



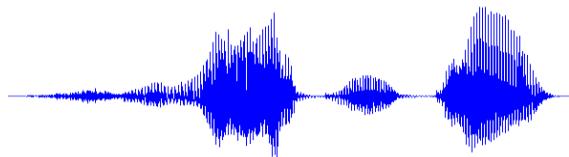
**The Center For Language  
and Speech Processing**  
at the Johns Hopkins University



# Auditory Perception in Speech Technology (Dealing with Unwanted Information)

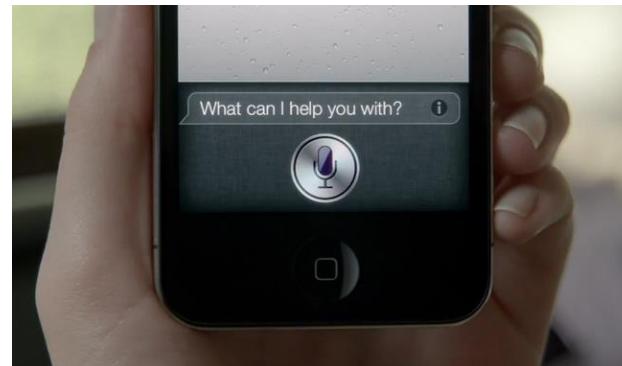
Hynek Hermansky  
Johns Hopkins University

# Machine Recognition of Speech



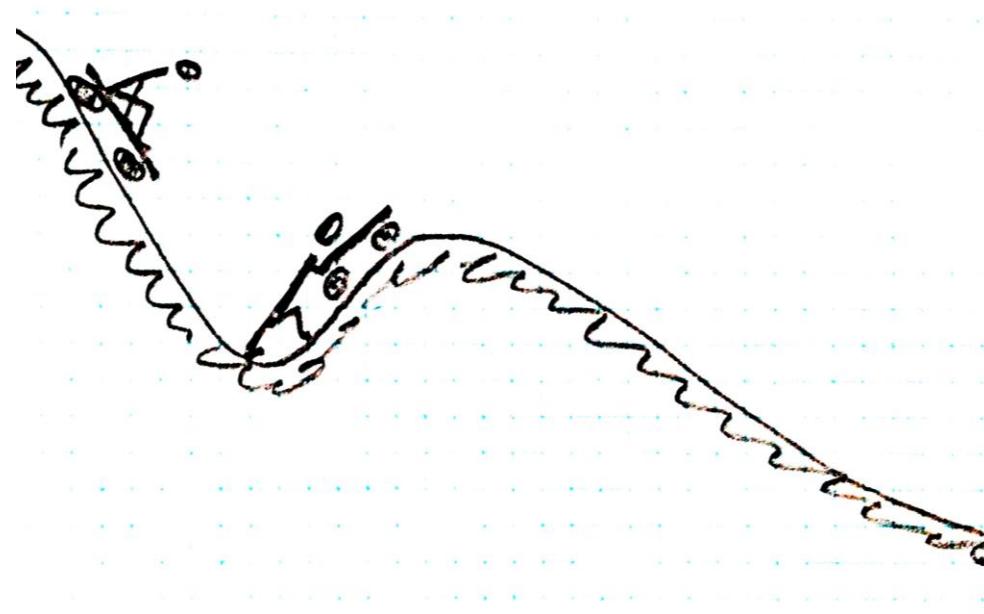
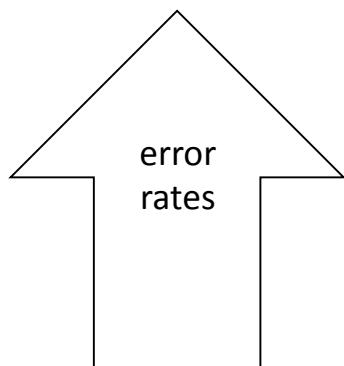
↓  
signal processing  
↓  
pattern classification  
↓  
decoder  
↓  
message

Signal processing, information theory, machine learning, ...



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Why to rock the boat?  
We have good thing going.



Repetition, fillers, hesitations, interruptions, unfinished and non-grammatical sentences, new words, dialects, emotions, ...

Current DARPA and IARPA programs, research agenda of the JHU CoE HLT, industrial efforts (Google, Microsoft, IBM, Amazon,...)

Signal processing,  
information theory,  
machine learning, ...

&

neural information processing,  
psychophysics, physiology, cognitive  
science, phonetics and linguistics, ...

# Engineering and Life Sciences together !



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... or at least engineering inspired by life sciences

1-2-3-6-7-49

first child

my mother's 2<sup>nd</sup> marriage

my father's 3<sup>rd</sup> marriage

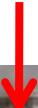
was born of 6<sup>th</sup> of July

$$6 \times 7 = 49$$

# Auditory perception

How to survive in  
this hostile  
world?

perceived signal



object



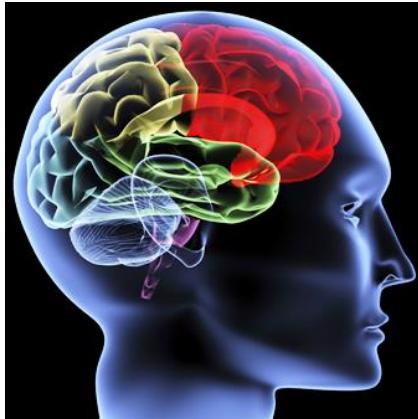
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**What is the message (is there a danger or opportunity ?**

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# How to survive in this world?

“Eat vegetables, they  
are good for you”



“Eat vegetables, they  
are good for you”



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# Why machine recognition of speech?



Why did I climbed Mt. Everest?  
Because it is there !  
-Sir Edmund Hilary

Spoken language is one of the most amazing accomplishments of human race.

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Addressing generic problems with human-like information processing (vision, e.t.c.)

## **access to information**

- voice interactions with machines
- extracting information from speech data !

Job security - it will not be fully solved within your lifetime ☺

# Speech

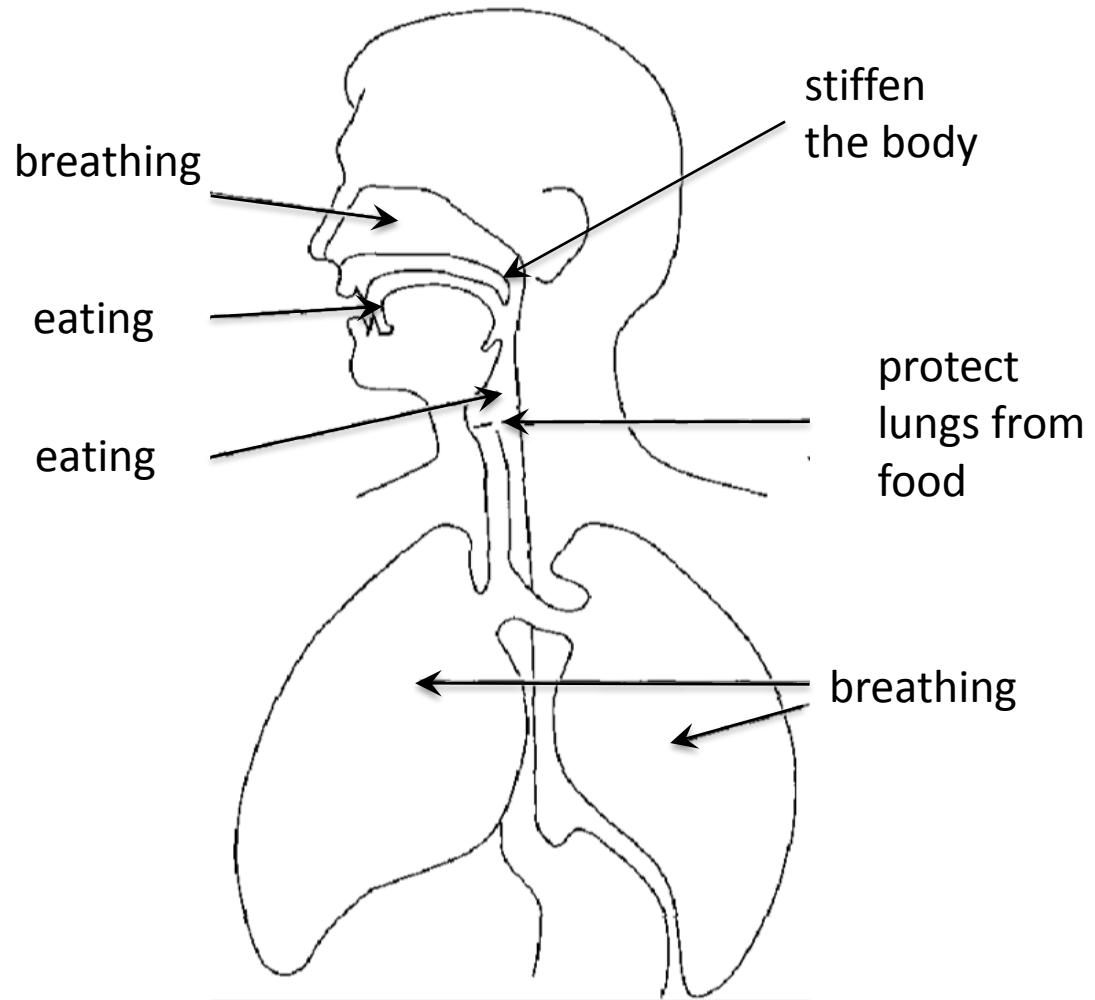
- Produced to be perceived
  - We speak in order to be heard in order to be understood
- Evolved over millennia to reflect properties of human hearing

*Roman Jakobson*

# Organs of speech production

Life sustaining functions:

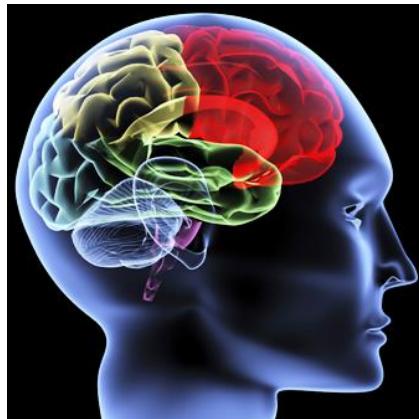
- eating
- breathing
- (and speaking)



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# How to survive in this world?

“Eat vegetables, they  
are good for you”



“Eat vegetables, they  
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## What is the message?

### cognitive aspects

- common code (language), context, prior experience, ...

### reliable signal carrying the message

# Information in speech signal

$$C = W \log_2 [(S+N)/N],$$

*W - signal bandwidth,*

*S - power of signal, N - power of noise*

*W – about 8 000 Hz*

*(S+N)/N - about 10<sup>3</sup>*

*log<sub>2</sub> 1000 – about 10*

standard PCM coding

8 kHz sampling, 11 bit

accuracy = **88 kb/s**

*C about 80 kb/s*

$$H(s) = -\sum_{i=1}^n p_i \cdot \log(p_i)$$

*p<sub>i</sub> - probability of i - th symbol*

41 phonemes in English

H = log<sub>2</sub> 41 = 5.4 bit/phoneme

about 15 phonemes/s

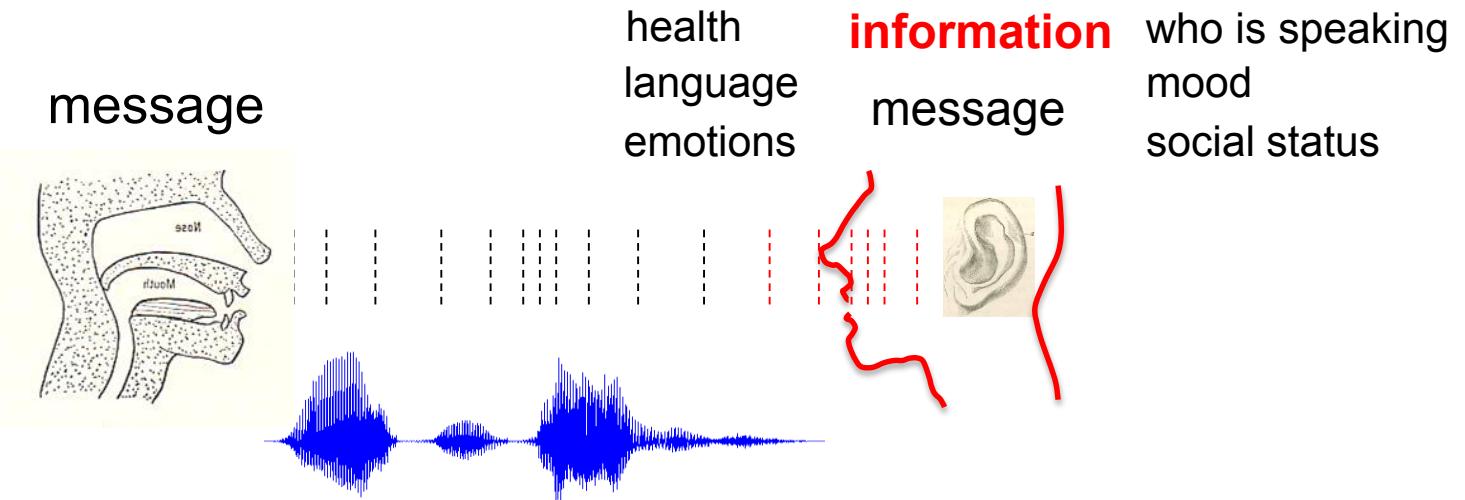
**15 x 5.4 = 80 bps**

150,000 words – about 18 bits,  
300 words/min – 90 bits/s

considering relative frequencies of phonemes and phonotactic rules, the information in each phoneme decreases to about 1.5 bit/phoneme

15-25 bps ! (of course no other info but the phoneme sequences)

# environmental noise

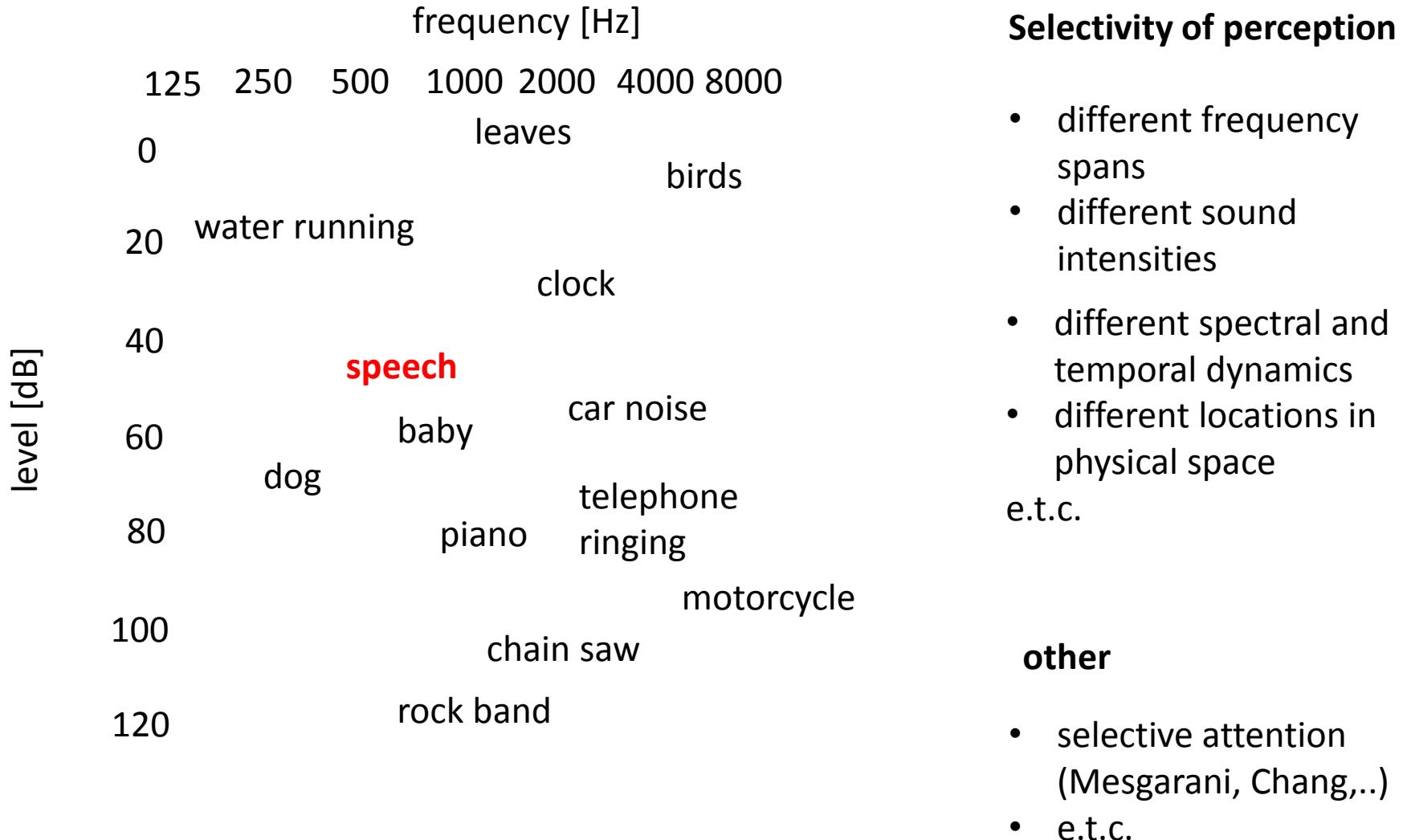


signal = message (wanted information)

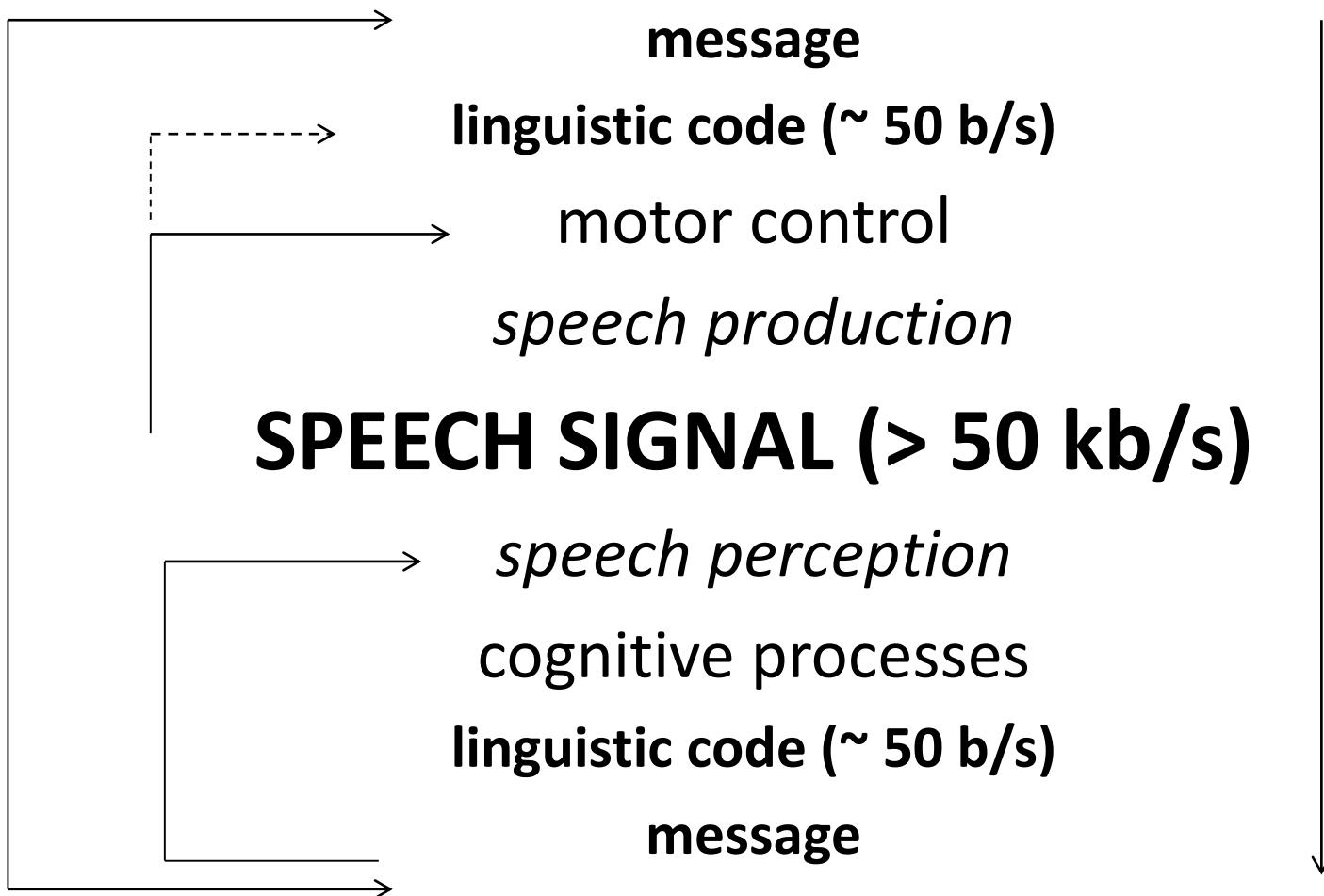
noise = everything else (unwanted information)

*Get **signal** which carries desired information and ignores **noise***

**The problem is NOT how to use all information but  
how to quickly IGNORE most of the information**

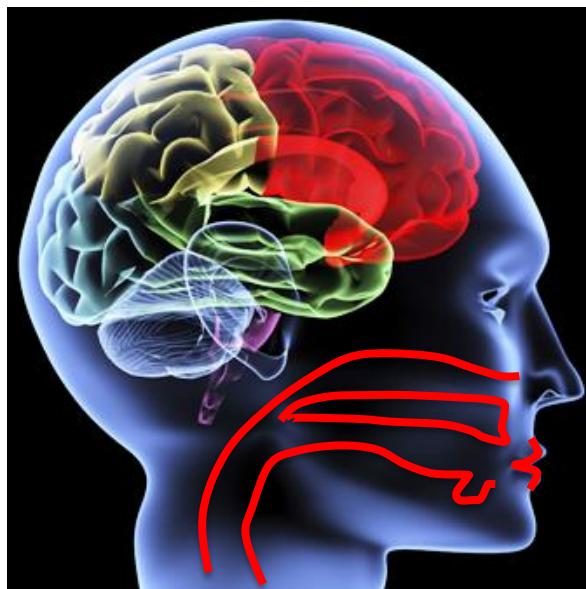


# Human Speech Communication

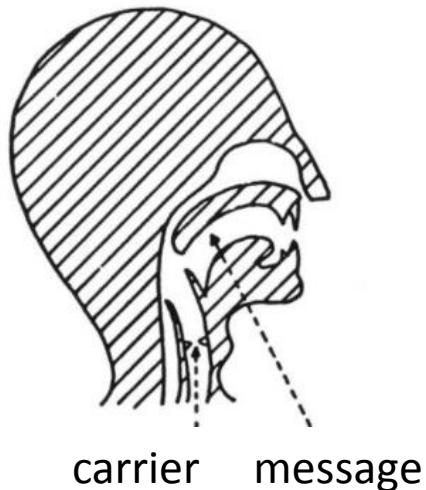


# Producing speech

**We speak in order to be heard in order to be understood**  
Roman Jakobson



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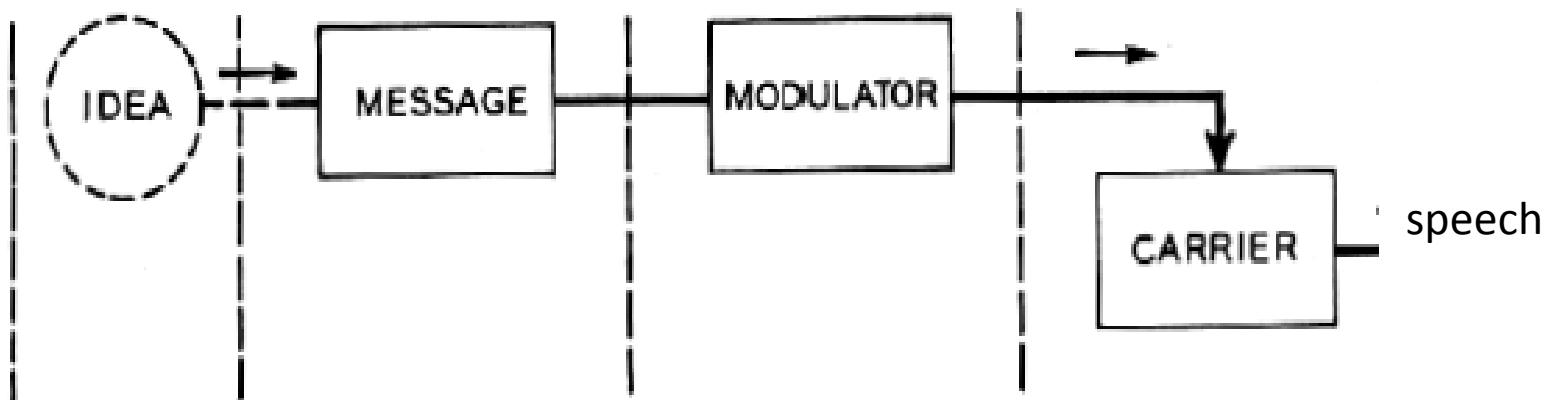
H. Dudley 'The **carrier nature of speech**', Bell System Technical Journal, vol. 19 (1940)

Inaudible **message** in slow motions of vocal tract is made audible by **modulating** the audible carrier

-Dudley 1940

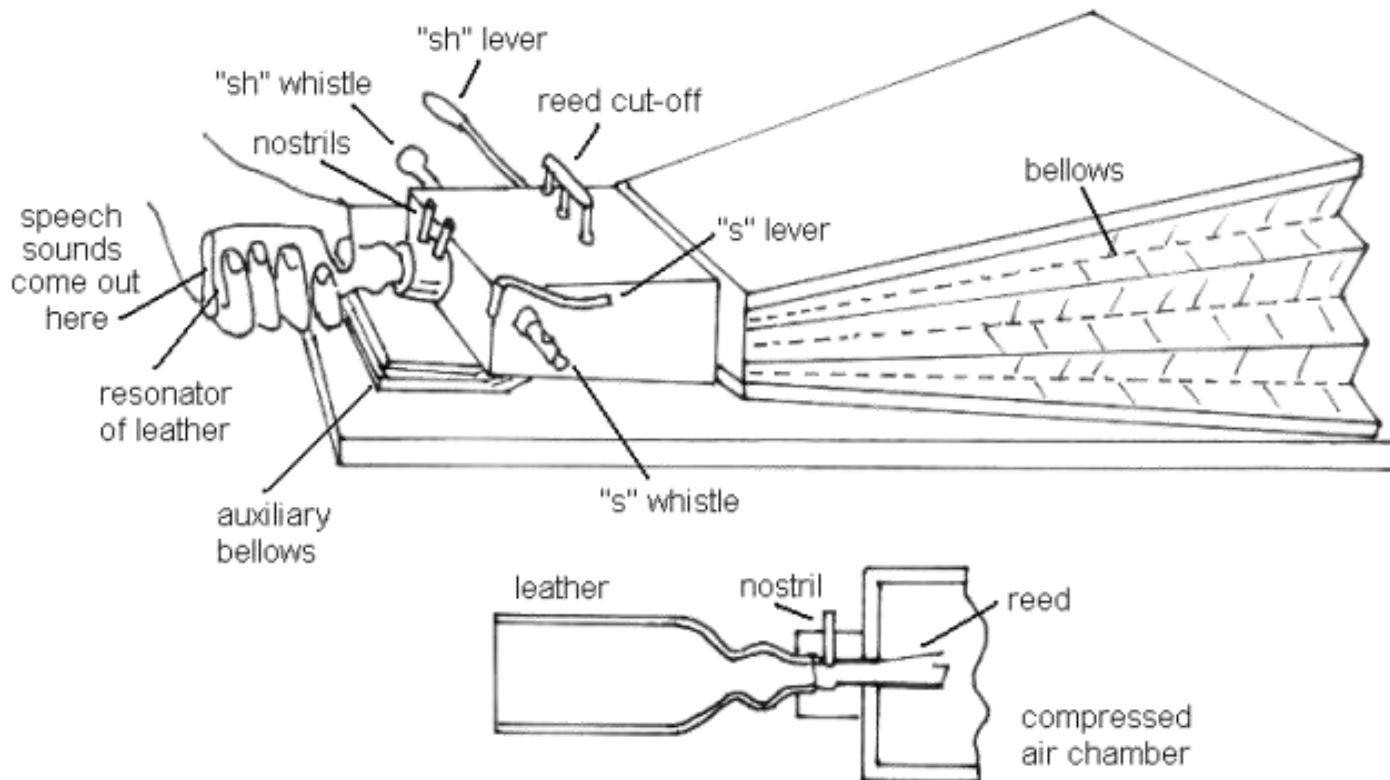
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Source: Dudley, Homer. "The carrier nature of speech." Bell System Technical Journal 19, no. 4 (1940): 495-515.



# Producing speech

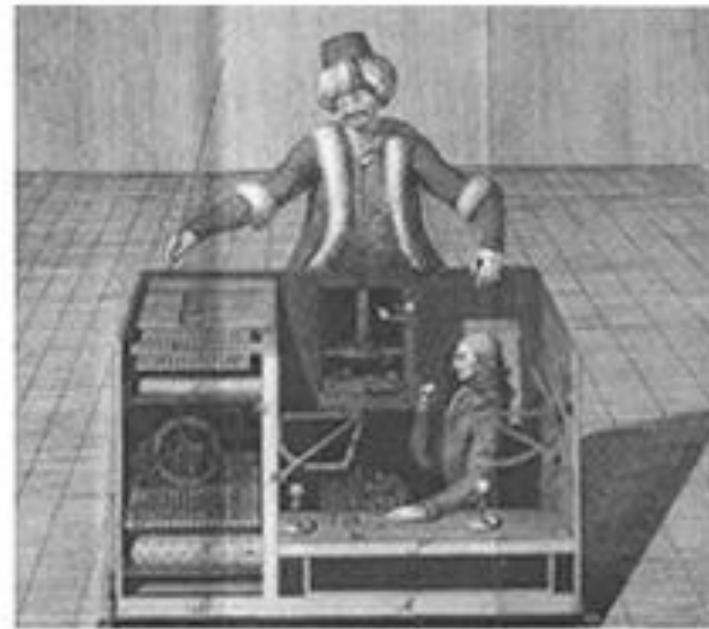
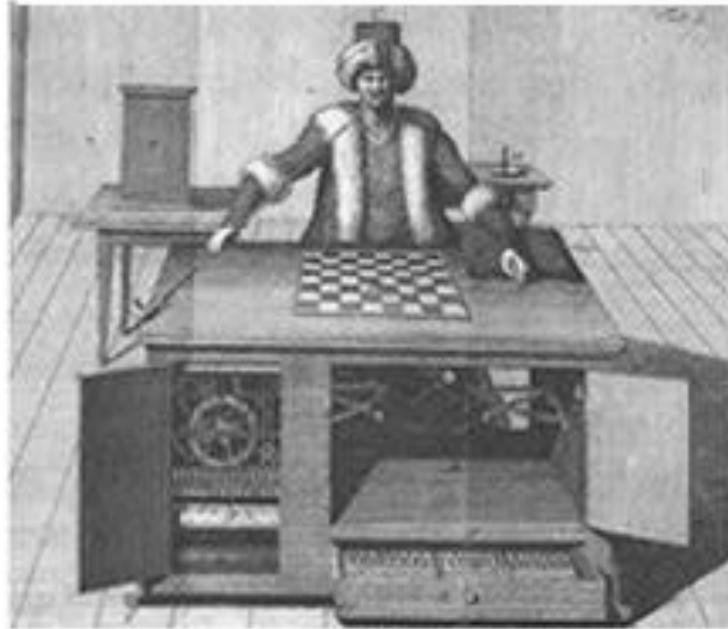
Johann Wolfgang Ritter **von Kempelen de Pázmánd**



This image of Wheatstone's construction of von Kempelen's speaking machine is in the public domain.

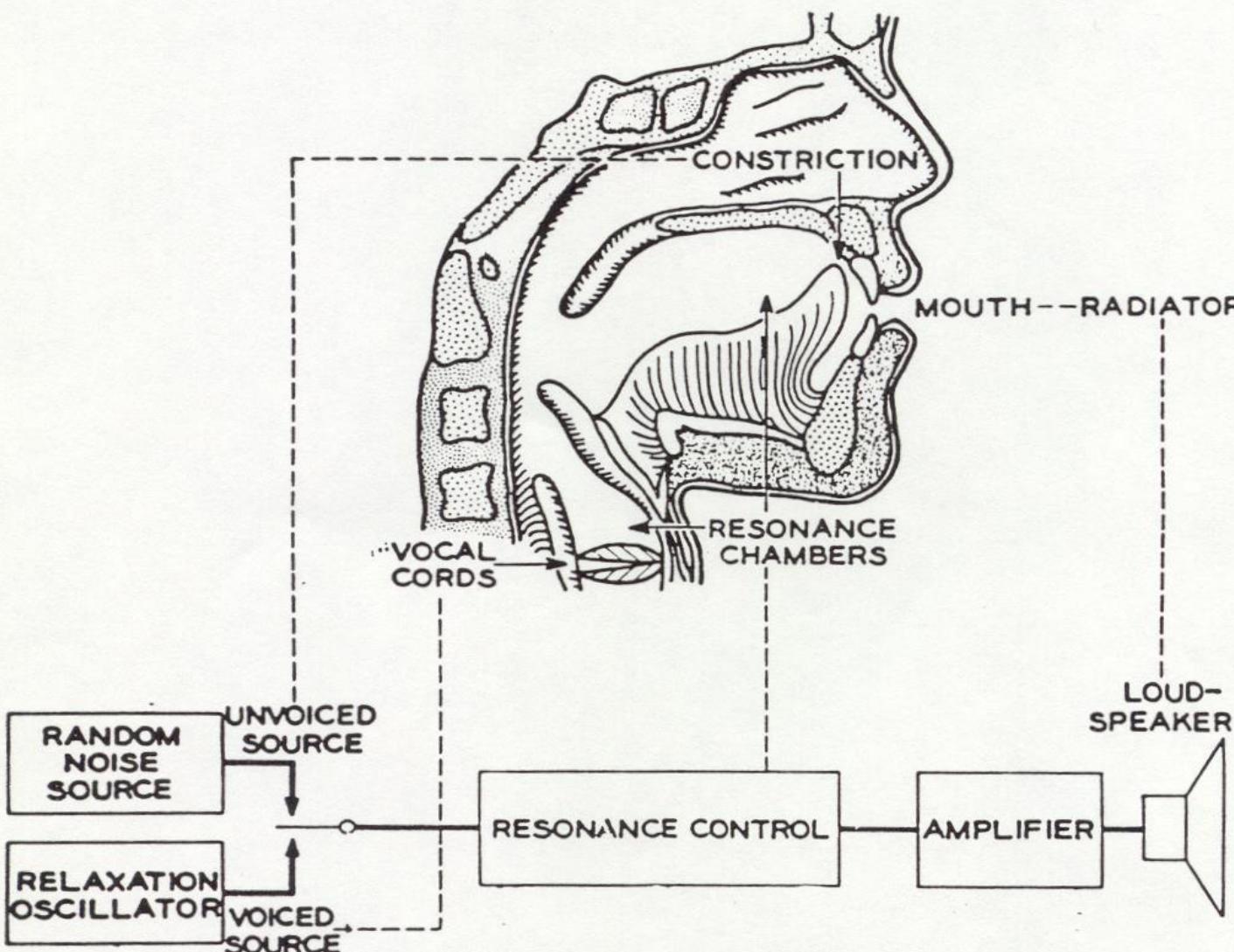
# Mechanical Turk

Johann Wolfgang Ritter **von Kempelen** de Pázmánd



This image of the automaton chess player of von Kempelen is in the public domain.

# Speech production

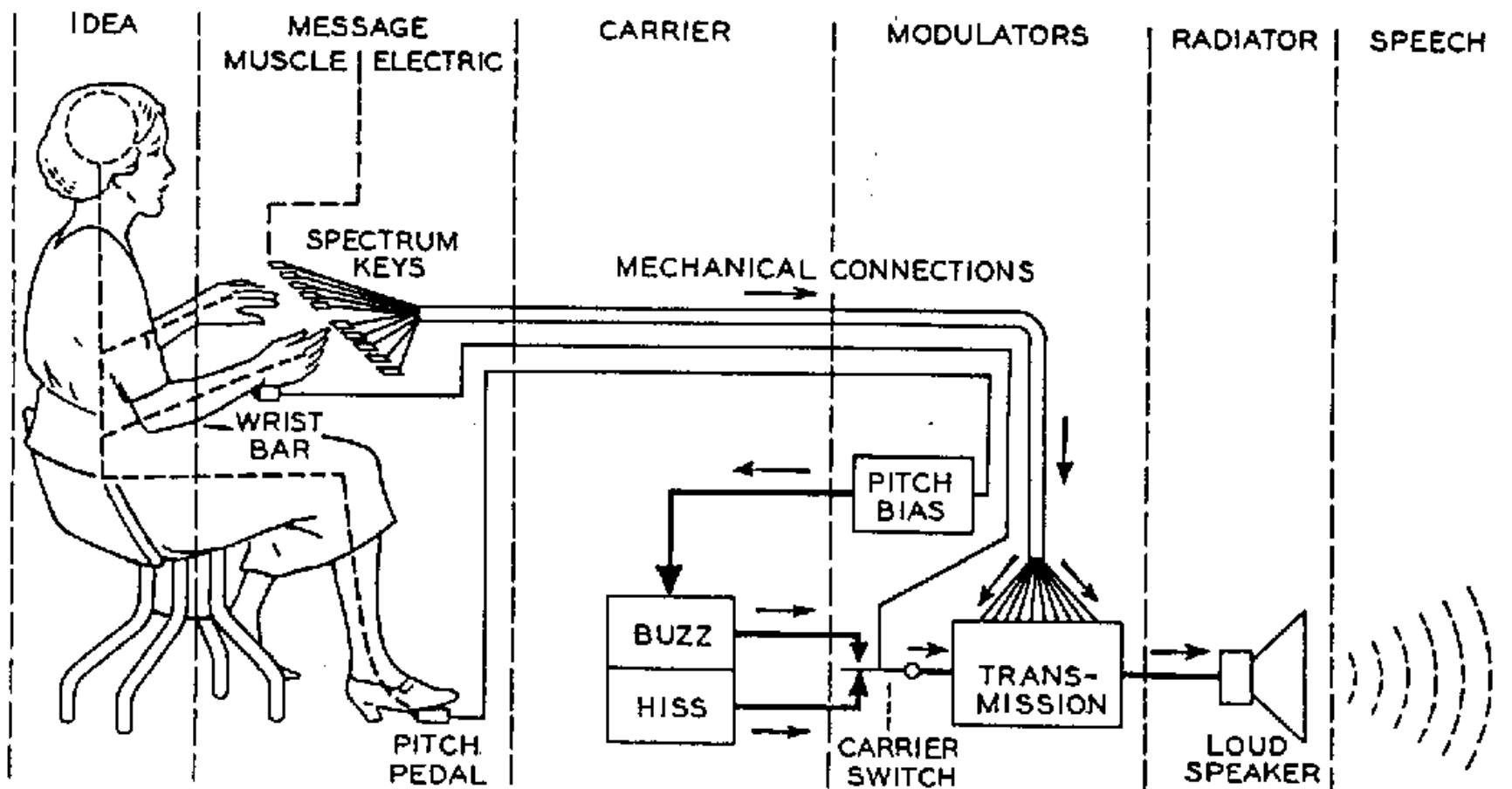


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Source: Dudley, Riesz, and Watkins, "A synthetic speaker," Journal of the Franklin Institute. 227, 739 (1939).



