# ECE459 Lab 2

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# 1 Common Logic

The message passing and shared memory solutions share common logic. Both solutions' high-level control flow is listed below.

**Table 1. Common control flow** 

	Table 1. Common control now
Step	Description
1	The main thread parses command line arguments to determine the JWT token's message and signature.
2	A pool of worker threads is started. The main thread generates all possible secrets and uses some communication mechanism to assign these candidate secrets to the workers.
	In the message passing solution, the communication mechanism is a bounded Crossbeam channel. In the shared memory solution, it is a bounded queue protected by two semaphores and a mutex.
3	Workers check each of the candidate secrets provided to them by the main thread. If the answer is found, the answer is communicated to the main thread.
	In the message passing solution, the answer is communicated using a bounded Crossbeam channel of size one. In the shared memory solution, an atomic boolean (an answer has been found) and a mutex-protected <code>Option<string></string></code> (what the answer is) are used.
4	The main thread stops producing new candidate secrets and indicates to the workers that they should finish.
	In both solutions, the master does this by sending a special value through the same mechanism that was used to communicate candidate secrets. Workers which receive this special value know they should return.
5	The main thread joins all worker threads and then prints the answer which was found, or an error message if none was found.

#### 1.1 Candidate secret generation

The provided sample code performed generated candidate secrets using a recursive depth-first search, where a candidate secret is the direct parent of any others which extend it by one character, e.g. "a" is the parent of "aa", "ab", "ac" and so on.

Both final solutions choose to do this iteratively instead - an iterative depth-first search avoids the stack frame creation and teardown which is necessary for each recursive call, noticeably speeding up candidate secret generation.

```
fn generate secrets(alphabet: &[u8],
                    max len: usize,
                    /* Channel or shared queue */) {
   /* Candidate secrets sent in batches to reduce communication overhead */
   const BATCH SIZE: usize = 1 << 5;</pre>
   let mut batch = Vec::<Vec<u8>>::new();
   /* Depth-first search stack */
   let mut stack = vec! [Vec::<u8>::new()];
   while stack.len() > 0 {
       if !res recv end.is empty(/* Answer found by any worker */) {
           return;
       }
       /* Current "node" */
       let secret = stack.pop().unwrap();
       batch.push(secret.clone());
       if batch.len() == BATCH SIZE {
           /* Send batch into channel or shared queue, then reset batch */
           batch = Vec::<Vec<u8>>::new();
       }
       /* Push "children" onto stack if we haven't reached the max length */
       if secret.len() < max len {</pre>
           for c in alphabet {
               let mut next sec = secret.clone();
               next sec.push(*c);
               stack.push(next sec);
       }
   }
   if batch.len() > 0 {
       /* Send batch into channel or shared queue */
   }
}
```

Note that candidate secrets are sent in batches of size 32 into the channel or shared queue - this reduces communication overhead while still allowing work to be distributed relatively evenly between workers.

Furthermore, this function returns as soon as any worker signals that it found an answer.

#### 1.2 Starting worker threads and joining them

After parsing command line arguments, the main thread creates one worker thread for each available virtual CPU - having more isn't expected to be helpful since this task is CPU-intensive.

```
let num workers = num cpus::get();
```

The worker threads are then started and their join handles collected.

Secret generation is initiated - as per step 4, this returns if a worker signals that an answer has been found. Regardless of whether an answer was found, the main thread sends a special value to each worker telling it to return - all worker threads are joined.

Note that in the case where an answer is found, the main thread does not need to wait for the entire solution space to be searched - rather, it just needs to wait until the threads deque and process whatever candidate secrets are currently in the bounded channel/queue.

```
/* Either way, tell all workers to stop */
for _ in 0..num_workers {
    /* Send special values indicating workers should return */
}

/* Join workers */
for w in workers {
    w.join().unwrap();
}
```

Finally, the main thread prints the answer (or not, if one wasn't found).

```
if /* Check for answer */ {
    println!("No answer found");
} else {
    let answer = /* Obtain answer as Vec<u8> */
    println!("{}", std::str::from_utf8(&answer).unwrap());
}
```

### 2 Message Passing

In the message passing solution, one channel is created to send candidate secrets to the workers and another is used by a worker to signal that it found an answer.

```
/* Let buffer capacity be a multiple of number of workers */
let buffer_capacity = num_workers * 4;

/* Channel for sending secrets from the main thread to workers */
let (sec_send_end, sec_recv_end) =
bounded::<Option<Vec<Vec<u8>>>> (buffer_capacity);

/* Channel for a worker to signal that it has found the answer */
let (res send end, res recv end) = bounded::<Vec<u8>>> (1);
```

