RECORD INFORMATION

Issue Number	AULI 170	SubmissionDate:	8/12/2015	SubmissionTime:	12:00:00 PM

AUTHOR INFORMATION

AuthorName:	Kelly Wang	Email:	kelly.wang@tal.com.au
Author Organization:	TAL	Org Type:	Insurer

ITEM TRACKING / BUSINESS DETAIL

Status:	Resolved:Requirements	Title:	Add new complex element <InvestmentPeriod> in <InvestmentAccount>

Description: Add complex element <InvestmentSummaryPeriod> with Period_Type (existing) beneath <InvestmentInterestedParty> in <InvestmentAccount>

Business Case: Business Requirements. Capture Investment Start date and End date

Attachments:

STAFF REVIEW DETAIL

ReviewDate:	8/28/2015	Reviewer:	Alan Stitzer

Discussions: 20150826: Using InvestmentSummaryPeriod

LIWG - Change due to legislative requirements to extract fund details from the ATO.

20150812: Can we use already existing tag InvestmentSummaryPeriod?

Resolution: changes made as REVISED by Working Group.

RECORD INFORMATION

Issue Number	AULI 174	SubmissionDate:	8/12/2015	SubmissionTime:	12:00:00 PM

AUTHOR INFORMATION

AuthorName: Kelly Wang

Email: kelly.wang@tal.coma.au

Author Organization: TAL

Org Type: Insurer

ITEM TRACKING / BUSINESS DETAIL

Status: Resolved:Requirements

Title: Add 'FundOrganization' in the InterestedPartyBusinessRelationshipRoleCode Content_Type code list

Description: Add 'FundOrganization' in the InterestedPartyBusinessRelationshipRoleCodeContent_Type code list

Business Case: Business Requirements. Capture the details of a FundOrganization as an Interested Party

Attachments:

STAFF REVIEW DETAIL

ReviewDate: 8/28/2015   Reviewer: Alan Stitzer

Discussions: ACORD - OK

LIWG - Change due to legislative requirements to extract fund details from the ATO.

Resolution: changes made as requested

RECORD INFORMATION

Issue Number	AULI 175	SubmissionDate:	8/12/2015	SubmissionTime:	12:00:00 PM

AUTHOR INFORMATION

AuthorName:	Kelly Wang	Email:	kelly.wang@tal.coma.au
Author Organization:	TAL	Org Type:	Insurer

ITEM TRACKING / BUSINESS DETAIL

Status:	Resolved:Requirements	Title:	Add new element <ContributionRestrictionIndicator> in <SuperannuationSection>
Description:	Add new element <ContributionRestrictionIndicator> at the end of <SuperannuationSection>		
Business Case:	Business Requirements. Indication that there is a restriction on which employer can make a contribution		

Attachments:

STAFF REVIEW DETAIL

ReviewDate:	8/28/2015	Reviewer:	Alan Stitzer

Discussions: 20150826: OK to change name to ContributionRestrictionIndicator

ACORD - Should we call it ContributionRestrictionIndicator

LIWG - Change due to legislative requirements to extract fund details from the ATO.

20150812: Is this sufficient to cover off the business requirement?

Resolution: changes made as REVISED by WG

RECORD INFORMATION

Issue Number	AULI 176	SubmissionDate:	8/12/2015	SubmissionTime:	12:00:00 PM

AUTHOR INFORMATION

AuthorName: Kelly Wang

Email: kelly.wang@tal.coma.au

Author Organization: TAL

Org Type: Insurer

ITEM TRACKING / BUSINESS DETAIL

Status: Resolved:Requirements

Title: Add new code 'RiskControl' in ApplicationTypeCodeContent_Type code list

Description: Add new code 'RiskControl' in ApplicationTypeCodeContent_Type code list to capture Risk Control type applications.

Business Case: Capture risk control application type

Attachments:

STAFF REVIEW DETAIL

ReviewDate: 8/28/2015   Reviewer: Alan Stitzer

Discussions: 20150826: description received, ok to move forward.

20150819: Description from TAL: "RiskControl applications are a type of application with low risk and simplified underwriting process, such as an application requires to increase cover just because salary increased or Double member default Death/TPD cover within 60 days from the date member receiving Fund's Welcome Letter"

LIWG - Change due to legislative requirements to extract fund details from the ATO.
TAL - description for this code needed

201508012: no issue

Resolution: changes made as requested

RECORD INFORMATION

Issue Number	AULI 181	SubmissionDate:	10/12/2015	SubmissionTime:	12:00:00 PM

AUTHOR INFORMATION

AuthorName:	Kelly Wang	Email:	kelly.wang@tal.com.au
Author Organization:	TAL	Org Type:	Insurer

ITEM TRACKING / BUSINESS DETAIL

Status:	Resolved:Requirements	Title:	Add <AssignedIdentifier> in <BankAccount>

Description: Add <AssignedIdentifier> in <BankAccount> above <TypeCode>

Business Case: Capture identifier(s) relating to Bank Account

Attachments:

STAFF REVIEW DETAIL

ReviewDate:	10/16/2015	Reviewer:	Alan Stitzer

Discussions: 20151016: CLOSED - DUPLICATE

20151015: Related to 171

20151013: Please provide more details on what this identifier is. There is already AccountNumber, routing Number, BankBSBNumber.

Resolution:

RECORD INFORMATION

Issue Number	AULI 182	SubmissionDate:	10/12/2015	SubmissionTime:	12:00:00 PM

AUTHOR INFORMATION

AuthorName: Kelly Wang

Email: kelly.wang@tal.com.au

Author Organization: TAL

Org Type: Insurer

ITEM TRACKING / BUSINESS DETAIL

Status: Resolved:Requirements

Title: Add <AssignedIdentifier> in <SuperannuationSection>

Description: Add <AssignedIdentifier> on the top of <SuperannuationSection>

Business Case: Capture identifier(s) relating to Superannuation

Attachments:

STAFF REVIEW DETAIL

ReviewDate: 10/16/2015   Reviewer: Alan Stitzer

Discussions: 20151013: DUPLICATE: Issue 173, Resolved

Resolution:

RECORD INFORMATION

Issue Number AULI   183   SubmissionDate: 10/12/2015   SubmissionTime: 12:00:00 PM

AUTHOR INFORMATION

AuthorName: Kelly Wang   Email: kelly.wang@tal.com.au

Author Organization: TAL   Org Type: Insurer

ITEM TRACKING / BUSINESS DETAIL

Status: Resolved:Requirements   Title: Add new code in 'TransactionSubFunctionCodeContent_Type' and PolicyOrderTransactionSubFunctionCodeContent_Type and

Description: Add new code 'ForInitiation' in 'TransactionSubFunctionCodeContent_Type' and PolicyOrderTransactionSubFunctionCodeContent_Type code list

Business Case: Member contacts Advisor who sends request for cover to TAL.
TAL administrator enters in request into system which then creates this PolicyNewBusinessOrderProcess message to be sent which initiates the member Application Process. There are other steps post this
message that might require members to provide additional information before the application can be completed.
This first message initiates the application process and as such , the subfunction code should follow the existing naming pattern used with "ForInterview", "ForUnderwriting".
"ForApplicationInitiation" seemed a logical name to follow.

Attachments:

STAFF REVIEW DETAIL

ReviewDate: 10/16/2015   Reviewer: Alan Stitzer

Discussions: 20151015: Business case provided and updated.

