

**I. Introduction to "Strong" Induction**

- A. Strong Induction is a variant of the Principle of Mathematical Induction
- B. A proof using strong induction uses the same basis step, i.e., that  $P(1)$  must be true.
- C. The inductive step assumes that  $P(N)$  holds not only for some arbitrary  $N$  but for all  $M < N$ .
- D. Strong Induction can be shown to be equivalent to mathematical induction as follows:
  - 1. Let the proposition  $Q(N)$  mean that  $P(M)$  holds for all  $M$ ,  $0 \leq M \leq N$
  - 2. Then  $Q(N)$  is true for all  $N$  if and only if  $P(N)$  is true for all  $N$
  - 3. Therefore a proof of  $Q(N)$  by ordinary induction is equivalent to a proof of  $P(N)$  by strong induction.

**II. Strong Induction Example 1:**

- A. Theorem: Any integer  $N \geq 1$  can be written as a product of primes and 1.
- B. Basis step(s):
  - 2 is a prime number.  
 $2 = 2 \times 1$  so 2 can be written as a product of primes and 1.
  - 3 is a prime number.  
 $3 = 3 \times 1$  so 3 can be written as a product of primes and 1.
  - 4 is not a prime number.  
 $4 = 2 \times 2$  so 4 can be written as a product of primes and 1.
- C. Inductive Assumption: Any integer  $N$  can be written as a product of prime numbers.

D. Consider the integer  $N + 1$ . Either  $N + 1$  is prime or is not prime.

1. If  $N + 1$  is prime then it can be written as

$$N + 1 = (N + 1) \times 1$$

so  $N + 1$  is a product of primes and 1.

2. If  $N + 1$  is not prime then it can be written as the product of two integers  $I$  and  $K$  where:

$$I < N \quad \text{and} \quad K < N$$

By our inductive assumption  $P(N)$ , both  $I$  and  $K$  are the product of primes.

Since  $N + 1 = I \times K$ ,  $N + 1$  is also a product of primes.

3. Therefore:  $P(1) \equiv 1$  is a product of primes is true.

**and**

$$P(N) \Rightarrow P(N + 1)$$

Therefore  $P(N)$  is true for all  $N$  according to the Principle of Mathematical Induction.

### III. Strong Induction Example 2:

A. Theorem: A positive integer  $N \geq 2$  is either a prime number or a product of two or more prime numbers

B. Basis step:  $P(2)$  is: 2 is prime.

If  $N = 2$  then  $N$  is prime by definition

C. Inductive Assumption:  $P(k)$  for all  $k \leq N$ , i.e., either  $k$  is prime or  $k$  is the product of primes

D. Inductive step:

1. Assume that either  $k$  is prime or  $k$  is the product of primes, the inductive assumption.
2. Consider the case of  $k = N + 1$
3.  $k$  is either prime or it is not
4. If  $k$  is prime we are done.
5. If  $k = N + 1$  is not prime then:
  - a. By definition  $k = i*j$  where  $i, j \leq N$
  - b. By  $P(N)$  both  $i$  and  $j$  are either prime or the product of primes
  - c. Therefore,  $k = N + 1$  must be the product of primes because  $i, j \leq N$  are either primes or the products of

E. Therefore an integer  $N$  is either prime or the product of primes.  $\square$

#### IV. Strong Induction Example 3: A Jigsaw Puzzle

A. Problem Description

1. A jigsaw puzzle is assembled into the final version by successively joining pieces that fit together into blocks.
2. A *move* is made each time a piece is added to a block or each time two blocks are joined together.
3. Use strong induction to prove that no matter how the moves are implemented exactly  $N - 1$  moves are required to assemble a puzzle with  $N$  pieces.

B. Basis Step: Assume that the puzzle has only one piece, or that  $N = 1$ .

1. A puzzle with only one pieces is already assembled so no further moves are required for assembly.
2. The number of moves  $K$  required for assembly is, therefore:  

$$K = N - 1 = 0$$

- C. Additional Test: Assume that the puzzle has two pieces, or that  $N = 2$ .
1. The puzzle is then assembled by joining the two pieces together, a single move.
  2. Therefore the number of moves  $K$  required to assemble the puzzle is  $K = 1 = N - 1$ .
- D. Inductive Assumption: All puzzles with  $J$  pieces,  $1 \leq J \leq N$  can be assembled in  $J - 1$  moves.
- E. Inductive Step:
1. Divide a puzzle with  $J$  pieces into two puzzles, one with  $X$  pieces and one with  $Y$  pieces.
  2.  $J = X + Y$
  3. According to our inductive assumption the puzzle with  $X$  pieces can be assembled in  $X - 1$  moves
  4. According to our inductive assumption the puzzle with  $Y$  pieces can be assembled in  $Y - 1$  moves.
  5. Regardless of the values of  $X$  and  $Y$  the puzzle with  $J$  pieces can be completed with one additional move that joins the block with  $X$  pieces to that with  $Y$  pieces.
  6. Therefore the total number of moves  $M$  needed to assemble a jigsaw puzzle with  $J$  pieces is  $M$  where:
 