The main thread sends candidate secrets into the secret channel.

```
/* Called from function generate_secrets) */
sec send end.send(Some(batch)).unwrap();
```

Worker threads repeatedly receive from the secret channel until an answer is found, or the main thread indicates workers should return.

```
/* Start a worker */
thread::spawn(move || {
    loop {
        let batch = match sec recv end.recv().unwrap() {
           Some(batch) => batch,
            /* Main thread wants workers to return */
            None => return
        };
        for secret in batch {
            if is secret valid(&message, &signature, &secret) {
                /* Signal that the answer has been found */
                res send end.try send(secret).unwrap();
                return;
            }
      }
   }
})
```

Once secret generation returns, the main thread sends *None* values to tell worker threads they should return, and then joins them (join loop omitted since it was shown in section 1.2).

```
for _ in 0..num_workers {
    sec_send_end.send(None).unwrap();
}
```

Finally, the main thread checks the channel of size 1 to see if an answer was found.

```
/* Check for answer */
if res_recv_end.is_empty() {
    println!("No answer found");
} else {
    let answer = res_recv_end.recv().unwrap();
    println!("{}", std::str::from_utf8(&answer).unwrap());
}
```

### 3 Shared Memory

In the shared memory solution, a bounded shared queue is used instead of a channel to communicate secrets.

```
#[derive(Clone)]
struct SharedBuffer {
   buffer: Arc<Mutex<VecDeque<Option<Vec<Vec<u8>>>>>,
    items: Arc<Semaphore>,
    spaces: Arc<Semaphore>
}
```

The shared queue is protected by two semaphores and a mutex as shown in lecture 11 - one semaphore indicates the number of items, another indicates the number of spaces, and the mutex serializes concurrent queue push/pop operations.

```
fn push(&self, x: Option<Vec<Vec<u8>>>) {
   let permit = block on(self.spaces.acquire());
       let mut buffer = self.buffer.lock().unwrap();
       buffer.push back(x);
   self.items.add permits(1);
  permit.forget();
}
fn pop(&self) -> Option<Vec<Vec<u8>>> {
  let permit = block on(self.items.acquire());
  let x = \{
       let mut buffer = self.buffer.lock().unwrap();
       buffer.pop front().unwrap()
   };
   self.spaces.add permits(1);
  permit.forget();
  return x;
```

In addition to a shared buffer for candidate secrets, an atomic bool is used by a worker to tell the main thread that an answer has been found. The answer itself is communicated through a mutex-protected <code>option<String></code>.

```
let buffer_capacity = num_workers * 4;
let shared_buffer = SharedBuffer::new(buffer_capacity);
let is_answer_found = Arc::new(AtomicBool::new(false));
let answer = Arc::new(Mutex::<Option<String>>::new(None));
```

Worker threads repeated pop from the shared queue until an answer is found, or the main thread indicates they should return.

The main thread stops generating secrets as soon as the atomic bool is enabled (join loop omitted). It then tells the workers to return by pushing None values.

```
for _ in 0..num_workers {
    shared_buffer.push(None);
}
```

Finally, the main thread checks for an answer stored in the mutex-protected Option<String>.

```
{
    match answer.lock().unwrap().deref() {
        Some(answer) => println!("{}", answer),
        None => println!("No answer found")
    };
}
```

# 4 Output Correctness

The following test cases were run. Both solutions found the correct answer for each one, provided the maximum length was long enough - an error was reported if the maximum length was less than the secret's length.