Resolution: code added as requested

RECORD INFORMATION

Issue Number   AULI   184   SubmissionDate: 10/12/2015   SubmissionTime: 12:00:00 PM

AUTHOR INFORMATION

AuthorName: Kelly Wang   Email: kelly.wang@tal.com.au

Author Organization: TAL   Org Type: Insurer

ITEM TRACKING / BUSINESS DETAIL

Status: Resolved:Requirements   Title: Add a new code 'TFN' in PersonExternalIdentifierTypeCodeContent_Type code list

Description: Add a new code 'TFN' in PersonExternalIdentifierTypeCodeContent_Type code listTFN: Tax File Number

Business Case: Capture member's Tax File Number

Attachments:

STAFF REVIEW DETAIL

ReviewDate: 10/16/2015   Reviewer: Alan Stitzer

Discussions: 20151015: OK

Resolution: change made as requested

RECORD INFORMATION

Issue Number	AULI 185	SubmissionDate:	10/12/2015	SubmissionTime:	12:00:00 PM

AUTHOR INFORMATION

AuthorName:	Kelly Wang	Email:	kelly.wang@tal.com.au
Author Organization:	TAL	Org Type:	Insurer

ITEM TRACKING / BUSINESS DETAIL

Status:	Resolved:Requirements	Title:	Add new elenemt <StatusDate> in <SuperannuationSection>

Description: Add <StatusDate> in <SuperannuationSection> after <InvestmentOption>Used to capture Superannuation account statusThe Date type is xsd:date

Business Case: 20151015: Please supply a business case for this.

Attachments:

STAFF REVIEW DETAIL

ReviewDate: 10/16/2015   Reviewer: Alan Stitzer

Discussions: 20151015: No business case supplied yet.

Resolution: change made as requested

RECORD INFORMATION

Issue Number   AULI   187   SubmissionDate: 10/12/2015   SubmissionTime: 12:00:00 PM

AUTHOR INFORMATION

AuthorName: Kelly Wang   Email: kelly.wang@tal.com.au

Author   TAL   Org Type: Insurer
Organization:

ITEM TRACKING / BUSINESS DETAIL

Status: Resolved:Requirements   Title: Add new element <StatusCodeDescription> in
                                                         <SuperannuationSection>

Description: Add <StatusCodeDescription> in <SuperannuationSection> after <StatusCode>The data type
              will be LongTextContent_Type

Business   This element is used to describe why the status changed
Case:

Attachments:

STAFF REVIEW DETAIL

ReviewDate: 10/16/2015   Reviewer: Alan Stitzer

Discussions: 20151015: ACORD to discuss naming offline.

Resolution: changes made as requested

RECORD INFORMATION

Issue Number	oc	171	SubmissionDate:	8/12/2015	SubmissionTime:	12:00:00 PM

AUTHOR INFORMATION

AuthorName:	Kelly Wang	Email:	kelly.wang@tal.coma.au
Author Organization:	TAL	Org Type:	Insurer

ITEM TRACKING / BUSINESS DETAIL

Status:	Resolved:Requirements	Title:	Add following 4 new elements in aggregate <BankAccount>

Description: Add following 4 new elements in order in aggregate <BankAccount>: <PrimaryIndicator> : Boolean. Indicate ta bank account is primary or sencondary;<AccountName> : LongText_Type, Bank Account name<BPAYBillerCode> : SimpleIdentifier_Type. BPAYBillerCode linking to the bank account<ElectronicServiceURI>: xsd:anyURI. Electronic service address

Business Case: Business Requirements. Capture more bank account details

Attachments:

STAFF REVIEW DETAIL

ReviewDate:	10/16/2015	Reviewer:	Alan Stitzer

Discussions: 20151015: This may not be a person but an organisation but also may not be a name of an entity but rather just the name of the account which might have no bearing on the name of the legal entity.

Still not sure that the BPAYBillerCode belongs on bank account.

20150828: For AccountName, why cant we use already existing structure?
```
<AccountHolder>
  <PersonReferences>
    <PersonName>
      <FullName>
    </PersonName>
  </PersonReferences>
</AccountHolder>
```

20150826:
PrimaryIndicator, OK
AccountName, OK
ElectronicServiceURI, please clarify
BPAYBillerCode, please clarify. This does not appear to belong on BankAccount

LIWG - Change due to legislative requirements to extract fund details from the ATO.
ACORD - Check Superstream banking details alignment and use of AssignedIdentifier instead of BPAY. Name would have to be BPAYBillerID. Let's discuss BPAY Info offline eg. I we have customer ref number as well.

20150812: Can we use AssignedIdentifier for BPAYBillerCode?

Resolution: PrimaryIndicator, AccountName (new tag), BPAYBillerId and ElectronicServiceURI added.
BPAYBillerCode tag name changed due to ACORD Naming and Design rules.

RECORD INFORMATION

Issue Number	AULI 186	SubmissionDate:	10/12/2015	SubmissionTime:	12:00:00 PM

AUTHOR INFORMATION

AuthorName:	Kelly Wang	Email:	kelly.wang@tal.com.au
Author Organization:	TAL	Org Type:	Insurer

ITEM TRACKING / BUSINESS DETAIL

Status:	Resolved:Requirements	Title:	Add <StatusCode> in <SuperannuationSection>

Description: Add <StatusCode> in <SuperannuationSection> after <StatusDate>The Data type will be InvestmentStatusCode_Type, the codelist name will be 'InvestmentStatusCodeContent_Type' with following 3 codes:- InForce- Pending- Terminated

Business Case: We need a way to store the current status of the Acount, that being whether the account is :
"In Force" – Account is active and currently being used by the member.
"Pending" – Account is under review as the member has requested the account but it has not been approved yet.
"Terminated" – Account has been closed, exited or terminated.
We also need a way to capture the date at which the account was last set to this status however needs some off line discussion because EffectiveDate may eg. Already overlap with the date set in this status.

Attachments:

STAFF REVIEW DETAIL

ReviewDate:	10/16/2015	Reviewer:	Alan Stitzer

Discussions: 20151015: Business case supplied and updated

Resolution: changes made as requested

RECORD INFORMATION

Issue Number	AULI 179	SubmissionDate:	10/12/2015	SubmissionTime: 12:00:00 PM

AUTHOR INFORMATION

AuthorName:	Kelly Wang	Email:	kelly.wang@tal.com.au
Author Organization:	TAL	Org Type:	Insurer

ITEM TRACKING / BUSINESS DETAIL

Status:	Resolved:Requirements	Title:	Add <TransactionFunctionCode> in <FundINformationNotify>

Description: The Data type will be InvestmentTransactionFunctionCode_Type, the codelist name will be 'InvestmentTransactionFunctionCodeContent_Type' with following 2 codes:- FundInformation- MemberInformation

Business Case: For "FundInformation", the ATO sends a batch file containing all fund details for Several thousand superannuation funds.
These are then sent to all consumer systems using the InvestmentInquiryNotify message.
This code will identify whether this message is being used to move this set of batch fund information or , alternately information on Members for your own funds, in which case the "MemberInformation" code will be used.

Attachments:

STAFF REVIEW DETAIL

ReviewDate:	10/15/2015	Reviewer:	Alan Stitzer

Discussions: 20151015 - Business Case provided and updated.

