

2. ~~select~~ ~~max(balance)~~ as ~~max-balance~~
~~from~~ ~~balance;~~

select ~~br-name,~~ ~~max~~ ^{br-name,} max (sum-b)
 from (select br-name, ~~sum~~ ^{sum} (balance)
 from account
 group by br-name)
 as br-name (br-name, ~~sum-b~~ ^{sum} ~~max~~ _h) ;

Another Method:

with max-balance (value)
 as select max(balance)
 from account

~~select~~
 select account-no from
 account max-balance
 where account.balance = max-balance.value;

To Create the virtual Tables permanently

create view ~~tot-balance~~ ^{branch} as
 select br-name, sum(balance) as tot-balance
 from account
 group by br-name;

Functional Dependency and Normalization

Relational Database

Requirements

$R = \{ \text{br_name, br_city, assets, cust_id, cust_name, cust_addr, cust_city, loan_no, amount, account_no, balance} \}$

$\text{branch}(\text{br_name, br_city, assets})$

$\text{customer}(\text{cust_id, cust_name, cust_addr, cust_city})$

$\text{loan}(\text{loan_no, br_name, amount, cust_name})$

$\text{account}(\text{account_no, br_name, balance, cust_name})$

- Redundancy
- Modification anomaly
- Insertion ~~anomaly~~ anomaly
- Deletion anomaly

Dependencies:

$\text{br_name} \rightarrow \text{br_city}$

$\text{br_name} \rightarrow \text{assets}$

$\text{cust_id} \rightarrow \text{cust_name}$

$\text{loan_no} \rightarrow \text{amount}$

• br_name determines br_city
or

br_city depends on br_name

Functional Dependency: $A, B \subseteq R$
A functionally determines B

Reflexivity (Trivial Dependency)

$br_name \rightarrow br_name$

~~$br_name \rightarrow br_name$~~

$br_name, br_city \rightarrow br_city$

$br_name, asset \rightarrow br_city, asset$ (Augmentation)

$br_name \rightarrow br_city$

$br_city \rightarrow br_state$, then $br_name \rightarrow br_state$

R

$X, Y \subseteq R$

Armstrong's Axioms

① $X \rightarrow Y$ is trivial if $Y \subseteq X$ (Reflexivity)

② $X \rightarrow Y$, then $XZ \rightarrow YZ$ ($Z \subseteq R$) (Augmentation)

③ $X \rightarrow Y, Y \rightarrow Z$, then $X \rightarrow Z$ (Transitivity)

Secondary Rules

1) If $X \rightarrow Y$ and $X \rightarrow Z$, then $X \rightarrow YZ$ (Union Rule)

2) If $X \rightarrow YZ$, then $X \rightarrow Y$ and $X \rightarrow Z$ (Decomposition Rule)

3) If $X \rightarrow Y$ and $WY \rightarrow Z$, $WX \rightarrow Z$ (Pseudo Transitivity)

Ex: $R(A, B, C, D, E, F)$

$F = \{A \rightarrow BC, B \rightarrow E, CD \rightarrow EF\}$

Show that $AD \rightarrow F$.

~~$A \rightarrow BC$~~

$F' = \{A \rightarrow B, A \rightarrow C, B \rightarrow E, A \rightarrow E, A \rightarrow BC$

$CD \rightarrow E, CD \rightarrow F, CD \rightarrow EF\}$

• F and F' are equivalent

$A \rightarrow C,$

$CD \rightarrow F$

$\therefore AD \rightarrow F$ (By Pseudo Transitivity)
(Proved)

F & D cover

- F' is a cover of F ,
- F is a cover of F'

One satisfies means other also satisfies.

- We will be interested in finding the minimal cover.