Table 2. Test cases

Test Case	JWT Token	Secret
1	eyJ0eXAiOiJKV1QiLCJhbGciOiJIUzl1NiJ9.eyJpc3 MiOiJPbmxpbmUgSldUIEJ1aWxkZXliLCJpYXQiO jE1OTQxNjE5NjIsImV4cCl6MTYyNTY5Nzk2Miwi YXVkljoid3d3LmV4YW1wbGUuY29tliwic3Viljoian JvY2tldEBleGFtcGxlLmNvbSlslkdpdmVuTmFtZSI 6lkpvaG5ueSlsllN1cm5hbWUiOiJSb2NrZXQiLCJ FbWFpbCl6Impyb2NrZXRAZXhhbXBsZS5jb20iL CJSb2xlljpblk1hbmFnZXliLCJQcm9qZWN0IEFkb WluaXN0cmF0b3liXX0.dOqyg4rFU_QoSdKqOsm OXIVrTgygsT2AzDHL8gMZ17E	a
2	eyJ0eXAiOiJKV1QiLCJhbGciOiJIUzl1NiJ9.eyJpc3 MiOiJPbmxpbmUgSldUIEJ1aWxkZXliLCJpYXQiO jE1OTQxNjE5NjIsImV4cCl6MTYyNTY5Nzk2Miwi YXVkljoid3d3LmV4YW1wbGUuY29tliwic3Viljoian JvY2tldEBleGFtcGxlLmNvbSIsIkdpdmVuTmFtZSI 6lkpvaG5ueSIsIIN1cm5hbWUiOiJSb2NrZXQiLCJ FbWFpbCl6Impyb2NrZXRAZXhhbXBsZS5jb20iL CJSb2xIljpblk1hbmFnZXliLCJQcm9qZWN0IEFkb WluaXN0cmF0b3liXX0.KpPBh8EWP0D965dNUs cAnc2Q0Vb1-WLWegk_acd6rZI	aaa
3	eyJ0eXAiOiJKV1QiLCJhbGciOiJIUzl1NiJ9.eyJpc3 MiOiJPbmxpbmUgSldUIEJ1aWxkZXliLCJpYXQiO jE1OTQxNjE5NjlsImV4cCl6MTYyNTY5Nzk2Miwi YXVkljoid3d3LmV4YW1wbGUuY29tliwic3Viljoian JvY2tldEBleGFtcGxlLmNvbSlslkdpdmVuTmFtZSI 6lkpvaG5ueSlsllN1cm5hbWUiOiJSb2NrZXQiLCJ FbWFpbCl6Impyb2NrZXRAZXhhbXBsZS5jb20iL CJSb2xlljpblk1hbmFnZXliLCJQcm9qZWN0IEFkb WluaXN0cmF0b3liXX0.PcrNM34a2vyKzJDnpi0h7 92zNuPchSKf4M577nhHnWE	05re
4	eyJhbGciOiJIUzI1NilsInR5cCl6lkpXVCJ9.eyJzdW liOilxMjM0NTY3ODkwliwibmFtZSl6lkpvaG4gRG9 lliwiaWF0ljoxNTE2MjM5MDlyfQ.shNrMqeoWA3L a5bOmJ9rzGtX8rh4M9fR93HVbE3JQTA	0123

#### 5 Memory Leaks and Data Races

No memory leaks (i.e. not freed and pointer lost) are reported by Valgrind for any solution and test case.

Helgrind reported possible data races for both solutions related to code in the sha2 crate used for secret checking. Specifically, when atomics are used.

```
==26158== Possible data race during read of size 1 at 0x3CF010 by thread #3
==26158== Locks held: none
==26158== at 0x15FDB6: core::sync::atomic::atomic load (atomic.rs:2355)
==26158== by 0x15FEDF: core::sync::atomic::AtomicU8::load (atomic.rs:1486)
==26158== by 0x15ECA6: cpuid bool::LazyBool::unsync init (lib.rs:42)
==26158== by 0x15ADB1: sha2::sha256::x86::compress (x86.rs:101)
==26158== by 0x155040: sha2::sha256::compress256 (sha256.rs:168)
==26158== by 0x154C5C: sha2::sha256::Engine256::update::{{closure}} (sha256.rs:33)
==26158== by 0x15E7FF: block buffer::BlockBuffer<BlockSize>::input blocks (lib.rs:81)
==26158== by 0x154C0D: sha2::sha256::Engine256::update (sha256.rs:33)
==26158== by 0x11FC91: <sha2::sha256::Sha256 as digest::Update>::update (sha256.rs:70)
==26158== by 0x12176D: <hmac::Hmac<D> as crypto mac::NewMac>::new varkey (lib.rs:157)
==26158== by 0x125EC0: shared mem::is secret valid (shared-mem.rs:25)
==26158== by 0x133B46: shared mem::start consumers::{{closure}} (shared-mem.rs:118)
==26158==
==26158== This conflicts with a previous write of size 1 by thread #5
==26158== Locks held: none
==26158== at 0x15FE71: core::sync::atomic::atomic store (atomic.rs:2341)
==26158== by 0x15FF2E: core::sync::atomic::AtomicU8::store (atomic.rs:1514)
==26158== by 0x15ECF0: cpuid bool::LazyBool::unsync init (lib.rs:45)
==26158== by 0x15ADB1: sha2::sha256::x86::compress (x86.rs:101)
==26158== by 0x155040: sha2::sha256::compress256 (sha256.rs:168)
==26158== by 0x154C5C: sha2::sha256::Engine256::update::{{closure}} (sha256.rs:33)
==26158== by 0x15E7FF: block_buffer::BlockBuffer<BlockSize>::input_blocks (lib.rs:81)
==26158== by 0x154C0D: sha2::sha256::Engine256::update (sha256.rs:33)
==26158== Address 0x3cf010 is 0 bytes inside data symbol
" ZN4sha26sha2563x868compress10CPUID_BOOL17h13e8f71950edaca4E"
```