# VODER

## (Homer Dudley 1939)

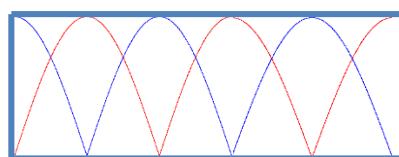
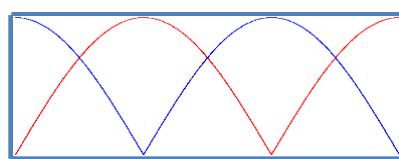
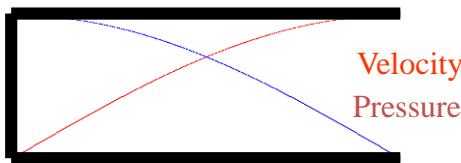


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Source: Dudley, Homer. "The carrier nature of speech." Bell System Technical Journal 19, no. 4 (1940): 495-515.

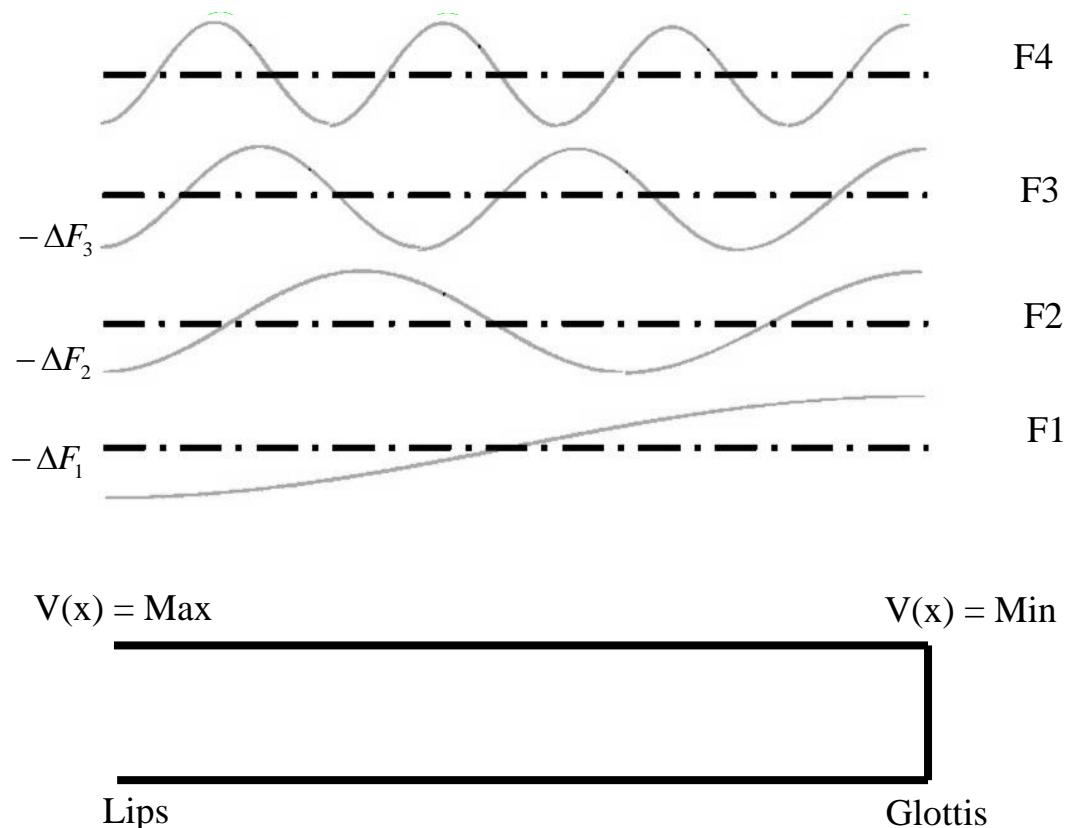


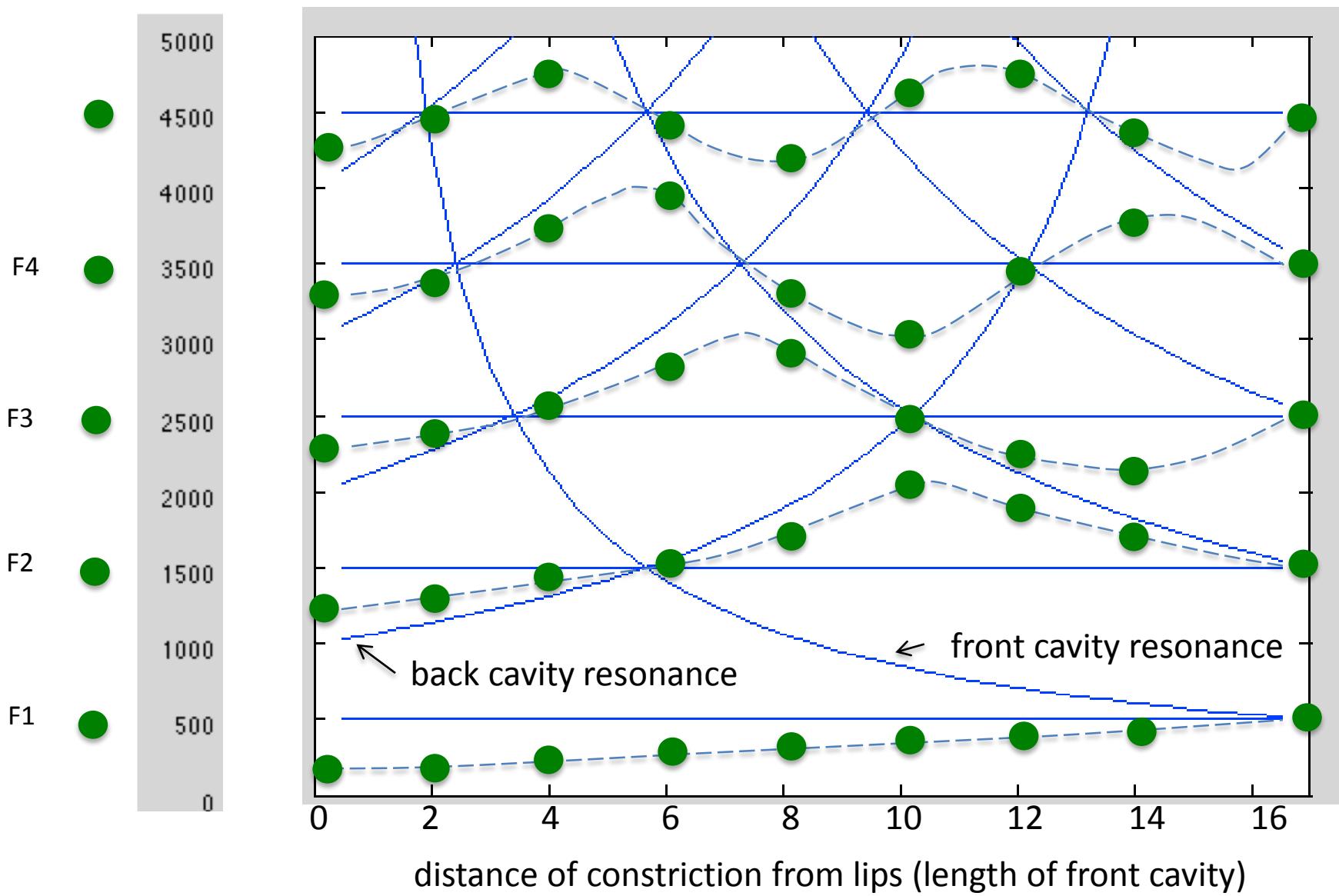
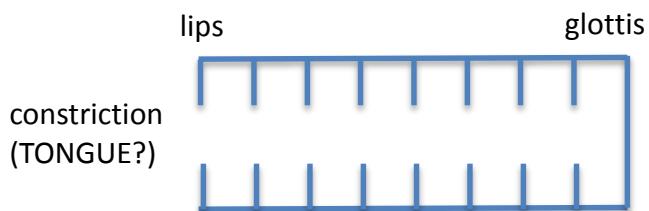
- Constraining the tube at the point of its maximum velocity of the mode is the most efficient way to lower the mode frequency
- Constraining it at the point of its maximum pressure lower the mode frequency



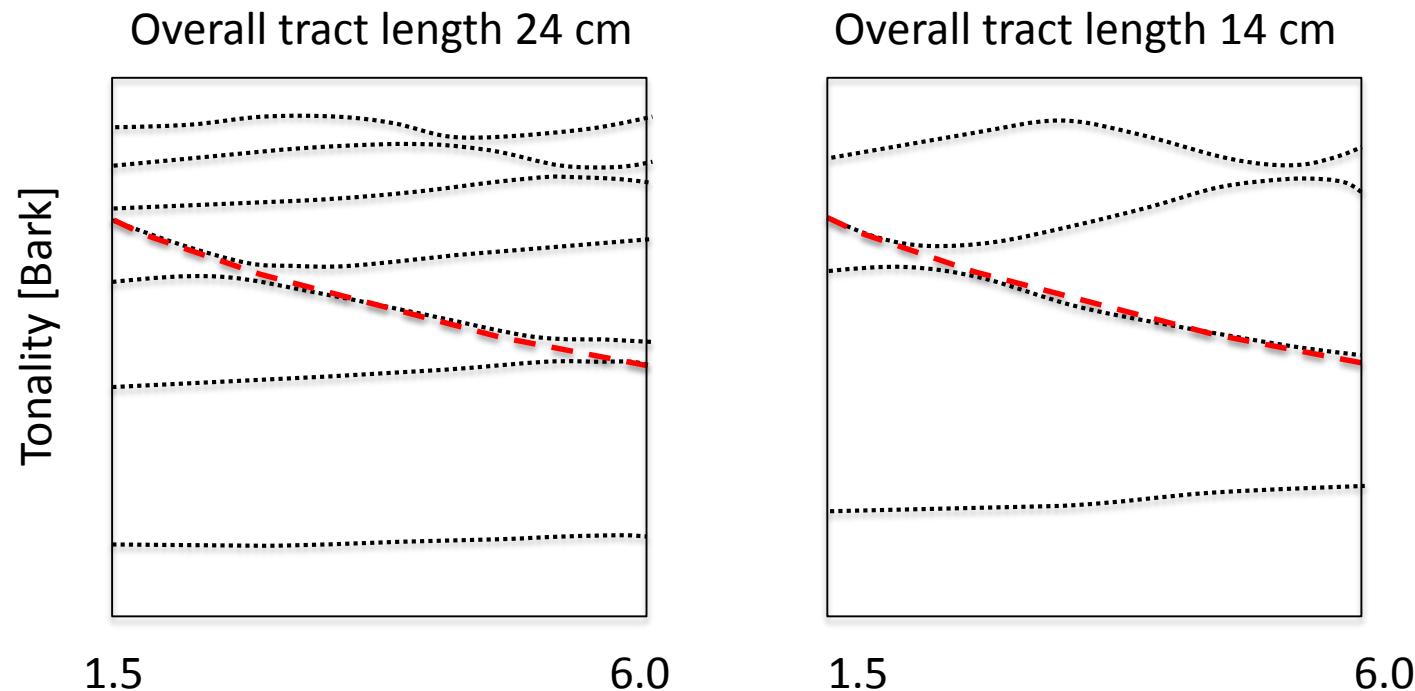
$$F_i = (2i - 1) \frac{c}{4l}$$

$i = 1, 2, 3, 4, 5, \dots$





- ..... resonance frequencies of synthetic vocal tracts (formants)
- - - first resonance of the front cavities of synthetic vocal tracts



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Source: Hermansky, Hynek, and D. J. Broad. "The effective second formant F2' and the vocal tract front-cavity." In Acoustics, Speech, and Signal Processing, 1989. ICASSP-89., 1989 International Conference on, pp. 480-483. IEEE, 1989.

length of the front cavity of the synthetic vocal tracts [cm]

adopted from Hermansky and Broad ICASSP 1990

# Hearing

We speak **in order to be heard** in order to be understood

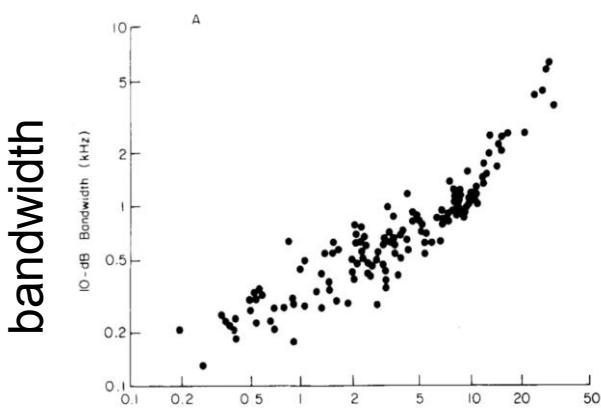
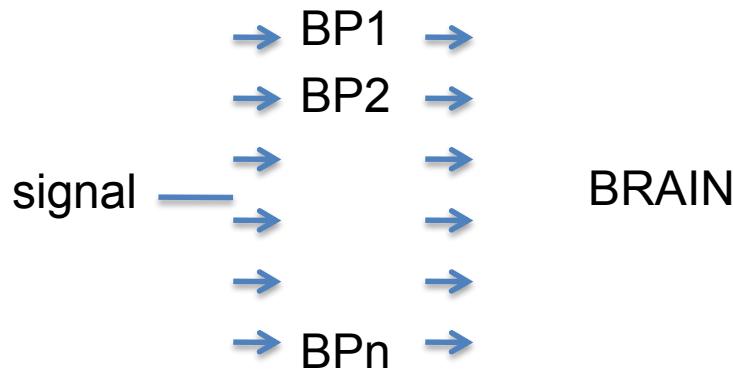
Roman Jakobson



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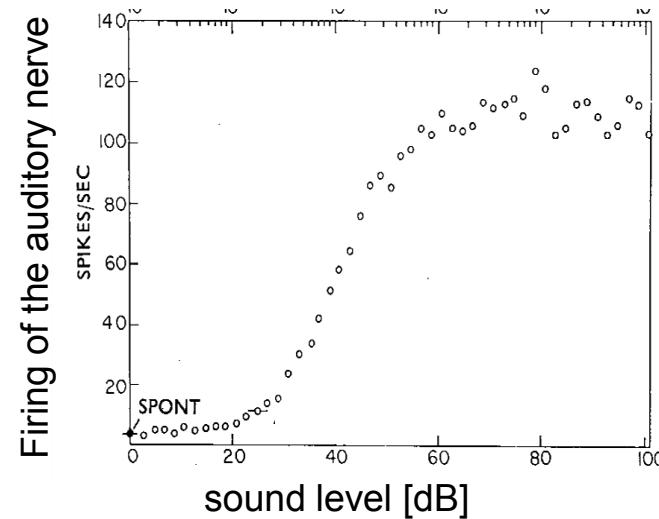
# Place theory of peripheral auditory processing

bank of cochlear band-pass filters



characteristic frequency

firing rate depends on sound intensity



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Figure of auditory processing from inner hair cells to auditory cortex removed due to copyright restrictions. Please see the video.

# Brain wetware

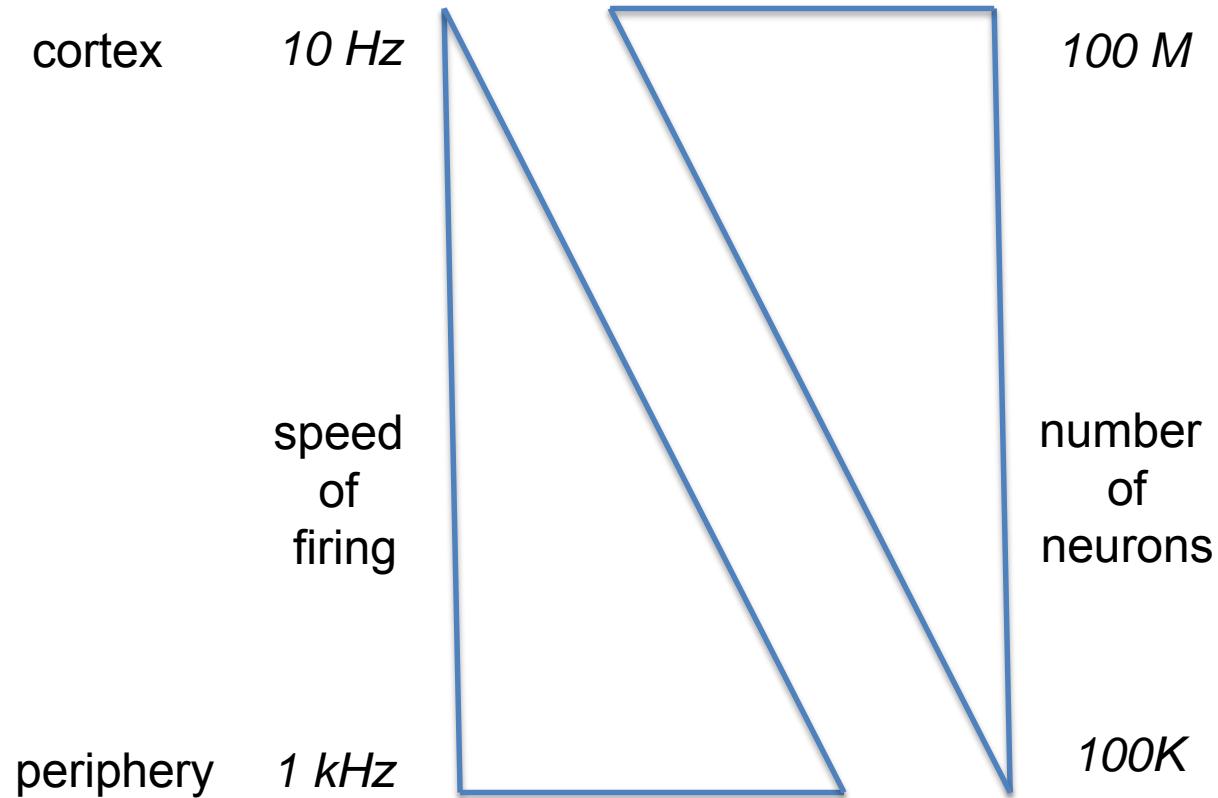
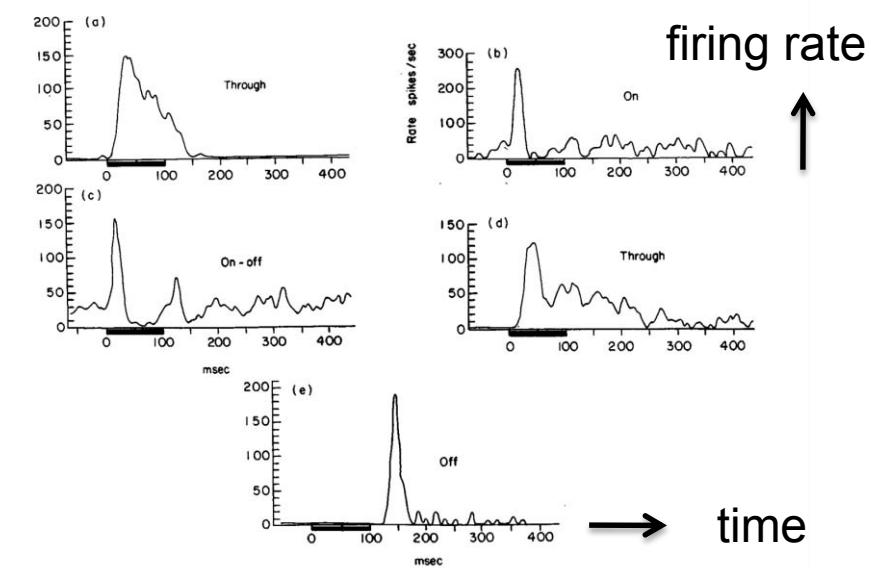
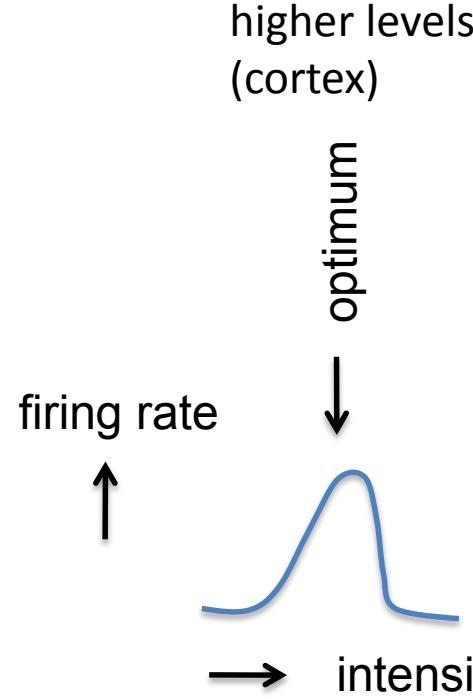
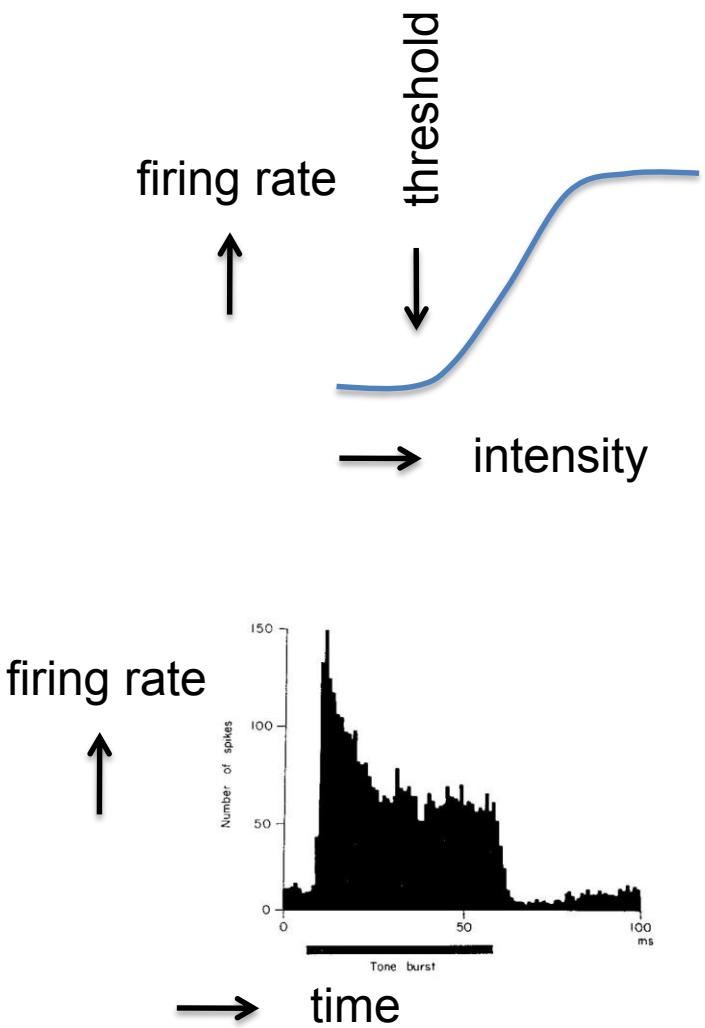


Figure of auditory processing from inner hair cells to auditory cortex removed due to copyright restrictions. Please see the video.

Figure of auditory processing from auditory cortex to hair cells removed due to copyright restrictions. Please see the video.

lower levels  
(auditory nerve)



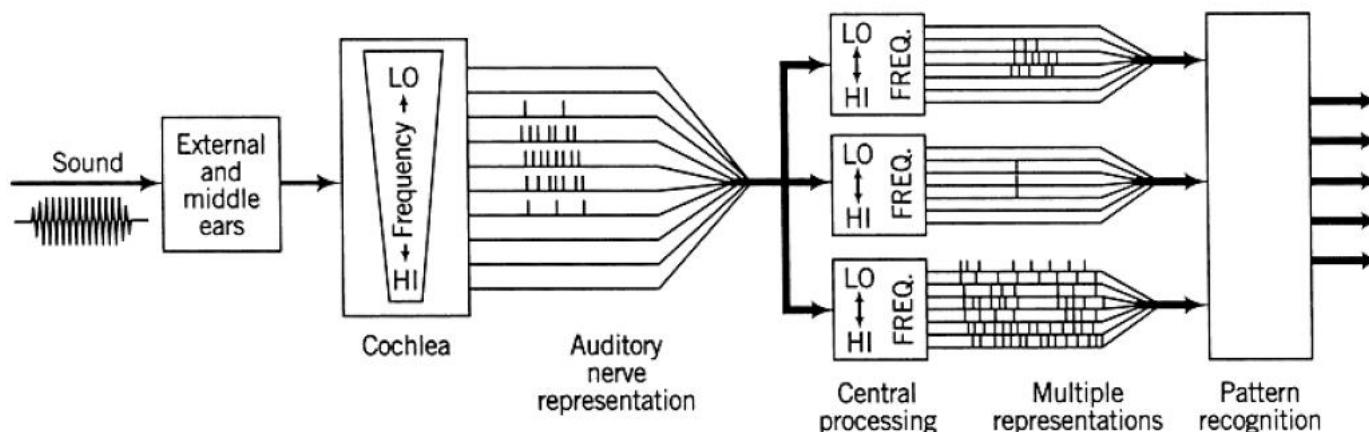
# Auditory cortical spectro-temporal receptive fields

Obtained through a kind of  
“spike triggered averaging”  
(dynamic ripples as inputs)

Figure removed due to copyright restrictions. Please see the video.

Many different  
STRFs

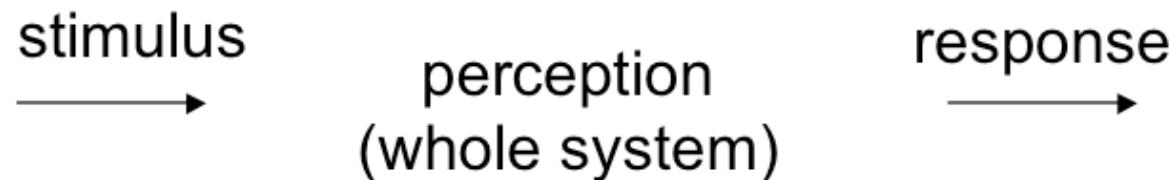
Courtesy of S. Shamma UMD lab



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Sachs et al 1988

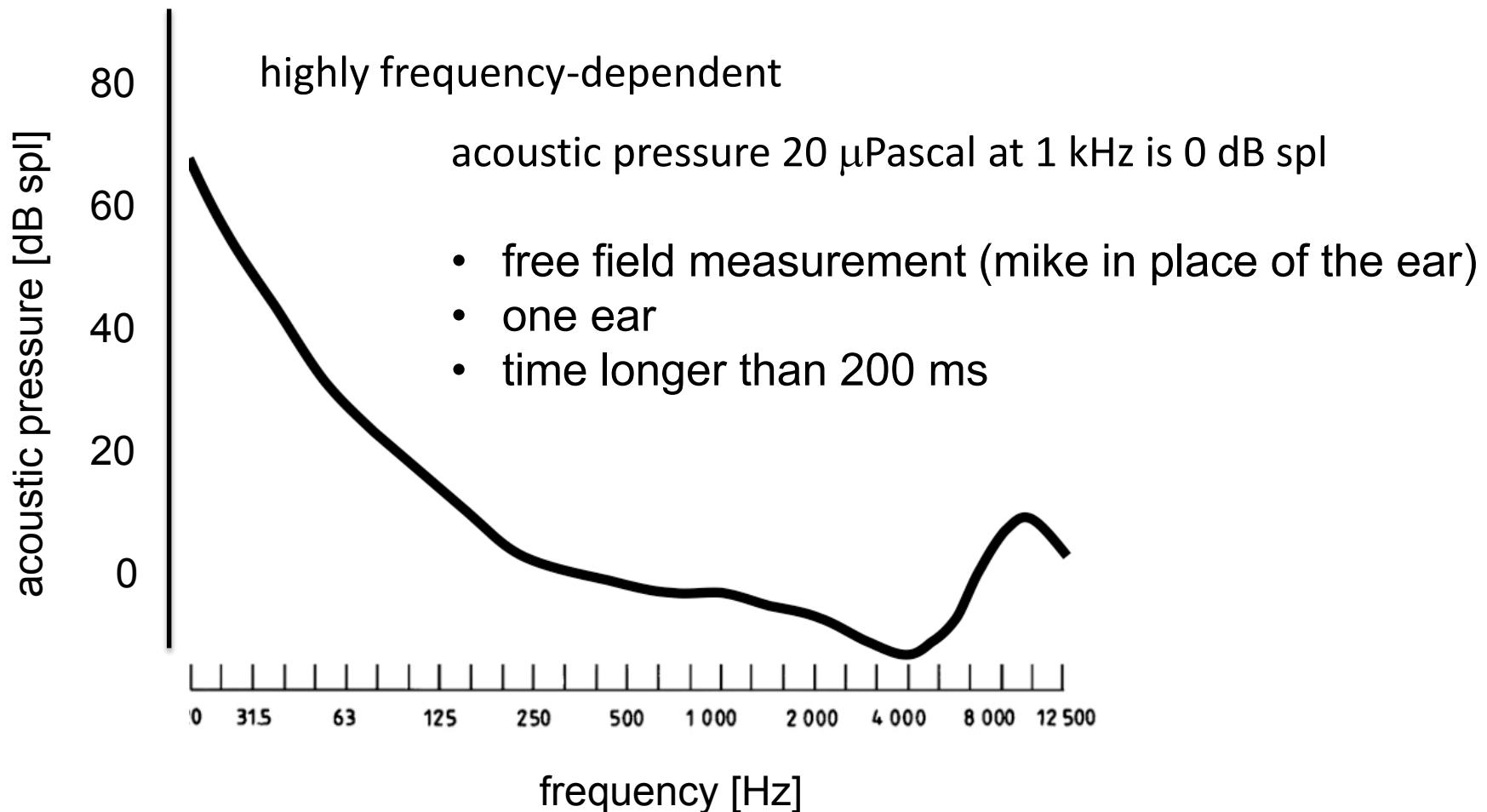
# Psychophysics



- What is the response of the whole organism to a stimulus?
- Present the stimulus and ask

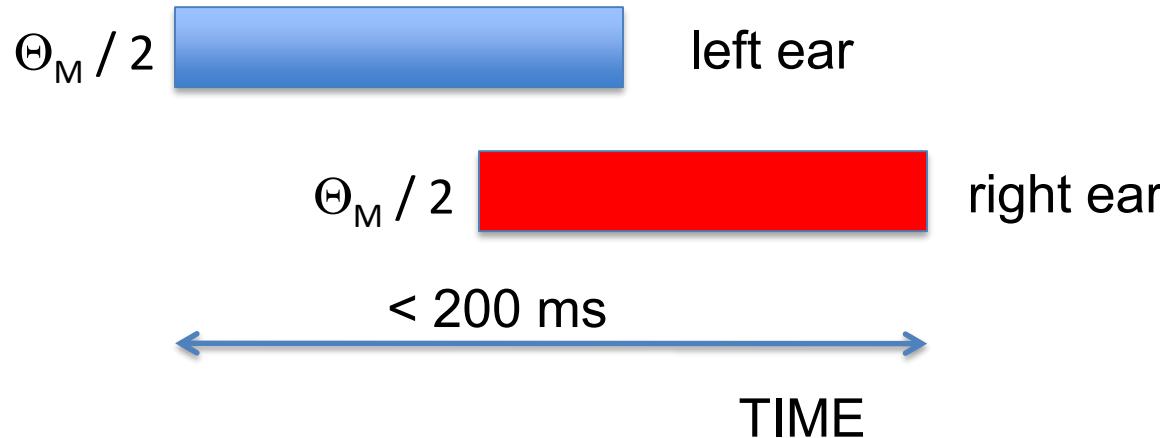
# Threshold of hearing

can you hear it?



when signals are applied in both ears, threshold for each is  $\Theta_B = \Theta_M / 2$   
(signals integrate)

the tones do not have to occur simultaneously as long as they are within 200 ms

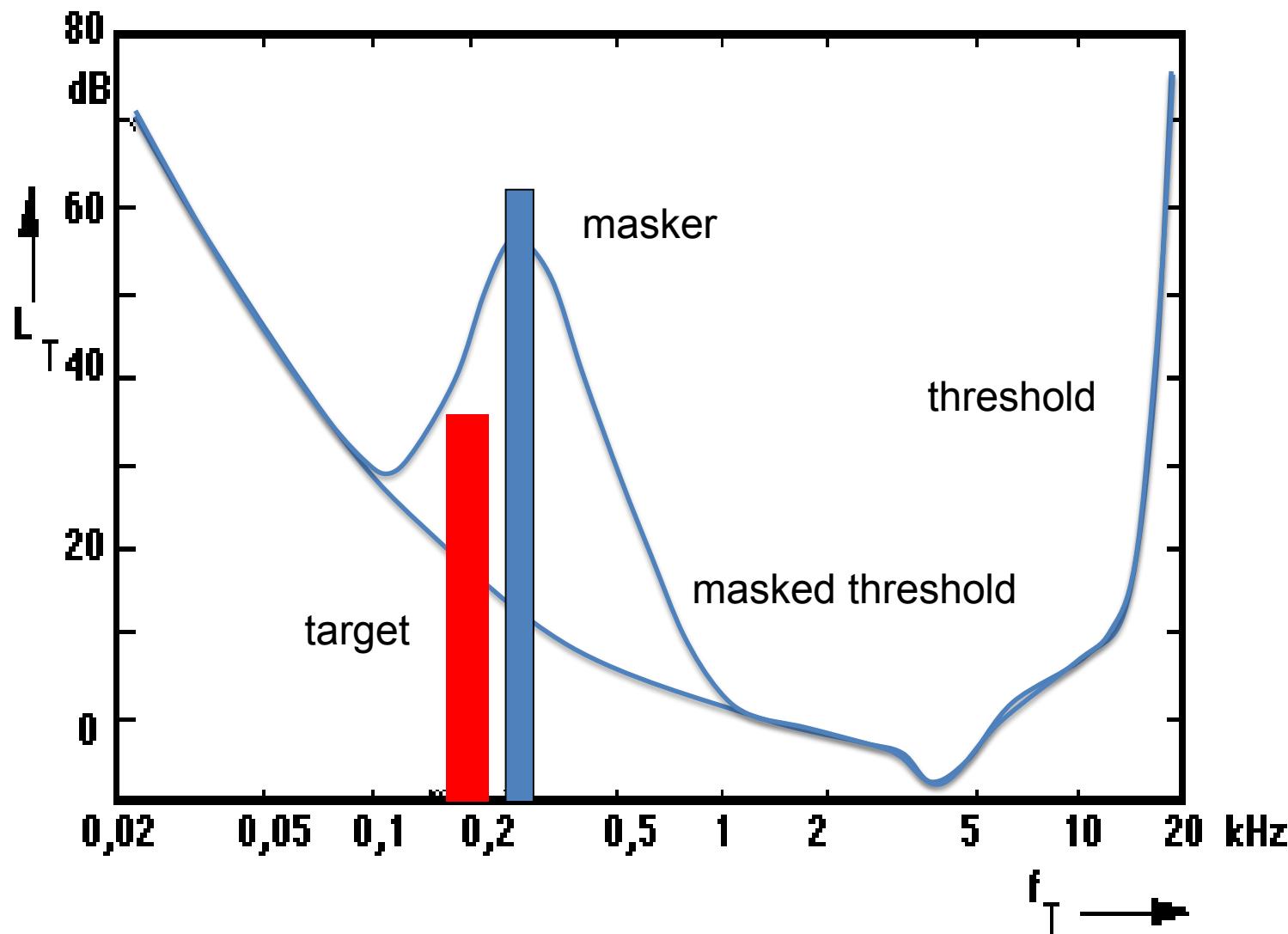


when two tones in one ear, the threshold  $\Theta_D = \Theta_S / 2$  ,  
**as long as the signals are “close” in frequency  
(within “critical band”)**



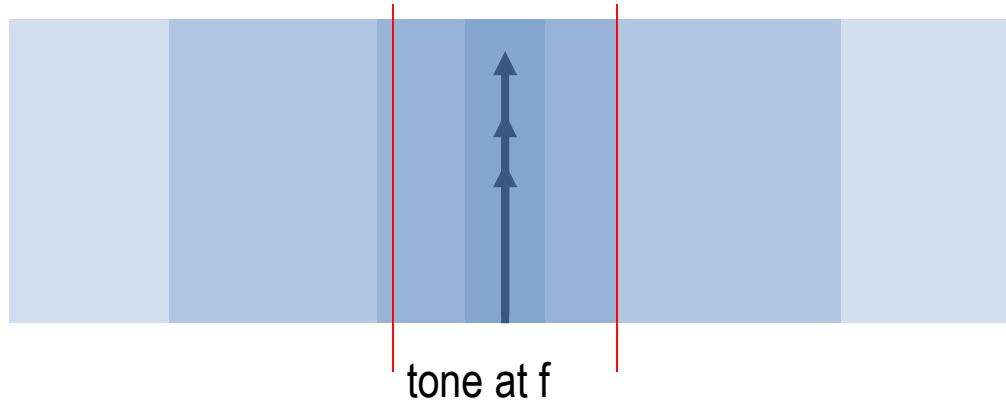
$\Delta f < \text{critical}$

# Simultaneous masking

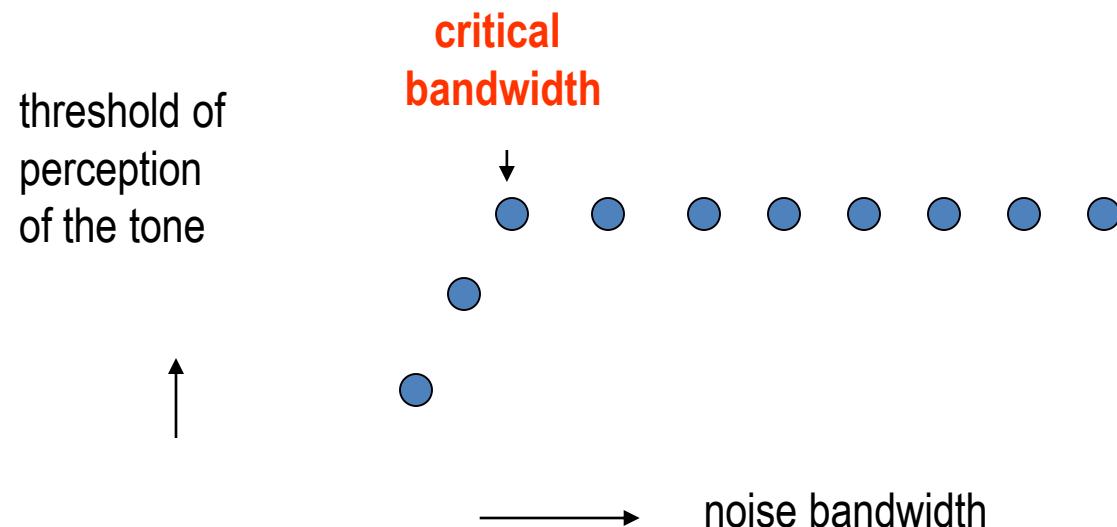


# Simultaneous Masking (Fletcher 1940)

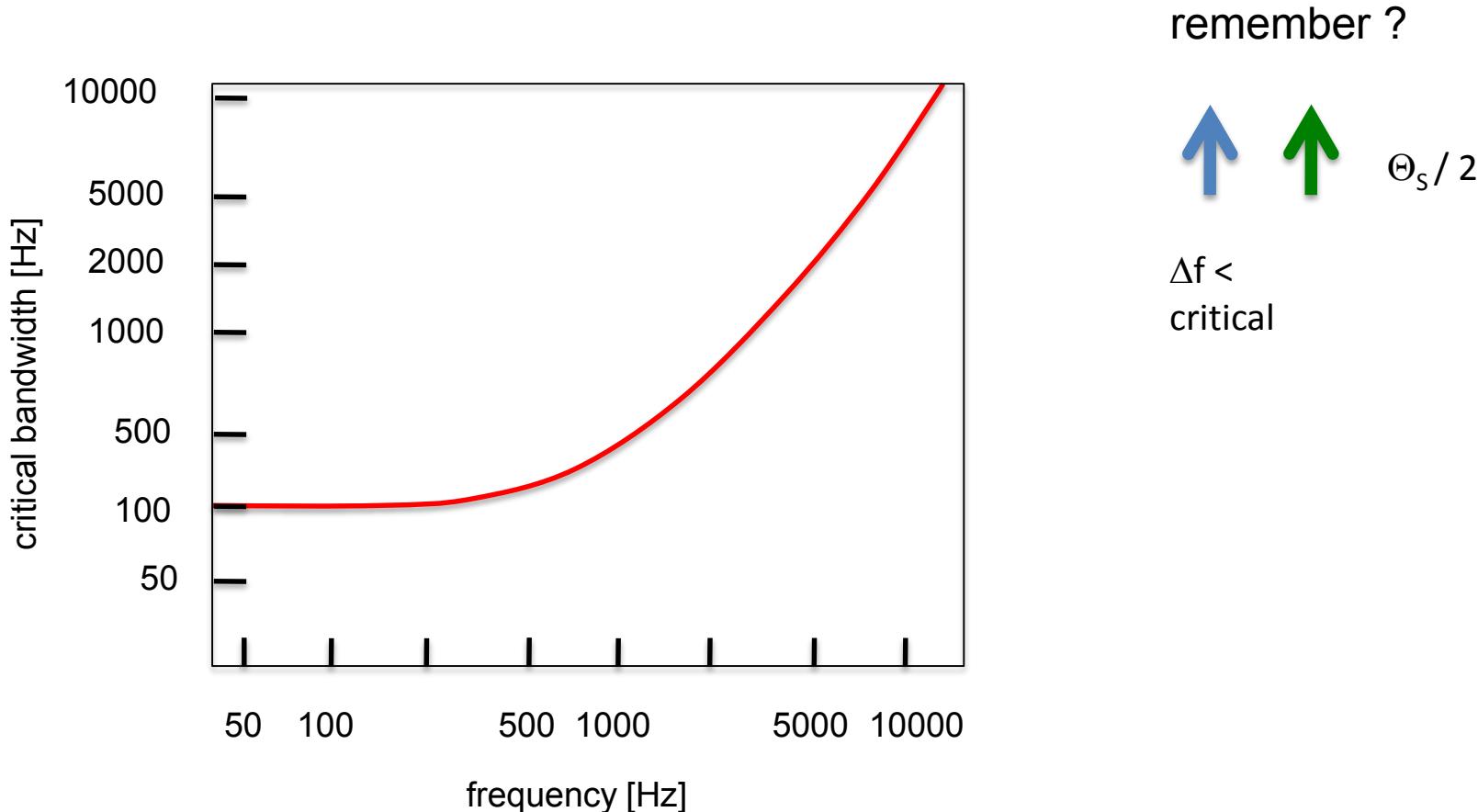
band-pass filtered  
noise centered at  $f$



**what happens outside  
the critical band  
does not affect  
decoding of the  
sound in the critical  
band**

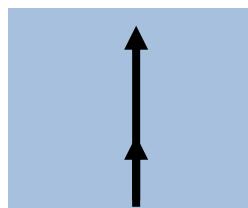
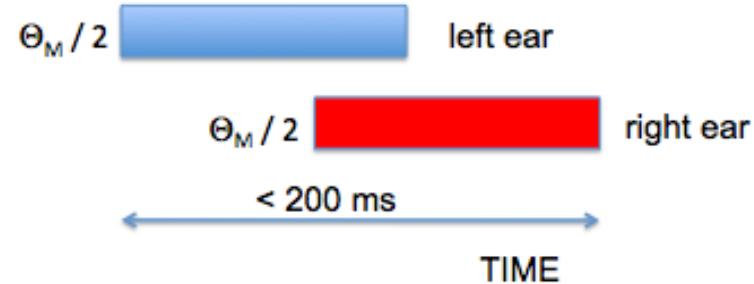


# “critical bandwidth” again



# Masking in Time

remember ?



