

## MAT224 Linear Algebra II

### Assignment 3

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#### Academic Integrity Statement:

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1. Consider the basis  $\alpha = 1 + x, \frac{1}{2}x, -\frac{3}{2}x^2 + \frac{1}{2}$  for  $P_2(\mathbb{R})$ . Let  $T : P_2(\mathbb{R}) \rightarrow P_2(\mathbb{R})$  be the derivative transformation. That is  $T(p(x)) = \frac{d}{dx}(p(x))$  for every  $p(x) \in P_2(\mathbb{R})$ .

You may use the that  $\alpha$  is a basis for  $P_2(\mathbb{R})$ , and that  $T$  is a linear transformation without proof.

**1(a)** Determine  $[x^2]_\alpha$ .

*Solution:* We need a linear combination of vectors in the basis  $\alpha$  to produce  $x^2$  in terms of  $\alpha$ .

Firstly, by computing  $-\frac{2}{3}\left(-\frac{3}{2}x^2 + \frac{1}{2}\right)$ , we have

$$-\frac{2}{3}\left(-\frac{3}{2}x^2 + \frac{1}{2}\right) = x^2 - \frac{1}{3}.$$

Next, we need to reduce  $x^2 - \frac{1}{3}$  to  $x^2$ , so we need a linear combination of polynomials to produce  $-\frac{1}{3}$ . We have

$$\frac{1}{3}(1 + x) = \frac{1}{3} + \frac{1}{3}x.$$

If we add another term in the basis  $-\frac{2}{3}\left(\frac{1}{2}x\right)$ , we have our linear combination of polynomials:

$$\frac{1}{3}(1 + x) - \frac{2}{3}\left(\frac{1}{2}x\right) - \frac{2}{3}\left(-\frac{3}{2}x^2 + \frac{1}{2}\right) = \frac{1}{3} + \frac{1}{3}x - \frac{1}{3}x + x^2 - \frac{1}{3} = x^2.$$

Therefore  $[x^2]_\alpha = \frac{1}{3} \begin{bmatrix} 1 \\ -2 \\ -2 \end{bmatrix}$  where  $\alpha = \{1 + x, \frac{1}{2}x, -\frac{3}{2}x^2 + \frac{1}{2}\}$ , as needed.

**1(b)** Determine  $[T]_\alpha^\alpha$ .

*Solution:* To determine  $[T]_\alpha^\alpha$ , we need to determine what  $T(1 + x)$ ,  $T(\frac{1}{2}x)$ , and what  $T(-\frac{3}{2}x^2 + \frac{1}{2})$  are. Computing each derivative, we see that

$$\begin{aligned} T(1 + x) &= \frac{d}{dx}(1 + x) = 1, \\ T\left(\frac{1}{2}x\right) &= \frac{d}{dx}\left(\frac{1}{2}x\right) = \frac{1}{2}, \text{ and} \\ T\left(-\frac{3}{2}x^2 + \frac{1}{2}\right) &= \frac{d}{dx}\left(-\frac{3}{2}x^2 + \frac{1}{2}\right) = -3x. \end{aligned}$$

In terms of  $\alpha$ ,

$$\begin{aligned} 1 &= (1 + x) - 2\left(\frac{1}{2}x\right), \text{ so } [1]_\alpha = \begin{bmatrix} 1 \\ -2 \\ 0 \end{bmatrix}, \\ \frac{1}{2} &= \left(\frac{1}{2}(1 + x) - \frac{1}{2}x\right), \text{ so } \begin{bmatrix} 1 \\ 2 \end{bmatrix}_\alpha = \begin{bmatrix} \frac{1}{2} \\ -1 \\ 0 \end{bmatrix} \text{ and} \\ -3x &= -6\left(\frac{1}{2}x\right), \text{ so } [-3x]_\alpha = \begin{bmatrix} 0 \\ -6 \\ 0 \end{bmatrix}. \end{aligned}$$

$$\text{Therefore } [T]_\alpha^\alpha = \begin{bmatrix} 1 & \frac{1}{2} & 0 \\ -2 & -1 & -6 \\ 0 & 0 & 0 \end{bmatrix}.$$

This matrix follows from the fact that computing the derivative of each polynomial in the basis  $\alpha$  represents the transformation of the basis. Every polynomial  $p(x) \in P_2(\mathbb{R})$  can be created from a linear combination of vectors in  $\alpha$ , which implies that  $T(1 + x)$ ,  $T\left(\frac{1}{2}x\right)$ , and  $T\left(-\frac{3}{2}x^2 + \frac{1}{2}\right)$  establish the derivatives of the basis vectors. Finding these transformations in terms of  $\alpha$  yields  $[T]_\alpha^\alpha$ .

