# 2. Topological Space and Continuous functions

We will introduce some basic topological space. e.g. Order topology, Product topology, Subspace topology, Metric topology, (Quotient topology)

# § 12 Topological Spaces.

**Definition.** Let X be a nonempty set  $\mathcal{P}(X) = 2^X$  power set of X. We say that  $\mathscr{T} \subseteq \mathscr{P}(X)$  is a topology on X if

- (1)  $\emptyset, X \in \mathscr{T}$
- $\begin{array}{l} (2) \ U_{\alpha} \in \mathcal{T}, \ \alpha \in I \implies \bigcup_{\alpha \in I} U_{\alpha} \in \mathcal{T} \\ (3) \ U_{1}, \cdots, U_{n} \in \mathcal{T} \implies U_{1} \cap \cdots \cap U_{n} \in \mathcal{T} \end{array}$

If  $\mathscr{T}$  is a topology on X, then the pair  $(X,\mathscr{T})$  or simply X is called a topological space and members in  $\mathcal{T}$  are called open sets in X

# Example.

- (1)  $X = \{a, b, c\}$ 
  - (a) The following are topological space on X,  $\mathcal{T}_1 = \{\emptyset, X\}$ ,  $\mathscr{T}_2 = \{\emptyset, \{a\}, \{a,b\}, X\}, \mathscr{T}_3 = \mathscr{P}(X)$
  - (b) The following are not topology on X $\mathscr{A} = \{\emptyset, \{a\}, \{b\}, X\} \ (\because \{a\} \cup \{b\} = \{a, b\} \notin \mathscr{A})$  $\mathscr{B} = \{\emptyset, \{a, b\}, \{b, c\}, X\} \ (\because \{a, b\} \cap \{b, c\} = \{b\} \notin \mathscr{B})$
- (2) Any set with more than 1 element has at least two topology  $\{\emptyset, X\}$  (in discrete topology) and  $\mathscr{P}(X)$  (discrete) and former is smallest one, another is the largest one.

**<u>Definition.</u>**  $\mathscr{T}_{op} = \{ \mathscr{T} \mid \mathscr{T} \text{ is a topology on } X \} \mathscr{T}_1 \leq \mathscr{T}_2 \Leftrightarrow \mathscr{T}_1 \subseteq \mathscr{T}_2$  $\overline{Claim}$  "  $\leq$ " is a partial ordering on  $\mathscr{T}_{op}$ 

- \* Reflexive:  $\forall \mathcal{T} \in \mathcal{T}_{op}, \ \mathcal{T} \leq \mathcal{T}$ \* Anti-symmetry:  $\forall \mathcal{T}_1, \mathcal{T}_2 \in \mathcal{T}_{op}, \ \mathcal{T}_1 \leq \mathcal{T}_2 \ and \ \mathcal{T}_2 \leq \mathcal{T}_1 \implies$
- $\star$  Transitive:  $\forall \mathcal{T}_1, \mathcal{T}_2, \mathcal{T}_3 \in \mathcal{T}_{op}, \mathcal{T}_1 \leq \mathcal{T}_2 \text{ and } \mathcal{T}_2 \leq \mathcal{T}_3 \implies$

**Example.** Let X be a set,  $\mathscr{T}_f = \{U \subseteq X, U = \emptyset \text{ or } X - U \text{ is finite } \}$ Then  $\mathcal{T}_f$  is a topology on X, called the "finite complement topology" on X

Proof.

- (1)  $\emptyset, X \in \mathscr{T}_f (:: X X = \emptyset)$
- (2)  $U_{\alpha} \in \mathscr{T}_f, \ \alpha \in I$ If  $\bigcup_{\alpha \in I} U_{\alpha} = \emptyset$ , then  $\bigcup_{\alpha \in I} U_{\alpha} \in \mathscr{T}_f$ . If  $U_{\alpha \in I}U_{\alpha} \neq \emptyset$ , then  $\exists \alpha_0 \in I \ni U_{\alpha_0} \neq \emptyset$  and  $X - U_{\alpha}$  is finite

$$X - \bigcup_{\alpha \in I} U_{\alpha} = \bigcap_{\alpha \in I} (X - U_{\alpha}) \subseteq X - U_{\alpha_0} \implies X - (\bigcup_{\alpha \in I} U_{\alpha})$$
 is finte  $\Longrightarrow \bigcup_{\alpha \in I} U_{\alpha} \in \mathscr{T}_f$ 

