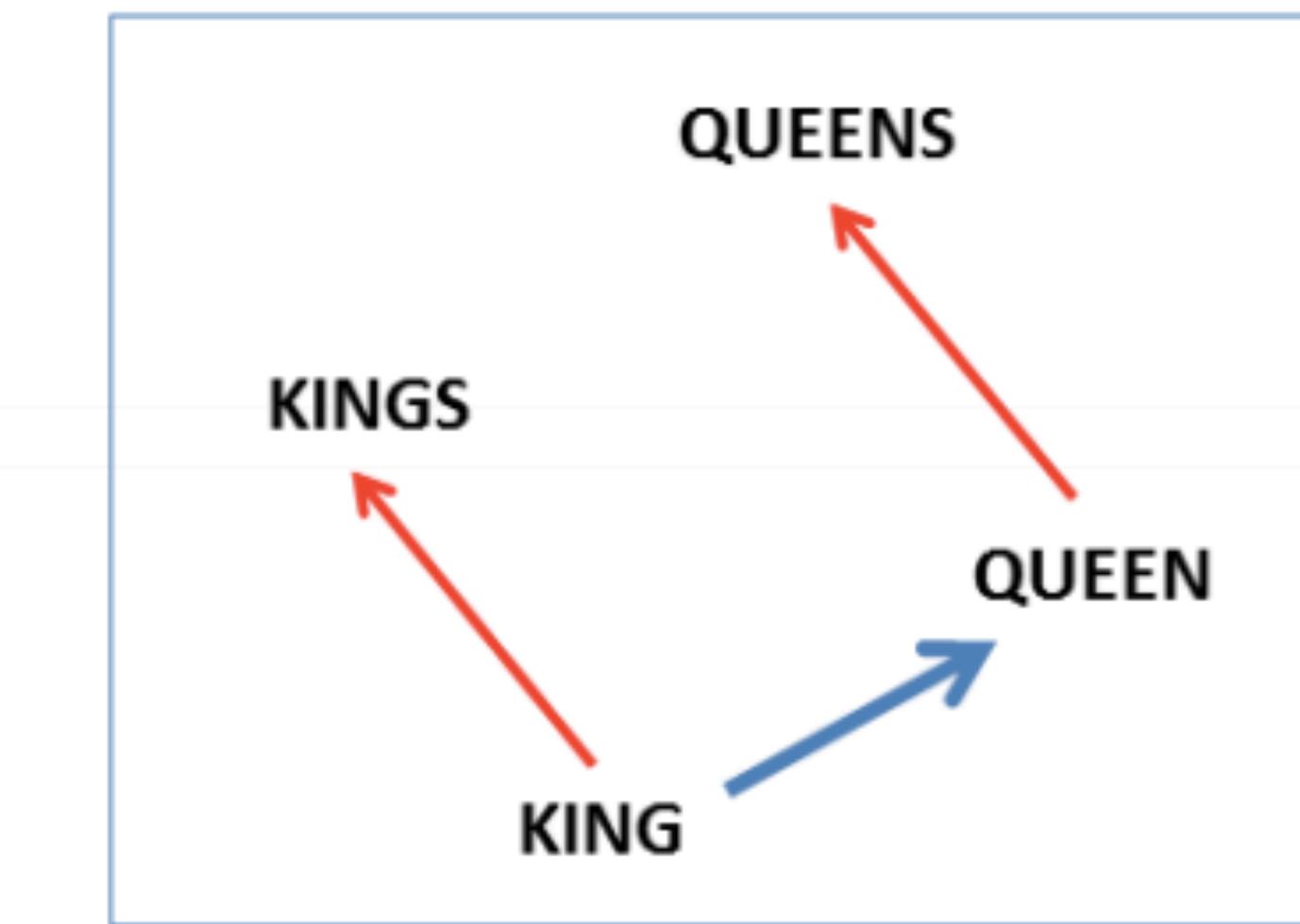
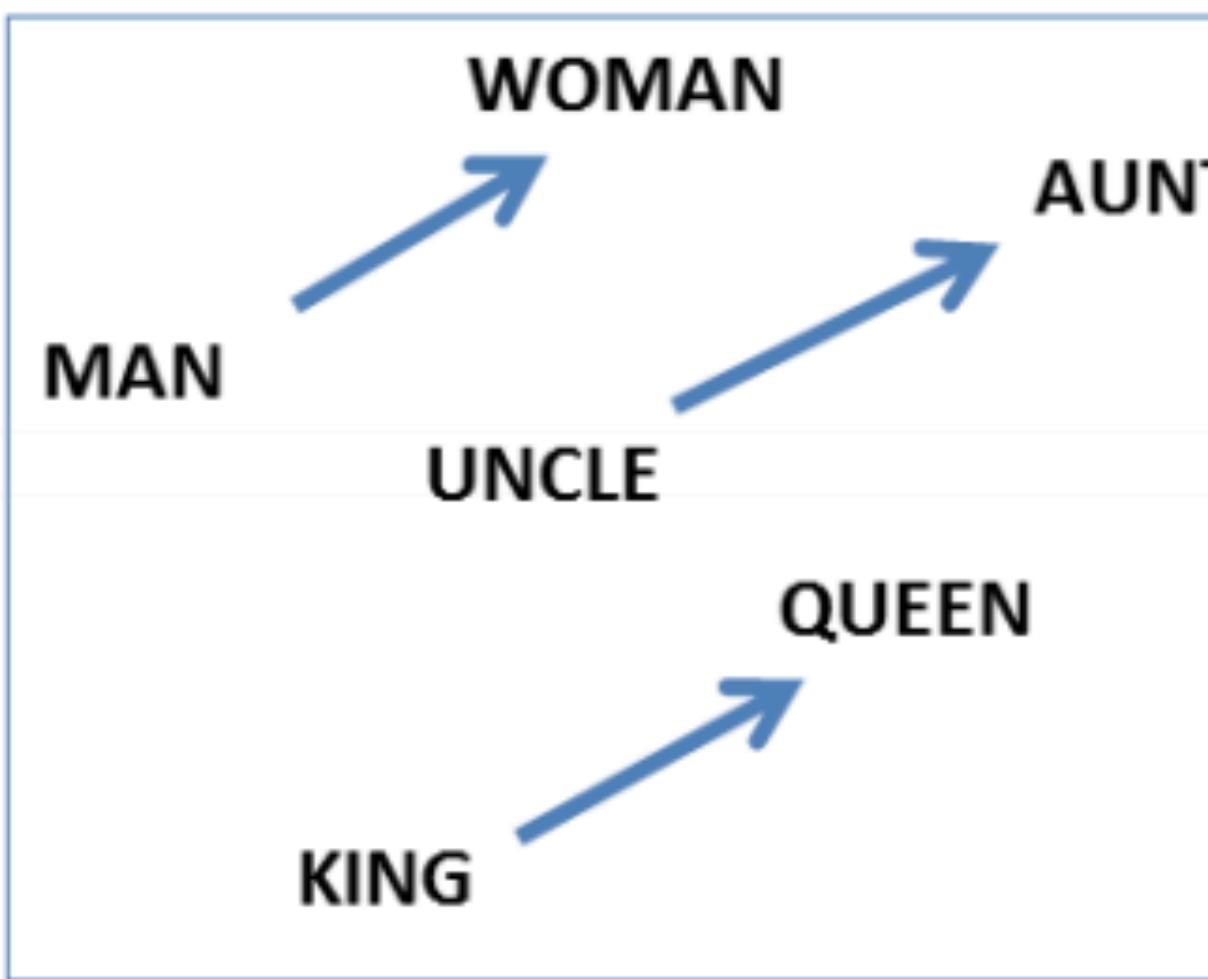
T. Mikolov et al. “Efficient estimation of word representations in vector space” arXiv 2013



The meaning of a word is determined by its context.
 Two words mean similar things if they have similar context.

T. Mikolov et al. "Efficient estimation of word representations in vector space" arXiv 2013

Linguistic Regularities in Word Vector Space



- The word vector space implicitly encodes many regularities among words

Recap word2vec

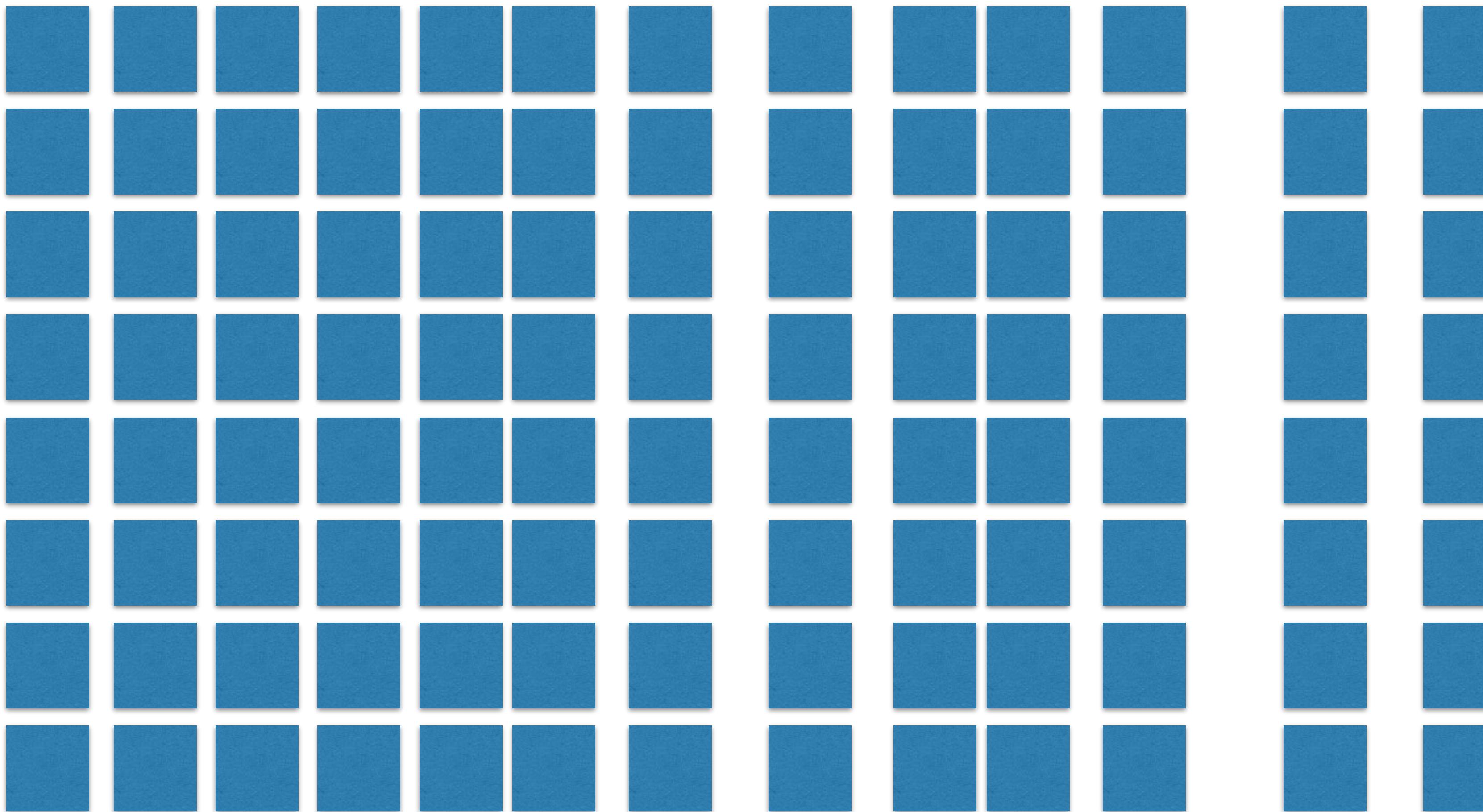
- Word embeddings are useful to:
 - understand similarity between words
 - convert *any discrete input* into continuous -> ML
- Learning leverages large amounts of unlabeled data.
- It's a very simple factorization model (shallow).
- There are very efficient tools publicly available.

<https://fasttext.cc/>

Representing Sentences

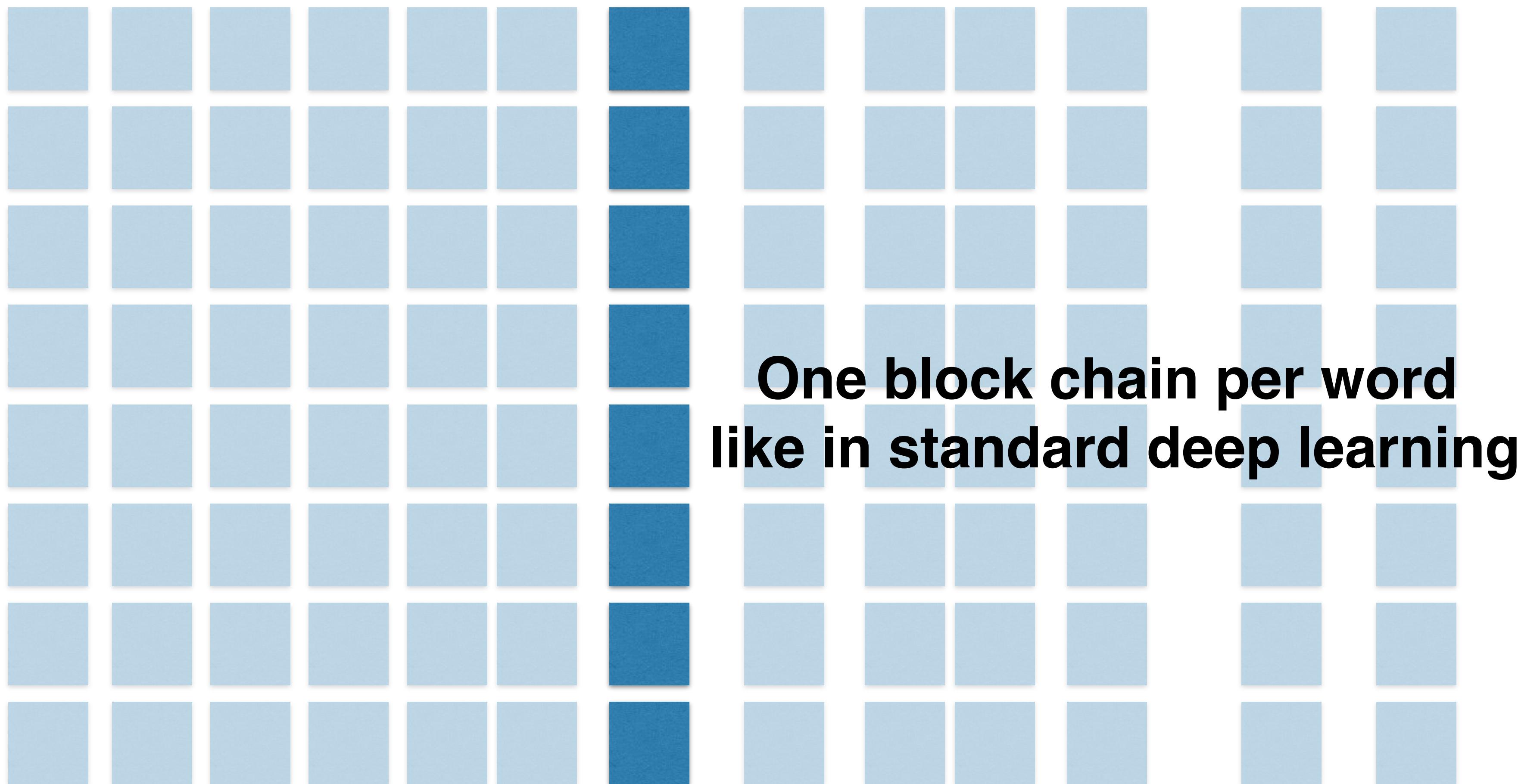
- word2vec can be extended to small phrases, but not much beyond that.
- Sentence representation needs to leverage compositionality.
- A lot of work on learning unsupervised sentence representations (auto-encoding / prediction of nearby sentences).