**1(c)** Use your answers from 1(a) and 1(b) to calculate  $\frac{d}{dx}(x^2)$ .

*Solution:* We know that

$$[x^2]_{\alpha} = \frac{1}{3} \begin{bmatrix} 1 \\ -2 \\ -2 \end{bmatrix}$$

and that

$$[T]_{\alpha}^{\alpha} = \begin{bmatrix} 1 & \frac{1}{2} & 0 \\ -2 & -1 & -6 \\ 0 & 0 & 0 \end{bmatrix}.$$

Computing the matrix multiplication  $[T]_{\alpha}^{\alpha}[x^2]_{\alpha}$  will give  $T(x^2) = \frac{d}{dx}(x^2)$ . We have

$$[T]_{\alpha}^{\alpha}[x^2]_{\alpha} = \begin{bmatrix} 1 & \frac{1}{2} & 0 \\ -2 & -1 & -6 \\ 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} \frac{1}{3} \\ -\frac{2}{3} \\ -\frac{2}{3} \end{bmatrix} = \frac{1}{3} \begin{bmatrix} 1 \\ -2 \\ 0 \end{bmatrix} + \left(-\frac{2}{3}\right) \begin{bmatrix} \frac{1}{2} \\ -1 \\ 0 \end{bmatrix} + \left(-\frac{2}{3}\right) \begin{bmatrix} 0 \\ -6 \\ 0 \end{bmatrix} = \begin{bmatrix} \frac{1}{3} \\ -\frac{2}{3} \\ 0 \end{bmatrix} + \begin{bmatrix} -\frac{1}{3} \\ \frac{2}{3} \\ 0 \end{bmatrix} + \begin{bmatrix} 0 \\ 4 \\ 0 \end{bmatrix} = \begin{bmatrix} 0 \\ 4 \\ 0 \end{bmatrix}.$$

We have that  $[T]_{\alpha}^{\alpha}[x^2]_{\alpha} = \begin{bmatrix} 0 \\ 4 \\ 0 \end{bmatrix} = 4 \begin{pmatrix} 1 \\ 2 \end{pmatrix} x = 2x$ , and so  $\frac{d}{dx}(x^2) = 2x$ , as needed.

2. Let  $V$  and  $W$  be vector spaces, and let  $T \in \mathcal{L}(V, W)$ . Let  $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n$  be a basis for  $V$ .

2(a) Prove that if  $T$  is an isomorphism, then  $T\mathbf{v}_1, T\mathbf{v}_2, \dots, T\mathbf{v}_n$  is a basis for  $W$ .

I want to prove that if a linear map  $T \in \mathcal{L}(V, W)$  is an isomorphism, and if  $\{\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n\}$  is a basis for the domain  $V$ , then  $\{T\mathbf{v}_1, T\mathbf{v}_2, \dots, T\mathbf{v}_n\}$  is a basis for the codomain  $W$ .

*Proof.* Assume that  $T \in \mathcal{L}(V, W)$  is an isomorphism. We then have that  $T : V \rightarrow W$  is invertible, that is, both injective and surjective. By [Proposition 2.6.7],  $T$  being an isomorphism implies that  $\dim V = \dim W$ .

We then have that

$$[\text{Injective}]: \forall \mathbf{x}_1, \mathbf{x}_2 \in V, \mathbf{x}_1 \neq \mathbf{x}_2 \implies T(\mathbf{x}_1) \neq T(\mathbf{x}_2),$$

$$[\text{Surjective}]: \forall \mathbf{w} \in W, \exists \mathbf{x} \in V \text{ such that } T(\mathbf{x}) = \mathbf{w}.$$

Since  $T : V \rightarrow W$  is injective, then for any vector  $\mathbf{x} \in V$  that is unique,  $T(\mathbf{x})$  is also unique. This means that there exists only one vector  $T(\mathbf{x}) \in W$  for every  $\mathbf{x} \in V$ , where  $\mathbf{x}$  is expressed as a unique linear combination of vectors in the basis  $\{\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n\}$ .

