

$$R = \frac{\mathbb{Z}[u, v, w, x, y, z]}{(ux + vy + wz)}$$

found p-torsion  
in local cohomology for all p.

If  $\mathbb{Z} \cong k$  field, then every LC module has finitely many associated primes

Idea:  $R_t$  where  $t \in \{u, \dots, z\}$

is  $\cong$  localization of poly ring, so if  $H$  is a LC module of  $R$ ,  $H_t$  has fin many assoc primes  $\Rightarrow$  OK,

But, here are examples of  $k$ -algebras with LC modules with  $\infty$  assoc. primes, e.g,

$$H(u, v) = \left( \frac{\mathbb{Z}[s, t, u, v, w, x]}{(s(ux)^2 + t(ux)(vy) + s(vy)^2)} \right)$$

has  $\infty$  assoc. primes.

Current topic of interest: for which

for all rings  $R$  do we have  $H_I^i(R)$  have  
finitely many assoc. primes?

(conj [Huneke, Lyubeznik]): If  $R$  is regular, then all  $H_I^i(R)$  have finitely many assoc. primes.

known for: • poly rings over fields of char 0 [Lyubarskii]

\* Reg rings of char  $p > 0$  [Fluecke-shapp]

- smooth  $\mathbb{Z}$ -algebras [Bhatt-Blickle-Lyubashenko-Singh-Zhang].

Have:  $R_f[S] \cdot \underline{f}^S$  free cyclic  $R_f[S]$ -module

$$\frac{\partial}{\partial x_i} \cdot \underline{f}^S = \frac{s}{f} \frac{\partial f}{\partial x_i} \cdot \underline{f}^S$$

These determine at most one structure  
of  $D_{R_{\text{f}}[K]}[S]$ -module,

since  $D_{R_{\text{f}}[K]}[S] = R_{\text{f}}[S] \cdot \left< \frac{\partial}{\partial x_1}, \dots, \frac{\partial}{\partial x_n} \right>$

and  $\underline{f}^S$  generates  $R_{\text{f}}[S]$ .  $\underline{f}^S$   
as  $D_{R_{\text{f}}[K]}[S]$ -module.

Alternative construction:

$K$  field of char 0,  $R$   $K$ -alg.

Let  $D_{R[K]}[S] \xrightarrow{\varphi} D_{R[\epsilon][K]}$  ( $S, t$   
indeterminates)

be the map that is identity on  $D_{R[K]}$

and  $S \longmapsto -\frac{\partial}{\partial t} \cdot \bar{t}$ .

Can identify the image of  $\varphi$  with

$$D_{R[K]} \left[ \frac{\partial}{\partial t} \cdot \bar{t} \right] \subseteq D_{R[\epsilon][K]}, \text{ and } \varphi \text{ is injective.}$$

Consider the map

$$\frac{(R[t]_f)_{t=t}}{R[t]_f}$$

free cyclic  $R_f[S]$ -module

$$R_f[S] \cdot \underline{f^S} \xrightarrow{\gamma} H_{(f-t)}^1(R[t]_f)$$

$$g(S) \cdot \underline{f^S} \longmapsto g\left(\frac{\partial}{\partial t} \cdot \bar{t}\right) \left[\frac{1}{f-t}\right].$$

Exercise:  $\gamma$  is injective,

so  $\gamma$  induces an isomorphism onto  
the image  $D_{R_f[K]} \left[ \frac{\partial}{\partial t} \cdot \bar{t} \right] \cdot \left[ \frac{1}{f-t} \right]$ .

Define  $\overset{D_{R_f[K]}[S]}{\curvearrowright}$  structure on  $R_f[S] \cdot \underline{f^S}$  as follows:

$$P(S) \cdot g(S) \underline{f^S} := \gamma^{-1}(\gamma(P(S)) \cdot \gamma(g(S) \underline{f^S}))$$

for  $P(S) \in D_{R_f[K]}[S]$  and  $g(S) \in R_f[S]$ .