BERT



< s > The cat sat on the mat < sep > It fell asleep soon after

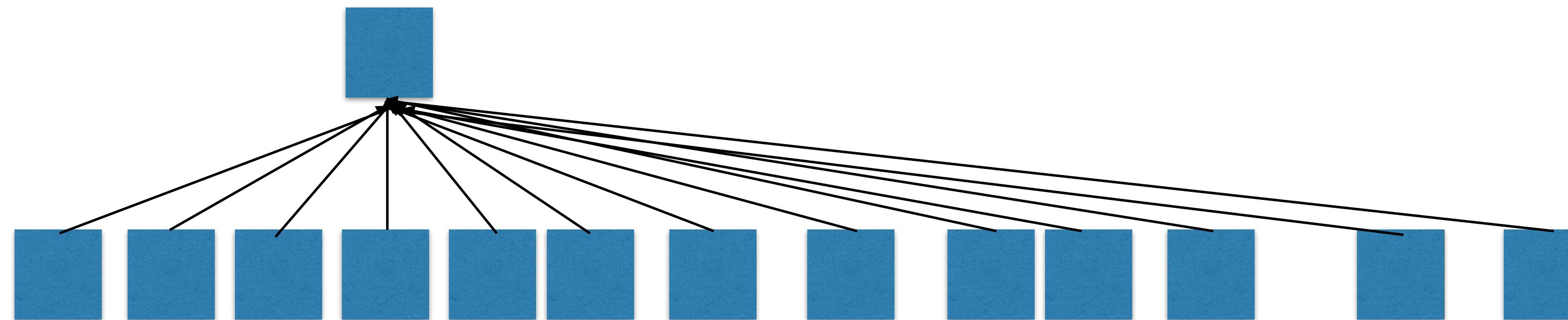
BERT



< s > The cat sat on the mat < sep > It fell asleep soon after

BERT

**Each block receives input from all the blocks below.
Mapping must handle variable length sequences...**



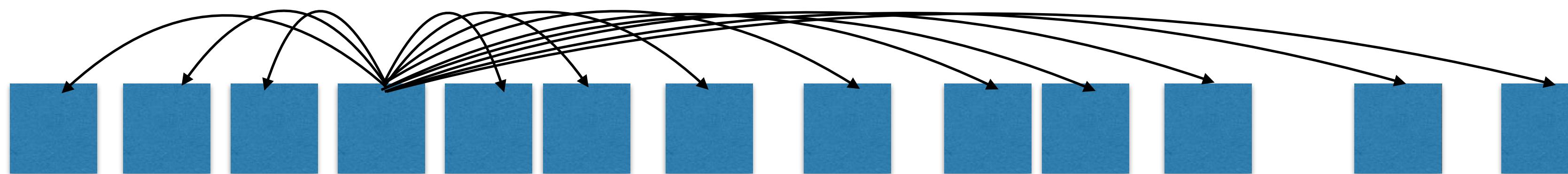
<s> The cat sat on the mat <sep> It fell asleep soon after

BERT

This accomplished by using **attention
(each block is a Transformer)**

For each layer and for each block in a layer do (simplified version):

- 1) let each current block representation at this layer be: h_j
- 2) compute dot products: $h_i \cdot h_j$
- 3) normalize scores: $\alpha_i = \frac{\exp(h_i \cdot h_j)}{\sum_k \exp(h_k \cdot h_j)}$
- 4) compute new block representation as in: $h_j \leftarrow \sum_k \alpha_k h_k$



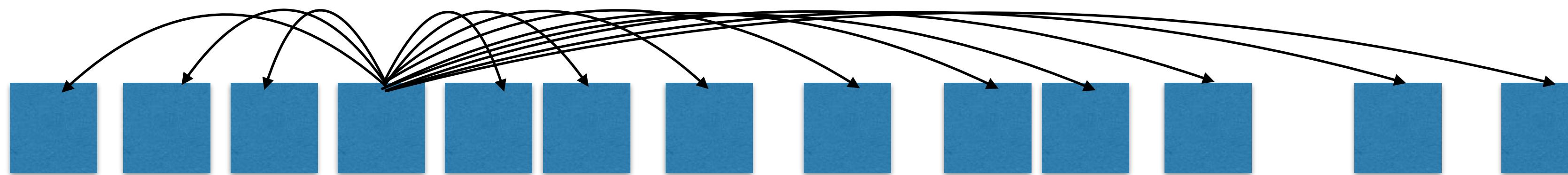
< s > The cat sat on the mat < sep > It fell asleep soon after

BERT

This accomplished by using **attention
(each block is a Transformer)**

For each layer and for each block in a layer do (simplified version):

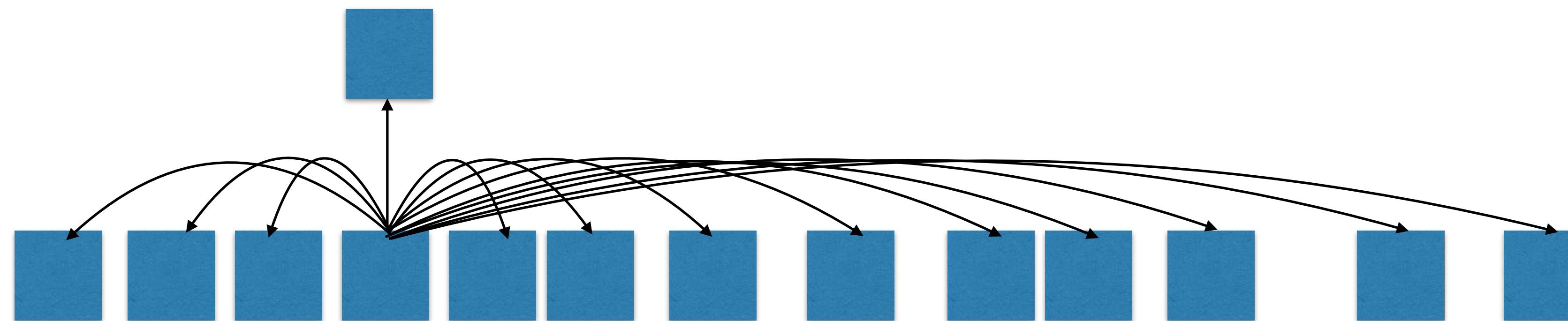
- 1) let each current block representation at this layer be: h_j
- 2) compute dot products: $h_i \cdot h_j$ in practice different features are used
at each of these steps...
- 3) normalize scores: $\alpha_i = \frac{\exp(h_i \cdot h_j)}{\sum_k \exp(h_k \cdot h_j)}$
- 4) compute new block representation as in: $h_j \leftarrow \sum_k \alpha_k h_k$



<S> The cat sat on the mat <sep> It fell asleep soon after

BERT

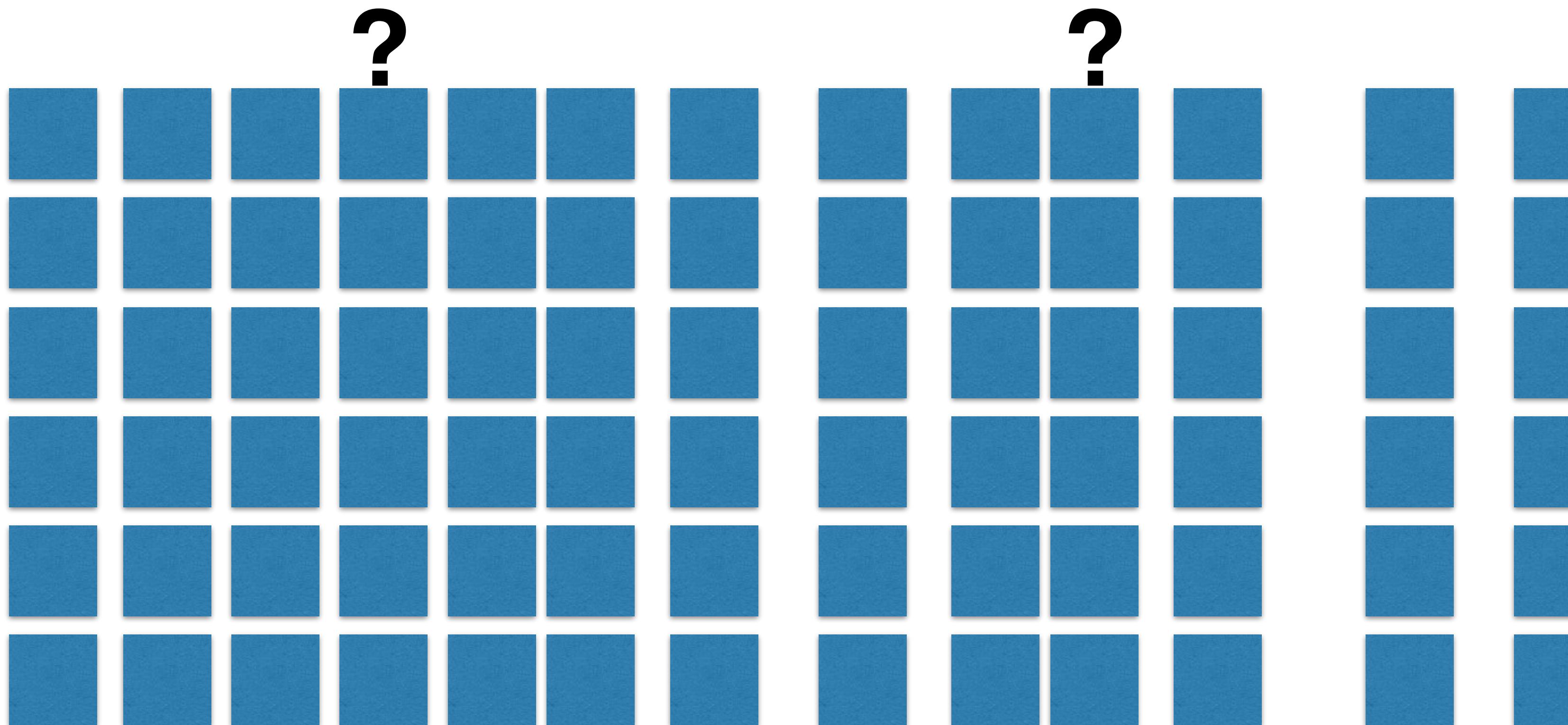
**The representation of each word at each layer
depends on all the words in the context.
And there are lots of such layers...**



< s > The cat sat on the mat < sep > It fell asleep soon after

BERT: Training

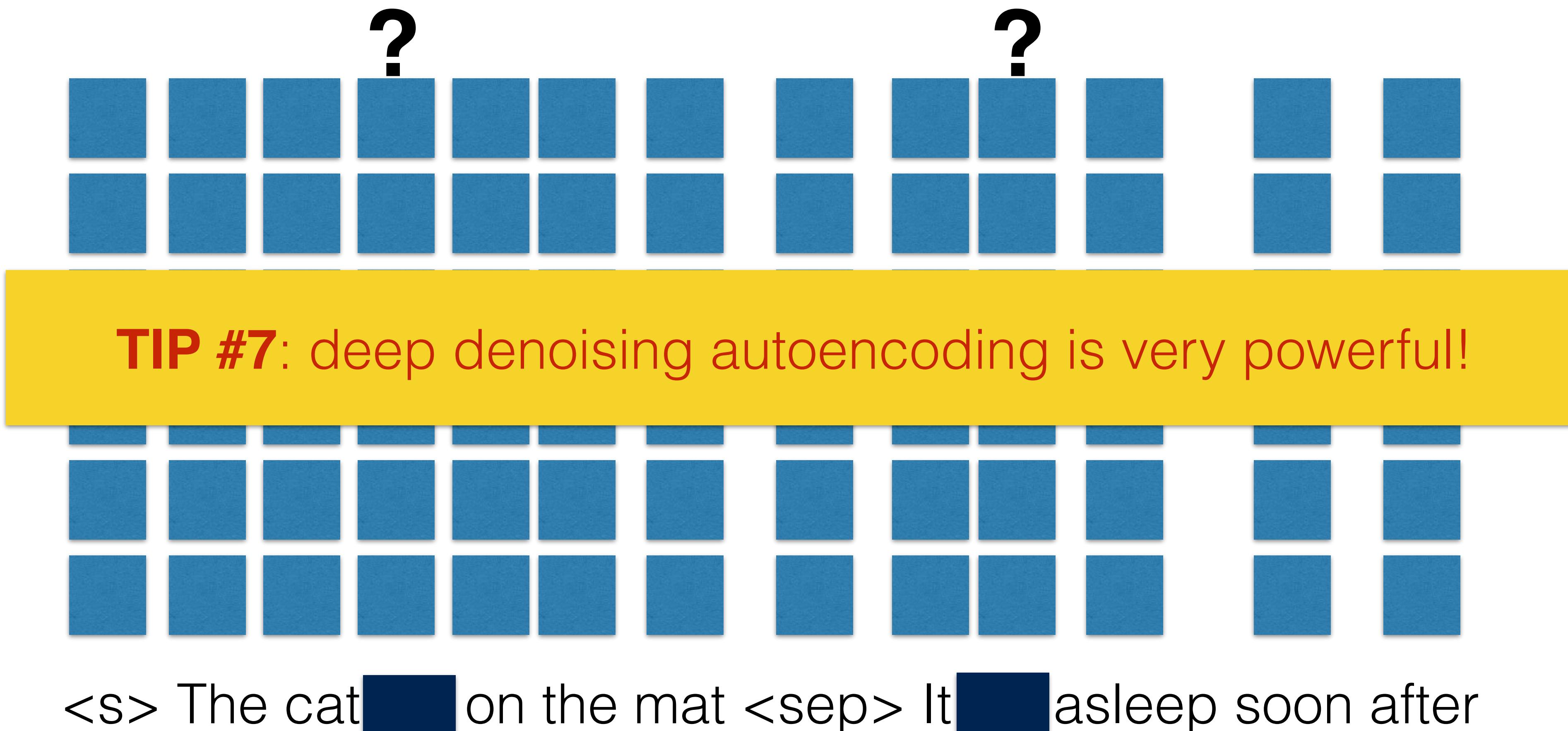
Predict blanked out words.



