January 1, 2021

LawyerFirst LawyerLast Esquire

SampleFirmName

123 Address St.

CityVille, StateLand 12345

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RE: *Pl1FirstName Pl1LastName; Pl2FirstName Pl1LastName; Third One Third Name et al. v SampleCaseDefendantName et al., Case No: CaseNoSample, SampleCourtName*

Date of Crash: January 1, 2020

Date of Birth: *Pl1FirstName Pl1LastName:* January 1, 1999 [20 years old at time of crash]

*Pl2FirstName Pl1LastName:* January 2, 1990 [29 years old at time of crash]

*Third One Third Name:* January 4, 1994 [25 years old at time of crash]

Dear Mr. LawyerLast,

I am in receipt of your correspondence regarding the above-named action. I have reviewed the documentation accompanying your correspondence including medical records, information regarding the subject crash, litigation documents, and other materials.

The purpose of this report is to provide an opinion regarding the causal relationship between the degree of roof crush observed in the subject PlCarMake PlCarModel and the severe spine and spinal cord injuries sustained by Ms. and Mr. Pl1LastName and Mx. Third Name in the January 1, 2020, rollover crash. Specifically, my opinions address the theory of occupant "diving" in rollover crashes as the cause of serious head and neck injury, versus excessive roof crush. The methods and conclusions described in this report are largely based on peer-reviewed published research that I supervised and co-authored, specifically:

Dobbertin KM, Freeman MD, Lambert WE, Lasarev MR, Kohles SS. The relationship between vehicle roof crush and head, neck and spine injury in rollover crashes. Accid Anal Prev 2013;58:46-52

Freeman MD, Dobbertin K, Kohles SS, Uhrenholt L, Eriksson A. Serious head and neck injury as a predictor of occupant position in fatal rollover crashes. Forensic Sci Int 2012;222:228–33.

The conclusions of the investigation described herein, as they apply to Ms. and Mr. Pl1LastName and Mx. Third Name 's injuries and the subject crash, are described beginning on page 41 of this report.

*My qualifications to provide opinions concerning the matters herein, particularly on issues of the causal relationship between trauma and injury, are as follows:*

I am Professor and Chair of Forensic and Legal Medicine with the Faculty of Forensic and Legal Medicine of the Royal College of Physicians (UK), and a consultant in the fields of forensic medicine and forensic epidemiology. I am credentialed as a Fellow of the Royal College of Pathologists (UK), Fellow of the Faculty of Forensic and Legal Medicine (FFLM) of the Royal College of Physicians (UK) and member of the British Association in Forensic Medicine. I hold the following relevant academic degrees and certifications: a Doctor of Medicine degree (Med.Dr.) from Umeå University, a Doctor of Philosophy (Ph.D.) in public health/epidemiology from Oregon State University, a Master of Public Health (MPH) in epidemiology and biostatistics, also from Oregon State University, a master’s degree in forensic medical sciences (MScFMS) with the Academy of Forensic Medical Sciences in the United Kingdom, i.a. In addition to my degreed education, I have completed a 2-year post-doctoral fellowship in forensic pathology at Umeå University in Sweden and hold a Diploma of Legal Medicine (DLM) with the FFLM. I am also a fellow of both the American Academy of Forensic Sciences and the American College of Epidemiology. I am a Fulbright Fellow and held a 3-year roster appointment (2017-20) with the United States Department of State as a Fulbright Specialist in the field of forensic medicine. I serve as tenured Associate Professor of Forensic Medicine at Maastricht University and a joint Clinical Professor of Psychiatry and Public Health and Preventative Medicine at Oregon Health and Science University School of Medicine, where I have taught courses for the past 24 years in forensic medicine, forensic epidemiology, and injury epidemiology. From 2005-2017 I held an appointment as an Adjunct Professor of Forensic Medicine and Epidemiology at the Institute of Forensic Medicine, Faculty of Health Sciences, Aarhus University, Aarhus, Denmark, and am a recent (2020-21) visiting professor at University of Indonesia in the Faculty of Medicine.

I have been a crash reconstructionist since 1996 and have had ACTAR accreditation (the Accreditation Commission on Traffic Accident Reconstruction) since 2005. Over the past >25 years I have participated in the reconstruction of more than 3,000 crashes, including more than 300 fatalities. From 1999 through 2007 I served as a vehicular homicide investigator for law enforcement (consultant to the state medical examiner and special deputy sheriff), and I am a former affiliate medical examiner with the Allegheny County Medical Examiner’s office.

