

# Automatic Music Generation with Deep Learning

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## ABSTRACT

This is a template for my paper

## KEYWORDS

deep learning, neural networks, recurrent models, music

## 1 INTRODUCTION

The poet Henry Wadsworth Longfellow once said that music is the universal language of mankind. Truly, we use music to convey emotion and feeling without words. Music is an integral part of human culture, which came into existence since the dawn of civilization and has since continued to proliferate into various forms. Composing a piece of music is a complicated process requiring a deep understanding of music theory and creativity. To generate new music, typically a composition is created in the form of music notation like sheet music prior to transforming it to sound by the hand of one or more musicians. Because music generation is a specialized process that requires a lot of training, generating music is still preserved for a trained musicians. To promote the discovery of new music, everyone should be able to generate their own music without spending years of training.

There are multiple representations of music to consider. Music data are available as raw time-domain audio waveform, frequency-domain spectrogram, or symbolic representation called piano rolls. A piece of music consists of one or more musical tracks generated by one or more musicians performing on different musical instruments.

Deep learning has been successfully used in applications ranging from speech recognition to image classification and segmentation, among many others tasks. The generative capacity of deep learning is recently discovered and has been explored extensively to generate novel images, videos, and texts. Recurrent Neural Network (RNN) has shown its capability to model time-series sequences. Advanced version of RNN such as Gated Recurrent Unit (GRU) and Long Short-Term Memory (LSTM) have been successfully used for applications such as voice recognition, text to speech, and other applications that involve time-series data. RNN could also be used to generate novel sequences of texts or sounds. In addition to RNN, 1D convolutional neural network could also model the dependencies between elements in a sequence.

In this paper, we investigate the use of sequential recurrent models and 1-D convolutional neural network to model the dependency among the notes and chords of musical sequences from classical music performed on piano. The trained models are used to generate single-track piano performances.

## 2 RELATED WORK

Automatic music generation dates back to 1781 when Mozarts invented a musical dice game in which a player generate new music

by selecting a tone from a list of pre-composed tone based on the sum of two dices [? ]. In the past decade, deep learning has become a increasingly popular tool for artists and researchers who are interested in automatic music generation. This section introduces several state-of-the-art deep learning works in the field of automatic music generation.

In the physical domain, a sound is essentially the displacement of air molecules, which results in fluctuation in air pressure. When sound or music is recorded, tens of thousands of air pressure data points are captured per second to construct the raw audio waveform. Since a piece of music spans anywhere between a few seconds to several minutes, its raw audio waveform contains a large amount of data, making the modeling of long-distance dependencies difficult. Google DeepMind based in London, UK, introduced WaveNet, a generative deep neural network, in 2016 to tackle this problem [? ]. WaveNet is a fully probabilistic and autoregressive model, in which the distribution of each audio sample depends on the previous ones. It was used to generate spoken English speech and music.

Another generative model that operates on the time-domain raw audio waveforms is SampleRNN [? ]. This model consists of memory-less autoregressive multilayer perceptron modules and stateful recurrent neural networks in a hierarchical structure. This combination allows the model to capture the structure of temporal sequences over long time spans.

Modeling raw audio waveforms is challenging since a single second in modern recording spans thousands of timesteps. Most commonly, music is recorded at 44.1 kHz (44,100 samples per second). Since a piece of music is at least a few seconds in length, capturing long-range dependencies is difficult in the time domain. A model named MelNet was introduced to address this limitation of time-domain models [? ]. MelNet leverages a two-dimensional time-frequency representation of audio called spectrogram, which reduces the dimensionality of the audio waveforms. This model was able to generate high-fidelity audio samples using structures on long timescales.

The discussion on generative models is not complete without mention of Generative Adversarial Networks (GAN). Google AI introduced GANSynth in 2019 following the publication of WaveNet [? ]. GANSynth generates log-magnitude spectrograms and phases prior to generating the audio waveform. It was shown to outperform WaveNet in automatic and human evaluations and could generate music much faster than WaveNet.

