

# CS-E4500 Problem Set 5

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## Problem 1

Let  $F$  be a field, Show that a nonzero polynomial  $f \in F[x]$  of degree at most  $d$  has at most  $d$  distinct roots.

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Let  $\xi_1, \xi_2, \dots, \xi_n \in F$  be  $n \geq d + 1$  distinct roots of polynomial  $f$ . Then the polynomial can be represented using the roots as

$$f(x) = a(x - \xi_1)^{k_1}(x - \xi_2)^{k_2} \dots (x - \xi_n)^{k_n}$$

where  $a \in F$  is a scaling coefficient and  $k_1, k_2, \dots, k_n \in \mathbb{N}$  are the multiples of the roots. The degree of the polynomial is

$$\begin{aligned} \deg f(x) &= k_1 + k_2 + \dots + k_n \\ &\geq \min_{k_1, k_2, \dots, k_n \in \mathbb{N}} (k_1 + k_2 + \dots + k_n) \\ &= n \\ &\geq d + 1. \end{aligned}$$

This implies that a polynomial that has  $d + 1$  distinct roots has degree of at least  $d + 1$ . Equivalently a polynomial that has degree  $d$  has at most  $d$  distinct roots.

## Problem 2

Reed-Solomon codes.

(a)

Encoding: Suppose we want to encode data vector  $\Phi = (7, 6, 5, 4, 3) \in \mathbb{F}_{11}^5$  using the evaluation points  $\Xi = (0, 1, 2, 3, 4, 5, 6) \in \mathbb{F}_{11}^5$ . Find the encoding  $\Psi = f(\Xi) \in \mathbb{F}_{11}^7$ .

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Create a polynomial  $f$  by using  $\Phi$  as the coefficients

$$f(x) = 3x^4 + 4x^3 + 5x^2 + 6x + 7 \in \mathbb{F}_{11}[x].$$

Then evaluate the polynomial at points  $\Xi$  to obtain the encoding

$$f(\Xi) = (7, 3, 9, 3, 2, 7, 3) \in \mathbb{F}_{11}^7.$$

(b)

Decoding in the presence of errors. Suppose that  $\Xi = (1, 2, 3, 4, 5, 6) \in \mathbb{F}_{13}^6$  and that  $\Gamma = (3, 8, 6, 7, 1) \in \mathbb{F}_{13}^6$ . Find the unique polynomial  $f \in \mathbb{F}_{13}[x]$  of degree at most 1 such that  $f(\Xi)$  agrees with  $\Gamma$  in all but at most 2 coordinates, or conclude that no such  $f$  exists.

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The decoding can be done using Gao's algorithm (Gao 2002). I used Python with the Sympy library to calculate the polynomial operations on finite fields.

We have

$$\begin{aligned} e &= 6 \\ d &= 1 \end{aligned}$$

The polynomial  $g_0$  is constructed as

$$g_0 = \prod_{i=0}^e (x - \xi_i) = x^6 + 5x^5 + 6x^4 + 6x^3 + 12x^2 + 4x + 5.$$

The polynomial  $g_1$  is obtained using Lagrange interpolation in points  $(\xi_i, \varphi_i)$  for all  $i = 1, 2, \dots, e$

$$g_1 = 7x^5 + 5x^4 + 9x^3 + x + 7$$

The initial values of the Bezout coefficient

$$\begin{aligned} t_0 &= 0 \\ t_1 &= 1 \end{aligned}$$

Then we apply extended Euclidian algorithm to  $g_0$  and  $g_1$  to produce the consecutive remainders  $g_h, g_{h+1}$  with  $\deg g_h \geq D$ , and  $\deg g_{h+1} < D$  for  $D = (e + d + 1)/2 = 4$

First iteration:  $g_0 = q_1 g_1 + g_2$

$$\begin{aligned} q_1 &= 2x + 3 \\ g_2 &= 12x^4 + 5x^3 + 10x^2 + 10 \\ t_2 &= t_0 - q_1 t_1 = 11x + 10 \\ \deg g_2 &= 4 \geq D \end{aligned}$$

Second iteration:  $g_1 = q_2 g_2 + g_3$

$$\begin{aligned} q_2 &= 6x + 12 \\ g_3 &= 6x^3 + 10x^2 + 6x + 4 \\ t_3 &= t_1 - q_2 t_2 = 12x^2 + 3x + 11 \\ \deg g_3 &= 3 < D \end{aligned}$$

By dividing  $g_3$  with  $t_3$  we obtain quotient

$$f = g_3/t_3 = 7x + 11$$

with remainder  $r = 0$ . The decoding is succesful and the reconstructed data vector is

$$(11, 7).$$

We can see that  $\Gamma$  has two errors

$$\begin{aligned} f(\Xi) &= [5, 12, 6, 0, 7, 1] \neq \\ \Gamma &= [3, 8, 6, 0, 7, 1]. \end{aligned}$$

### Problem 3

The solution to this problem are based on (Gathen and Gerhard 2013, chap. 11.1). Let a polynomial  $f$  be defined

$$f = f_n x^n + f_{n-1} x^{n-1} + \dots + f_0 \in \mathbb{F}[x]$$

where the leading coefficient  $f_n \neq 0$  and  $n = \deg f$  is the degree. A **truncated polynomial** is defined

$$f \upharpoonright k = f \text{ quo } x^{n-k} = f_n x^k + f_{n-1} x^{k-1} + \dots + f_{n-k},$$

where  $k \in \mathbb{Z}$ . Then the polynomial  $f$  can be written in form

$$f = (f \upharpoonright k) x^{n-k} + r,$$

where  $r \in \mathbb{F}[x]$  and  $\deg r < n - k$  and  $k \leq n$ .

