

# WStarAlgebras have Unique Preduals

Lean OA team

January 13, 2026

## 0.1 WStarAlgebras and their Topologies

In this section we define the topologies and need to prove that  $W^*$ -algebras are closed with respect to these. Must also put these dependencies explicitly into the results that use them below.

## 0.2 Normality and Ultraweak Continuity for Positive Functionals

In what follows, let  $M$  be a (nonzero)  $W^*$ -algebra. Let  $\mathcal{P}(M)$  denote the projection lattice of  $M$ . Let  $\varphi$  be a positive linear functional  $\varphi$  on  $M$ . We say  $\varphi$  is **normal** if whenever  $(p_\alpha)$  is an increasing net of projections in  $M$  with supremum  $p$ , we have  $\varphi(p_\alpha) \rightarrow \varphi(p)$ . In this section we show that this property is equivalent to  $\sigma(M, M_*)$ -continuity. We say that a linear functional  $\varphi$  on  $M$  is **positive** if  $\varphi(x) \geq 0$  whenever  $x \geq 0$ .

*To do : Net results must be replaced by filter results.*

### 0.2.1 Ultraweakly Continuous Implies Normal

This is 1.7.4 in Sakai. Roughly, taking an increasing net of projections, it converges to the supremum strongly, hence  $\sigma$ -topology, and the  $\sigma$ -continuity finishes the proof.

**Theorem 1.** *Every uniformly bounded, increasing directed set converges to its least upper bound in the  $\sigma$ -topology. Further, if  $x = \sup_\alpha x_\alpha$  then  $a^*xa = \sup_\alpha a^*x_\alpha a$ .*

*Proof.*

□

### 0.2.2 Normal Implies Ultraweakly Continuous

**Lemma 2.** *For all self-adjoint  $x \in M$ ,  $\|x\|1 - x \geq 0$ .*

*Proof.*

□

**Lemma 3.** *For all  $p, q \in \mathcal{P}(M)$  such that  $q \leq p$ ,  $p - q \in \mathcal{P}(M)$ .*

*Proof.*

□

**Lemma 4.** *For every increasing bounded net  $(p_\alpha)$  of projections in  $M$ , the supremum  $p$  is a projection in  $M$ .*

*Proof.*

□

If  $P : \mathcal{P}(M) \rightarrow \text{Prop}$  is a predicate, the usual ordering “ $\leq$ ” on projections induces an order on the set  $\{p \in \mathcal{P}(M) | P(p)\}$ . In what follows there will be no confusion if we also denote this induced order by “ $\leq$ ”.

**Lemma 5.** *For every nonzero element  $a \in M$ , there is a  $\sigma(M, M_*)$ -continuous positive linear functional  $\psi$  on  $M$  such that  $\psi(a) \neq 0$ .*

*Proof.*

□

**Lemma 6.** *For every positive normal linear functional  $\varphi$  and nonzero  $p \in \mathcal{P}(M)$  there exists a positive  $\sigma(M, M_*)$ -continuous linear functional  $\psi$  such that  $\varphi(p) < \psi(p)$ .*

*Proof.* By Lemma 5 there is a  $\sigma(M, M_*)$ -continuous positive linear functional  $\psi_0$  on  $M$  such that  $\psi_0(p) \neq 0$ . Rescale this functional by a positive constant to obtain  $\psi$  such that  $\varphi(p) < \psi(p)$ .  $\square$

**Lemma 7.** *For all positive linear functionals  $\varphi, \psi$  with  $\varphi$  normal and  $\psi$   $\sigma(M, M_*)$ -continuous and every nonzero  $p \in \mathcal{P}(M)$  such that  $\varphi(p) < \psi(p)$ , there exists a nonzero  $p_1 \in \mathcal{P}(M)$  such that  $p_1 \leq p$  and for all nonzero  $q \in \mathcal{P}(M)$  with  $q \leq p_1$ , we have  $\varphi(q) < \psi(q)$ .*