I am a member of the American Society of Biomechanics and have more than 60 scientific publications pertaining to injury biomechanics, including a book for the Society of Automotive Engineering and taught injury biomechanics in a faculty peer-reviewed course at OHSU for 15 years. I have served as a consultant on injury biomechanics to state and federal government.

I am an associate editor of the Journal of Forensic and Legal Medicine and serve or have served as an associate editor or editorial board member of 14 additional scientific peer-reviewed journals. I have published approximately 230 scientific papers, abstracts, book chapters and books on topics that include traffic crash injuries, crash reconstruction, injury causation and injury biomechanics, including the text for Elsevier, Forensic Epidemiology: Principles and Practice (2016). My publications have been cited by other authors more than 4,700 times.

I have provided testimony in more than 400 civil and criminal trials in state and Federal courts throughout the United States, Canada, and Australia. Please see my CV for further details.

At the time of the crash,

***Injury Causation Analysis***

A crash-related injury causation analysis for a specific individual is performed by assessing the risk of injury from the collision and comparing it to the probability that the injuries or conditions would have been present at the same point in time if the collision had not occurred. The process is referred to as a "3-step" injury causation method in which improbable alternative causes are ruled out and the single most likely cause is identified. The analysis is accomplished via the application of crash reconstruction, biomechanical, medical, and epidemiologic (risk assessment) principles.[[1]](#footnote-1),[[2]](#footnote-2) This 3-step methodology has been extensively described in the peer-reviewed literature, been deemed generally accepted by Courts in the United States, and has been adopted as part of case law in the U.S.[[3]](#footnote-3),[[4]](#footnote-4) See the Appendix at the end of this report for more information.

The three fundamental elements or steps of an injury causation analysis are as follows:

Whether the injury mechanism had the potential to cause the injury in question (aka general causation);

The degree of temporal proximity between the injury mechanism and the onset of the symptoms reasonably indicating the presence of the injury; and

Whether there is a more likely alternative explanation for the occurrence of the symptoms at the same point in time (aka differential etiology).

As applied to the facts in the subject case, these 3 steps are as follows:

*Reconstruction of the crash*

*Injury biomechanics*

Rollover other injury biomechanics causation placeholder

**Temporal relationship between the crash and symptoms indicative of injury**

The second step of the injury causation analysis is the assessment of the timing between the trauma and the onset of symptoms indicative of injury. The hallmark of injury is that "you know it when it happens;" *i.e.* the causal relationship between the trauma and onset of the symptoms indicative of the injury is usually close enough in time that it is easy to recognize when the injury was incurred. There are some injuries that exhibit a delayed onset of symptoms, including injuries to intervertebral disks, which can first manifest with identical symptoms to a simple spinal strain. Other injuries are always immediately apparent, such as bony fractures. Some injuries are considered "distracting" from others because the pain they generate distracts attention from other, less painful injuries. There are still other injuries that can "mask" pain from nearby parts of the body through complicated pain accommodation mechanisms that are mediated at the spinal cord and in the brain. The assessment of the timing of the onset of symptoms reasonably attributed to an injury can sometimes be nuanced and complicated, and sometimes requires expert assessment.

Ms. Pl1LastName experienced the onset of symptoms indicative of acute spinal injury immediately after the crash, and he was diagnosed with neck and back injuries directly by 3 days following the crash, including the presentation of lower extremity radicular symptoms, an ominous early sign of injury to the intervertebral disks in the spine. The symptoms and diagnoses progressively evolved and worsened over the weeks and months following the crash and demonstrate a well-documented contiguous chain of causation linking the subject crash and their low back and cervical spinal injuries, and associated need for treatment.

Based on this history, there was a strong temporal relationship between the subject collision and Ms. and Mr. Pl1LastName and Mx. Third Name’s first development of symptoms indicative of spinal injury.

**Alternative explanations**

This last step of the injury causation analysis specific to the individual involves the assessment of the probability of the same symptoms, injuries, diagnoses, and need for treatment occurring at the same point in time, but in the absence of the investigated crash.

This part of the analysis is accomplished in 2 ways; first and most obviously, any competing contemporaneous traumatic cause of injury must be ruled out. There is, however, no such history for Ms. or Mr. Pl1LastName or Mx. Third Name, and the only source of trauma apparent in my review of materials that is temporally proximate to their post-crash injuries is the January 1, 2020 rollover impact crash.