$t_0$

$t_0 + \Delta t$

$t_0 + 200 \text{ ms}$



$t_0$

$t_0 + \Delta t$

$t_0 + 200 \text{ ms}$

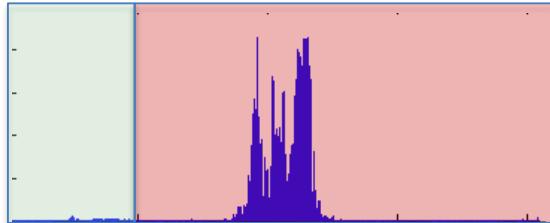
- what happens outside the critical interval, does not affect detection of signal within the critical interval

# Loudness

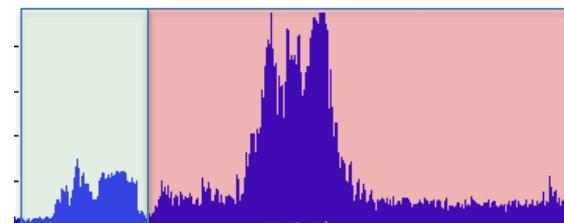
how much louder is one sound comparing to another?

$$\text{loudness} = \text{intensity}^{0.33}$$

intensity  $\approx$  signal<sup>2</sup> [w/m<sup>2</sup>]



loudness [Sones]



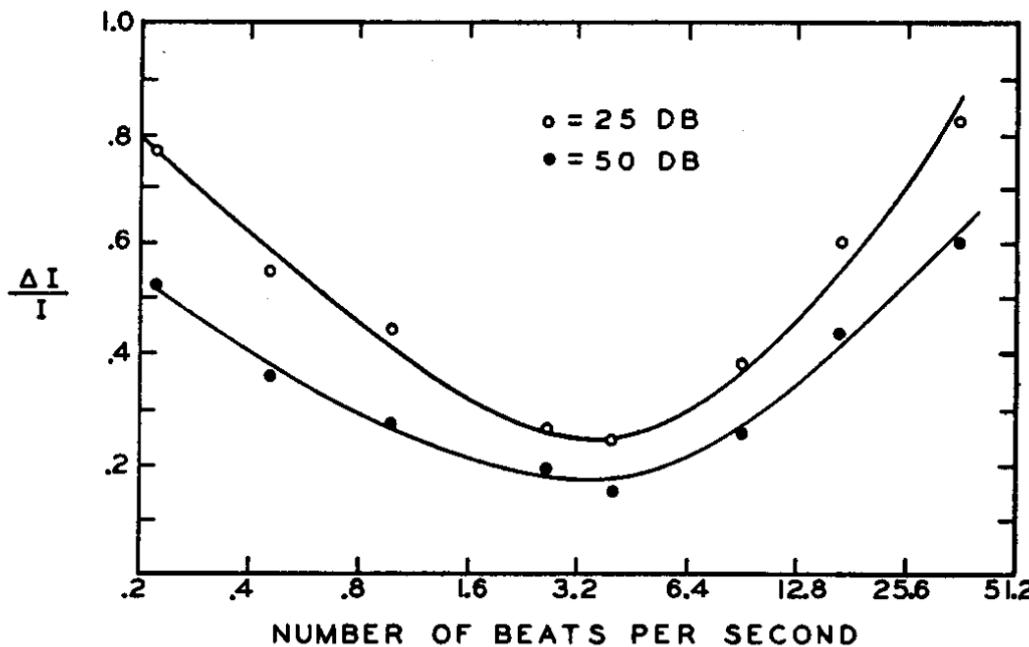
for stimuli longer than 200 ms

# Equal loudness curves

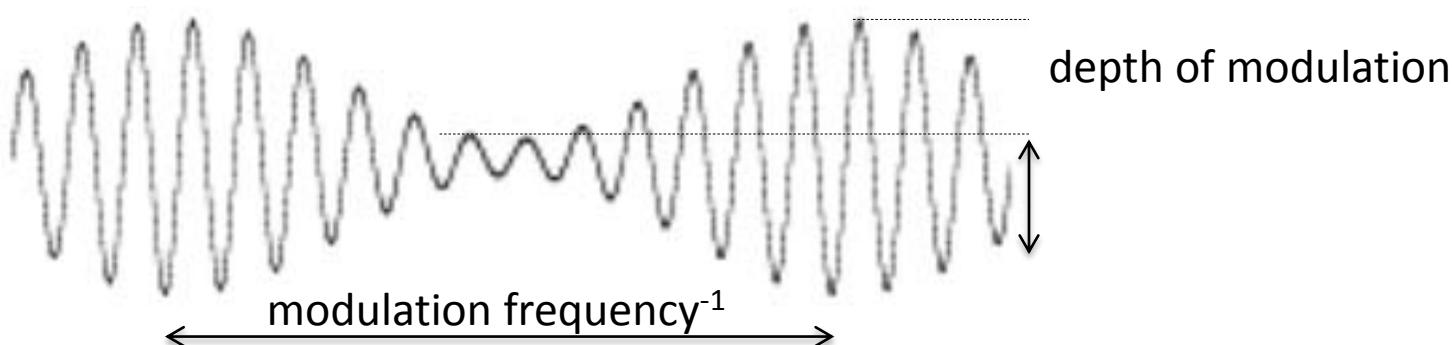
Figure of equal loudness curves removed due to copyright restrictions. Please see the video.

# Perception of modulations

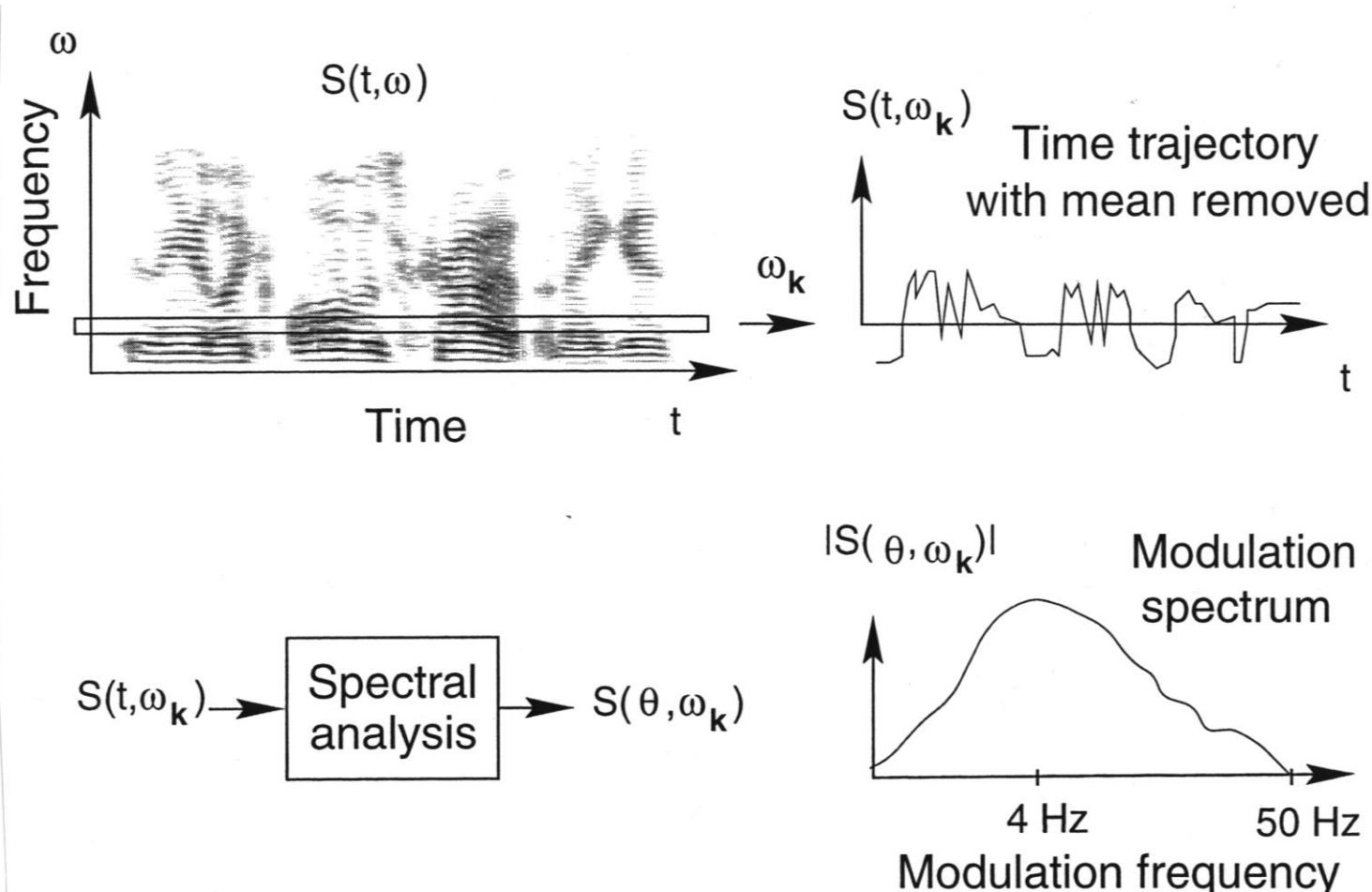
(Riesz 1923)



change the depth of modulation and modulation frequency  
is the signal modulated or not?



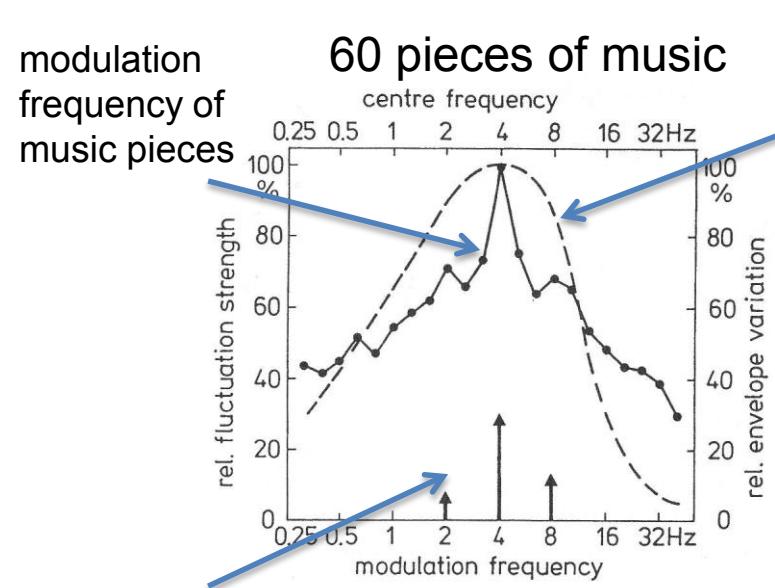
# Modulation spectrum of speech



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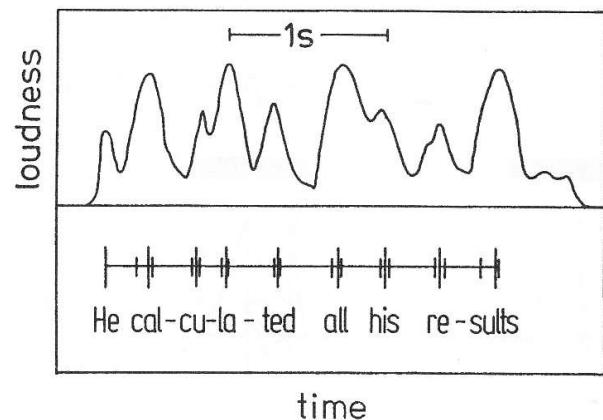
# Rhythm

Perception of rhythm: tap on a Morse-code key to the rhythm of the sound



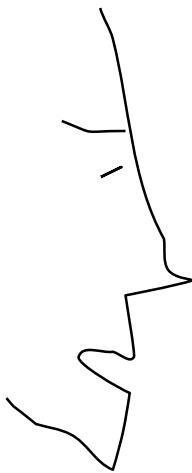
sensitivity of  
hearing to  
modulations

Speech sentence



In average 4 taps per s

# Where is the information ?

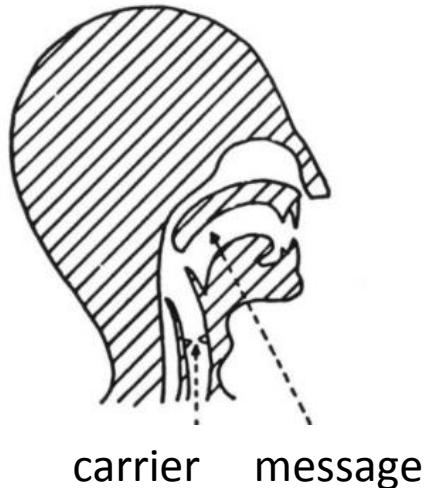


frequency



o o o o o o o



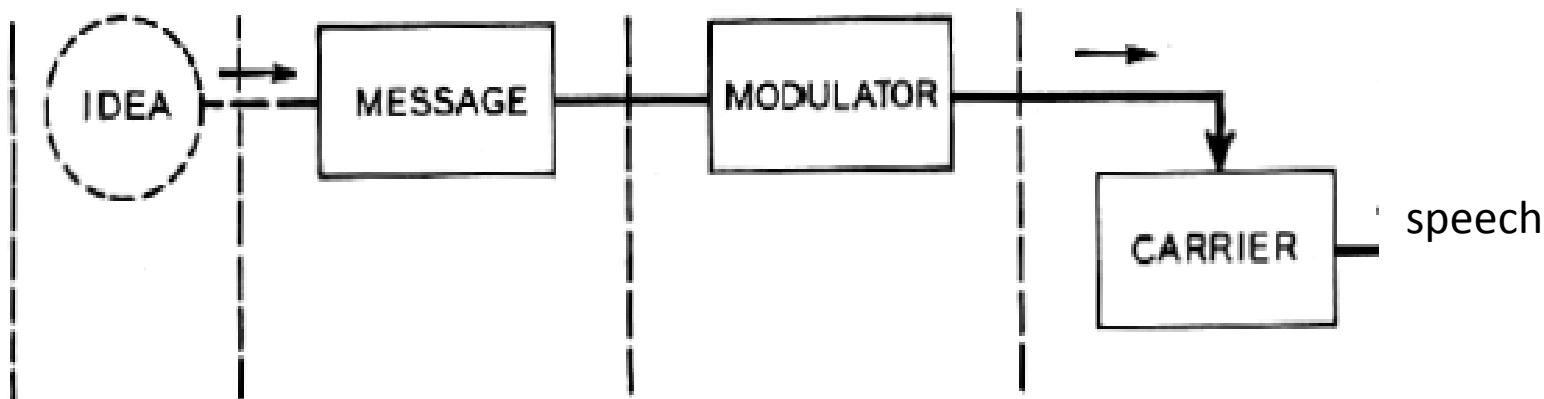


H. Dudley 'The **carrier nature of speech**', Bell System Technical Journal, vol. 19 (1940)

Inaudible **message** in slow motions of vocal tract is made audible by **modulating** the audible carrier

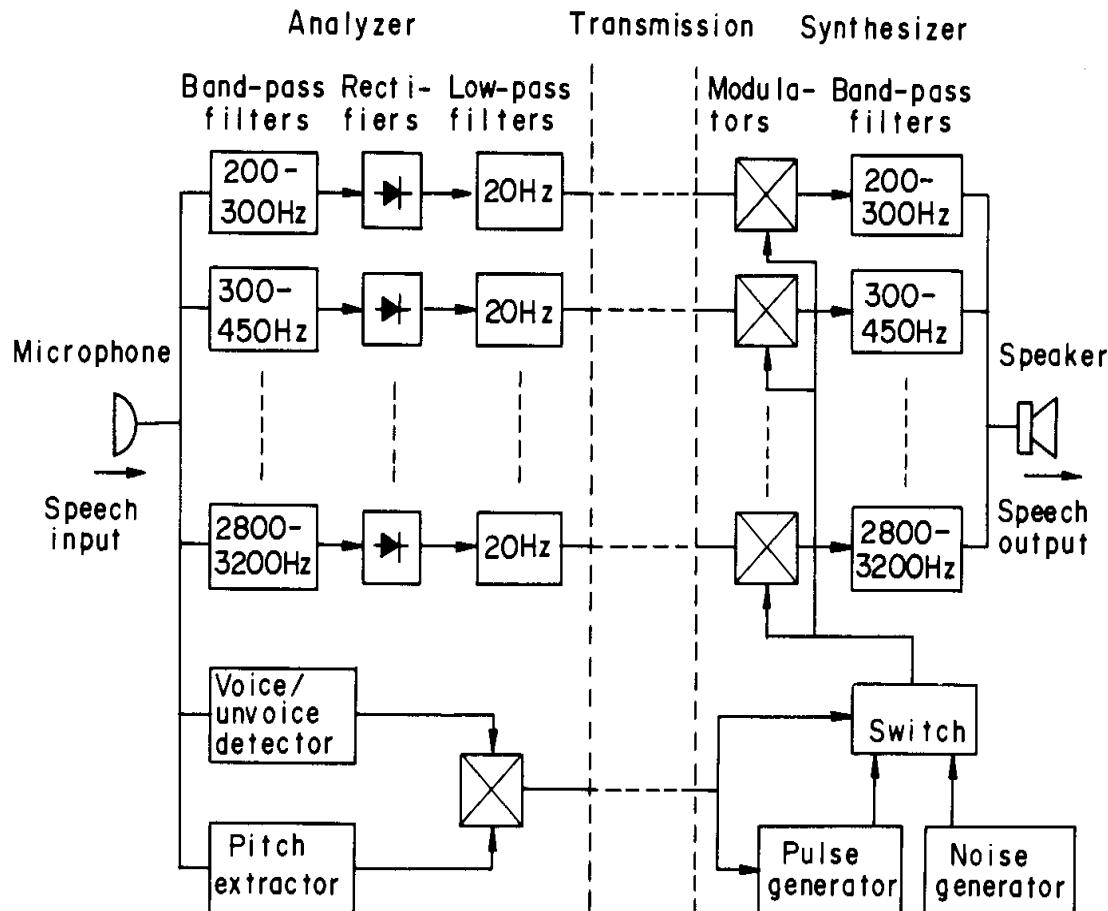
-Dudley 1940

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Source: Dudley, Homer. "The carrier nature of speech." Bell System Technical Journal 19, no. 4 (1940): 495-515.



# VOCODER

## (H. Dudley, U.S. patent US2194298 A 1939)

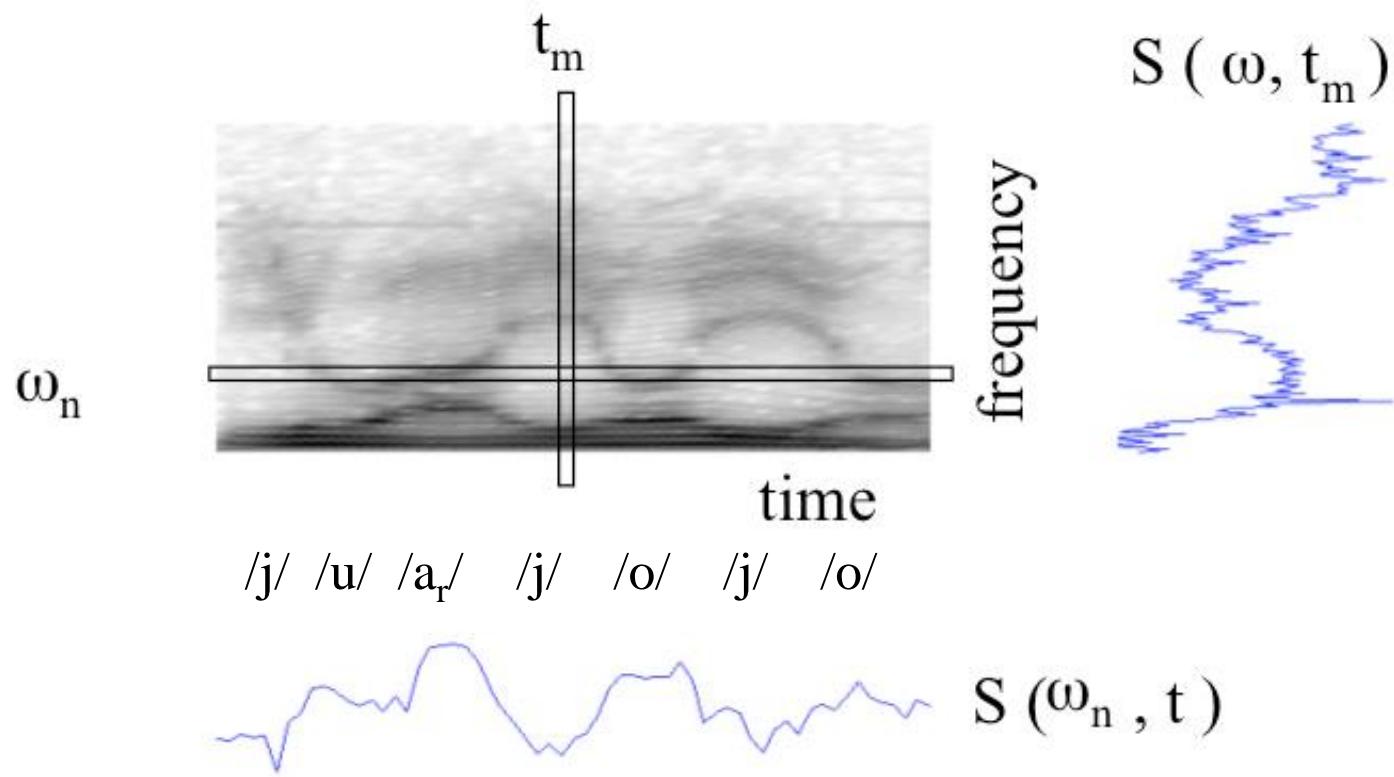


- Predictability (production)
  - speech waveform changes “slowly” (inertia of air mass in vocal tract cavities)
  - spectral envelope changes slowly
    - 20 Hz low-pass
    - voiced speech is periodic
      - pulse generator for excitation
- Hearing properties (perception)
  - spectral resolution of hearing
    - wider band-pass filters at higher frequencies

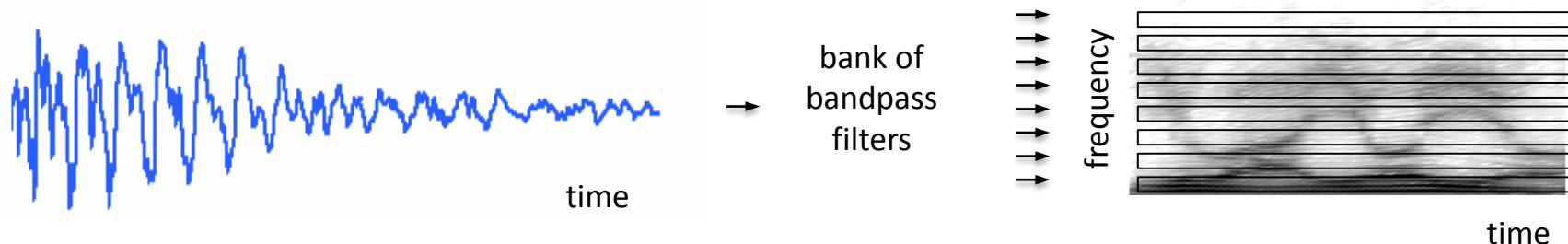
Figure removed due to copyright restrictions. Please see the video.

Source: Dudley, Homer, and Otto O. Gruenz Jr. "Visible speech translators with external phosphors." *The Journal of the Acoustical Society of America* 18, no. 1 (1946): 62-73.

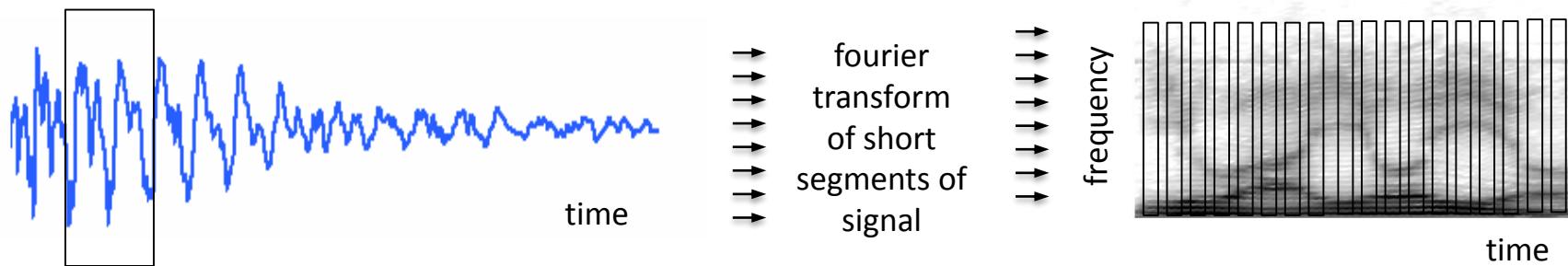
## SPECTROGRAM



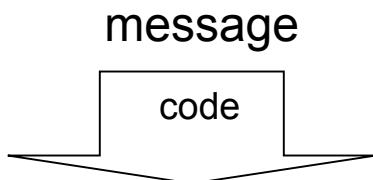
## spectrogram through band-pass filtering



## spectrogram through short-time fourier transform



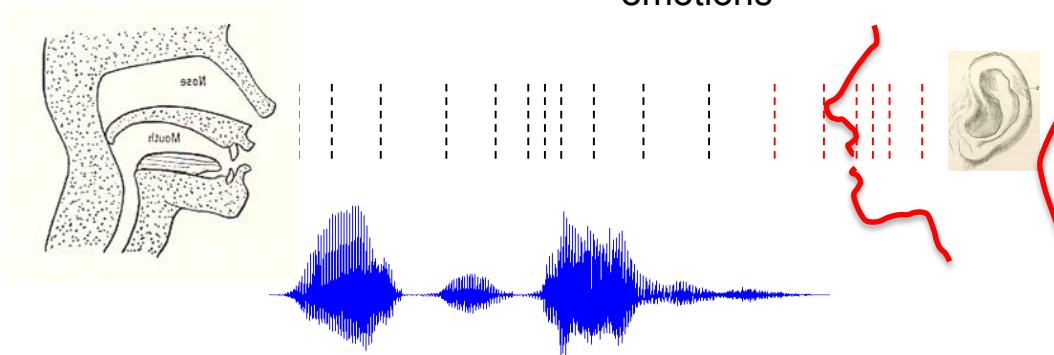
# environment



health  
language  
emotions

**information**  
message

who is speaking  
mood  
social status



Machine recognition of speech:  
Transcribe the code which carries the message

# Speech

- Produced to be perceived
  - We speak in order to be heard in order to be understood
- Evolved over millennia to reflect properties of human hearing
- Machine recognition of speech is a powerful way to support perceptual theory.

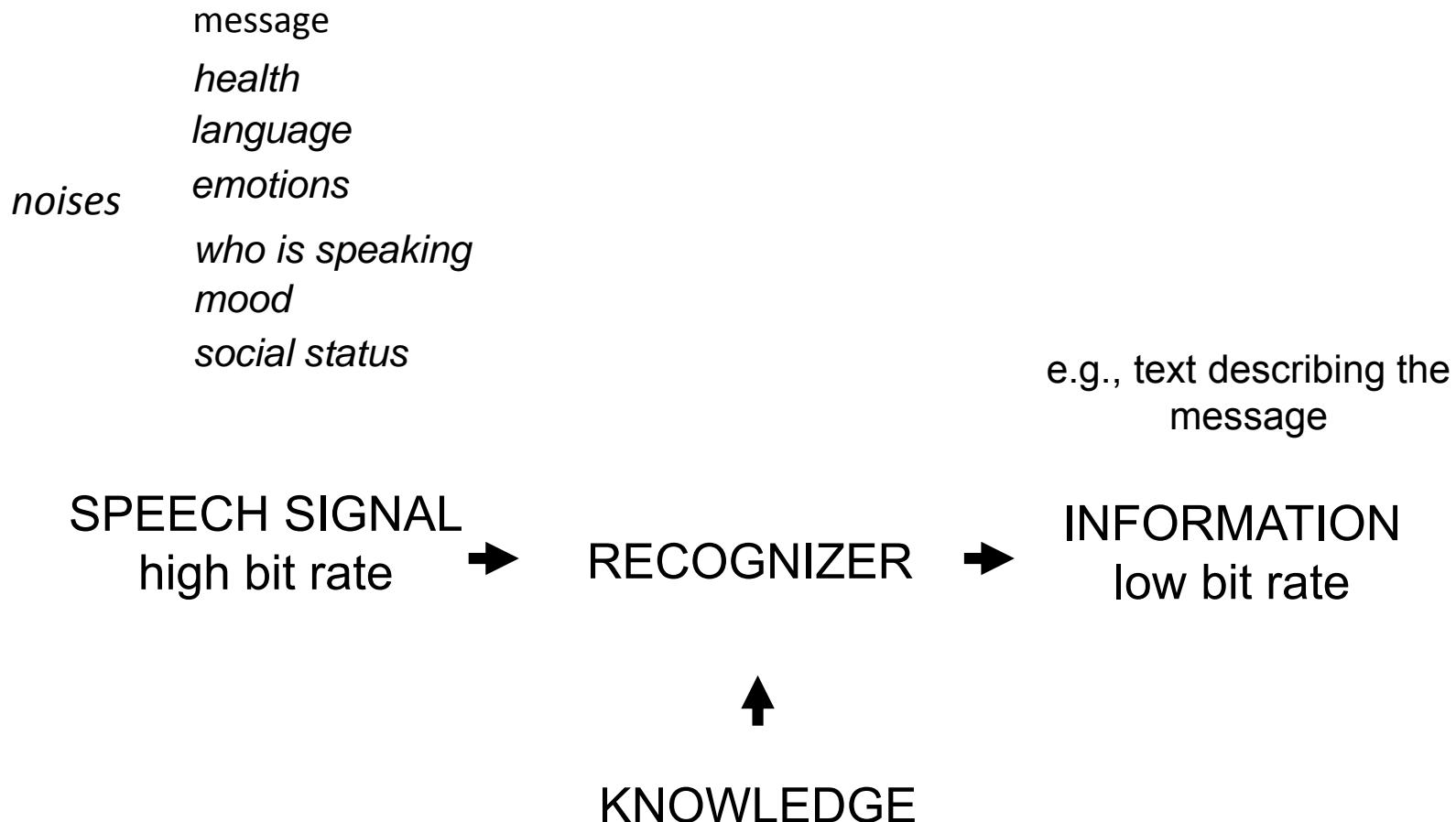
*Roman Jakobson*

# Better understanding of human perception through studying successful engineering solutions?

Listening for the message in speech is not the only task that human auditory perception must accomplish. Knowing what to emulate and what not when recognizing the message in speech is important. We suggest that one way to proceed is to focus on successful and well accepted ASR solutions and compare their properties with what we know about the perception of signals, and of speech in particular. Often, the engineering solution turns out to be a reflection of particular characteristics of hearing.

Hynek Hermansky, Jordan R. Cohen, and Richard M. Stern. "Perceptual properties of current speech recognition technology." *Proceedings of the IEEE* 101.9 (2013): 1968-1985.

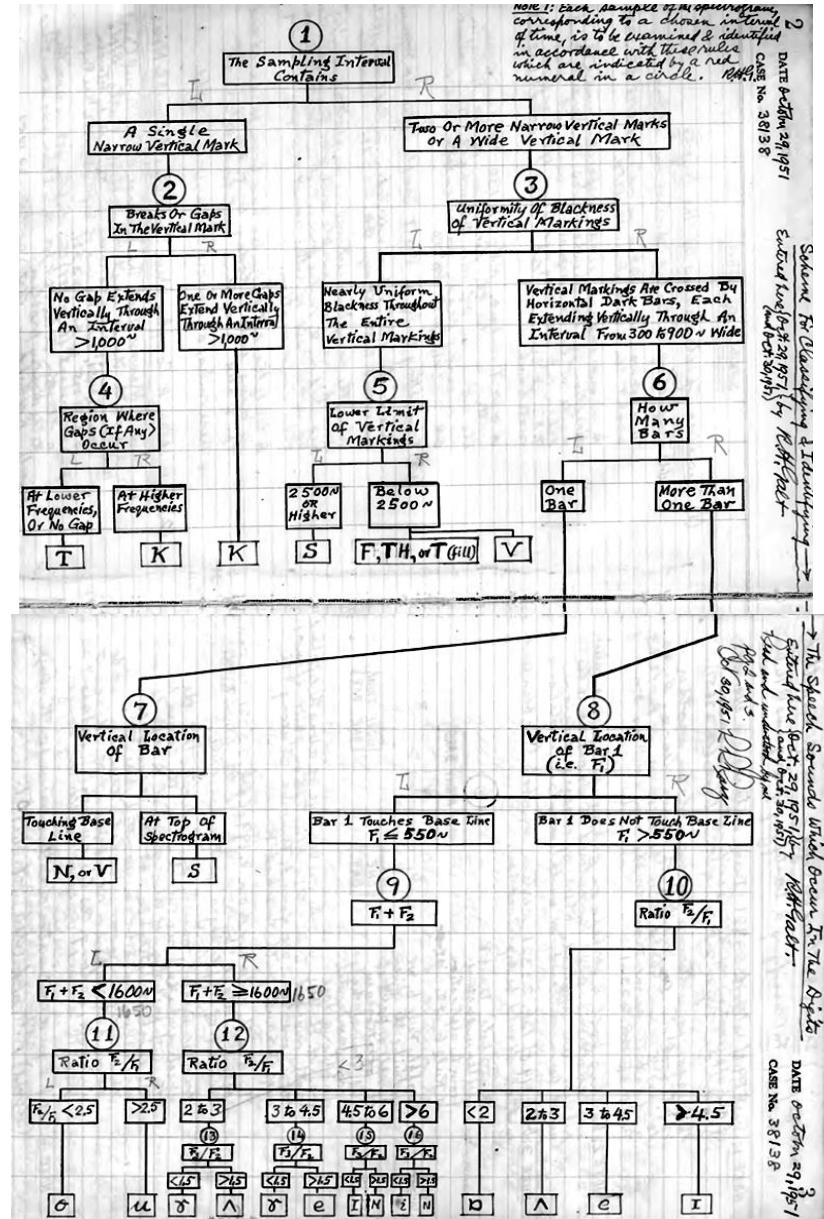
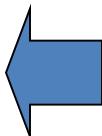
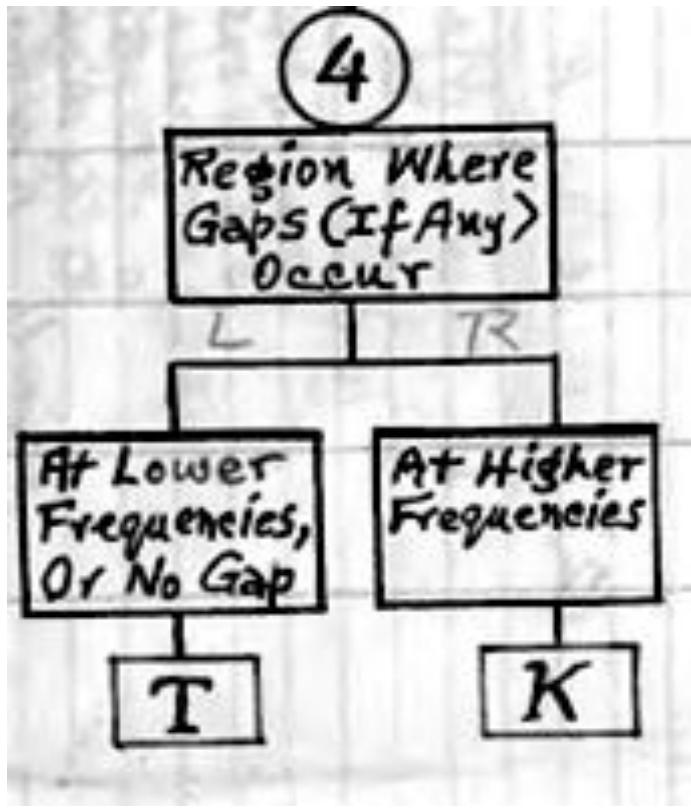
# RECOGNITION



# Knowledge

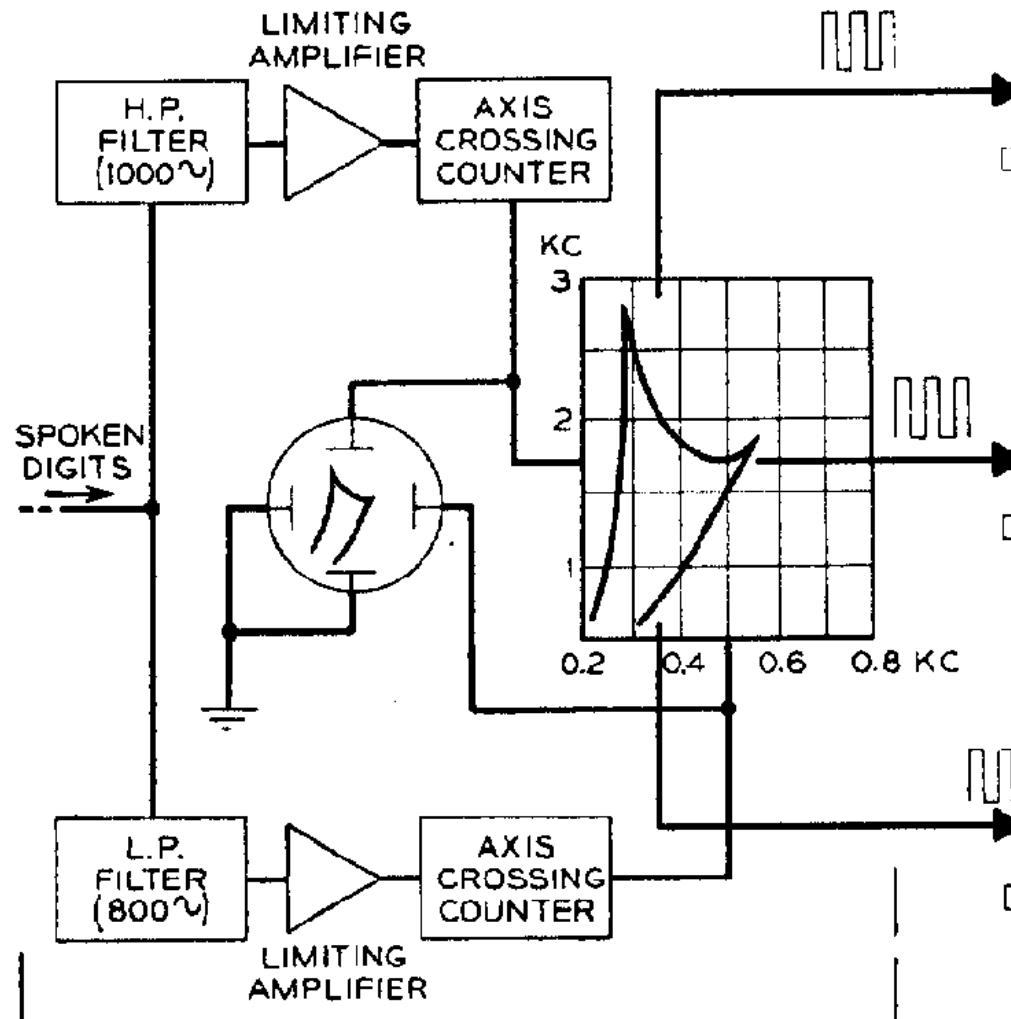
- From textbooks, teachers, intuitions, beliefs, ...
  - hardwired, so no need to learn it over and over again  
but
  - incomplete, irrelevant, can be wrong
- Directly from data
  - relevant and unbiased  
but
  - large amounts of (transcribed) data may be required
  - how to get **architecture** of a machine from data ?