Example:

$R(A, B, C, D)$

$$F = \{A \rightarrow BC, B \rightarrow C, A \rightarrow B, AB \rightarrow C, AC \rightarrow D\}$$

Step I:

$$= \{A \rightarrow B, A \rightarrow C, B \rightarrow C, A \rightarrow B, AB \rightarrow C, AC \rightarrow D\} \quad \left[\begin{array}{l} \text{make attributes on} \\ \text{the right side} \end{array} \right]$$

Step II: Make attributes on the left a single attribute.

$$AC \rightarrow D$$

$$A \rightarrow C$$

$$\begin{array}{l} \cancel{A \rightarrow C} \quad \cancel{A \rightarrow AC} \quad AC \rightarrow C \\ \cancel{AC \rightarrow C} \quad \boxed{A \rightarrow D} \\ \cancel{AC \rightarrow A} \end{array}$$

Also, $AB \rightarrow C$ can be written as $\boxed{B \rightarrow C}$

$$= \{A \rightarrow B, A \rightarrow C, B \rightarrow C, A \rightarrow B, B \rightarrow C, A \rightarrow D\}$$

$$= \{A \rightarrow B, A \rightarrow C, A \rightarrow D\}$$

- Minimal cover may not be unique.

$$= \{A \rightarrow B, B \rightarrow C, A \rightarrow D\}$$

Minimal cover / Canonical Cover

$X \rightarrow$ Set of attributes

$X^+ \rightarrow$ closure of X

$$X \subseteq R$$

$R(A, B, C, D)$

$$F = \{A \rightarrow BC, B \rightarrow C, A \rightarrow B, AB \rightarrow C, AC \rightarrow D\}$$

$$\{A\}^+ \xrightarrow{A \rightarrow A} X = \{A\}$$

~~$A \rightarrow A$~~

• Functional dependencies of the form $\alpha \rightarrow \beta$ where $\alpha \subseteq X$.

• If possible to find, change $X = X \cup \alpha$

$A \rightarrow BC$

$$X = \{A, B, C\}$$

$AC \rightarrow D$

$$X = \{A, B, C, D\} \leftarrow \text{closure of } A (\{A\}^+)$$

$\therefore A$ is a superkey as its closure contains all the attributes. (i.e. $X = R$)

$$\{B\}^+$$

$$\xrightarrow{B \rightarrow B} X = \{B\}$$

$B \rightarrow C$

$$X = \{B, C\} \rightarrow \text{closure of } B (\{B\}^+)$$

Candidate Key

1) $X^+ = R$
 $X \rightarrow$ is a superkey

2) \exists no $Y (\emptyset \subset Y) \text{ and } Y^+ = R$

1. Find the candidate keys—

$R(A, B, C, D)$

$F = \{AB \rightarrow C, AB \rightarrow D, C \rightarrow A, D \rightarrow B\}$

$$\{C\}^+ \quad \frac{C \rightarrow C}{X = \{C\}}$$

$$\{C\}^+ \quad \frac{C \rightarrow A}{X = \{C, A\}}$$

$$\{D\}^+ \quad \frac{D \rightarrow D}{X = \{D\}}$$

$$\frac{D \rightarrow B}{X = \{D, B\}}$$

$$\{AB\}^+ \quad \frac{AB \rightarrow AB}{X = \{A, B\}}$$

$$\frac{AB \rightarrow C}{X = \{A, B, C\}}$$

$$X = \{A, B, C, D\}$$

~~$\{AB\}^+$~~

$$\{AD\}^+$$

$$\frac{AD \rightarrow A, D}{X = \{A, D, B, C\}}$$

$$\{CD\}^+$$

$$X = \{C, D, A, B\}$$

$$\{BC\}^+$$

$$\{AB\}^+ = A^+ \cup B^+ \quad \times \text{ Not Necessarily True}$$

~~If $A^+ \cup B^+ = R$~~ ^{then} ~~AB~~ is a superkey.
 $AB^+ = R$

Fully Functional dependent

$$F = \{A \rightarrow B, AC \rightarrow D, AC \rightarrow B, D \rightarrow A, B \rightarrow C\}$$

- $A \rightarrow B$

B fully depends on A

- $AC \rightarrow B$

B is not fully dependent on AC

$\alpha \rightarrow \beta$, is ~~not~~ fully dependent on α , if β is not dependent on any proper subset of α .