The second part of the analysis requires an assessment of the probability that Ms. or Mr. Pl1LastName or Mx. Third Name would have developed the symptoms and diagnoses of spine injury at the same point in time had they not been initially injured in the January 1, 2020 rollover impact crash. As a generally healthy 12-year-old man, Ms. and Mr. Pl1LastName and Mx. Third Name was at a negligible annual risk of spontaneously developing chronically painful and potentially surgical cervical or lumbar spinal disk derangements; less than 1 in 2,000 is indicated by epidemiologic study and national hospital data.[[5]](#footnote-5) The chance that they were going to develop the onset of chronic neck or low back pain attributable to symptomatic disk derangements on the same day as the crash by pure coincidence is obviously much smaller; less than 1 in 730,000 (the annual risk divided by 365 days). In comparison the risk of acute spine injury in the subject crash was more than 50%, and the risk of a spinal disk injury was likely no less than 1 in 25 in the general population. Based on this comparison of risk during the timeframe of interest, the crash is the most likely cause of Ms. and Mr. Pl1LastName and Mx. Third Name’s chronic spinal injuries by >99%.

A relatively common medicolegal question is whether persisting symptoms of back or neck pain after a traffic crash are truly related to the crash, or more probably due to other factors incidental to an acute crash-related injury to the spine. Most typically, the "other factors" that are raised in a medicolegal setting are the presence of pre-existing degenerative changes in the spine, or pre-crash history of low level or sporadic symptoms.

The answer to this question is now decided science. Along with my research colleagues, I have recently published 2 analyses and systematic reviews of nearly 10,000 articles regarding the long-term effects of traffic crash-related injury to the neck and low back and associated chronic pain. , Systematic reviews are considered the most reliable and strongest form of scientific evidence (i.e. Level I evidence), as they consist of a comprehensive synthesis of world literature on a topic. The result of the analyses indicate that when women like Ms. and Mr. Pl1LastName and Mx. Third Name have persisting neck or back pain after an acute crash-related injury, *more often than not* (>50% of the time) the symptoms are due to the crash, versus all other causes, regardless of pre-crash medical history.

**Conclusions**

Given the contiguous chain of causation from the day of the crash through Ms. and Mr. Pl1LastName and Mx. Third Name’s most recent medical records, the lack of any significant pre-crash history of persisting spine pain and need for treatment in the years prior to the crash, as well as the relative risk of significant and persisting spine injury from the subject frontal impact crash, I conclude that the most probable cause of the post-crash acute and chronic neck and low back injuries described in Ms. and Mr. Pl1LastName and Mx. Third Name’s medical records and summarized in this report, including their symptomatic cervical and lumbar disk derangements, is the subject January 1, 2020 frontal impact crash.

I have examined neither Ms. nor Mr. Pl1LastName nor Mx. Third Name and I therefore have no opinions about their diagnoses, treatment, or prognoses outside of what is reflected in the medical record. This is not to say that I am not qualified, licensed, and extensively experienced in performing such evaluations, but that I have not done so in this case.

The preceding opinions were given as reasonable medical, and scientific probabilities. I reserve the right to amend any of my opinions should new information come to light.

Very truly yours,



Michael D. Freeman, MedDr, PhD, MScFMS, MPH, FRCPath, FFFLM, FACE, DLM

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Fellow, American College of Epidemiology  
Member, American Society of Biomechanics

**Appendix**

The 3-step causation methodology that I have used in this case is set forth in a number of my peer-reviewed publications, including a paper entitled *A Systematic Approach to Clinical Determinations of Causation in Symptomatic Spinal Disk Injury Following Motor Vehicle Crash Trauma*, published in the Journal of Physical Medicine & Rehabilitation in 2009. I first described this 3-step methodology in a paper published in 2008 and have since published more than a dozen papers describing some of the manifold applications of the causation methodology. As I describe below, the 3-step causal methodology has recently become part of United States Appellate Court case law on injury causation.

The methodology set forth in my 2009 paper consists of 3 steps or elements that need to be satisfied in an injury causation analysis in order to conclude that an injury resulted from a particular event to a reasonable degree of medical/ scientific probability, which are as follows:

1. Plausibility: This first step addresses whether it is biologically possible for the injury event to have caused the condition (a.k.a general causation). A finding of plausibility is unrelated to the frequency of the injury, because even if the injury occurs in only 1 in 100 or fewer cases of exposure to the event (e.g. a spinal disk injury following a car crash), it is still plausibly caused by the event. Plausibility is a relatively low hurdle to clear in a causal analysis and is largely satisfied by the lack of evidence of implausibility of the relationship. Although it is common in crash injury litigation for the defendant to assert minimal vehicle damage as a basis for disputing injury causation, the approach is unhelpful for evaluating plausibility, as such an analysis does not have a sufficiently low error rate to establish impossibility, and at best can only be used to suggest a low frequency of injury in the general population. An example of an impossible causal relationship is the discovery of leukemia the day after a crash, as it is well established that it is not biologically plausible for trauma to cause leukemia. Plausibility is often, but not necessarily, established with epidemiologic data or information.