# Concept of the first “real” automatic speech recognizer (R.H. Galt 1951)



# First “real” recognizer ever build

(Davis, Biddulph, Balashek 1952) Automatic Speech Recognition of Spoken Digits, J. Acoust. Soc. Am. 24(6) pp.637 - 642

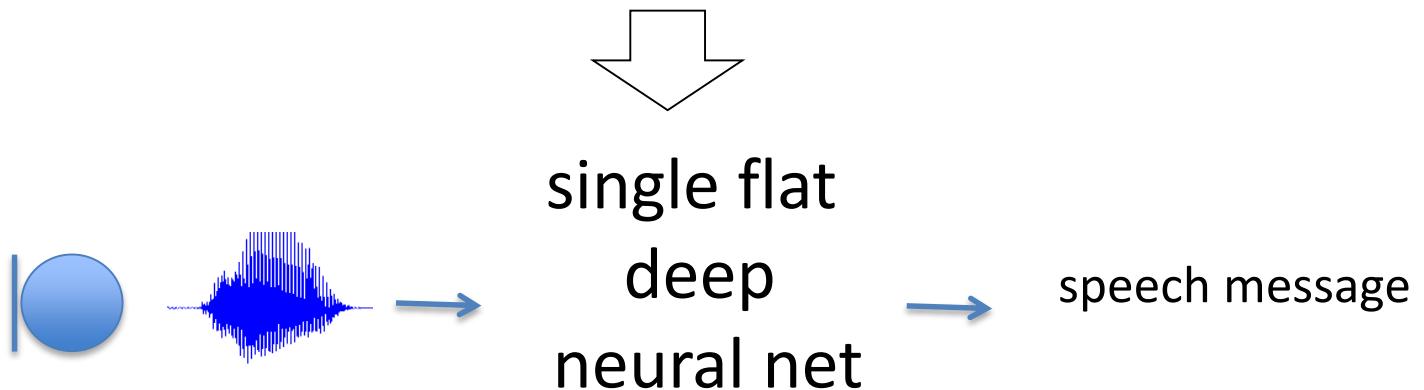


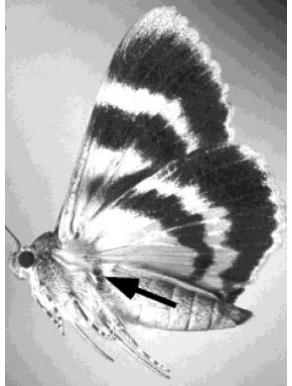
Courtesy of The Acoustical Society of America. Used with permission.

Source: Davis, K. H., R. Biddulph, and Stephen Balashek. "Automatic recognition of spoken digits." The Journal of the Acoustical Society of America 24, no. 6 (1952): 637-642.

# speech recognition in 21<sup>st</sup> century?

*training data containing  
**ALL**  
sources of anticipated  
harmful variability (noises)*



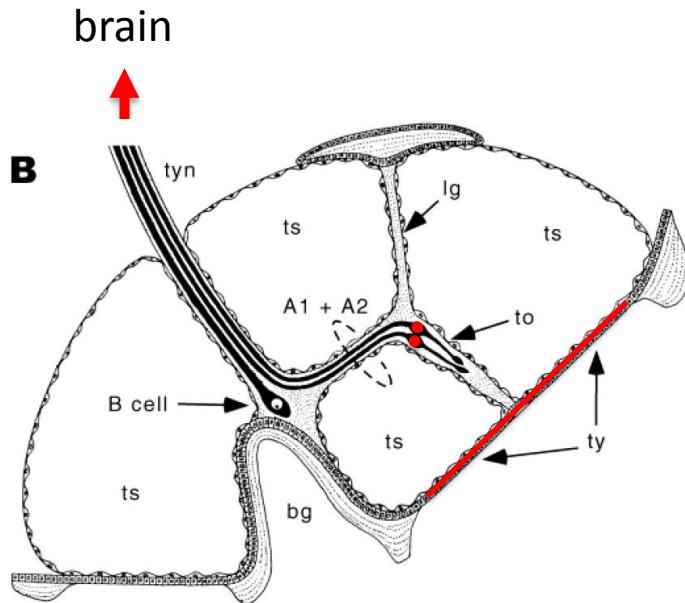


needs to hear  
a hungry bat  
and to avoid it

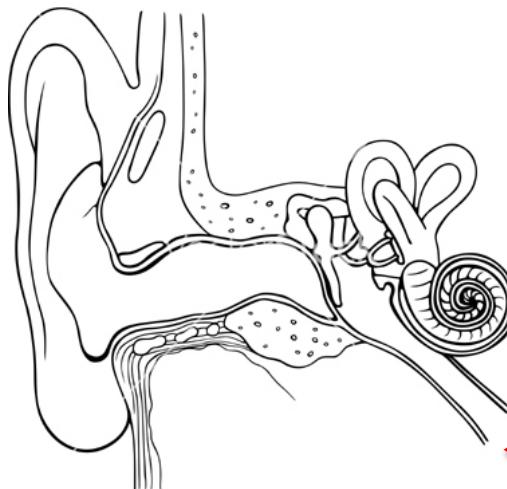


needs to  
understand  
speech

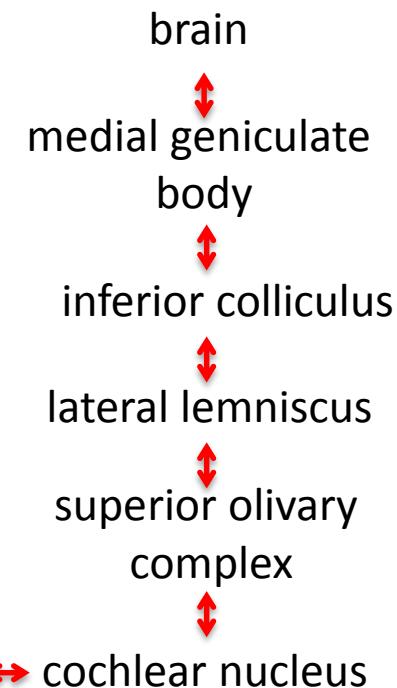
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tuned to 25-50 kHz

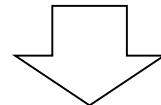


bank of parallel  
bandpass filters

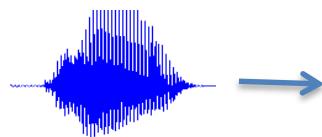


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*training data containing  
**ALL**  
sources of anticipated  
harmful variability (noises)*

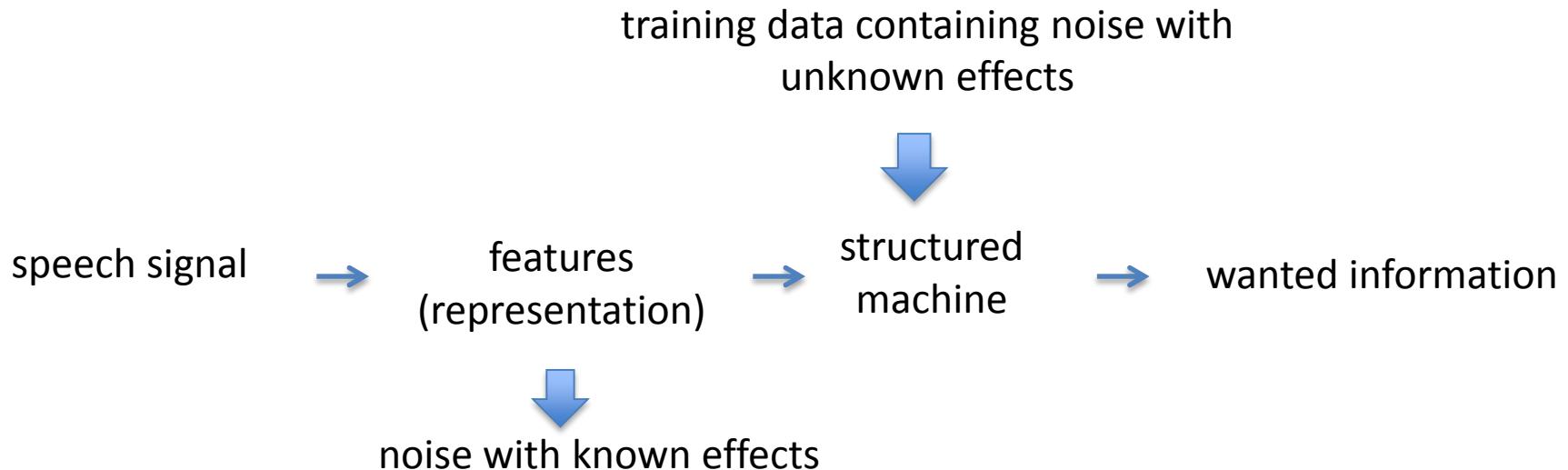


**highly structured  
deep neural net**  
convulsive pre-processing,  
recurrent structures,  
long-short-term memory,  
hierarchical subsampling  
(connectionist temporal classification),  
e.t.c.



speech  
message

# A reasonable compromise ?



..... we suggest that the fundamental challenges in neural modeling  
are about representation rather than learning per se

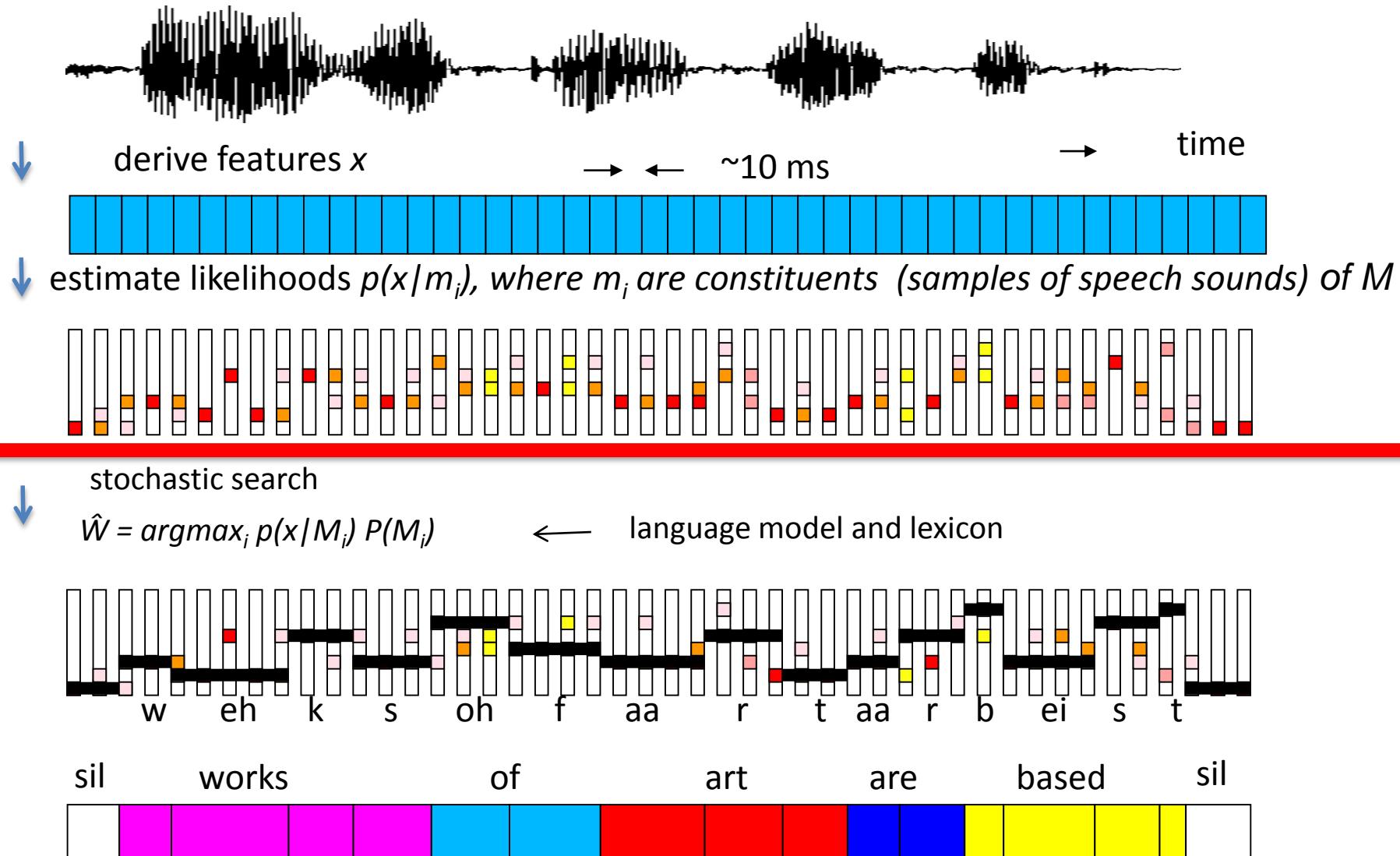
Stuart Geman, Elie Bienenstock, and René Doursat. "Neural networks and the  
bias/variance dilemma." *Neural computation* 4.1 (1992): 1-58.

## Features (representations)

- **wanted information, which is lost in this stage, is lost for recognition forever**
- **unwanted information (noise), which is kept needs to be dealt with in later stages**

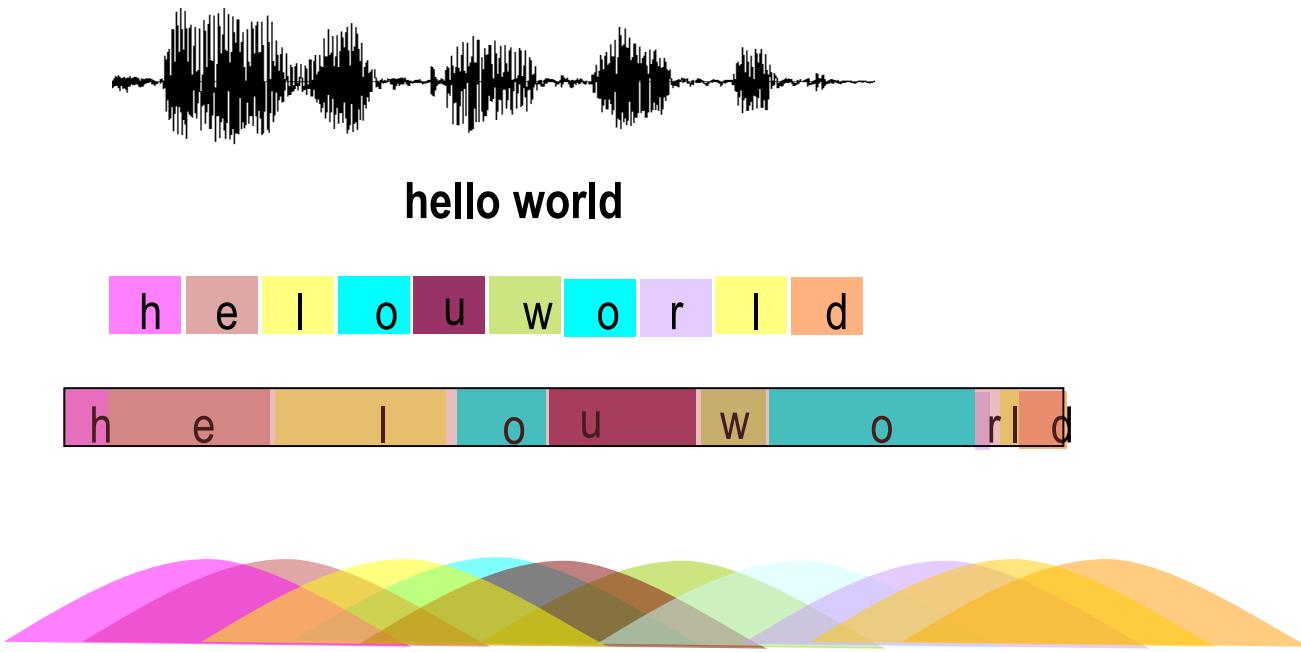
Features can be also designed using development data !

# carry-over from 20<sup>th</sup> century speech signal



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Source: Hermansky, Hynek, Jordan R. Cohen, and Richard M. Stern. "Perceptual properties of current speech recognition technology." Proceedings of the IEEE 101, no. 9 (2013): 1968-1985; DOI: 10.1109/JPROC.2013.2252316.



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Source: Hermansky, Hynek, Jordan R. Cohen, and Richard M. Stern. "Perceptual properties of current speech recognition technology." Proceedings of the IEEE 101, no. 9 (2013): 1968-1985; DOI: 10.1109/JPROC.2013.2252316.

coarticulation+ talker idiosyncrasies + environmental variability = a big mess

## Two dominant sources of variability in speech

1. different people sound different, communication environment different,...  
(feature variability)
2. people say the same thing with different speeds (temporal variability)

$$w = \arg \max_i (P(M(w_i) | x))$$

Model parameters from training data

through (modified) Bayes rule

How to find unknown utterance  $w$  ?

$$w \propto \arg \max_i (p(x | M(w_i)) P(M(w_i)^\gamma))$$

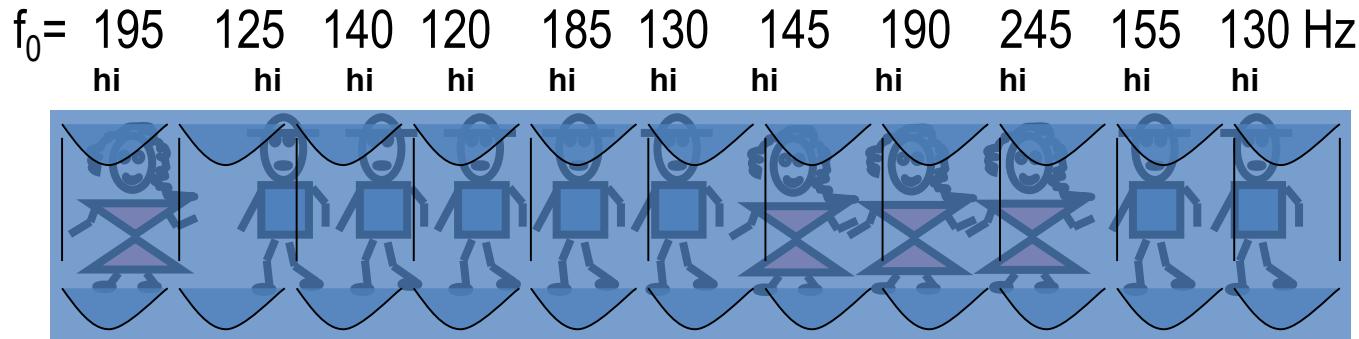
Form of the model  $M(w_i)$  ?

What is the data  $x$  ?

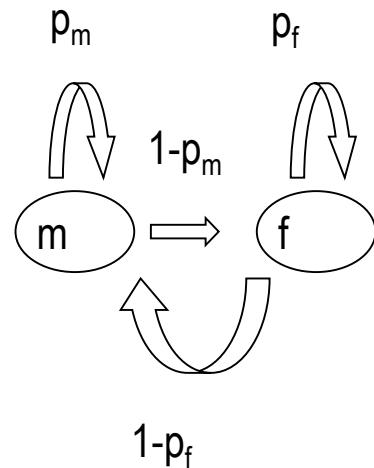
“Doubly stochastic” process (Hidden Markov Model)

Speech as a sequence of hidden states (speech sounds) - recover the sequence

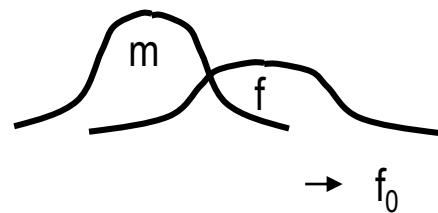
1. never know for sure which data will be generated from a given state
2. never know for sure in which state we are in



know



$P(\text{sound}|\text{gender})$



*These parameters are typically learned from training data.*

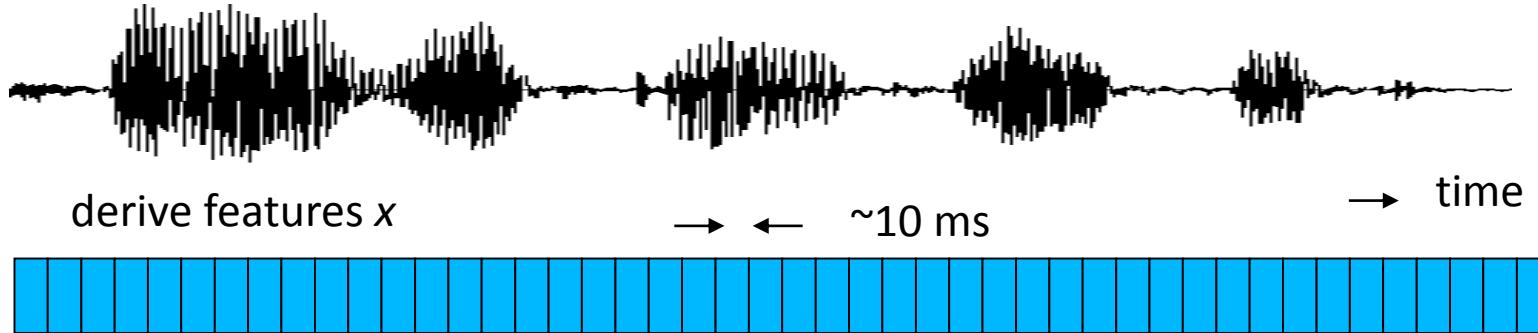
$p_{1m}$  - probability of the first group being male group

$p_n$  – probability of group having n subgroups

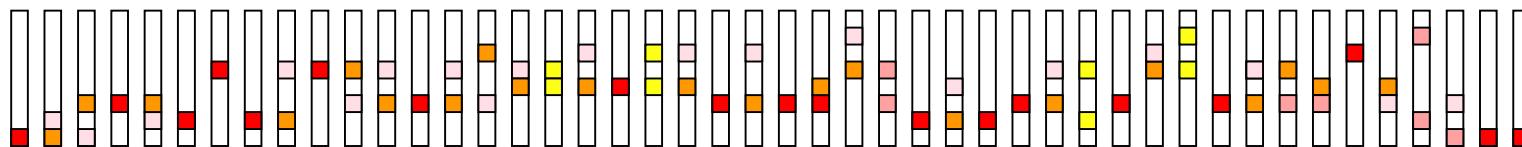
Want to know

where are the boys (or girls) ?

speech signal



↓ estimate likelihoods  $p(x|m_i)$ , where  $m_i$  are constituents (samples of speech sounds) of  $M$



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Source: Hermansky, Hynek, Jordan R. Cohen, and Richard M. Stern. "Perceptual properties of current speech recognition technology." Proceedings of the IEEE 101, no. 9 (2013): 1968-1985; DOI: 10.1109/JPROC.2013.2252316.

## connectionist temporal classifier

w eh k s oh f aa r t aa r b ei s t

works

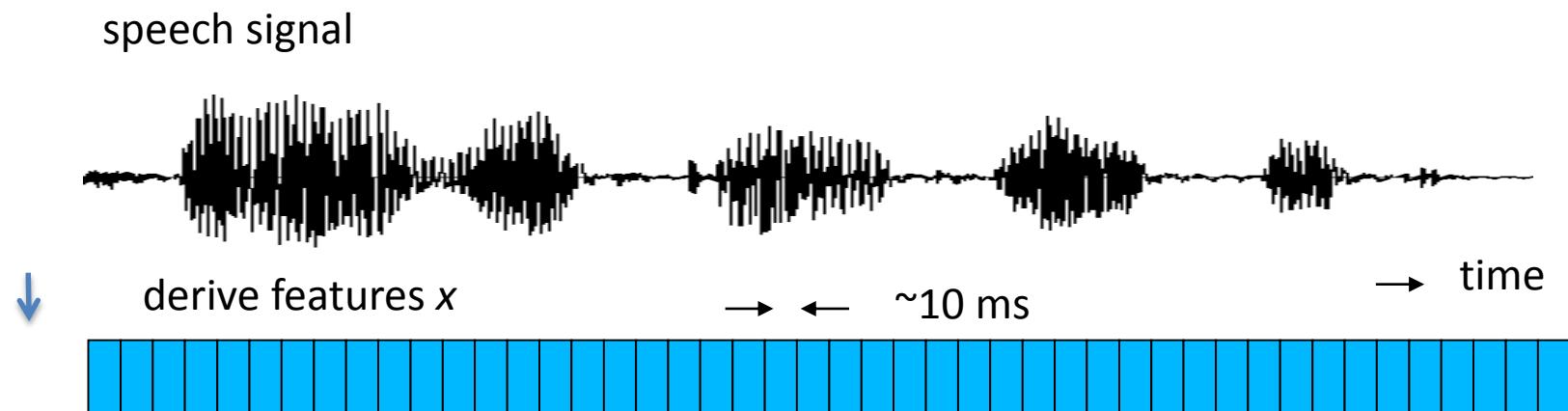
of

art

are

based

# Features (representations)



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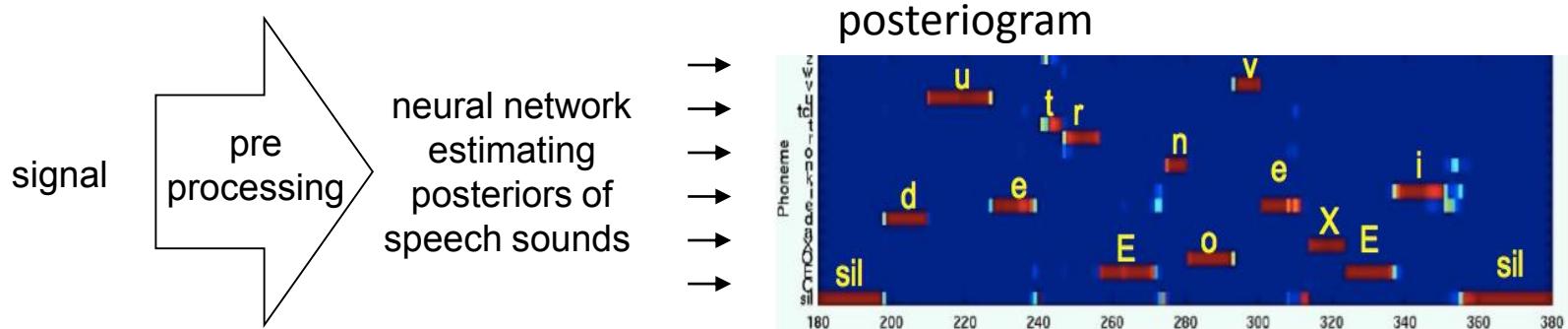
Source: Hermansky, Hynek, Jordan R. Cohen, and Richard M. Stern. "Perceptual properties of current speech recognition technology." Proceedings of the IEEE 101, no. 9 (2013): 1968-1985; DOI: 10.1109/JPROC.2013.2252316.

## Features (representations)

- **wanted information, which is lost in this stage, is lost for recognition forever**
  - **unwanted information (noise), which is kept needs to be dealt with in later stages**
1. One of important tasks of perception is to focus on relevant information (eliminating the irrelevant)
  2. Feature extraction may benefit from emulations of relevant properties of hearing
  3. Features can be also designed using development data (current trend)
    - what emerges, is very likely relevant to speech perception

# Artificial Neural Nets

Most efficient (smallest) set of features are posterior probabilities of classes



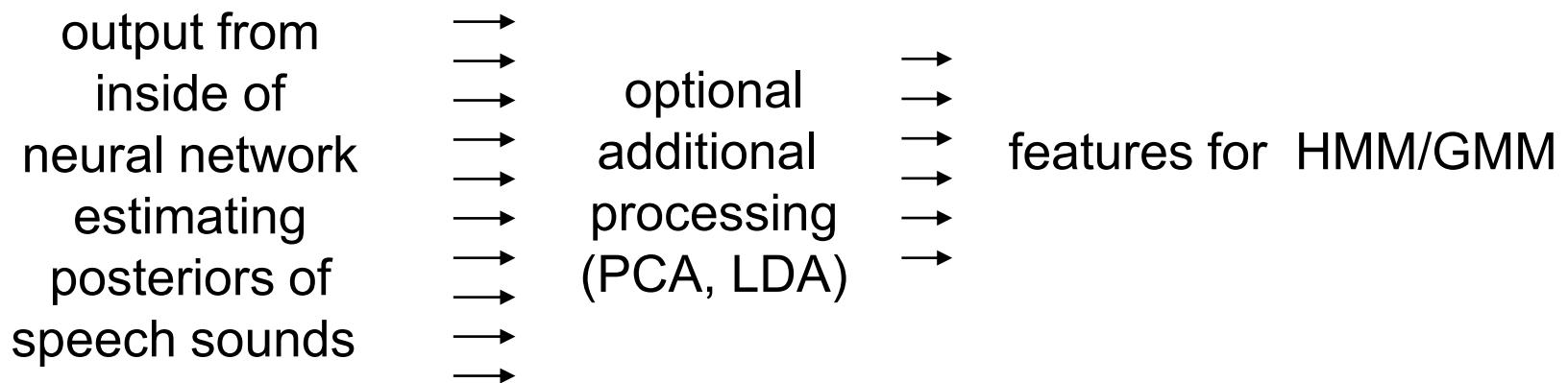
Classes – speech sounds:

- context independent phonemes
- context dependent phonemes
- parts of context dependent phonemes

- a) Convert (divide by training priors) posterior probabilities to likelihoods for Viterbi search for the best word sequence

Bourlard and Morgan, NIPS 1990

- b) bottleneck (TANDEM)

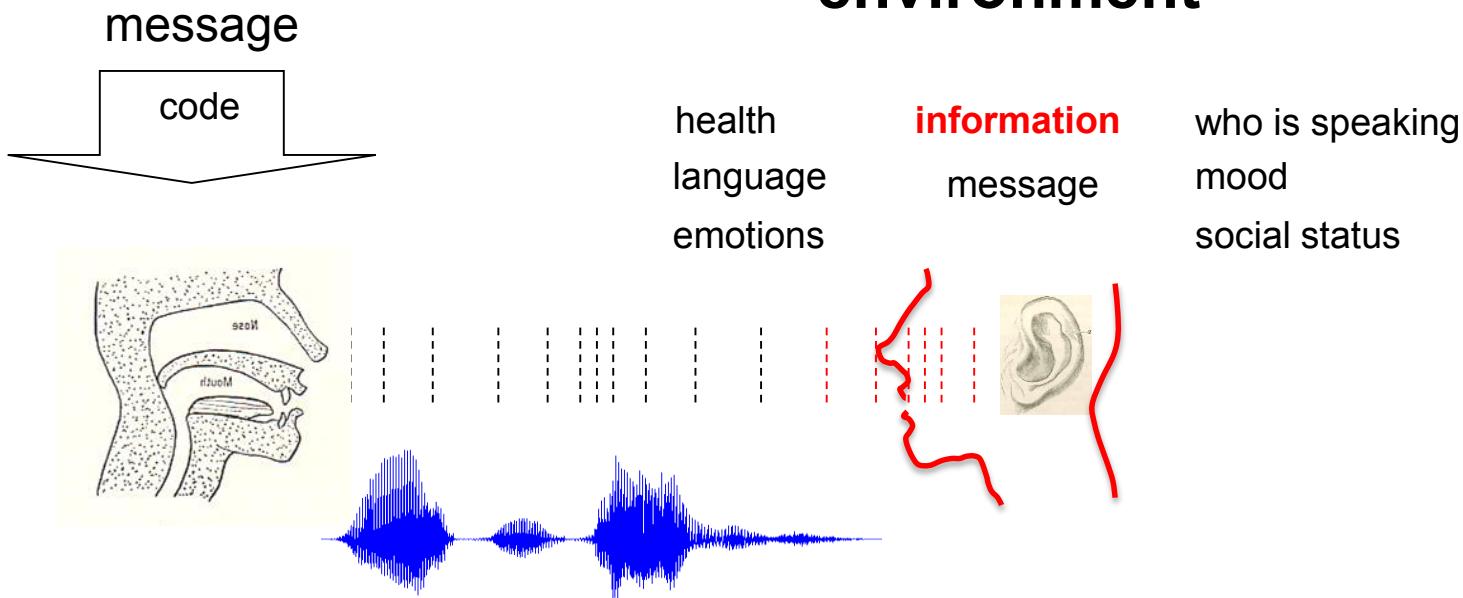


Fontaine, Ris and Boite, Eurospeech 1997

Hermansky, Ellis and Sharma, ICASSP 2000

Grezl, Karafiat, Kontar, Cernocky, ICASSP 2007

# environment



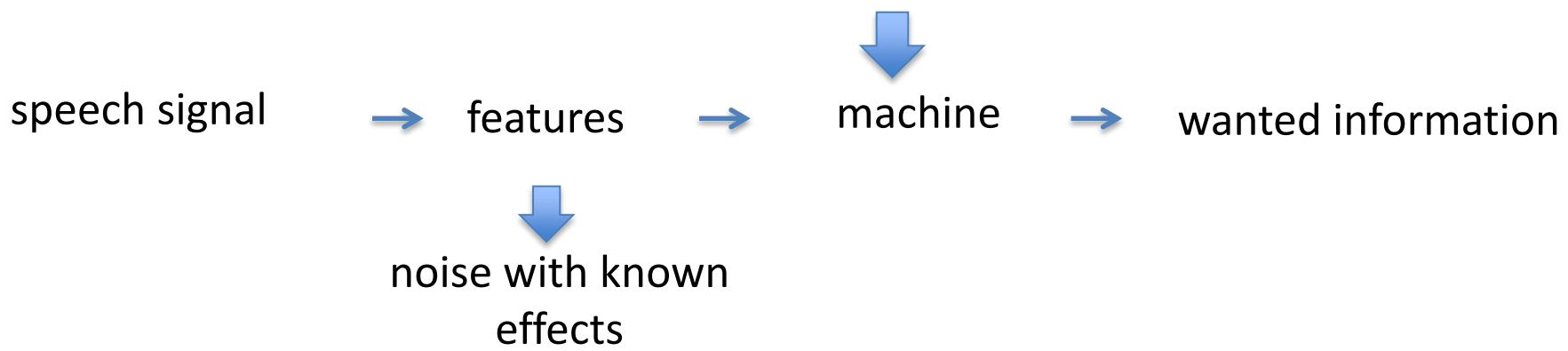
signal = message (wanted information)

noise = everything else (unwanted information)

# Not all noises are created equal

- expected and effects are partially understood
  - e.g. linear distortions
- expected but effects are not well understood
  - e.g. various environmental noises
- **unexpected**
  - e.g. **unexpected distortions - the real problem**

training data containing noise with  
unknown effects



# Noise with known effects

# Harmful information about speaker (speaker variability)

the same message

- different vocal tracts
- different speech signals

MALE



CHILD



/i/

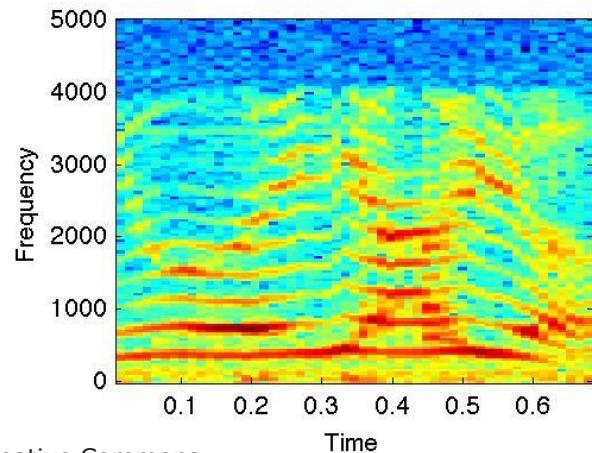
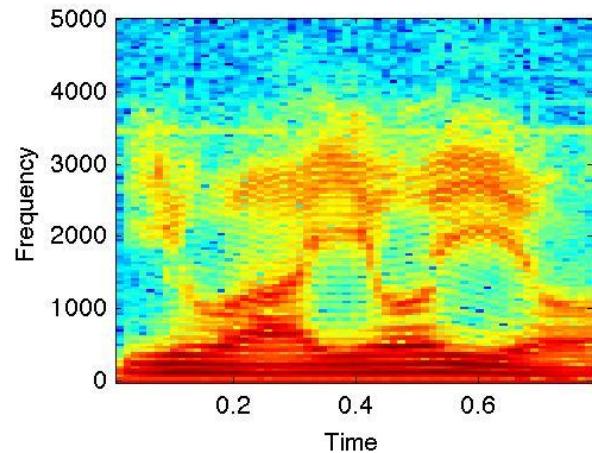
adult male



4 year old child



short-term spectrum



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Source: Hermansky, Hynek, Jordan R. Cohen, and Richard M. Stern. "Perceptual properties of current speech recognition technology." Proceedings of the IEEE 101, no. 9 (2013): 1968-1985; DOI: 10.1109/JPROC.2013.2252316.

