

# Comparison of semiconducting and superconducting hardware for optoelectronic neuromorphic systems

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## Abstract

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<b>3 Light Sources</b>	<b>2 Lay out the problem:</b>	
<b>4 Interconnection network and fan-out</b>	<ul style="list-style-type: none"><li>• objective: neuromorphic supercomputing, scale of human brain</li><li>• machines that perform cognition</li><li>• not edge</li><li>• not focused on a single metric like speed or power, but rather on overall system scalability and performance</li><li>• seek the highest-performing artificial intelligence</li><li>• system considerations are paramount</li><li>• attempt to find physical limits of cognitive systems</li><li>• device features cannot be introduced if they are highly sensitive or require external tuning</li><li>• present-day neuromorphic cognitive systems using mosfets struggle in communication</li><li>• AER is a great way to make progress with existing hardware, but ultimately becomes a limiting factor</li><li>• optical communication may alleviate bottlenecks simply by avoiding wiring parasitics</li><li>• optical communication may enable the largest neuronal pool [1], which we assume correlates with high cognition</li><li>• we consider here an architecture with a single light source at each neuron</li><li>• this light source emits pulses playing the role of action potentials each time a neuron fires</li><li>• we do not consider any frequency or spatial multiplexing concepts from optical communications here, as they tend to introduce requirements for precise device tolerances or active control of elements that are</li></ul>	
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not scalable to the size of systems we seek

- action potentials are simply bursts of incoherent photons that are routed to all synaptic connections on a directional branching tree distribution network that taps off equal quantities of light to each synaptic connection
- photonic action potentials are received as binary communication signals
- synaptic weights and subsequent processing/computation is performed entirely in the electronic domain; light is used exclusively for communication
- the new challenge is that integrated light sources do not exist that can be placed at every neuron across a silicon wafer with modern VLSI technology
- the route to such an integrated device would be far easier if silicon light sources could be employed, an option if one accepts cryogenic operation
- here we assume such an option will be available at a future date
- the primary objective of the present study is to compare two approaches to the electronic circuits that would accompany such an optical communication network for large-scale cognitive systems
- the two approaches are semiconducting circuits and hybrid semiconducting/superconducting circuits
- in the semi case, photodetectors are waveguide-integrated semiconductor photodiodes, all computational circuits are based on mosfets, and light sources are waveguide-integrated semiconductor leds or lasers (focus on leds for processing/operation simplicity)
- in the super case, photodetectors are waveguide-integrated snspds, synaptic and dendritic circuits are based primarily on jjs coupled through mutual inductors, neuron circuits combine superconducting and semiconducting components, and the same light sources are employed
- we attempt to determine which of these approaches to neuromorphic hardware is likely to achieve superior cognitive performance by assessing their functionality in reference to established metrics from neuroscience and cognitive computing
- we describe these metrics in Sec. 2.
- we're not seeking biologically plausible time scales, but rather time scales from as long as possible to as fast as possible, working with the hypothesis that systems that have correlated dynamics and memory over as broad a range as possible will achieve optimal cognition

One way this paper could proceed is to compare mosfet-based circuits that implement the relevant operations as described in Ref. [2] with soens circuits as discussed in Refs. [3,4]. Reference [2] describes mosfet circuits leveraging subthreshold operation principles to achieve short-term and long-term synaptic plasticity, adaptive threshold, spike production, and scaling to networks. Dendritic processing is not discussed. If we can do an excellent job

understanding these circuits and determining how to couple the synaptic receivers to a photodetector and the spike production circuits to a light source, we should have most of what we need to do our comparison. The study could essentially be a comparison of the optoelectronic versions of the circuits in Ref. [2] with those in Refs. [3,4]. A lot of the relevant work regarding soens has been done previously, but a few details may need to be considered, such as how the spiking activity of a neuron provides a feedback signal to all of its synapses for plasticity. Additional work may be required on the beyond-stdp learning rules.

Ref. [2] discusses hardware/software ecosystem. It appears likely that using photonic communication without AER will lead to significant reduction in complexity of network configuration, potentially significantly reducing the demands on software to control the system. This does come at the cost of reduced reconfigurability of networks.