It also complained about tokio's block\_on function which I use to acquire semaphores. Again, atomics seem to be the issue.

```
==26158== Locks held: none
==26158== at 0x15FE64: core::sync::atomic::atomic_store (atomic.rs:2340)
==26158== by 0x12F454: core::sync::atomic::AtomicBool::store (atomic.rs:414)
==26158== by 0x12C9EE: futures executor::local pool::run executor::{{closure}} (local pool.rs:100)
==26158== by 0x1169C3: std::thread::local::LocalKey<T>::try_with (local.rs:272)
==26158== by 0x1168C2: std::thread::local::LocalKey<T>::with (local.rs:248)
==26158== by 0x12C835: futures_executor::local_pool::run_executor (local_pool.rs:83)
==26158== by 0x12CA70: futures_executor::local_pool::block_on (local_pool.rs:317)
==26158== by 0x12631A: shared mem::SharedBuffer::pop (shared-mem.rs:57)
==26158== by 0x13388B: shared_mem::start_consumers::{{closure}} (shared-mem.rs:113)
==26158== by 0x11EE31: std::sys common::backtrace:: rust begin short backtrace
(backtrace.rs:125)
==26158== by 0x12F04F: std::thread::Builder::spawn_unchecked::{{closure}}::{{closure}} (mod.rs:474)
==26158== by 0x115A6F: <std::panic::AssertUnwindSafe<F> as
core::ops::function::FnOnce<()>>::call_once (panic.rs:322)
==26158==
==26158== This conflicts with a previous read of size 1 by thread #1
==26158== Locks held: none
==26158== at 0x16863A: core::sync::atomic::atomic swap (atomic.rs:2372)
==26158== by 0x1684C4: core::sync::atomic::AtomicBool::swap (atomic.rs:443)
==26158== by 0x1688DA: <futures executor::local pool::ThreadNotify as
futures task::arc wake::ArcWake>::wake by ref (local pool.rs:63)
==26158== by 0x168863: futures task::arc wake::ArcWake::wake (arc_wake.rs:32)
==26158== by 0x16AAF1: futures task::waker::wake arc raw (waker.rs:49)
==26158== by 0x14A8FA: core::task::wake::Waker::wake (wake.rs:218)
==26158== by 0x14AF0F: core::ops::function::FnMut::call mut (function.rs:150)
==26158== by 0x147C8C: core::iter::traits::iterator::Iterator::for_each::call::{{closure}} (iterator.rs:675)
==26158== Address 0x5e16ab8 is 24 bytes inside a block of size 32 alloc'd
==26158== at 0x4C30F2F: malloc (in /usr/lib/valgrind/vgpreload helgrind-amd64-linux.so)
==26158== by 0x16933B: alloc::alloc::alloc (alloc.rs:84)
==26158== by 0x1693F9; alloc::alloc::Global::alloc impl (alloc.rs:164)
==26158== by 0x169679: <alloc::Global as core::alloc::AllocRef>::alloc (alloc.rs:224)
==26158== by 0x16929C: alloc::alloc::exchange malloc (alloc.rs:314)
==26158== by 0x168A97: alloc::sync::Arc<T>::new (sync.rs:330)
==26158== by 0x168968: futures_executor::local_pool::CURRENT_THREAD_NOTIFY::__init
(local pool.rs:54)
==26158== by 0x168358: core::ops::function::FnOnce::call_once (function.rs:227)
==26158== by 0x167AA8: std::thread::local::lazy::LazyKeyInner<T>::initialize (local.rs:304)
==26158== by 0x169A15: std::thread::local::fast::Key<T>::try initialize (local.rs:473)
==26158== by 0x169CBA: std::thread::local::fast::Key<T>::get (local.rs:456)
==26158== by 0x1689BC: futures_executor::local_pool::CURRENT_THREAD_NOTIFY::__getit
(local.rs:183)
```

Upon further research, it appears that Helgrind only understands POSIX synchronization primitives. <u>From the docs</u>:

Make sure your application, and all the libraries it uses, use the POSIX threading primitives ...

