

## SMITH NORMAL FORM

**THEOREM (SMITH NORMAL FORM):** Let  $R$  be a PID. Let  $A \in \text{Mat}_{m \times n}(R)$ .

- (i) There exist invertible matrices  $P, Q$  such that
  - $PAQ = D$  is diagonal, meaning  $d_{ij} = 0$  whenever  $i \neq j$ , and
  - $d_{11} | d_{22} | \cdots | d_{tt}$ , where  $d_{tt}$  is the last nonzero diagonal entry.
- (ii) The elements  $d_{ii}$  are unique up to associate, meaning that if  $D' = [d'_{ij}]$  is another diagonal matrix as in (i), then for each  $d'_{ii}$  is a unit times  $d_{ii}$ .
- (iii) If  $R$  is a Euclidean domain, then  $P, Q$  can be taken as products of elementary matrices.

**STRUCTURE THEOREM FOR FINITELY GENERATED MODULES OVER PIDS (INVARIANT FACTOR FORM):** Let  $R$  be a PID. Let  $M$  be a finitely generated  $R$ -module. Then there exist  $r, t \geq 0$  and  $a_1, \dots, a_t \in R$  such that

- $M \cong R^r \oplus R/(a_1) \oplus R/(a_2) \oplus \cdots \oplus R/(a_t)$ , and
- $a_1 | a_2 | \cdots | a_t$ .

Moreover,  $r, t$  are uniquely determined, and each  $a_i$  is uniquely determined up to associates.

- (1)** Use the SMITH NORMAL FORM THEOREM and a homework problem to deduce the existence part of the STRUCTURE THEOREM FOR FINITELY GENERATED MODULES OVER PIDS (INVARIANT FACTOR FORM).

From a Lemma in class, we know that  $A$  and  $PAQ = D$  present isomorphic modules. Then using the homework problem, we get that  $D$  presents a module of the form we seek.

- (2)** Remember/state the STRUCTURE THEOREM FOR FINITELY GENERATED ABELIAN GROUPS (INVARIANT FACTOR FORM), and deduce it from the STRUCTURE THEOREM FOR FINITELY GENERATED MODULES OVER PIDS (INVARIANT FACTOR FORM).

**STRUCTURE THEOREM FOR FINITELY GENERATED ABELIAN GROUPS (INVARIANT FACTOR FORM):** Let  $M$  be a finitely generated abelian group. Then there exist  $r, t \geq 0$  and  $a_1, \dots, a_t > 0$  such that

- $M \cong \mathbb{Z}^r \oplus \mathbb{Z}/(a_1) \oplus \mathbb{Z}/(a_2) \oplus \cdots \oplus \mathbb{Z}/(a_t)$ , and
- $a_1 | a_2 | \cdots | a_t$ .

Moreover,  $r, t$  are uniquely determined.

This is a special case of the PID theorem since every abelian groups are the same thing as  $\mathbb{Z}$ -modules,  $\mathbb{Z}$  is a PID, and unique up to associate in  $\mathbb{Z}$  is same thing as unique up to sign, and since we chose positive numbers, this is actually unique.

- (3)** Let  $R$  be a Euclidean domain. Use the SMITH NORMAL FORM THEOREM to deduce<sup>1</sup> that any invertible matrix over  $R$  is a product of elementary matrices.

Let  $A$  be invertible and write  $PAQ = D$  following the theorem. Note that  $D$  is invertible and diagonal. We claim that  $D$  must be a square matrix with unit diagonal entries. Such

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<sup>1</sup>Hint: Suppose that  $D$  is diagonal and invertible. What can you say about the diagonal entries of  $D$ ?

a matrix is invertible, and one can check directly that if any entry is not a unit, then  $D$  is not surjective. We can choose  $D$  to be the identity matrix by using some elementary row operations. Now  $A = P^{-1}IQ^{-1} = P^{-1}Q^{-1}$ , and  $P^{-1}$  and  $Q^{-1}$  are products of elementary matrices, since the inverse of an elementary matrix is an elementary matrix.

