

Problem Set 9

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H9.1

Let ϕ be CNF formula with m clauses. We can formulate a greedy algorithm for deterministic 2-approximation for MAX SAT..

Create sorted formula ϕ' .

- 1) Count the amount of each literal in ϕ .
- 2) Sort literals in each clause from most frequent to the least frequent, that is, in decreasing order.
- 3) Lexicographically sort clauses in decreasing order.

Greedily assign truth values to the variables:

For all clauses in ϕ' or until all variables have been assigned a truth value

- 1) If clause is *satisfied* or *unsatisfied* move to the next clause.
- 2) If clause is *undetermined*, find the first literal with unassigned variable. Then assign a truth value for the variable such that the clause satisfied.

The sorting is required, otherwise given formula

$$l_1 \wedge l_2$$

we can build a worst case by adding clauses

$$\neg l_1 \wedge \neg l_2 \wedge (\neg l_1 \vee \neg l_2).$$

The greedy assignment would be $l_1 = l_2 = T$, then $\neg l_1 = \neg l_2 = (\neg l_1 \vee \neg l_2) = F$, thus the formula would not be 2-approximation.

Proof: Since the literals and clauses are sorted in decreasing order, we can only add two of the three clauses, otherwise the clauses would remain sorted. Therefore, the solution is 2-approximation in the worst case.

NOTE: (Full proof would require generalizing the example.)

H9.2

MIN SET COVER

Instance: A finite set U and a family $S = \{S_1, \dots, S_m\}$ of subset of U .

Feasible solution: A subfamily $T \subseteq S$ such that their union is U .

Objective: Minimize $c(T) = |T|$.

Greedy approximation algorithm for MIN SET COVER.

Input: Set samily S over U .

Output: Set cover T .

- 1) Start from $T = \emptyset$
- 2) Find the set $S' \in S$ that covers most uncovered elements in U .
- 3) Add S' to T .
- 4) Repeat until all elements of U are covered.

For every integer $n = 2^m$ where $m \in \mathbb{N}$, there is an instance of MIN SET COVER such that

- 1) There are n elements in the base set.
- 2) The optimal cover uses two sets.
- 3) The greedy approximation algorithm picks $\log_2 n$ sets.

First we will define two functions

$$\text{even}(i) = \begin{cases} 1, & i \text{ is even} \\ 0, & \text{otherwise} \end{cases}, \quad \text{odd}(i) = \begin{cases} 1, & i \text{ is odd} \\ 0, & \text{otherwise} \end{cases}.$$

We define the size of base set as $|U| = n$. Then, lets partition U into two equal-sized, disjoint subsets S' and S'' . Let $T = \{S', S''\}$ be the optimal cover with two sets.

Now, we can construct the instance $S = \{S_1, \dots, S_m\}$ in which greedy algorithm picks all $m = \log_2 n$ sets as follows.

We begin with $K'_0 = S', K''_0 = S''$ and $T'_0 = \emptyset, T''_0 = \emptyset$. For all $i = 1, \dots, m-2$ we have

- 1) $K'_i = K'_{i-1} \setminus T'_{i-1}, \quad T'_i \subseteq K'_i, \quad |T'_i| = |S'|/2^i + \text{even}(i)$
- 2) $K''_i = K''_{i-1} \setminus T''_{i-1}, \quad T''_i \subseteq K''_i, \quad |T''_i| = |S''|/2^i + \text{odd}(i)$
- 3) $S_i = T'_i \cup T''_i$

Finally, we define $S_{m-1} = S'$ and $S_m = S''$.

The idea of the construction is that the set $S_i = T'_i \cup T''_i$ for $i = 1, \dots, m-2$ contains alternately half and half plus one of the elements that sets S' or S'' would cover if chosen.

Thus S_i always contains one more element that either set S' or S'' could cover, therefore greedy algorithm will always choose it.

H9.3

(a)

(b)

(c)