Since  $T : V \rightarrow W$  is surjective, then for every  $\mathbf{w} \in W$ , there is at least one vector  $\mathbf{x} \in V$  such that  $T(\mathbf{x}) = \mathbf{w}$ . This means that  $\text{Im}(T) = W$ , that is, every vector  $\mathbf{w} \in W$  is being mapped to by the set of vectors  $T\mathbf{x}$ , where  $\mathbf{x} \in V$  is expressed as a unique linear combination of vectors in the basis  $\{\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n\}$ .

It then follows that because  $T$  is injective, we can conclude that since the basis  $\{\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n\}$  of  $V$  is unique, then every vector in the set  $\{T\mathbf{v}_1, T\mathbf{v}_2, \dots, T\mathbf{v}_n\}$  is also unique. Furthermore, since  $T$  is an isomorphism, by Proposition 2.6.7, the requirement for  $\dim V = \dim W = n$  is satisfied.

Because  $T$  is surjective, then we can conclude that the image of  $T$  generates  $W$ , and thus any vector  $T\mathbf{x} \in W$  can be expressed as a unique linear combination of vectors in the basis  $\{T\mathbf{v}_1, T\mathbf{v}_2, \dots, T\mathbf{v}_n\}$  of  $W$ , that is,  $\text{span}\{T\mathbf{v}_1, T\mathbf{v}_2, \dots, T\mathbf{v}_n\} = W$ .

Because  $\text{span}\{T\mathbf{v}_1, T\mathbf{v}_2, \dots, T\mathbf{v}_n\} = W$  and the set  $\{T\mathbf{v}_1, T\mathbf{v}_2, \dots, T\mathbf{v}_n\}$  is unique, then  $\{T\mathbf{v}_1, T\mathbf{v}_2, \dots, T\mathbf{v}_n\}$  is a basis for  $W$ , which is what I needed to prove.

■

2. Let  $V$  and  $W$  be vector spaces, and let  $T \in \mathfrak{L}(V, W)$ . Let  $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n$  be a basis for  $V$ .

2(b) Prove that if  $T\mathbf{v}_1, T\mathbf{v}_2, \dots, T\mathbf{v}_n$  is a basis for  $W$ , then  $T$  is an isomorphism.

To prove that the transformation  $T \in \mathfrak{L}(V, W)$  is an isomorphism, it suffices to show that  $T$  is invertible. That is, both injective and surjective.

I want to prove that for any transformation  $T \in \mathfrak{L}(V, W)$  if  $\{\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n\}$  is a basis for the domain  $V$  and if  $\{T\mathbf{v}_1, T\mathbf{v}_2, \dots, T\mathbf{v}_n\}$  is a basis for the codomain  $W$ , then  $T$  is an isomorphism.

*Proof.* Assume that the set  $\{T\mathbf{v}_1, T\mathbf{v}_2, \dots, T\mathbf{v}_n\}$  is a basis for  $W$ . This implies that every element in the set  $\{T\mathbf{v}_1, T\mathbf{v}_2, \dots, T\mathbf{v}_n\}$  is unique.

Show that  $T$  is injective:

$$\forall \mathbf{x}_1, \mathbf{x}_2 \in V, \mathbf{x}_1 \neq \mathbf{x}_2 \implies T\mathbf{x}_1 \neq T\mathbf{x}_2.$$

Since every element  $\mathbf{x} \in V$  can be expressed as a unique linear combination of vectors in the basis  $\{\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n\}$ , then the hypothesis of the definition is satisfied for two different vectors  $\mathbf{x}_1$  and  $\mathbf{x}_2$  where  $\mathbf{x}_1 \neq \mathbf{x}_2$ . Secondly, every element  $\mathbf{w} \in W$ , where  $\mathbf{w} = T\mathbf{x}$  for each  $\mathbf{x} \in V$ , can be expressed as a unique linear combination of vectors in the basis  $\{T\mathbf{v}_1, T\mathbf{v}_2, \dots, T\mathbf{v}_n\}$ , which makes  $T\mathbf{x} \in W$  unique for any  $\mathbf{x} \in V$  since  $T$  is linear. Therefore for all vectors  $\mathbf{x}_1, \mathbf{x}_2 \in V, \mathbf{x}_1 \neq \mathbf{x}_2 \implies T\mathbf{x}_1 \neq T\mathbf{x}_2$ , and therefore  $T : V \rightarrow W$  is injective.

