

DEFINITION: Let F be a field, V an F -vector space of dimension n , and $\phi : V \rightarrow V$ a linear transformation.

- The **characteristic polynomial** of ϕ is the polynomial $c_\phi(x) := \det(xI_n - [\phi]_B^B)$ for a basis B of V .
- The **minimal polynomial** of ϕ is the monic generator $m_\phi(x)$ of the ideal $\text{ann}_{F[x]}(V_\phi)$. Equivalently, $m_\phi(x)$ is the monic polynomial of smallest degree such that $m_\phi(\phi) = 0$.

We write $c_A(x) := c_{t_A}(x)$ and $m_A(x) := m_{t_A}(x)$ for a matrix A .

PROPOSITION: Let F be a field, V an F -vector space of dimension n , and $\phi : V \rightarrow V$ a linear transformation. Let $g_1 \mid \cdots \mid g_k$ be the invariant factors of ϕ . Then,

- (1) $m_\phi(x) = g_k$.
- (2) $c_\phi(x) = g_1 \cdots g_k$.

COROLLARY (CAYLEY-HAMILTON): $m_\phi(x) \mid c_\phi(x)$.

THEOREM: Let F be a field, V an F -vector space of dimension n , and $\phi : V \rightarrow V$ a linear transformation. For $\lambda \in F$, λ is an eigenvalue of $\phi \iff m_\phi(\lambda) = 0 \iff c_\phi(\lambda) = 0$.

(1) Let $A = \begin{bmatrix} -11 & -4 & -2 \\ 18 & 7 & 3 \\ 18 & 6 & 4 \end{bmatrix} \in \text{Mat}_3(\mathbb{Q})$. The SNF of $xI - A \in \text{Mat}_3(\mathbb{Q}[x])$ is $\begin{bmatrix} 1 & 0 & 0 \\ 0 & x-1 & 0 \\ 0 & 0 & x^2+x-2 \end{bmatrix}$.

- (a) What is the minimal polynomial of A ?
- (b) What is the characteristic polynomial of A ?
- (c) What is the RCF of A ?
- (d) What are the eigenvalues of A ?

(2) Let F be a field, and $A \in \text{Mat}_n(F)$ be a nilpotent matrix, meaning that $A^t = 0$ for some $t \geq 1$.

- (a) What can you deduce about $m_A(x)$ from the fact that $A^t = 0$?
- (b) Prove that $A^n = 0$.
- (c) If $n = 4$, what are the possible lists of invariant factors?
- (d) Give a complete nonredundant list of representatives of similarity classes of 4×4 nilpotent matrices.

(3) Let $f(x) = (x^2 - 1)(x^4 - 1) \in \mathbb{Q}[x]$.

- (a) If $A \in \text{Mat}_6(\mathbb{Q})$ has characteristic polynomial $c_A(x) = f(x)$, then what are the possible lists of invariant factors of A ?
- (b) Give a complete nonredundant list of representatives of similarity classes of rational matrices with characteristic polynomial f .

(4) Proofs:

- (a) Show that the characteristic polynomial is well-defined (i.e., independent of choice of B).
- (b) Prove¹ the Proposition and deduce Cayley-Hamilton as a Corollary.
- (c) Prove the Theorem.

(5) Find the minimal and characteristic polynomials of rotation by $\pi/3$ counterclockwise in \mathbb{R}^2 .

(6) Let F be a field.

- (a) Let A and B be two 3×3 matrices with entries in F . Prove A and B are similar if and only if they have the same characteristic polynomial and the same minimal polynomial.
- (b) Show, by way of an example with justification, that the statement in part (a) would become false if 3×3 were replaced by 4×4 .

(7) Give a complete nonredundant list of representatives for the conjugacy classes of $\text{GL}_3(\mathbb{Z}/2)$.

¹Hint: You did most of the work for (1) in a homework problem.