

This cheat sheet is based on the textbook by Papadimitriou (1994).

## Deterministic single-tape Turing machine

A Turing machine is a quadruple  $M = (K, \Sigma, \delta, s)$  where

- $K$  is a finite set of **states** and  $s \in K$  is a designated **initial state**,
- $\Sigma$  is a finite set of **symbols** (the **alphabet** of  $M$ ) so that  $\triangleright, \sqcup \in \Sigma$
- $\triangleright$  is the **start symbol** and
- $\sqcup$  is the **blank symbol**,
- $\delta$  is the **transition function**:

$$\delta : K \times \Sigma \rightarrow (K \cup \{h, yes, no\}) \times \Sigma \times \{\rightarrow, \leftarrow, -\}$$

where the **halting state**  $h$ , the **accepting state**  $yes$ , and the **rejecting state**  $no$  are not in  $K$ , and the symbols  $\rightarrow$  (right),  $\leftarrow$  (left), and  $-$  (stay) indicate **cursor directions** on the input tape.

### Transition functions

For current state  $q \in K$  and current symbol  $\sigma \in \Sigma$ ,  $\delta(q, \sigma) = (p, \rho, D)$  where

- $p$  is the new state,
- $\rho$  is the symbol to be replacing  $\sigma$ , and
- $D \in \{\rightarrow, \leftarrow, -\}$  is the direction in which the cursor will move.

It is required that  $\triangleright$  always directs the cursor to the right and is never erased. Formally, for any states,  $p$  and  $q$  we have  $\delta(q, \triangleright) = (p, \triangleright, \rightarrow)$ .

If the machine moves off the right end of the tape, it reads the blank symbol  $\sqcup$ . The string of the tape can become longer, but not shorter. The blanks  $\sqcup$  keep track of the space used by the machine.

### Starting and Halting

The program starts with

- initial state  $s$ ,
- the tape contents initialized to  $\triangleright x$  where the **input**  $x$  is a finitely long string in  $(\Sigma - \{\sqcup\})^*$  and
- the cursor is pointing to  $\triangleright$ .

Machine has **halted** when it has reached one of the halting states  $\{h, yes, no\}$ . On  $yes$ , machine **accepts** the input, and on  $no$  machine **rejects** the input.

The **output**  $M(x)$  is

- If  $M$  accepts/rejects, then  $M(x) = yes/no$ .
- If  $M$  reaches state  $h$ , then  $M(x) = y$ , where  $\triangleright y \sqcup \sqcup \dots$  is the string on the tape of  $M$  at the time of halting.
- If  $M$  does not halt, then  $M(x) = \nearrow$ .

## Operational Semantics

A **configuration** of machine  $M$  is a triple  $(q, w, u)$ , where

- $q \in K$  is the current state,
- $w \in \Sigma^+$  is the string to the left of the cursor, including the symbol scanned by the cursor, and
- $u \in \Sigma^*$  is the string to the right of the cursor

The relation  $\rightarrow^M$  **yields in one step**  $(q, w, u) \rightarrow^M (q', w', u')$ , where  $q', w', u'$  are obtained according to the transition function.

The relation **yields in  $k$  steps**  $(q_1, w_1, u_1) \rightarrow^{M^k} (q_k, w_k, u_k)$  if there exists configurations

$$(q_1, w_1, u_1) \rightarrow^M (q_2, w_2, u_2) \rightarrow^M \dots \rightarrow^M (q_k, w_k, u_k)$$

The relation **yields**  $(q, w, u) \rightarrow^{M^*} (q', w', u')$  if there exists some  $k \geq 0$  such that  $(q, w, u) \rightarrow^{M^k} (q', w', u')$ .

## Decidable and Semidecidable Languages

Let  $L \subseteq (\Sigma - \{\sqcup\})^*$  be a **language**.

A Turing machine  $M$  **decides**  $L$ , if for every string  $x \in (\Sigma - \{\sqcup\})^*$ ,

- if  $x \in L$ , then  $M(x) = yes$  and
- if  $x \notin L$ , then  $M(x) = no$ .

If  $L$  is decided by some Turing machine,  $L$  is called a **decidable** language.

A Turing machine  $M$  **computes** a function

$$f : (\Sigma - \{\sqcup\})^* \rightarrow \Sigma^*,$$

if for every string  $x \in (\Sigma - \{\sqcup\})^*$ ,  $M(x) = f(x)$ . If such an  $M$  exists,  $f$  is called a **computable** function.

A Turing machine  $M$  **accepts** or **semidecides**  $L$ , if for every string  $x \in (\Sigma - \{\sqcup\})^*$ ,

- if  $x \in L$ , then  $M(x) = yes$  and
- if  $x \notin L$ , then  $M(x) = \nearrow$ .

If  $L$  is accepted by some Turing machine,  $L$  is called a **semidecidable** language.

