

§3.11: GRADED RINGS

DEFINITION:

- (1) An **\mathbb{N} -grading** on a ring R is
 - a decomposition of R as additive groups $R = \bigoplus_{d \geq 0} R_d$
 - such that $x \in R_d$ and $y \in R_e$ implies $xy \in R_{d+e}$.
- (2) An **\mathbb{N} -graded ring** is a ring with an \mathbb{N} -grading.
- (3) We say that an element $x \in R$ in an \mathbb{N} -graded ring R is **homogeneous of degree d** if $x \in R_d$.
- (4) The **homogeneous decomposition** of an element $r \neq 0$ in an \mathbb{N} -graded ring is the sum

$$r = r_{d_1} + \cdots + r_{d_k} \quad \text{where } r_{d_i} \neq 0 \text{ homogeneous of degree } d_i \text{ and } d_1 < \cdots < d_k.$$

The element r_{d_i} is the **homogeneous component r of degree d_i** .
- (5) An ideal I in an \mathbb{N} -graded ring is **homogeneous** if $r \in I$ implies every homogeneous component of r is in I .
- (6) A homomorphism $\phi : R \rightarrow S$ between \mathbb{N} -graded rings is **graded** if $\phi(R_d) \subseteq S_d$ for all $d \in \mathbb{N}$.

DEFINITION: For an abelian semigroup $(G, +)$, one defines **G -grading** as above with G in place of \mathbb{N} and $g \in G$ in place of $d \geq 0$. The other definitions above make sense in this context.

DEFINITION: Let K be a field, and $R = K[X_1, \dots, X_n]$ be a polynomial ring. Let G be a group acting on R so that for every $g \in G$, $r \mapsto g \cdot r$ is a K -algebra homomorphism. The **ring of invariants** of G is

$$R^G := \{r \in R \mid \text{for all } g \in G, g \cdot r = r\}.$$

- (1) Basics with graded rings: Let R be an \mathbb{N} -graded ring.
 - (a) If $f \in R$ is homogeneous of degree a and $g \in R$ is homogeneous of degree b , what about $f + g$ and fg ?
 - (b) Translate the definition of graded ring to explain why every nonzero element has a unique homogeneous decomposition.
 - (c) Does every element in an \mathbb{N} -graded ring have a degree? What about “top degree” or “bottom degree”?
 - (d) What is the¹ degree of zero?

- (a) $f + g$ is homogeneous if and only if $a = b$, in which case it has degree a ; fg is homogeneous of degree $a + b$.
- (b) The direct sum decomposition means that every element can be expressed in a unique way as a finite sum of elements from the components.
- (c) No; only homogeneous elements have a degree. Any nonzero element has a top degree and a bottom degree.
- (d) Zero is homogeneous of every degree, since each R_n is an additive group.

- (2) The **standard grading** on a polynomial ring: Let A be a ring.
 - (a) Let $R = A[X]$. Discuss: the decomposition $R_d = A \cdot X^d$ gives an \mathbb{N} -grading on R .
 - (b) Let $R = A[X_1, \dots, X_n]$. Discuss: the decomposition

$$R_d = \sum_{d_1 + \cdots + d_n = d} A \cdot X_1^{d_1} \cdots X_n^{d_n}$$

gives an \mathbb{N} -grading on R . What is the homogeneous decomposition of $f = X_1^3 + 2X_1X_2 - X_3^2 + 3$?

¹Hint: This is a trick question, but specify exactly how.

- (c) Let $R = A[[X]]$. Explain why the decomposition $R_n = A \cdot X^n$ does not give an \mathbb{N} -grading on R .

- (a) Agree.
 (b) Agree. $f_3 = X_1^3$, $f_2 = 2x_1x_2 - x_3^2$, $f_0 = 3$.
 (c) An element must be a finite sum of homogeneous elements.

- (3) **Weighted gradings** on polynomial rings: Let A be a ring, $R = A[X_1, \dots, X_n]$ and $a_1, \dots, a_m \in \mathbb{N}$.

- (a) Discuss: $R_n = \sum_{d_1a_1 + \dots + d_ma_m = n} A \cdot X_1^{d_1} \dots X_m^{d_m}$ gives an \mathbb{N} -grading of R where the degree of X_i is a_i .
 (b) Can you find a_1, a_2, a_3 such that $X_1^2 + X_2^3 + X_3^5$ is homogeneous? Of what degree?

- (a) Yes. It is the truth.
 (b) $a_1 = 15, a_2 = 10, a_3 = 6$ makes the element degree 30.

- (4) The **fine grading** on polynomial rings: Let A be a ring and $R = A[X_1, \dots, X_n]$. Discuss why

$$R_d = A \cdot X^d \quad \text{for } d = (d_1, \dots, d_m) \in \mathbb{N}^n, \text{ where } X^d := X_1^{d_1} \dots X_m^{d_m}$$

yields an \mathbb{N}^m -grading on R . What are the homogeneous elements?

Yes, every polynomial is a sum of monomials with coefficients in a unique way, and the exponent vectors add when we multiply. The homogeneous elements are monomials with coefficients.

- (5) More basics with graded rings. Let R be \mathbb{N} -graded.

