

### §1.3: ALGEBRAS

**DEFINITION:** Let  $A$  be a ring. An  $A$ -**algebra** is a ring  $R$  equipped with a ring homomorphism  $\phi : A \rightarrow R$ ; we call  $\phi$  the **structure morphism** of the algebra<sup>1</sup>. A **homomorphism** of  $A$ -algebras is a ring homomorphism that is compatible with the structure morphisms; i.e., if  $\phi : A \rightarrow R$  and  $\psi : A \rightarrow S$  are  $A$ -algebras, then  $\alpha : R \rightarrow S$  is an  $A$ -algebra homomorphism if  $\alpha \circ \phi = \psi$ .

**UNIVERSAL PROPERTY OF POLYNOMIAL RINGS:** Let<sup>2</sup>  $A$  be a ring, and  $T = A[X_1, \dots, X_n]$  be a polynomial ring. For any  $A$ -algebra  $R$ , and any collection of elements  $r_1, \dots, r_n \in R$ , there is a unique  $A$ -algebra homomorphism  $\alpha : T \rightarrow R$  such that  $\alpha(X_i) = r_i$ .

**DEFINITION:** Let  $A$  be a ring, and  $R$  be an  $A$ -algebra. Let  $S$  be a subset of  $R$ . The **subalgebra generated by  $S$** , denoted  $A[S]$ , is the smallest  $A$ -subalgebra of  $R$  containing  $S$ .

**DEFINITION:** Let  $R$  be an  $A$ -algebra. Let  $r_1, \dots, r_n \in R$ . The ideal of  **$A$ -algebraic relations** on  $r_1, \dots, r_n$  is the set of polynomials  $f(X_1, \dots, X_n) \in A[X_1, \dots, X_n]$  such that  $f(r_1, \dots, r_n) = 0$  in  $R$ . Equivalently, the ideal of  $A$ -algebraic relations on  $r_1, \dots, r_n$  is the kernel of the homomorphism  $\alpha : A[X_1, \dots, X_n] \rightarrow R$  given by  $\alpha(X_i) = r_i$ . We say that a set of elements in an  $A$ -algebra is **algebraically independent over  $A$**  if it has no nonzero  $A$ -algebraic relations.

**DEFINITION:** A **presentation** of an  $A$ -algebra  $R$  consists of a set of generators  $r_1, \dots, r_n$  of  $R$  as an  $A$ -algebra and a set of generators  $f_1, \dots, f_m \in A[X_1, \dots, X_n]$  for the ideal of  $A$ -algebraic relations on  $r_1, \dots, r_n$ . We call  $f_1, \dots, f_m$  a set of **defining relations** for  $R$  as an  $A$ -algebra.

**PROPOSITION:** If  $R$  is an  $A$ -algebra, and  $f_1, \dots, f_m$  is a set of defining relations for  $R$  as an  $A$ -algebra, then  $R \cong A[X_1, \dots, X_n]/(f_1, \dots, f_m)$ .

- (1) Let  $R$  be an  $A$ -algebra and  $r_1, \dots, r_n \in R$ .
  - (a) Explain why  $A[r_1, \dots, r_n]$  is the image of the  $A$ -algebra homomorphism  $\alpha : A[X_1, \dots, X_n] \rightarrow R$  such that  $\alpha(X_i) = r_i$ .
  - (b) Discuss the following:  $A[r_1, \dots, r_n]$  is the set of elements of  $R$  that can be written as “polynomial expressions in  $r_1, \dots, r_n$  with coefficients from  $\phi(A)$ ” (if the structure map is  $\phi$ ).
  - (c) Suppose that  $R = A[r_1, \dots, r_n]$  and let  $f_1, \dots, f_m$  be a set of generators for the kernel of the map  $\alpha$ . Explain why  $R \cong A[X_1, \dots, X_n]/(f_1, \dots, f_m)$ , i.e., why the Proposition above is true.
  - (d) Suppose that  $R$  is generated as an  $A$ -algebra by a set  $S$ . Let  $I$  be an ideal of  $R$ . Explain why  $R/I$  is generated as an  $A$ -algebra by the image of  $S$  in  $R/I$ .
  - (e) Let  $R = A[X_1, \dots, X_n]/(f_1, \dots, f_m)$ , where  $A[X_1, \dots, X_n]$  is a polynomial ring over  $A$ . Find a presentation for  $R$ .
- (2) Presentations of some subrings:
  - (a) Consider the  $\mathbb{Z}$ -subalgebra of  $\mathbb{C}$  generated by  $\sqrt{2}$ . Write the notation for this ring. Is there a more compact description of the set of elements in this ring? Find a presentation.
  - (b) Same as (a) with  $\sqrt[3]{2}$  instead of  $\sqrt{2}$ .
  - (c) Let  $K$  be a field, and  $T = K[X, Y]$ . Come up with a concrete description of the ring  $R = K[X^2, XY, Y^2] \subseteq T$ , (i.e., describe in simple terms which polynomials are elements of  $R$ ), and give a presentation as a  $K$ -algebra.