## Deterministic $k$ -tape Turing machine

A  **$k$ -tape Turing machine**, for some integer  $k \geq 1$ , is a quadruple  $M = (K, \Sigma, \delta, s)$  where the transition function is generalized to handle  $k$ -tapes simultaneously

$$\delta : K \times \Sigma \rightarrow (K \cup \{h, yes, no\}) \times (\Sigma \times \{\rightarrow, \leftarrow, -\})^k.$$

Transitions for  $k$ -tape machines are of the form

$$\delta(q, \sigma_1, \dots, \sigma_k) = (p, \rho_1, D_1, \dots, \rho_k, D_k).$$

A **configuration** is defined as a  $2k + 1$ -tuple

$$(q, w_1, u_1, \dots, w_k, u_k).$$

A  $k$ -tape machine with input  $x$  starts from the configuration

$$(s, \triangleright, x, \triangleright, \epsilon, \dots, \triangleright, \epsilon),$$

where  $\epsilon$  is the empty string.

**Output** is defined as for standard machines

- if  $(s, \triangleright, x, \triangleright, \epsilon, \dots, \triangleright, \epsilon) \xrightarrow{M^*} (h, w_1, u_1, \dots, w_k, u_k)$ , then  $M(x) = y$  where  $y$  is  $w_k u_k$  with the leading  $\triangleright$  and trailing  $\sqcup$ s removed, that is, output is read from the last ( $k$ th) tape.

The **runtime** of  $M$  on input  $x$  is  $t$  if

$$(s, \triangleright, x, \triangleright, \epsilon, \dots, \triangleright, \epsilon) \xrightarrow{M^t} (H, w_1, u_1, \dots, w_k, u_k),$$

where  $H \in \{h, yes, no\}$ . If  $M(x) = \nearrow$ , then the runtime is considered to be  $\infty$ .

## Time Complexity

Machine  $M$  **operates within time**  $f(n)$ , if for any input string  $x$ , the runtime by  $M$  on  $x$  is at most  $f(|x|)$  where  $|x|$  is the size of the input  $x$ .

Also,  $f(n)$  is (**upper**) **time bound** for  $M$  and the language  $L$  decided by  $M$  belongs to the **time complexity class** **TIME**( $f(n)$ ).

The set of all languages decidable by deterministic Turing machines in polynomial time is defined as:

$$\mathbf{P} = \bigcup_{k \geq 0} \mathbf{TIME}(n^k).$$

## Space Complexity

A  $k$ -tape Turing machine  $k > 2$  **with input and output** is an ordinary  $k$ -tape Turing machine with the following restrictions on the transitions function  $\delta$ :

If  $\delta(q, \sigma_1, \dots, \sigma_k) = (p, \rho_1, D_1, \dots, \rho_k, D_k)$ , then

- 1)  $\rho_1 = \sigma_1$  (read-only input string)
- 2)  $D_k \neq \leftarrow$  (write-only output string), and
- 3) if  $\sigma_1 = \sqcup$ , then  $D_1 = \leftarrow$  (end of input respected).

## Space Usage

Suppose for a  $k$ -tape Turing machine  $M$  and an input  $x$  we have

$$(s, \triangleright, x, \triangleright, \epsilon, \dots, \triangleright, \epsilon) \xrightarrow{M^*} (H, w_1, u_1, \dots, w_k, u_k),$$

where  $H \in \{h, yes, no\}$  is Halting state. Then, the **space used** is

$$\sum_{i=1}^k |w_i u_i|$$

If  $M$  is a Turing machine *with input and output*, the space used is

$$\sum_{i=2}^{k-1} |w_i u_i|$$

We exclude the effect of reading the input and writing the output as regards TM space usage.

Let  $f : \mathbb{N} \rightarrow \mathbb{R}^+$ . Turing machine  $M$  **operates within space**  $f(n)$  if for any input  $x$ ,  $M$  uses space at most  $f(|x|)$ .

## Space Complexity Classes

The **space complexity class** **SPACE**( $f(n)$ ) comprises the family of languages  $L$  that can be decided by Turing machines with input and output operating within space  $f(n)$ .

The class **SPACE**( $\log(n)$ ) is denoted by **L**.

## Nondeterministic Turing Machines

A nondeterministic Turing machine (NTM) is a quadruple  $N = (K, \Sigma, \Delta, s)$  where  $\Delta$  is a **transition relation**:

$$\Delta \subseteq (K \times \Sigma) \times [(K \cup \{h, yes, no\}) \times \Sigma \times \{\rightarrow, \leftarrow, -\}]$$

Yields is a relation  $(q, w, u) \vdash_N (q', w', u')$  if there exists a tuple in  $\Delta$  that makes this a legal transition. We have relations  $\vdash_N^k$  and  $\vdash_N^*$  defined as previously.

A nondeterministic Turing machine  $N$  **decides** a language  $L$  if for any  $x \in \Sigma^*$ , the following holds.