# Perceptual Linear Prediction

Limited spectral resolution

**formant clusters** as may be interpreted by auditory perception

## Perceptual Linear Prediction (PLP)

critical-band (Bark) spectral analysis

loudness domain (cubic root of intensity)

equal loudness curve (at 40 dB)

autoregressive spectral fit (fits well at peaks)

# Equal loudness curves

Figure of equal loudness curves removed due to copyright restrictions. Please see the video.

# Spectral resolution of hearing

spectral resolution of hearing decreases with frequency  
(critical bands of hearing, perception of pitch,...)

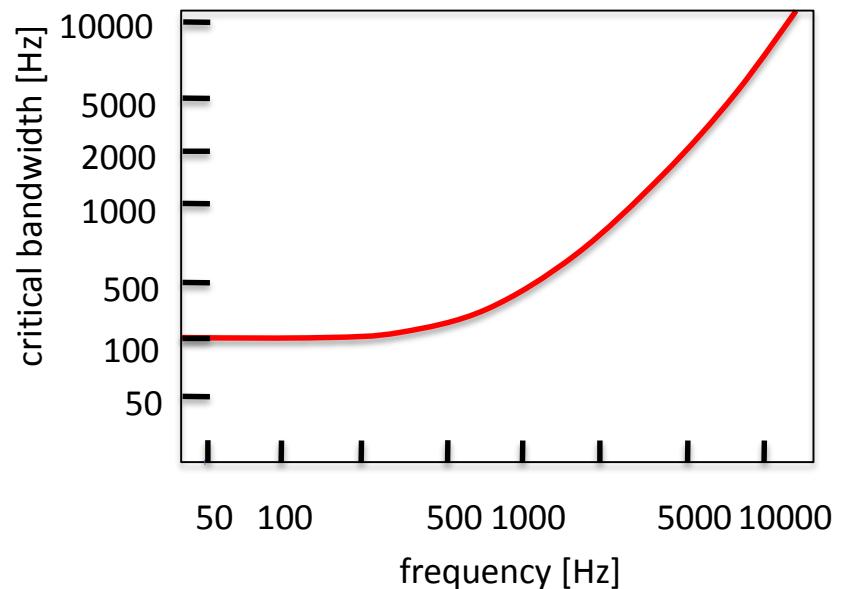
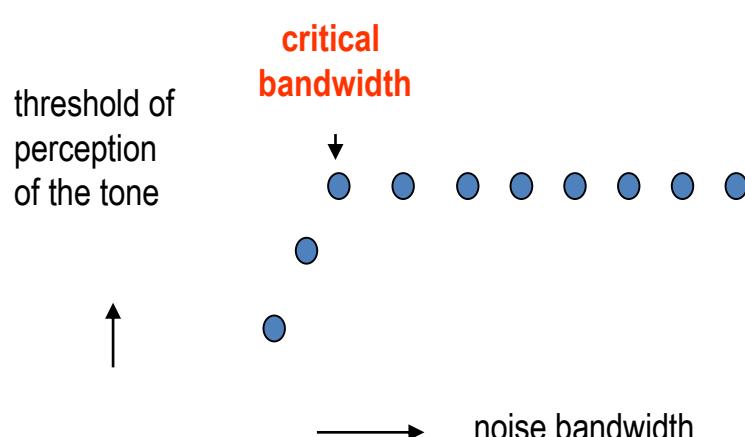
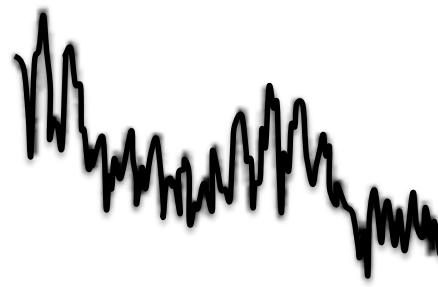
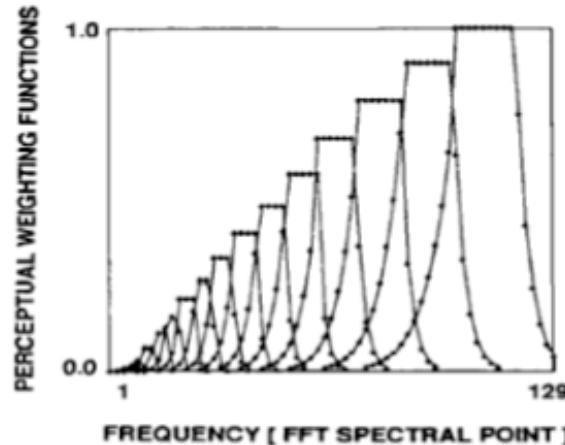


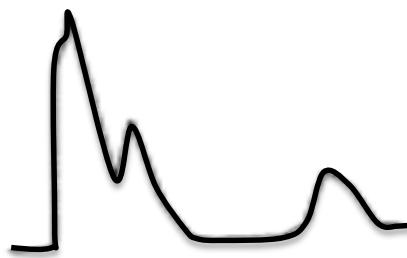
Figure removed due to copyright restrictions. Please see the video.



spectrum



summation windows

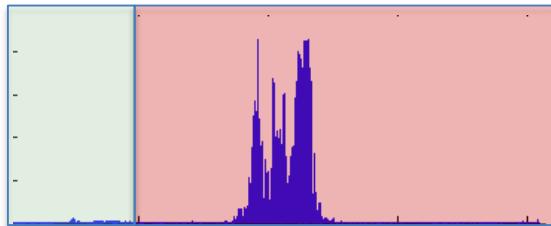


spectrum with auditory-like resolution

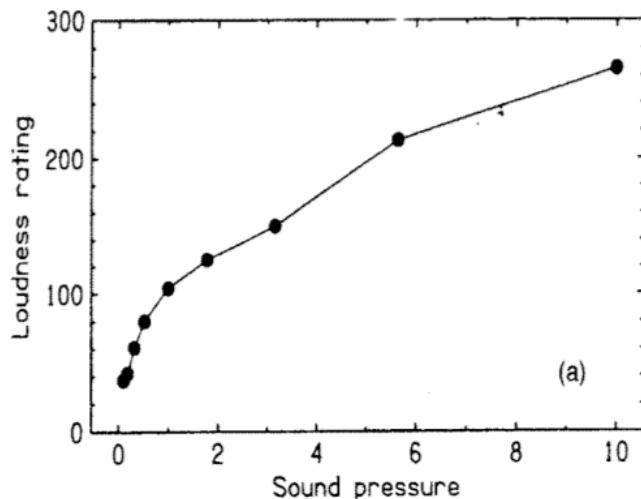
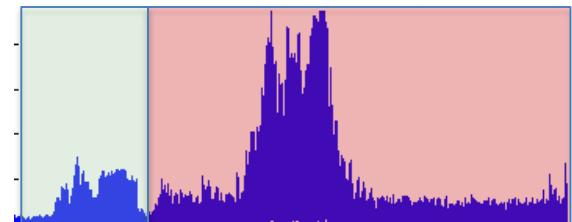
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Source: Hermansky, Hynek. "Perceptual linear predictive (PLP) analysis of speech." The Journal of the Acoustical Society of America 87, no. 4 (1990): 1738-1752.

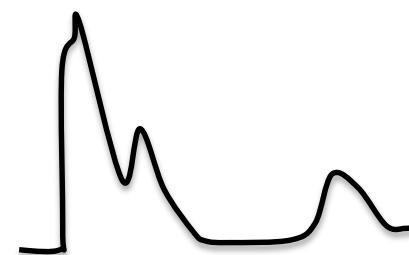
$\text{intensity} \approx \text{signal}^2 \ [\text{w/m}^2]$



loudness [Sones]

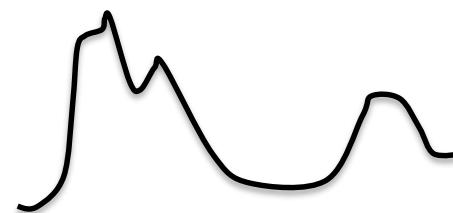


loudness = intensity  $^{0.33}$



intensity  
(power spectrum)

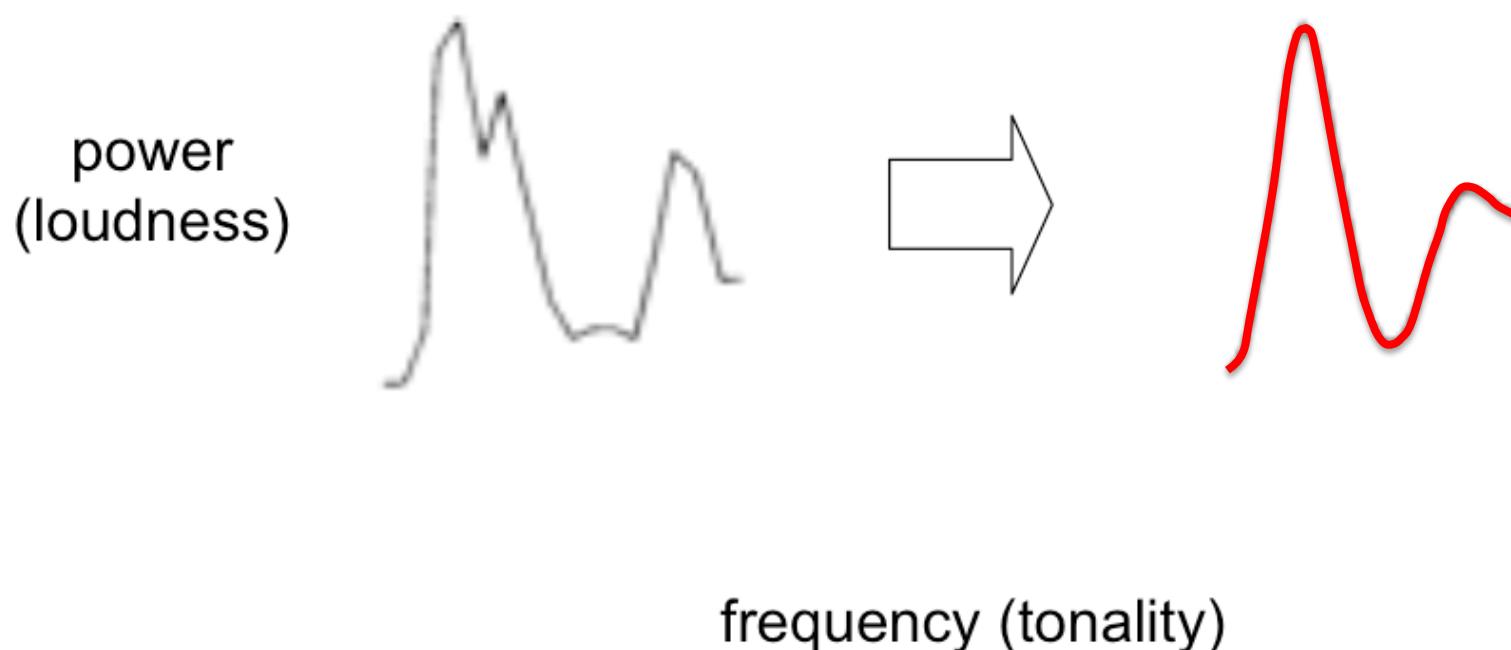
$$\boxed{| \cdot |^{0.33}}$$



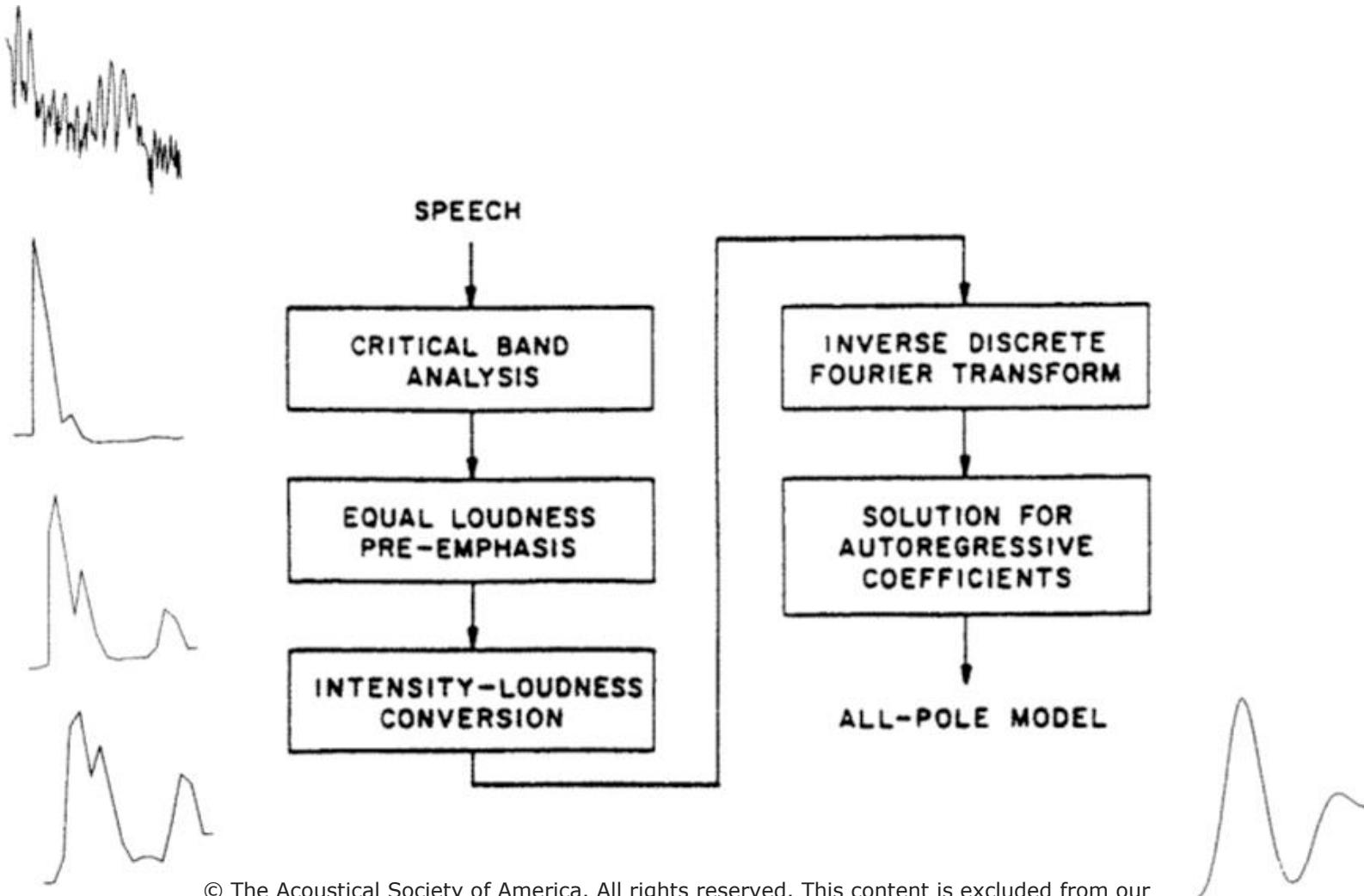
loudness

# Perceptual Linear Prediction (PLP)

## Autoregressive fit to the auditory-like spectrum



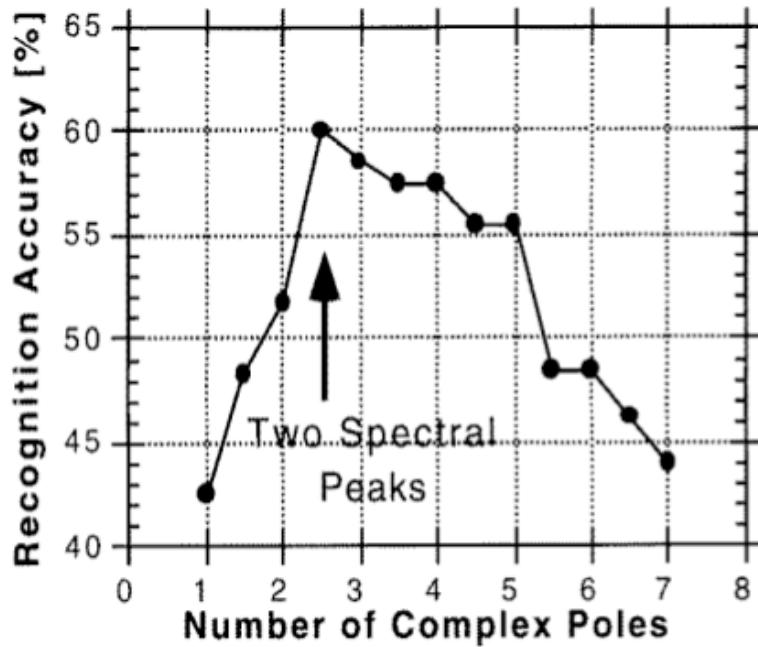
# Perceptual Linear Prediction



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Source: Hermansky, Hynek. "Perceptual linear predictive (PLP) analysis of speech." The Journal of the Acoustical Society of America 87, no. 4 (1990): 1738-1752.

# Optimal Amount of Spectral Smoothing (order of PLP autoregressive model)



- cross-speaker ASR (trained on one speaker and tested on another)
- all speaker-dependent information harmful

Courtesy of Elsevier, Inc., <http://www.sciencedirect.com>.

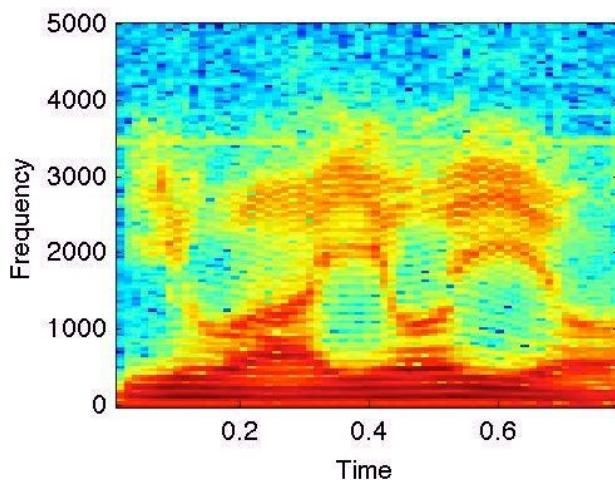
Used with permission.

Source: Hermansky, Hynek. "Should recognizers have ears?"  
Speech communication 25, no. 1 (1998): 3-27.

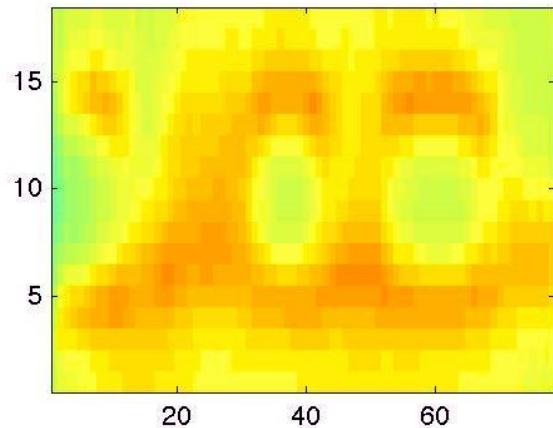
adult male



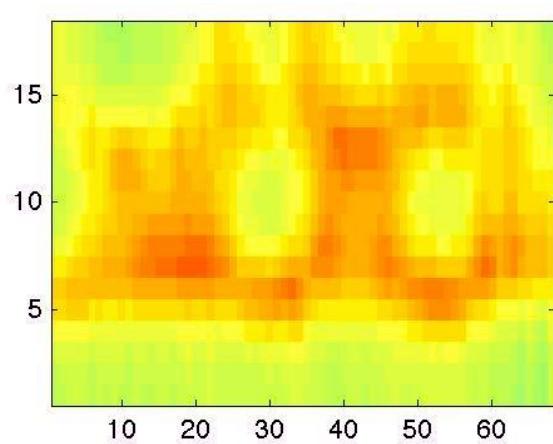
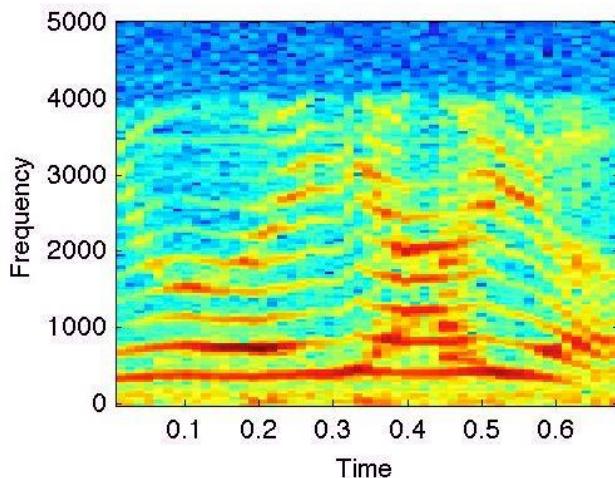
short-term spectrum



5<sup>th</sup> order PLP spectrum



4 year old child

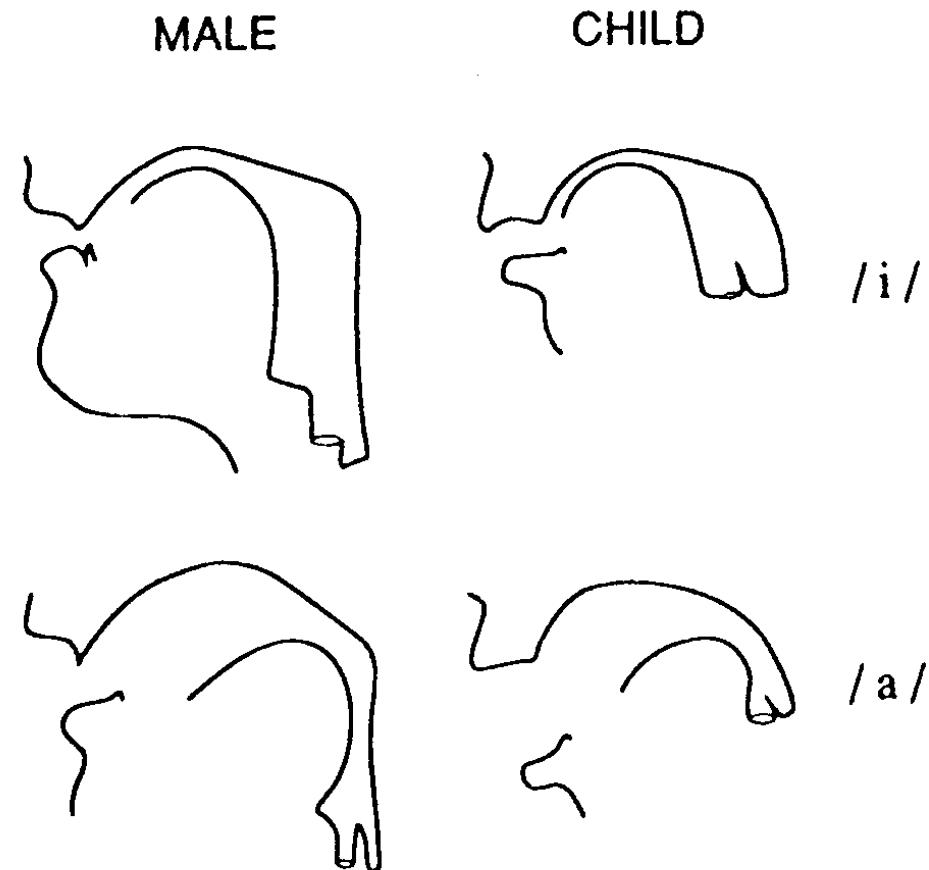


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Source: Hermansky, Hynek, Jordan R. Cohen, and Richard M. Stern. "Perceptual properties of current speech recognition technology." Proceedings of the IEEE 101, no. 9 (2013): 1968-1985; DOI: 10.1109/JPROC.2013.2252316.

# X-rays of Male and Child Vocal Tract in Production of Vowels

- In production of vowels, the front part of the vocal tract appears to be less speaker dependent than its back part
  - Hermansky and Broad ICASSP 1990



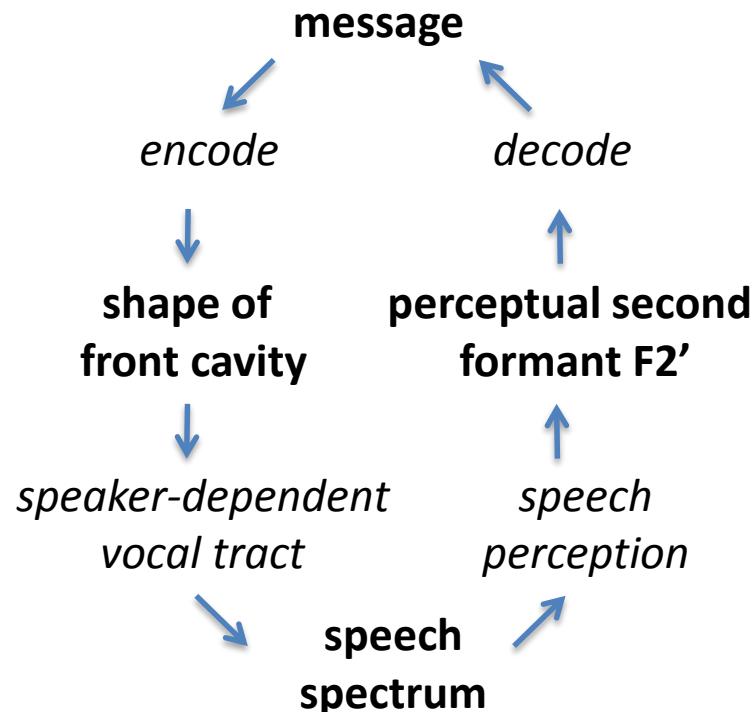
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Source: Hermansky, Hynek, Jordan R. Cohen, and Richard M. Stern. "Perceptual properties of current speech recognition technology." Proceedings of the IEEE 101, no. 9 (2013): 1968-1985; DOI: 10.1109/JPROC.2013.2252316.

Figure removed due to copyright restrictions. Please see the video.

Source: Hermansky, Hynek, and D. J. Broad. "The effective second formant F2' and the vocal tract front-cavity." In Acoustics, Speech, and Signal Processing, 1989. ICASSP-89., 1989 International Conference on, pp. 480-483. IEEE, 1989.

Hermansky and Broad ICASSP 1990, Hermansky JASA 1990,  
Hermansky, Cohen, Stern, Proc. IEEE 2013

# Listening for Shape of Front Cavity of Vocal Tract ?



Hermansky and Broad ICASSP 1990, Hermansky JASA 1990

# Data Do Not Lie

Prof. Frederick Jelinek: “Airplanes don’t flap their wings”.

S. Lohr, New York Times, March 6, 2011

“Airplanes do not flap wings but have wings nevertheless,....

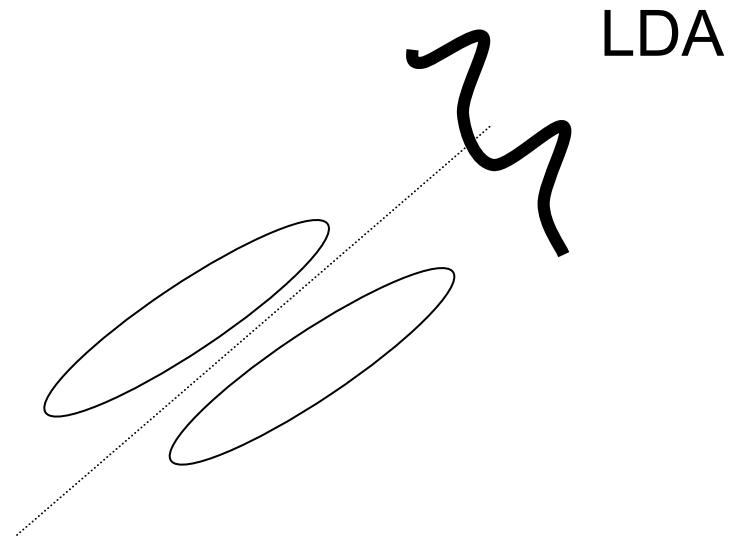
Of course, we should try to incorporate the knowledge that we have **of hearing, speech production, etc.**, into our systems,...but we need to estimate the parameter values from the data. There is no other way

F. Jelinek, Five speculations (and a divertimento) on the themes of H. Bourlard, H. Hermansky, and N. Morgan, Speech Communication 18, 1996. 242–2

# Linear Discriminant Analysis (LDA)

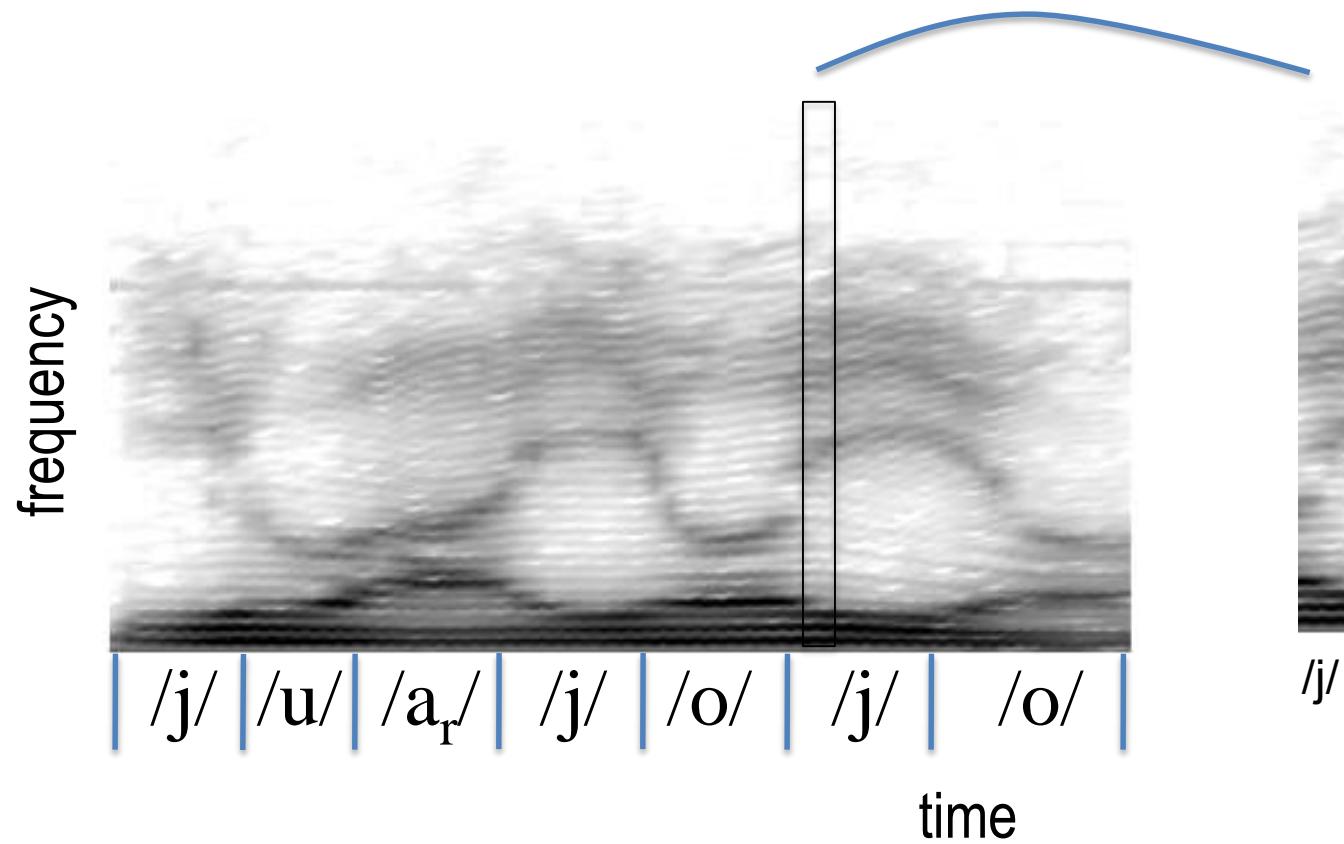
Linear  
discriminants:  
eigenvectors of  
 $S^{-1}_W S_B$

$S_W$  - within-class  
covariance matrix  
 $S_B$  - between class  
covariance matrix

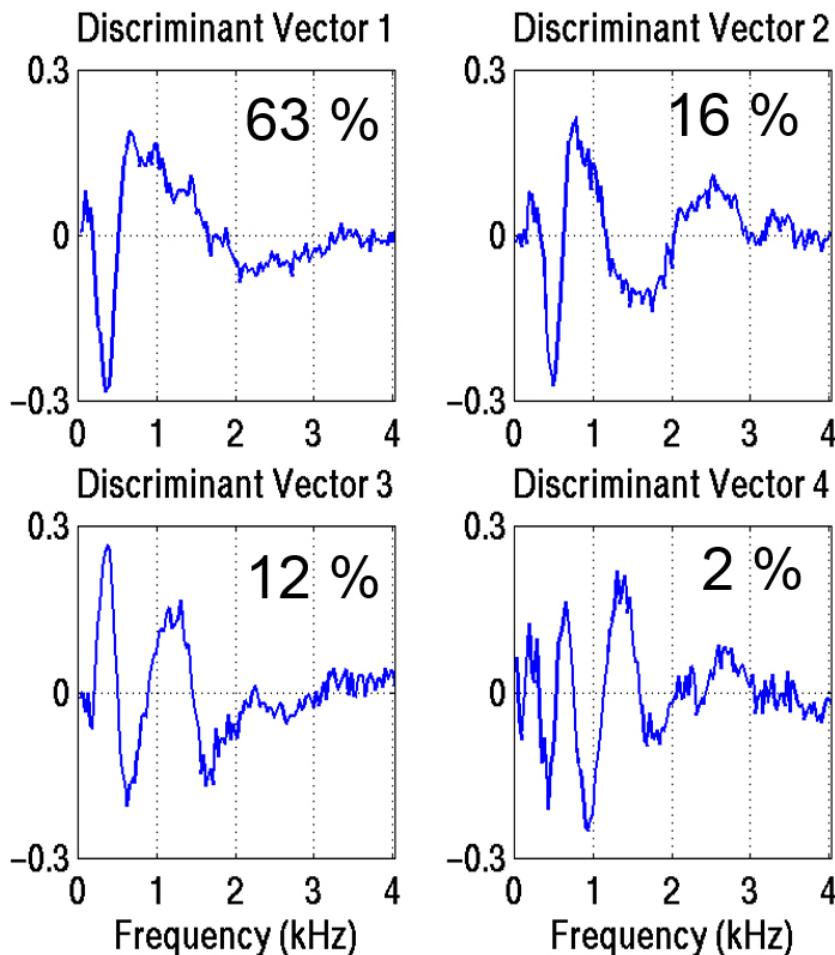


- Needs labeled data
- Within-class distributions assumed Gaussian with equal  $\sigma$  (take log of power spectrum)

# Linear discriminant analysis (LDA) on short term spectral vectors



# LDA vectors from Fourier Spectrum (OGI 3 hour stories hand-labeled database)



- Spectral resolution of LDA-derived spectral basis is higher at low frequencies

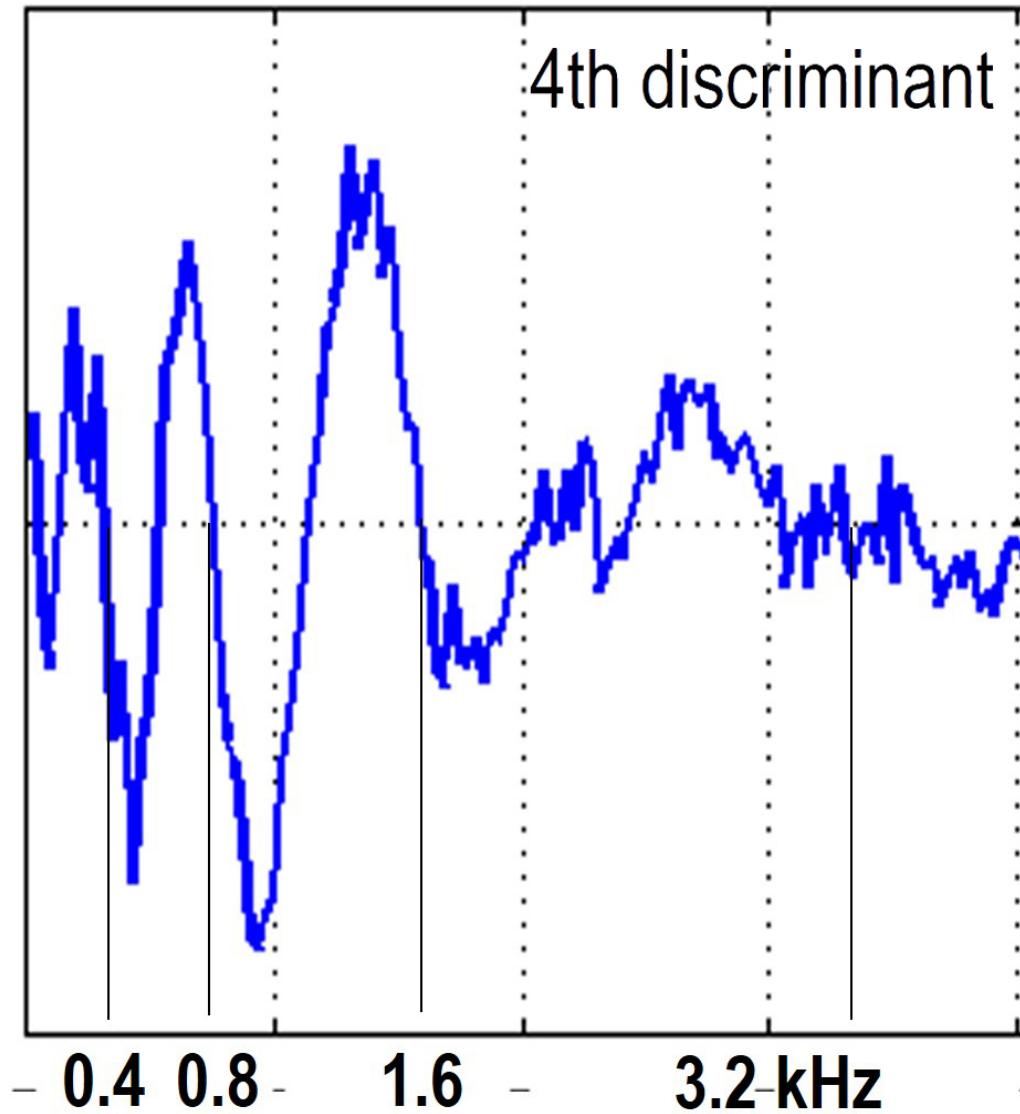
**Psychophysics:**  
Critical bands of human hearing are broader at higher frequencies