< s > The cat █ on the mat < sep > It █ asleep soon after

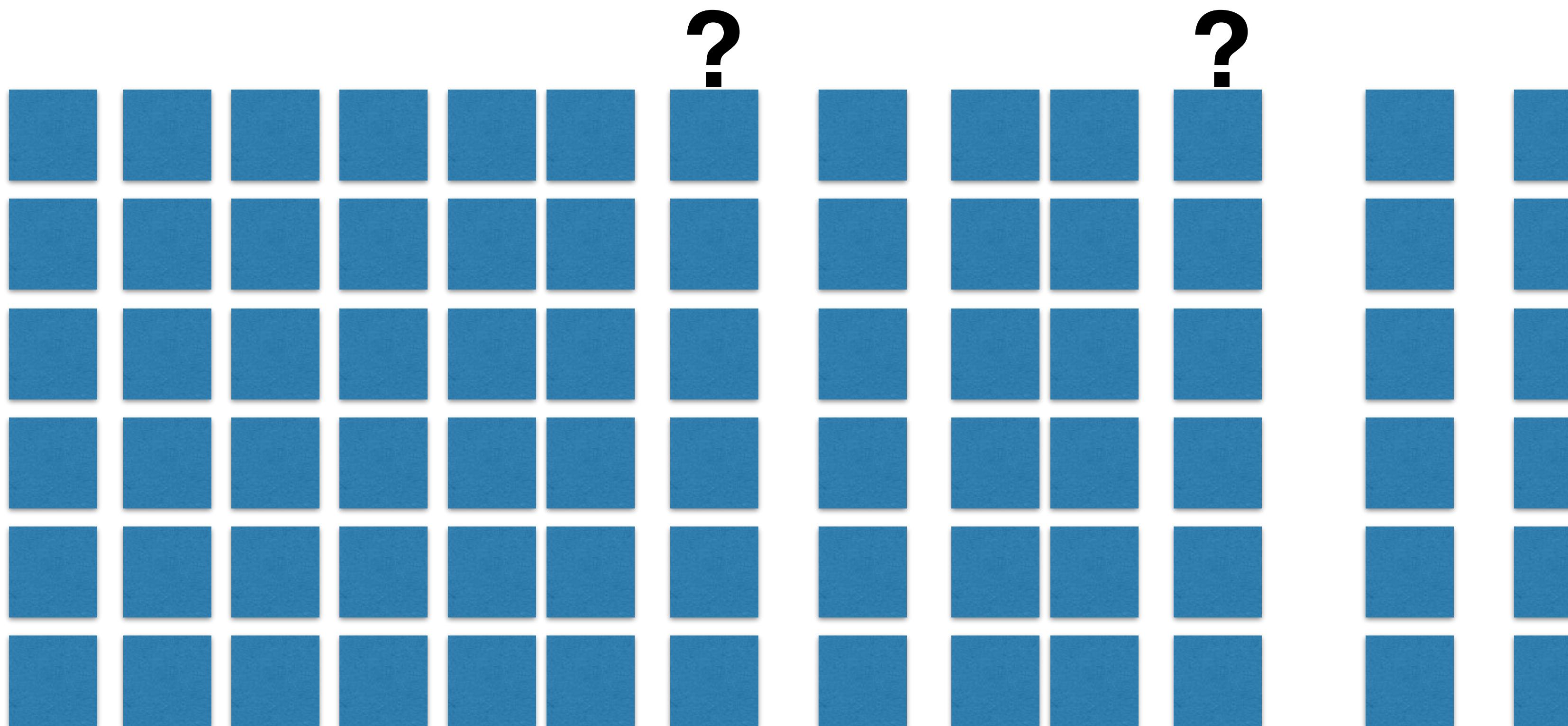
BERT: Training

Predict blanked out words.



BERT: Training

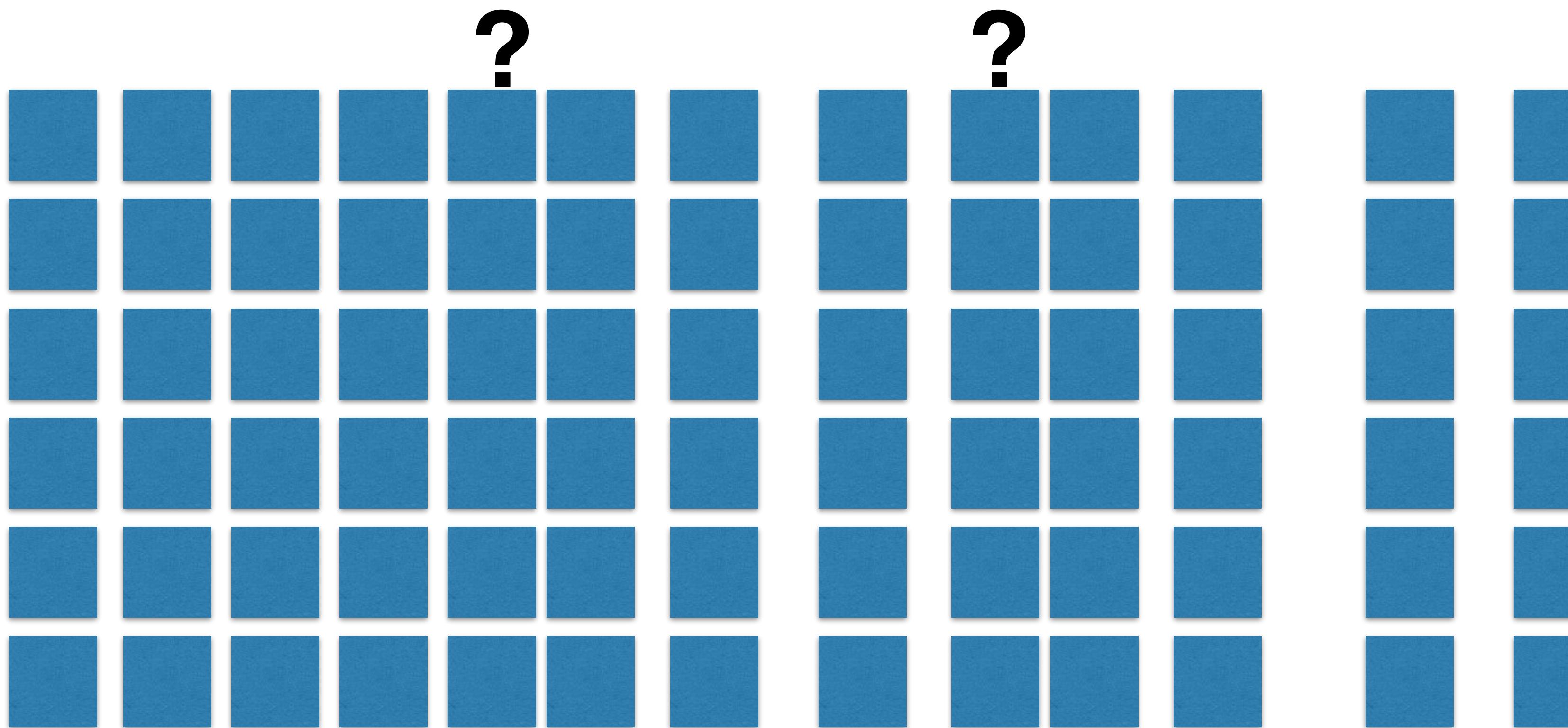
Predict words which were replaced with random words.



< s > The cat sat on the wine < sep > It fell scooter soon after

BERT: Training

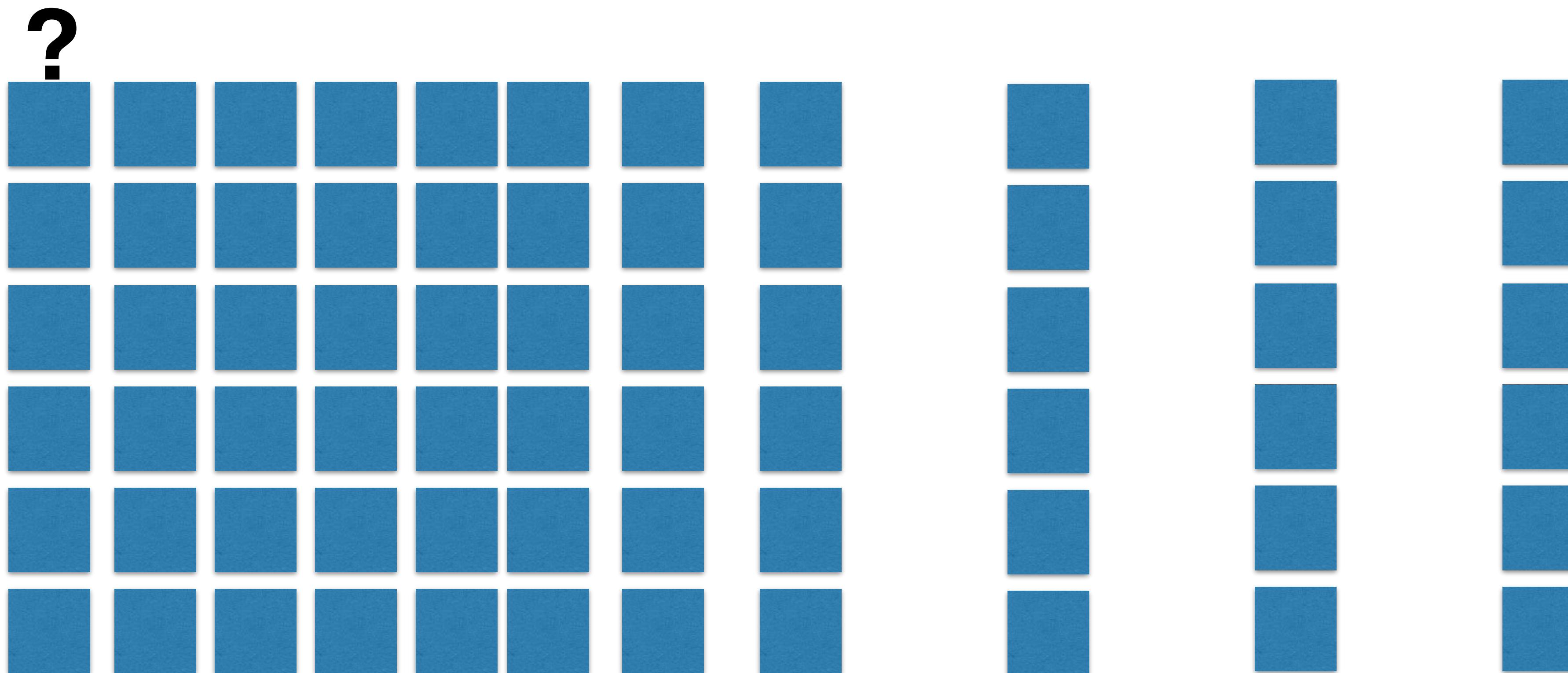
Predict words from the input.



< s > The cat sat on the mat < sep > It fell asleep soon after

BERT: Training

Predict whether the next sentence is taken at random.



< s > The cat sat on the mat < sep > Unsupervised learning rocks

GLUE Benchmark (11 tasks)

Unsupervised pretraining followed by supervised finetuning



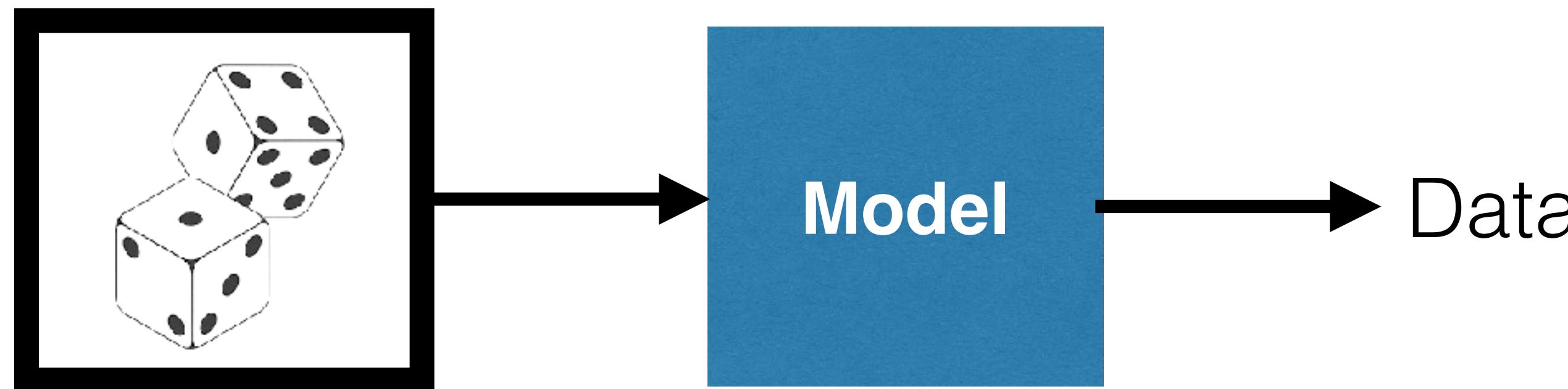
Conclusions on Learning Representation from Text

- Unsupervised learning has been very successful in NLP.
- Key idea: learn (deep) representations by predicting a word from the context (or vice versa).
- Current SoA performance across a large array of tasks.

Overview

- Practical Recipes of Unsupervised Learning
 - Learning representations
 - **Learning to generate samples (just a brief mention)**
 - Learning to map between two domains
 - Open Research Problems

Generative Models



Useful for:

- learning representations (rarely the case nowadays),
- useful for planning (only in limited settings), or
- just for *fun* (most common use-case today)...

Generative Models: Vision

- GAN variants currently dominate the field.



T. Karras et al. "Progressive growing of GANs for improved quality, stability, and variation", ICLR 2018

Generative Models: Vision

- GAN variants currently dominate the field.



A. Brock et al. "Large scale GAN training for high fidelity natural image synthesis" arXiv
1809:11096 2018

Generative Models: Vision

- GAN variants currently dominate the field.
A. Brock et al. “Large scale GAN training for high fidelity natural image synthesis” arXiv 1809:11096 2018
- Other approaches:
 - Auto-regressive
A. Oord et al. “Conditional image generation with PixelCNN”, NIPS 2016
 - GLO
P. Bojanowski et al. “Optimizing the latent state of generative networks”, ICML 2018
 - Flow-based algorithms.
G. Papamakarios et al. “Masked auto-regressive flow for density estimation”, NIPS 2017
- Choice of architecture (CNN) seems more crucial than actual learning algorithm.

Generative Models: Vision

Open challenges:

- how to model high dimensional distributions,
- how to model uncertainty,
- meaningful metrics & evaluation tasks!