Resolution: change made as requested

RECORD INFORMATION

Issue Number	AULI 188	SubmissionDate:	10/12/2015	SubmissionTime:	12:00:00 PM

AUTHOR INFORMATION

AuthorName:	Kelly Wang	Email:	kelly.wang@tal.com.au
Author Organization:	TAL	Org Type:	Insurer

ITEM TRACKING / BUSINESS DETAIL

Status:	Resolved:Requirements	Title:	Add 2 new codes in InterestedPartyBusinessRelationshipRoleCode Content_Type

Description: Add following 2 new codes in InterestedPartyBusinessRelationshipRoleCodeContent_Type codelist:- Intermediary- ReportingParty

Business Case: The "Intermediary" code is from the ATO and used to describe the sales producer/agent or advisor.
The "ReportingParty" code is from the ATO and used to describe the party who needs to be notified - TBC

Attachments:

STAFF REVIEW DETAIL

ReviewDate:	10/21/2015	Reviewer:	Alan Stitzer

Discussions: 20151021: Definitions added:

Intermediary: In the context of intermediated insurance, the intermediary may be an agent, broker, authorised representative of a broker or insurer or bancassurance partner. In the context of Australian superannuation, the Australian Taxation Office (ATO) defines an intermediary as an administrator, agent or parent company of the fund or the fund itself.

Also referred to as a Reporting Party. An accounting term used in relation to financial reporting that identifies the party or entity producing the financial report. In the context of Australian Insurance and Superannuation, if an organisation is defined as a reporting entity then it must adhere to the financial and accounting standards set down by the Australian Accounting Standards Review Board. If it is not a reporting entity, it can present financial information in any format.

20151015: business case supplied and updated. TAL to check on precise definition from ATO

Resolution: ReportingEntity existed already in the database. Resued that existing code rather than add ReportingParty. Provided updated defintions from TAL.

RECORD INFORMATION

Issue Number AULI   172   SubmissionDate: 8/12/2015   SubmissionTime: 12:00:00 PM

AUTHOR INFORMATION

AuthorName: Kelly Wang   **Email:**   kelly.wang@tal.coma.au

Author Organization: TAL   **Org Type:**   Insurer

ITEM TRACKING / BUSINESS DETAIL

Status: Resolved:Requirements   **Title:**   Add <BankAccount> in <InvestmentAccount>

Description: Add <BankAccount> (existing) beneath <InvestmentSummaryPeriod> in <InvestmentAccount>

Business Case: Business Requirements. Capture Bank Account details releting to an investment Account

Attachments:

STAFF REVIEW DETAIL

ReviewDate: 11/12/2015   **Reviewer:**   Alan Stitzer

Discussions: 20151111: Ok to move in next draft.

20151110: TAL Can we move <BankAccount> beneath <InvestmentTransactionAmountItem> in version 1.3 ?

20150826: OK

LIWG - Change due to legislative requirements to extract fund details from the ATO.

Resolution: 20151112: Additional change of moving BankAcount below InvestmentTransactionAmountItem done.

Changes made as requested

RECORD INFORMATION

Issue Number   AULI   177   SubmissionDate: 8/12/2015   SubmissionTime: 12:00:00 PM

AUTHOR INFORMATION

AuthorName: Kelly Wang   Email: kelly.wang@tal.coma.au

Author
Organization: TAL   Org Type: Insurer

ITEM TRACKING / BUSINESS DETAIL

Status: Resolved:Requirements   Title: Add new element
                                                         'ApplicationTypeCodeDescription' in
                                                         <PolicyOrder> (AULI ONLY)

Description: Add new element 'ApplicationTypeCodeDescription' with LongText_Type beneath
                <ApplicationTypeCode> in <PolicyOrder> It is used to capture further information of
                ApplicationType, for example, different fund have different risk control applications, it could
                be PackageChoice which provides different choices for new Employer Sponsored members to
                change their cover in a specified days from receiving your Fund's Welcome Letter; Salary
                Increase which means member can increase IP cover when they receive a salary increase. Etc.

Business
Case: Capture further description of Application type

Attachments:

STAFF REVIEW DETAIL

ReviewDate: 11/12/2015   Reviewer: Alan Stitzer

Discussions: 20151111: OK

                20151110: TAL It needs to be changed to Optional from Required (mandatory), it will break
                TAL/Unisuper system in Production

                ACORD -change name to ApplicationTypeCodeDescription for NDR alignment.

                LIWG - Change due to legislative requirements to extract fund details from the ATO.

Resolution: 20151112: ApplicationTypeCodeDescription made optional as requested by TAL.

                Changes made as REVISED by WG

RECORD INFORMATION

Issue Number AULI 178   SubmissionDate: 8/12/2015   SubmissionTime: 12:00:00 PM

AUTHOR INFORMATION

AuthorName: Kelly Wang   Email: kelly.wang@tal.coma.au

Author Organization: TAL   Org Type: Insurer

ITEM TRACKING / BUSINESS DETAIL

Status: Resolved:Requirements   Title: Add a New message <FundInformationNotify> in AML 1.2.0

Description: Add a New message <FundInformationNotify> in AML 1.2.0 with Structure in worksheet 'FundInformationNotify'

Business Case: Business Requirement

Attachments: C:\Users\Stitzer\Documents\ACORD\Countries-Regions\Australia\001 Deliverables\Life\Issues\Version 1-3-0\2015-08-12-Issue Logging Spreadsheet-TAL.xlsx

STAFF REVIEW DETAIL

ReviewDate: 11/12/2015   Reviewer: Alan Stitzer

Discussions: 20151111: OK to rename message to conform to WG request.

20151110: TAL Added <FundInformationNotify> As requested oringinally Added As requested Initially. (To Be Confirmed with ACORD)
In the Working group, we discussed this and agreed to use name <InvestmentInquiryNotify> as ACORD suggested. Could ACORD please confirm name <InvestmentInquiryNotify> won't be used (TAL already changed <FundInformationNotify> to <InvestmentInquiryNotify>?

20151026: email from Steve Tuften:
In our last working group minutes of 15th Oct, it was noted that "InvestmentInquiryNotify still seems to be the right name for this message."

I don't have an issue with the second half of the name of the message being "InformationNotify" however I think we should refer to "Investment" rather than "Fund".

The description of the message in the help file in fact states…" The purpose of this message is to share information on an investment account (fund, annuity, etc.)…"

Naming it InvestmentInformationNotify makes it consistent with the naming of InvestmentCorrespondenceNotify message and reflects the fact that , not just Fund but any investment (Super, Annuity, managed fund) information can be passed within this message.

20151015: Purpose of the message is to share information on an investment account (fund, annuity etc) with another party or system. Eg. When a contribution (rollover in) is made into a super fund party A can then send Party B a message with details on the movement of money into and out of the associated bank accounts. Any other information that changes on the Investment account may also be notified, not just money in or out.
At present only a one way notification message is required but potentially in future a request – response might be requested by a member.
Initially this message is to be used internally but may also be, in future, sent to an external party.

20150826: Please provide more complete description of the process being modeled.

ACORD - need to review naming of message
TAL - superstream message from ATO translated into an ACORD message.
Maybe InvestmentInquiryNotify ???

20150812: This needs more discussion to comply with naming and design rules as well as more detail on the message itself.

LIWG - Change due to legislative requirements to extract fund details from the ATO.

Resolution: 20151112: Message renamed to InvestmentInquiryNotify. Also renamed InvestmentInformation Aggregate to InvestmentInquiry for consistency.