**Physiology:**  
Position of maximum of traveling wave on basilar membrane is proportional to logarithm of frequency

4 discriminants  
(92 % of variance)

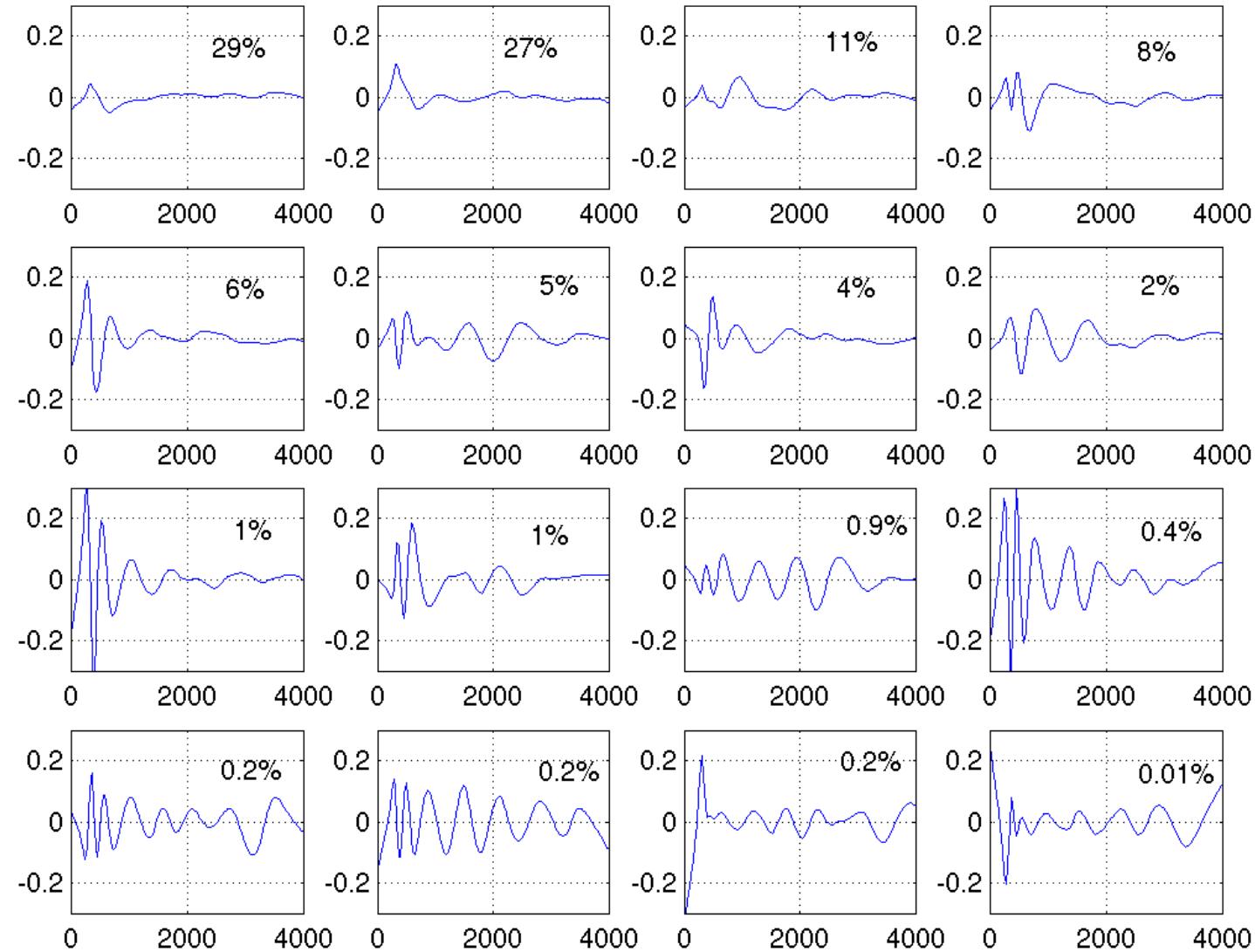
### 1 period / octave

- resolution decreases with frequency
- resolution coarser than critical bands

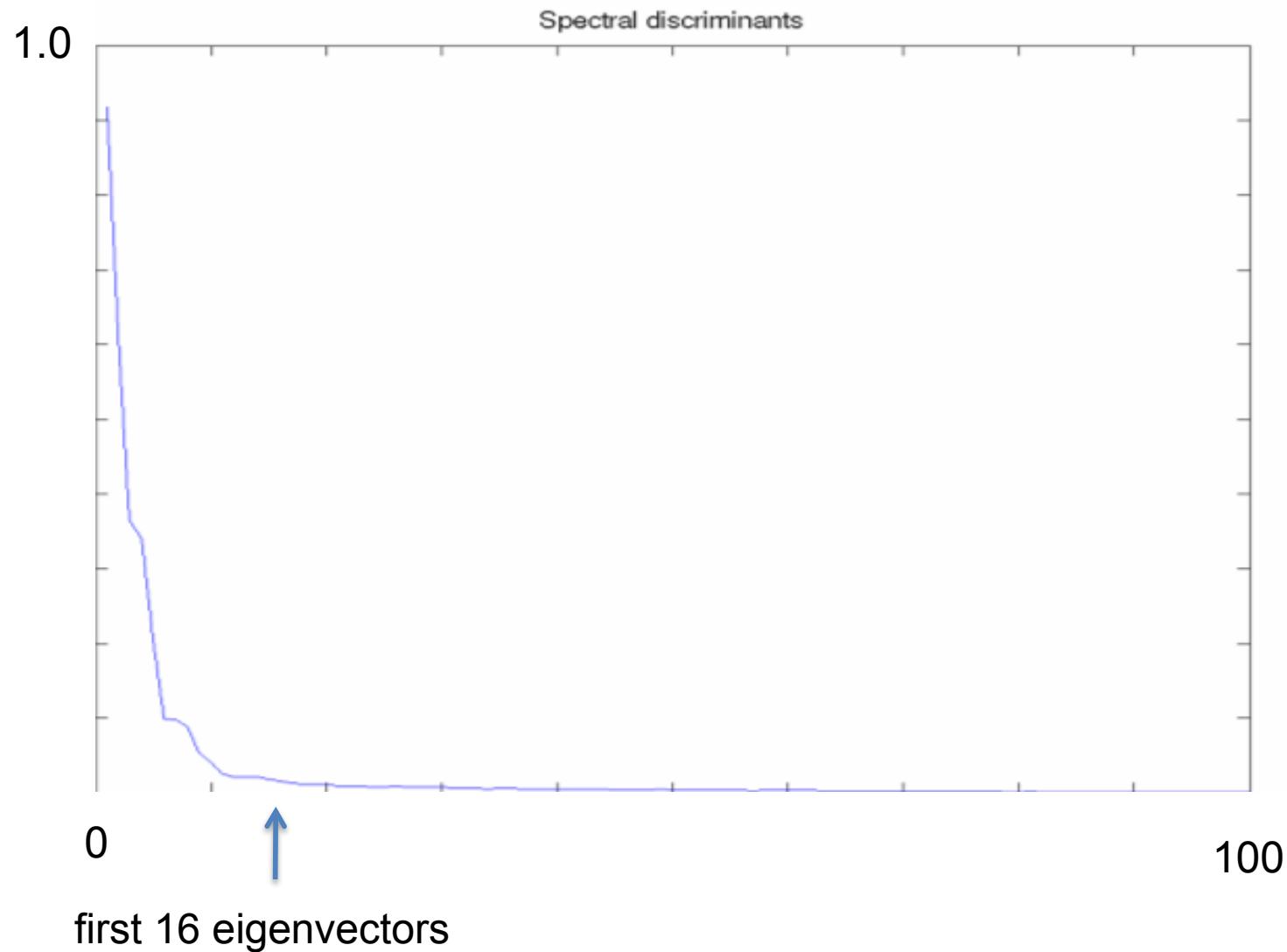


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Source: Hermansky, Hynek. "Data-guided processing of speech." In Workshop on Spoken Language Processing. 2003.

# 30 hours of Resource Management and Switchboard labeled speech data (courtesy of SRI)

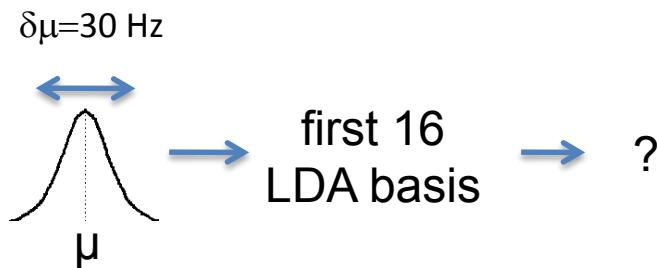


# Eigenvalues of the discriminant matrix

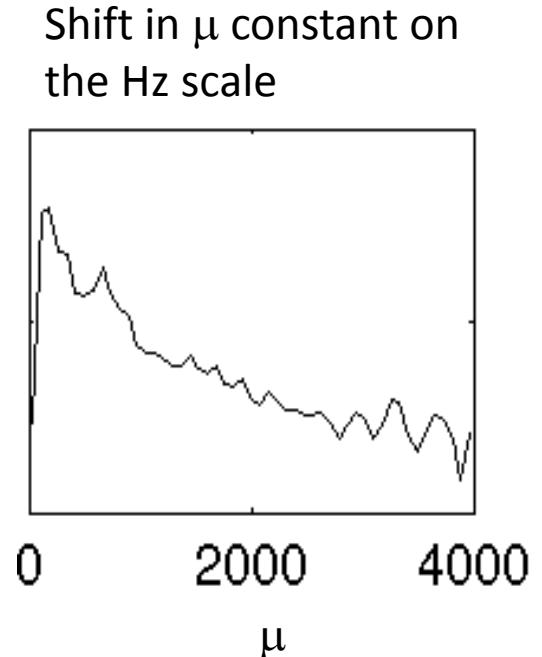


# Spectral sensitivity of projections

- Perturbation analysis
  - project Gaussian shape on the first 16 spectral basis and evaluate the effect of the shift in  $\mu$  by 30 Hz as the function of  $\mu$



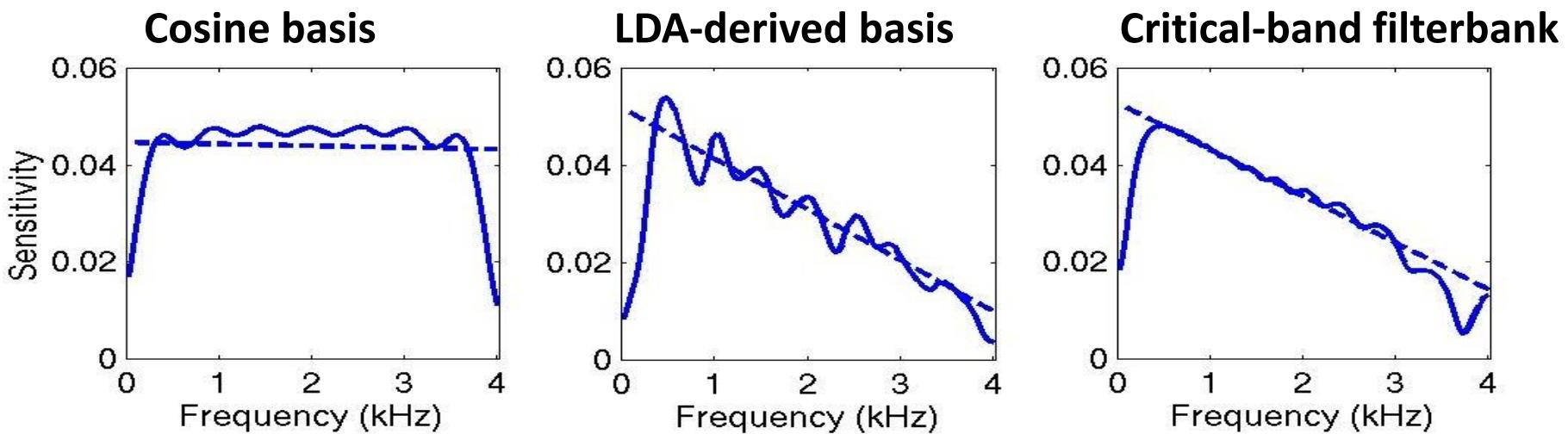
log spectral Euclidean distance due to the shift in  $\mu$



**Decreasing spectral sensitivity with increasing frequency**  
- consistent with spectral resolution of hearing

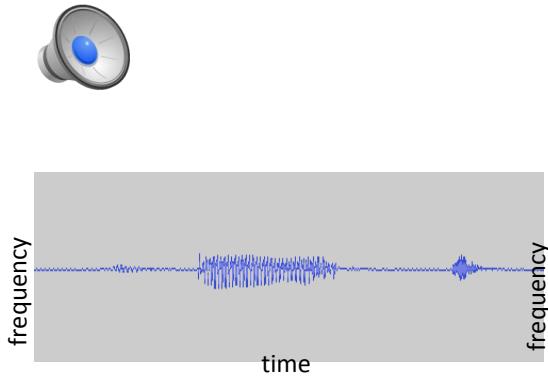
# Sensitivity to Spectral Change

(Malayath 1999)

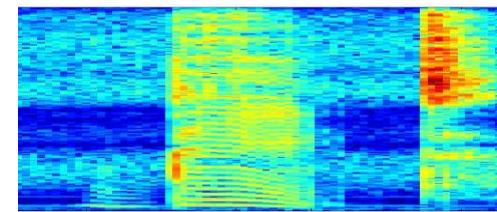
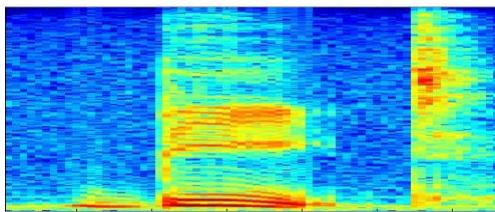
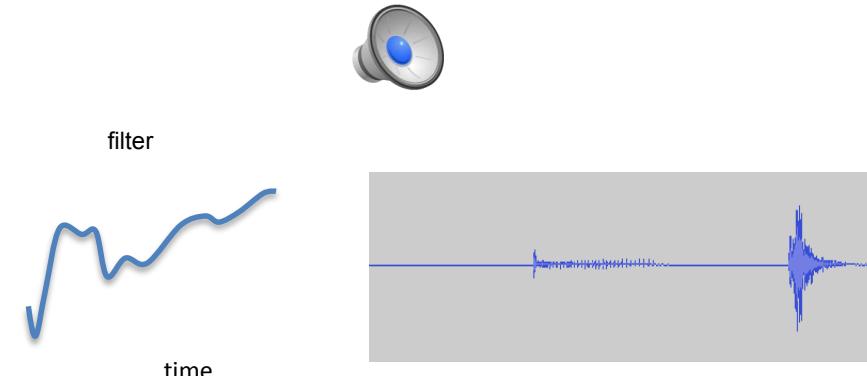


# Linear distortions (filtering)

original speech



filtered speech



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Source: Hermansky, Hynek, Jordan R. Cohen, and Richard M. Stern. "Perceptual properties of current speech recognition technology." Proceedings of the IEEE 101, no. 9 (2013): 1968-1985; DOI: 10.1109/JPROC.2013.2252316.

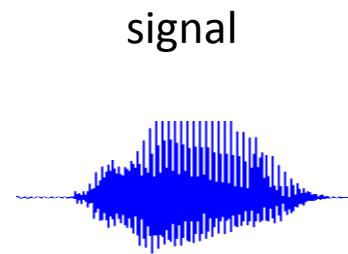
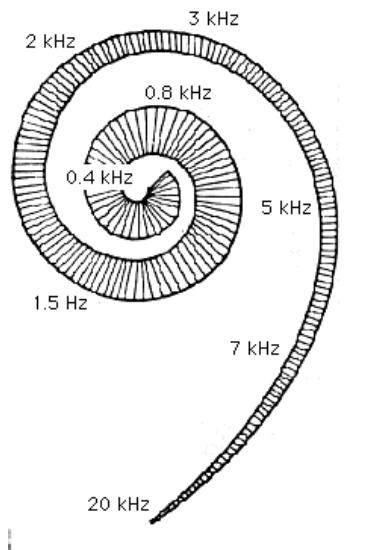
# Effect of fixed linear distortions

$$x(t) = s(t) * e(t)$$

$$\log[FT\{s(t) * e(t)\}] = \log S(\omega) + \log E(\omega)$$

- Convolution of speech with impulse response of the distorting filter
- Results in **different additive constant at different frequencies** in logarithmic spectral domain

# Spectral analysis in ear



high frequencies  
↑  
↑  
↑  
↑  
↑  
↑  
↑  
spectral energies in critical bands  
low frequencies

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Ear is frequency selective in order to yield **frequency-localized temporal patterns** for processing by higher processing levels in hearing.

# Exploiting spectral selectivity in engineering

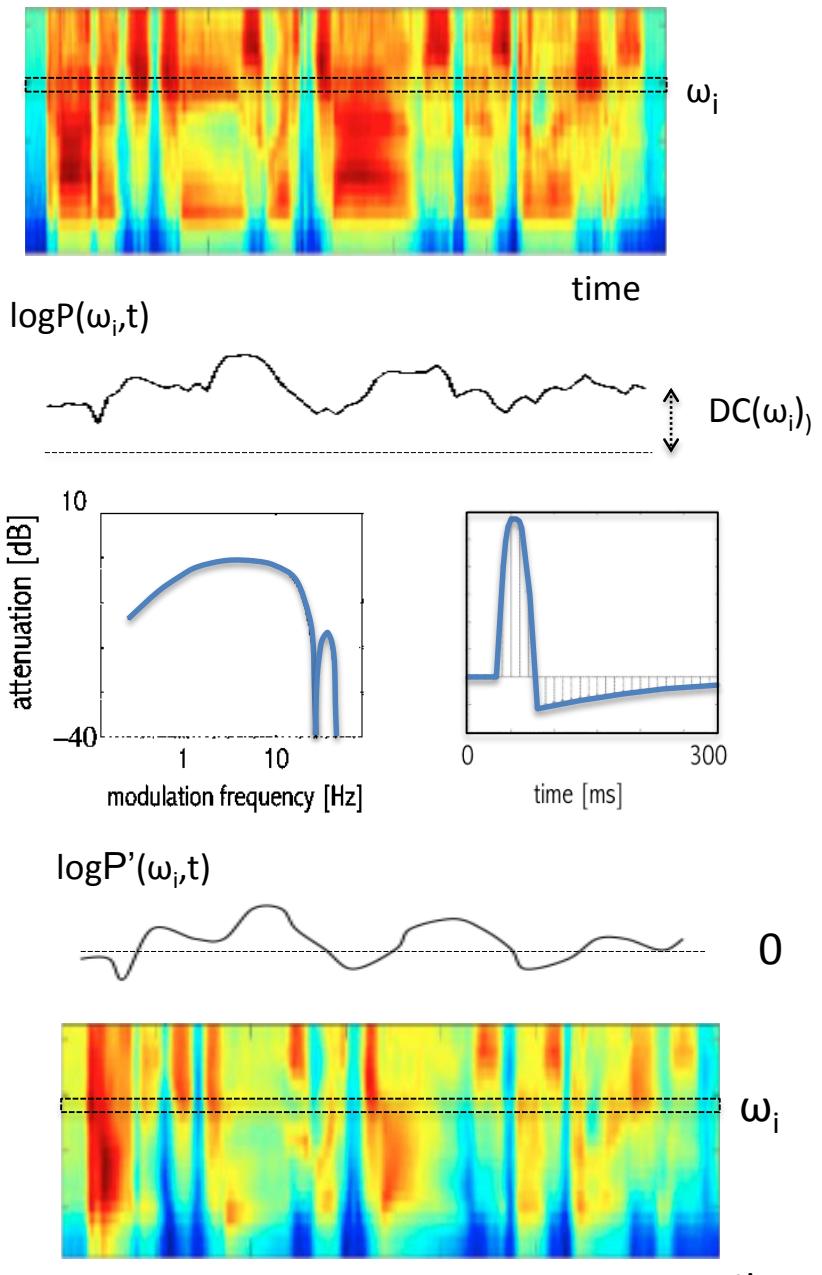
1. Separate speech into different frequency channels
1. Do independent processing in each frequency channel

# RASTA processing

inspired by Marr 1974

“lightness” = luminance with slowly varying components removed

Figure removed due to copyright restrictions. Please see the video.  
Source: Hermansky, Hynek, and Nelson Morgan."RASTA processing of speech." IEEE transactions on speech and audio processing 2, no. 4 (1994): 578-589.



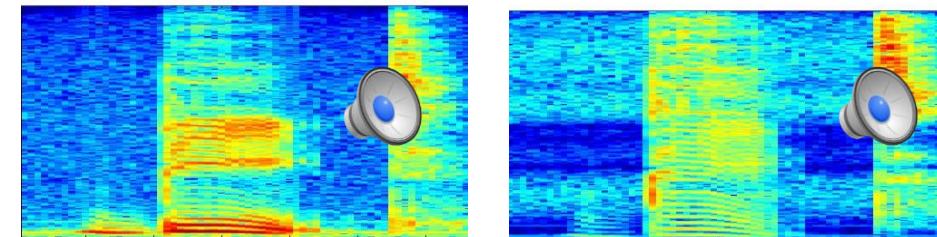
Hermansky and Morgan 1994

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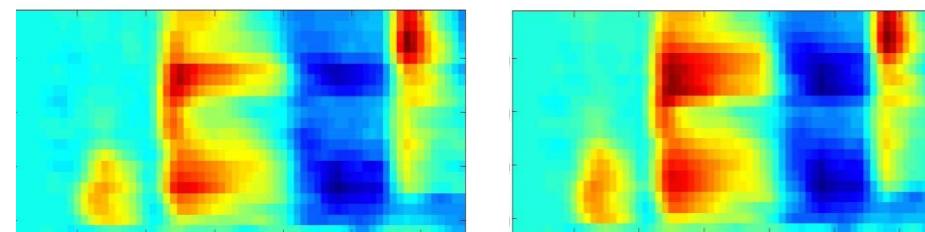
original speech

filtered speech

spectrogram



spectrogram from RASTA



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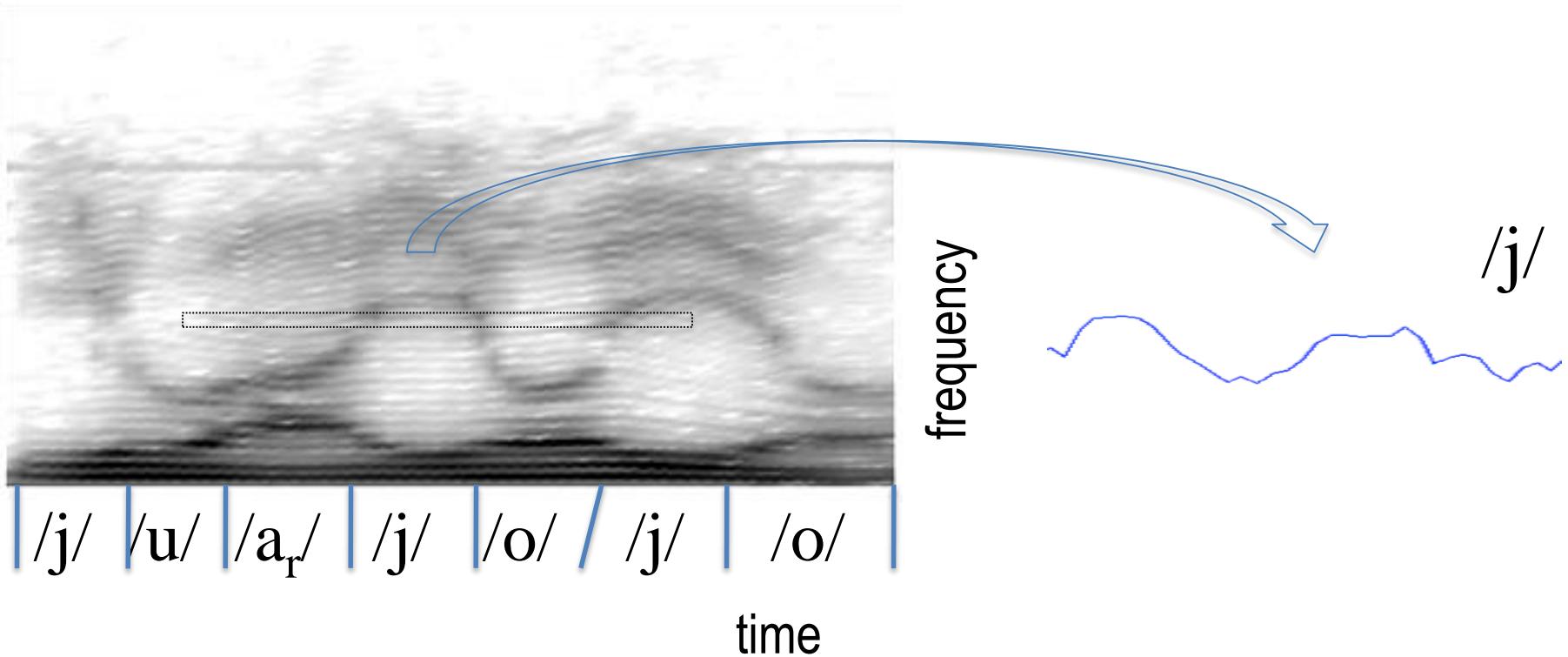
Source: Hermansky, Hynek, Jordan R. Cohen, and Richard M. Stern. "Perceptual properties of current speech recognition technology." Proceedings of the IEEE 101, no. 9 (2013): 1968-1985; DOI: 10.1109/JPROC.2013.2252316.

time

### Environmental mismatch in training and in test

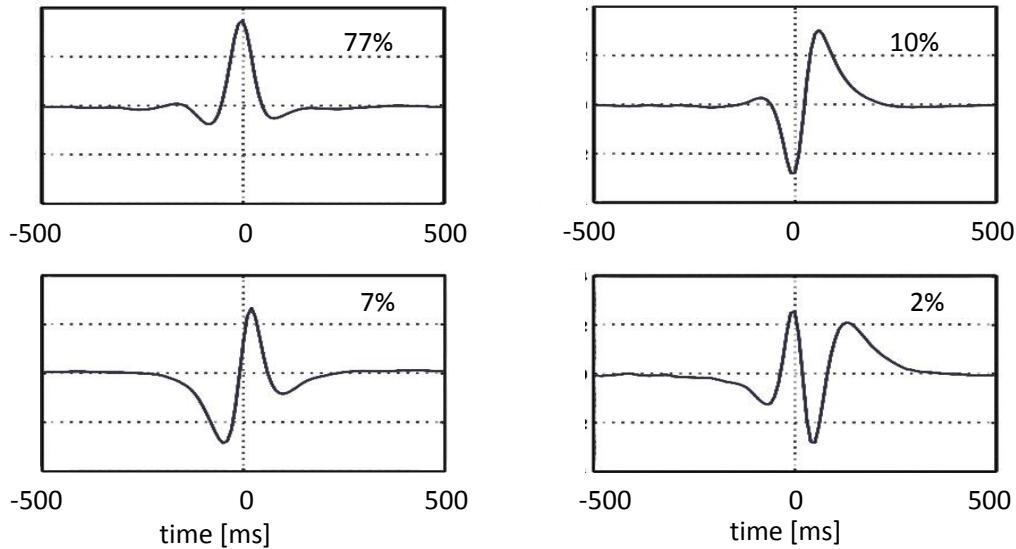
	matched	mismatched
conventional	2.8 % error	<b>60.7% error</b>
RASTA	<b>2.2 % error</b>	<b>2.9 % error</b>

# Linear Discriminant Analysis on Temporal Vectors

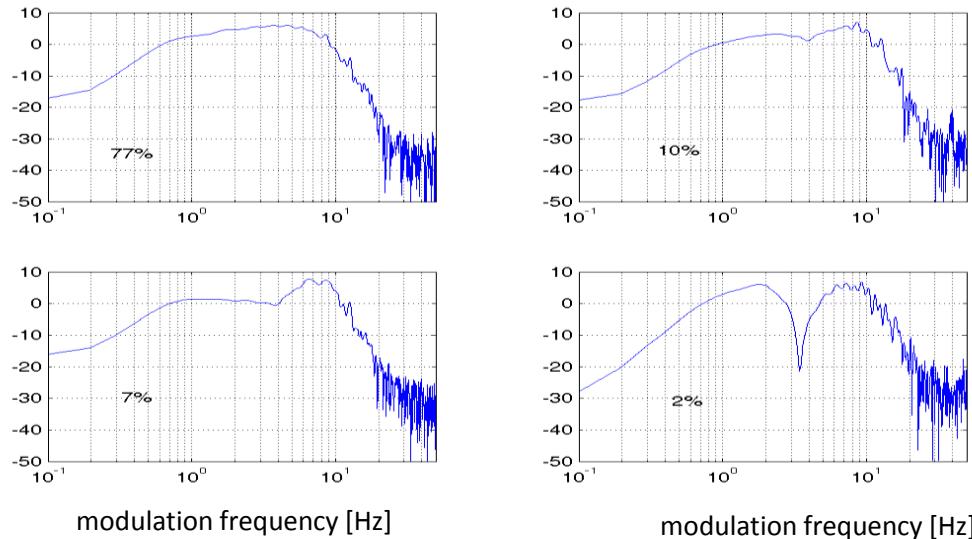


labeled data – labeled temporal vector space – LDA FIR FILTER IMPULSE RESPONSES

## impulse responses

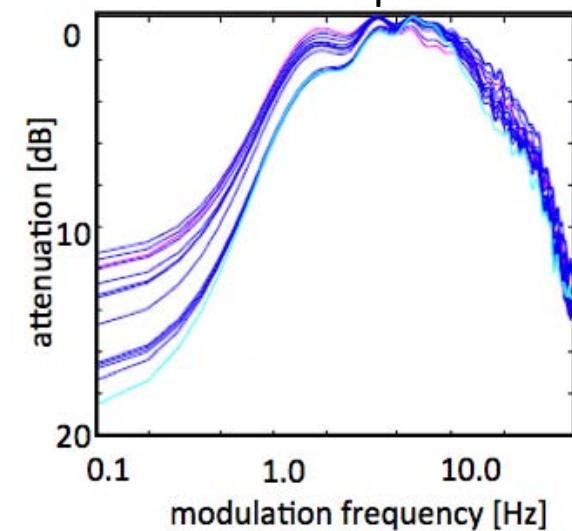


## frequency responses



van Vuuren and Hermansky 1997,  
Valente and Hermansky 2006

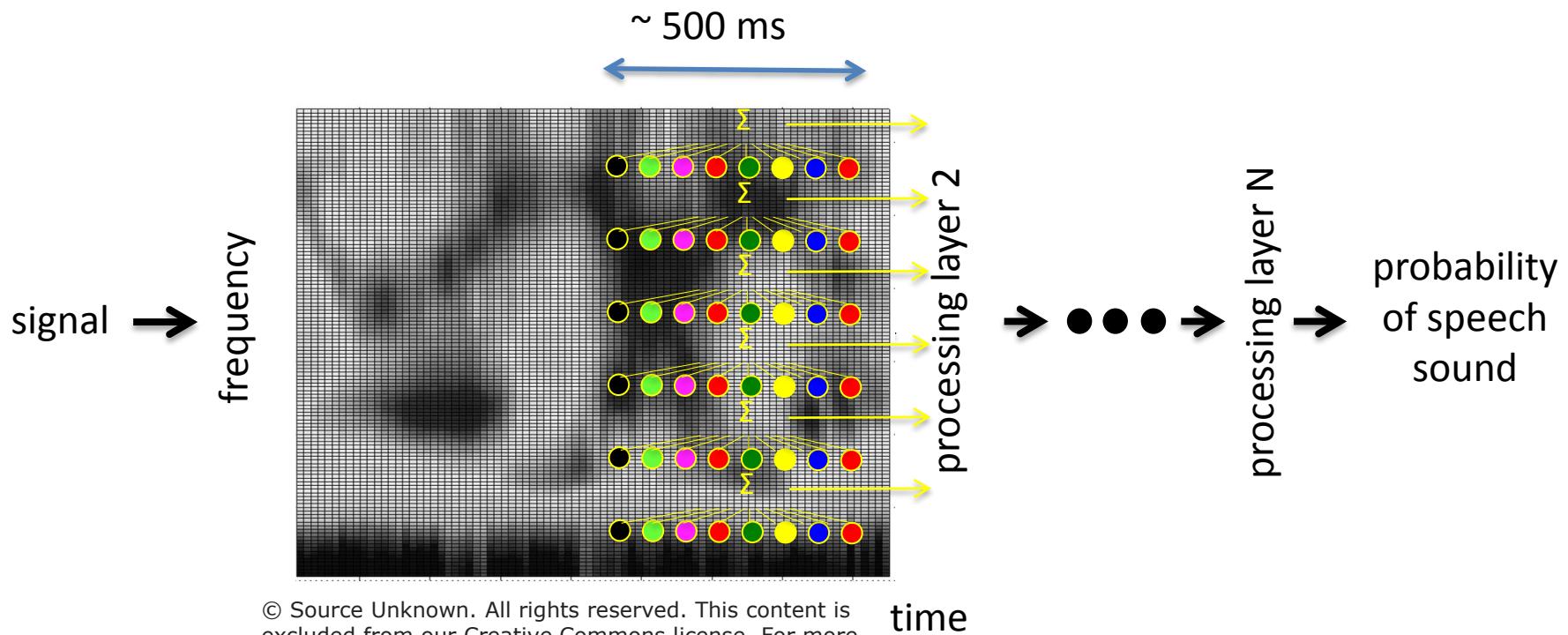
similar filters at all  
carrier frequencies



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Source: Hermansky, Hynek, Jordan R. Cohen, and Richard M. Stern. "Perceptual properties of current speech recognition technology." Proceedings of the IEEE 101, no. 9 (2013): 1968-1985; DOI: 10.1109/JPROC.2013.2252316.

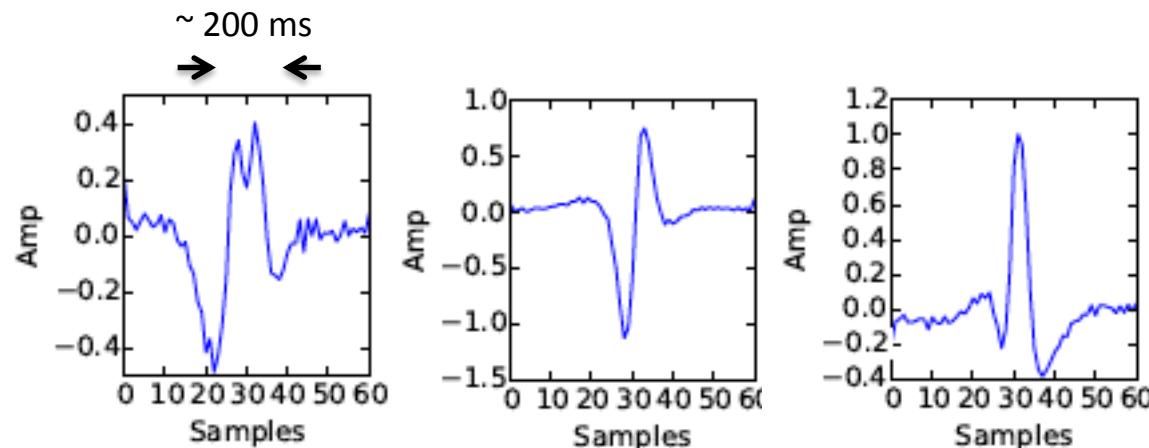
# DNN with convolutions in time



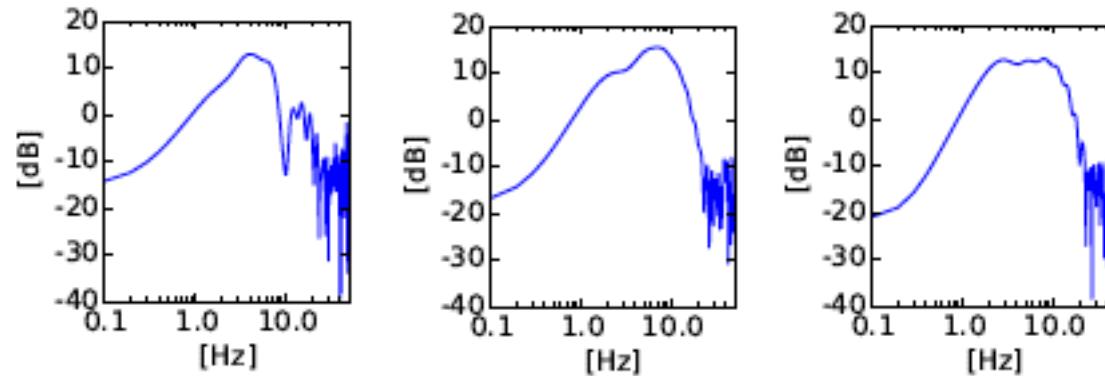
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with Peddinti, Pesan, Vesely and Burget

## filter impulse responses



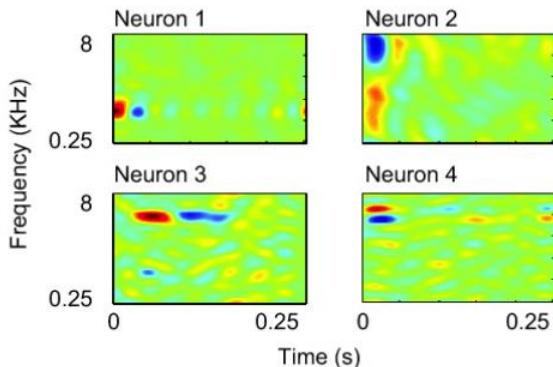
## filter frequency responses



Courtesy of Interspeech. Used with permission.

Source: Pešán, Jan, Lukáš Burget, Hynek Hermanský<sup>1</sup>, and Karel Veselý. "DNN derived filters for processing of modulation spectrum of speech." In Sixteenth Annual Conference of the International Speech Communication Association. 2015.

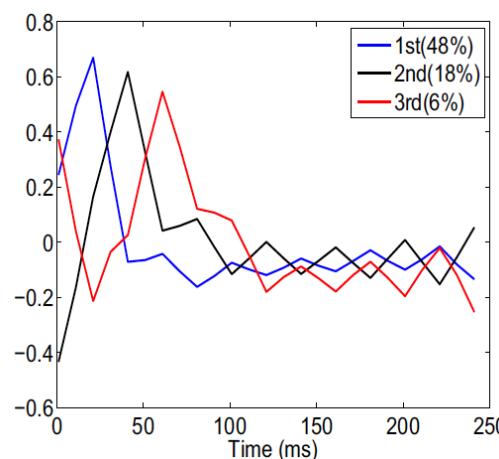
# Auditory cortical receptive fields



Thomas et al INTERSPEECH 2010

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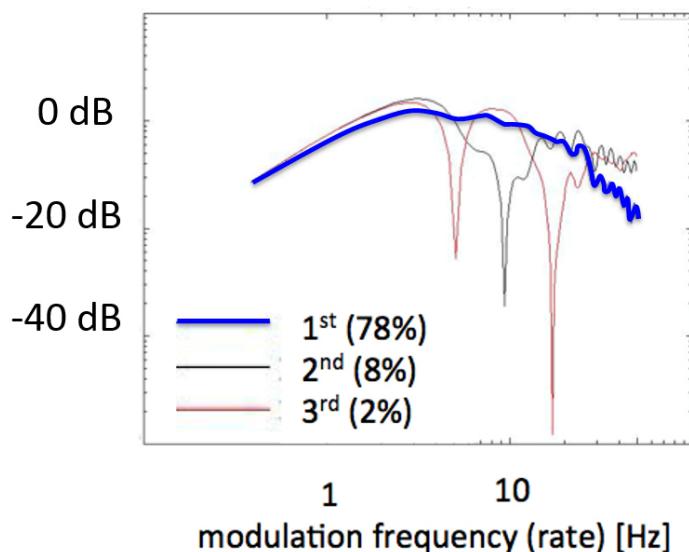
Source: Thomas, Samuel, Sriram Ganapathy, and Hynek Hermansky. "Cross-lingual and multi-stream posterior features for low resource LVCSR systems." In Interspeech, pp. 877-880. 2010.