For  $h(S) \in R_f[S]$ ,

$$\begin{aligned} h(S) \cdot g(S) \underline{f^S} &= \gamma^{-1}(q(h(S)) \cdot \gamma(g(S) \underline{f^S})) \\ &= \gamma^{-1}(h\left(\frac{\partial}{\partial t} \cdot \bar{t}\right) \cdot g\left(\frac{\partial}{\partial t} \cdot \bar{t}\right) \left[\frac{1}{f-t}\right]) \\ &= \gamma^{-1}\left(\left(h\left(\frac{\partial}{\partial t} \cdot \bar{t}\right) g\left(\frac{\partial}{\partial t} \cdot \bar{t}\right)\right) \cdot \left[\frac{1}{f-t}\right]\right) \\ &= \gamma^{-1}\left(hg\left(\frac{\partial}{\partial t} \cdot \bar{t}\right) \cdot \left[\frac{1}{f-t}\right]\right) \end{aligned}$$

$$= h(s)g(s) \cdot f^s.$$

So  $R_f[s]$ -module on  $R_f[s].f^s$  is  
the same.

Now let  $R = k[x]$  poly ring.

$$(so D_{R_f[k][s]} = R_f[s] \left\langle \frac{\partial}{\partial x_1}, \dots, \frac{\partial}{\partial x_n} \right\rangle).$$

$$\begin{aligned} \frac{\partial}{\partial x_i} \cdot f^s &= \gamma^{-1} \left( q\left(\frac{\partial}{\partial x_i}\right) \gamma(f^s) \right) \\ &= \gamma^{-1} \left( \frac{\partial}{\partial x_i} \cdot \left[ \frac{1}{f-t} \right] \right) \\ &= \boxed{\gamma^{-1} \left[ \left[ \frac{-\frac{\partial f}{\partial x_i}}{(f-t)^2} \right] \right]} \end{aligned}$$

$$\gamma \left( \left[ \frac{s \cdot \frac{\partial f}{\partial x_i}}{f} \cdot f^s \right] \right) = \frac{\left( -\frac{\partial}{\partial t} \cdot E \right) \frac{\partial f}{\partial x_i}}{f} \left[ \frac{1}{(f-t)} \right]$$

$$= \frac{-\frac{\partial}{\partial t}}{f} \cdot \left( \left[ \frac{t \frac{\partial f}{\partial x_i}}{f-t} \right] + \boxed{\frac{(f-t) \left( \frac{\partial f}{\partial x_i} \right)}{f-t}} \right)$$

$$\begin{aligned} &= \frac{-\partial}{\partial t} \cdot \left( \left[ \frac{f}{f-t} \frac{\partial f}{\partial x_i} \right] \right) = -\frac{\partial}{\partial t} \cdot \left[ \frac{\frac{\partial f}{\partial x_i}}{f-t} \right] \\ &= \left[ \frac{-\frac{\partial f}{\partial x_i}}{(f-t)^2} \right]. \end{aligned}$$

$$\text{So, } \frac{\partial}{\partial x_i} \cdot \underline{f^S} = \left( \frac{S}{f} \frac{\partial f}{\partial x_i} \right) \underline{f^S}.$$

- Conclusion: •  $\exists D_{R \otimes K}[S]$ -module structure  
 on  $R_f[S] \cdot \underline{f^S}$  as described earlier for  
 $R = K[\underline{x}]$  (and thus a  $D_{R \otimes K}[S]$ -module structure).
- Can define  $R_f[S] \cdot \underline{f^S}$  even when  $R$   
 is not poly ring.  
 (This construction is important for other  
 reasons.)

## Bernstein-Sato Polynomials

Def: The Bernstein-Sato functional equation  
is an equation of the form

$$P(s) \cdot \underline{f}^s = b(s) \underline{f}^s$$

with  $P(s) \in D_{R(K)}[s]$ ,  $b(s) \in K[s]$ , as  
an equation in  $R_f[s] \cdot \underline{f}^s$ .

Note: We insist on  $P(s) \in D_{R(K)}[s]$ , not

$D_{R_f(K)}[s]$ , since in  $D_{R_f(K)}[s]$  have  $\frac{1}{f} = P(s)$   
and  $b(s) = 1$ . The point is to undo  
mult. of  $f$  without dividing by  $f$ .

$$P(s) \cdot \underline{f}^s = b(s) \underline{f}^s \quad \text{in } R_f[s] \cdot \underline{f}^s$$

$$P(t) \cdot \underline{f}^{t+1} = b(t) \underline{f}^t \quad \text{in } R_f \text{ for all } t \in \mathbb{Z}$$

$(\Leftrightarrow \text{infinitely many } t \in \mathbb{Z})$

$$R = k[x_1, \dots, x_n].$$

Example: 1) Let  $x_i \in R$ .