Normal Form

1) good form

2) decomposed Relations

* good form

* lossless-join decomposition

[if we break a relation into smaller ones, then it should be lossless, i.e. when we join them back, we should get back the original relation]

$$R \rightarrow R_1 \cup R_2$$

① $R_1 \cup R_2 = R$

② $\forall r \in R$

$$r_1 \in R_1, r_2 \in R_2$$

$$r_1 \bowtie r_2 = r$$

$$\pi_{R_1}(r) \bowtie \pi_{R_2}(r) = r$$

<u>R₁</u>	<u>R₂</u>	<u>R</u>	
<u>A</u>	<u>B</u>	<u>A</u>	<u>B</u>
α	1	α	1
β	2	α	2
		β	1

Need for Normalization

- 1) Reduce Redundancy
- 2) lossless join decomposition
- 3) Dependency Preservation

③ Dependency Preservation

1 NF

- 1) ~~Each~~ Attribute values should be atomic.

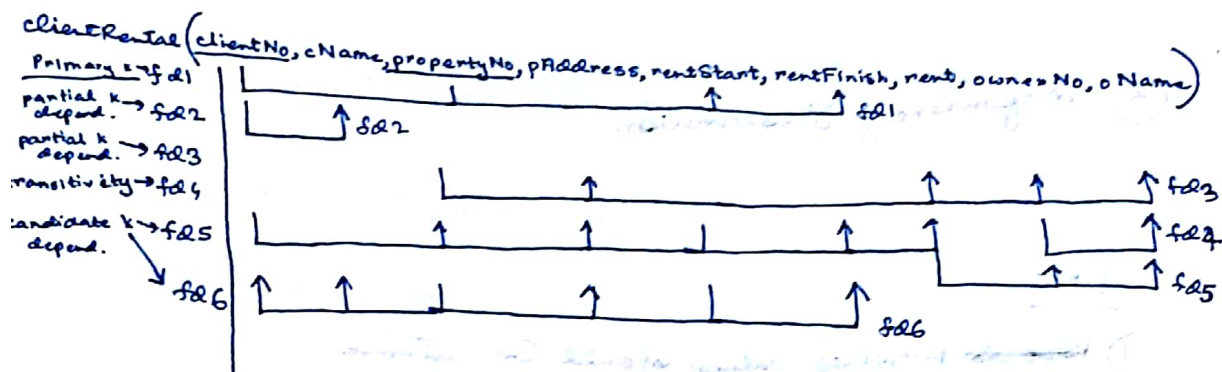
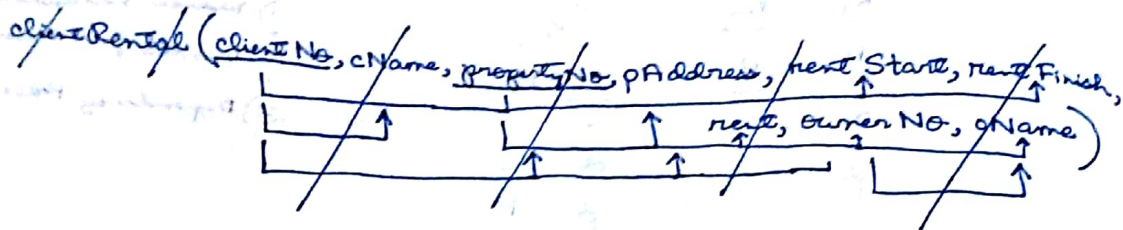
Roll	Subcode	Marks
r1	s1	m1
	s2	m2
	s3	m3
	s4	m4
	s5	m5

X Not Allowed
(Grouping Not Allowed)

↓
1 NF

UNF
(Unnormalised form)

Roll	Subcode	Marks
r1	s1	m1
p1	s2	m2
n1	s3	m3
n1	s4	m4
n1	s5	m5



f21: clientNo, propertyNo → rentStart, rentFinish

f22: clientNo → cName

f23: propertyNo → pAddress, rent, ownerNo, oName

f24: ownerNo → oName

f25: clientNo, rentStart → propertyNo, pAddress, rentFinish, rent, ownerNo, oName

f26: propertyNo → clientNo, pAddress, cName, rentFinish

2NF: A relation is in 1NF and every non-key primary key attribute is fully functionally dependent on the primary key, and candidate key.