Temporal principal components from about 2000 cortical receptive fields

Mahajan, Mesgarani, Hermansky,  
INTERSPEECH 2014

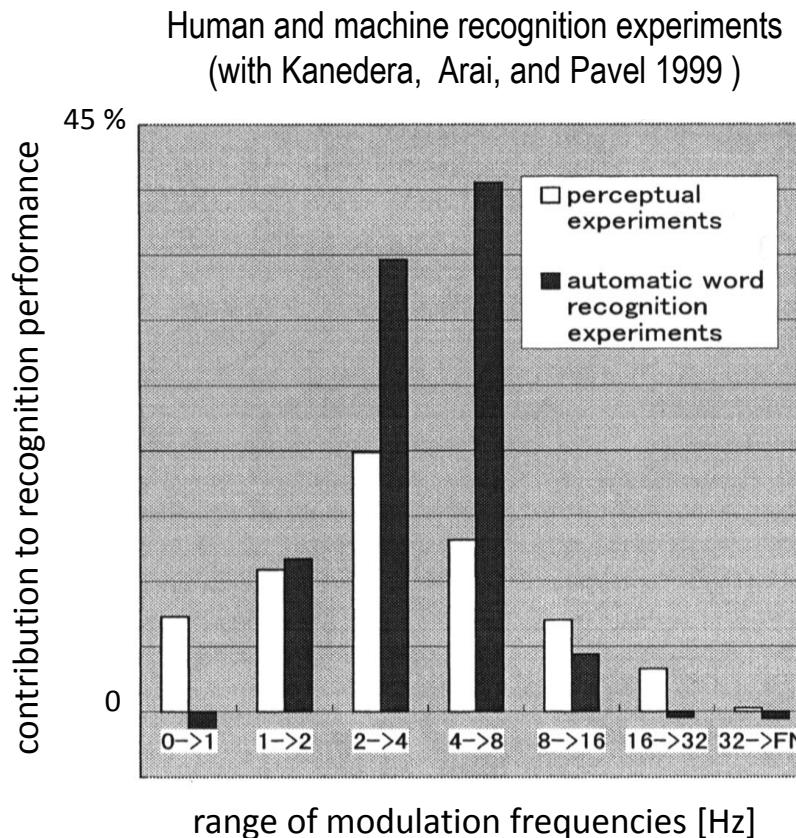
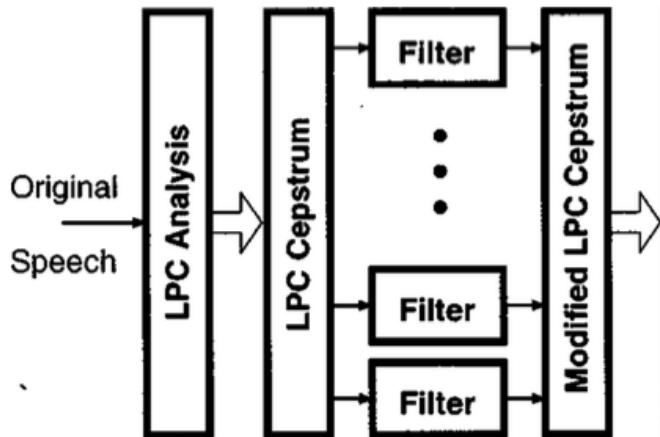
Courtesy of Interspeech. Used with permission.  
Source: Mahajan, Nagaraj, Nima Mesgarani, and Hynek Hermansky. "Principal components of auditory spectro-temporal receptive fields." In INTERSPEECH, pp. 1983-1987. 2014.



ignoring phase shifts  
(principal components of magnitudes of temporal components of STRFs)

Mahajan and Hermansky, *in preparation*

# Slow Modulations and Speech Communication



# Slow Modulations and Speech Communication

Inaudible **message** in slow motions of  
vocal tract is made audible by  
**modulating** the audible carrier

-Dudley 1940

Flow chart of sound filtering removed due to  
copyright restrictions. Please see the video.

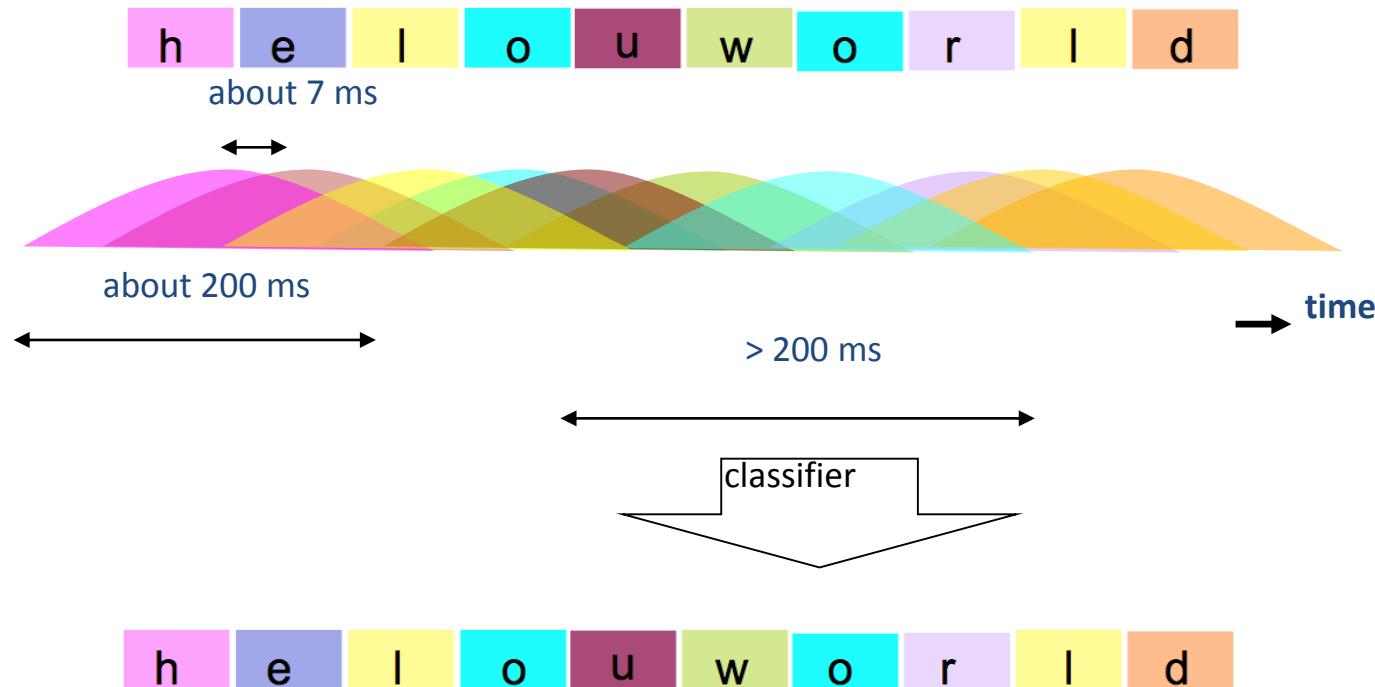
**Information about a message is in  
slow changes of speech signal in  
individual frequency bands**

# Slow modulations – long time spans ! (5 Hz -> 200 ms)

- frequency discrimination of short stimuli improves up to about 200 ms
- loudness of equal-energy stimuli grows up to about 200 ms
- minimum detectable silent interval indicates time constant of about 200 ms
- effect of forward masking lasts about 200 ms
- sub-threshold integration of speech sounds within 200 ms
- e.t.c.

**syllable-length buffer of human hearing ?**

# Where are speech sounds (phonemes) ?

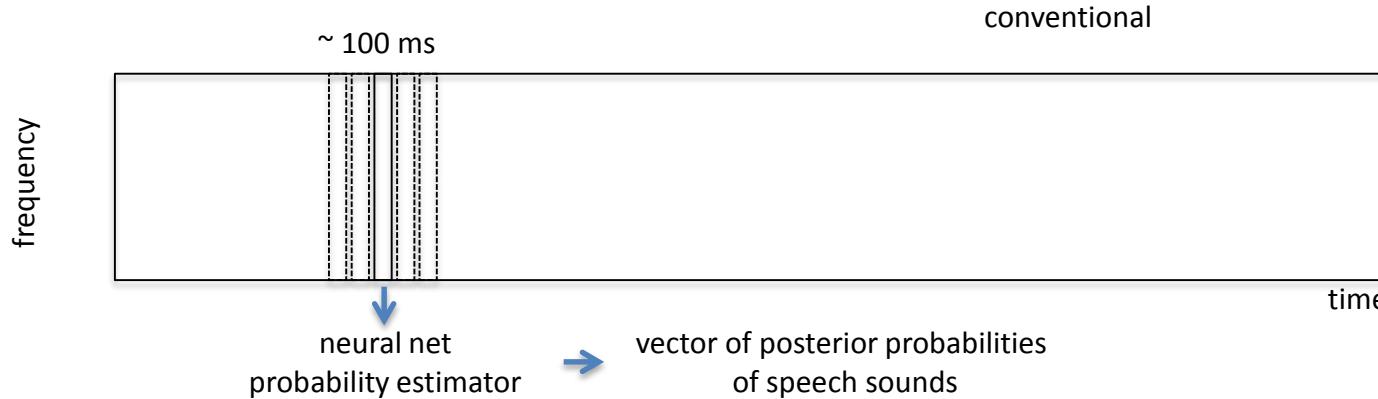


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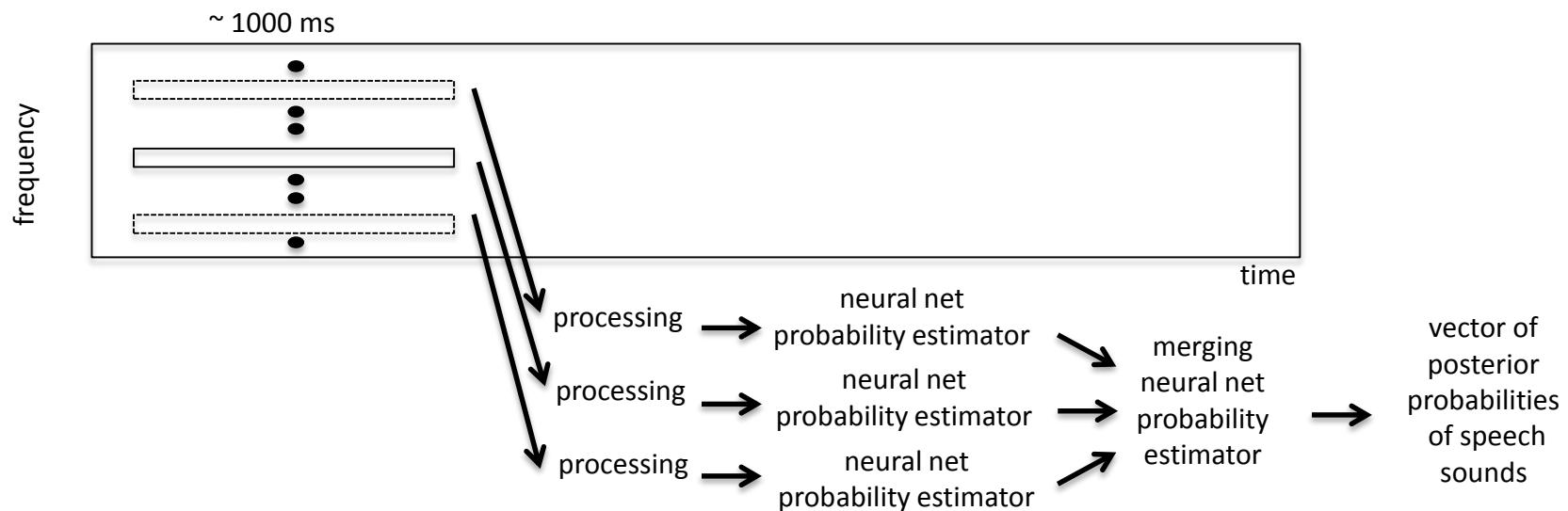
Source: Hermansky, Hynek, Jordan R. Cohen, and Richard M. Stern. "Perceptual properties of current speech recognition technology." Proceedings of the IEEE 101, no. 9 (2013): 1968-1985; DOI: 10.1109/JPROC.2013.2252316.

# TRAPS

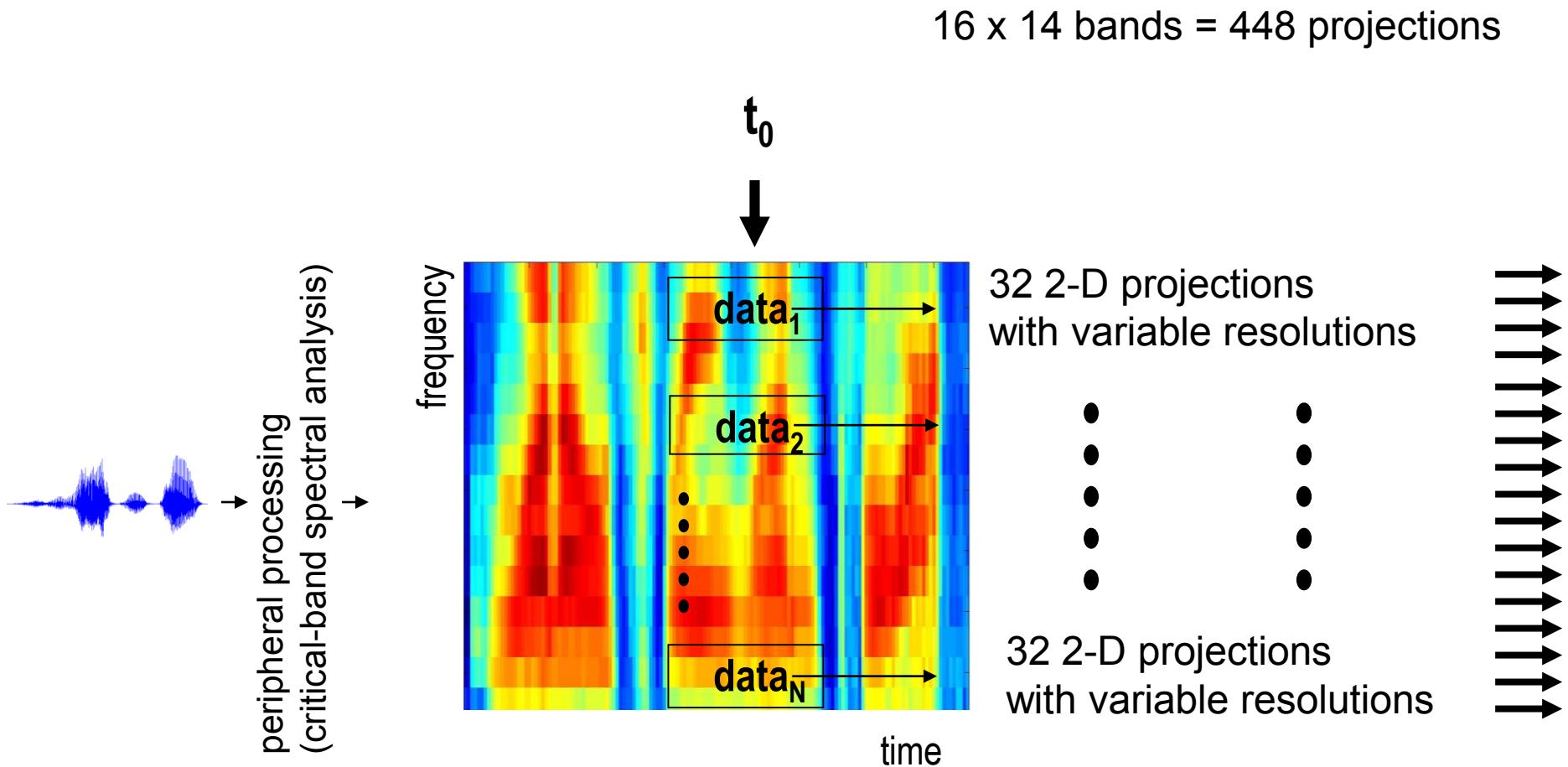
Hermansky and Sharma, ICSLP 1998



## Classifying TempoRAL Patterns of Spectral Energies



# Emulation of cortical processing (MRASTA)



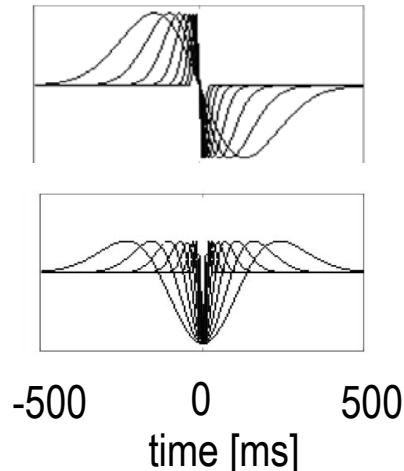
# Multi-resolution RASTA (MRASTA)

(Interspeech 05)

Spectro-temporal basis formed by outer products of

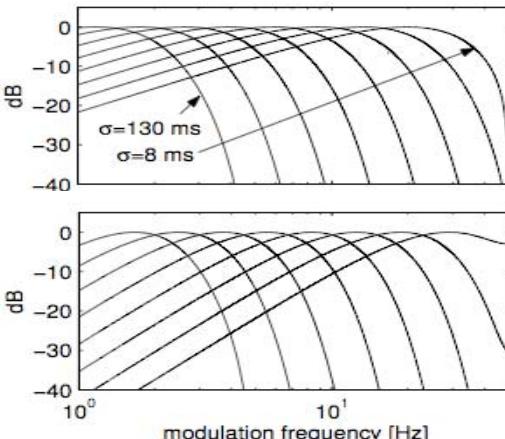
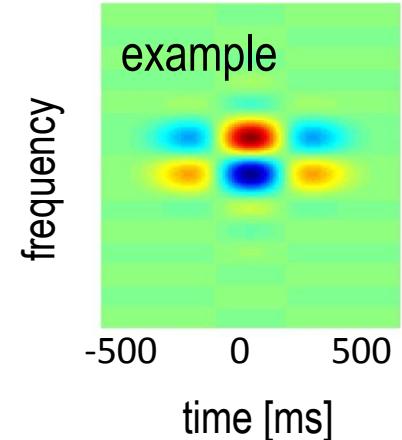
time

frequency



3 critical  
bands +  
central  
band

frequency  
derivative



Bank of 2-D (time-frequency) filters  
(band-pass in time, high-pass in frequency)

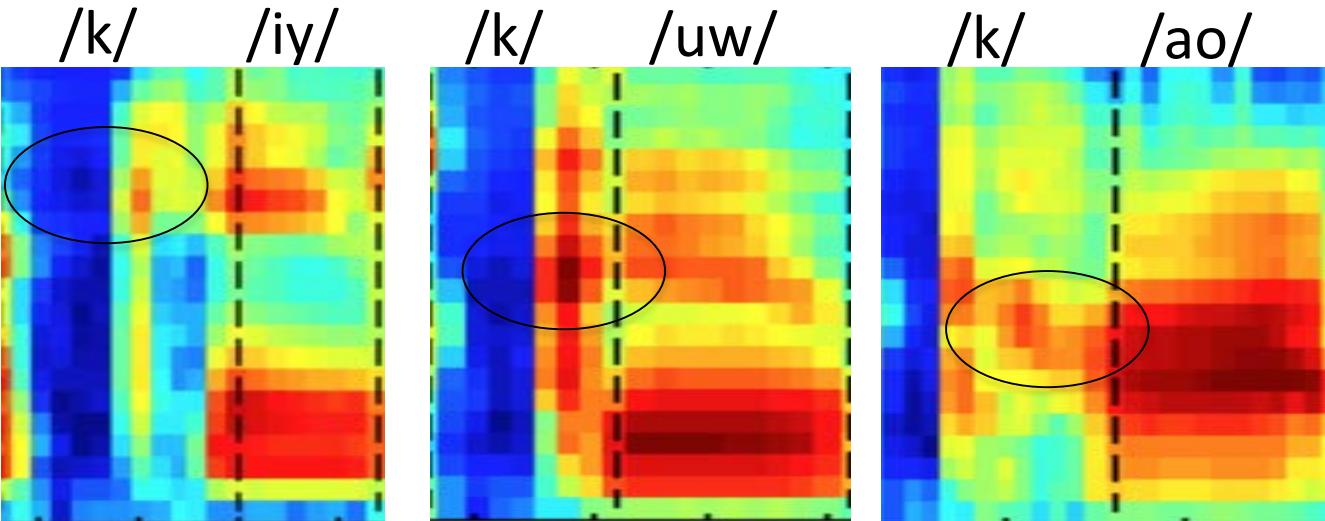
- 1.RASTA-like: alleviates stationary components**
- 2.multi-resolution in time**

# Some “novel” (in 1998) elements of TRAPS

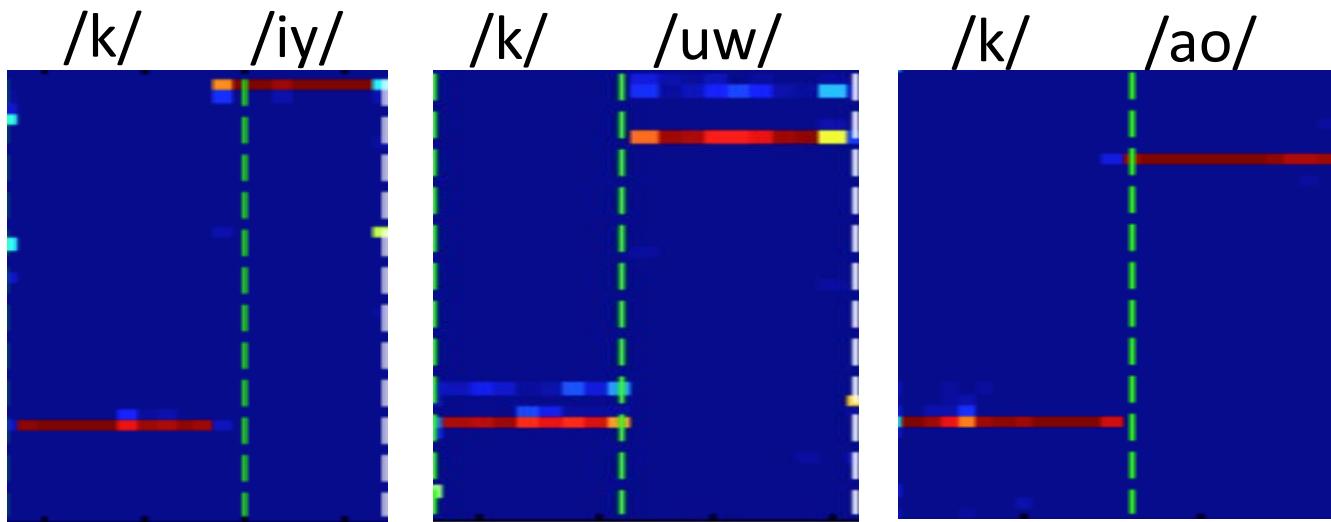
- Rather long temporal context of the signal as input
- Hierarchical structured neural net (“deep neural net”)
- Independent processing in frequency-localized parallel neural net estimators
  - most of these elements typically found in current state-of-the-art speech recognition systems

*However, parts of TRAPS DNN trained individually, while today’s DNNs are optimized jointly*

Tonality [Bark]



Phoneme index

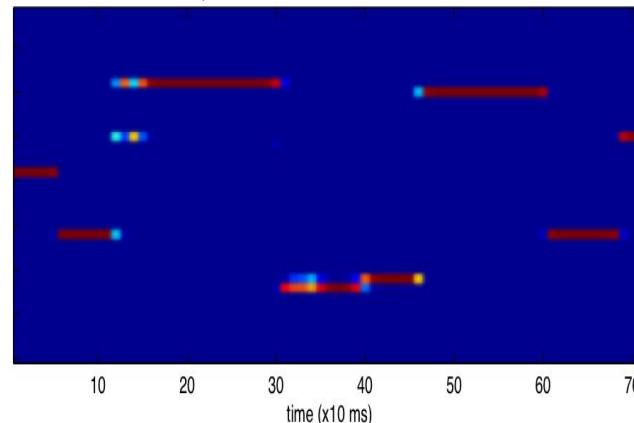
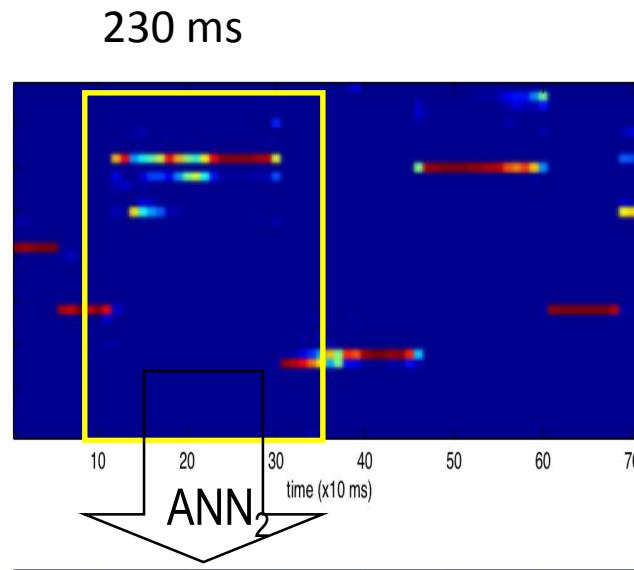
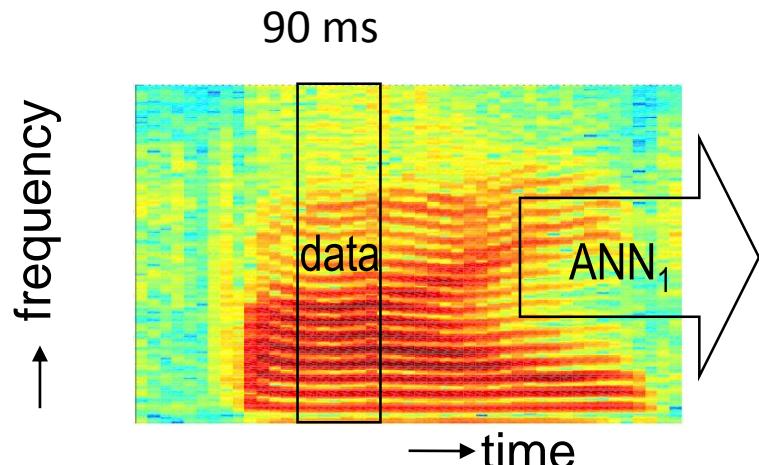


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time

# Serial hierarchical estimation

(Pinto et al, Interspeech 2008)



Results  
(CTS) :  
Phoneme  
recognition  
accuracy  
**55.3%**

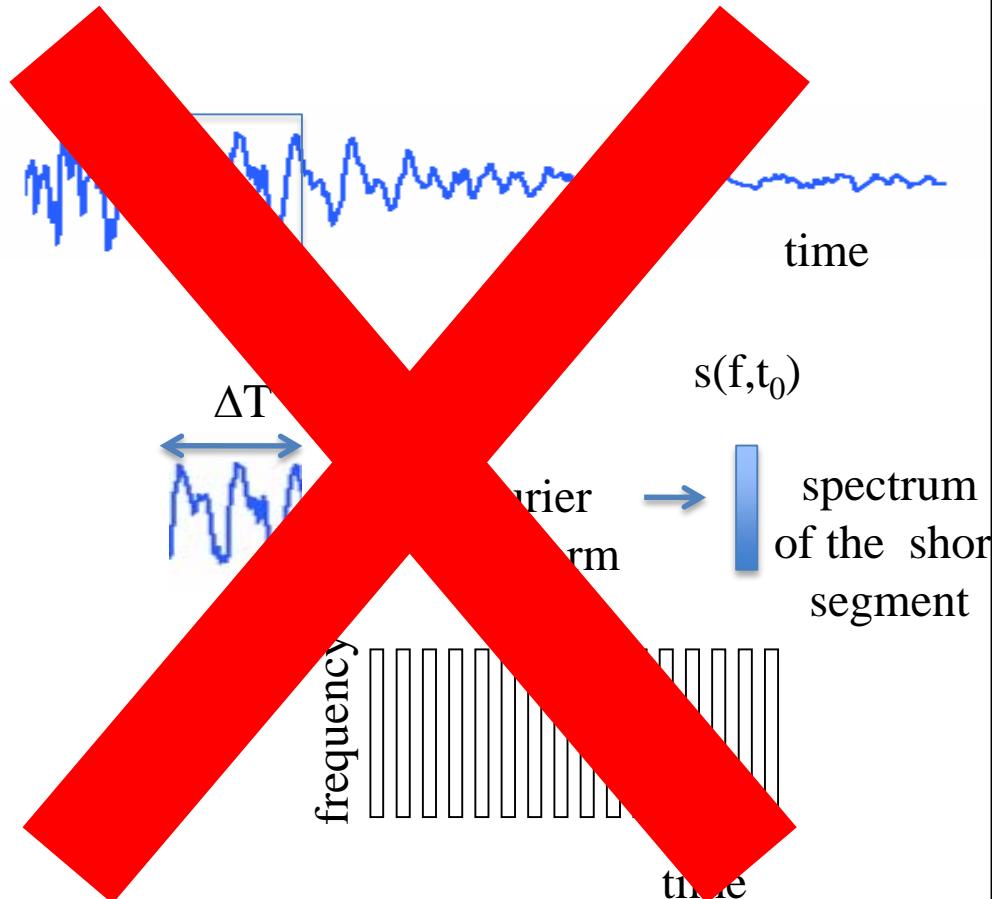
**63.6%**  
accuracy

Also, Grezl et al,  
Interspeech 2009,  
(universal context nets)

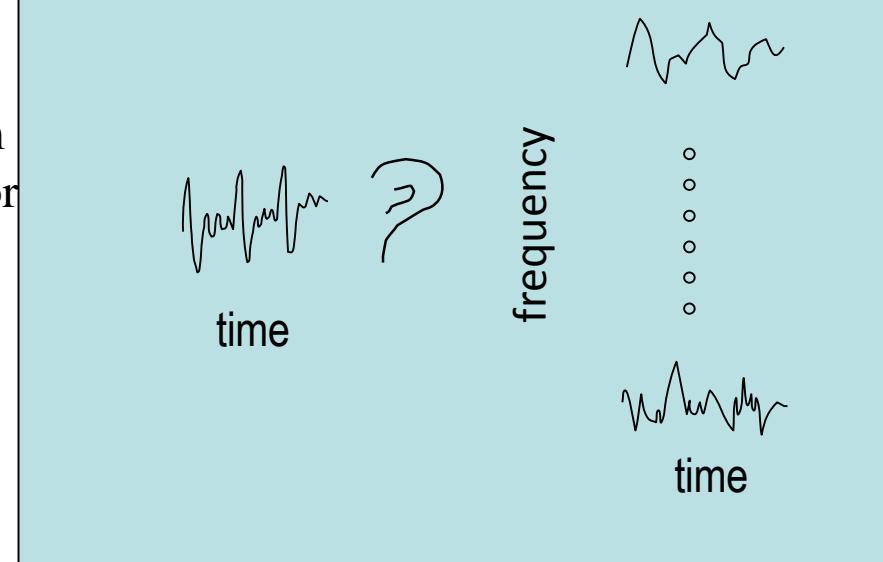
Picture of Columbo removed due to copyright restrictions. Please see the video.

- **Processing of frequency-localized temporal trajectories of spectral energies (rather than short-time spectral envelopes) appears to offer a number of advantages**

# Away from Short-Term Spectrum

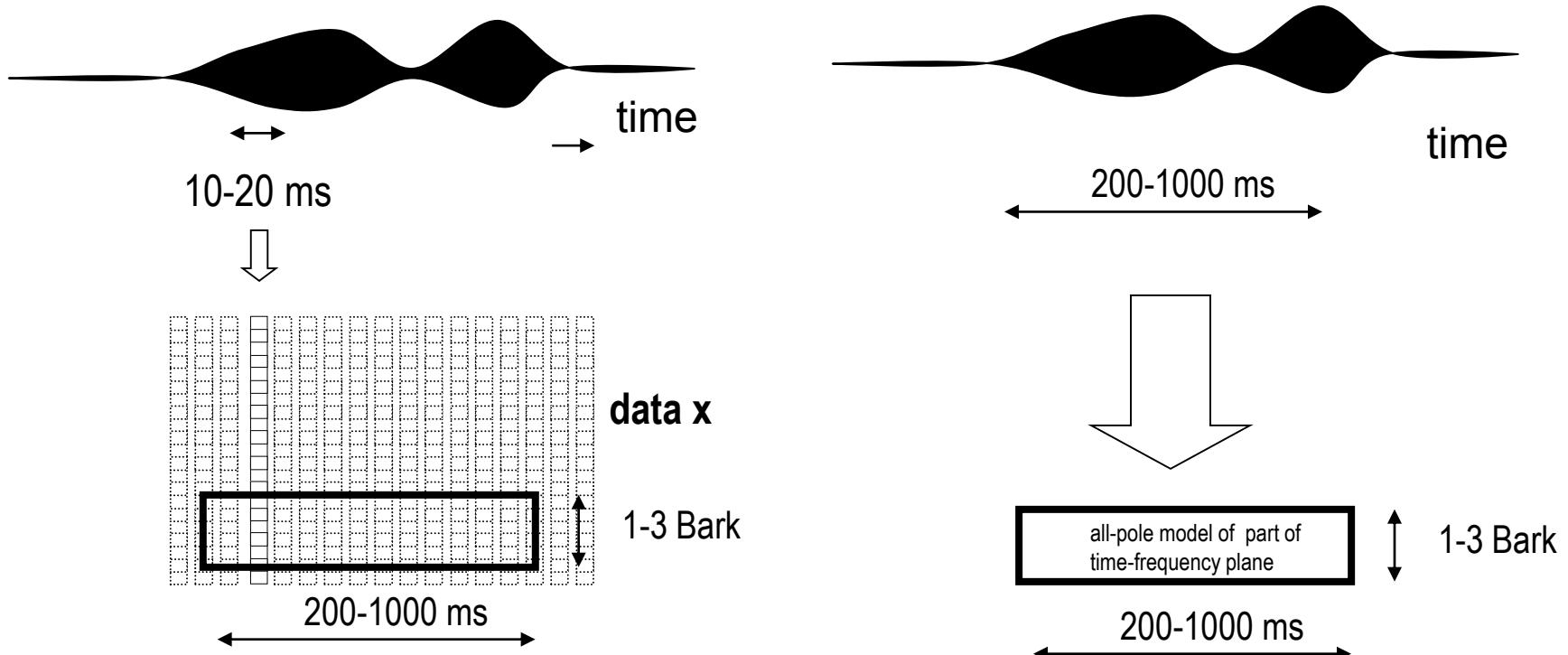


back to human hearing



# How to Get Estimates of Temporal Evolution of Spectral Energy ?

- with M. Athineos, D. Ellis (Columbia Univ), and P. Fousek (CTU Prague)

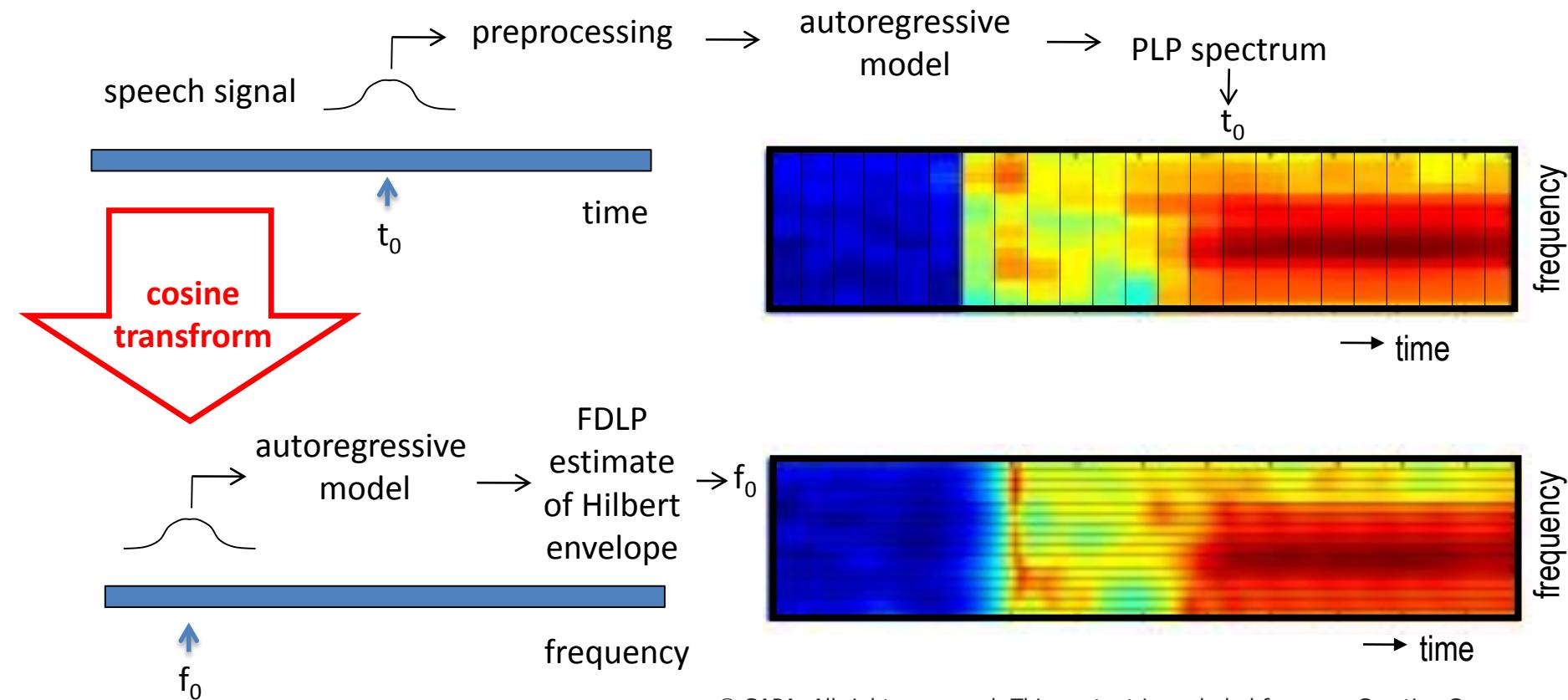


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# Frequency Domain Linear Prediction (FDLP)

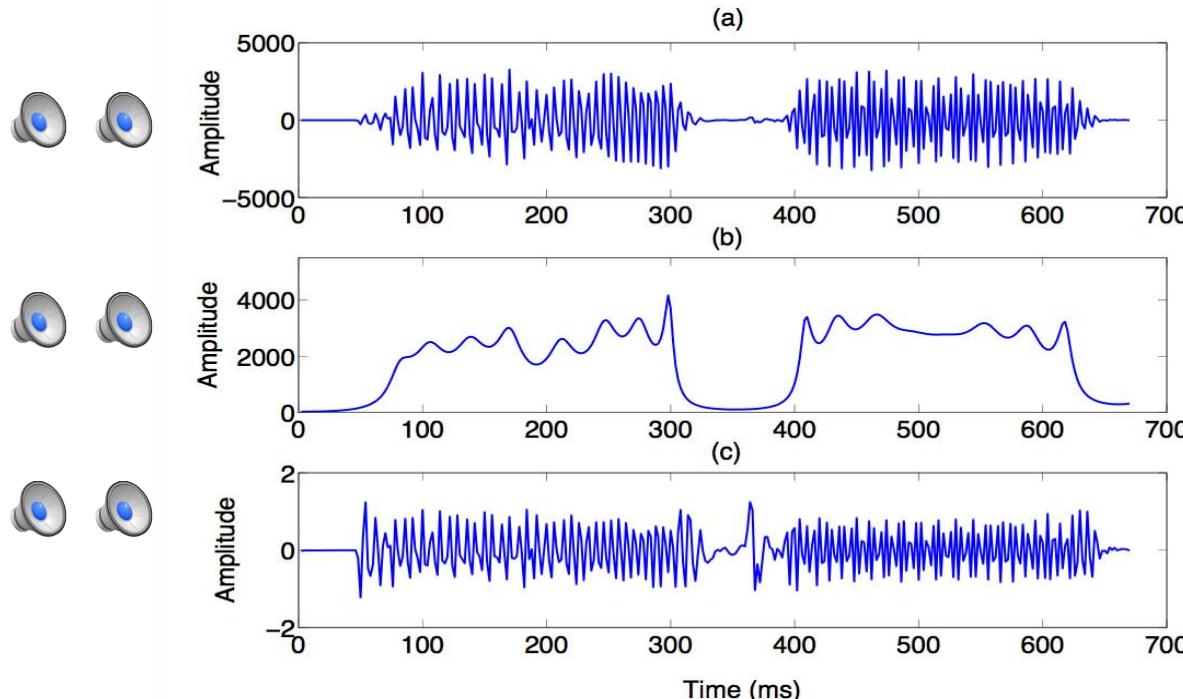
## FDLP

- means for all-pole estimation of Hilbert envelopes (instantaneous spectral energies) in individual frequency channels



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Source: Athineos, Marios, Hynek Hermansky, and Daniel PW Ellis. "PLP \$^2\$: Autoregressive modeling of auditory-like 2-D spectro-temporal patterns." In Workshop on Statistical and Perceptual Audio Processing (SAPA), no. EPFL-CONF-83126. 2004.