The circuits in Ref. [2] are subthreshold current-mode circuits. Are there any basic physical statements we can make about using photodiodes (which are current-mode circuits) as inputs?

Attention should be paid to device mismatch and tolerances, aspects that affect subthreshold mosfets as well as jjs. Can we determine whether one system will suffer less than the other? Does it matter? Can networks of adaptive, spiking neurons compensate?

## 2 Device requirements for neuromorphic systems

- synaptic
- dendritic
- neuronal
- communication network

## 3 Light Sources

Short section, pointing out we're trying to consider systems with similar light sources, hopefully silicon, operated at low temp. Temp could be 77K or 40K or 4K, but we'll try to assume it doesn't matter.

## 4 Interconnection network and fan-out

Short section. Similarly to 3, we want to assume semi and super systems are using the same concepts and hardware for the interconnection network. Fan-out (out degree) is constrained to be the same for the two systems, so light sources and transmitter driver circuits must be scaled to produce the appropriate number of photons.

With such an optical communication scheme, large networks of neurons can be implemented in an asynchronous

manner without address requirements that lead to memory challenges in large networks. Each communication channel from a neuron to a synapse has a dedicated waveguide connection, so no time-multiplexing is required. One waveguide leaves each neuron, and it branches as needed to achieve the desired graph structure.

## 5 Detectors

Important section.

- Analyze responsivity and noise to determine how many photons must be incident per pulse on snspd and photodiode to achieve a certain error ( $< 1\%$ , for example)
- Consider energy consumption of detector per pulse
- also any quiescent power consumption
- area
- fabrication
- give reasons not to use APDs (Razavi [5], pg. 57)
- therefore, not using semiconductor single-photon detectors

Responsivity:  $I_p = R_{ph}P_{op}$ , where  $I_p$  is current generated by photodiode,  $R_{ph}$  is the responsivity, and  $P_{op}$  is the optical power.

quantum efficiency:  $\eta = \frac{I_p/q}{P_{op}/(hc/\lambda)} = \frac{1.24R_{ph}}{\lambda} = \frac{1}{1+\tau_r/\tau_{nr}}$

Receiver error rate: is Razavi's noise/error rate model correct for us? We need to pay special attention to two limiting cases: 1) a single spike is received after a long period of rest (string of zeros); and 2) a fast spike train is received (burst input) (one zero one zero ...) Compare Razavi's analysis to Bryce's calculation.

Here's Bryce's calculation:

### 5.1 Motivation

The ultimate goal of this project will be to compare the performance and feasibility of various incoherent optoelectronic platforms for neuromorphic computing. Semiconductor photodetectors have already become a ubiquitous technology and are consequently a natural starting point for a whole class of potential optoelectronic neuromorphic hardware. This short summary seeks to accomplish two goals: (1) To determine the minimum optical power required for the detector to register an optical spiking event and (2) to analyze both the static and dynamic energy dissipation in a detector.

### 5.2 Photodetector Basics and Noise

The most basic parameter for a photodetector is the *responsivity*,  $\mathcal{R}$ . The responsivity is simply the ratio of pho-

tocurrent to input optical power. It is related to the external efficiency,  $\eta$  as shown below:

$$\mathcal{R} = \frac{q\eta\lambda}{hc} \quad (1)$$

where  $\lambda$  is the wavelength of the incoming light.  $\eta$ , and therefore  $\mathcal{R}$ , can be expected to be strong functions of wavelength. With responsivity defined, it is straightforward to predict the amount of photocurrent ( $I_{ph}$ ) produced for a certain input optical power ( $P_{opt}$ ):

$$I_{ph} = \mathcal{R}P_{opt} \quad (2)$$

In order to produce a measurable response, the photocurrent must be larger than the RMS fluctuations of the noise current. There are two primary sources of this noise in photodiodes - dark current noise and Johnson Noise from the load resistor. The noise from the dark current will be considered first. The RMS fluctuations can be given as:

$$\langle I^2 \rangle = 2qI\Delta f \quad (3)$$

$I$  is simply the total current flowing through the diode and  $\Delta f$  is the bandwidth of the detector. This is already an interesting detail, as the noise can potentially be reduced by operating at a lower bandwidth (I guess this corresponds to something like integrating the signal?). For this paper, I will take the bandwidth to be 20 MHz, for sake of comparison with SOENS. In principle all sources of current - ideal diode current, SHR, band-to-band recombination, and photocurrent - should be included in  $I$ .