2. Temporality: This second step examines the clinical and other evidence of the timing between the onset of the symptoms of injury and the injury event and must be satisfied to assess specific causation. First, it must be established that the sequence of the injury and the event is appropriate; the symptoms cannot be identically present prior to the event. Further, the onset of the symptoms of injury cannot be implausibly latent, relative to the injury event. For example, while the symptoms of a spinal disk injury in the neck may not immediately include upper extremity radiculopathy (most such injuries are initially diagnosed as a simple sprain or strain), a complete absence of symptoms in the neck for 3 months after a traffic crash, followed by the sudden insidious onset of symptoms of a cervical disk injury with radiculopathy, could not be plausibly related to the crash in most cases.

3. Lack of a more probable alternative explanation: This final step examines the probability of the injury condition occurring at the same point in time in the plaintiff, given what is known about the plaintiff from the review of medical records and other evidence, but in the absence of the injury event (a.k.a. differential etiology). First, evidence of competing injury events must be evaluated, and compared for injury risk. Then, the likelihood of the condition occurring spontaneously must be assessed. For example, the plaintiff may have evidence of degenerative changes in the spinal disks pre-existing a traffic crash, but no symptoms. The question of interest (after the first 2 steps are satisfied) is what the probability was that the condition would have "converted" from asymptomatic to symptomatic in the absence of ("but-for") the crash. Since there is no information that can be gleaned from an examination of the plaintiff regarding her or her condition in the hypothetical absence of the crash, epidemiologic data often serves as the basis for the evaluation of the probability of alternative explanations. More probable alternative explanations are often intervening traumatic events that alter the clinical history in a substantive way. As an example, for a plaintiff with neck strain symptoms that lasted for 1 week after a crash, who is then involved in second collision a month later that results in neck and arm pain and is ultimately diagnosed with a cervical disk herniation, the second collision is easily identified as a more probable cause of the disk derangement than the antecedent crash. This is in part due to the abrupt change in the distribution of the symptoms more consistent with a disk derangement, but also the epidemiologically based conclusion that it is rare for a cervical strain that improves rapidly to evolve into a cervical disk herniation, and thus but-for the second crash, the condition would not have manifested.

The methodology described above was used to evaluate the cause of the Plaintiff’s injury in Etherton v Owners Insurance Company, entered on March 3, 2014 in United States District Court for the District of Colorado. In Etherton, the Plaintiff’s medical expert relied on the above referenced article to support her methodology (see footnote 3 on page 8 of the decision). The expert specified the same 3-step approach to assessing causation outlined above, described by the Court on page 8 of the order as follows:

"…his first step was to determine general causation… whether or not the type of injury that the plaintiff sustained could have been caused by the type of collision that the plaintiff was in… her second step was to consider whether there was a temporal relationship between plaintiff’s injury and the collision… her third step was to… rule out alternative causes of plaintiff’s injury. "

The defense challenged, among other things, the reliability and fit of the methods described by the expert. After an extensive examination and discussion of the 3-step process used by the expert, the Court found that the methodology appropriately fit the specific facts of the case, and that a population-based (epidemiologic) approach was an appropriate part of the causal methodology. The Court denied the Defendant’s motion to strike the expert’s testimony.

The Defendant appealed the ruling from the District Court, and in July of 2016, the Tenth Circuit U.S. Court of Appeals unanimously affirmed the 3-step causal methodology described in my 2009 publication cited above as generally accepted and well established for assessing injury causation (see Etherton v. Owners Insurance Company, No. 14-1164, 10th Cir, entered on July 19, 2016). Using the 3-step methodology, the Court determined the expert’s methodology fit the specific facts in the case, and that the District Court properly applied Rule 702/Daubert standard to the expert’s testimony in finding his methodology reliable. The judicial panel included current Supreme Court Justice Neil Gorsuch.

Below is a partial list of publications in scientific journals in which my descriptions of the 3-step methodology described in the Etherton decision and its various applications have been subjected to peer review. The foundation for the specific causation methodology described in all of these papers is the "Hill criteria, " a guideline for the assessment of general causation that has been universally relied on in medicine and science for more than 50 years.