Music creation typically starts with the composition of a musical score prior to the performance by a musician. The majority of works in automatic music generation focus on training a model using performance data like audio sound or its frequency transformation. Two researchers from Taiwan took another approach and published PerformanceNet, a score-to-audio music generation model [? ]. This

model consists of two subnets: a U-Net-like convolutional neural network that maps musical scores in the form of piano rolls to spectrograms; and a residual network that refines the outputs.

### 3 METHODS

#### 3.1 Datasets

To train our models and to provide seeds for music generation, we used the MusicNet, an open-source dataset published by the department of Computer Science at the University of Washington [? ]. This dataset contains a collection of 330 freely-licensed classical music recordings captured under various studio and microphone conditions. The recordings are available in .wav raw audio format and MIDI (Musical Instrument Digital Interface). A MIDI file contains the encoding of music, which is not playable like an audio file but is viewable using specialized software such as Apple Garage-Band. It contains the performance information such as musical instruments and the temporal location of notes and chords in a composition.

MusicNet consists of music composed by Beethoven, Bach, Ravel, Faure, and Schubert, to name a few. Since the focus of this project is on piano music generation, only Schubert’s compositions are selected.

#### 3.2 Data Preprocessing

MIDI files are in a specialized format that requires extensive processing as the data are encoded according to the MIDI standard. Several open-source Python packages exist to assist the works on MIDI files. A few examples are Pypianoroll [? ] and music21 [? ]. We decided to use music21 to process the MIDI files from Schubert’s collection.

Although the main instrument used to perform the compositions in Schubert’s collection is the piano, several recordings contain musical tracks generated by string instruments and other instruments. Since our focus is on piano music, these tracks need to be filtered out. To do this, the MIDI files are partitioned by the instruments. After partitioning, each file contains an array of different instrument tracks. Any track other than piano is removed.

A music21 instrument track is a Python object containing a list of notes and chords (multiple notes played at the same time) that are played at different time points in a composition. It also contains rest (silence) and sustain (holding the previous note continuously). For simplicity, the rest and sustain are discarded from the dataset. The MIDI file format also specifies the amplitude values of the air pressure, which are presented in the vertical axis of a plot of the raw audio waveform. This information denotes the volume of each note in a performance. For this project, the information about note volume would unnecessarily increase the dimensionality of the data. We decided to disregard the volume and to use the same pressure value for all notes and chords.

From the isolated piano tracks, we built a corpus of extracted notes and chords. There is a maximum of 128 musical notes in any given piece of music. Chords, on the other hand, are combination of two or more notes; thus the number of possible permutations is very large. In Schubert’s collection, the number of distinct notes and chords is 312. We decided to use all 312 notes and chord for single-track piano music generation. We mapped unique integers

to the notes and chords since deep learning models do not work well with non-numeric data format.

Each song in Schubert’s collection spans a few minutes. However, our goal for this project is to model short sequences of music. To this end, we partitioned the corpus into sequences of 100 notes/chords. The sequences are created by sliding a 100-note-long window on the corpus, moving it one element at a time. The label for each sequence is the immediate element in the corpus that is next to its last element. Figure ?? provides a summary for the data preprocessing pipeline.

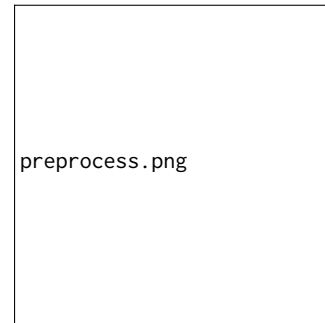


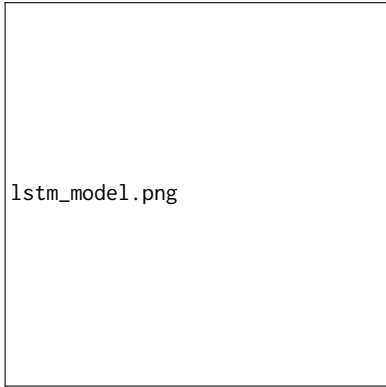
Figure 1: The data preprocessing pipeline consists of five steps.