Show that  $T$  is surjective:

$$\forall \mathbf{w} \in W, \exists \mathbf{x} \in V \text{ such that } T(\mathbf{x}) = \mathbf{w}.$$

Since every element  $\mathbf{w} \in W$  can be expressed as a linear combination of vectors in the set  $\{T\mathbf{v}_1, T\mathbf{v}_2, \dots, T\mathbf{v}_n\}$  because  $\{T\mathbf{v}_1, T\mathbf{v}_2, \dots, T\mathbf{v}_n\}$  is a basis for  $W$ , then every element  $\mathbf{w} \in W$  is uniquely determined by some vector  $T\mathbf{x} \in W$ , where  $\mathbf{x}$  is expressed as a unique linear combination of vectors in the basis  $\{\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n\}$ . Therefore  $T : V \rightarrow W$  is surjective.

Lastly, since  $\dim V = \dim W = n$ , and because  $T \in \mathfrak{L}(V, W)$  is both injective and surjective, then by [Proposition 2.6.7],  $T : V \rightarrow W$  is an isomorphism, which is what I needed to prove.

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3. Let  $V$  and  $W$  be finite dimensional vector spaces, and let  $T \in \mathfrak{L}(V, W)$ . Prove that, for any choice of bases  $\alpha$  for  $V$  and  $\beta$  for  $W$ ,

$$\dim \operatorname{im} T = \operatorname{rank} [T]_{\alpha}^{\beta}$$

**Note:** It is also true that  $\dim \ker T = \dim \operatorname{null} [T]_{\alpha}^{\beta}$  but you do not need to prove this here. We include it for completeness sake.

I want to prove that for a transformation  $T \in \mathfrak{L}(V, W)$  and any bases  $\alpha$  and  $\beta$  of  $V$  and  $W$ , respectively, that  $\dim \operatorname{im} T = \operatorname{rank} [T]_{\alpha}^{\beta}$ .

*Proof.*

Suppose  $\dim V = n$  and  $\dim W = m$ . Let  $\alpha = \{\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n\}$  and  $\beta = \{\mathbf{w}_1, \mathbf{w}_2, \dots, \mathbf{w}_m\}$  be bases of the vector spaces  $V$  and  $W$ , respectively. I will note that it is possible for  $n = m$ .

We define a *pivot column* of a matrix as a column in a matrix with a 1 in the  $i^{\text{th}}$  row (that is, the  $i^{\text{th}}$  entry of the column), for  $1 \leq i \leq m$ , and having zeros in every other entry. A column containing a pivot is defined as a linearly independent column of the matrix, which can be found by computing  $[T]_{\alpha}^{\beta}[\mathbf{x}]_{\alpha} = \mathbf{0}$  for any  $\mathbf{x} \in V$ . If any other column can be created from a linear combination of the pivot columns, then the columns of the matrix are said to be linearly dependent.

Furthermore, we define the *rank* of a matrix by dimension of the column space of  $[T]_{\alpha}^{\beta}$ . That is, the number of linearly independent pivot columns in the matrix  $[T]_{\alpha}^{\beta}$ .

Now, let  $\mathbf{t}_j$  be the  $j^{\text{th}}$  column of the matrix  $[T]_{\alpha}^{\beta}$  for  $1 \leq j \leq n$ . From this, it follows that if  $\mathbf{t}_j$  is a pivot column, then the  $\mathbf{t}_j$  represents an element in the basis  $\alpha$  and hence is a coordinate vector of the image of  $T$ , that is,  $T(\mathbf{v}_j)$ . We then have  $\mathbf{t}_j = [T(\mathbf{v}_j)]_{\beta}$  because  $\mathbf{t}_j$  is a pivot column.

Any pivot column in the matrix  $[T]_{\alpha}^{\beta}$  is unique because it is linearly independent, and thus together they form a basis for the image of  $T$ .