# Autoregressive model of Hilbert envelope of the signal



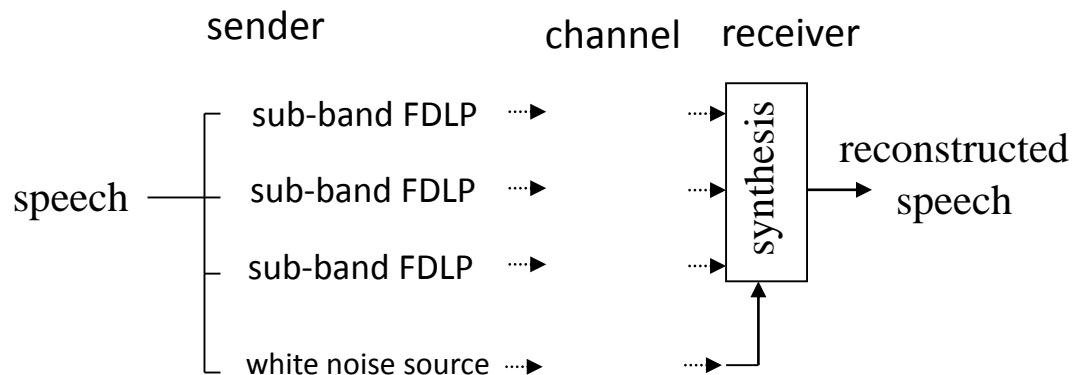
signal

AM component  
(temporal envelope)

FM component  
(carrier)

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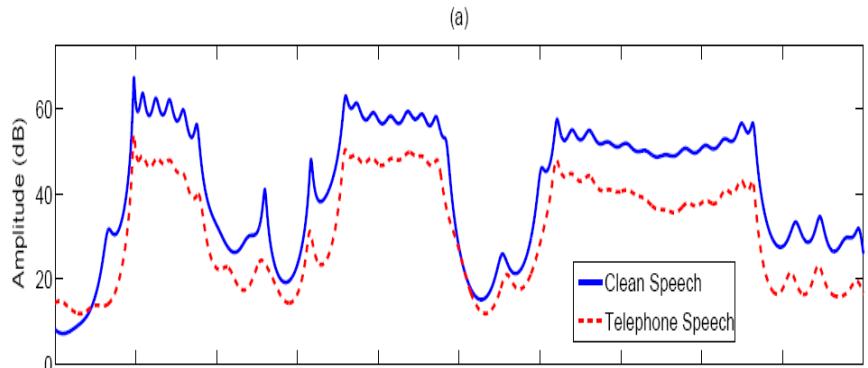
Uses channel vocoder  
(similar to the original  
H. Dudley design)



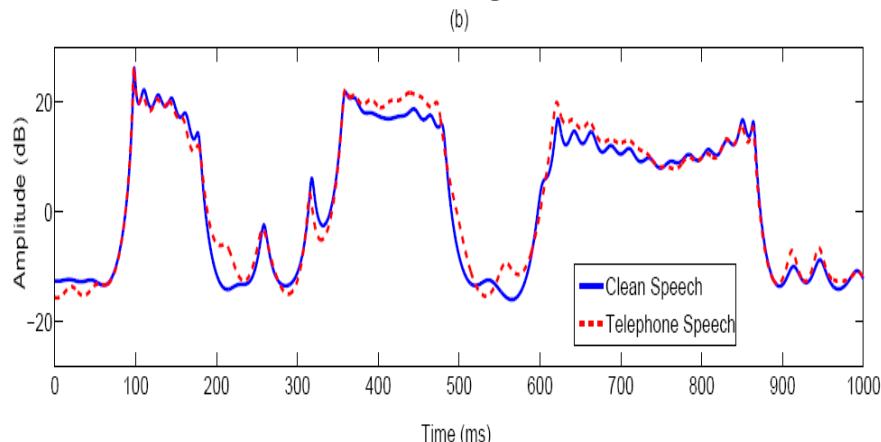
## Varying communication channels (convolution with a short impulse response of a channel)

Convolution turns into addition in log spectral domain

Full model



Model without its gain component



Ignoring FDPLP model gain makes the representation invariant to linear distortions introduced by the communication channel.

Courtesy of The Acoustical Society of America. Used with permission.  
Source: Ganapathy, Sriram, Samuel Thomas, and Hynek Hermansky.  
"Temporal envelope compensation for robust phoneme recognition using modulation spectrum." The Journal of the Acoustical Society of America 128, no. 6 (2010): 3769-3780.

# Reverberant speech

(convolution with a long impulse response of the room)

Gain of the AR model included

Recognition accuracy [%]  
-clean and reverberated (8  
different room responses)  
Aurora digits

	PLP	FDLP
clean	99.68	99.18
reveb	80.12	89.48

Figure removed due to copyright restrictions. Please see the video.  
Source: Thomas, Samuel, Sriram Ganapathy, and Hynek Hermansky.  
"Recognition of reverberant speech using frequency domain linear prediction." IEEE Signal Processing Letters 15 (2008): 681-684.

Improvements on real  
reverberations similar  
(Thomas, Ganapathy,  
Hermansky, IEEE Signal  
Processing Letters, Dec 2008)

# Known noise with unknown effects

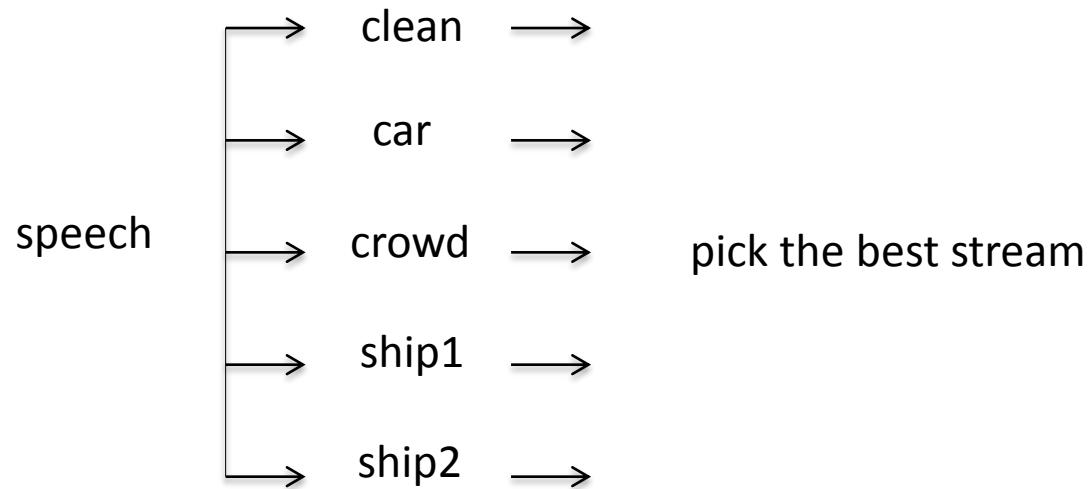
# Dealing with unknown effects of known noise



speech signal → features → **machine** → wanted information

## phoneme error rates noisy TIMIT

train / test	clean	car	crowd	ship1	ship2
clean	<b>20.7</b>	34.2	59.2	65.7	64.9
car	23.8	<b>22.7</b>	58.1	65.2	64.6
crowd	30.8	33.1	<b>36.0</b>	38.1	44.9
ship 1	35.4	41.3	53.7	<b>35.6</b>	44.9
ship 2	37.0	45.4	58.3	45.0	<b>35.2</b>
multi-style	23.0	24.9	36.8	39.0	39.7



pick the best stream based on input

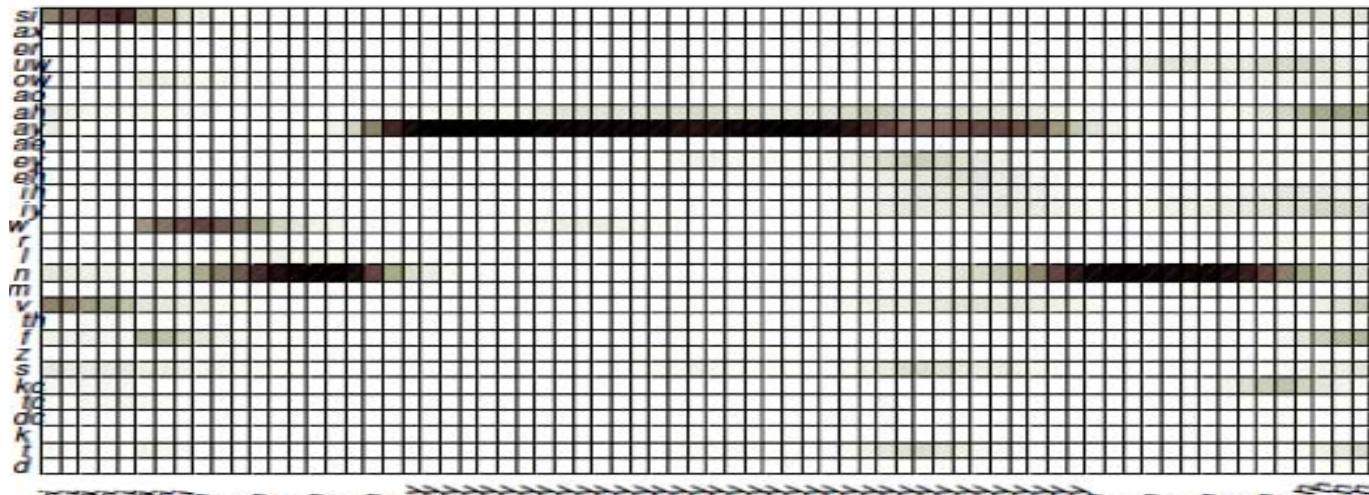
- recognize type of noise

pick “the best” output

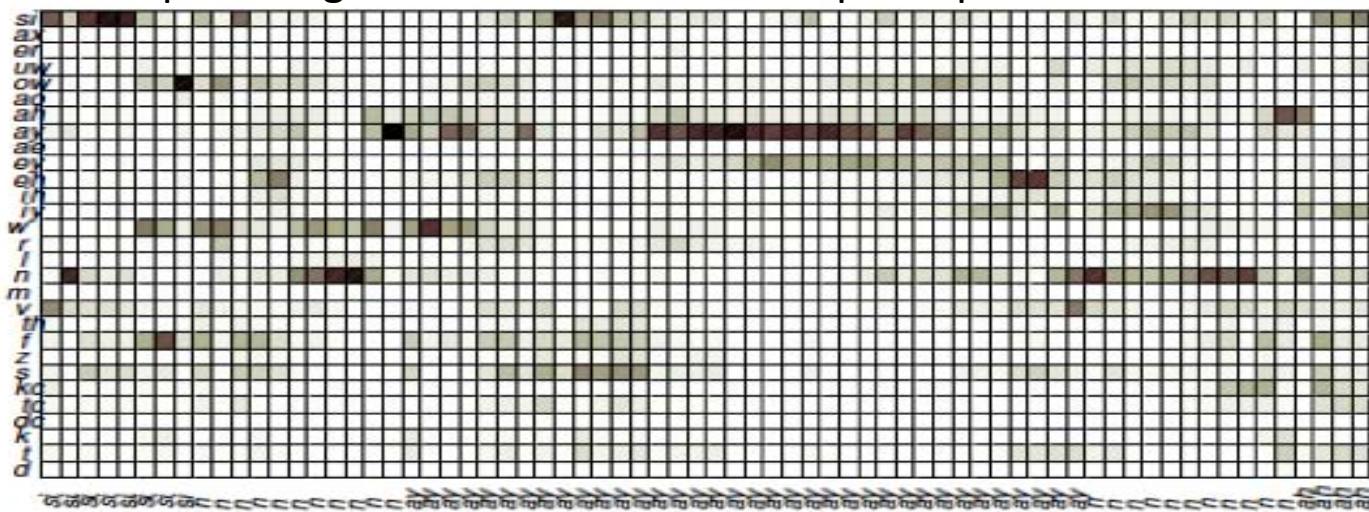
- what does “the best” mean ?

Do it fast (based on short segment of test data)

“good” posteriogram – derived from speech data similar to its training



“bad” posteriogram – derived from corrupted speech data

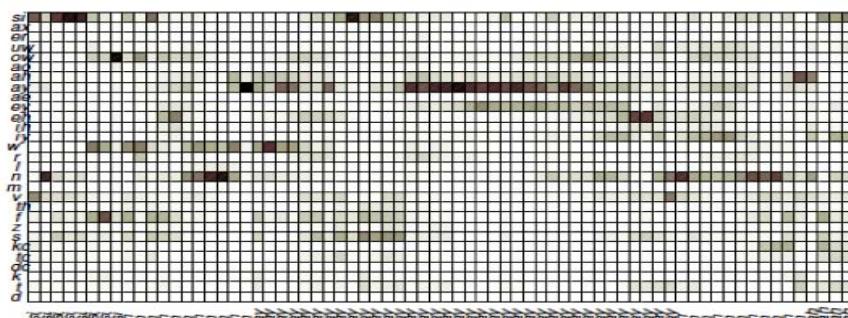
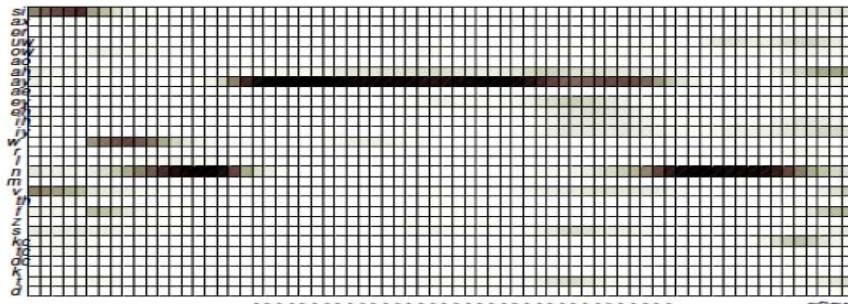


# The “best” probability estimates?

Ideally the ones which yield the lowest error

- do not know the correct answer so do not know the error
1. Estimates which yield “clean” posteriograms
  2. “Similar” to ones derived on training data of the estimator

# How “clean” is a posteriogram ?

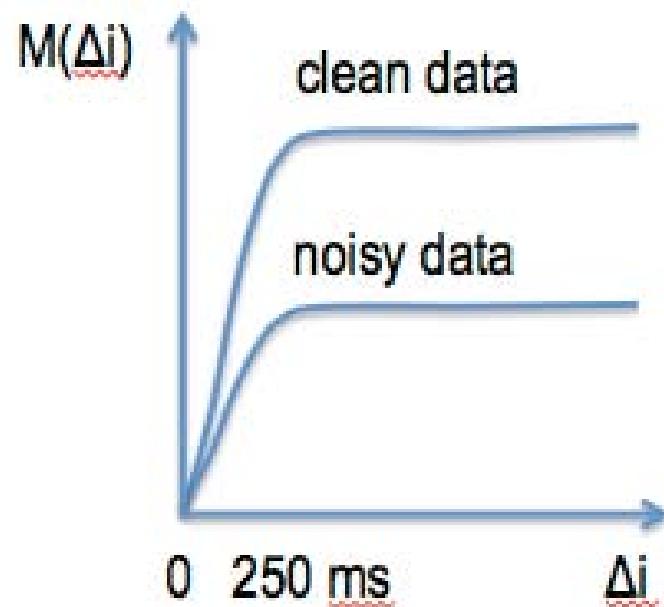


$\Delta\tau$   
↔

$$M(\Delta\tau) = \frac{\sum_{i=0}^{N-\Delta\tau} D(\mathbf{p}_i, \mathbf{p}_{i+\Delta\tau})}{N - \Delta\tau}$$

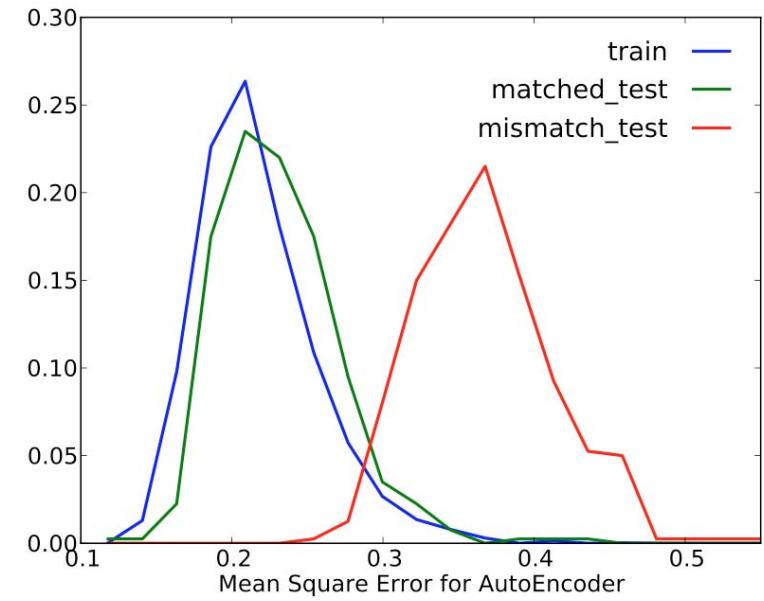
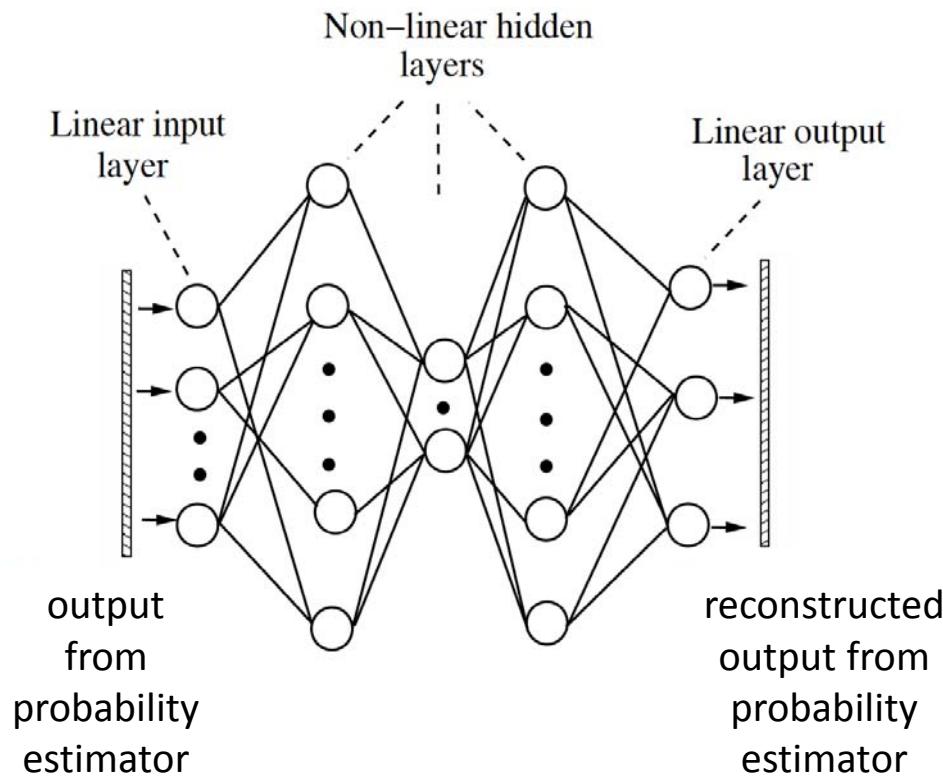
$\Delta i$  – time delay

$D(\cdot)$  – symmetric KI divergence



# How “similar” is the estimator performance on its training data and in the test?

DNN autoencoder trained on output of the estimator when applied to its training data



# picking up good streams

phoneme error rates noisy TIMIT

train / test	clean	car	crowd	ship1	ship2
multi-style	23.0	24.9	36.8	39.0	39.7
matched	20.7	22.7	36.0	35.6	35.2
oracle	17.7	19.9	31.8	31.1	31.4
<b>multi-stream with `</b> <b>performance monitoring</b>	<b>20.9</b>	<b>24.3</b>	<b>35.0</b>	<b>34.8</b>	<b>37.2</b>

Mallidi et al *in preparation*

# Previously unseen noise

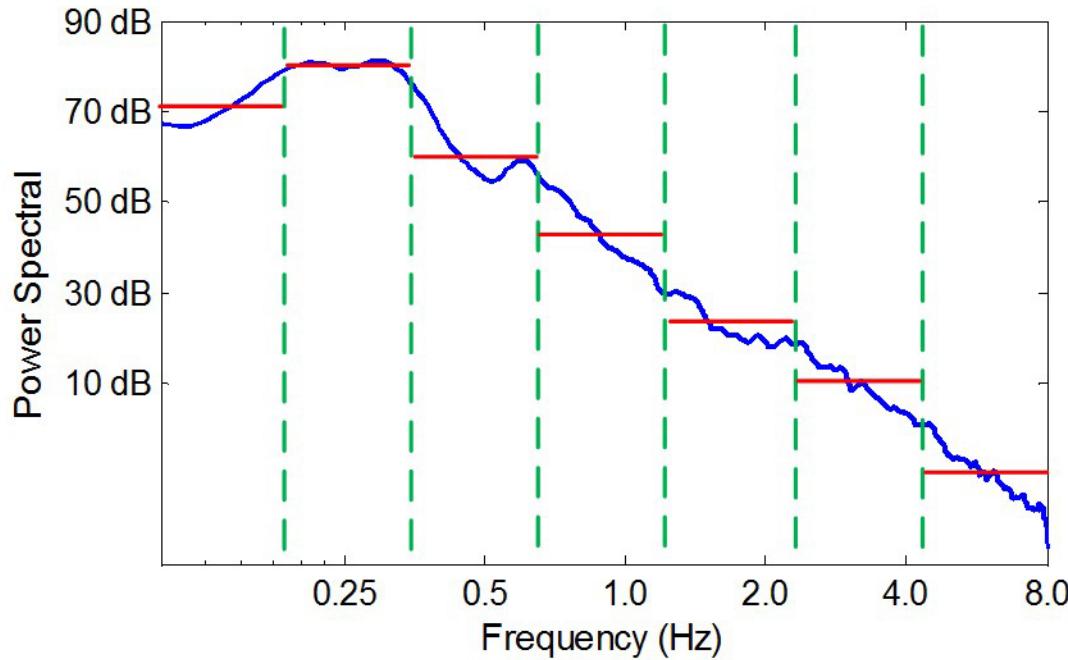
## extrapolate from known noise training ?

phoneme error rates noisy TIMIT

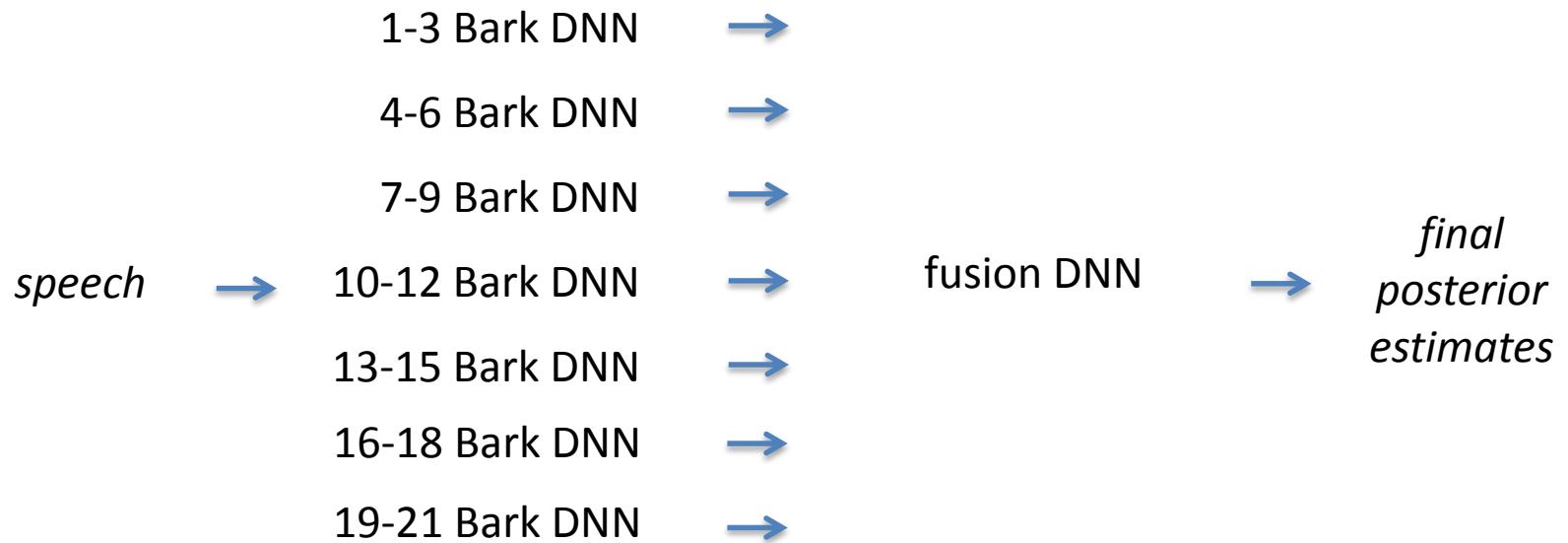
train / test	clean	car	crowd	ship1	ship2	unseen noise f16 fighter
clean		<b>20.7</b>				<b>62.9</b>
car			<b>22.7</b>			62.7
crowd				<b>36.0</b>		41.4
ship 1					<b>35.6</b>	40.8
ship 2					<b>35.2</b>	44.8
multi-style	23.0	24.9	36.8	39.0	39.7	<b>36.3</b>
<i>oracle</i>	18.4	20.5	34.7	34.5	34.8	29.1
<b>multi-stream</b>	<b>20.9</b>	<b>24.3</b>	<b>35.0</b>	<b>34.8</b>	<b>37.2</b>	<b>32.5</b>

Mallidi et al *in preparation*

# *Divide et Impera*



- unknown noise of arbitrary shape can be approximated by white noise of appropriate levels in individual frequency sub-bands.



all neural nets (DNNs) trained on clean, 20 dB, 10 dB , 5 dB SNR **white** noise

# Word error rates (Aurora 4)

	test > 30 dB SNR	test 10 dB SNR	test 5 dB SNR	unseen test noise (car)
training > 30 dB SNR	<b>3.10 %</b>	15.65 %	36.60 %	13.62 %
training 10 dB SNR	5.06 %	<b>4.35 %</b>	14.70 %	7.47 %
training 5 dB SNR	9.04 %	4.73 %	<b>7.73 %</b>	7.86 %
multistyle training >30, 15, 10 ,5 dB	4.28 %	5.17 %	11.86 %	8.11 %
<b>sub-band multistream</b>	<b>2.99 %</b>	<b>3.23 %</b>	<b>10.18 %</b>	<b>4.30 %</b>

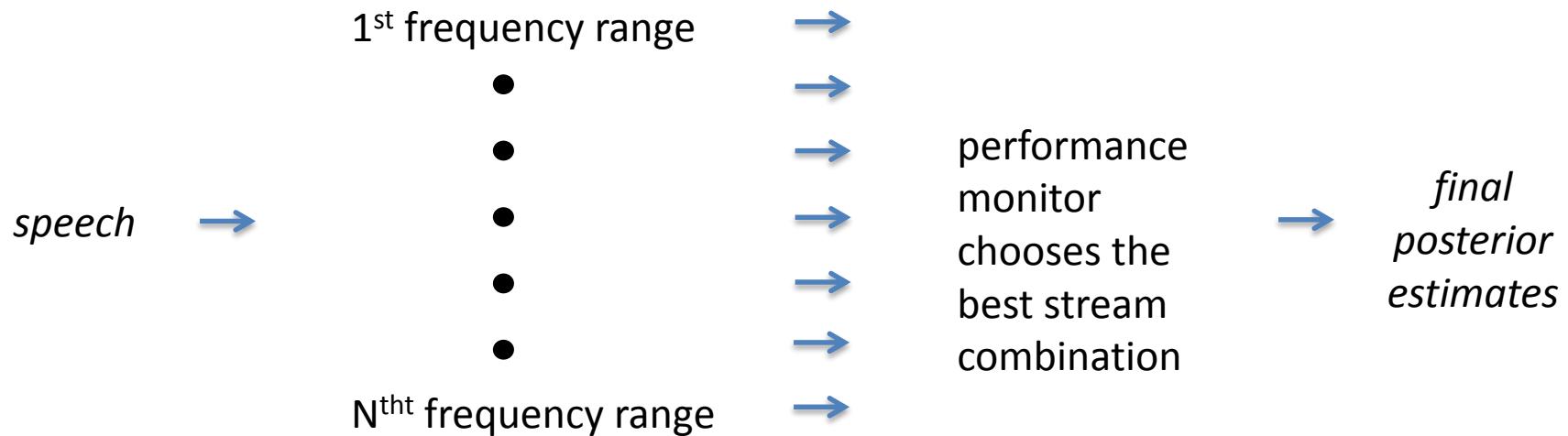
# Unexpected noise

# Adaptation

- Modify classifier during its operation to better deal with new previously unseen conditions
  - Assemble new classifier on-line from reliable parts of the old one to improve performance on new data?
  - Assumptions
    - some parts of the old classifier remain reliable
    - measure of classifier performance is available

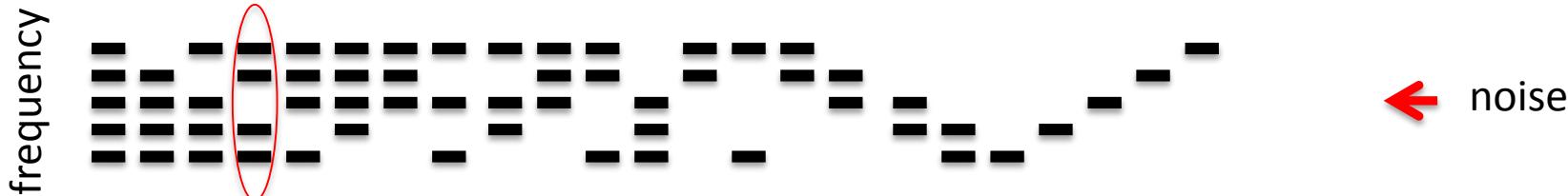
# Multi-band processing

Subdivide speech spectrum into independent processing streams for further processing



5 frequency bands - 31 ways to combine them

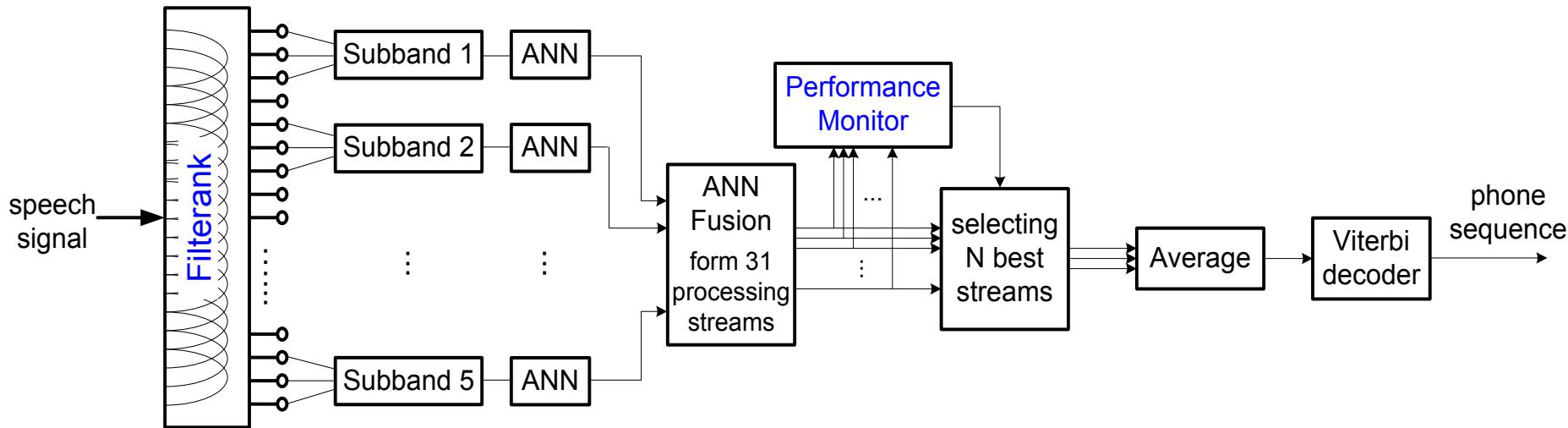
– 31 processing streams, each covering different frequency ranges of the full spectrum



# Multi-band processing with performance monitoring

Variani et al, Interspeech 2013

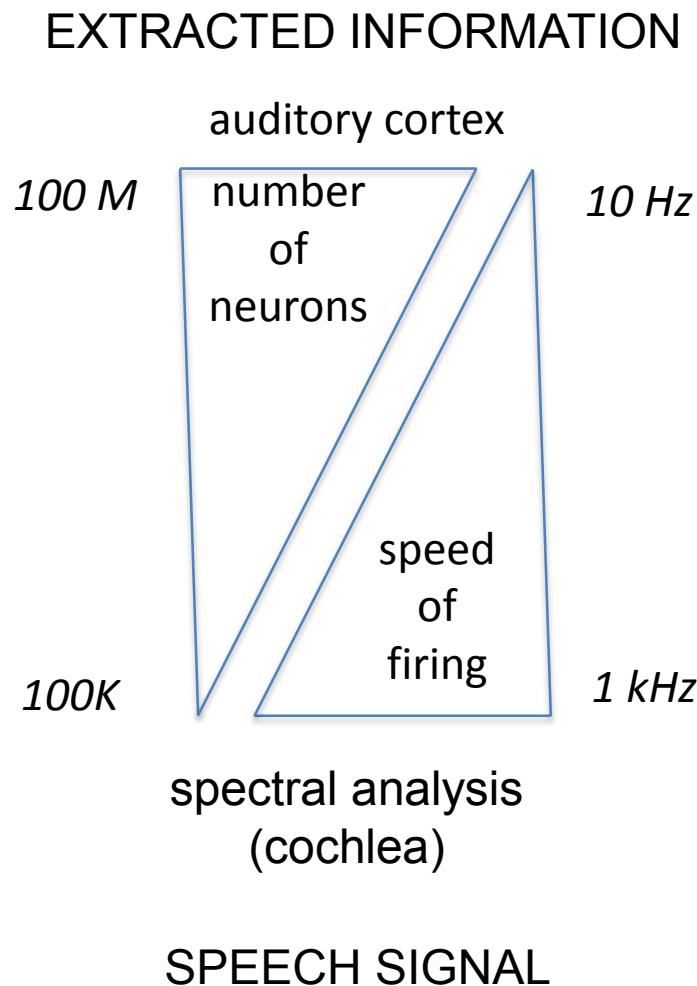
- All processing streams trained on clean speech



Phoneme recognition error rates

environment	conventional	PM	oracle
clean (matched training and test)	31 %	28 %	25 %
TIMIT with car noise at 0 dB SNR (training on clean)	54 %	38 %	35 %

# human auditory processing



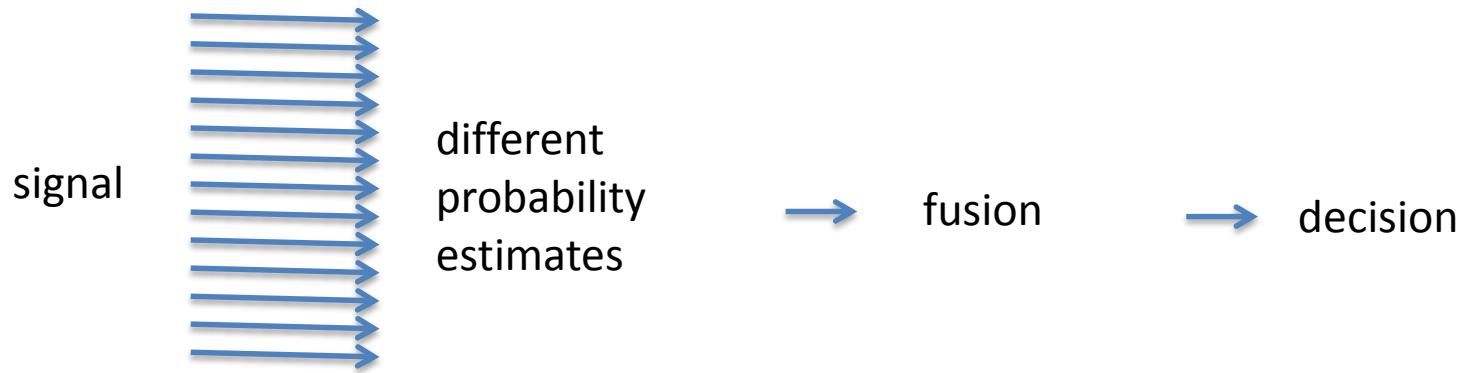
**linguistic code ( $\sim 50$  b/s)**

perceptual and cognitive processes

**SPEECH SIGNAL ( $> 50$  kb/s)**

many ways of describing the information on higher levels of perception !

# Multi-stream Processing



## Stream formation

- differently trained probability estimators
- different aspects of the signal
- different modalities
- different strengths of priors

## Fusion

- select “the best” probability estimates

# Conclusions

- Predictable effects of noise (e.g., linear distortions) are relatively easy to deal with by signal processing techniques that emulate perception of modulations in signal
- Unpredictable effects of noise, typically handled by multi-style training, could be better handled by a bank of parallel “expert” processing streams that emulate hypothetical parallel processing channels in hearing

MIT OpenCourseWare  
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Resource: Brains, Minds and Machines Summer Course  
Tomaso Poggio and Gabriel Kreiman

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