Generative Models: Text

- Auto-regressive models (RNN/CNN/Transformers) are good at generating short sentences. See Alex's examples.
I. Serban et al. "Building end-to-end dialogue systems using generative hierarchical neural network models" AAAI 2016
- **Retrieval-based approaches are often used in practice.**
A. Bordes et al. "Question answering with subgraph embeddings" EMNLP 2014
R. Yan et al. "Learning to Respond with Deep Neural Networks for Retrieval-Based Human-Computer Conversation System", SIGIR 2016
M. Henderson et al. "Efficient natural language suggestion for smart reply", arXiv 2017
...
- The two can be combined
J. Gu et al. "Search Engine Guided Non-Parametric Neural Machine Translation", arXiv 2017
K. Guu et al. "Generating Sentences by Editing Prototypes", ACL 2018
...

Generative Models: Text

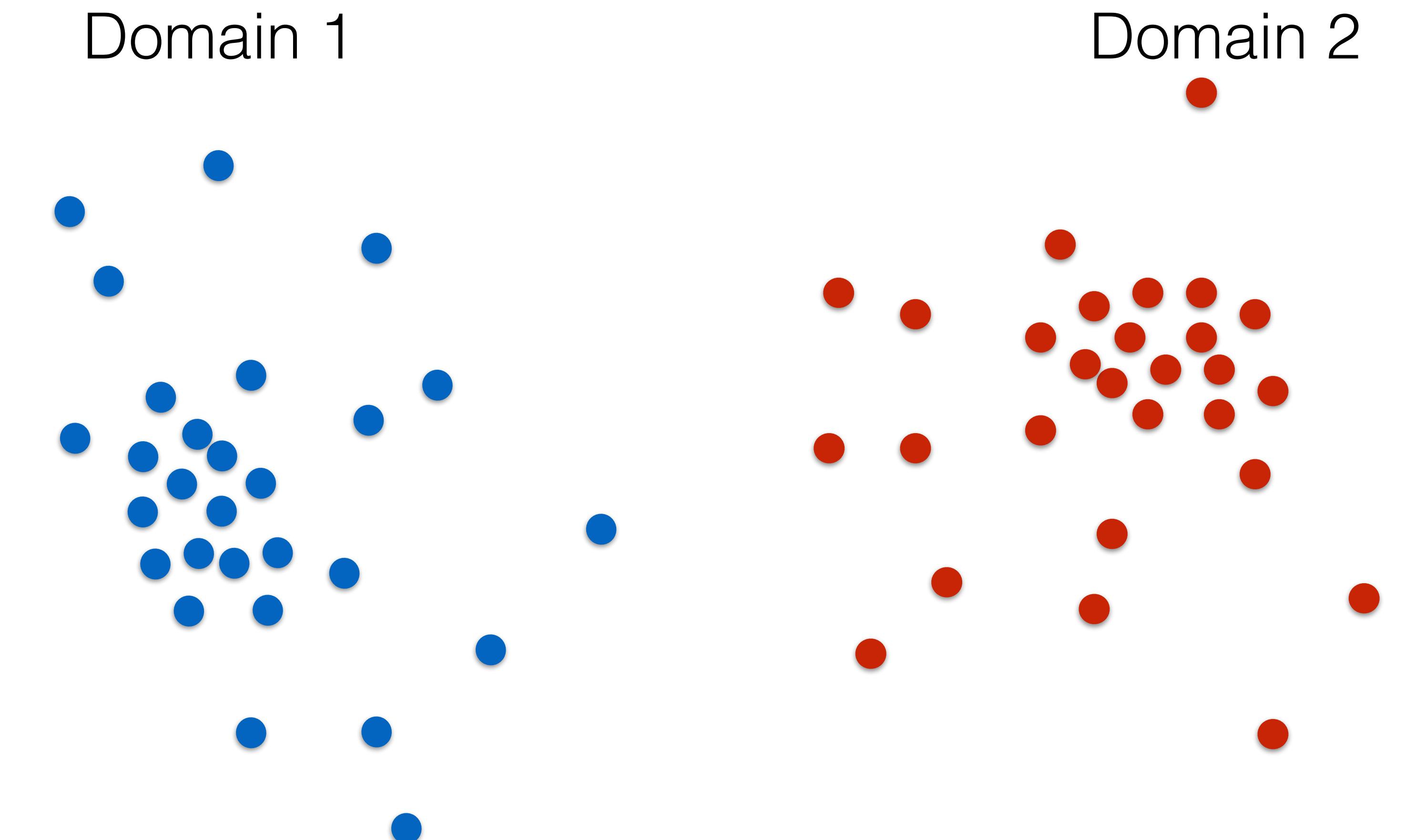
Open challenges:

- how to generate documents (long pieces of text) that are coherent,
- how to keep track of state,
- how to model uncertainty,
M. Ott et al. “Analyzing uncertainty in NMT” ICML 2018
- how to ground,
starting with D. Roy / J. Siskind’s work from early 2000’s
- meaningful metrics & standardized tasks!

Overview

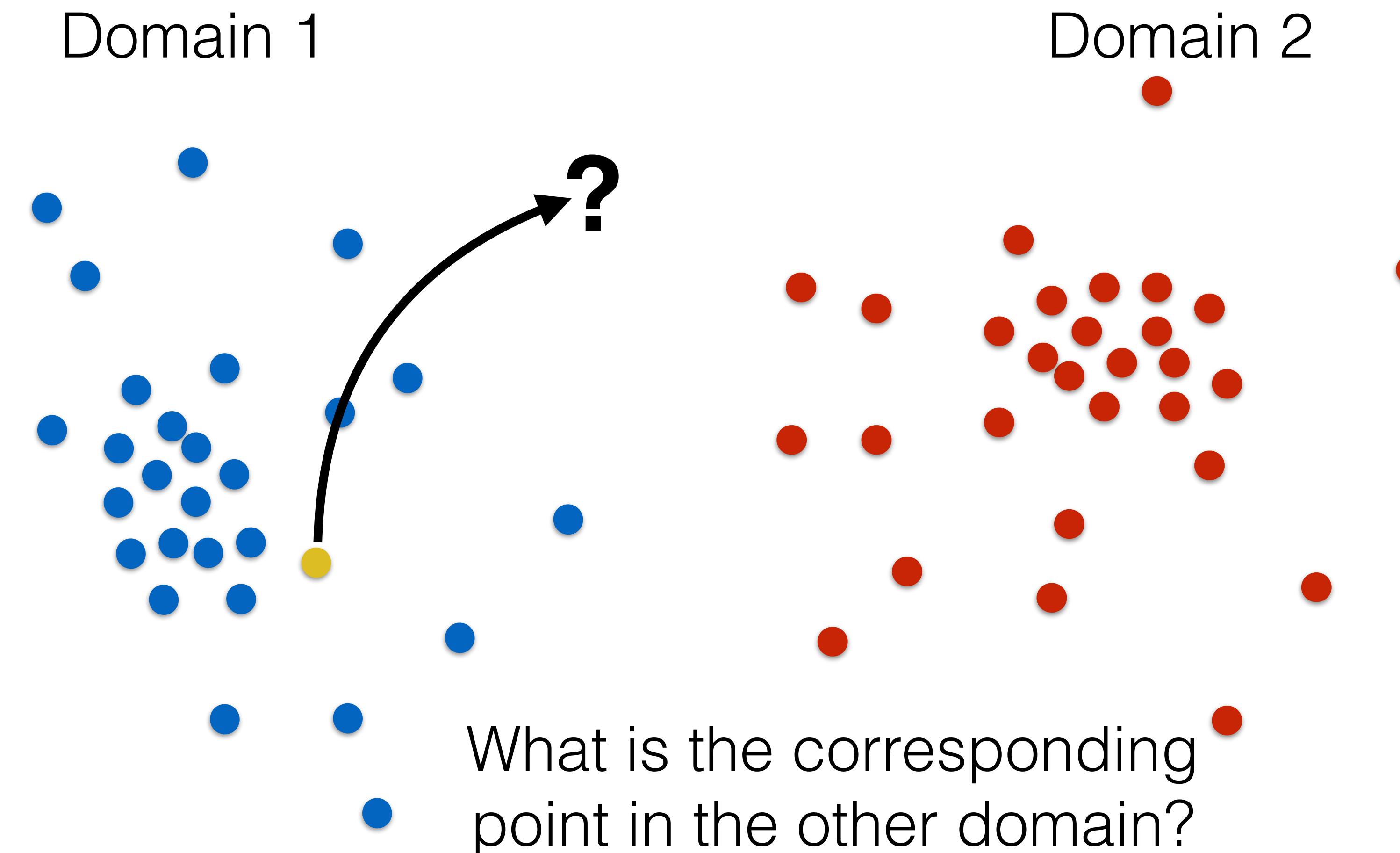
- Practical Recipes of Unsupervised Learning
 - Learning representations
 - Learning to generate samples
 - **Learning to map between two domains**
- Open Research Problems

Learning to Map



Toy illustration of the data

Learning to Map



Toy illustration of the data

Why Learning to Map

- There are fun applications: making analogies in vision.
- It is useful; e.g., enables to leverage lots of (unlabeled) monolingual data in machine translation.
- Arguably, an AI agent has to be able to perform analogies to quickly adapt to a new environment.

