# Problem Set 5

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# H<sub>5.1</sub>

We show that MAX CUT is NP-complete for simple graphs by reduction from NAESAT to MAX CUT. A simple graph does not have any multi-edges or self-loops.

We have NAESAT formula  $\phi$  with n variables  $\{x_1,...,x_n\}$  and m clauses  $\{C_1, ..., C_m\}.$ 

- 1) Literals  $x_i$  and  $\neg x_i$  in  $\phi$  cannot be in the same truth assignment.
- 2) Let  $\alpha \vee \beta \vee \gamma$  be a clause in  $\phi$ . Then, literals  $\alpha, \beta, \gamma$  cannot all be in the same truth assignment.

We will construct a simple graph  $G_{\phi}=(V,E)$  as follows.

We create the set of vertices V such that for each literal  $x_1,...x_n, \neg x_1,..., \neg x_n$ we create 3m the literals, one for each possible location in the set of clauses.

$$V = \left\{ \begin{array}{c} x_{1,1}, \dots, x_{1,3m}, \\ \dots, \\ x_{n,1}, \dots, x_{n,3m}, \\ \neg x_{1,1}, \dots, \neg x_{1,3m}, \\ \dots, \\ \neg x_{n,1}, \dots, \neg x_{n,3m} \end{array} \right\}.$$

We create the set of edges E such that for each j = 1, ..., m where jth clause is  $C_j = \alpha_j \vee \beta_j \vee \gamma_j$  and

- $\begin{array}{ll} \bullet & \alpha_j \in \{x_{i,3j-2}, \neg x_{i,3j-2} \mid i=1,...,n\}, \\ \bullet & \beta_j \in \{x_{i,3j-1}, \neg x_{i,3j-1} \mid i=1,...,n\}, \\ \bullet & \gamma_j \in \{x_{i,3j}, \neg x_{i,3j} \mid i=1,...,n\}. \end{array}$

- 1) Add edges  $(\alpha_j, \neg \alpha_j)$ ,  $(\beta_j, \neg \beta_j)$  and  $(\gamma_j, \neg \gamma_j)$  to enforce that a literal and its negation are not in the same truth assignment.
- 2) Add edges  $(\alpha_j, \beta_j)$ ,  $(\alpha_j, \gamma_j)$  and  $(\beta_j, \gamma_j)$  to enforce that not all literals are in the same truth assignment.

Now a cut (S, V - S) of size 5m in  $G_{\phi}$  corresponds to a truth assignment satisfying  $\phi$  in the sense of NAESAT.

## H5.2

We show that CLIQUE COVER is **NP**-complete by reduction from GRAPH COLORING to CLIQUE COVER.

Given simple graph G=(V,E) its complement graph is H=(V,E') where  $E'=(V\times V)\setminus E$ , that is, vertices are adjacent in H if an only if they are not adjacent in G.

Deciding graph coloring of at most K colors in G reduces to deciding clique cover of at most K cliques in H. Each clique corresponds to unique color, and all vertices in a clique have the same color.

### Proof:

- 1) If  $(u,v) \in E$ , vertices u and v must have different colors in G. Then  $(u,v) \notin E'$ , vertices u and v must belong to different cliques in H.
- 2) If  $(u, v) \notin E$ , vertices u and v can have the same colors in G. Then  $(u, v) \in E'$ , vertices u and v can belong to the same clique in H.

## H5.3

Set cover problem

- SET COVER
- INSTANCE: A family  $F = \{S_1, ..., S_n\}$  of subsets of a finite set U and an integer B.
- QUESTION: Is there a subfamily of  $\leq B$  sets in F whose union is U?

Formalization of the mention all problem.

- MENTION ALL
- INSTANCE: Families  $F' = \{S'_1, ..., S'_n\}$  and  $F'' = \{S''_1, ..., S''_n\}$  of subsets of a finite set U.

• QUESTION: Is there subfamily  $\{S_1,...,S_n\}$  where  $S_i \in \{S_i',S_i''\}$  for all i = 1, ..., n whose union is U?

Generalization of MENTION ALL

- MENTION k-ALL
- INSTANCE: Families  $F^1 = \{S^1_1,...,S^1_n\},...,F^k = \{S^k_1,...,S^k_n\}$  of subsets of a finite set U where  $k \geq 2$  is integer.
- QUESTION: Is there subfamily  $\{S_1,...,S_n\}$  where  $S_i \in \{S_i^1,...,S_i^k\}$  for all i = 1, ..., n whose union is U?

Reduction from SET COVER to MENTION k-ALL.

We can set  $F^1 = ... = F^B = F$  to reduce set cover to mention k-all.

Reduction from MENTION k-ALL to MENTION ALL.

If the recursive step of mention all, we set the elements in the subset families as follows. For all i = 1, ..., n:

- $\begin{array}{l} \bullet \quad S_i' = S_1^1 \cup \ldots \cup S_i^{\lfloor k/2 \rfloor} \\ \bullet \quad S_i'' = S_i^{\lfloor k/2 \rfloor + 1} \cup \ldots \cup S_i^k \\ \end{array}$

After the iteration we have solution  $S_i \in \{S'_i, S''_i\}$  if it exists. Each recursive step splits the subsets forming the union.

We repeat the recursive step if solution exists until each subset  $S_i$  is of of the subsets  $\{S_i^1,...,S_i^k\}$ . It takes  $\log_2 k$  iterations.