Definition in Korth: A relation R is in 2NF with respect to a set of functional dependencies F , if $\forall \alpha \rightarrow \beta \in F$,

- 1) $\alpha \rightarrow \beta$ is trivial, i.e. $\alpha \supseteq \beta$
- 2) $\alpha \rightarrow \beta$ is non-trivial, α cannot be a part of a primary key

Decomposition for 2NF:

client (clientNo, cName)

property (propertyNo, pAddress, rent, ownerNo, oName)

clientrate (clientNo, propertyNo, rentStart, rentF, rich)

3NF

1NF and
The relation is in 2NF, and there is no transitive dependency, non-primary key attribute is transitively dependent on the primary key.

Decomposition to 3NF:

break the property table

property (propertyNo, pAddress, rent, ownerNo)

owner (ownerNo, oName)

Definition in 3NF:

A relation R is in 3NF with respect to a set of functional dependencies F, if $\forall \alpha \rightarrow \beta \in F$, one of the following is satisfied—

- 1) $\alpha \rightarrow \beta$ is trivial $\alpha \supseteq \beta$
- 2) $\alpha \rightarrow \beta$, α is a primary key / ^{or} superkey
- 3) $\beta - \alpha$ is a part of candidate key.

BCNF:

R w.r.t F, $\forall \alpha \rightarrow \beta \in F$, one of the following is satisfied—

- 1) $\alpha \rightarrow \beta$ is trivial $\alpha \supseteq \beta$
- 2) $\alpha \rightarrow \beta$, α is a primary key / ^{or} superkey.

• The above Tables are in BCNF as well.

- A decomposition R to R_1, R_2 is lossless if

$$1) R_1 \cap R_2 \rightarrow R_1$$

$$2) R_1 \cap R_2 \rightarrow R_2$$

client Rental

(client No, property No, name,

Normalizing to 3NF

Algo:

primary key \rightarrow α Name
 \downarrow
 β

Create a relation $\rightarrow R_1 (\alpha \cup \beta)$

Original Relation $\rightarrow R = R_1 - (\beta - \alpha)$

- A relation R is in 3NF with respect to F (a set of fdc defined over R) is also in 3NF.

Example of a relation in 3NF but not in BCNF —

A B C

This case arises generally when the primary key is a composite key

$R(\underline{A}, \underline{B}, C, D)$

Not in BCNF

$F = \{ AB \rightarrow C, AB \rightarrow D, C \rightarrow B \}$

$\rightarrow B = C \Rightarrow B$ which is a part of a candidate key.

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1. $R(A, B, C, D, E)$

$F = \{AB \rightarrow CD, ABC \rightarrow E, C \rightarrow A\}$

Q1: Find ~~the~~ two candidate keys. AB, BC

Q2: What is the normal form of R ? 3NF, Not in BCNF

$X = \{ABC\}$ 3NF, ABC

~~ABC~~

AB

$X = \{AB\}$

ABCD

~~C~~ $\{D\}$

BCADE

BCAD

~~Key:~~ ~~F1: AB \rightarrow CD~~
~~F2: ABC \rightarrow E~~
~~F3: C \rightarrow A~~

$\begin{matrix} AB \rightarrow C \\ AB \rightarrow D \\ ABC \rightarrow E \\ C \rightarrow A \end{matrix}$ $F' = \{ \begin{matrix} AB \rightarrow C \\ AB \rightarrow D \\ AB \rightarrow E \\ C \rightarrow A \end{matrix} \}$