Vision: Cycle-GAN

Domain 1



Domain 2



J. Zhu et al. "Unpaired image-to-image translation using cycle consistent adversarial networks", ICCV 2017

Vision: Cycle-GAN



Monet → photo



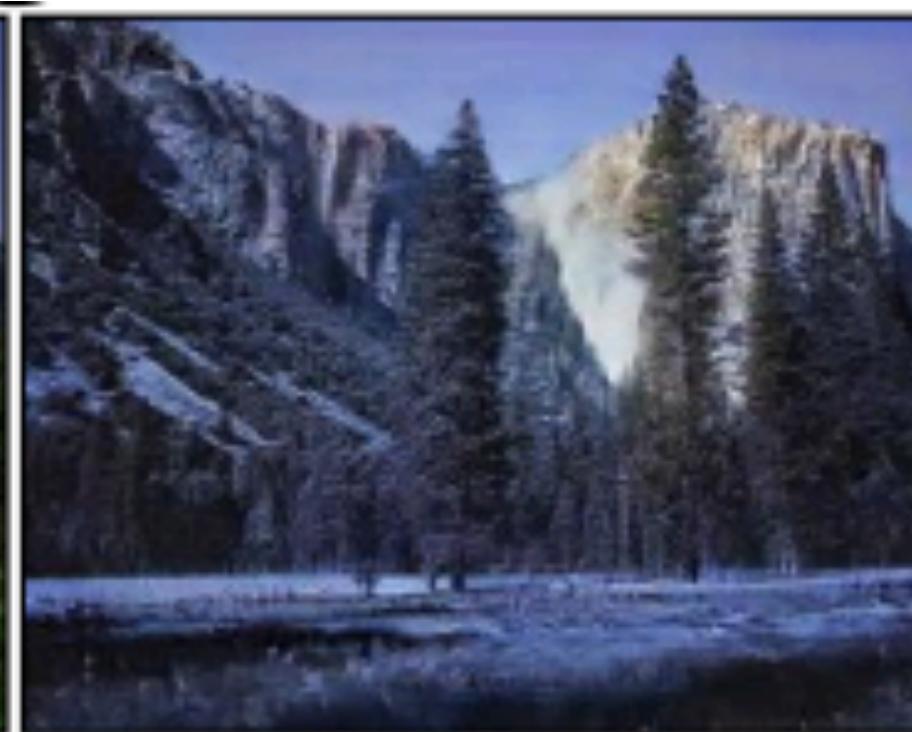
photo → Monet

J. Zhu et al. “Unpaired image-to-image translation using cycle consistent adversarial networks”,
ICCV 2017

Vision: Cycle-GAN



zebra → horse



summer → winter



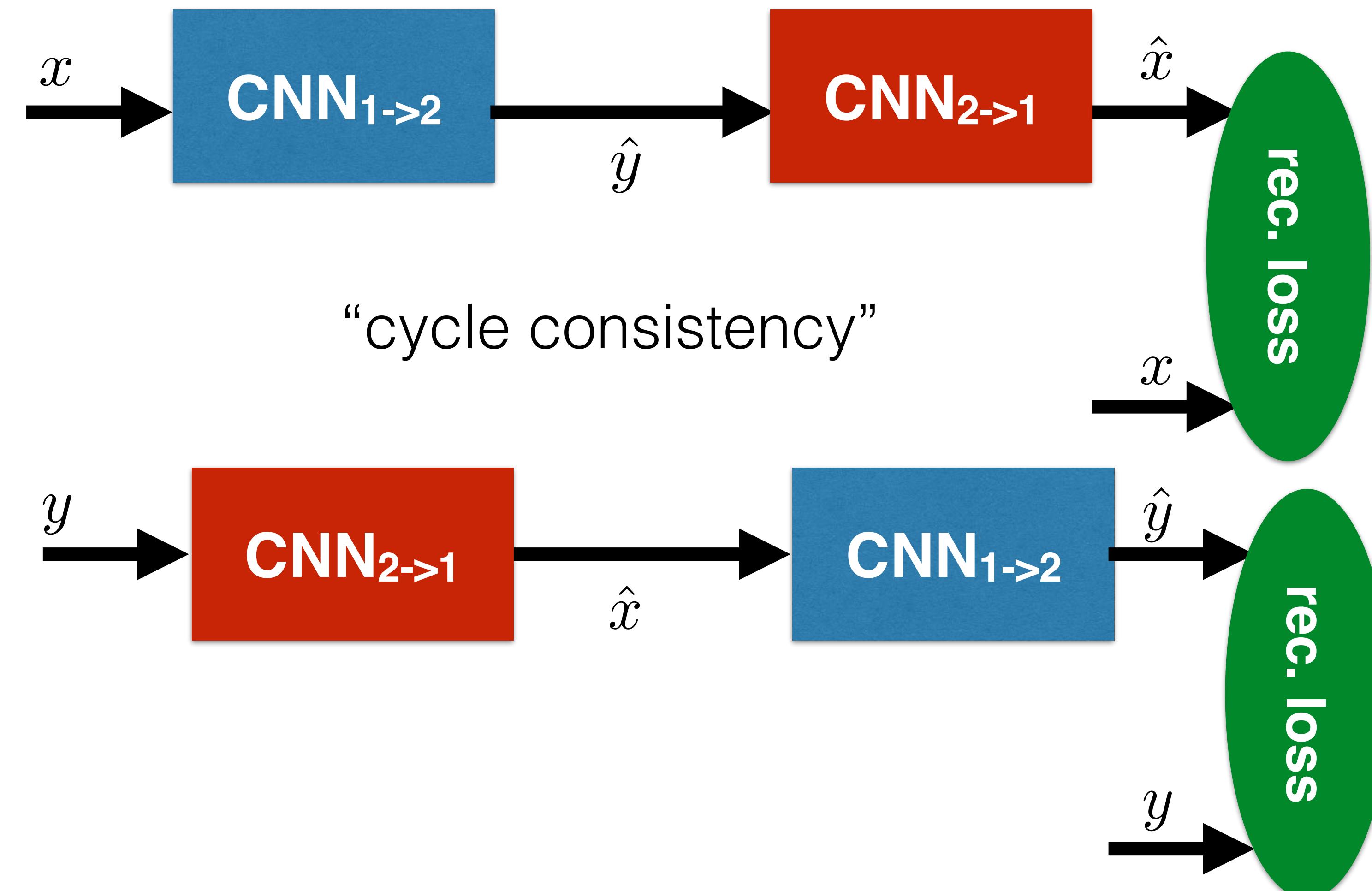
horse → zebra



winter → summer

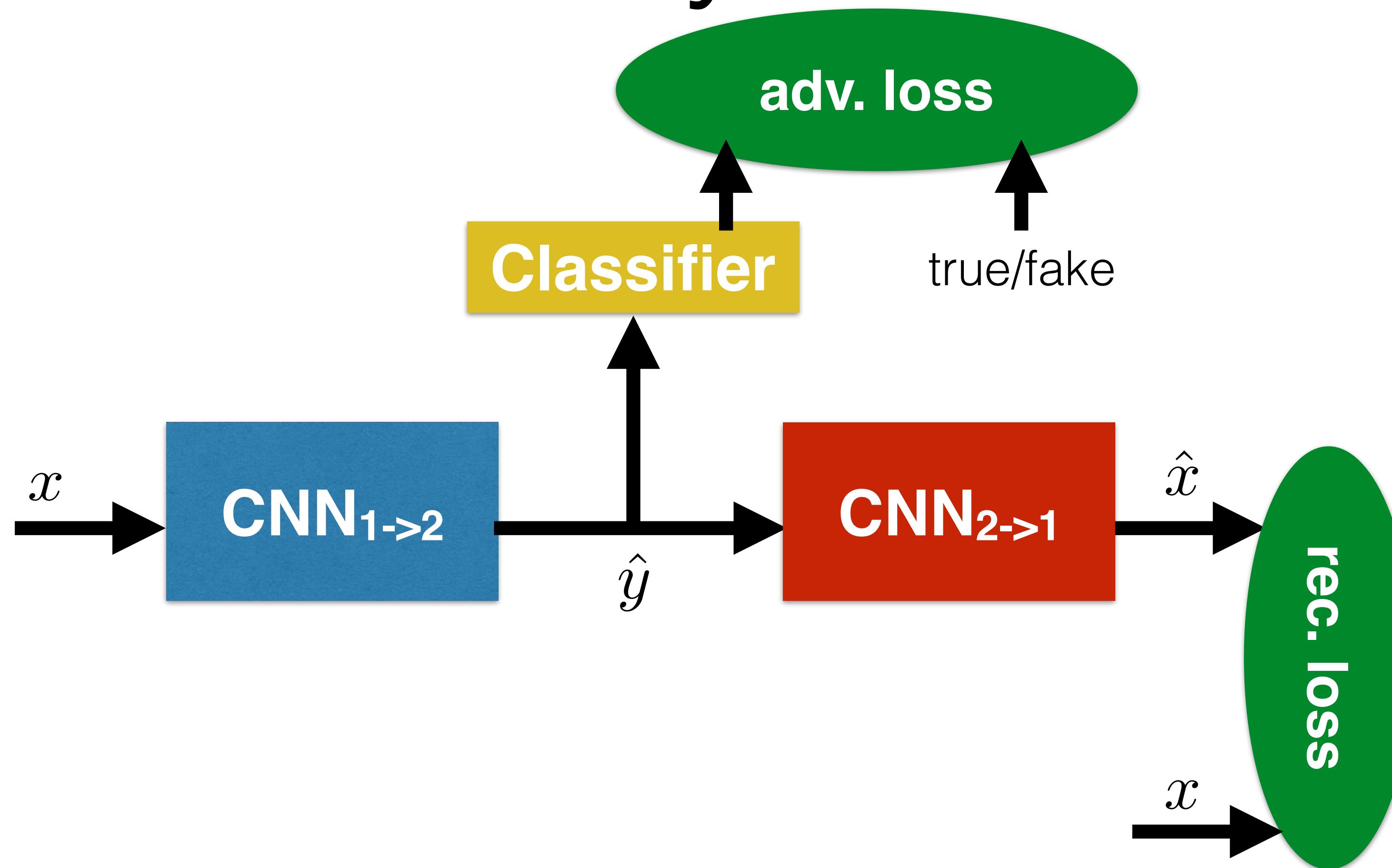
J. Zhu et al. “Unpaired image-to-image translation using cycle consistent adversarial networks”,
ICCV 2017

Vision: Cycle-GAN



J. Zhu et al. “Unpaired image-to-image translation using cycle consistent adversarial networks”,
ICCV 2017

Vision: Cycle-GAN



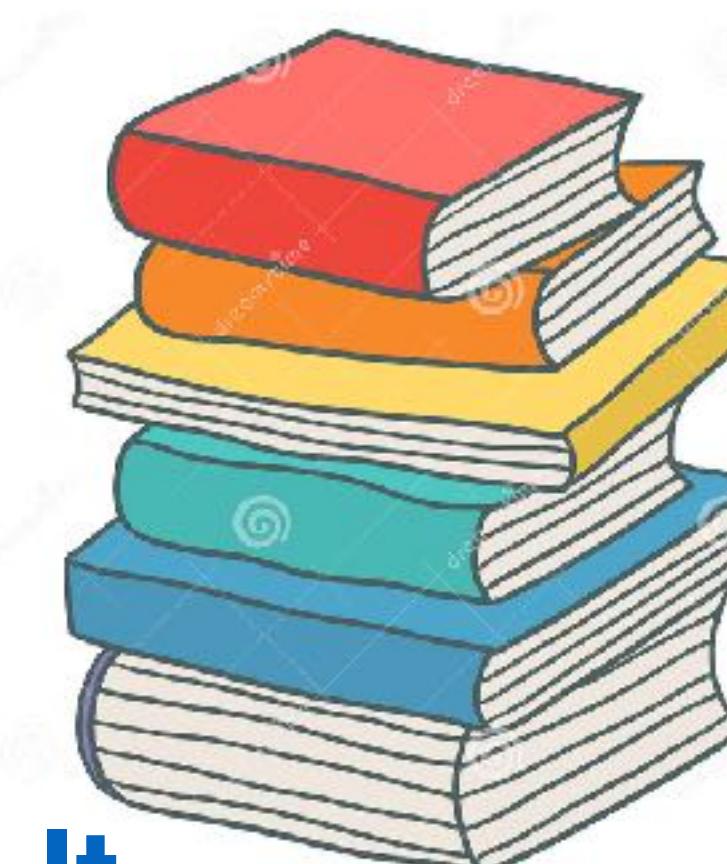
constrain generation to belong to desired domain

Unsupervised Machine Translation

- Similar principles may apply also to NLP, e.g. for machine translation (MT).



En



It

Learning to translate without access to any single translation, just lots of (monolingual) data in each language.

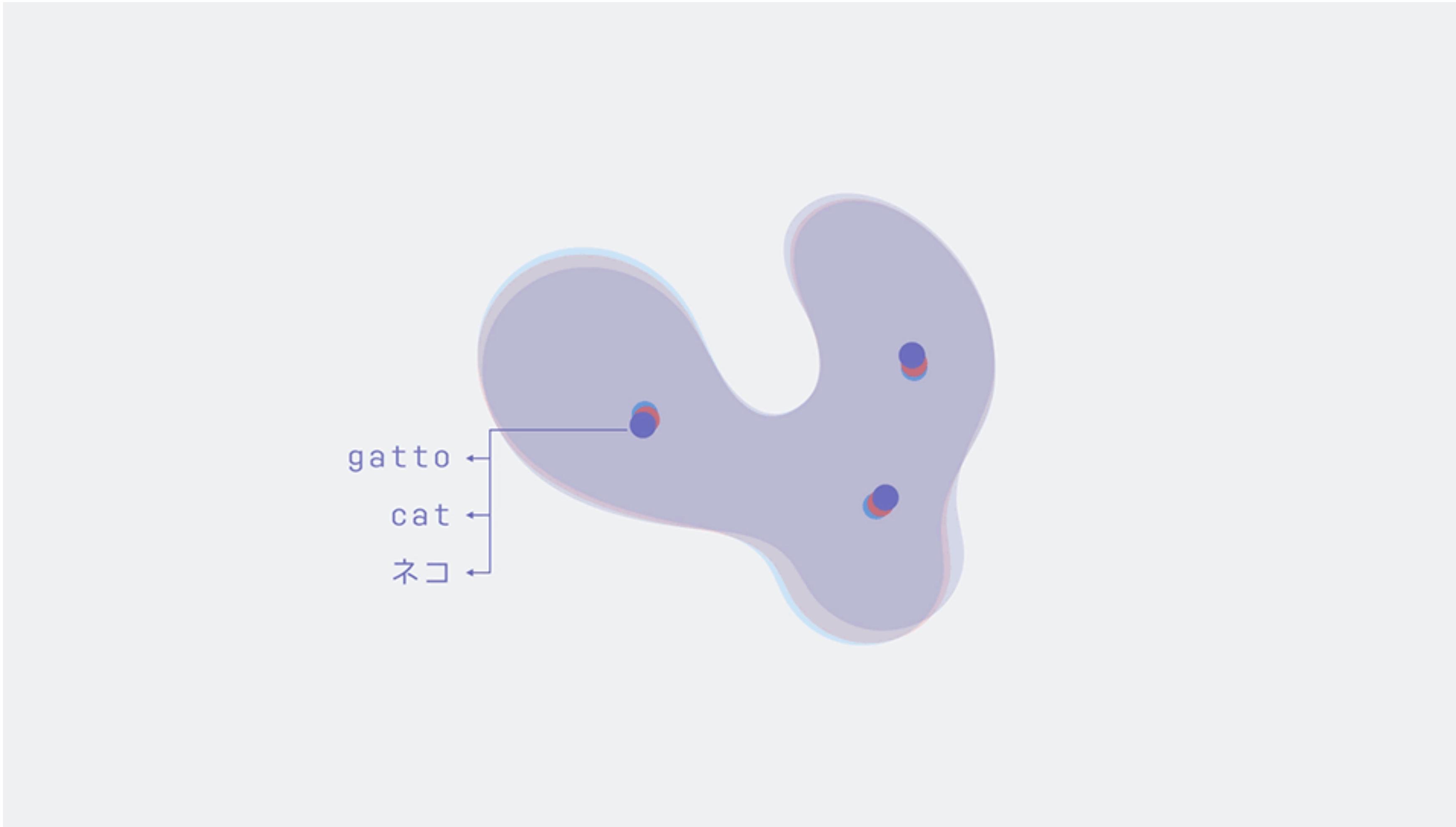
Unsupervised Machine Translation

- Similar principles may apply also to NLP for machine translation (MT).
- Can we do unsupervised MT?
 - There is little if any parallel data in most language pairs.
- Challenges:
 - discrete nature of text
 - domain mismatch
 - languages may have very different morphology, grammar, ..

Unsupervised Word Translation

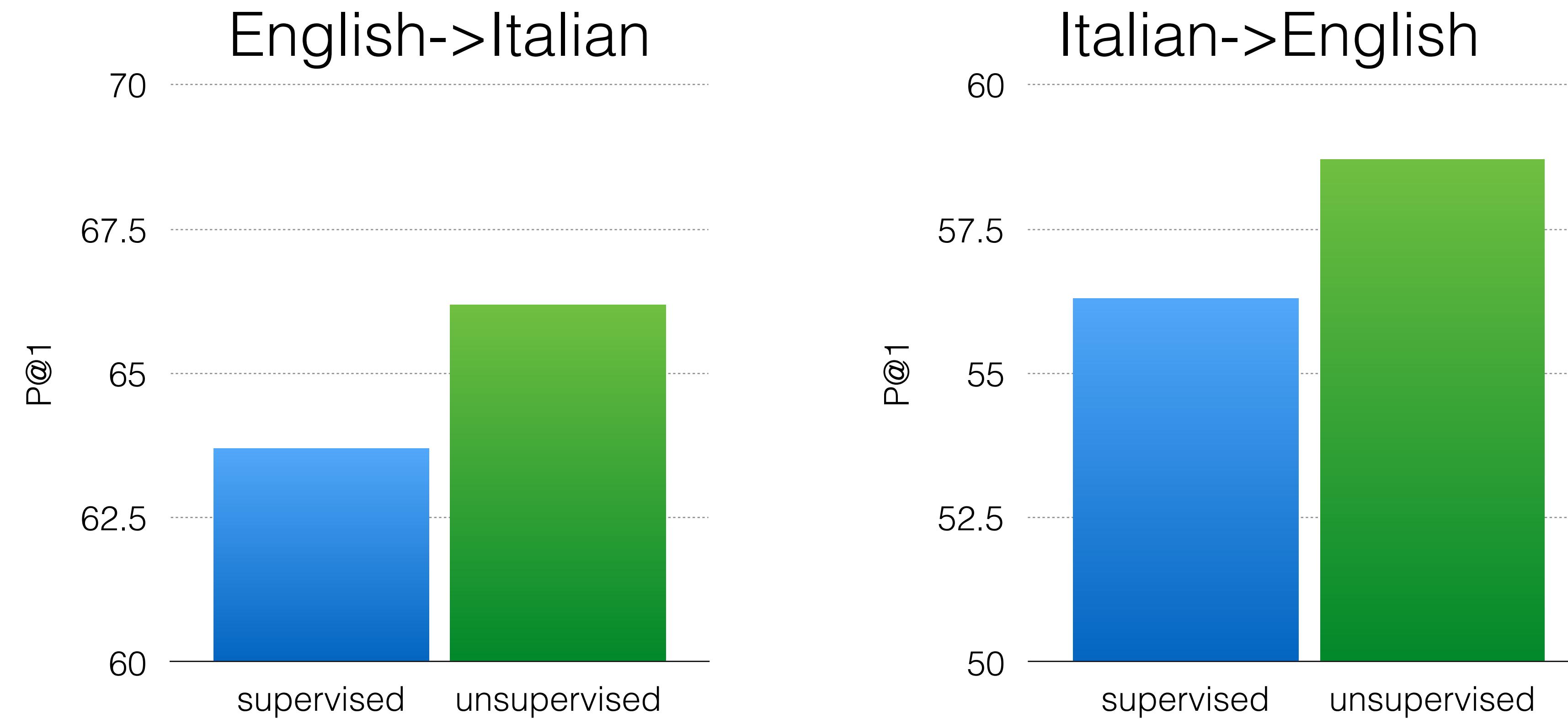
- Motivation: A pre-requisite for unsupervised sentence translation.
- Problem: given two monolingual corpora in two different languages, estimate bilingual lexicon.
- Hint: the context of a word, is often similar across languages since each language refers to the same underlying physical world.

Unsupervised Word Translation



- 1) Learn embeddings separately.
- 2) Learn joint space via adversarial training + refinement.