We can approximate  $I = I_D + I_{ph}$ , where  $I_D$  is the dark current. There is an additional Johnson Noise associated with the load resistor,  $R_L$ . This leads to a total noise,  $I_N$  of:

$$I_N = \sqrt{2qI\Delta f + \frac{4k_B T \Delta f}{R_L}} \quad (4)$$

Equating the photocurrent with the RMS noise fluctuations gives an estimate of the bare minimum detectable optical power.

$$P_{min} = \frac{I_N}{\mathcal{R}} \quad (5)$$

Or in terms of the minimum necessary photocurrent,  $I_{ph}$ :

$$I_{ph} = \sqrt{2q(I_D + I_{ph})\Delta f + \frac{4k_B T \Delta f}{R_L}} \quad (6)$$

Solving the quadratic equation for  $I_{ph}$  yields:

$$I_{ph} = q\Delta f \left( 1 + \sqrt{1 + \frac{2I_D}{q\Delta f} + \frac{4k_B T}{q^2 R_L \Delta f}} \right) \quad (7)$$

where  $I_R = \sqrt{\frac{4k_B T \Delta f}{R_L}}$ , the contribution to the noise current from the Johnson noise of the resistor. The minimum optical power,  $P_{min}$  follows from the responsivity:

$$P_{min} = \frac{I_{ph}}{\mathcal{R}} = \frac{q\Delta f}{\mathcal{R}} \left( 1 + \sqrt{1 + \frac{2I_D}{q\Delta f} + \frac{4k_B T}{q^2 R_L \Delta f}} \right) \quad (8)$$

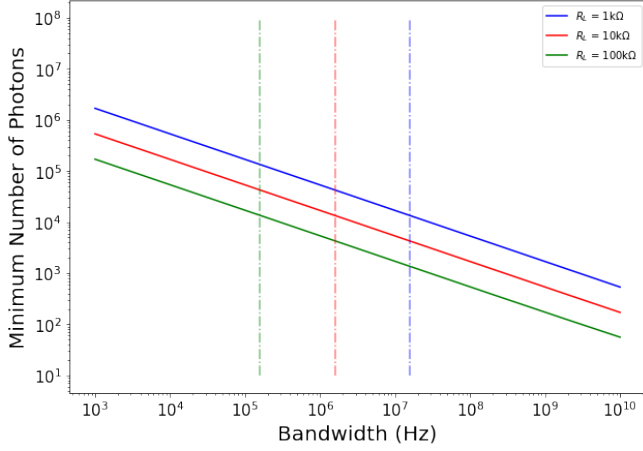


Figure 1: A plot of the minimum number of photons needed per spike as a function of Bandwidth.  $I_D = 10\text{nA}$ ,  $\mathcal{R} = .5$ , and  $\lambda = 1.3\mu\text{m}$ . The dotted vertical lines correspond to the maximum frequency achievable without a transimpedance amplifier for a 10pF photodiode.

The next task is to determine the appropriate temporal length of an optical spike. For a photodetector operating at its maximum rate, it suffices to use  $1/\Delta f$  as the duration of spike. Of course, this duration will likely be set by the speed of the LED, but for now I'm going to just assume that the bandwidth of our receiver circuit was designed to coincide with the bandwidth of the LED. In this case, with photon energy  $E_{ph}$ , the necessary number of photons per spike ( $N$ ) is then:

$$N = \frac{P_{min}}{E_{ph}\Delta f} \quad (9)$$

A plot of the minimum number of photons per spike vs bandwidth is presented in Figure 1. From experimenting with some plausible parameters, it seems likely that noise will be dominated by the Johnson Noise in the load resistor. This noise can be reduced by utilizing a larger resistor. However, a larger  $R_L$  will degrade the bandwidth of the circuit. A lower bandwidth requires the light source to be on for longer and increases the necessary optical power. In Figure 1, the color-coded vertical lines represent the maximum frequency that a 10pF photodiode could respond at. In fact, since the bandwidth is inversely proportional to  $R_L$ , it can be seen from equation 8 that in the resistor dominated noise regime,  $N$  will become independent of  $R_L$ . Fortunately, this bandwidth limit can be beaten by using a transimpedance amplifier as we will see in following sections.

