## §2.9: NOETHERIAN RINGS

DEFINITION: A ring R is **Noetherian** if every ascending chain of ideals  $I_1 \subseteq I_2 \subseteq I_3 \subseteq \cdots$  eventually stabilizes: i.e., there is some N such that  $I_n = I_N$  for all  $n \ge N$ .

HILBERT BASIS THEOREM: If R is a Noetherian ring, then the polynomial ring R[X] and power series ring R[X] are also Noetherian.

We will return to the proof of Hilbert Basis Theorem after discussing Noetherian modules next time.

COROLLARY: Every finitely generated algebra over a field is Noetherian.

- (1) Equivalences for Noetherianity.
  - (a) Show that R is Noetherian if and only if every ideal is finitely generated.
  - **(b)** Show<sup>2</sup> that R is Noetherian if and only if every nonempty collection of ideals has a maximal<sup>3</sup> element.
    - (a) (⇐) Suppose that every nonempty collection of ideals has a maximal element. Then a chain of ideals I₁ ⊆ I₂ ⊆ I₃ ⊆ · · · is, in particular, a nonempty collection of ideals, hence has a maximal element, say Iₙ. Then for n ≥ n, Iռ ⊆ Iₙ and maximality of Iռ imply Iռ = Iₙ.
      (⇒) Suppose that there is a nonempty collection of ideals without a maximal element, say S. Let I₁ be any element of S. Then, by definition, there is some I₂ that properly contains I₁, and so on, yielding a chain that does not stabilize.
    - **(b)** ( $\Leftarrow$ ) Suppose that every ideal is finitely generated, and take a chain  $I_1 \subseteq I_2 \subseteq \cdots$ . Consider  $I = \bigcup_n I_n$ . This is an ideal (it was important that we had a chain, not an arbitrary collection of ideals for this step), and by hypothesis we have  $I = (f_1, \ldots, f_m)$ . For each i, there is some  $n_i$  such that  $f_i \in I_{n_i}$ . Let  $N = \max\{n_i\}$ . Then  $I = (f_1, \ldots, f_m) \subseteq I_N \subseteq I$ , so equality holds, and the chain stabilizes at N. ( $\Rightarrow$ ) Suppose that there is an ideal I that is not finitely generated. Then we construct an infinite chain as follows: let  $f_1 \in I \setminus 0$  (0 is finitely generated so  $I \neq 0$ ), and set  $I_1 = (f_1)$ , and for each n take  $f_{n+1} \in I \setminus I_n = (f_1, \ldots, f_n)$ ,  $(I_n$  is finitely generated so  $I \neq I_n$ ).
- (2) Some Noetherian rings:
  - (a) Show that fields and PIDs are Noetherian.
  - **(b)** Show that if R is Noetherian and  $I \subseteq R$ , then R/I is Noetherian.
  - (c) Is<sup>4</sup> every subring of a Noetherian ring Noetherian?
    - (a) Every element of a field is generated by no elements; every element of a PID is generated by one element.
    - **(b)** The ideals of R/I are in containment-preserving bijection with ideals of R containing I. A chain of ideals in R containing I must stabilize, so the corresponding chain in R/I must stabilize as well.

<sup>&</sup>lt;sup>1</sup>For the backward direction, consider  $\bigcup_{n\in\mathbb{N}} I_n$ 

<sup>&</sup>lt;sup>2</sup>Hint: For the forward direction, show the contrapositive.

<sup>&</sup>lt;sup>3</sup>This means that if S is our collection of ideals, there is some  $I \in S$  such that no  $J \in S$  properly contains I. It does not mean that there is a maximal ideal in S.

<sup>&</sup>lt;sup>4</sup>Hint: Every domain has a fraction field, even the domain from (4a).

- (c) No:  $K[X_1, X_2, ...]$  is not Noetherian, but it is a subring of its fraction field  $K(X_1, X_2, ...)$ , which is a field, hence Noetherian.
- **(3)** Use the Hilbert Basis Theorem to deduce the Corollary.

From the Hilbert Basis Theorem and induction, if R is Noetherian, then  $R[X_1, \ldots, X_n]$  is as well. In particular, if K is a field, then  $K[X_1, \ldots, X_n]$  is too. Since a finitely generated Kalgebra is a quotient of some  $K[X_1, \ldots, X_n]$ , then any such ring is Noetherian as well.

- **(4)** Some nonNoetherian rings:
  - (a) Let K be a field. Show that  $K[X_1, X_2, ...]$  is not Noetherian.
  - (b) Let K be a field. Show that  $K[X, XY, XY^2, ...]$  is not Noetherian.
  - (c) Show that  $\mathcal{C}([0,1],\mathbb{R})$  is not Noetherian.
    - (a) The ideal  $(X_1, X_2, \dots)$  is not finitely generated.
    - (b) The ideal (X, XY, ...) is not finitely generated.
    - (c) The ideal  $\sqrt{(x)} = \mathfrak{m}_0$  is not finitely generated.
- (5) Let R be a Noetherian ring. Show that for every ideal I, there is some n such that  $\sqrt{I}^n \subseteq I$ . In particular, there is some n such that for every nilpotent element z,  $z^n = 0$ .

Let  $\sqrt{I}=(f_1,\ldots,f_m)$ . For each i, there is some  $n_i$  such that  $f_i^{n_i}\in I$ . Then for  $n\geq n_1+\cdots+n_m-m+1$ , any generator  $f_1^{a_1}\cdots f_m^{a_m}$  with  $\sum a_i=n$  must have  $a_j\geq n_j$  for some j, and hence  $f_1^{a_1}\cdots f_m^{a_m}\in I$ .

For the particular case, we consider  $\sqrt{0}$ .

(6) Let R be Noetherian. Show that every element of R admits a decomposition into irreducibles.

We argue the contrapositive. Suppose that  $r \in R$  does not admit a decomposition into irreducibles. Then in particular, r is reducible, so  $r = r_1 r_1'$ , with  $r_1'$  not a unit, so  $(r) \subsetneq (r_1)$ . Likewise,  $r_1$  is reducible, so  $r_1 = r_2 r_2'$ , with  $r_2'$  not a unit, so  $(r_1) \subsetneq (r_2)$ . We can continue like this forever to obtain an infinite ascending chain of *principal* ideals even.

- (7) Prove the principle of **Noetherian induction**: Let  $\mathcal{P}$  be a property of a ring. Suppose that "For every nonzero ideal  $I, \mathcal{P}$  is true for R/I implies that  $\mathcal{P}$  is true for R" and  $\mathcal{P}$  holds for all fields. Then  $\mathcal{P}$  is true for every Noetherian ring.
- (8) (a) Suppose that every maximal ideal of R is finitely generated. Must R be Noetherian?
  - (b) Suppose that every ascending chain of prime ideals stabilizes. Must R be Noetherian?
  - (c) Suppose that every prime ideal of R is finitely generated. Must R be Noetherian?
    - (a) No.
    - (b) No.
    - (c) Yes.