$$\frac{\partial}{\partial x_i} \cdot x_i^{t+1} = (t+1)x_i^t \quad \text{for all } t \in \mathbb{Z}.$$

$$\text{Take } P(S) = \frac{\partial}{\partial x_i}, \quad b(S) = S+1$$

$$\Rightarrow P(S) \cdot \underline{x_i} \underline{x_i^S} = b(S) \cdot \underline{x_i^S} \quad \text{in } R_{x_i}[S] \cdot \underline{x_i^S}.$$

$$A(\underline{x_i}, \underline{x_i} \frac{\partial}{\partial x_i}^2 \cdot x_i^{t+1}) = (t+1)t \cdot x_i^t \quad \text{for all } t \in \mathbb{Z}.$$

$$P(S) = \underline{x_i} \frac{\partial}{\partial x_i}^2, \quad b(S) = S(S+1)$$

yields functional equation.

$$2) \text{ Take } x_i^n \in R.$$

$$\left( \frac{\partial}{\partial x_i} \right)^n \cdot x_i^{n(t+1)} = (nt+n)(nt+n-1) \dots (nt+1) x_i^{nt}$$

$$\sim P(S) = \left( \frac{\partial}{\partial x_i} \right)^n, \quad b(S) = \frac{(ns+n)(ns+n-1) \dots (ns+1)}{(n-1)!}.$$

$$3) \text{ For } x_1^2 + x_2^3, \text{ have}$$

$$P(S) = \frac{1}{12} X_2 \frac{\partial^2}{\partial X_1 \partial X_2} + \frac{1}{27} \frac{\partial^3}{\partial X_2^3} + \frac{5}{4} \frac{\partial^2}{\partial X_1^2} + \frac{3}{8} \frac{\partial^2}{\partial X_1^2}$$

$$b(S) = (S+1)(S+5/6)(S+7/6)$$

Next goal is to prove that every  $f$  admits a nonzero functional equation (poly ring char 0).

Prop:  $R_f(S) \cdot \underline{f^S}$  is a holonomic  $D_{R(S)/K(S)}$ -module.

pf: will give a filtration by  $K(S)$  vector spaces that is consistent with the Bernstein filtration on  $D_{R(S)/K(S)}$  that is small. If  $f \in [R]_{sa}$ , set  $f^t = \frac{1}{f^t} \cdot B^{(a+1)t} \cdot \underline{f^S}$ , where

$B^t \ni$  Ber. filtration on  $D_{R(S) \mid K(S)}$ ,

Take  $\frac{f}{f^t} \in F^t$ , so  $r \in B^{(a+i)t}$

• For  $h(s) \in K(S)$ ,  $h(s) \in B^0$

$$h(s) \frac{f}{f^t} \cdot \underline{f^s} = \frac{\cancel{h(s)r}}{f^t} \cdot \underline{f^s} \in B^{(a+i)t} \quad \checkmark$$

• For  $X_i \in B^1$

$$\underline{X_i} \cdot \frac{f}{f^t} \cdot \underline{f^s} = \frac{\cancel{X_i} \cancel{f} \cancel{D}}{f^{t+1}} \underline{f^s} \in F^{t+1} \quad \checkmark$$

• For  $\frac{\partial}{\partial x_i} \in B^1$

$$\begin{aligned} \frac{\partial}{\partial x_i} \cdot \frac{f}{f^t} \underline{f^s} &= \frac{\frac{\partial}{\partial x_i}(r) f^t - t f^{t-1} \frac{\partial f}{\partial x_i} \cdot r}{f^{at}} \cdot \underline{f^s} \\ &= \underline{f \left( \frac{\partial}{\partial x_i} r \right) + \left( -t \frac{\partial f}{\partial x_i} \cdot r \right)} \cdot \underline{f^s} \end{aligned}$$

$$\leftarrow \frac{1}{f^{t+1}} B^{(a+1)(t+1)} \cdot f^S = F^{t+1}$$

Since  $B^i = k(S) \cdot \left\{ x_1, \dots, x_n, \frac{\partial}{\partial x_1}, \dots, \frac{\partial}{\partial x_n} \right\}^i$ ,

$F^\bullet$  is consistent with  $B^\bullet$ .

Easy to see  $\dim_{k(S)} (F^t) \leq C t^n$   
for some  $C$ .  $\dim_{k(S)} (B^{(a+1)t})$

Thus,  $R_f[S] \cdot f^S$  is holonomic.  $\square$