Finally, we can also see a simple example of explicit temperature dependence.  $I_D$  is a strong function of temperature and will play a role when we get to static power dissipation, but the Johnson noise in the resistors is more important for determining the minimum optical signal. It has a simple square root temperature dependence, which can be seen in figure 2.

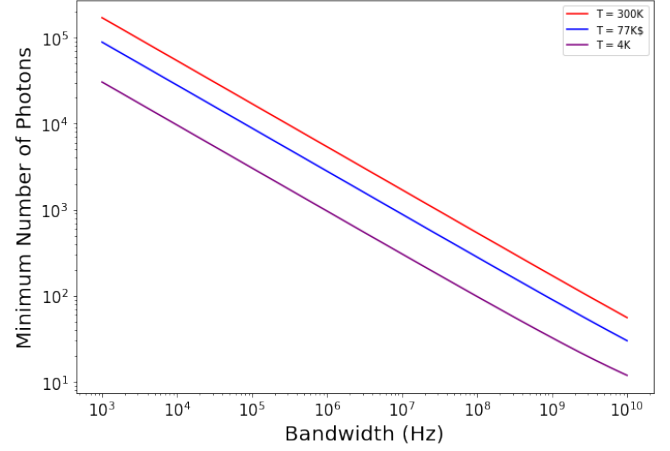


Figure 2: Temperature dependence of the minimum optical signal.  $R_L = 100\text{k}\Omega$

### 5.3 Transimpedance amplifier

## 6 Synaptic circuits and weighting

Important section.

- comparison of possible functionalities
  - short-term facilitating
  - short-term depressing
  - long-term event-based potentiation/depression (STDP)
- for each, consider information-processing metrics such as range of operation, bit depth
- for each, also consider area, power
- also sensitivity (exponential weight dependence on voltage in subthreshold mosfet vs polynomial (almost linear) weight dependence on current in soens)
- discuss in the context of Fusi's work ([6-8])

## 7 Dendrites and fan-in

## 8 Neural integration and threshold

## 9 Synaptic, dendritic, and neuronal adaptation

Discuss options for short- and long-term synaptic plasticity; adaptive dendritic functions; and neuronal refractory period, spike-frequency adaptation, and homeostatic plasticity (threshold adaptation).

## 10 Transmitter driver circuits

## 11 Time constants and subthreshold oscillations

## 12 Biasing

## 13 Power consumption, cooling, and system considerations (including fabrication and production)

## 14 Notes

Differences between neural and digital optical communication:

- neural: mux/demux not required
- neural: high power optical signals not necessary (not tolerable)
- neural: not point to point, one to many
- neural: asynchronous, no clock, no phase-locked loop, no clock recovery on receive
- neural: 1s and 0s not equally common; signals are sparse
- neural: TIA + limiting amplifier + decision circuit likely uses too much power
- neural: noise is more tolerable, decision circuit still potentially useful
- neural: speed can be much lower, as demonstrated by biology
- neural: with lower light levels, light-source driver circuits don't need to deliver as much current
- multi-chip partitioning required for digital due to high speed and sensitivity to timing jitter, multi-chip not tolerable for neural (cannot have multiple chips for each neuron) Tx and Rx amplifiers cannot remain in isolation ([5] pg. 5)
- neural: bits are not sampled on a clock

other notes:

- in conventional optical communication systems, package parasitics limit speed. optoelectronic integration crucial for overcoming this limitation ([5] pg. 5)
- for long time constants, semiconductors can augment RC by op amp gain:  $RC \rightarrow (1+A)RC$ , where  $A$  is the op amp gain, which can be enormous, like 300,000. thus, essentially arbitrarily long time constants can be achieved. the price is power.
- regarding subthreshold oscillations, RLC behavior in semiconductors can be achieved with op amps. in this case, there is no inductor, and that role is played by the active op amp. the price is power

## 15 Acknowledgements

This is a contribution of NIST, an agency of the US government, not subject to copyright.

## A Appendix One

Appendix One

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