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Let  $f, g, f', g'$  be polynomials in field  $\mathbb{F}[x]$  such that  $\deg f \geq \deg g \geq 0$  and  $\deg f' \geq \deg g' \geq 0$  and which **coincide up to**  $k \in \mathbb{N}_0$

$$(f, g) \equiv_k (f', g').$$

Equivalently written

$$\begin{aligned} f \upharpoonright k &= f' \upharpoonright k, \\ g \upharpoonright (k - (\deg f - \deg g)) &= g' \upharpoonright (k - (\deg f' - \deg g')). \end{aligned}$$

Then written in the division form with quotients and remainders

$$\begin{aligned} f &= qg + r, & \deg r < \deg g \\ f' &= q'g' + r', & \deg r' < \deg g' \end{aligned}$$

the remainders  $q = q'$  are equal.

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**Proof:** (This might not be the cleanest way to prove this.)

For simplicity lets denote the degrees with

$$\begin{aligned} \deg f &= n \\ \deg g &= m \\ \deg f' &= n' \\ \deg g' &= m'. \end{aligned}$$

We have

$$k \geq n - m = n' - m' = \delta \geq 0$$

then

$$\begin{aligned} f \upharpoonright k &= f' \upharpoonright k, \\ g \upharpoonright k' &= g' \upharpoonright k', \end{aligned}$$

where

$$k' = k - (n - m) = k - (n' - m') = k - \delta.$$

We also have the following identities

$$\begin{aligned} n &= n' + \delta \\ m &= m' + \delta \\ m - k' &= n - k \end{aligned}$$

Now by writing the polynomials in terms of their *truncations* we obtain

$$f' = (f' \upharpoonright k)x^{n'-k} + r_{f'}, \quad \deg r_{f'} < n' - k$$

$$\begin{aligned} f &= (f \upharpoonright k)x^{n-k} + r_f, \quad \deg r_f < n - k \\ &= (f' \upharpoonright k)x^{n'-k}x^\delta + r_f \\ &= (f' - r_{f'})x^\delta + r_f \end{aligned}$$

and

$$g' = (g' \upharpoonright k')x^{m'-k'} + r_{g'}, \quad \deg r_{g'} < m' - k'$$

$$\begin{aligned}
g &= (g \upharpoonright k')x^{m-k'} + r_g, \quad \deg r_g < m - k' \\
&= (g' \upharpoonright k')x^{m'-k'}x^\delta + r_g \\
&= (g' - r_{g'})x^\delta + r_g
\end{aligned}$$

Then substituting them into the division formula

$$\begin{aligned}
f &= qg + r \\
(f' - r_{f'})x^\delta + r_f &= q((g' - r_{g'})x^\delta + r_g) + r \\
f'x^\delta &= qg'x^\delta + (r - r_f + qr_g + (r_{f'} - qr_{g'})x^\delta) \\
f' &= qg' + ((r - r_f + qr_g)x^{-\delta} + r_{f'} - qr_{g'}) \\
f' &= q'g' + r'.
\end{aligned}$$

In order to prove that  $q = q'$  we need to prove that  $\deg r' < \deg g' = \deg g$ . The degree of the quotient  $q$  is  $\deg q = \deg f - \deg g = \delta$ . Then the degree of the remainder  $r'$

$$\begin{aligned}
\deg r' &= \deg((r - r_f + qr_g)x^{-\delta} + r_{f'} - qr_{g'}) \\
&= \max\{\deg rx^{-\delta}, \deg -r_fx^{-\delta}, \deg qr_gx^{-\delta}, \deg r_{f'}, \deg -qr_{g'}\} \\
&= \max\{\deg r - \delta, \deg r_f - \delta, \deg r_g, \deg r_{f'}, \delta + \deg r_{g'}\} \\
&< \deg g = \deg g'
\end{aligned}$$

- 1)  $\deg r - \delta < \deg r < \deg g$
- 2)  $\deg r_f - \delta < n - k - \delta \leq m - k < \deg g$
- 3)  $\deg r_g < m - k' = m - k - \delta = m - (k + \delta) < \deg g$
- 4)  $\deg r_{f'} < n' - k = (n - \delta) - k = m - k < \deg g$
- 5)  $\deg r_{g'} + \delta < m' - k' + \delta = m' - (k - \delta) + \delta$   
 $= m' - k = (m - \delta) - k < \deg g$

Therefore  $\deg r' < \deg g = \deg g'$ .  $\square$

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This answers to the question why the division  $f, g \equiv_4 (\tilde{f}, \tilde{g})$  produce the same quotient for the polynomials in question.

## Problem 4

The proof made in the problem 3 should atleast partially answer this question.

## References

Gao, Shuhong. 2002. "A New Algorithm for Decoding Reed-Solomon Codes." *Communications, Information and Network Security*, 1-11.

Gathen, Joachim von zur, and Jorgen Gerhard. 2013. *Modern Computer Algebra*. 3rd ed. New York, NY, USA: Cambridge University Press.