*Proof.* We proceed by contradiction. Suppose the conclusion does not hold. Then, for every nonzero subprojection  $p_1$  of  $p$  there is a nonzero subprojection  $q \leq p_1$  such that  $\varphi(q) \geq \psi(q)$ . In particular, (letting  $p_1 = q$ ) there is a  $q \leq p$  such that  $\varphi(q) \geq \psi(q)$ . If  $(q_\alpha)$  is a chain of such nonzero projections,  $q_\alpha \rightarrow \sup q_\alpha$  in the  $\sigma$ -topology by Theorem 1, and by Lemma 4 we know this supremum is a subprojection of  $p_1$ . Since  $\varphi$  is positive and normal, and  $\psi$  is positive and  $\sigma$ -continuous, we have  $\varphi(\sup q_\alpha) \geq \psi(\sup q_\alpha)$ . Therefore, by Zorn's Lemma, there is a maximal  $q_0 \leq p$  such that  $\varphi(q_0) \geq \psi(q_0)$ . We claim  $q_0 = p$ . If not,  $p - q_0$  is a nonzero subprojection of  $p$  by Lemma 3, and there exists a nonzero projection  $q_1 \leq p - q_0$  such that  $\varphi(q_1) \geq \psi(q_1)$ . But then  $q_0$  is a proper subprojection of  $q_0 + q_1$  and by linearity and positivity  $\varphi(q_0 + q_1) \geq \psi(q_0 + q_1)$  contradicting the maximality of  $q_0$ . Thus  $p = q_0$  and so  $\varphi(p) \geq \psi(p)$ , contradicting the hypothesis  $\varphi(p) < \psi(p)$ .  $\square$

Recall that a compact Hausdorff space is **Stonean** if the closure of every open set is open.

**Lemma 8.** *Let  $K$  be a Stonean space. Then every element  $a$  in  $C(K)$  can be uniformly approximated by finite linear combinations of projections in  $C(K)$ . If  $a \geq 0$  then the coefficients of the approximating linear combinations may be chosen nonnegative.*

*Proof.*  $\square$

**Lemma 9.** *If  $p \in M$  is a projection,  $pMp$  is also a  $W^*$  algebra with identity  $p$ .*

*Proof.*  $\square$

**Lemma 10.** *If  $C$  is any maximal commutative  $C^*$ -subalgebra of the  $W^*$ -algebra  $M$ , its spectrum space (maximal ideal space) is Stonean.*

*Proof.*  $\square$

Note that the following likely contains the most crucial use of the normality of  $\varphi$  for the later proof of Theorem 14.

**Lemma 11.** *Let  $\varphi$  be a normal positive linear functional on  $M$  and consider the predicate  $P : \mathcal{P}(M) \rightarrow \text{Prop}$  defined, for  $p \in \mathcal{P}(M)$ , by “ $M \ni x \mapsto \varphi(xp)$  is  $\sigma(M, M_*)$ -continuous”. If  $(p_\alpha)$  is a chain of projections in  $M$  such that  $P(p_\alpha)$  is true for each  $\alpha$ , then  $P(\sup(p_\alpha))$  is true. Hence by Zorn's Lemma there is a maximal  $p_0 \in \mathcal{P}(M)$  such that  $P(p_0)$  is true.*

*Proof.* Let  $x$  be on the unit sphere and let  $p$  be the supremum of the  $p_\alpha$ . By Theorem 1 and Lemma 4 we know  $p_\alpha$  converges in  $\sigma$ -topology to  $p$ , and  $p$  is a projection. By Lemma 3 we know  $p - p_\alpha$  is a projection. By the  $C^*$ -property of the norm ( $\|x^*x\| = \|x\|^2$ ), Lemma 2 and the positivity of  $\varphi$  we have  $\varphi(x^*x) \leq \varphi(1)$ . Now these facts together with Cauchy-Schwartz and the monotonicity of square roots,

$$\begin{aligned} |\varphi(x(p - p_\alpha))| &\leq \varphi(x^*x)^{1/2} \varphi(p - p_\alpha)^{1/2} \\ &\leq \varphi(1)^{1/2} \varphi(p - p_\alpha)^{1/2}. \end{aligned} \tag{1}$$