#### 3.3 Model Design

In this project, we treat a short piece of music like a sentence, in which the vocabulary is the collection of distinct notes and chords, and the grammar is the local and global dependencies between the notes and chords. We explore the effectiveness of four types of models in automatic music generation: Long Short Term Memory (LSTM), Bidirectional LSTM, Bidirectional LSTM with attention, and a 1D convolutional neural network inspired by WaveNet’s architecture. These models are many-to-one models since the input is a sequence of multiple musical values and the output is a single note or chord. The models are built using the TensorFlow library.

**3.3.1 LSTM Model.** The first model is a recurrent neural network that contains LSTM layer as the basic building block. The LSTM model is selected because it is able to encode the dependencies between the elements of a long sequence via a gating mechanism. The main components of the model are LSTM layers and fully connected layers. The output layer has 312 perceptrons corresponding to the number of distinct musical notes and chords in the dataset. An output perceptron calculates the probabilities that its corresponding note or chord is the next element in the sequence. The output activation function is softmax and the model is trained by minimizing the sparse categorical cross-entropy loss. The architecture of this model is shown in Figure ??.

**3.3.2 Bidirectional LSTM Model.** LSTM models capture the information in a sequence only in the forward direction, from the beginning to end. An enhanced version of LSTM models is the bidirectional LSTM model. A bidirectional LSTM model trains two LSTMs simultaneously, one on the input sequence and another on the inverted input sequence. Effectively, the model encodes the structure



**Figure 2: Architecture of the LSTM model.**

of the past as well as the future. The bidirectional LSTM model shares the same architecture as LSTM model, with the only difference being the replacement of the LSTM layer by the bidirectional LSTM layer. Figure ?? depicts the structure of this model.



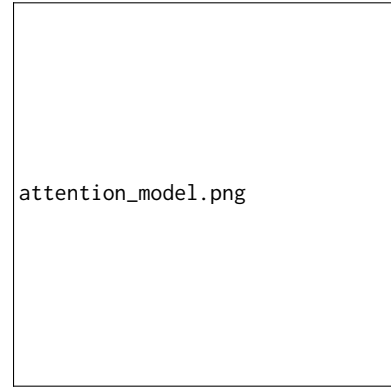
**Figure 3: Architecture of the bidirectional LSTM model.**

**3.3.3 Bidirectional LSTM Model with Attention.** The bidirectional LSTM model introduces an additional complexity by using the reverse of the input sequence. It is still not complete because of a fundamental problem: its hidden states consider the elements of the input sequence with equal importance. To solve this problem, we added an attention layer following a bidirectional LSTM layer. The attention mechanism is shown in Figure ??. The importance of the hidden states of a bidirectional LSTM layer is captured by a set of attention weights. These weights enhance or suppress a hidden state according to its contribution to the output of an input sequence. Figure ?? demonstrates how the attention mechanism fits into the structure of the bidirectional LSTM model.

**3.3.4 WaveNet-Style 1-D Convolutional Neural Network.** The original WaveNet model is designed for the raw audio waveforms, which contain tens of thousands of discrete points per second of sound. WaveNet consists of multiple 1-D dilated causal convolutional layers, which is visualized in Figure ??, enabling the network to have a