(3)  $U_1, \dots, U_n \in \mathscr{T}_f$ If  $U_1 \cap \dots \cap U_n = \emptyset$ , then  $U_1 \cap \dots \cap U_n \in \mathscr{T}_f$ If  $U_1 \cap \dots \cap U_n \neq \emptyset$ , then  $X - (U_1 \cap \dots \cap U_n) = (X - U_1) \cap \dots \cup (X - U_n)$  is finite since each  $X - U_i$  is finite. Thus  $U_1 \cap \dots \cap U_n \in \mathscr{T}_f$ 

From (1)(2)(3),  $\mathscr{T}_f$  is a topology on X.

**Remark.** If X is a finite set, then  $\mathscr{T}_f$  is the discrete topology on X

**Example.** Let X be a set and  $\mathscr{T}_c = \{U \subseteq X \mid U = \emptyset \text{ or } X - U \text{ is countable } \}$ . Then as in example above,  $\mathscr{T}_c$  is a topology on X, called the countable complement topology on X. Moreover, if X is countable, then  $\mathscr{T}_c$  is just a discrete topology on X

**<u>Definition.</u>** Let  $\mathscr{T}$  and  $\mathscr{T}'$  be two topologies on X. We say that  $\mathscr{T}'$  is (strictly) finer then  $\mathscr{T}$  or  $\mathscr{T}$  is (strictly) coaser that  $\mathscr{T}'$  if  $\mathscr{T} \leq \mathscr{T}'(\mathscr{T} < \mathscr{T}')$ , i.e.  $\mathscr{T} \subseteq \mathscr{T}'(\mathscr{T} \subsetneq \mathscr{T}')$ 

#### Remark.

- (1) Two topologies on X need not be comparable
- (2) Other terminology, if  $\mathcal{T}' \supset T$ ,  $\mathcal{T}'$  is larger(stronger) than  $\mathcal{T}$  and  $\mathcal{T}$  is smaller(weaker) than  $\mathcal{T}$

### § 13 Bases for a topology.

**<u>Definition.</u>** Let X be a set. A base for a topology on X is a collection  $\mathscr{B} \subseteq \mathscr{P}(X)$  satisfying

- (1)  $U\mathscr{B} = X \left( \bigcup \mathscr{B} = \bigcup_{B \in \mathscr{B}} B \right)$
- (2) Given  $B_1, B_2 \in \mathscr{B}$  and  $x \in B_1 \cap B_2 \exists B_3 \in \mathscr{B} \ni x \in B_3 \subseteq B_1 \cap B_2$

Members in  $\mathscr{B}$  are called basic open sets in X

Given a base  $\mathcal{B}$  for a topology on X, we can define the smallest topology  $\mathcal{T}$  on X containing  $\mathcal{B}$  called the topology on X generated by  $\mathcal{B}$ .

Usually, there are two ways to describe it

- (I)  $\mathscr{T} = \{U \subseteq X, \forall x \in U \exists B \in \mathscr{B} \ni x \in B \subseteq U\}$ . Clearly,  $\mathscr{B} \subseteq \mathscr{T}$
- (a)  $\emptyset, X \in \mathscr{T}$ (by the definition of bases (1))
- (b)  $U_{\alpha} \in \mathscr{T}, \ \alpha \in I \Longrightarrow \bigcup_{\alpha \in I} U_{\alpha} \in \mathscr{T}. \text{ Given } x \in \bigcup_{\alpha \in I} U_{\alpha}, x \in U_{\alpha_0}$  for some  $\alpha_0 \in I, \ \exists B \in \mathscr{B} \ni x \in B \subseteq U_{\alpha_0} \subseteq \bigcup_{\alpha \in I} U_{\alpha}$
- (c)  $U_1, \dots, U_n \in \mathscr{T} \implies U_1 \cap \dots \cap U_n \in \mathscr{T}$ . By induction on n, we only prove n = 2. Given  $x \in U_1 \cap U_2$ ,  $x \in U_1$  and  $x \in U_2$   $\implies \exists B_1, B_2 \in \mathscr{B} \ni x \in B_1 \subseteq U_1$  and X in  $\mathscr{B}_2 \subseteq U_2 \implies$

