

MATH 901 LECTURE NOTES, FALL 2021

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1. CATEGORY THEORY

1.1. Categories.

Lecture of August 23, 2021

1.1.1. Definition of category.

Definition 1.1. A *category* \mathcal{C} consists of the following data:

- (1) a collection of *objects*, denoted $\text{Ob}(\mathcal{C})$,
- (2) for each pair of objects $A, B \in \text{Ob}(\mathcal{C})$, a set $\text{Hom}_{\mathcal{C}}(A, B)$ of *morphisms* (also known as *arrows*) from A to B ,
- (3) for each triple of objects $A, B, C \in \text{Ob}(\mathcal{C})$, a function

$$\text{Hom}_{\mathcal{C}}(A, B) \times \text{Hom}_{\mathcal{C}}(B, C) \longrightarrow \text{Hom}_{\mathcal{C}}(A, C)$$

written as $(\alpha, \beta) \mapsto \beta \circ \alpha$ that we call the *composition rule*.

These data are required to satisfy the following axioms:

- (1) (Disjointness) the Hom sets are disjoint: if $A \neq A'$ or $B \neq B'$, then

$$\text{Hom}_{\mathcal{C}}(A, B) \cap \text{Hom}_{\mathcal{C}}(A', B') = \emptyset.$$

- (2) (Identities) for every object A , there is an *identity morphism* $1_A \in \text{Hom}_{\mathcal{C}}(A, A)$ such that $1_A \circ f = f$ and $g \circ 1_A = g$ for all $f \in \text{Hom}_{\mathcal{C}}(B, A)$ and all $g \in \text{Hom}_{\mathcal{C}}(A, B)$.
- (3) (Associativity) composition is associative: $f \circ (g \circ h) = (f \circ g) \circ h$.

Remark 1.2. (1) The word “collection” as opposed to “set” is important here. The point is that there is no set of all sets, but by utilizing bigger collecting objects in set theory, we can sensibly talk about the collection of all sets. We’ll sweep all of the set theory under the rug there, but it’s worth keeping in mind that the objects of a category don’t necessarily form a set. We did assume that the collections of morphisms between a pair of objects form a set, though not everyone does.

- (2) The first axiom above guarantees that every morphism α in a category \mathcal{C} has a well-defined *source* and *target* in $\text{Ob}(\mathcal{C})$, namely, the unique A and B (respectively) such that $\alpha \in \text{Hom}_{\mathcal{C}}(A, B)$.

The name arrow dovetails with the common practice of depicting a morphism $\alpha \in \text{Hom}_{\mathcal{C}}(A, B)$ as

$$A \xrightarrow{\alpha} B.$$

The composition of $A \xrightarrow{\alpha} B$ and $B \xrightarrow{\beta} C$ is $A \xrightarrow{\beta \circ \alpha} C$.

Optional Exercise 1.3. Prove that every element in a category has a unique identity morphism (i.e., a unique morphism that satisfies the hypothesis of axiom (2)).

1.1.2. *Examples of categories.* Many of our favorite objects from algebra naturally congregate in categories!

Example 1.4. (1) There is a category **Set** where

- $\text{Ob}(\mathbf{Set})$ is the collection of all sets
- for two sets X, Y , $\text{Hom}_{\mathbf{Set}}(X, Y)$ is the set of functions from X to Y
- the composition rule is composition of functions

We observe that every set has an identity function, which behaves as an identity for composition, and that composition of functions is associative.

(2) There is a category **Grp** where

- $\text{Ob}(\mathbf{Grp})$ is the collection of all groups
- for two sets X, Y , $\text{Hom}_{\mathbf{Grp}}(X, Y)$ is the set of group homomorphisms from X to Y
- the composition rule is composition of functions

Note that the identity function on a group is a group homomorphism, and that a composition of two group homomorphisms is a group homomorphism.

(3) There is a category **Ab** where

- $\text{Ob}(\mathbf{Ab})$ is the collection of all abelian groups
- for two sets X, Y , $\text{Hom}_{\mathbf{Ab}}(X, Y)$ is the set of group homomorphisms from X to Y
- the composition rule is composition of functions

(4) In this class,

- A *semigroup* is a set S with an associative operation \cdot that has an identity element; some may prefer the term *monoid*, but I don't.
- A *semigroup homomorphism* from semigroups $S \rightarrow T$ is a function that preserves the operation and maps the identity element to the identity element.

There is a category **Sgrp** where the objects are all semigroups and the morphisms are semigroup homomorphisms. (The composition rule is composition again.)

(5) In this class,

- A *ring* is a set R with two operations $+$ and \cdot such that $(R, +)$ is abelian group, with identity 0 , and (R, \cdot) is a semigroup with identity 1 , and such that the left and right distributive laws hold: $(r + s)t = rt + st$ and $t(r + s) = tr + ts$.
- A *ring homomorphism* is a function that preserves $+$ and \cdot and sends 1 to 1 .

There is a category **Ring** where the objects are all rings and the morphisms are ring homomorphisms.

(6) Let R be a ring. In this class,

- A *left R -module* is an abelian group $(M, +)$ equipped with a pairing $R \times M \rightarrow M$, written $(r, m) \mapsto rm$ or $(r, m) \mapsto r \cdot m$ such that
 - (a) $r_1(r_2m) = (r_1r_2)m$,
 - (b) $(r_1 + r_2)m = r_1m + r_2m$,
 - (c) $r(m_1 + m_2) = rm_1 + rm_2$, and
 - (d) $1m = m$.
- A *left module homomorphism* or *R -linear map* between left R -modules $\phi : M \rightarrow N$ is a homomorphism of abelian groups from $(M, +) \rightarrow (N, +)$ such that $\phi(rm) = r\phi(m)$.

There is a category $R\text{-Mod}$ where the objects are all left R -modules and the morphisms are R -linear maps.

- (7) There is a category **Fld** where the objects are all fields and the morphisms are all field homomorphisms.
- (8) There is a category **Top** where the objects are all topological spaces and the morphisms are all continuous functions.

Remark 1.5. There are two special cases of the category of R -modules that are worth singling out:

- Every abelian group M is a \mathbb{Z} -module in a unique way, by setting

$$n \cdot m = \underbrace{m + \cdots + m}_{n\text{-times}} \quad \text{and} \quad -n \cdot m = -(\underbrace{m + \cdots + m}_{n\text{-times}}) \quad \text{for } n \geq 0.$$

Thus, **Ab** is basically just $\mathbb{Z} - \mathbf{Mod}$.

- When $R = K$ happens to be a field, we are accustomed to calling K -modules *vector spaces*. Thus, we might write $K - \mathbf{Vect}$ for $K - \mathbf{Mod}$.