Lastly, if the rank of the matrix  $[T]_{\alpha}^{\beta}$  is  $k$ , then there are  $k$  linearly independent pivot columns in the matrix  $[T]_{\alpha}^{\beta}$ , which is the dimension of the column space of  $[T]_{\alpha}^{\beta}$ . Therefore there are  $k$  elements in the basis of the image of  $T$ , which is what I needed to prove. This proof follows from the ideas presented in [(2.3.14) Procedure 1] in the textbook.

Lastly, to justify this proof, we can examine the rank-nullity theorem for linear transformations. The theorem states that

$$\dim V = \operatorname{rank} [T]_{\alpha}^{\beta} + \operatorname{nullity} [T]_{\alpha}^{\beta} = \dim \operatorname{im} T + \dim \ker T,$$

since we have assumed that  $\operatorname{nullity} [T]_{\alpha}^{\beta} = \dim \ker T$  without proof. Because  $\dim V$  does not change for a fixed vector space  $V$ , it then follows that  $\dim \operatorname{im} T = \operatorname{rank} [T]_{\alpha}^{\beta}$ .

■

4. Let  $A, B \in M_{n \times n}(\mathbb{R})$  be similar. Use the result from question 3 to prove that

$$\text{rank } A = \text{rank } B$$

**Note:** It is also true that  $\dim \text{null } A = \dim \text{null } B$  but you do not need to prove this here. We include it for completeness sake.

I want to prove that for similar matrices  $A, B \in M_{n \times n}(\mathbb{R})$ , that  $\text{rank } A = \text{rank } B$ .

*Proof.*

We call two matrices similar if there exists an invertible matrix  $P \in M_{n \times n}(\mathbb{R})$  such that  $A = P^{-1}BP$ .

To begin, by multiplying the matrix  $P$  on the left hand side of both side of the equation, we have

$$A = P^{-1}BP \implies PA = PP^{-1}BP = IBP \implies PA = BP,$$

since any matrix multiplied by the identity yields itself and since any matrix multiplied by its inverse yields the identity.

To prove that  $\text{rank } A = \text{rank } B$ , it suffices to show that since  $P$  is an invertible matrix, then  $\text{rank } PA = \text{rank } A$  and  $\text{rank } AB = \text{rank } B$ .

1. Proof that  $\text{rank } PA = \text{rank } A$ .

Let  $\mathbf{a} \in \text{null } A$ . We then have that  $A\mathbf{a} = \mathbf{0}$ . By the multiplicative associativity property of matrices, it then follows that,  $PA\mathbf{a} = P(A\mathbf{a}) = P\mathbf{0} = \mathbf{0}$ , which implies that  $\mathbf{a} \in \text{null}(PA)$ . Therefore  $\text{null}(A) \subseteq \text{null}(PA)$  since  $\text{null}(PA) = P^{-1} \text{null } A$  by [**Proposition 6.7, 6.8**] in Quiri Li's linear transformation slides.

Now suppose  $\mathbf{a} \in \text{null}(PA)$ , and so  $PA\mathbf{a} = \mathbf{0}$ . This implies that

$$PA\mathbf{a} = \mathbf{0} \implies P(A\mathbf{a}) = \mathbf{0} \implies A\mathbf{a} = P^{-1}\mathbf{0} = \mathbf{0}.$$

By the same proposition,  $\text{null}(PA) \subseteq \text{null } A$ , and therefore  $\text{null}(A) = \text{null}(PA) \implies \dim \text{null}(PA) = \dim \text{null } A$ .

By the rank-nullity theorem,

$$\text{rank}(PA) + \dim \text{null}(PA) = \text{rank } A + \dim \text{null } A \implies \text{rank}(PA) = \text{rank}(A).$$

2. By the same argument, we have that  $\text{rank}(BP) = \text{rank } B$  because  $\text{null } B \subseteq \text{null}(BP)$  and  $\text{null}(BP) \subseteq \text{null } B$ .

Therefore since  $\text{rank}(PA) = \text{rank } A$  and  $\text{rank}(BP) = \text{rank } B$ , and since  $A = P^{-1}BP \implies PA = BP$ , then

$$\text{rank}(PA) = \text{rank } A = \text{rank } B = \text{rank}(BP),$$

which is what I needed to prove. ■