$$\begin{aligned}
 M &= (X - 1) + (Y - 1) + 1 \\
 &= X + Y - 1 - 1 + 1 = X + Y - 1 \\
 &= J - 1
 \end{aligned}$$
- F. Therefore, no matter how the moves are implemented exactly  $N - 1$  moves are required to assemble a puzzle with  $N$  pieces. *QED*

**V. Strong Induction Example 4: A polynomial of degree  $N \geq 1$  with real coefficients has at most  $N$  real zeroes, not all necessarily distinct.**

**A. Basis Step:**

1. Polynomials of degree 1 are of the form:  $P(x) = a_1x + a_0$  with  $a_1 \neq 0$ .
2. The zero of  $P(x) = a_1x + a_0 = 0$  is  $x = \frac{a_0}{a_1}$
3. So a polynomial of degree 1 with real coefficients unequal to zero has one real zero.

**B. Inductive Assumption:** All polynomials of degree  $1 < k \leq N$  with real coefficients have at most  $k$  real zeroes, not all necessarily distinct.

**C. Inductive Step:**

1. Let:  $P(x) = a_{k+1}x^{k+1} + a_kx^k + \dots + a_1x + a_0$
2. If  $P(x)$  has no real zeroes then the theorem is true for this case.
3. If we assume that  $P(x)$  has at least one real zero,  $c$ , we can write it as:  $P(x) = (x - c)^t T(x)$

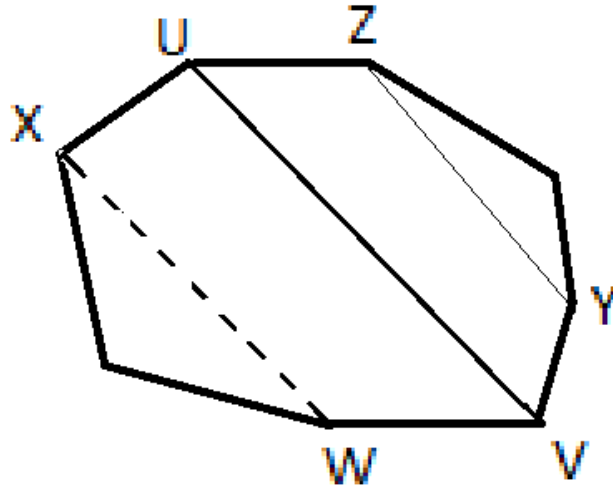
where  $T(x)$  is a polynomial of degree  $(k + 1) - t$  with real coefficients.

4. Case 1: If  $t = k + 1$  then :
  - a.  $T(x)$  is a constant.
  - b.  $P(x)$  has exactly  $k + 1$  zeroes.
  - c. The theorem is proven for  $t = k + 1$

5. Case 2: If  $t < k + 1$  then:
- a.  $T(x)$  is a polynomial of degree between 1 and  $k$  with real coefficients.
  - b. By the inductive hypothesis,  $T(x)$  has at most  $(k + 1) - t$  real zeroes.
  - c. Every zero of  $T(x)$  is a zero of  $P(x)$  so all the zeroes of  $T(x)$  must be counted as zeroes of  $P(x)$ .
  - d. Therefore the real zeroes of  $P(x)$  are the real zeroes of  $T(x)$ .
  - e.  $c$  is also a zero of  $P(x)$  which can be counted no more than  $t$  times.
  - f. Therefore  $P(x)$  has at most
$$\left( [(k + 1) - t] + t \right) = k + 1$$
real zeroes.
  - g. The theorem is proven for  $t = k + 1$
- D. Therefore, by the Principle of Strong Mathematical Induction, a polynomial of degree  $N \geq 1$  with real coefficients has at most  $N$  real zeroes, not all necessarily distinct.