<sup>2</sup>Note: the same  $R$  with different  $\phi$ 's yield different  $A$ -algebras. Despite this we often say “Let  $R$  be an  $A$ -algebra” without naming the structure morphism.

<sup>2</sup>This is equally valid for polynomial rings in infinitely many variables  $T = A[X_\lambda \mid \lambda \in \Lambda]$  with a tuple of elements of  $\{r_\lambda\}_{\lambda \in \Lambda}$  in  $R$  in bijection with the variable set. I just wrote this with finitely many variables to keep the notation for getting too overwhelming.

- (3) Infinitely generated algebras:
- (a) Show that  $\mathbb{Q} = \mathbb{Z}[1/p \mid p \text{ is a prime number}]$ .
  - (b) True or false: It is a direct consequence of the conclusion of (a) and the fact that there are infinitely many primes that  $\mathbb{Q}$  is not a finitely generated  $\mathbb{Z}$ -algebra.
  - (c) Given  $p_1, \dots, p_m$  prime numbers, describe the elements of  $\mathbb{Z}[1/p_1, \dots, 1/p_m]$  in terms of their prime factorizations. Can you ever have  $\mathbb{Z}[1/p_1, \dots, 1/p_m] = \mathbb{Q}$  for a finite set of primes?
  - (d) Show that  $\mathbb{Q}$  is not a finitely generated  $\mathbb{Z}$ -algebra.
  - (e) Show that, for a field  $K$ , the algebra  $K[X, XY, XY^2, XY^3, \dots] \subseteq K[X, Y]$  is not a finitely generated  $K$ -algebra.
  - (f) Show that, for a field  $K$ , the algebra  $K[X, Y/X, Y/X^2, Y/X^3, \dots] \subseteq K(X, Y)$  is not a finitely generated  $K$ -algebra.
- (4) Give two different nonisomorphic  $\mathbb{C}[X]$ -algebra structures on  $\mathbb{C}$ .
- (5) Let  $K$  be a field. Describe which elements are in the  $K$ -algebra  $K[X, X^{-1}] \subseteq K(X)$ , and find an element of  $K(X)$  not in  $K[X, X^{-1}]$ . Then compute<sup>3</sup> a presentation for  $K[X, X^{-1}]$  as a  $K$ -algebra.
- (6) Let  $K$  be a field, and  $T = K[X, Y]$ . Let  $R \subseteq T$  be the ring of polynomials that only have terms whose degree is a multiple of three (e.g.,  $X^3 + \pi X^5 Y + 5$  is in while  $X^3 + \pi X^4 Y + 5$  is out). Show that  $R$  is generated by  $X^3, X^2 Y, XY^2, Y^3$ , with defining relations  $X_2^2 - X_1 X_3, X_3^2 - X_2 X_4, X_1 X_4 - X_2 X_3$ .
- (7) Jacobian criterion for algebraic independence: Let  $K$  be a field of characteristic zero,  $R = K[X_1, \dots, X_n]$  be a polynomial ring, and  $f_1, \dots, f_n \in R$  be  $n$  polynomials. Show that  $f_1, \dots, f_n$  are algebraically independent over  $K$  if and only if

$$\det \begin{bmatrix} \frac{\partial f_1}{\partial X_1} & \cdots & \frac{\partial f_n}{\partial X_1} \\ \vdots & \ddots & \vdots \\ \frac{\partial f_1}{\partial X_n} & \cdots & \frac{\partial f_n}{\partial X_n} \end{bmatrix} \neq 0.$$

Use this to show that the  $2 \times 2$  minors of a  $2 \times 3$  matrix of indeterminates are algebraically independent.

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<sup>3</sup>Hint: Note that Division does not apply. Say  $X_1 \mapsto X$  and  $X_2 \mapsto Y$ . Show that the top  $X_2$ -degree coefficient of an algebraic relation is a multiple of  $X_1$ , and use this to set an induction on the top  $X_2$ -degree.