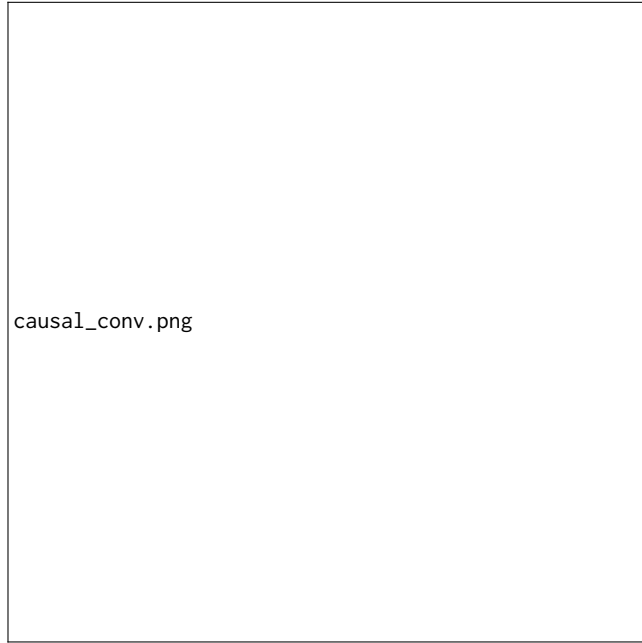
**Figure 4: The attention mechanism is encapsulated in an attention block that has a set of attention weights ( $W$ ) and attention biases ( $b$ ). The attention biases are added to the dot product result of the previous LSTM layer's hidden state and attention weights. The results are then fed into a tanh function and a softmax function to generate attention outputs ( $A$ ).**



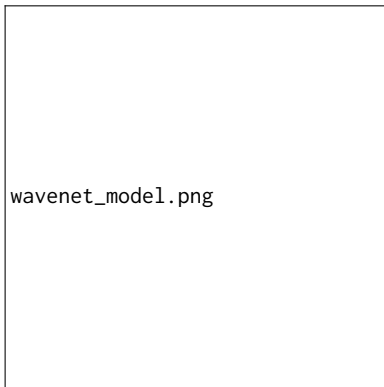
**Figure 5: Architecture of the bidirectional LSTM model with attention mechanism. The Customized Attention layer is derived from Keras Layer object.**

large receptive field. Consequently, the network can learn the long-term dependency in extremely long sequences. We are interested in this property of WaveNet and constructed our 1-D dilated causal convolution network as seen in Figure ??. The core of this network is three 1-D dilated causal convolutional layers that have dilation rates in ascending order (1, 2, and 4). The output layer is similar

to that of the LSTM models. The output activation function is also softmax, and the loss function is sparse categorical cross-entropy.



**Figure 6: The core of WaveNet is dilated causal convolution. (A) Causal convolution ensures that the output at time step  $t$  is the result of only previous time steps with no input from the future. (B) With dilated convolution, the convolutional kernel has a larger receptive field, increasing the number of input elements that an output captures. Figures are adopted from the WaveNet paper [?].**

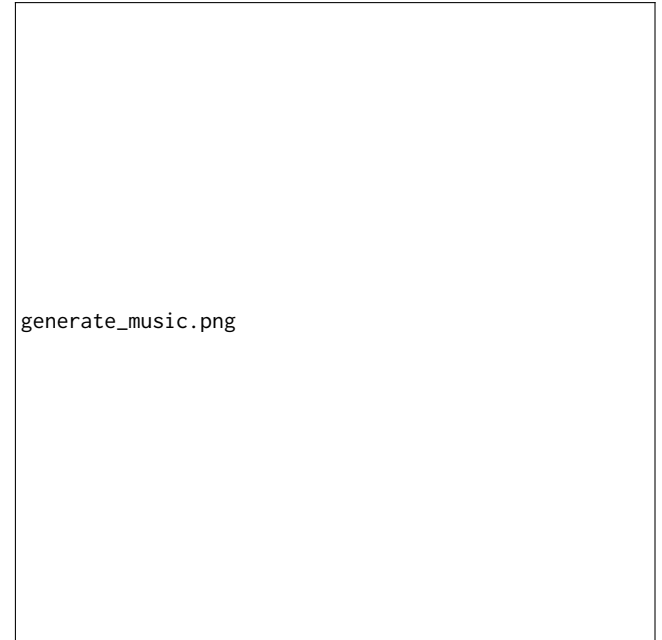


**Figure 7: Architecture of the WaveNet-style 1-D dilated causal convolutional neural network.**

### 3.4 Generating New Music

The same method of generating a new sequence of notes and chords is used on all trained modules. This section describes this method,

which is illustrated in Figure ?? . An input sequence containing 100 notes/chords is randomly taken from the corpus and fed to a trained model as the initial condition. The model predicts the most probable note or chord that comes after this input sequence. The output is appended to an output array, which is empty at the beginning. The output note is also appended back to the input sequence. To maintain the input length, the first note of the input sequence is cropped out. The new input sequence is fed into the model to generate a new note/chord. This process is repeated until the output array reaches a desirable length.