 $x \in B_1 \cap B_2 \subseteq U_1 \cap U_2 \implies \exists B_3 \in \mathscr{B} \ni x \in B_3 \subseteq B_1 \cap B_2 \subseteq U_1 \cap U_2 \implies U_1 \cap U_2 \in \mathscr{T}$ 

(II)  $\mathscr{T}' = \{ \bigcup \mathscr{A} \mid \mathscr{A} \subseteq \mathscr{B} \} = \{ \bigcup_{\alpha \in I} A_\alpha \mid A_\alpha \in \mathscr{B} \}$ 

Clearly,  $\mathscr{B} \subseteq \mathscr{T}'$  (only choose one element in  $\mathscr{B}$ )

- (a)  $\emptyset, X \in \mathcal{T}'(\text{trivial})$
- (b)  $U_{\alpha} \in \mathcal{T}', \ \alpha \in I \Longrightarrow \bigcup_{\alpha \in I} U_{\alpha} \in \mathcal{T}'$  $\forall \alpha \in I, U_{\alpha} = \bigcup_{\beta \in I_{\alpha}} A_{\beta}. \text{ Then } \bigcup_{\alpha \in I} U_{\alpha} = \bigcup_{\alpha \in I} \bigcup_{\beta \in I_{\alpha}} A_{\beta} \Longrightarrow \bigcup_{\alpha \in I} U_{\alpha} \in \mathcal{T}'$
- (c)  $U_1, \dots, U_n \in \mathcal{T}' \implies U_1 \cap \dots \cap U_n \in \mathcal{T}'$ . By induction on n, we only to prove that n = 2. For  $i = 1, 2, \dots, U_i = \bigcup_{\alpha \in I_j} A_{\alpha}$ .  $U_1 \cap U_2 = \bigcup_{\alpha \in I_2} (A_{\beta}^1 \cap A_{\alpha}^2)$ .  $\forall x \in U_1 \cap U_2, \ x \in A_{\beta}' \cap A_{\alpha}^2 \implies U_1 \cap U_2 = \bigcup_{x \in U_1 \cap U_2} B_X \in \mathcal{T}'$
- (III)  $\mathscr{T} = \mathscr{T}'$
- $(\subseteq) \text{ Given } U \in \mathscr{T}, \ \forall x \in U, \ \exists B_x \in \mathscr{B} \ni x \in B_x \subseteq U \implies U = \bigcup_{x \in U} B_x \in \mathscr{T}'$
- ( $\supseteq$ ) Given  $U \in \mathscr{T}'$   $U = \bigcup_{\alpha \in I} A_{\alpha}, A_{\alpha} \in \mathscr{B}$   $\forall x \in U, x \in A_{\alpha} \text{ for some } \alpha \in I \text{ and } A_{\alpha} \in \mathscr{B}, \text{ i.e. } X \in A_{\alpha} \in U \text{ and } A_{\alpha} \in \mathscr{B} \implies U \in \mathscr{T}. \text{ Hence } \mathscr{T} = \mathscr{T}'$

# Example.

- (1) Let  $\mathscr{B}$  be the collection of all open balls in  $\mathbb{R}^n$ . Then  $\mathscr{B}$  is a base for a topology on  $\mathbb{R}^n$ , namely, then Euclidean topology on  $\mathbb{R}^n$
- (2) Let  $\mathscr{B}'$  be the collection of all n-dimentional open intervals in  $\mathbb{R}$ . Then  $\mathscr{B}'$  is a base for a topology on  $\mathbb{R}^n$ . In fact,  $\beta$  and  $\beta'$  generate the same topology on  $\mathbb{R}^n$

**Lemma.** Let X be a set, let  $\mathscr{B}$  be a basis for a topology  $\mathscr{T}$  on X.  $\mathscr{T}$  equals the collection if all unions of elements of  $\mathscr{B}$ .