- 1) all the computation sequences of  $N$  on input  $x$  halt, and
- 2)  $x \in L$  iff at least one of them ends in-state *yes*

## Time Complexity Classes

A nondeterministic Turing machine  $N$  decides a language  $L$  **in time**  $f(n)$  if  $N$  decides  $L$  and for any  $x \in \Sigma^*$ , if  $(x, \triangleright, x) \vdash_N^k (q, w, u)$ , then  $k \leq f(|x|)$ .

The time complexity class **NTIME**( $f(n)$ ) comprises the family of languages  $L$  that can be decided by nondeterministic Turing machines in time  $f(n)$ .

The family **NP** of all languages decidable by nondeterministic Turing machines in polynomial time is defined as

$$\mathbf{NP} = \bigcup_{k \geq 0} \mathbf{NTIME}(n^k).$$

## Space Complexity Classes

Given a  $k$ -tape NTM  $N$  with input and output, we say that  $N$  decides language  $L$  **within space**  $f(n)$  if  $N$  decides  $L$  and for any  $x \in (\Sigma - \{\sqcup\})^*$ , if  $(s, \triangleright, x, \triangleright, \epsilon, \dots, \triangleright, \epsilon) \vdash_N^* (h, w_1, u_1, \dots, w_k, u_k)$ , then  $\sum_{i=2}^{k-1} |w_i u_i| \leq f(|x|)$ .

## Universal Turing Machines and Undecidability

### Encoding TMs using Integers

Encoding a Turing machine  $M = (K, \Sigma, \delta, x)$  using integers:

- 1)  $1, 2, \dots, |\Sigma|$  encode symbols  $\Sigma$
- 2)  $|\Sigma|+1, \dots, |\Sigma|+|K|$  encode states  $K$  where  $s = |\Sigma|+1$
- 3)  $|\Sigma| + |K| + 1, \dots, |\Sigma| + |K| + 6$  encode  $\leftarrow, \rightarrow, -, h, yes, no$

Turing machine  $M = (K, \Sigma, \delta, x)$  is encoded as

$$b(|\Sigma|); b(|K|); e(\delta)$$

where  $b(k)$  denotes an encoding of integer  $k$  with exactly  $\lceil \log(|\Sigma| + |K| + 6) \rceil$  bits and  $e(\delta)$  is a sequence of pairs  $((q, p), (p, \rho, D))$  describing the transition function  $\delta$ .

## Universal Turing Machine

A **universal Turing machine**  $U$  takes as input a description (encoding) of another Turing machine  $M$  and an input  $x$  for  $M$ , and simulates  $M$  on  $x$  so that  $U(M; x) = M(x)$ .

## Halting Problem

**HALTING** problem

- Instance: The description of a Turing machine  $M$  and its input  $x$ .
- Question: Does  $M$  halt on  $x$ ?

The corresponding language is defined as

$$H = \{M; x \mid M(x) \neq \nearrow\}.$$

The Halting problem (the language  $H$ ) is semidecidable.

Halting is undecidable.

## Undecidability

Assume two languages  $B$  and  $A$ . A **reduction from  $B$  to  $A$**  is a transformation  $t$  of the input  $y$  of  $B$  to the input  $t(y)$  of  $A$  such that, for all strings  $y$ , it holds that

$$y \in B \text{ if and only if } t(y) \in A.$$

Problem  $A$  is undecidable if the algorithm for deciding  $A$  implies an algorithm for deciding the halting  $H$ . It can be shown by devising a reduction  $t$  from halting  $H$  to  $A$ .

Suppose  $A$  were decided by a Turing machine  $M_A$ . Then  $H$  would be decided by a machine  $M_H$  that on input  $M; x$ .

$M_H(M; x)$ :

- 1)  $y \leftarrow M_t(M; x)$
- 2)  $M_A(y)$

First, runs the machine  $M_t$  computing the transformation  $t$ . Then, runs  $M_A$  on the result.

## Further Undecidable Problems

The following languages are not decidable:

- 1)  $T = \{M \mid M \text{ halt on all inputs}\}$ . Correspond to problem **TOTAL**
- 2)  $\{M; x \mid M(x) = y \text{ for some } y\}$
- 3)  $\{M; x \mid \text{the computation of } M \text{ on input } x \text{ uses all states of } M\}$
- 4)  $\{M; x; y \mid M(x) = y\}$

A reduction of **HALTING** to **TOTAL**:

- Given input  $M; x$ , consider a machine  $M_x$  that works as follows:  $M_x(y)$ : if  $y = x$  then  $M(x)$  else halt.
- Define a reduction mapping  $t(M; x) = M_x$ . (That is, the input  $x$  is hardcoded into the machine code of  $M$  and the results is the new code.)
- Now  $M; x \in H$  iff  $M$  halts on  $x$  iff  $M_x$  halts on all input iff  $M_x \in T$ .

## References

Papadimitriou, C.H., 1994. *Computational complexity*. Addison-Wesley.pp.I–XV, 1–523.