**Figure 8: The process of generating a new sequence of musical notes and chords using an initial condition. The solid lines represent the forward path to the output sequence. The dashed line indicates the backward path via which the output note/chord at a step is appended to the end of the input sequence.**

### 3.5 Model Evaluation

Similar to the evaluation of GANs, evaluating music generating models is a challenging due to the lack of metrics that are used for supervised machine learning models. In this paper, we evaluated the generative model by rating their outputs against our own reference of music.

## 4 EXPERIMENTS AND RESULTS

For LSTM-based models, hyperparameter tuning was performed on the basic LSTM model that does not have the bidirectional connection or the attention mechanism. The intention is that the hyperparameters that result in the best performance are used for the bidirectional LSTM models with and without the attention mechanism since these more advanced models have a similar structure

with the basic LSTM model. We performed hyperparameter tuning on the following values, with the best parameters in **bold**:

- batch size: 32, **64**, 128
- learning rate: 0.01, **0.001**, 0.0001
- dimension of output space in the first LSTM layer: 64, 128, 256, **512**
- dropout rate: 0.2, **0.3**, 0.4, 0.5

For the 1-D dilated causal neural network, the following hyperparameter were tested:

- batch size: 32, **64**, 128
- learning rate: 0.01, **0.001**, 0.0001
- number of filters in the first Conv1D layer: **64**, 128, 256
- number of filters in the first Conv1D layer: 64, **128**, 256
- number of filters in the first Conv1D layer: 64, 128, **256**
- number of filters in the first Conv1D layer: 64, 128, **256**
- number of filters in the first Conv1D layer: 64, 128, **256**
- dropout rate: **0.2**, 0.3, 0.4, 0.5

The models were trained for 100 epochs. After training, the models were tested by evaluating their generated outputs. The winning set of hyperparameters are the combinations that result in interestingly sounding music with a lot of variations of notes and chords. Ten samples were generated from each trained model and were assessed qualitatively. The Bidirectional LSTM model with attention mechanism generated the most interesting outputs with lots of variations in the notes and chords.

## 5 SAMPLE OUTPUTS

A collection of music generated by the LSTM models and 1-D dilated causal convolutional neural network is available at <https://drive.google.com/drive/u/1/folders/1IO3X1OMwHKwNm26uXmez6XGLeyNLG1PZ>.

## 6 CONCLUSION AND DISCUSSION

Automatic music generation is an interesting problem that has recently benefited from the development of advanced machine learning techniques. Despite being in infancy, research has shown that deep learning generative models could produce interesting music with little to no human intervention. In this project, we experimented with four different deep learning architectures, LSTM, bidirectional LSTM, bidirectional LSTM with attention mechanism, and a WaveNet-inspired 1-D dilated causal convolutional neural network. By qualitative evaluation, we determined that the bidirectional LSTM model with attention mechanism generates the most interesting pieces of music compared to the other models. This work, although is not directly related to my partner Alex Kylo work on generative models for string-quartet-based classical music, demonstrates a different perspective on single-track piano music generation by treating a short sequence of musical notes and chords as a written language sentence with vocabulary and grammar.

To expand upon this project, additional work is needed to search for more sophisticated deep learning architectures that can capture the short-term, mid-range, and long-term dependencies among the notes of a musical composition. Furthermore, these architectures should be generalized to music that is performed by multiple instruments (multi-track polyphonic music)). Another improvement

is to enable the generative models to work with multiple musical genres, not just classical music. Finally, we only relied on our own qualitative evaluation to assess the quality of the models due to the time constraint of the project. We also need a comprehensive evaluation plan, which involves qualitative evaluation by the multiple human judges and quantitative evaluation on the structure of the generative musical sequences.