

§3.13: FINITENESS THEOREM FOR INVARIANT RINGS

HILBERT'S FINITENESS THEOREM: Let K be a field of characteristic zero, and $R = K[X_1, \dots, X_n]$ be a polynomial ring. Let G be a finite group acting on R by degree-preserving automorphisms. Then the invariant ring R^G is algebra-finite over K .

THEOREM: Let R be an \mathbb{N} -graded ring. Then R is Noetherian if and only if R_0 is Noetherian and R is algebra-finite over R_0 .

DEFINITION: Let $R \subseteq S$ be an inclusion of rings. We say that R is a **direct summand** of S if there is an R -module homomorphism $\pi : S \rightarrow R$ such that $\pi|_R = \mathbb{1}_R$.

PROPOSITION: A direct summand of a Noetherian ring is Noetherian.

LEMMA: In the setting of Hilbert's finiteness Theorem,

- (1) R^G is \mathbb{N} -graded with $(R^G)_0 = K$.
- (2) R^G is a direct summand of R .

(1) Use the Lemma, Proposition, and Theorem to deduce Hilbert's finiteness Theorem.

By the Lemma, R^G is a direct summand of R . Since R is Noetherian, so is R^G . By the Lemma, R^G is graded with $(R^G)_0 = K$. Then, by the Theorem, since R^G is Noetherian, and R^G is algebra-finite over $(R^G)_0$, and it remains to note that $(R^G)_0 = K$.

(2) Proof of Theorem:

- (a) Explain the direction (\Leftarrow).
- (b) Show that R Noetherian implies R_0 is Noetherian.
- (c) Let f_1, \dots, f_t be a homogeneous generating set for R_+ , the ideal generated by positive degree elements of R . Show¹ by (strong) induction on d that every element of R_d is contained in $R_0[f_1, \dots, f_t]$.
- (d) Conclude the proof of the Theorem.

- (a) This follows from the Hilbert Basis Theorem.
- (b) $R_0 \cong R/R_+$.
- (c) For $d = 0$ there is nothing to show. For $d > 0$, take $h \in R_d$. Since $R_d \subseteq R_+$, write $h = \sum_i r_i f_i$ for some $r_i \in R$. If we replace r_i by r'_i its homogeneous component of degree $d - \deg(f_i)$, we claim that $h = \sum_i r'_i f_i$. Indeed, writing each r_i as a sum of homogeneous components and multiplying out, all of the other terms are homogeneous of some other degree, so the claim follows by uniqueness of homogeneous decomposition. So suppose r_i is homogeneous of degree $d - \deg(f_i)$. By induction, we have $r_i \in R_0[f_1, \dots, f_t]$. But then this plus $h = \sum_i r_i f_i$ show $h \in R_0[f_1, \dots, f_t]$.
- (d) If R is Noetherian then R_+ is finitely generated as an ideal; since R_+ is homogeneous, it is generated by the (finitely many) components of these generators so has a finite homogeneous generating set, and a such generating set of R_+ generates R as an algebra over R_0 by the previous part.

(3) Proof of Proposition:

¹Hint: Start by writing $h \in R_d$ as $h = \sum_i r_i f_i$ with $d = \deg(r_i) + \deg(f_i)$ for all i .

- (a) Show that if R is a direct summand of S , and I is an ideal of R , then $IS \cap R = I$.
 (b) Complete the proof of the proposition.

- (a) We always have $I \subseteq IS \cap R$. Let $f \in IS \cap R$, so $f = \sum_i a_i s_i$ with $a_i \in I$, $s_i \in S$. Apply the map π . Since $f \in R$, we have $\pi(f) = f$. Since π is R -linear, we also have $\pi(\sum_i a_i s_i) = \sum_i a_i \pi(s_i)$, with $\pi(s_i) \in R$. But this is an element of I , so $f \in I$.
 (b) Let $I_1 \subseteq I_2 \subseteq I_3 \subseteq \cdots$ be a chain of ideals in R . Then $I_1 S \subseteq I_2 S \subseteq I_3 S \subseteq \cdots$ is a chain of ideals in S , which necessarily stabilizes. But the chain $(I_1 S \cap R) \subseteq (I_2 S \cap R) \subseteq (I_3 S \cap R) \subseteq \cdots$ stabilizes, but this is our original chain!

- (4) Proof of Lemma part (2): Consider $r \mapsto \frac{1}{|G|} \sum_{g \in G} g \cdot r$.

One checks directly that this map is R^G -linear and restricts to the identity on R^G .

- (5) Show that a direct summand of a normal ring is normal.

- (6) Let S_3 denote the symmetric group on 3 letters, and let S_3 act on $R = \mathbb{C}[X_1, X_2, X_3]$ by permuting variables; i.e., σ is the \mathbb{C} -algebra homomorphism given by $\sigma \cdot X_i = X_{\sigma(i)}$. Find a \mathbb{C} -algebra generating set for R^{S_3} . What about replacing 3 by n ?