- (a) Show² that if $e \in R$ is idempotent, then e is homogeneous of degree zero. In particular, 1 is homogeneous of degree zero.
 (b) Show that R_0 is a subring of R , and each R_n is an R_0 -module.
 (c) Show that if I is homogeneous, then R/I is also \mathbb{N} -graded where $(R/I)_n$ consists of the classes of homogeneous elements of R of degree n .
 (d) Show that I is homogeneous if and only if I is generated by homogeneous elements.
 (e) Suppose that $\phi : R \rightarrow S$ is a homomorphism of K -algebras, and that R and S are \mathbb{N} -graded with K contained in R_0 and S_0 . Show that ϕ is graded if ϕ preserves degrees for all of the elements in some homogeneous generating set of R .

- (a) Suppose otherwise; then we can write $e = e_0 + e_d + X$ with e_0 the degree zero component (a priori possibly zero), $e_d \neq 0$ the lowest positive degree component, and X a sum of higher degree terms. Then $e^2 = e$ yields $e_0^2 + 2e_0e_d + \text{higher degree terms} = e_0 + e_d + \text{higher degree terms}$, and equating terms of the same degree, $e_0^2 = e_0$ and $2e_0e_d = e_d$. Multiplying the latter by e_0 and using the first gives $2e_0e_d = e_0e_d$, so $e_0e_d = 0$, so $e_d = 0$. This is a contradiction, so we must have $e = e_0$ is homogeneous of degree zero.
 (b) From the above, $1 \in R_0$; we also know that R_0 is closed under \pm and \times , so it is a subring. For $r \in R_0$ and $s \in R_n$, $rs \in R_n$, and all the other module axioms follows from the ring axioms in R .
 (c) We need to show that R/I has a unique expression as a sum of elements in distinct $(R/I)_n$ pieces. Let $\bar{r} \in R/I$, and write $r = \sum_i r_{d_i}$ as a sum of homogeneous components. Then $\bar{r} = \sum_i \bar{r}_{d_i}$ gives existence. For uniqueness, suppose that $\bar{0} = \sum_i \sum_i \bar{r}_{d_i}$ with $r_{d_i} \in R_{d_i}$

²Hint: If not, write $e = e_0 + e_d + X$ where e_0 has degree zero and e_d is the lowest nonzero positive degree component. Apply uniqueness of homogeneous decomposition to $e^2 = e$ and show that $2e_0e_d = e_0e_d \dots$

and d_i distinct. This just means that $\sum_i r_{d_i} \in I$, and by definition of homogeneous ideal, we must have $r_{d_i} \in I$, so $\overline{r_{d_i}} = \overline{0}$. This is the required uniqueness statement.

- (d) (\Rightarrow) Suppose that I is homogeneous, and let S be a generating set for I . We claim that the set of homogeneous components S' of elements of S is a generating set for I . Indeed, each such component is in I , so $(S') \subseteq I$ and since each generator is a linear combination of said components, we have $I = (S) \subseteq (S')$, so $(S') = I$. (\Leftarrow) Suppose that I is generated by a set S of homogeneous elements. Then given $f \in I$, we can write $f = \sum_i r_i s_i$ for some $s_i \in S$ of degree d_i . Write each r_i as a sum of homogeneous elements $r_i = \sum_j r_{i,j}$ with $\deg(r_{i,j}) = j$. Then $f = \sum_i r_i s_i = \sum_i \sum_j r_{i,j} s_i$. Then the homogeneous components of f are $\sum_{i,j:j+d_i=t} r_{i,j} s_i$, which lie in I .
- (e) Any homogeneous element can be written as a polynomial expression in the generators: $r = \sum_i k_i f_1^{d_1} \cdots f_t^{d_t}$. Each summand on the right hand side is homogeneous, so taking the homogeneous component of degree equal to that of r , we can assume that each term in the right hand side had degree equal to that of r . Then $\phi(r) = \phi\left(\sum_i k_i f_1^{d_1} \cdots f_t^{d_t}\right) = \sum_i k_i \phi(f_1)^{d_1} \cdots \phi(f_t)^{d_t}$. But since $\deg(f_i) = \deg(\phi(f_i))$ the right hand side has the same degree as that on the previous formula, so $\deg(\phi(r)) = \deg(r)$.

- (6) Semigroup rings: Let S be a subsemigroup of \mathbb{N}^n with operation $+$ and identity $(0, \dots, 0)$. The **semigroup ring** of S is

$$K[S] := \sum_{\alpha \in S} K X^\alpha \subseteq R, \quad \text{where } X^\alpha := X_1^{\alpha_1} \cdots X_n^{\alpha_n}.$$

- (a) Show that $K[S]$ is a K -subalgebra that is a graded subring of R in the fine grading.
(b) Let $S = \langle 4, 7, 9 \rangle \subseteq \mathbb{N}$. Draw a picture of S . What is $K[S]$?
(c) Find a semigroup $S \subseteq \mathbb{N}^2$ such that $K[S]$ is Noetherian, and another such that $K[S]$ is not Noetherian. Draw pictures of these semigroups.
(d) Show that every K -subalgebra that is a graded subring of R in the fine grading is of the form $K[S]$ for some S .

- (7) Homogeneous elements: Let R be an \mathbb{N} -graded ring.

- (a) Show that R is a domain if and only if for all homogeneous elements x, y , $xy = 0$ implies $x = 0$ or $y = 0$.
(b) Show that the radical of a homogeneous ideal is homogeneous.

- (8) In the setting of the definition of “ring of invariants” suppose that each $g \in G$ acts as a graded homomorphism. Show that R^G is an \mathbb{N} -graded K -subalgebra of R .