20151026: Message renamaed to InvestmentInformationNotify

message added as requested

RECORD INFORMATION

Issue Number AULI   191   SubmissionDate: 11/5/2015   SubmissionTime: 12:00:00 PM

AUTHOR INFORMATION

AuthorName: Kelly Wang   Email: kelly.wang@tal.com.au

Author
Organization: TAL   Org Type: Insurer

ITEM TRACKING / BUSINESS DETAIL

Status: Resolved:Requirements   Title: Change <IdentifierDescription> data type
                                                         from LongText_Type to InfiniteText_Type.

Description: <IdentifierDescription> data type is LongText_Type, As LongText_Type is maximum 255
              characters and may not enough for some descriptiosn. Can we change it to InfiniteText_Type.
              It shouldn't break anything as both are string data type (Note: We notice some
              LongText_Type Description in 1.2 has been changed to InfiniteText_Type in 1.3)

Business
Case: Capture descriptions for Ids more than 255 charactors

Attachments:

STAFF REVIEW DETAIL

ReviewDate: 11/12/2015   Reviewer: Alan Stitzer

Discussions: 20151110: OK

Resolution: Data type changed as requested.

RECORD INFORMATION

| Issue Number | AULI | 192 | SubmissionDate: | 11/5/2015 | SubmissionTime: | 12:00:00 PM |

AUTHOR INFORMATION

| AuthorName: | Kelly Wang | Email: | kelly.wang@tal.com.au |
| Author Organization: | TAL | Org Type: | Insurer |

ITEM TRACKING / BUSINESS DETAIL

Status: Resolved:Requirements

Title: Add <AssignedIdentifier> in LifeItemSectionOption_Type

Description: The current Schema has <AssignedIdentifier> in PolicySectionOption_Type but not in LifeItemSectionOption_Type , can we add it in? the location will be the same as it is in the PolicySectionOption_Type

Business Case: To capture identifiers linking to a life insured in Lifeitem level.

Attachments:

STAFF REVIEW DETAIL

ReviewDate: 11/12/2015   **Reviewer:** Alan Stitzer

Discussions: 20151110: OK

Resolution: change made as requested

RECORD INFORMATION

Issue Number	AULI 193	SubmissionDate:	11/5/2015	SubmissionTime:	12:00:00 PM

AUTHOR INFORMATION

AuthorName:	Kelly Wang		Email:	kelly.wang@tal.com.au
Author Organization:	TAL		Org Type:	Insurer

ITEM TRACKING / BUSINESS DETAIL

Status:	Resolved:Requirements	Title:	Add code 'Other' LifeCoverageOptionCodeContent_Type?

Description: The code 'Other' is included in OptionCodeContent_Type but not in LifeCoverageOptionCodeContent_Type, can we add it in LifeCoverageOptionCodeContent_Type?

Business Case: To capture Options that can't be find in the LifeCoverageOptionCodeContent_Type

Attachments:

STAFF REVIEW DETAIL

ReviewDate:	11/12/2015	Reviewer:	Alan Stitzer

Discussions: 20151110: OK

Resolution: change made as requested

RECORD INFORMATION

| Issue Number | AULI | 194 | SubmissionDate: | 11/5/2015 | SubmissionTime: | 12:00:00 PM |

AUTHOR INFORMATION

| AuthorName: | Kelly Wang | Email: | kelly.wang@tal.com.au |
| Author Organization: | TAL | Org Type: | Insurer |

ITEM TRACKING / BUSINESS DETAIL

| Status: | Resolved:Requirements | Title: | Add code 'Retail' in BroadLineOfBusinessCodeContent_Type |

Description: Add code 'Retail' in BroadLineOfBusinessCodeContent_Type

Business Case: To Indicate that the applicatons belong to Retail line of the business

Attachments:

STAFF REVIEW DETAIL

ReviewDate: 11/12/2015   Reviewer: Alan Stitzer

Discussions: 20151110: OK

Resolution: change made as requested

RECORD INFORMATION

Issue Number AULI   173   SubmissionDate: 8/12/2015   SubmissionTime: 12:00:00 PM

AUTHOR INFORMATION

AuthorName: Kelly Wang   Email: kelly.wang@tal.coma.au

Author Organization: TAL   Org Type: Insurer

ITEM TRACKING / BUSINESS DETAIL

Status: Resolved:Requirements   Title: Add \<AssignedIdentifier\> in \<SuperannuationSection\>

Description: Add \<AssignedIdentifier\> on the top of \<SuperannuationSection\>, managedfundsection and annuitysection

Business Case: Capture identifier(s) relating to Superannuation

Attachments:

STAFF REVIEW DETAIL

ReviewDate: 10/13/2015   Reviewer: Alan Stitzer

Discussions: 20151113: TAL: OK to make change

20151111: Please note that if we do this, the same change is also applied to ManagedFundSection and AnnuitySection due to the use of InvestmentAccountSection_Type in all three of those sections. Please confirm before we make the change.

20151110: TAL Can we move \<AssignedIdentifier\> above TypeCode in \<SuperannuationSection\> in version 1.3?

ACORD - OK and also add to managedfundsection and annuitysection.

20150812: should we also add AssignedIdentifier to AnnuitySection and ManagedFundSection?

Resolution: 20151113: changes made as approved by TAL on Nov 13.

changes made as REVISED by WG

RECORD INFORMATION

Issue Number	AULI 189	SubmissionDate:	10/26/2015	SubmissionTime:	12:00:00 PM

AUTHOR INFORMATION

AuthorName:	Kelly Wang	Email:	kelly.wang@tal.com.au
Author Organization:	TAL	Org Type:	Insurer

ITEM TRACKING / BUSINESS DETAIL

Status:	Resolved:Requirements	Title:	Add a new Boolean element <VoluntaryCoverIndicator> in <LifeItemSection> aggregate

Description: Add <VoluntaryCoverIndicator> at the end of <LifeItemSection> aggregate

Business Case: Indicates that the member applied Voluntary Cover .Voluntary Cover is an amount of Insurance Cover NOT based on the Insurance Formula in Group life insurance area. It is only available where it is stated in the Policy Schedule. It is chosen by the Eligible Person . Applications for Voluntary Cover may require full Underwriting and are subject to acceptance by the Insurer.

Attachments:

STAFF REVIEW DETAIL

ReviewDate:	11/13/2015	Reviewer:	Alan Stitzer

Discussions: 20151113: TAL, Ok with rename

20151111: Need repsonse from TAL on rename.

20151016: Suggest the name for this tag = VoluntaryIndicator. The supplemental description should be used to give a detailed description of the tag in a specific aggregate. If we name it voluntaryindicator, we can get reuse out of it.

Resolution: Changes applied as revised by TAL

RECORD INFORMATION

Issue Number	AULI 190	SubmissionDate:	10/26/2015	SubmissionTime:	12:00:00 PM

AUTHOR INFORMATION

AuthorName:	Kelly Wang	Email:	kelly.wang@tal.com.au
Author Organization:	TAL	Org Type:	Insurer

ITEM TRACKING / BUSINESS DETAIL

Status: Resolved:Requirements

Title: Add a new code in TransactionFunctionCodeContent_Type and PolicyOrderTransactionFunctionCodeContent_Type

Description: Add new code PassApplicationToUnderwitingEngine in PolicyOrderTransactionFunctionCodeContent_Type code list

Business Case: Combined with code ForUnderwriting in TransactionFunctionCode, this indicates message PolicyNewBusinessOrderProcess/PolicyNewBusinessOrderProcessResult contains the required data by the underwiting Engine doing Underwriting decision(s) decisions from the underwriting engine. The normal busness process is: System A collects member basic data and passes it to the UW engine. The UW engine asks UW questions based on the basic data, makes UW decisions and passes the decisions to System A.