Do not roll your own threading primitives (mutexes, etc) from combinations of the Linux futex syscall, atomic counters, etc. These throw Helgrind's internal what's-going-on models way off course and will give bogus results.

As it turns out, Tokio's semaphores and Crossbeam's channels do not use POSIX primitives. They're implemented in terms of atomic booleans, futexes on Linux, i.e. things which make Helgrind complain. Therefore, I think it is highly likely my errors from Helgrind are actually false positives.

### 6 Benchmarking

Benchmarking was done with hyperfine on my laptop, which has a 4-code Intel i-7 CPU, where each core is hyperthreaded; importantly, there are 8 virtual CPUs. The maximum length argument was set equal to the actual secret's length.

The shared memory and message passing programs had similar average times across all test cases. For test case 1, they were slightly faster than the sequential lab2 program.

For test case 2, the multi-threaded programs were both considerably slower than the sequential program. The reason for this is that the secret "aaa" is encountered early (relative to the size of the solution space) when generating candidate secrets starting from "" as described in section 1.1. While the sequential program is able to exit immediately once it finds the answer, the multi-threaded programs must finish processing any candidate secrets currently enqueued in the channel or shared buffer before the main thread can exit - this extra tear down time is what causes the multi-threaded programs to be slower for this test case.

For test cases 3-5 the multi-threaded programs were nearly 7x-8x faster than the sequential program. In these test cases, the answer was deep enough in the solution space that the tear down time is small compared to the time for a worker to find the answer. The improvement of 7x-8x reflects the 8 virtual CPUs available to the multi-threaded programs - the sequential program was only running on 1. The improvement is not quite 8x due to some of the program being sequential in nature (i.e. command line parsing, shared buffer initialization) as well as startup, synchronization, and teardown overhead in the parallel portion of the program (i.e. secret generation and validation).

The table below shows the average times taken by each program for the test cases.

Table 3. Average time by each program for each test case (batch size 32)

	shared-mem	message-passi	
	average time	ng average	lab2 average
Test case	(ms)	time (ms)	time (ms)

1	1.1	2	2.4
2	53.2	58.6	2.6
3	532.5	612.4	3891
4	327.1	306.1	2461
5	10.96	11.223	85717

The bar graph below shows the table data on a logarithmic scale.

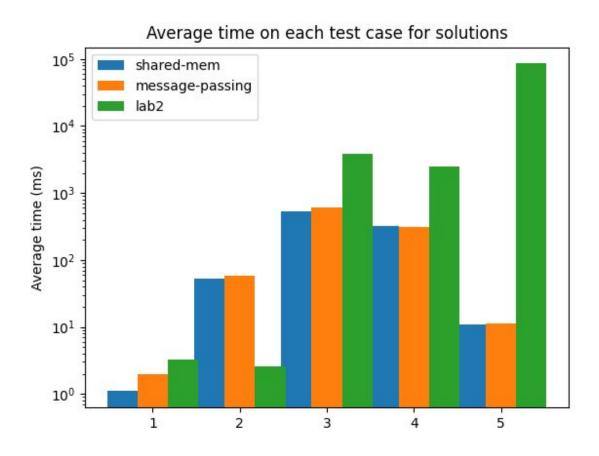


Figure 1. Average time by each program for each test case (batch size 32)

#### 6.1 Effect of batch size

In the preceding benchmarks, the batch size (number of candidates produced by the main thread or consumed by each worker at a time) was set to 32. When the batch size is reduced to 1, noticeable degradation in the multi-threaded programs is visible.

Table 4. Average time by each program for each test case (batch size 1)

Test case	shared-mem average time (ms)	message-passi ng average time (ms)	lab2 average time (ms)
1	1.9	1.9	2.4
2	130.3	52.7	2.6
3	1180	513.1	3.891
4	1208	323.3	2.461
5	43462	12013	85.717