$F'' = \{AB \rightarrow CDE, C \rightarrow A\}$

$\begin{pmatrix} AB \rightarrow C \\ AB \rightarrow D \\ AB \rightarrow E \end{pmatrix}$

BD Scheme (br_name, loan_no, amount, account_no, balance, cust_name)

$$F = \left\{ \begin{array}{l} \text{loan_no} \rightarrow \text{amount}, \\ \text{loan_no} \rightarrow \text{br_name}, \\ \text{account_no} \rightarrow \text{balance}, \\ \text{account_no} \rightarrow \text{br_name} \end{array} \right\}$$

$R_1 (\underline{\text{loan_no}}, \text{amount})$ $R_4 \{ \text{loan_no}, \text{account_no}, \underline{\text{balance}}, \text{cust_name} \}$

$R_2 (\text{loan_no}, \text{br_name})$

$R_3 (\text{account_no}, \text{balance})$

$R_5 (\text{account_no}, \text{br_name})$ } This can be included or may ~~be~~ not be included. Both are ~~not~~ okay.

- While decomposing a relation in BCNF, it is not necessary that functional dependencies remain preserved.

α	β	$R-(\alpha+\beta)$
I1	CSE	Saltlake
I21	IT	Siliguri
I1	CSE	Siliguri
I1	IT	Saltlake

$\alpha \twoheadrightarrow \beta \Rightarrow \alpha \twoheadrightarrow R-\alpha-\beta$... Replace all the β by $(R-\alpha-\beta)$.

If ① $t_1(\alpha) = t_2(\alpha) = t_3(\alpha) = t_4(\alpha)$, then

② $t_3(\beta) = t_1(\beta)$

③ $t_3(R-\alpha-\beta) = t_2(R-\alpha-\beta)$

④ $t_4(\beta) = t_2(\beta)$

⑤ $t_4(R-\alpha-\beta) = t_1(R-\alpha-\beta)$

	α	β	$R-\alpha-\beta$
t_1	a_1, a_2, \dots, a_i	a_{i+1}, \dots, a_j	a_{j+1}, \dots, a_n
t_2	a_1, a_2, \dots, a_i	b_{i+1}, \dots, b_j	b_{j+1}, \dots, b_n
t_3	a_1, a_2, \dots, a_i	a_{i+1}, \dots, a_j	b_{j+1}, \dots, b_n
t_4	a_1, a_2, \dots, a_i	b_{i+1}, \dots, b_j	a_{j+1}, \dots, a_n

• $\alpha \rightarrow \beta$, then $\alpha \twoheadrightarrow \beta \rightarrow$ Always Holds

Ex

4NF

R is in 4NF w.r.t D , if $\alpha \twoheadrightarrow \beta \in D^+$.

1) $\alpha \twoheadrightarrow \beta$ Trivial

2) α is a superkey

Conversion to 4NF:

$R(A, B, C, G, H, I)$

ACG, H

$F = \{ A \twoheadrightarrow B,$

$B \twoheadrightarrow HI,$

$CG \twoheadrightarrow H \}$

ACG is the primary key

a) $R_1 = (A, B) \rightarrow$ in 4NF

$R_2 = (A, C, G, H, I) \rightarrow$ not in 4NF

b) $R_3 = (C, G, H) \rightarrow$ in 4NF

$R_4 = (A, C, G, I) \rightarrow$ not in 4NF

$A \twoheadrightarrow B$

$B \twoheadrightarrow HI$

$\therefore A \twoheadrightarrow HI$

$\therefore A \twoheadrightarrow H$ and $\underline{\underline{A \twoheadrightarrow I}}$

c) $R_5 = (A, I) \rightarrow$ Not in 4NF

$R_6 = (A, C, G) \rightarrow$ Not in 4NF

- For 4NF Conversion, it is not necessary that dependencies are preserved.