Attachments:

STAFF REVIEW DETAIL

ReviewDate: 11/13/2015   **Reviewer:** Alan Stitzer

Discussions: 20151113: TAL The change is for PolicyOrderTransactionFunctionCodeContent_Type

20151111: Awaiting response from TAL on clarification.

20151026: The title states TransactionFunctionCode and the description sates TransactionSubFunctionCode. We assume you are talking about TransactionSubFunctionCode, and not TransactionFunctionCode. Please confirm.

Resolution: Change made as approved and revised by TAL.

RECORD INFORMATION

Issue Number	AULI 195	SubmissionDate:	11/26/2015	SubmissionTime:	12:00:00 PM

AUTHOR INFORMATION

AuthorName:	Kelly Wang	Email:	kelly.wang@tal.com.au
Author Organization:	TAL	Org Type:	Insurer

ITEM TRACKING / BUSINESS DETAIL

Status:	Resolved:Requirements	Title:	Add all codesin FinancialAmountItemTypeCodeContent_Type but not in EmployeeInformationFinancialAmountItemTypeCodeContent_Type to EmployeeInformationFinancialAmountItemTypeCodeContent_Type

Description: Add all codesin FinancialAmountItemTypeCodeContent_Type but not in EmployeeInformationFinancialAmountItemTypeCodeContent_Type to EmployeeInformationFinancialAmountItemTypeCodeContent_Type

Business Case: EmployeeInformationFinancialAmountItemTypeCodeContent_Typeshould have the same codes with FinancialAmountItemTypeCodeContent_Type

Attachments:

STAFF REVIEW DETAIL

ReviewDate:	1/18/2016	Reviewer:	Alan Stitzer

Discussions: 2016013:

CurrentAssets, CurrentLiabilities, Expense, GrossIncome, NetAssets, NetIncome, RentalIncome, TotalAssets, Totaliabiltes

Total Assets Definition = The final amount of all gross investments, cash and equivalents, receivables, and other assets as they are presented on a balance sheet.

Total Liabilities defiition = The total amount of all financial obligations including all creditor claims on assets.

Read more: http://www.businessdictionary.com/definition/total-assets.html#ixzz3x89eGTHf

20160112: Outstanding action for TAL to follow up with codes.

20151215: Kelly to provide a shorter list of codes relevant only to Employee Financial Amounts

20151201: Please explain reasoning for this. Codes such as MajorRevenueSource, Inventory, ValueShippedInternational do not make sense to add.

Resolution:

RECORD INFORMATION

Issue Number AULI   197   SubmissionDate: 11/26/2015   SubmissionTime: 12:00:00 PM

AUTHOR INFORMATION

AuthorName: Kelly Wang

Email: kelly.wang@tal.com.au

Author Organization: TAL

Org Type: Insurer

ITEM TRACKING / BUSINESS DETAIL

Status: Resolved:Requirements

Title: Add a new element <CoverLevel> in LifeItemSectionCoverage_Type and LifeSectionCoverage_Type after <CoverageCategory> with standard codes to indicate the level of a coverage

Description: Add a new element <CoverLevel> in LifeItemSectionCoverage_Type and LifeSectionCoverage_Type after <CoverageCategory> with 3 standard codes to indicate the level of a coverage1. Standard2. Premier3. OptimalAU

Business Case: To indicate the level of a cover. Different level pays different benefit, e.g for IP cover

Standard pays a monthly benefit of upto 75% of your income with some added benefits.Premier pays a monthly benefit of upto 75% of your income with our full suite of added benefits.Optimal pays a monthly benefit for aspecified time frame depending on the cause of disability.

Attachments:

STAFF REVIEW DETAIL

ReviewDate: 1/18/2016   Reviewer: Alan Stitzer

Discussions: 20151201: No issue

Resolution: Name changed to CoverageLevelCode to comply with NDR.

RECORD INFORMATION

Issue Number   AULI   199   SubmissionDate: 11/26/2015   SubmissionTime: 12:00:00 PM

AUTHOR INFORMATION

AuthorName: Kelly Wang   Email: kelly.wang@tal.com.au

Author Organization: TAL   Org Type: Insurer

ITEM TRACKING / BUSINESS DETAIL

Status: Resolved:Requirements   Title: Add Request/Response message
                                                               <InvestmentInquiryProcess> and
                                                               <InvestmentInquiryProcessResult>

Description: Add Request/Response message <InvestmentInquiryProcess> and
               <InvestmentInquiryProcessResult> The content could be the same as InvestmentInquiry

               Just Wondering if MessageDocument_Keys needs to be added in InvestmentInquiry after
               MessageDocument_Type (it is not included in InvestmentInquiry in the latest draft of V1.3.0)

Business Case: Fund / Insurer need to send member information with TFN to ATO to validate the TFNsATO
                 validate the members' TFNs as per the information in ATO systems and send the validation
                 result back to the Fund/Insurer

Attachments:

STAFF REVIEW DETAIL

ReviewDate: 1/18/2016   Reviewer: Alan Stitzer

Discussions: 20151201: No issue

Resolution: messages added as requested

RECORD INFORMATION

| Issue Number | AULI   200 | SubmissionDate: | 11/26/2015 | SubmissionTime: | 12:00:00 PM |

AUTHOR INFORMATION

| AuthorName: | Kelly Wang | Email: | kelly.wang@tal.com.au |
| Author Organization: | TAL | Org Type: | Insurer |

ITEM TRACKING / BUSINESS DETAIL

| Status: | Resolved:Requirements | Title: | Add new element <BankName> in <BankAccount> |

Description: Add new element <BankName> at the bottom of <BankAccount>

Business Case: Used to capture Account Bank Name

Attachments:

STAFF REVIEW DETAIL

ReviewDate: 1/18/2016   Reviewer: Alan Stitzer

Discussions: 20151215:This is how Superstream represent FinancialInstitution information.
Adding Bank and branch name directly under BankACcount will support easier mapping.
Please refer to attached pdf called "AML Investments - SuperStream Concepts 18Mar2015.pdf

20151201: Can we figure out a way to use OrganizationReferences here?

Resolution: tag added as requested

RECORD INFORMATION

| Issue Number | AULI   201 | SubmissionDate: | 11/26/2015 | SubmissionTime: | 12:00:00 PM |

AUTHOR INFORMATION

AuthorName: Kelly Wang   Email: kelly.wang@tal.com.au

Author Organization: TAL   Org Type: Insurer

ITEM TRACKING / BUSINESS DETAIL

Status: Resolved:Requirements   Title: Add new element <BranchName> in <BankAccount>

Description: Add new element <BranchName> after <BankName> in <BankAccount>

Business Case: Used to capture Account Bank Branch Name

Attachments:

STAFF REVIEW DETAIL

ReviewDate: 1/18/2016   Reviewer: Alan Stitzer

Discussions: 20151215: This is how Superstream represent FinancialInstitution information.
Adding Bank and branch name directly under BankACcount will support easier mapping.
Please refer to attached pdf called "AML Investments - SuperStream Concepts 18Mar2015.pdf

20151201: Can we figure out a way to use OrganizationReferences here?