Results on Word Translation

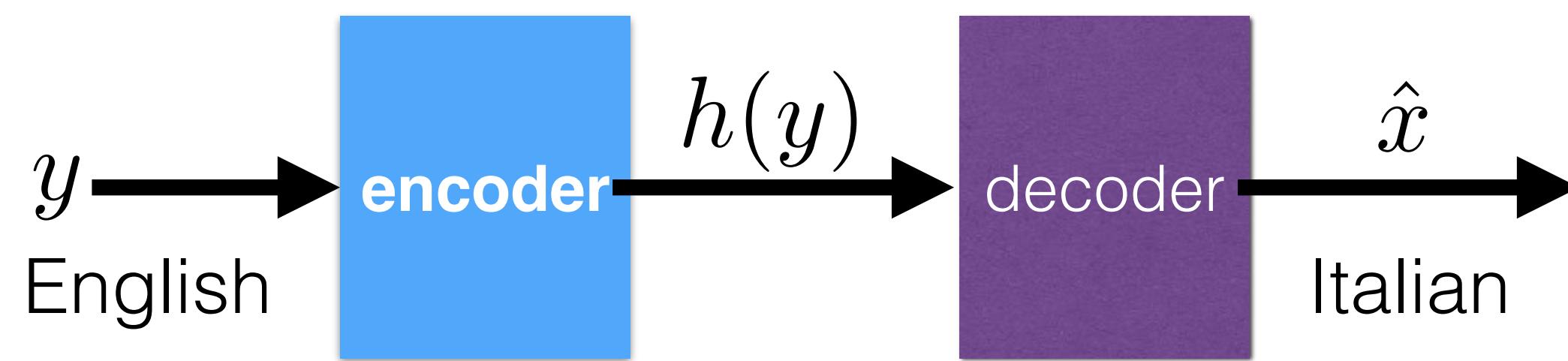


By using more anchor points and lots of unlabeled data, MUSE outperforms supervised approaches!

Naïve Application of MUSE

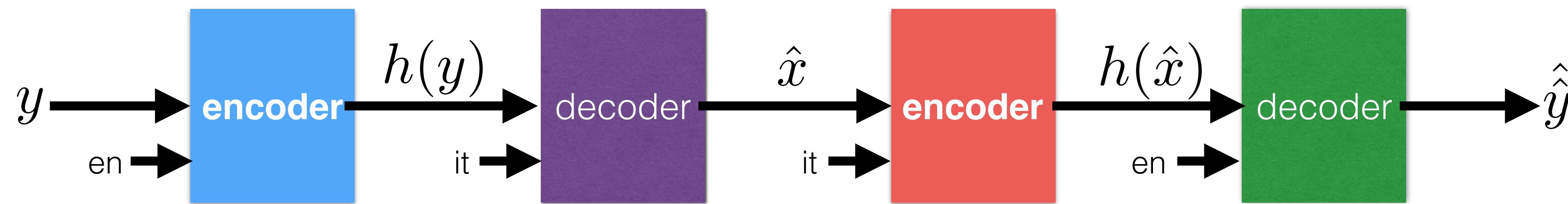
- In general, this may not work on sentences because:
 - Without leveraging compositional structure, space is exponentially large.
 - Need good sentence representations.
 - Unlikely that a linear mapping is sufficient to align sentence representations of two languages.

Method



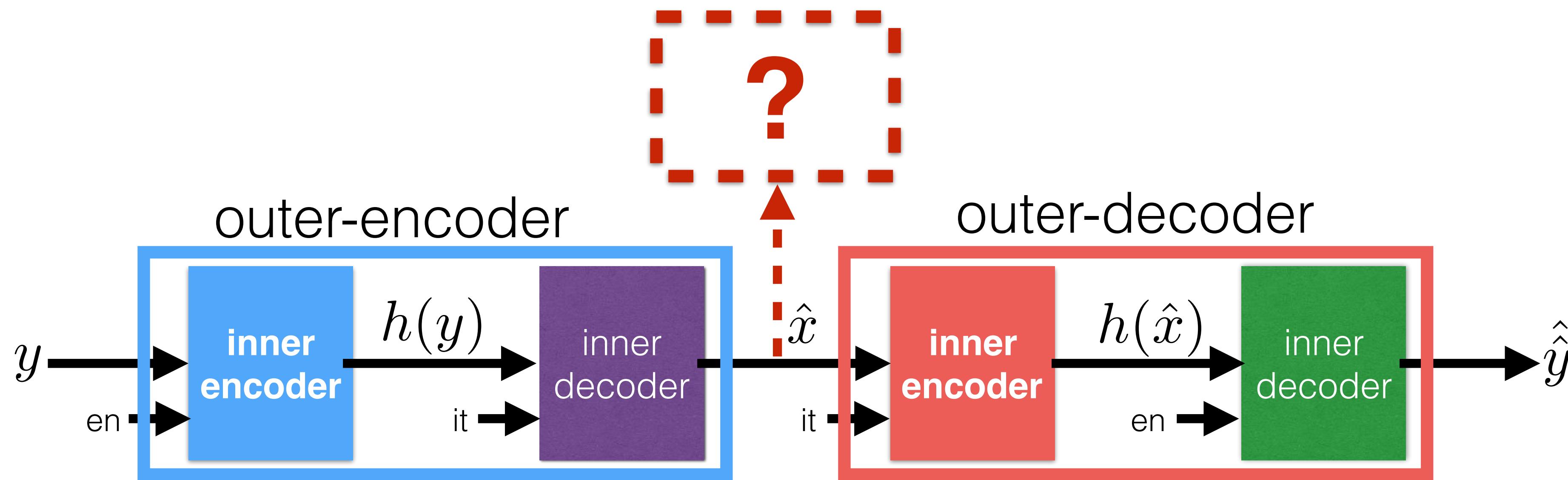
We want to learn to translate, but we do not have targets...

Method



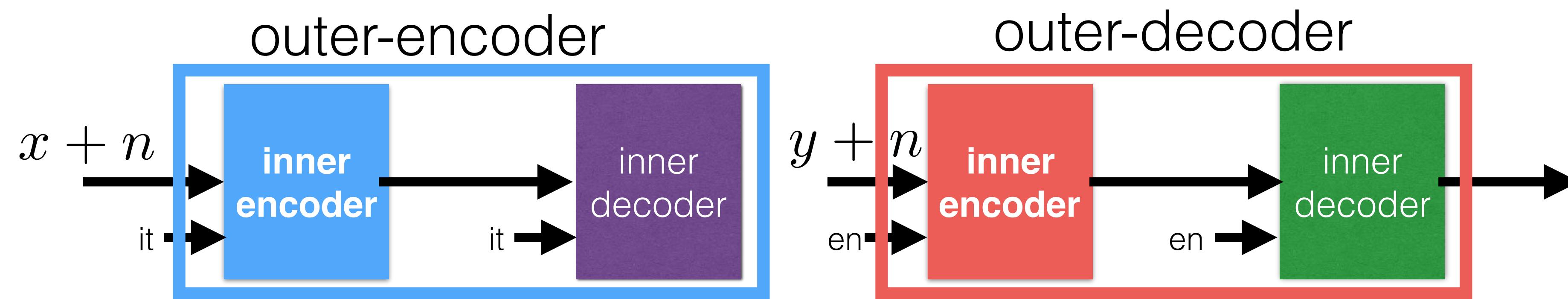
use the same cycle-consistency principle (back-translation)

Method



How to ensure the intermediate output is a valid sentence?
Can we avoid back-propagating through a discrete sequence?

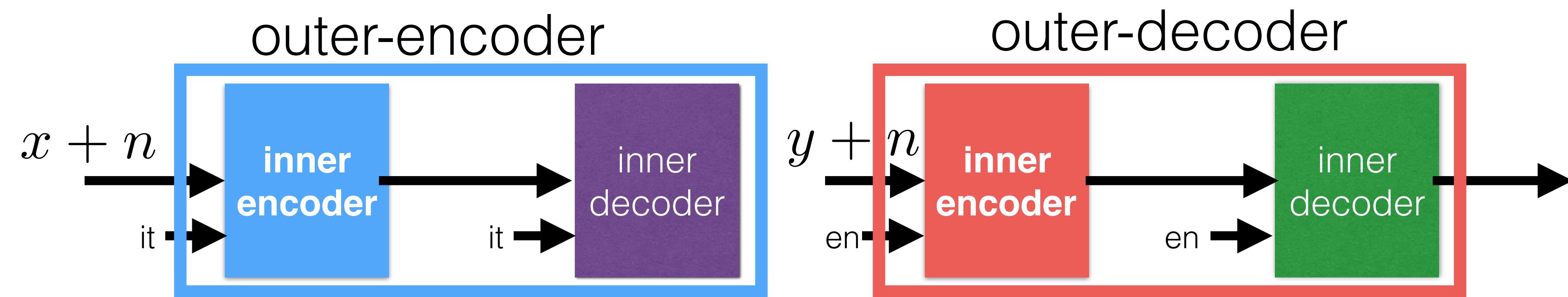
Adding Language Modeling



Since inner decoders are shared between the LM and MT task, it should constrain the intermediate sentence to be fluent.

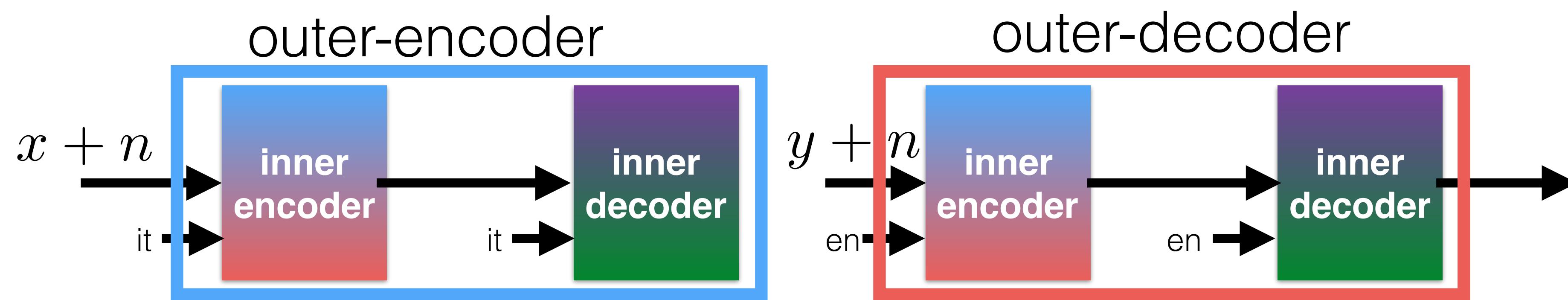
Noise: word drop & swap.

Adding Language Modeling



Potential issue: Model can learn to denoise well, reconstruct well from back-translated data and yet not translate well, if it splits the latent representation space.

NMT: Sharing Latent Space

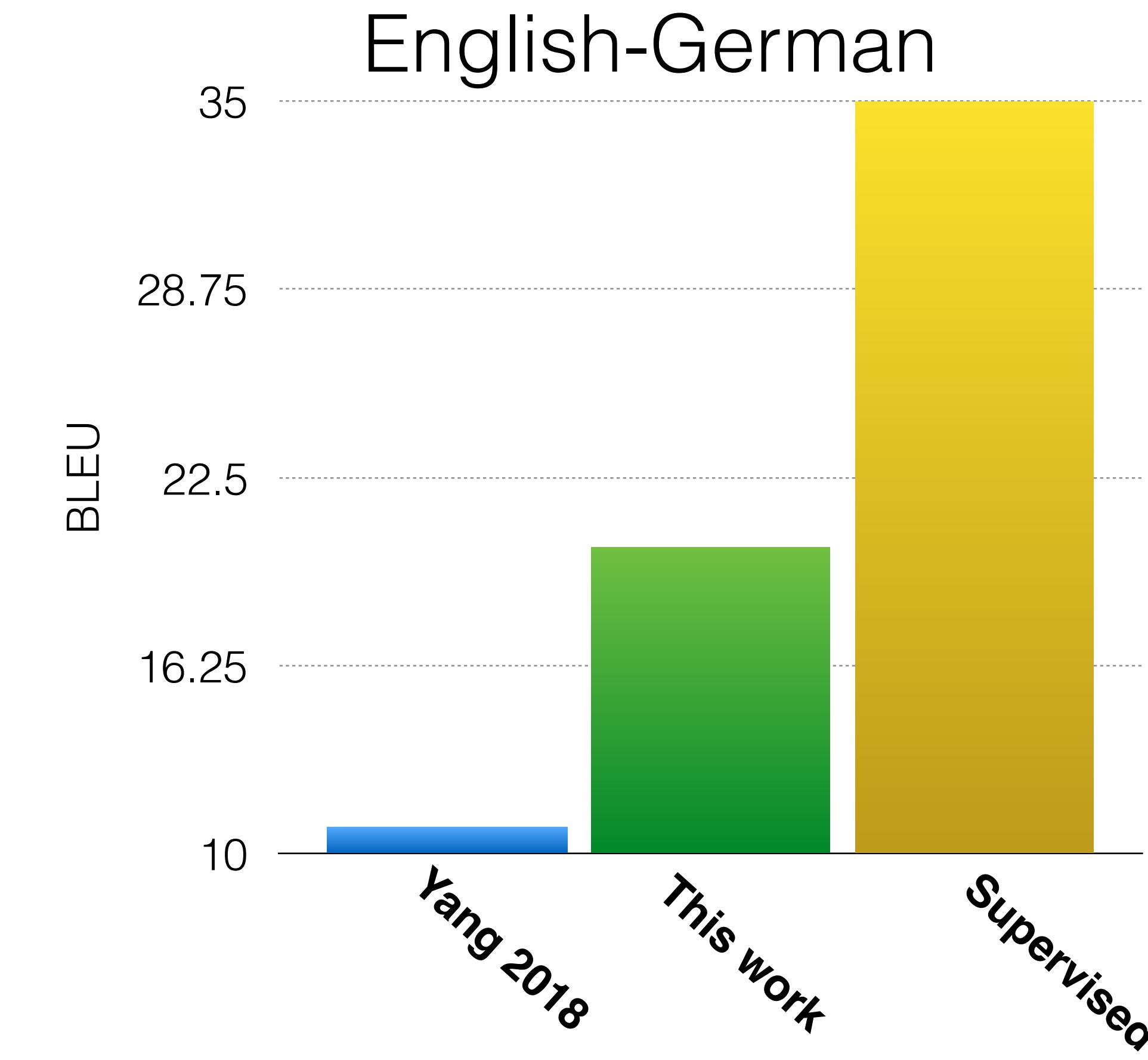
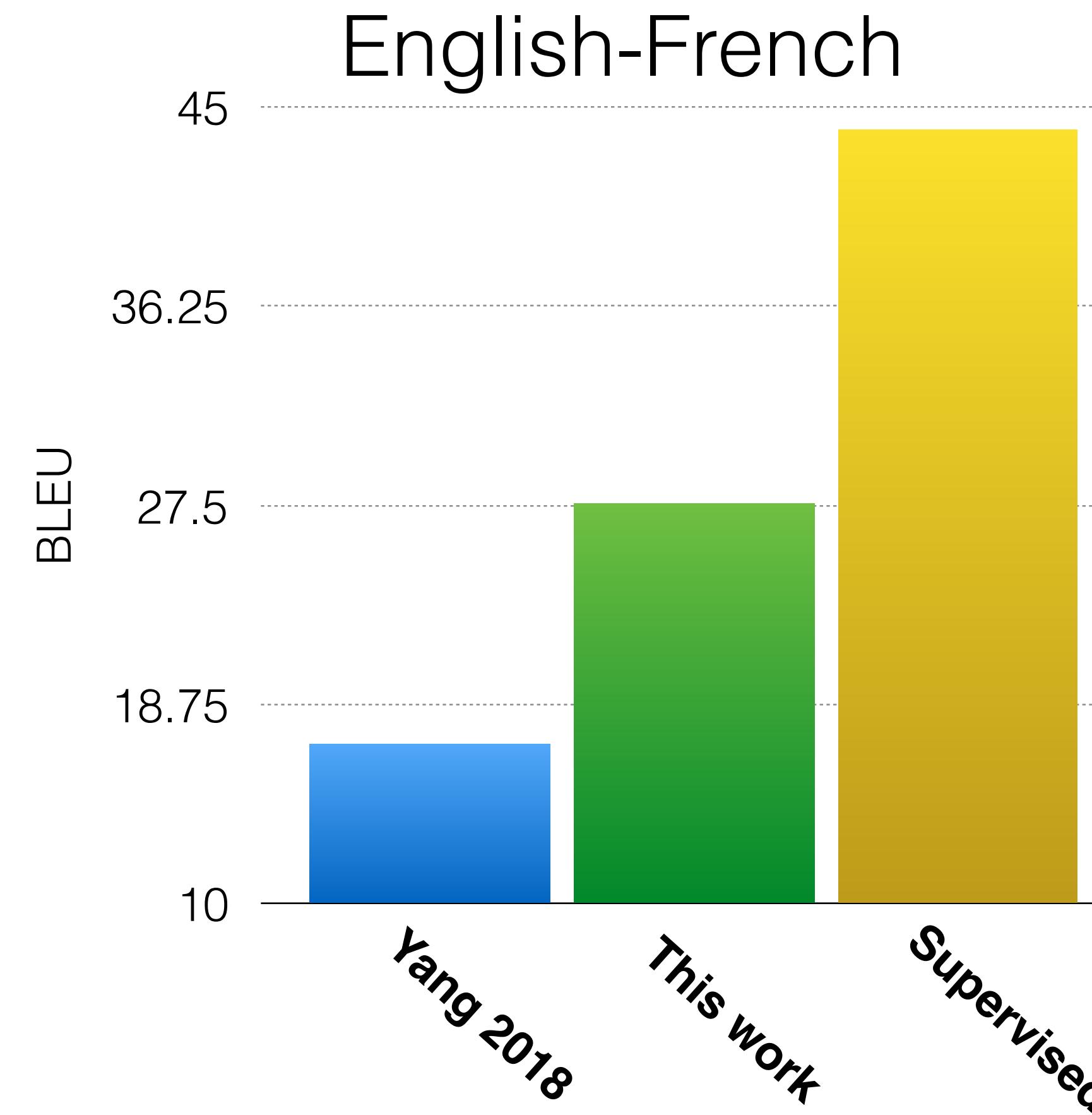