Hence, by the definition of operator norm,  $\|\varphi(\cdot(p - p_\alpha))\| \leq \varphi(1)^{1/2} \varphi(p - p_\alpha)^{1/2}$ . The right hand side converges to 0 with  $\alpha$  due to the normality of  $\varphi$  and therefore  $\varphi(\cdot p_\alpha)$  converges to  $\varphi(\cdot p)$  in norm. Since the set of  $\sigma$ -continuous functionals on  $M$  is norm closed, it follows that  $\sigma(\cdot p)$  is  $\sigma$ -continuous. We obtain a maximal  $p_0$  by Zorn's Lemma.  $\square$

**Lemma 12.** *A linear functional  $\rho$  on  $M$  is  $\sigma$  continuous on the unit sphere (hence  $\sigma$ -continuous) if and only if it is  $s$ -continuous on the unit sphere (hence  $s$ -continuous).*

*Proof.*  $\square$

**Lemma 13.** *A maximal abelian  $*$ -subalgebra of a  $W^*$ -algebra  $M$  is also a  $W^*$ -algebra.*

*Proof.*  $\square$

**Theorem 14.** *Every positive normal linear functional  $\varphi$  on  $M$  is  $\sigma(M, M_*)$ -continuous.*

*Proof.* The claim is obvious for the zero functional. Let  $\varphi$  be a nonzero positive normal linear functional. By Lemma 11 we have a maximal  $p_0 \in \mathcal{P}(M)$  such that  $M \ni x \mapsto \varphi(xp_0)$  is  $\sigma(M, M_*)$ -continuous. Assume for the purposes of finding a contradiction that  $p_0 \neq 1$ . By Lemma 6 there is a  $\sigma(M, M_*)$ -continuous positive functional  $\psi$  on  $M$  such that  $\varphi(1 - p_0) < \psi(1 - p_0)$ . By Lemma 7 there is a nonzero subprojection  $p \leq 1 - p_0$  in  $M$  such that  $\varphi(q) < \psi(q)$  for every nonzero  $q \leq p$  in  $M$ . Let  $x \in pMp$  be on the unit sphere. Then  $x^*x$  is positive and hence normal, so the  $C^*$ -subalgebra of  $pMp$  generated by  $x^*x$  and  $p$  is commutative, and is hence contained in a maximal abelian  $*$ -subalgebra  $A$  of  $pMp$ . Now  $A$  is a  $W^*$ -subalgebra of  $pMp$  by Lemma 13 and hence is a maximal commutative  $C^*$ -subalgebra of  $pMp$ . Via the Gelfand Transform,  $A$  is star isomorphic to  $C(K)$ , where  $K$  is Stonean by Lemmas 9 and 10. By Lemma 8 it follows that  $\varphi(a) \leq \psi(a)$  for every  $a \geq 0$  in  $A$ , which holds a fortiori for  $a \geq 0$  in  $C^*(x^*x, p)$ . In particular,  $\varphi(px^*xp) \leq \psi(px^*xp)$ . Therefore,

$$\begin{aligned} |\varphi(x(p_0 + p))| &\leq |\varphi(xp_0)| + |\varphi(xp)| \\ &\leq |\varphi(xp_0)| + \varphi(1)^{1/2} \varphi(px^*xp)^{1/2} \\ &\leq |\varphi(xp_0)| + \varphi(1)^{1/2} \psi(px^*xp)^{1/2}. \end{aligned} \tag{2}$$

Since  $x \mapsto \varphi(xp_0)$  is  $\sigma$ -continuous, it is  $s$ -continuous by Lemma 12. The seminorm  $x \mapsto \psi(px^*xp)^{1/2}$  is a defining seminorm for the  $s$ -topology on  $M$ . It follows that  $x \mapsto \varphi(x(p_0 + p))$  is  $s$ -continuous and therefore  $\sigma$ -continuous. This contradicts the maximality of  $p_0$ , and therefore  $p_0 = 1$  and the result follows.  $\square$