**Lemma.** Let X be a topological space and  $\mathscr{C}$  be a collection of open sets of  $X \ni \forall$  open set U in X and  $\forall x \in U \exists C \in \mathscr{C} \ni x \in C \subseteq U$ . Then  $\mathscr{C}$  is a base for the topology of X.

- Proof. (1)  $\bigcup \mathscr{C} = X$ Since X is open  $\forall x \in X$ ,  $\exists C_x \in \mathscr{C} \ni x \in C_x \subseteq X \implies x \in []\mathscr{C} \implies X = []\mathscr{C}$ 
  - (2) Given  $C_1, C_2 \in \mathscr{C}$  and  $x \in C_1 \cap C_2$ . Since  $C_1 \cap C_2$  is open,  $\exists C \in \mathscr{C} \ni x \in C \subseteq C_1 \cap C_2, \therefore \mathscr{C}$  is a base for a topology of X

**Remark.** Let  $\mathscr{T}$  be the original topology on X and  $\mathscr{T}'$  be the topology generated by  $\mathscr{C}$ . Then  $\mathscr{T}=\mathscr{T}'$ 

Proof.

- $(\subseteq) \text{ Given } U \in \mathscr{T}, \, \forall x \in U \exists C \in \mathscr{C} \ni x \in C \subseteq U \implies U \in \mathscr{T}'$
- ( $\supseteq$ ) Given  $v \in \mathcal{T}'$ , by lemma,  $V = \bigcup \mathscr{A}$  for some  $A \subseteq \mathscr{C}$ . Since  $\mathscr{C} \subseteq \mathscr{T}$ ,  $\mathscr{A} \subseteq \mathscr{T}$ ,  $\therefore V = \bigcup \mathscr{A} \in \mathscr{T}$

**Lemma.** Let  $\mathcal{B}$  and  $\mathcal{B}'$  be bases for the topology  $\mathcal{T}$  and  $\mathcal{T}'$  on X respective TFAE

- (1)  $\mathscr{T}$  is finer that  $\mathscr{T}$  i.e.  $\mathscr{T} \subseteq \mathscr{T}'$
- (2)  $\forall x \in X \text{ and } B \in \mathcal{B} \text{ with } x \in B, \exists B' \in \mathcal{B} \ni x \in B' \subseteq B$

Proof.

- (a)  $\Rightarrow$  (b) Suppose  $\mathscr{T} \subseteq \mathscr{T}'$ . Given  $x \in X$  and  $B \in \mathscr{B}$  with  $x \in B$ . Since  $\mathscr{T} \subset \mathscr{T}'$ ,  $B \in \mathscr{T}, \exists B' \in \mathscr{B} \ni x \in B' \subseteq B$
- $(b) \Rightarrow (a)$  Suppose (b) holds. Given  $U \in \mathcal{T}$ ,  $\forall x \in U$ ,  $\exists B_x \in \mathcal{B} \ni x \in B_x \subseteq U$ . By (b),  $\exists B_x' \in \mathcal{B} \ni x \in B_x' \subseteq B_x \subseteq U \implies U \in \mathcal{T}'$

**Example.** In  $\S 13$ , example 1,2

 $\mathcal{B}:$  all open balls in  $\mathbb{R}^n$  for a topology on  $\mathbb{R}^n$ 

 $\mathscr{B}'$ : all open intervals in  $\mathbb{R}^n$  for a topology on  $\mathbb{R}^n$ 

By lemma above, they generate the same Euclidean topology on  $\mathbb{R}^n$  We now define 3 topologies on the real line  $\mathbb{R}$ 