Resolution: tag added as requested

RECORD INFORMATION

Issue Number	AULI 202	SubmissionDate:	11/26/2015	SubmissionTime:	12:00:00 PM

AUTHOR INFORMATION

AuthorName: Kelly Wang

Email: kelly.wang@tal.com.au

Author Organization: TAL

Org Type: Insurer

ITEM TRACKING / BUSINESS DETAIL

Status: Resolved:Requirements

Title: Add new element <EffectiveDate> in <Limit>

Description: Add new element <EffectiveDate> at the bottom of <Limit>

Business Case: Indicate the effective date of the Limit amount. For exmple, in the Retail world, if the premium is stepped, the sum insured would be changed with the age, the sum insured could be different in each year and business may need to knpw the sum insured in the past years.

Attachments:

STAFF REVIEW DETAIL

ReviewDate: 1/18/2016   Reviewer: Alan Stitzer

Discussions: 20151201: No issue

Resolution: change made as requested

RECORD INFORMATION

Issue Number	AULI 203	SubmissionDate:	11/26/2015	SubmissionTime: 12:00:00 PM

AUTHOR INFORMATION

AuthorName:	Kelly Wang	Email:	kelly.wang@tal.com.au
Author Organization:	TAL	Org Type:	Insurer

ITEM TRACKING / BUSINESS DETAIL

Status:	Resolved:Requirements	Title:	Change <BankAccount> under <InvestmentAccount> needs to Optional Repeating

Description: Change <BankAccount> under <InvestmentAccount> needs to Optional Repeating

Business Case: PrimaryIndicator is used to distinguish the primary from all other accounts.

Attachments:

STAFF REVIEW DETAIL

ReviewDate: 1/18/2016   Reviewer: Alan Stitzer

Discussions: 20151201: No issue

Resolution: change made as requested

RECORD INFORMATION

Issue Number	AULI 204	SubmissionDate:	11/26/2015	SubmissionTime:	12:00:00 PM

AUTHOR INFORMATION

AuthorName:	Kelly Wang	Email:	kelly.wang@tal.com.au
Author Organization:	TAL	Org Type:	Insurer

ITEM TRACKING / BUSINESS DETAIL

Status:	Resolved:Requirements	Title:	Change VoluntaryIndicator to Optional in LifeItemSection

Description: Change VoluntaryIndicator to Optional in LifeItemSection

Business Case: No need for this element to repeat.

Attachments:

STAFF REVIEW DETAIL

ReviewDate:	1/18/2016	Reviewer:	Alan Stitzer

Discussions: 20151201: No issue

Resolution: change made as requested

RECORD INFORMATION

Issue Number	AULI 206	SubmissionDate:	12/9/2015	SubmissionTime:	12:00:00 PM

AUTHOR INFORMATION

AuthorName:	Kelly Wang	Email:	kelly.wang@tal.com.au
Author Organization:	TAL	Org Type:	Insurer

ITEM TRACKING / BUSINESS DETAIL

Status: Resolved:Requirements

Title: Add a new code in 'TransactionFunctionCodeContent_Type' and 'PolicyOrderTransactionFunctionCodeContent_Type'

Description: Add new code 'GetUnderwritingResultFromUnderwritingEngine' in 'TransactionFunctionCodeContent_Type' and PolicyOrderTransactionFunctionCodeContent_Type code list

Business Case: This function code indicates that the message is a request to get Underwriting Result From Underwriting Engine.

Attachments:

STAFF REVIEW DETAIL

ReviewDate: 1/18/2016   **Reviewer:** Alan Stitzer

Discussions: 20160112 : note this isn't a new message or linked to the requirement for the new endorsement
message but rather would be used with the existing PolicyNewBusinessOrderProcess/ProcessResult
message pair.

20151215: This would be used for the existing PolicyNewBusinessOrderProcess/ProcessResult message pair. The rationale for this code is that there may be a significant time delay between when the oriinal application is sent and when the U/W engine is ready to provide a premium. There may be weeks between these steps. The front end system needs to be able to request the U/W result post application.

20151214: OK

20151209: New messages are major changes to the spec. The Steering Committee has been notified and given a choice of what to do. Pull back the CR release and continue working to include the new messages OR continue with the release and have the new messages in a subsequent release.

Resolution: code added as requested

RECORD INFORMATION

Issue Number	AULI	207	SubmissionDate:	12/24/2015	SubmissionTime:	12:00:00 PM

AUTHOR INFORMATION

AuthorName: Kelly Wang

Email: kelly.wang@tal.com.au

Author Organization: TAL

Org Type: Insurer

ITEM TRACKING / BUSINESS DETAIL

Status: Resolved:Requirements

Title: Change the description for code 'Completed' in 5.137 PolicyStatusCodeContent_Type in the Help file

Description: The new description is : Completed : A status for applications. When an application case has completed (or closed), it could be accepted and becomes an In force policy, it could be declined or not taken up (NTU) or Not Taken With (NTW)

Business Case: Make Code description in the Help file more clear

Attachments:

STAFF REVIEW DETAIL

ReviewDate: 1/18/2016   Reviewer: Alan Stitzer

Discussions: 20151214: OK

Resolution: description changed as requested

RECORD INFORMATION

Issue Number AULI   208   SubmissionDate: 12/24/2015   SubmissionTime: 12:00:00 PM

AUTHOR INFORMATION

AuthorName:	Kelly Wang	Email:	kelly.wang@tal.com.au
Author Organization:	TAL	Org Type:	Insurer

ITEM TRACKING / BUSINESS DETAIL

Status: Resolved:Requirements   Title: Change the description for codes 'BPay', 'Cybercash', 'DebitOrder' and 'PreAuthorizedDraftCheck' in 5.137 PaymentTypeCodeContent_Type in the Help file

Description: The new descriptions are : BPay: An electronic bill payment system which enables payments to be made through a financial institution's online, mobile or telephone banking facility.Cybercash : Any form of money used over the Internet. Used for many purposes, such as effecting online purchases or making credit card payments. Measures, such as cryptography, are taken to avoid circumstances such as loss, misplacement, stealing or re-use of funds.DebitOrder: An authorization by a depositor to a financial institution requesting a recurring debit transaction from their account. AKA Direct Debit.PreAuthorizedDraftCheck: Checks that are authorized by a payer in advance, and written either by the payee or by the payee's bank and then deposited in the payee's bank account.

Business Case: Make Code description in the Help file more clear

Attachments:

STAFF REVIEW DETAIL

ReviewDate: 1/18/2016   Reviewer: Alan Stitzer

Discussions: 20151215: The new descriptions are : BPay: An electronic bill payment system which enables payments to be made through a financial institution's online, mobile or telephone banking facility.Cybercash : Any form of money used over the Internet. Used for many purposes, such as effecting online purchases or making credit card payments. Measures, such as cryptography, are taken to avoid circumstances such as loss, misplacement, stealing or re-use of funds.DebitOrder: An authorization by a depositor to a financial institution requesting a recurring debit transaction from their account. AKA Direct Debit.

PreAuthorizedDraftCheck:
Checks that are authorized by a payer in advance, and written either by the payee or by the payee's bank and then deposited in the payee's bank account.

20151214: OK

Resolution: definitions changed

RECORD INFORMATION

Issue Number AULI   209   SubmissionDate: 12/24/2015   SubmissionTime: 12:00:00 PM

AUTHOR INFORMATION

AuthorName: Kelly Wang   Email: kelly.wang@tal.com.au

Author Organization: TAL   Org Type: Insurer

ITEM TRACKING / BUSINESS DETAIL

Status: Resolved:Requirements   Title: Add new code 'MoneyOrder' in PaymentTypeCodeContent_Type code list

Description: Add new code 'MoneyOrder' inPaymentTypeCodeContent_Type code list.MoneyOrder: Financial instrument, issued by a bank or other institution, allowing the individual named on the order to receive a specified amount of cash on demand.