Sharing achieved via:

- 1) shared encoder (and also decoder).
- 2) joint BPE embedding learning / initialize embeddings with MUSE.

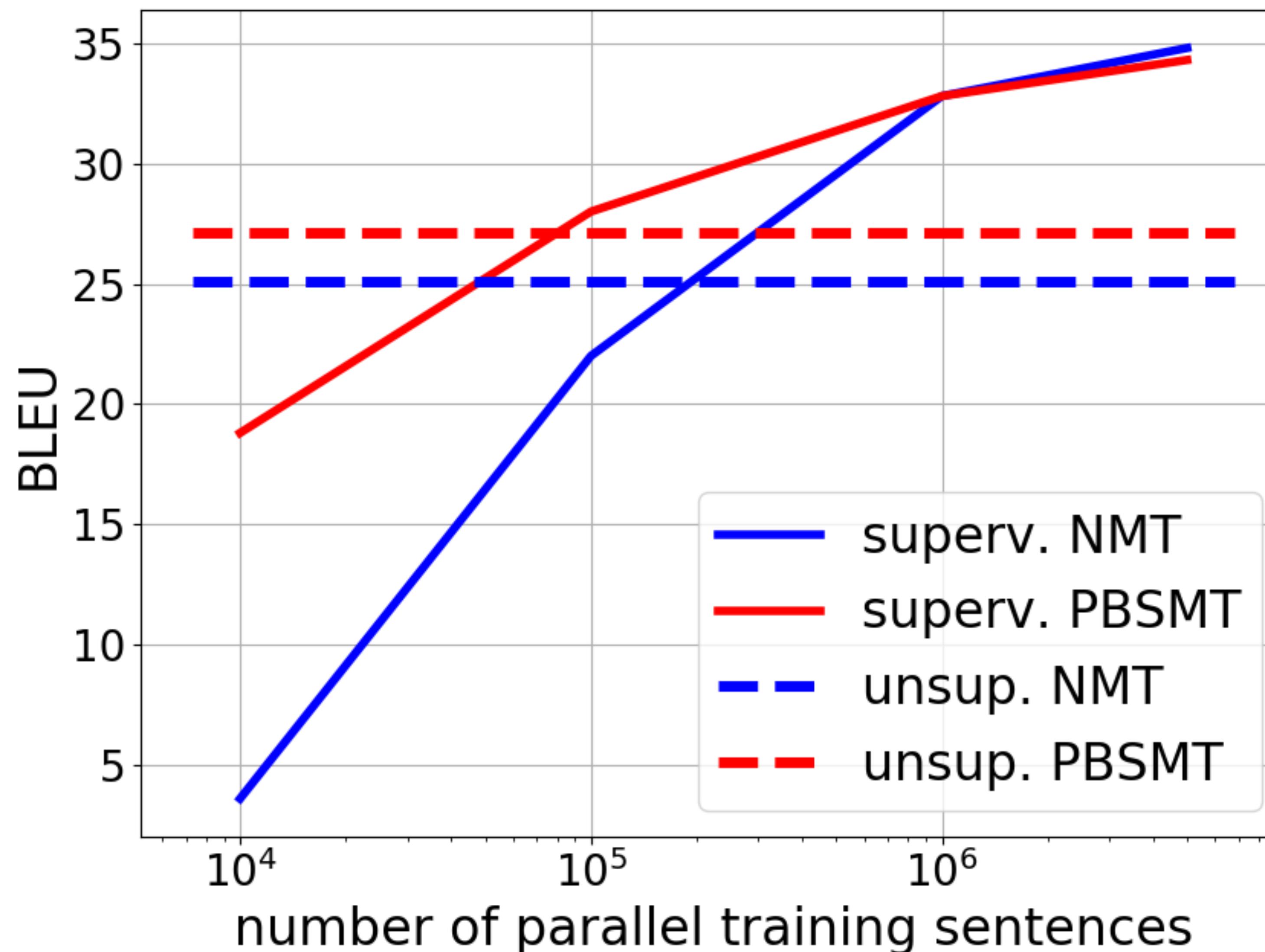
Note: first decoder token specifies the language on the target-side.

Experiments on WMT



Before 2018, performance of fully unsupervised methods was essentially 0 on these large scale benchmarks!

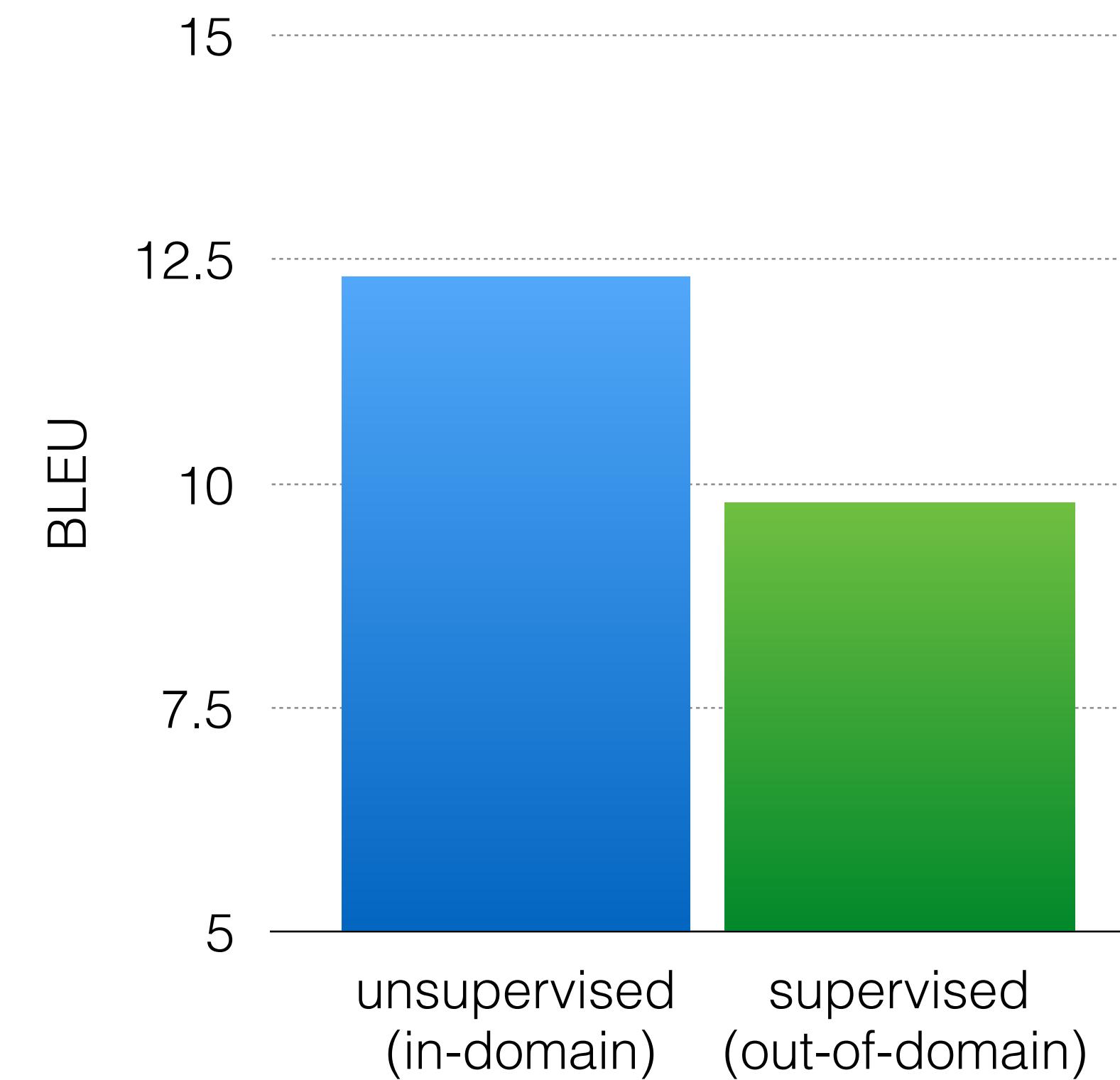
Experiments on WMT



Distant & Low-Resource Language Pair: En-Ur



<https://www.bbc.com/urdu/pakistan-44867259>



Conclusion on Unsupervised Learning to Translate

- General principles: initialization, matching target domain and cycle-consistency.
- Extensions: semi-supervised, more than two domains, more than a single attribute, ...
- Challenges:
 - domain mismatch / ambiguous mappings
 - domains with very different properties

Overview

- Practical Recipes of Unsupervised Learning
 - Learning representations
 - Learning to generate samples (just a brief mention)
 - Learning to map between two domains
- **Open Research Problems**

Challenge #1: Metrics & Tasks

Unsupervised Feature Learning:

Q: What are good down-stream tasks?
What are good metrics for such tasks?

In NLP there is some consensus for this:

<https://github.com/facebookresearch/SentEval>

<https://gluebenchmark.com/>

Generation:

Q: What is a good metric?

In NLP there has been some effort towards this:

<http://www.statmt.org/>

<http://www.parl.ai/>

Challenge #1: Metrics & Tasks

Unsupervised Feature Learning:

Q: What are good down-stream tasks?
What are good metrics for such tasks?

Only in NLP there is some consensus for this: <https://gluebenchmark.com/>

What about in Vision?

Good metrics and representative tasks
are key to drive the field forward.

In NLP there has been some effort towards this: <http://www.statmt.org/>
<http://www.parl.ai/>

Challenge #2: General Principle

Is there a **general** principle of unsupervised feature learning?

The current SoA in NLP: word2vec, BERT, etc. are **not entirely satisfactory** - very local predictions of a single missing token..

E.g.: This tutorial is because I learned!

Impute: This tutorial is **really awesome** because I learned **a lot!**

Feature extraction: topic={education, learning}, style={personal}, ...

Ideally, we would like to be able to impute any missing information given some context, we would like to extract features describing any subset of input variables.

Challenge #2: General Principle

Is there a **general** principle of unsupervised feature learning?

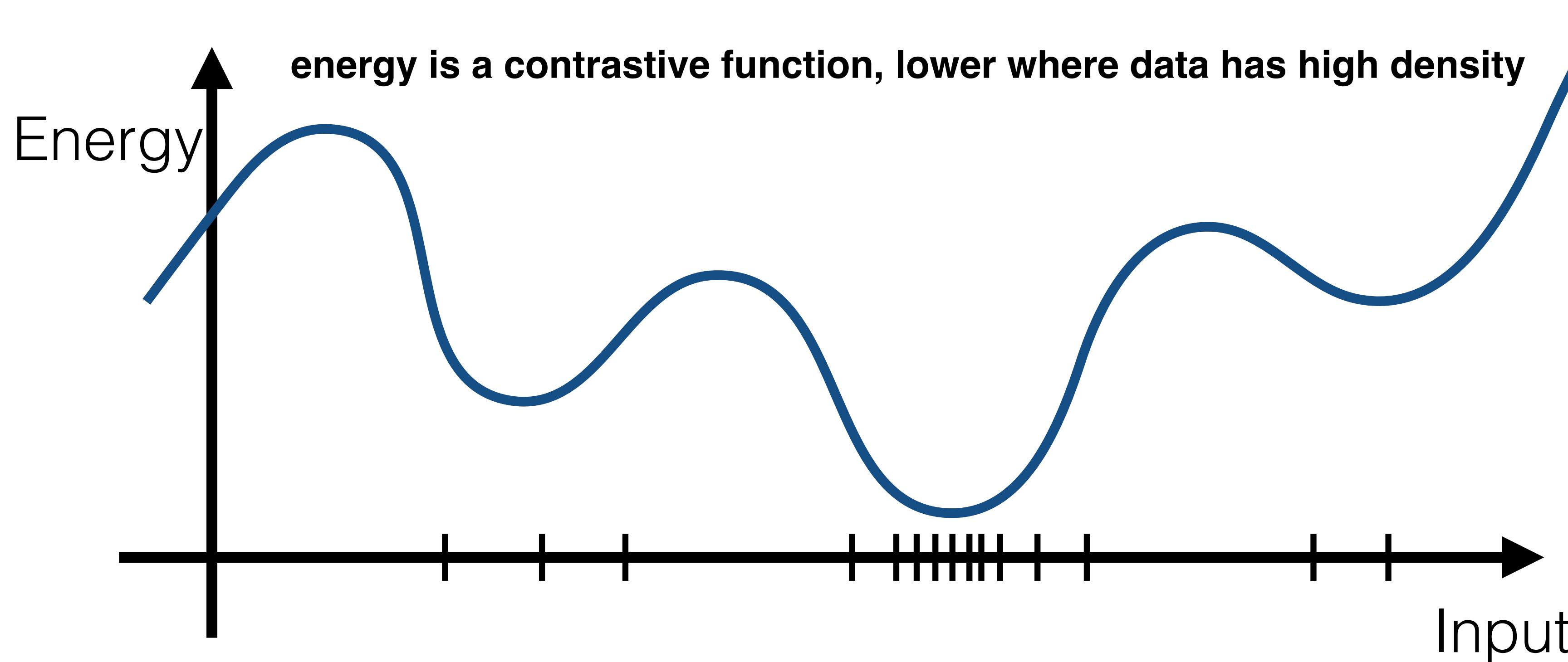
The current SoA in NLP: word2vec, BERT, etc. are **not entirely satisfactory** - very local predictions of a single missing token..