#### <u>Definition</u>.

- (1)  $\mathscr{B} = \{(a,b) \mid -\infty < a < b < \infty\}$ : the collection of all open intervals in  $\mathbb{R}$  which is the base for the usual topology on  $\mathbb{R}$
- (2)  $\mathscr{B}' = \{[a,b) \mid -\infty < a < b < \infty\}$  the collection of all closed-open interval in  $\mathbb{R}$ , which is also a base for a topology of  $\mathbb{R}$  called the lower limit topology on  $\mathbb{R}$ . We denote it by  $\mathbb{R}_l$
- (3) Let  $K = \{\frac{1}{n} \mid n \in \mathbb{N}\}$  and  $\mathscr{B}'' = \{B \subseteq \mathbb{R} \mid B = (a, b) \text{ or } B = (a, b) K \text{ for } -\infty < a < b < \infty\}$ . Claim:  $\mathscr{B}'$  is a base for a topology on  $\mathscr{T}$ 
  - $\star$  Clearly,  $U\mathscr{B}'' = \mathbb{R}$
  - $\star$  Given  $B_1, B_2 \in \mathscr{B}''$  and  $x \in B_1 \cap B_2$ . We have 4 cases:
    - (i)  $B_1$  and  $B_2$  are open intervals which is clearly.
    - (ii)  $B_1 = (a, b)$  and  $B_2 = (c, d) K$ . Let  $\alpha = \max\{a, c\}$  and  $\beta = \min\{b, c\}$ .  $x \in (\alpha, \beta) K \subseteq B_1 \cap B_2$  and  $(\alpha, \beta) K \in \mathcal{B}''$
    - (iii) (3)(4) similarly

The topology on  $\mathbb{R}$  generated by B' is called the K-topology on  $\mathbb{R}$  and denoted  $\mathbb{R}_k$ 

**Lemma.** The topologies of  $\mathbb{R}_l$  and  $\mathbb{R}_k$  are strictly finer than the Euclidean topology of  $\mathbb{R}$  but are not comparable with one another

*Proof.* Let  $\mathcal{T}, \mathcal{T}'$  and  $\mathcal{T}''$  be the topologies of  $\mathbb{R}, \mathbb{R}_l, \mathbb{R}_k$  generated by  $\mathcal{B}, \mathcal{B}', \mathcal{B}''$  respectly. We use lemma above to prove it.

- \*  $\mathscr{T} \subsetneq \mathscr{T}'$  Given  $(a,b) \in \mathscr{B}$  and  $x \in (a,b)$ . We have  $[x,b) \in \mathscr{B}'$  with  $x \in [x,b) \subseteq (a,b)$ . By lemma,  $\mathscr{T} \subseteq \mathscr{T}'$ ,  $\forall a < b, \ [a,b) \in \mathscr{B}'$  so  $[a,b) \in \mathscr{T}'$ , but  $[a,b)' \notin \mathscr{T}$
- \* Clearly,  $\mathscr{T} \subseteq \mathscr{T}''$  by  $\mathscr{B} \subseteq \mathscr{B}''$ . Moreover  $B'' = (-1,1) K \in \mathscr{B}''$ , so  $B'' \in \mathscr{T}''$  but  $B'' \notin \mathscr{T}$ .
- \*  $\mathscr{T}'$  and  $\mathscr{T}''$  are not comparable  $(-1,1)-K\in\mathscr{T}''$ , but  $(-1,1)-K\notin\mathscr{T}'(::$  not  $[0,c)\in\mathscr{B}'\ni 0\in[0,c)\subseteq(-1,1)-K)$ .  $[0,1)\in\mathscr{T}$  but no  $\mathscr{B}''\in\mathscr{B}''\ni 0\in B''\subseteq[0,1)$

**<u>Definition.</u>** A subbase  $\mathscr{S}$  for a topology on X is a collection of subsets of X with  $\bigcup \mathscr{S} = X$  and elements in  $\mathscr{S}$  are calle subbasic open sets in X

Given subbase on X

$$\mathscr{B} = \{S_1 \cap \cdots \cap S_k, k \in \mathbb{N}, S_1, \cdots, S_k \in S\}$$

Claim  $\mathscr{B}$  is a base for a topology on X

**<u>Definition.</u>** The topology on X generated by a subbase  $\mathscr S$  is defined to be the topology generated by the base  $\mathscr B$ .

