

## MAXIMAL IDEALS AND PRIME IDEALS

DEFINITION: Let  $R$  be a ring.

- (i) An ideal  $I$  of  $R$  is a **maximal ideal** if  $I$  is proper and for any proper ideal  $J$ ,  $I \subseteq J$  implies  $I = J$ . That is,  $I$  is maximal under containment among all proper ideals of  $R$ .
- (ii) Let  $R$  be commutative. An ideal  $I$  of  $R$  is a **prime ideal** if  $I$  is proper and  $ab \in I$  implies  $a \in I$  or  $b \in I$ .

THEOREM 1: Let  $R$  be a commutative ring and  $I$  an ideal.

- (i) The ideal  $I$  is maximal if and only if  $R/I$  is a field.
- (ii) The ideal  $I$  is prime if and only if  $R/I$  is an integral domain.

(1) Prime ideals vs maximal ideals:

- (a) Use Theorem 1 to quickly explain why every maximal ideal in a commutative ring is prime.
- (b) Show that the ideal  $(2)$  in  $\mathbb{Z}[x]$  is prime but not maximal.
- (c) Identify a maximal ideal in  $\mathbb{Z}[x]$ .

(2) Prove<sup>1</sup> Theorem 1.

THEOREM 2: Let  $R$  be a ring. Then  $R$  has a maximal ideal.

DEFINITION: Let  $(P, \leq)$  be a partially ordered set.

- (i) A **maximal element** of  $P$  is an element  $x \in P$  such that for all  $y \in P$ , one has  $y \leq x$ .
- (ii) A **upper bound** for a subset  $X$  is an element  $x \in P$  such that for all  $y \in X$ , one has  $y \leq x$ .
- (iii) A subset  $X$  of  $P$  is a **chain** if for all  $x, y \in X$  either  $x \leq y$  or  $y \leq x$ .

ZORN'S LEMMA: Let  $(P, \leq)$  be a nonempty partially ordered set. If every chain  $C \subseteq P$  has an upper bound  $c \in P$ , then  $P$  has a maximal element.

(3) Zorn's Lemma warmup.

- (a) The most common use of Zorn's Lemma occurs in the following situation:  $\mathcal{P}(Y)$  is the collection of all subsets of some set  $Y$  ordered by inclusion ( $A \leq B$  if and only if  $A \subseteq B$ ), and  $P$  is some special family of subsets of  $\mathcal{P}(Y)$ . Rewrite<sup>2</sup> the statement of Zorn's Lemma in this context.
- (b) In the context above, explain how to use Zorn's lemma to try to show the existence of a *minimal element* of  $P$ .

(4) Prove Theorem 2.

(5) Prove or disprove: Any group  $G$  has a maximal proper subgroup (meaning a proper subgroup that is maximal among all proper subgroups).

(6) Prove that every prime ideal contains a minimal prime ideal.

<sup>1</sup>Hint: For part (i), you might want use a HW problem characterizing fields in terms of ideals.

<sup>2</sup>Meaning replace all  $\leq$  with  $\subseteq$  and unpackage the definitions of maximal element and upper bound.