Business Case: Busines requirement to capture Money Order payment type

Attachments:

STAFF REVIEW DETAIL

ReviewDate: 1/18/2016   Reviewer: Alan Stitzer

Discussions: 20160112 : Steve/Alan discuss

20151215: Should say PaymentTypeCodeContent_Type, not PolicyStatusCodeContent_Type

20151214: Please explain use case for this code. It does not seem to be ocmnpatible with the rest of the code list values.

Resolution: code added

RECORD INFORMATION

Issue Number   AULI   210   SubmissionDate: 12/24/2015   SubmissionTime: 12:00:00 PM

AUTHOR INFORMATION

AuthorName: Kelly Wang   Email: kelly.wang@tal.com.au

Author
Organization: TAL   Org Type: Insurer

ITEM TRACKING / BUSINESS DETAIL

Status: Resolved:Requirements   Title: Add two new codes in
                                                                   BillingFrequencyCodeContent_Type code list

Description: Add following two new codes in BillingFrequencyCodeContent_Type code list :Fortnightly:
              Every Two WeeksOther: Other Billing Frequency not explicitly Defined

Business   Business requirement to capture Fortnightly payment frequency and Other payment
Case: frequency not explicitly Defined

Attachments:

STAFF REVIEW DETAIL

ReviewDate: 1/18/2016   Reviewer: Alan Stitzer

Discussions: 20151214: OK

Resolution: codes added as requested

RECORD INFORMATION

Issue Number	AULI 211	SubmissionDate:	12/24/2015	SubmissionTime:	12:00:00 PM

AUTHOR INFORMATION

AuthorName:	Kelly Wang	Email:	kelly.wang@tal.com.au
Author Organization:	TAL	Org Type:	Insurer

ITEM TRACKING / BUSINESS DETAIL

Status:	Resolved:Requirements	Title:	Add a new element <FrequencyCodeDescription> after element <FrequencyCode> in aggregate <PolicyBilling>

Description: Add a new element <FrequencyCodeDescription> after element <FrequencyCode> in aggregate <PolicyBilling>

Business Case: Capture any description for Billing Frequency Code, especially when Frequency Code is Other

Attachments:

STAFF REVIEW DETAIL

ReviewDate:	1/18/2016	Reviewer:	Alan Stitzer

Discussions: 20151214: OK

Resolution: tag added as requested

RECORD INFORMATION

Issue Number AULI   213   SubmissionDate: 1/8/2016   SubmissionTime: 12:00:00 PM

AUTHOR INFORMATION

AuthorName: Kelly Wang   Email: kelly.wang@tal.com.au

Author
Organization: TAL   Org Type: Insurer

ITEM TRACKING / BUSINESS DETAIL

Status: Resolved:Requirements   Title: Add a new element <CoverageStatusReason>
                                                          in LifeItemSectionCoverage_Type aggregate

Description: Add a new element <CoverageStatusReason> after <CoverageStatusCode> in
                LifeItemSectionCoverage_Type

Business
Case: A descripton of the Coverage Status Reason Code (the cover is out of force because the time
                period has expired). or capture Other status not in the CoverageStatus code list

Attachments:

STAFF REVIEW DETAIL

ReviewDate: 1/18/2016   Reviewer: Alan Stitzer

Discussions: 20160112 : TAL ok with name.

                20160108: NDR specifies the name of this tag should be CoverageStatusCodeDescription.

Resolution:

RECORD INFORMATION

Issue Number AULI   214   SubmissionDate: 1/8/2016   SubmissionTime: 12:00:00 PM

AUTHOR INFORMATION

AuthorName: Kelly Wang   Email: kelly.wang@tal.com.au

Author Organization: TAL   Org Type: Insurer

ITEM TRACKING / BUSINESS DETAIL

Status: Resolved:Requirements   Title: Add an elenemnt <LifeEventCode> in LifeItemSection_Type

Description: Add <LifeEventCode> at the bottom of LifeItemSection_Type.Note: <LifeEventCode> is already in LifeSection_Type

Business Case: A code used to capture a Life Event such as marriage, birth of a child etc. This is a NON ACORD Code list.

Attachments:

STAFF REVIEW DETAIL

ReviewDate: 1/18/2016   Reviewer: Alan Stitzer

Discussions: 20160112 : ACORD to discuss.

Resolution: tag added as requested

RECORD INFORMATION

Issue Number   AULI   196   SubmissionDate: 11/26/2015   SubmissionTime: 12:00:00 PM

AUTHOR INFORMATION

AuthorName: Kelly Wang

Email: kelly.wang@tal.com.au

Author Organization: TAL

Org Type: Insurer

ITEM TRACKING / BUSINESS DETAIL

Status: Resolved:Requirements

Title: Add a new code in LimitAppliesCodeContent_Type

Description: Add new code 'ApplyingCover' in LimitAppliesCodeContent_Type code list

Business Case: Indicate the cover amount is what the life Insured is applying, differentiating with the existing cover

Attachments:

STAFF REVIEW DETAIL

ReviewDate: 2/17/2016   Reviewer: Alan Stitzer

Discussions: 20160217: TAL .. OK to close.

20160127: TAL to discuss with ACORD on name to make it applcable to this code list and covers the intent. Maybe use InsurancePeriod to expire old cover with old limit and add a NEW cover with the new information.

20160112 : TAL to provide a clearer definition of "ApplyingCover" thinking about a less ambiguous name. Definition = An insured is entitled to increase their existing cover. The total cover amount has 2 parts, the existing cover amount and the new cover amount. Applying Cover indicates how much new cover is being applied for.

20151215: This code is required to differentiate between the original cover limit and the new cover limit.
The requirement is to be able to represent both the original cover limit pre-increase and the amount by which the cover has increased as two separate figures. It may also be represented as the cover limit on the previous policy versus this policy being quoted.
ACORD suggested using the <PriorPolicy> structure to store the limit details on the previous cover.

ACORD confirm who asked for Final Cover to be added and what its definition is.
TAL to provide a clearer definition of "ApplyingCover" thinking about a less ambiguous name

20151201: Please explain this code in this context. Not sure we understand the purpose.

Resolution: The resolution for this is to use InsurancePeriod to expire the old coverage or limit and add a NEW cover with the new information.

RECORD INFORMATION

Issue Number	AULI 215	SubmissionDate:	1/8/2016	SubmissionTime:	12:00:00 PM

AUTHOR INFORMATION

AuthorName:	Kelly Wang	Email:	kelly.wang@tal.com.au
Author Organization:	TAL	Org Type:	Insurer

ITEM TRACKING / BUSINESS DETAIL

Status:	Resolved:Requirements	Title:	Add a new element <LifeEventDate> in the LifeItemSection_Type and LifeSection_Type

Description: Add <LifeEventDate> after <LifeEventCode> in the LifeItemSection_Type and LifeSection_Type

Business Case: An element used to capture the date a Life Event happened.

Attachments:

STAFF REVIEW DETAIL

ReviewDate:	2/17/2016	Reviewer: Alan Stitzer

Discussions: 20160119: ignore previous comment, EffectiveDate already appears in the aggregate.

20160114: is there a possibility of using EffectiveDate or should be be specific here?

20160112 : ACORD to discuss.