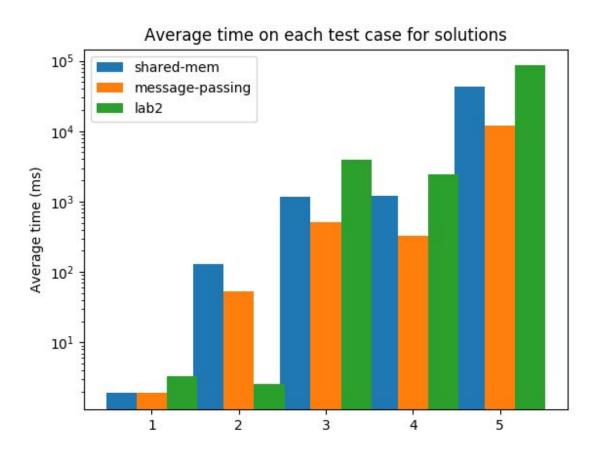


Figure 2. Average time by each program for each test case (batch size 1)

With a batch size of 1, for test cases 3-5, the multi-threaded programs are noticeably worse than when they had a batch size of 32. For example, the shared memory program went from 327.1 ms to 1208 ms on test case 3. This degradation in performance is caused by increased

contention on the shared buffer. Since the master needs to send each secret individually, it spends too much time synchronizing (acquiring semaphores, locking mutexes) and not enough time generating candidates. Likewise, since each worker thread needs to obtain candidate secrets one at a time, they end up spending more time synchronizing and not enough time checking for secrets.

Indeed, running **top** when the shared memory program is running on test case 5 with a batch size of 1 shows that the workers have poor CPU utilization. The master is likely not able to generate candidate secrets fast enough due to the increased synchronization overhead, causing the workers to spend more time waiting for work then working.

Thread %Cpu(s KiB Me	m : 12140	otal ıs, <b>1</b> 0 <b>456</b>	4.4 tota	3 runnin sy, 0.0 al, 1974	ng, <b>122</b> 5 9 ni, 55 <b>1380</b> fre	s sleepi 5.8 id, ee, <b>54</b> 0	in:	g, 6 0.2 wa 892 us	stop , 0. sed,		
PID	USER	PR	NI	VIRT	RES	SHR	S	%CPU	%MEM	TIME+	COMMAND
30282	jackson	20	0	557864	1408	1276	R	99.9	0.0	0:10.40	shared-mem
30283	jackson	20	0	557864	1408	1276	S	34.6	0.0	0:03.55	shared-mem
30284	jackson	20	0	557864	1408	1276	S	34.6	0.0	0:03.54	shared-mem
30286	jackson	20	0	557864	1408	1276	S	34.6	0.0	0:03.55	shared-mem
30287	jackson	20	0	557864	1408	1276	R	34.6	0.0	0:03.54	shared-mem
30288	jackson	20	0	557864	1408	1276	S	34.6	0.0	0:03.55	shared-mem
30285	jackson	20	0	557864	1408	1276	S	34.3	0.0	0:03.54	shared-mem
30289	jackson	20	0	557864	1408	1276	S	34.3	0.0	0:03.53	shared-mem
30290	jackson	20	0	557864	1408	1276	S	34.3	0.0	0:03.55	shared-mem
4047	jackson	20	0	4334764	279500	133912	S	6.5	2.3	17:17.39	gnome-shell
30292	jackson	20	0	45428	5264	3388	R	2.0	0.0	0:00.22	

In comparison, the **top** output for the same program and test case with a batch size of 32 shows much better CPU utilization.

PID	USER	PR	NI	VIRT	RES	SHR S %CPU	%MEM	TIME+ COMMAND
30577	jackson	20	0	557996	2732	2424 R 93.5	0.0	0:05.53 shared-mem
30579	jackson	20	0	557996	2732	2424 R 92.8	0.0	0:05.50 shared-mem
30576	jackson	20	0	557996	2732	2424 R 91.5	0.0	0:05.47 shared-mem
30575	jackson	20	0	557996	2732	2424 S 90.2	0.0	0:05.51 shared-mem
30580	jackson	20	0	557996	2732	2424 R 89.9	0.0	0:05.56 shared-mem
30581	jackson	20	0	557996	2732	2424 R 89.5	0.0	0:05.36 shared-mem
30574	jackson	20	0	557996	2732	2424 S 87.6	0.0	0:05.47 shared-mem
30578	jackson	20	0	557996	2732	2424 R 83.0	0.0	0:05.27 shared-mem
30573	jackson	20	0	557996	2732	2424 R 49.0	0.0	0:02.96 shared-mem

Finally, when the batch size is decreased to 1 for the message passing program, the average time deteriorates similarly. However, the CPU utilization does not decrease as much in this case since Crossbeam channels spend some time spinning before yielding or blocking - this does not