- (4) Proof of the uniqueness part of the STRUCTURE THEOREM FOR FINITELY GENERATED MODULES OVER PIDS (INVARIANT FACTOR FORM): Suppose that

$$R^m \oplus R/(d_1) \oplus \cdots \oplus R/(d_n) \cong R^{m'} \oplus R/(d'_1) \oplus \cdots \oplus R/(d'_{n'})$$

and  $d_1 | \cdots | d_n$  and also  $d'_1 | \cdots | d'_{n'}$  with  $n \geq n'$ . We proceed by induction on  $n$ .

(a) Deal with the base case  $n = 0$  (so  $n' = 0$ ).

- (b) Suppose that  $n > 0$ . Let  $\phi$  be an isomorphism from left to right, and  $m = (0, 0, \dots, 1 + (d_n))$  in the left-hand side. Show that  $\text{ann}_R(\phi(m)) = (d_n)$ .
- (c) Show that  $n' > 0$  and that  $d_n | d'_{n'}$ .
- (d) Show that  $d_n$  and  $d'_{n'}$  are associates.
- (e) Complete the induction step and the proof.

**STRUCTURE THEOREM FOR FINITELY GENERATED MODULES OVER PIDS (ELEMENTARY DIVISOR FORM):** Let  $R$  be a PID. Let  $M$  be a finitely generated  $R$ -module. Then there exist  $r, s \geq 0$  and prime elements  $p_1, \dots, p_s \in R$  such that  $M \cong R^r \oplus R/(p_1^{e_1}) \oplus \cdots \oplus R/(p_s^{e_s})$ . Moreover, the number  $r$  is uniquely determined and the list  $p_1^{e_1}, \dots, p_s^{e_s}$  is unique up to reordering and associates.

**CRT (FROM 817 HW):** Let  $R$  be a commutative ring, and  $I, J$  ideals such that  $I + J = R$ . Then  $R/IJ \cong R/I \times R/J$  as rings, and hence also as  $R$ -modules.

## (5) Converting between forms:

- \* To convert a cyclic module  $R/(a)$  to elementary divisor form, write  $f = p_1^{e_1} \cdots p_s^{e_s}$  as a product of prime powers, and use CRT to get

$$R/a \cong R/(p_1^{e_1}) \oplus \cdots \oplus R/(p_s^{e_s}).$$

- (a)** Convert the  $\mathbb{R}[x]$ -module

$$\mathbb{R}[x]^2 \oplus \mathbb{R}[x]/(x - 1) \oplus \mathbb{R}[x]/(x^2 - 1) \oplus \mathbb{R}[x]/((x - 1)(x^2 - 1))$$

to elementary divisor form.

- \* To convert a module from elementary divisor form to invariant factor form,

- For each distinct prime  $p_j$  occurring, take the largest power  $E_j$  it has in an elementary divisor, and combine and combine  $\bigoplus_j R/p_j^{E_j} \cong R/(p_1^{E_1} \cdots p_\ell^{E_\ell})$  via CRT. If there's more than one copy of  $R/p_j^{E_j}$ , just take one of the copies and leave the rest.
- Repeat with the remaining factors.

- (b)** Convert  $\mathbb{R}[x]/(x) \oplus \mathbb{R}[x]/(x^2) \oplus (\mathbb{R}[x]/(x - 3))^{\oplus 2} \oplus \mathbb{R}[x]/((x - 7)^3)$  to invariant factor form.

**(a)**  $\mathbb{R}[x]^2 \oplus (\mathbb{R}[x]/(x - 1))^{\oplus 2} \oplus \mathbb{R}[x]/((x - 1)^2) \oplus \mathbb{R}[x]/((x + 1)^2)$

**(b)**  $\mathbb{R}[x]/(x(x - 3)) \oplus \mathbb{R}[x]/((x - 3)(x^2)(x - 7)^3)$