The current SoA in Vision: SSL is **not entirely satisfactory** - which auxiliary task and how many more tasks do we need to design?

Limitations of auto-regressive models: need to specify order among variables making some prediction tasks easier than others, slow at generation time.

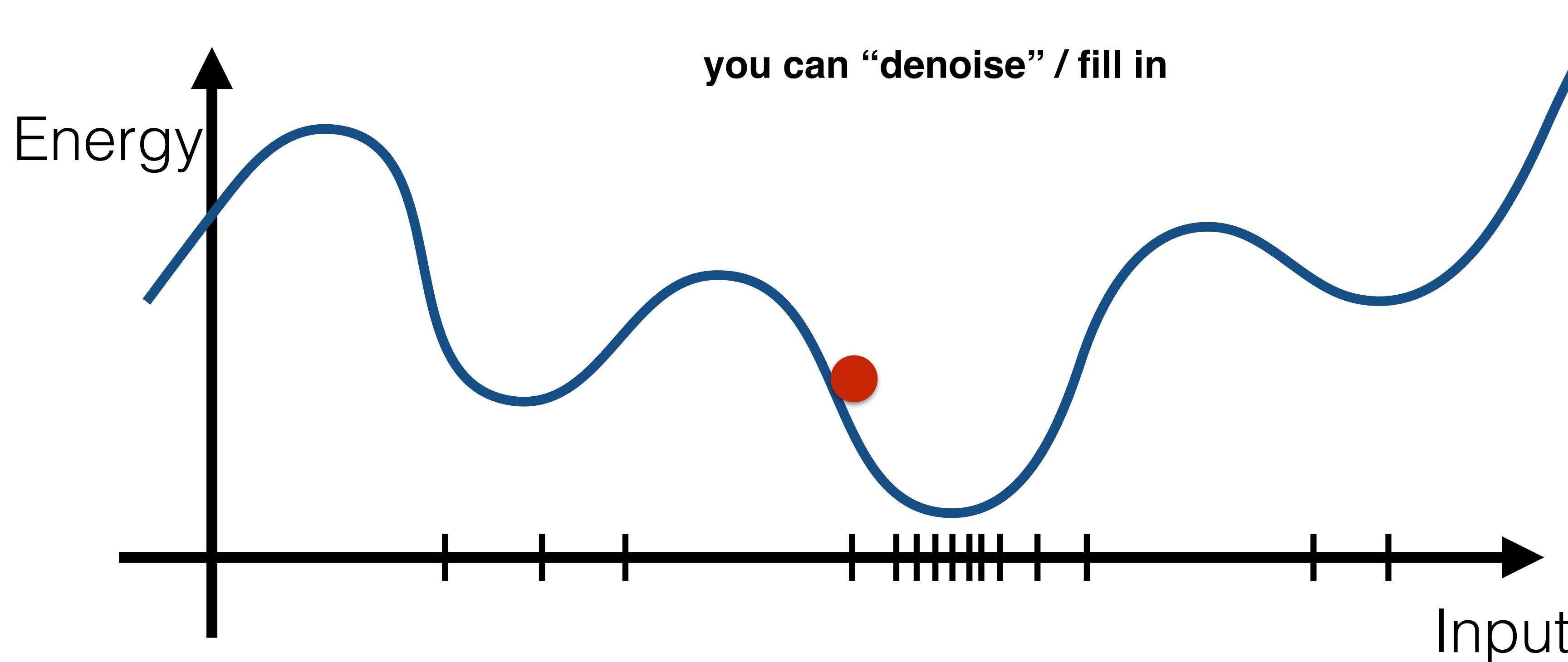
Challenge #2: General Principle

A brief case study of a more general framework: EBMs



Challenge #2: General Principle

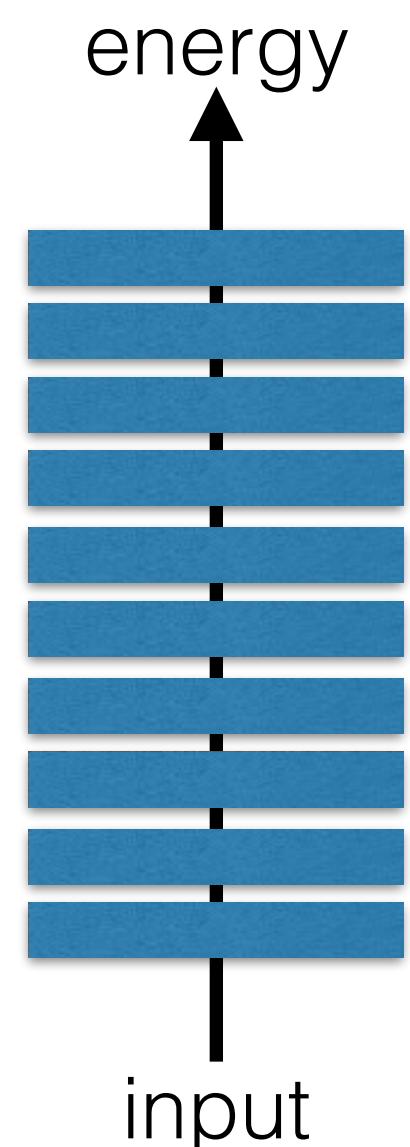
A brief case study of a more general framework: EBMs



Challenge #2: General Principle

One possibility: energy-based modeling

you can do feature extraction using any intermediate representation from $E(x)$



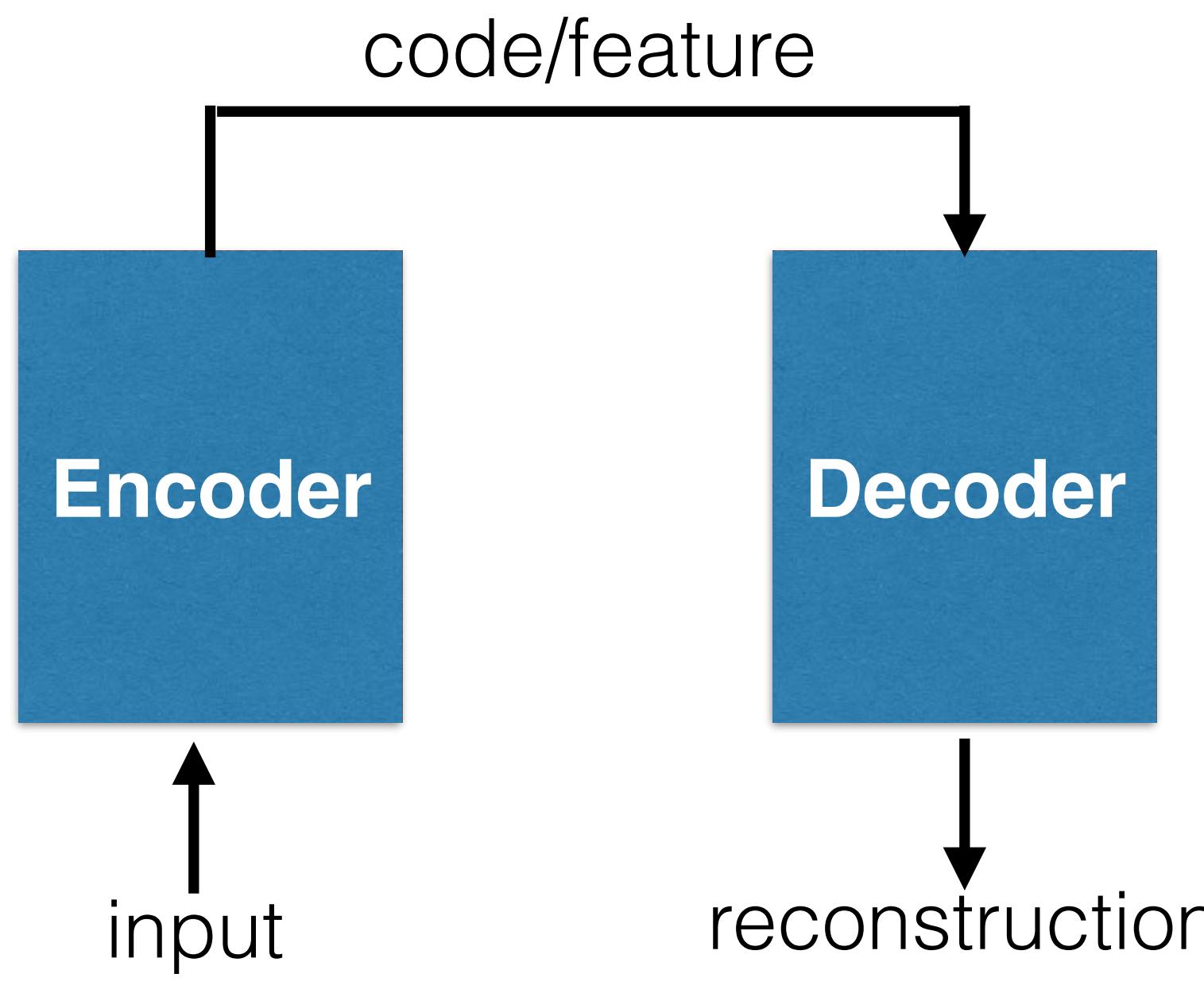
Challenge #2: General Principle

One possibility: energy-based modeling

The generality of the framework comes at a price...

Learning such contrastive function is in general very hard.

Challenge #2: General Principle

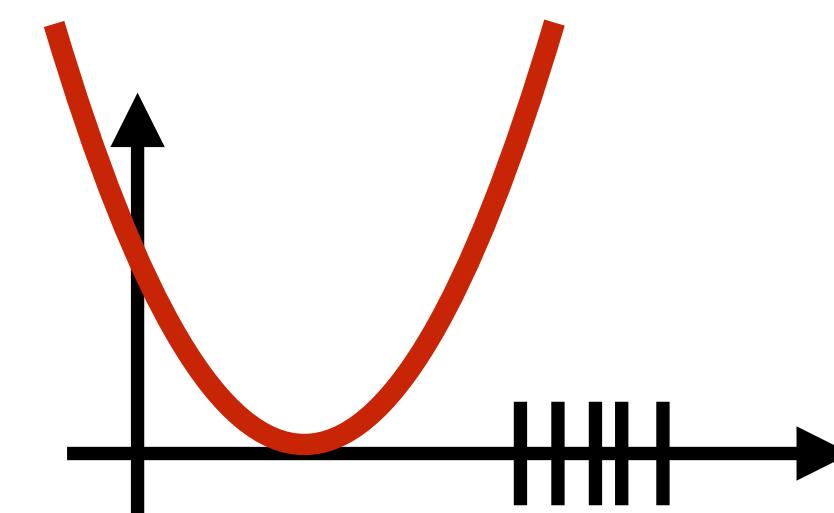


Learning contrastive energy function by pulling up on fantasized “negative data”:

- via search
- via sampling (*CD)

and/or by **limiting amount of information** going through the “code”:

- sparsity
- low-dimensionality
- noise



Challenge #2: General Principle

Challenge: If the space is very high-dimensional, it is difficult to figure out the right “pull-up” constraint that can properly shape the energy function.

- Are there better ways to pull up?
- Is there a better framework?
- To which extent should these principles be agnostic of the architecture and domain of interest?

Challenge #3: Modeling Uncertainty

- Most predictions tasks have uncertainty.



where is the red car going?

Challenge #3: Modeling Uncertainty

- Most predictions tasks have uncertainty.

E.g.: This tutorial is because I learned!

Impute: This tutorial is **really awesome** because I learned **a lot!**

This tutorial is **so bad** because I learned **really nothing!**

Challenge #3: Modeling Uncertainty

- Most predictions tasks have uncertainty.
- Several ways to model uncertainty:
 - latent variables
 - GANs
 - shaping energies to have lots of minima
 - quantizing continuous signals...

What are efficient ways to learn and do inference?

How to model uncertainty in continuous distributions?

The Big Picture

- A big challenge in AI: learning with less labeled data.
 - Lots of sub-fields in ML tackling this problem from other angles:
 - few-shot learning
 - meta-learning
 - life-long learning
 - transfer learning
 - semisupervised
 - ...
 - Unsupervised learning is part of a broader effort.



The Big Picture

Unsupervised Learning should eventually be considered as a component within a bigger system.

- RL models can work more efficiently by leveraging information present in the input observations (unsupervised learning).
- Unsupervised learning is an important tool, but sparse rewards (RL) can inform about what unsupervised tasks are meaningful. Environment can provide further constraints.

***you can't eat just the cherry, nor just the filling....
you gotta eat a whole slice!***



picture/metaphor credit: Y. LeCun

Conclusions

- Unsupervised Learning is a key ingredient for any agent that learns from few interactions / few labeled examples.
- Lots of sub-areas: feature learning, learning to align domains, learning to generate samples, ...
- Unsupervised learning currently works very well in restricted settings and in few applications.
- Biggest challenges:
 - metrics & tasks,
 - generality and efficiency of current algorithms,
 - integration of unsupervised learning with other learning components.

תודה
Dankie Gracias
Спасибо شکرًا
Köszönjük Terima kasih
Grazie Dziękujemy Děkujeme
Ďakujeme Vielen Dank Paldies
Kiitos Täname teid 谢谢
Thank You Tak
感謝您 Obrigado Teşekkür Ederiz
Σας Ευχαριστούμ 감사합니다
Bedankt Děkujeme vám
ありがとうございます Tack