## VI. Computational Geometry Example

- A. Theorem: Whenever non-intersecting diagonals are drawn inside a strictly convex polygon with  $N$  sides, at least two vertices of the polygon are not endpoints of any of the diagonals.
- B. Definition: A **convex polygon** is a simple polygon with all interior angles less than or equal to 180 degrees. In a **strictly convex polygon** all interior angles are strictly less than 180 degrees.
- C. A convex polygon, with 8 sides, with three non-intersecting diagonals and with two vertices that are not endpoints of any of the diagonals is shown below:

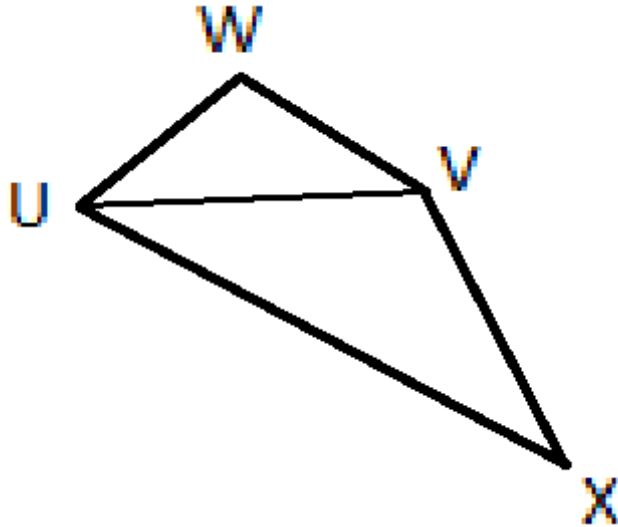


1. The diagonal  $UV$  has three vertices other than  $U$  or  $V$  (the endpoints) above it and three vertices other than  $U$  or  $V$  below it.
2. The diagonal  $WX$  has five vertices other than  $X$  or  $W$  above it and one vertex other than  $X$  or  $W$  below it.
3. The diagonal  $YZ$  has one vertex other than  $Y$  or  $Z$  above it and five vertices other than  $Y$  or  $Z$  below it.
4. We note that the theorem is not true for  $N = 3$  because a three-sided polygon is a triangle and there can be no diagonals.

D. Problem: Prove  $P(N)$  using strong induction for  $N \geq 4$

E. Basis step:  $P(4) \equiv$  When non-intersecting diagonals are drawn inside a strictly convex polygon with four sides two non-adjacent vertices of the polygon are not endpoints of any of the diagonals.

1. Consider the polygon shown below with 4 sides.



2. The diagonal  $UV$  separates the original polygon into two sub-polygons with three sides and leaves two non-adjacent vertices,  $W$  and  $X$ , that are not endpoints of the diagonal.
3. The same statement could be made if the diagonal used was  $WX$ .
4. Note that  $U$  and  $V$  cannot be counted because the diagonal makes them adjacent, i.e., because they are endpoints of the diagonal.

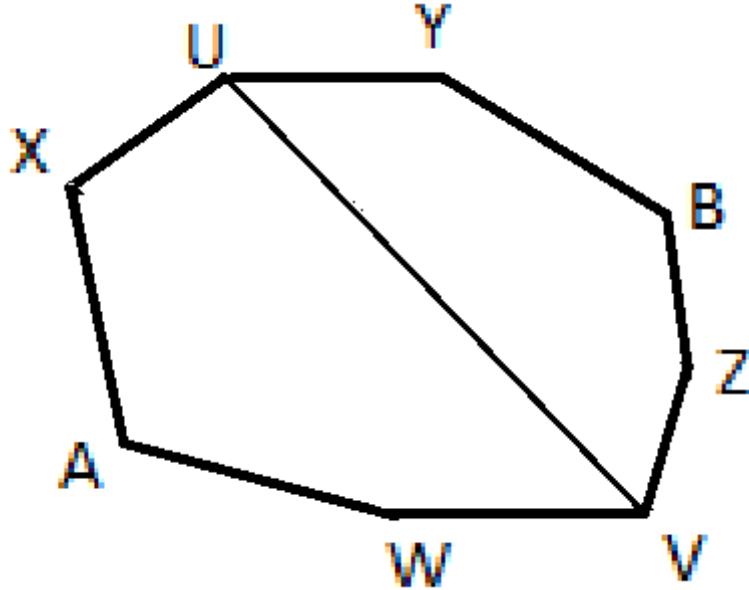
E. Inductive Hypothesis:

$P(N) \equiv$  When non-intersecting diagonals are drawn inside a strictly convex polygon with  $J$  sides,  $4 \leq J \leq N$ , at least two non-adjacent vertices of the polygon are not endpoints of any of the diagonals.



F. Inductive Step:

1. Consider a polygon with  $N + 1$  sides
2. The diagonal  $U - V$  in the polygon shown below divides the polygon into two sub-polygons, each one of which can have a set of non-intersecting diagonals.