Dianita Ika Melia P, Zeegers MP, Herkutanto H, Freeman MD. Medicolegal causation investigation of bacterial endocarditis associated with an oral surgery practice using the INFERENCE approach. Int J Environ Res Public Health 2021:18,7530. https://doi.org/10.3390/ijerph18147530.

Dianita Ika Melia P, Zeeger MP, Herkutanto H, Freeman MD. Development of the INFERENCE (INtegration of Forensic Epidemiology and the Rigorous EvaluatioN of Causation Elements) approach to causal inference in forensic medicine. Int J Environ Res Public Health 2020;17:8353; doi:10.3390/ijerph17228353

Dianita Ika Melia P, Freeman MD, Herkutanto H, Zeeger MP. A review of causal inference in forensic medicine. For Sci Med Path 2020:doi.org/10.1007/s12024-020-00220-9.

Freeman MD. A practicable and systematic approach to medicolegal causation. Orthopedics 2018;41(2):70-2.

Freeman MD, Zeegers M. Principles and applications of forensic epidemiology in the medicolegal setting. Law, Probability, & Risk 2015; doi:10.1093/lpr/mgv010.

Freeman MD. Medicolegal causation analysis of a lumbar spine fracture following a low speed rear impact traffic crash. J Case Rep Prac 2015; 3(2): 23-29.

Freeman MD, Cahn PJ, Franklin FA. Applied forensic epidemiology. Part 1: medical negligence. OA Epidemiology 2014;2(1):2.

Koehler S, Freeman MD. Forensic epidemiology; a methodology for investigating and quantifying specific causation. Forens Sci Med Path 2014 Jun;10(2):217-22.

Freeman MD, Kohles SS. An examination of the threshold criteria for the evaluation of specific causation of mesothelioma following a history of significant exposure to chrysotile asbestos-containing brake dust, Int J Occ Env Hlth 2012;18(4):329-36.

Freeman MD, Everson T, Kohles SS. Forensic epidemiologic and biomechanical analysis of a pelvic cavity blowout injury associated with ejection from a personal watercraft (jet-ski). J Forens Sci 2012 doi: 10.1111/j.1556-4029.2012.02250.x

Freeman MD, Kohles SS. Plasma levels of polychlorinated biphenyls, non-Hodgkin lymphoma, and causation. J Environ Public Health 2012;2012:258981. doi: 10.1155/2012/258981. Review.

Freeman MD, Kohles SS. Application of the Hill Criteria to the Causal Association of Post-Traumatic Headache and Assault. Egypt J Forensic Sci 2011;1:35-40.

Freeman MD, Kohles SS. Application of the Bradford-Hill Criteria for Assessing Specific Causation in Post-Traumatic Headache. Brain Inj Prof 2011;8(1):26-8.

Freeman MD, Kohles SS. An Evaluation of Applied Biomechanics as an adjunct to systematic specific causation in forensic medicine. Wien Med Wochenschr 2011;161:1-11.

Freeman MD, Centeno CJ, Kohles SS. A systematic approach to clinical determinations of causation in symptomatic spinal disc injury following motor vehicle crash trauma. PM R 2009;1(10):951-6.

Freeman MD, Rossignol AC, Hand M. Forensic Epidemiology: A systematic approach to probabilistic determinations in disputed matters. J Forensic Legal Med 2008;15(5):281-90.

1. Melia P et al. Development of the INFERENCE (INtegration of Forensic Epidemiology and the Rigorous EvaluatioN of Causation Elements) approach to causal inference in forensic medicine. Int J Environ Res Public Health 2020;17:8353; doi:10.3390/ijerph17228353. [↑](#footnote-ref-1)
2. Freeman MD. A practicable and systematic approach to medicolegal causation. Orthopedics 2018;41(2):70-2. [↑](#footnote-ref-2)
3. Freeman MD, Centeno CJ, Kohles SS. A systematic approach to clinical determinations of causation in symptomatic spinal disc injury following motor vehicle crash trauma. PM R 2009;1(10):951-6. [↑](#footnote-ref-3)
4. Etherton v. Owner Insurance Company. U.S. District Court of Appeals, 10th Circuit. Case No. 14-1164. [↑](#footnote-ref-4)
5. Nolet et al. Exposure to a Motor Vehicle Collision and the Risk of Future Neck Pain: A Systematic Review and Meta-Analysis. PM R. 2019 Apr 25. doi: 10.1002/pmrj.12173. [↑](#footnote-ref-5)