The Order Topology. (which provides many counterexample in topology)

<u>Definition</u>. A relation C on a set is called an "order relation" (or a simple order) if it satisfies

- (1) Comparable:  $\forall x \neq y \text{ in } X \text{ either } xCy \text{ or } yCx$
- (2) Non-reflexivity: no xCx
- (3) Transitivity: xCy and  $yCz \implies xCz$

Given a simple order set (X, <) and  $a, b \in X$  with a < b (Note:  $a \le b$  means a < b or a = b). We can define:

$$(a,b) = \{x \in X \mid a < x < b\}$$
 open interval

$$(a, b] = \{x \in X \mid a < x \le b\}$$
 open interval

$$[a,b) = \{x \in X \mid a \le x < b\}$$
 open interval

$$[a,b] = \{x \in X \mid a \le x \le b\}$$
 open interval

We assume that  $|X| \geq 2$ . Let  $\mathscr{B}$  be the collection of all subsets of the following types

(1) All open intervals (a, b) in X

- (2) All intervals of the forms  $[a_0, b)$  where  $a_0$  is the smallest elements of X
- (3) All intervals of the forms  $(a, b_0]$  where  $b_0$  is the largest elements of X

<u>Definition</u>. The topology generated by  $\mathcal{B}$  is called the order topology on X

# Example.

- (1) If X is an order set and  $T \subseteq X$ , then so is Y
- (2) In  $\mathbb{R}$  we give the usually ordering and the order topology on  $\mathbb{R}$  is the usual topology on  $\mathbb{R}$
- (3) In  $\mathbb{R}^* = \mathbb{R} \cup \{-\infty, \infty\}$  with the usual ordering is an order set.
- (4) In  $\mathbb{R} \times \mathbb{R}$  with the dictionary order is an order set whose basis for the order topology is of the form
- (5)  $\mathbb{N}$  with the usual ordering is an order set with the smallest element 1. What is the order topology?
  - ★  $[1,b): b \in \mathbb{N}$  and (a,b), a < b. In particular,  $\{1\} = [1,2)$  and  $\{n\} = (n-1,n+1), n > 1$  are basic open sets in  $\mathbb{N}$   $\therefore$  the order topology on  $\mathbb{N}$  is the discrete topology on  $\mathbb{N}$
- (6) The set  $X = \{1, 2\} \times \mathbb{N} = \{1 \times n\}_{n=1}^{\infty} = a_n \cup b_n = \{2 \times n\}_{n=1}^{\infty}$  in the dictionary order with the smallest element  $1 \times 1$ . The order topology on X is not discrete topology on X

$$X: a_1, a_2, \dots, b_1, b_2, \dots, a_i < a_{i+1}, b_j < b_j + 1, a_i < b_j$$

$$\star \{a_1\} = [a_1, a_2)$$

$$\star \{a\}n\} = (a_{n-1}, a_{n+1}), n \ge 2$$

$$\star \{b_n\} = (b_{n-1}, b_{n+1}), n \ge 2$$

But  $\{b_1\}$  is not open,  $b_1$  is not the smallest elements any basic open set in the order topology containing  $b_1$  must of the form  $a_l, b_j$  for some  $l \geq 1$  and j > 1

<u>Definition</u>. Let X be an ordered set and  $a \in X$ . We define the rays determine by a

$$\star (a, \infty) = \{ x \in X \mid x > a \}$$

$$\star \ (-\infty, a) = \{ x \in X \mid x < a \}$$

$$\star (a, \infty) = \{x \in X \mid x \ge a\}$$

$$\star \ (\infty, a] = \{ x \in X \mid x \le a \}$$

Some facts:

(1) open rays in X are open in the order topology of X. In fact,  $(a, \infty) = (a, b_0]$  if X has the largest element which is a basic open set in the order topology of X. If X has no largest element, then  $(a, \infty) = \bigcup_{a < x} (a, x)$  which is open in the order topology of X

- (2) closed rays is close
- (3) The order topology of X is contained in the topology on X generated by open rays in X.  $\therefore$   $(a,b) = (a,\infty) \cap (-\infty,b)$ . If X has the smallest element  $a_0$ ,  $[a_0,b) = (-\infty,b)$  If X has the largest element  $b_0$ ,  $(a,b_0] = (a,\infty)$