3. By the inductive hypothesis each of these two sub-polygons created from the original by the separating diagonal has  $J$  sides,  $3 \leq J \leq N$ , and at least one vertex that is not the endpoint of the separating diagonal.
4. Therefore the original polygon with  $N + 1$  sides, split into the two sub-polygons by the dividing diagonal, must have at least two vertices that are not the end-points of the diagonal.

G. Therefore the theorem is proven by Strong Induction.

**VII. Strong Induction Example 6: Proving the Property of a Sequence**

A. Problem:

1. Let  $S_0, S_1, \dots, S_N$  be the sequence defined by specifying that

- a.  $S_0 = 0$

- b.  $S_1 = 4$

- c.  $S_k = 6a_{k-1} - 5a_{k-2}$  for all integers  $k \geq 2$

2. Let the property possessed by all terms in the sequence  $P(N)$  be:

$$S_n = 5^N - 1$$

3. The claim to be proven is that all terms of the sequence satisfy the property  $P(N)$ .

B. Note that, since the two previous terms of the sequence are needed to specify the  $k$ th term:

1. The assumption that  $P(N)$  holds is not sufficient to prove  $P(N + 1)$ .
2. Therefore we must use strong induction with the inductive assumption that  $P(k)$  holds for  $1 \leq k \leq N$

C. Proof:

1. Basis Step:

- a.  $S_0 = 0 = 1 - 1 = 5^0 - 1$

- b.  $S_1 = 4 = 5 - 1 = 5^1 - 1$

- c.  $S_2 = 6a_{2-1} - 5a_{2-2} = 6a_1 - 5a_0$

$$= 6 \times 4 - 5 \times 0 = 24$$

$$= 25 - 1 = 5^2 - 1$$

2. Inductive Assumption:

$$S_i = 5^i - 1 \text{ for all integers } i \text{ such that } 0 \leq i \leq k$$

3. Inductive Proof:

$$S_{k+1} = 6S_k - 5S_{k-1}$$

by the definition of the terms of the sequence.

$$= 6(5^k - 1) - 5(5^{k-1} - 1)$$

by the inductive assumption.

$$= 6 \times 5^k - 6 - 5 \times 5^{k-1} + 5$$

$$= 6 \times 5^k - 5^k - 1$$

$$= (6 - 1) \times 5^k - 1$$

$$= 5 \times 5^k - 1$$

$$= 5^{k+1} - 1$$

- D. Therefore, the property  $P(N) \equiv S_n = 5^N - 1$  is possessed by all terms of the sequence defined by

a.  $S_0 = 0$

b.  $S_1 = 4$

c.  $S_k = 6a_{k-1} - 5a_{k-2}$

## IX. The Well Ordering Property

- A. The well ordering property (Axiom 4 in Appendix 1 of your text) states that every nonempty subset of the positive integers has a least element.
- B. The well ordering property is the basis for the validity of mathematical induction.

- C. Demonstration (Proof by Contradiction) of the validity of Mathematical Induction:
1. Suppose that we know that:
    - a.  $P(1)$  is true.      *and*
    - b. For all positive integers  $k$ ,  $P(k) \rightarrow P(k + 1)$ .
  2. Assume that there exists at least one positive integer  $j$  such that  $P(j)$  is false.
  3. Then there exists a non-empty set  $S$  of positive integers  $S = \{..., j, ...\}$  for which  $P(n)$  is false.
  4. By the well ordering property we know that  $S$  must have a least element which we will denote by  $m$ .
  5. Since  $P(1)$  is true we know that  $m > 1$  and because  $m$  is positive we must have  $m - 1 > 0$ .
  7. Since  $m - 1 < m$  we must have that  $m - 1 \notin S$
  8. Therefore  $P(m - 1)$  must be true.
  9. But, since for all positive integers  $k$ ,  $P(k) \rightarrow P(k + 1)$ , we have that  $P(m - 1) \rightarrow P(m)$
  10. Therefore the assumption that there exists at least one positive integer  $j$  such that  $P(j)$  is false has led to:
    - a. The requirement that there is a set of integers  $S$ , with  $|S| \geq 1$ , for which the principle of mathematical induction does not hold.
    - b. The conclusion that if  $m$  is the least element of the set  $S$  containing  $j$ , then  $P(m)$  is true.
    - c. So the set  $S$  of positive integers for which  $P(j)$  is false contains an element  $m$  for which  $P(m)$  is true.
  11. The validity of the Principle of Mathematical Induction has been demonstrated.