Resolution: tag added as requested

RECORD INFORMATION

Issue Number   AULI   198   SubmissionDate: 11/26/2015   SubmissionTime: 12:00:00 PM

AUTHOR INFORMATION

AuthorName: Kelly Wang   Email: kelly.wang@tal.com.au

Author
Organization: TAL   Org Type: Insurer

ITEM TRACKING / BUSINESS DETAIL

Status: Resolved:Requirements   Title: Add new element <PremiumType> in in
                                                            LifeItemSectionCoverage_Type and
                                                            LifeSectionCoverage_Type after <CoverLevel>
                                                            with standard codes to indicate the Premium
                                                            Type

Description: Add a new element <PremiumType> in LifeItemSectionCoverage_Type and
             LifeSectionCoverage_Type after <CoverLevel> with 3 standard codes to indicate the the
             Premium Type1. Stepped2. Level3. Hybrid

Business   When customers considering to take out a life insurance, income protection or trauma
Case: insurance policy they have the choice of how they would like to structure their insurance
             premiums either through Stepped or Level premiums or mixed.Stepped - Insurance Premium
             is calculated on life insured age, meaning the younger the insured is the cheaper the cost and
             that premiums will increase over time. Level - Insurance Premium is calculated on an average
             premium, meaning the life insured might pay more younger but pay a lot less when they get
             older.Hybrid - Also known as blended or optimum premiums, are a combination of both a
             stepped and level premium structure. Hybrid premiums cost more at the beginning of the
             policy than stepped but lower the level premiums. Premiums increase until the policy reaches
             a predetermined age when the premiums level off. Once this happens the premiums are
             higher than level but are lower than stepped and not subject to increase.

Attachments:

STAFF REVIEW DETAIL

ReviewDate: 2/17/2016   Reviewer: Alan Stitzer

Discussions: 20160217: Add to bottom of LifeItemSectionCoverage and LifeSectionCoverage.
             PremiumTypeCode. Values: Stepped, Hybrid, Level. TAL to provide definitions for each of the
             three codes.

             20160127: AULife Only. PremiumTypeCode. TAL to confirm where this appears.

20160112 : Steve to discuss with Alan as this code indicating stepped, hybrid or level premium would need to be set against each component of the premium. This seems to be a needless repetition of a code which really sits at the cover level rather than at the individual insurance amount item

20151215: If it were in InsuranceAmountItem it would need to be set against each component of the premium. AD discuss.

20151201: Why wouldn't this information go into InsuranceAmountItem?

Resolution: Tag named LifePremiumTypeCode due to already existing tag and data type

RECORD INFORMATION

Issue Number   AULI   205   SubmissionDate: 12/9/2015   SubmissionTime: 12:00:00 PM

AUTHOR INFORMATION

AuthorName: Kelly Wang   Email: kelly.wang@tal.com.au

Author Organization: TAL   Org Type: Insurer

ITEM TRACKING / BUSINESS DETAIL

Status: Resolved:Requirements   Title: Turn on PolicyEndorsementOrderNotify and PolicyEndorsementOrderProcess/PolicyEndorsementOrderProcessResult Message in AULI AML Schema

Description: Turn on PolicyEndorsementOrderNotify and PolicyEndorsementOrderProcess/PolicyEndorsementOrderProcessResult Message in AULI AML Schema

Business Case: TAL wants to provide an easy way to the customers to make some type of changes to their original life insurance policies, such as add or delete coverages or conditions/items. Policy endorsement is required so that a life insurance policy can be easily amended without rewrite the entire insurance contract.

Attachments:

STAFF REVIEW DETAIL

ReviewDate: 2/17/2016   Reviewer: Alan Stitzer

Discussions: 20160127: Alan to review documents from Steve. This is for release 1.3.0

20160112 : Action - TAL to provide a paragraph scenario description and this can be scheduled for v1.4.0. (done)

20151215: TAL to provide scenario descriptions.

20151209: New messages are major changes to the spec. The Steering Committee has been notified and given a choice of what to do. Pull back the CR release and continue working to include the new messages OR continue with the release and have the new messages in a subsequent release.

Resolution: Endorsement messages made visible for AU Life

RECORD INFORMATION

Issue Number	AULI 216	SubmissionDate:	1/11/2016	SubmissionTime:	8:18:00 AM

AUTHOR INFORMATION

AuthorName: Kelly Wang

Email: kelly.wang@tal.com.au

Author Organization: TAL

Org Type: Insurer

ITEM TRACKING / BUSINESS DETAIL

Status: Resolved:Requirements

Title: Switch on Policy Sync messages of GL AML in AULI AML

Description: Switch on Policy Sync messages PolicySynchronizationProcess/ PolicySynchronizationProcessResult of GL AML in AULI AML.

Business Case: TAL currently has multiple applications / systems and each application/system stores the data separately. Manual and batch processes have been created to periodically move data between the systems. Data synchronization is required to reduce the manual work and improve the data accuracy, consistency and operational efficiency. TAL is Implementing MDM systems, and the data in the old system needs to be synchronized.

Attachments:

STAFF REVIEW DETAIL

ReviewDate: 2/17/2016   Reviewer: Alan Stitzer

Discussions: 20160217: ACORD advised that prior to turning on the messages, we will need to determine if any new codes or elements need to be added to support this message and that this would require an additional meeting to disucss the implementation implications.

ACORD will work with TAL to determine the changes, such as to code lists like TransactionFunctionCode, that are required to support enabling this message.

20160112 : TAL to provide a paragraph for the business case. This is required for 1.3.0.

Resolution: Policy Synch messages added to AU Life

RECORD INFORMATION

Issue Number	AULI 212	SubmissionDate:	1/8/2016	SubmissionTime:	12:00:00 PM

AUTHOR INFORMATION

AuthorName:	Kelly Wang	Email:	kelly.wang@tal.com.au
Author Organization:	TAL	Org Type:	Insurer

ITEM TRACKING / BUSINESS DETAIL

Status:	Resolved:Requirements	Title:	Add 4 new Coverage status codes in CoverageStatusCodeContent_Type Code list

Description: Add following status in CoverageStatusCodeContent_TypeInForce : Indicates that a cover under a policy is in forceOutOfForce : Indicates that a cover under a policy is out of force, it could be caused because time period has expired or it has terminated at insured request, or if insured fail to satisfy eligibility requirements etc.Cancelled : Indicates that a cover under a policy has been cancelledOther : Any other status not in the code list, the details can be put in StatusReason

Business Case: In Retail/Direct area, different covers under one policy could be in defferent status, e.g. Death cover is In force, IP cover has out of force. Need to add some of the policy dtatus in coverage level.

Attachments:

STAFF REVIEW DETAIL

ReviewDate:	2/18/2016	Reviewer:	Alan Stitzer

Discussions: 20160218: Spoke with Steve Tuften. InForce and OutOfForce are states that the industry needs. The industry have also decided to go along with the already existing code of Rejected.

20160217: Additional discussion needed. InForce (why won't the InsurancePeriod tell you the coverage is active?). OutOfForice (why wont the InsurancePeriod tell you the coverage is not active), Declined (this is a duplicate of existing code Rejected)

Cancelled (OK), Proposed (The cover has been requested or proposed for inclusion in the policy but an underwriting decision (manual or automated) has not yet determined if the cover will be accepted by the insurer), Lapsed (The ocver has not been renewed. After a set time period, usually a grace period for renewal/reinstatement to occur.

20160114: The only one I would agree with is cancelled. The other status can be figured out

via start and end dates. Not really fond of "other" in this case.

20160112 : Steve/Alan to discuss

Resolution: