ABSTRACT

OPTIMIZATION OF DERIVATION JOBS AND MODERNIZATION OF NIGHTLY CI BUILD I/O TESTS FOR THE ATLAS EXPERIMENT

Arthur C. Kraus, M.S.
Department of Physics
Northern Illinois University, 2025
Dr. Jahred Adelman, Director

High-Luminosity LHC (HL-LHC) is a phase of the LHC that is expected to run toward the end of the decade. With this comes an increase in data taken per year that current software and computing infrastructure, including I/O, is not prepared to handle. The ATLAS experiment's Software Performance Optimization Team has efforts in developing the Athena software framework that is scalable in performance and ready for wide-spread use during Run-3 and HL-LHC data ready to be used for Run-4. It's been shown that the storage bias for TTree's during derivation production jobs can be improved upon compression and stored to disk by about 4-5% by eliminating the basket capping, with a simultaneous increase in memory usage by about 11%. Additionally, job configuration allows opportunity to improve many facets of the ATLAS I/O framework.

Athena and software it depends on are updated frequently, and to synthesize changes cohesively there are scripts, unit tests, that run which test core I/O functionality. This thesis also addresses a project to add a handful of I/O unit tests that exercise features exclusive to the new xAOD Event Data Model (EDM) such as writing and reading object data from the previous EDM using transient and persistent data. These new unit tests also include and omit select dynamic attributes to object data.

NORTHERN ILLINOIS UNIVERSITY DE KALB, ILLINOIS

MAY 2025

OPTIMIZATION OF DERIVATION JOBS AND MODERNIZATION OF NIGHTLY CI ${\tt BUILD~I/O~TESTS~FOR~THE~ATLAS~EXPERIMENT}$

ВҮ

ARTHUR C. KRAUS
© 2025 Arthur C. Kraus

A THESIS SUBMITTED TO THE GRADUATE SCHOOL IN PARTIAL FULFILLMENT OF THE REQUIREMENTS FOR THE DEGREE MASTER OF SCIENCE

DEPARTMENT OF PHYSICS

Thesis Director:

Dr. Jahred Adelman

ACKNOWLEDGEMENTS

24

Here's where you acknowledge folks who helped. Folks who helped.

DEDICATION

28

To all of the fluffy kitties. To all of the fluffy kitties. To all of the fluffy kitties.

					Page
30	LI	ST O	F TAB	LES	vi
31	LI	LIST OF FIGURES			viii
	Ch	Chapter			
32	1	INT	RODUCTION		
33		1.1	Partic	le Physics and the Large Hadron Collider	1
34		1.2	LHC a	and The ATLAS Detector	1
35		1.3	ATLA	S Trigger and Data Acquisition	4
36	2	I/O	TOOL	S	6
37		2.1	Athen	a and ROOT	6
38			2.1.1	Continuous Integration (CI) and Development	8
39		2.2	TTree	Object	9
40		2.3	Deriva	tion Production Jobs	10
41		2.4	Event	Data Models	11
42			2.4.1	Transient/Persistent (T/P) EDM	12
43			2.4.2	xAOD EDM	12
44	3	TOY	Y MOD	EL BRANCHES	14
45		3.1	Toy M	Iodel Compression	14
46			3.1.1	Random Float Branches	14
47			3.1.2	Mixed-Random Float Branches	20
48		3.2	Basket	t-Size Investigation	24

50	Ch	apter	•		Page
49	4	DAT	TA AND MONTE CARLO DERIVATION PRODUCTION		
51		4.1	Curren	t Derivation Framework	29
52		4.2	Performance Metrics and Benchmarking		
53		4.3	Results	5	30
54			4.3.1	Presence of basket-cap and presence of minimum number of entries	30
55			4.3.2	Comparing different basket sizes	31
56			4.3.3	Monte Carlo PHYSLITE branch comparison	32
57			4.3.4	Conclusion to derivation job optimization	35
58	5	MOI	DERNIZ	ZING I/O CI UNIT-TESTS	36
59		5.1	CI Uni	t Tests	36
60		5.2	xAOD	Test Object	37
61		5.3	Unit T	'est	38
62		5.4	Results	5	38
63	6	CON	NCLUSI	ON	39
64	ΑF	PPEN	DIX· D	ERIVATION PRODUCTION DATA	43

,	Table		Page
66 67 68	4.1	Athena v22.0.16: Comparing the maximum proportional set size (PSS) and PHYS/PHYSLITE output file sizes (outFS) for data jobs over various Athena configurations for 160327 entries	31
69 70 71	4.2	Athena v22.0.16: Comparing the maximum proportional set size (PSS) and PHYS/PHYSLITE output file sizes (outFS) for MC jobs over various Athena configurations for 140000 entries	31
72 73 74	4.3	Athena v24.0.16: Comparing the maximum proportional set size (PSS) and PHYS/PHYSLITE output file sizes (outFS) for Data jobs over various Athena configurations for 160327 entries	32
75 76 77	4.4	Athena v24.0.16: Comparing the maximum proportional set size (PSS) and PHYS/PHYSLITE output file sizes (outFS) for MC jobs over various Athena configurations for 140000 entries	32
78 79	4.5	Top 10 branches sorted by compression factor, MC PHYSLITE [Athena v24.0.16 default configuration.]	33
80 81	4.6	Top 10 branches sorted by compression factor, MC PHYSLITE [Athena v24.0.16 without limit to the basket buffer.]	33
82 83	4.7	Top 10 branches sorted by total file size in bytes, MC PHYSLITE [Athena v24.0.16 default configuration.]	33
84 85	4.8	Top 10 branches sorted by total file size in bytes, MC PHYSLITE [Athena v24.0.16 without limit to the basket buffer.]	33
86 87	4.9	Top 10 branches sorted by compressed file size in bytes, MC PHYSLITE [Athena v24.0.16 default configuration.]	34
88 89	4.10	Top 10 branches sorted by compressed file size in bytes, MC PHYSLITE [Athena v24.0.16 without limit to the basket buffer.]	34

90	5.1	List of unit tests in the AthenaPoolExample package that are currently ex-	
91		ecuted during a nightly build	37

	Figure		Page
93 94	1.1	Illustration of the LHC experiment sites on the France-Switzerland border. [5]	2
95	1.2	Overview of the ATLAS detectors main components. [7]	3
96	2.1	Object composition of a PHYS and PHYSLITE $t\bar{t}$ sample from Run 3	10
97	2.2	Derivation production from Reconstruction to Final N-Tuple[12]	11
98 99	3.1	Compression factors of $N=1000$ entries per branch with random-valued vectors of varying size	20
100 101	3.2	Compression Ratios for $(\frac{1}{2} \text{ random})$ and $(\frac{1}{4} \text{ random})$ branches at $(N = 10^6 \text{ events})$	23
102 103	3.3	Compression Ratios for $(\frac{1}{2} \text{ random})$ and $(\frac{1}{4} \text{ random})$ branches at $(N = 10^5 \text{ events})$	24
104 105	3.4	Compression Factors vs Branch Size (1000 entries per vector, $1/2$ Mixture $N=10^6$ events)	25
106 107	3.5	Number of Baskets vs Branch Size (1000 entries per vector, $1/2$ Mixture $N=10^6$ events)	26
108 109	3.6	Varying Mixtures in 8 point precision - Number of Baskets vs Branch Size $(N=10^6 \text{ events})$	27
110 111	3.7	Varying Mixtures in 16 point precision - Number of Baskets vs Branch Size $(N=10^6 \text{ events})$	28

CHAPTER 1

113 INTRODUCTION

112

114

126

1.1 Particle Physics and the Large Hadron Collider

Particle physics is the branch of physics that explores the fundamental constituents of 115 matter and the forces governing their interactions. The field started as studies in electromag-116 netism, radiation, and further developed with the discovery of the electron. What followed 117 was more experiments to search for new particles, new models to describe the results, and new 118 search techniques which demanded more data. The balance in resources for an experiment 119 bottlenecks how much data can be taken, so steps need to be taken to identify interesting 120 interactions and optimize the storage and processing of this data. This thesis investigates 121 software performance optimization of the ATLAS experiment at CERN. Specifically, ways to modernize and optimize areas of the software framework, Athena, to improve input/output (I/O) during derivation production and create new tests that catch when specific core I/O 124 functionality is broken.

1.2 LHC and The ATLAS Detector

The Large Hadron Collider (LHC), shown in Figure 1.1, is a particle accelerator spanning a 26.7-kilometer ring that crosses between the France-Switzerland border at a depth between 50 and 175 meters underground.[13] The ATLAS experiment, shown in Figure 1.2, is the largest LHC general purpose detector, and the largest detector ever made for particle collision experiments. It's 46 meters long, 25 meters high and 25 meters wide.[15] The ATLAS

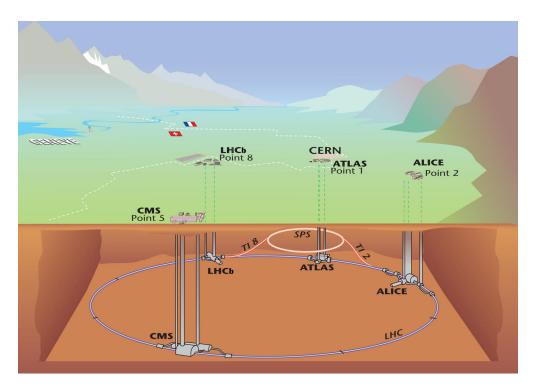


Figure 1.1: Illustration of the LHC experiment sites on the France-Switzerland border. [5]

detector is comprised of three main sections, the inner detector, calorimeters and the muon detector system.

The inner detector measures the direction, momentum and charge of electrically charged 134 particles. Its main function is to measure the track of the charged particles without destroy-135 ing the particle itself. The first point of contact for particles emerging from pp-collisions 136 from the center of the ATLAS detector is the pixel detector.[1] It has over 92 million pix-137 els and is radiation hard to aid in particle track and vertex reconstruction. When charged 138 particles pass through a pixel sensor, it ionizes the one-sided doped-silicon wafer to produce 139 an excited electron will then occupy the conduction band of the semiconductor producing 140 an electron-hole pair, leaving the valence band empty. [10] This hole in the valence band 141 together with the excited electron in the conduction band is called an electron-hole pair. 142 The electron-hole pair is in the presence of an electric field, which will induce drifting of the 143 electron-hole pair, drifting that will generate the electric current to be measured. 144

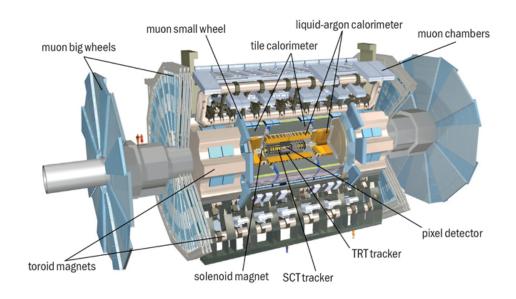


Figure 1.2: Overview of the ATLAS detectors main components. [7]

Surrounding the pixel detector is the SemiConductor Tracker (SCT), which uses 4,088 modules of 6 million implanted silicon readout strips.[2] Both the pixel detector and SCT measure the path particles take, called tracks. While the pixel detector has measurement precision up to $10\mu m$, the SCT has precision up to $25\mu m$.

145

146

147

148

The final layer of the inner detector is the transition radiation tracker (TRT). The TRT 149 is made of a collection of tubes made with many layers of different materials with varying 150 indices of refraction. The TRT's straw walls are made of two $35\mu m$ layers comprised of $6\mu m$ 151 carbon-polymide, $0.20\mu m$ aluminum, and a $25\mu m$ Kapton film reflected back. [6] The straws 152 are filled with a gas mixture of 70%Xe + 27%CO₂ + 3%O₂. Its measurement precision is 153 around 170 μm . Particles with relativistic velocities have higher Lorentz γ -factors (see Eq. 154 (1.1)). The TRT uses varying materials to discriminate between heavier particles, which 155 have low γ and radiate less, and lighter particles, which have higher γ and radiate more. [14] 156

$$\gamma = \frac{1}{\sqrt{1 - \frac{v^2}{c^2}}}\tag{1.1}$$

There are two main calorimeters for ATLAS, the Liquid Argon (LAr) calorimeter and the 157 Tile Hadronic calorimeter. The LAr calorimeter surrounds the inner detector and measures 158 the energy deposits of electrons, photons and hadrons (quark bound states, such as baryons 159 qqq and mesons $q\bar{q}$). It layers various metals to intercept the incoming particles to produce 160 a shower of lower energy particles. The lower energy particles then ionize the liquid argon 161 that fill the barrier in between the metal layers to produce a current that can be read out. 162 The Tile calorimeter surrounds the LAr calorimeter and is the largest part of the ATLAS 163 detector weighing in around 2900 tons. Particles then traverse through the layers of steel and 164 plastic scintillating tiles. When a particle hits the steel, a cascade of secondary particles is 165 generated, and the plastic scintillators will produce photons whose current can be measured. 166

1.3 ATLAS Trigger and Data Acquisition

167

The LHC produces pp-collisions at a rate of 40 MHz, each collision is an "event". The 168 ATLAS Trigger system is responsible for quickly deciding what events are interesting for 169 physics analysis. The Trigger system is divided into the first- and second-level triggers and 170 when a particle activates a trigger, the trigger makes a decision to tell the Data Acquistion 171 System (DAQ) to save the data produced by the detector. The first-level trigger is a hardware 172 trigger that decides, within $2.5\mu s$ after the event, if it's a good event to put into a storage 173 buffer for the second-level trigger. The second-level trigger is a software trigger that decides 174 within $200\mu s$ and uses around 40,000 CPU-cores and analyses the event to decide if it is 175 worth keeping. The second-level trigger selects about 1000 events per second to keep and 176 store long-term. [4] The data taken by this Trigger/DAQ system is raw and not yet in a 177 state that is ready for analysis, but it is ready for the reconstruction stage. 178

The amount of data taken at ATLAS is substantial. ATLAS sees more than 3.2 PB of raw data each year, each individual event being around 1.6 MB. [15] All of the data produced by LHC experiments, especially ATLAS, has to be sent to the LHC Computing Grid (LCG). The increase in data means more resources from the Grid will be needed, so optimization is an essential part of ensuring scalability of the data able to be taken in by the experiment. Reconstructed AOD are then processed through derivation jobs that reduced AODs from $\mathcal{O}(1)$ MB per event to $\mathcal{O}(10)$ kB per event, creating Derived AOD (DAOD).

CHAPTER 2

186

187

194

197

202

I/O TOOLS

The Trigger/DAQ system sends and saves data from the detector to a persistent data 188 storage solution. It's at this stage where the data isn't yet ready for an effective analysis, so 189 what needs to happen is the data needs to be reconstructed and consolidated into physics objects, or Analysis Object Data (AOD) files. Creating AODs from data requires significant 191 computation power and Athena is the software framework that plays a significant role in 192 this process. This chapter will cover the software tools used by ATLAS 193

2.1 Athena and ROOT

Athena is the open-source software framework for the ATLAS experiment. [8] It uses on 195 other software such as ROOT, Geant4 and other software as part of the LCG software stack. 196 Athena manages ATLAS production workflows which include event generation, simulation of data, reconstruction from hits, and derivation of reconstructed hits. [9] It also provides 198 some in-house based analysis tools as well as tools for specifically ROOT based analysis. 199

CMake and Make are open-source software that is used to build Athena, ROOT, and 200 other software. A sparse build is a way to make changes to an individual package of code 201 without having to recompile the entire framework at once, which saves time and resources. A user can create a text file identifying the path to the package modified, and the sparse 203 build for Athena will proceed upon issuing the following commands: 204

```
cmake -DATLAS_PACKAGE_FILTER_FILE = ... / package_filters.txt ... / athena/
205
       Projects/WorkDir/
206
```

```
make -j
```

Where ../package_filters.txt is the text file containing the path to the package modified, and ../athena/Projects/WorkDir/ is the path to the Athena source.

AthenaPOOL is data storage architecture suite of packages within Athena that provide 210 conversion services. It originated as a separate project to serve as a layer between the 211 transient data used by the software framework and the data stored permanently, persistent. 212 The transient/persistent style of representing event data will be further explained in § 2.4. 213 These unit tests are component accumulator (CA) scripts written in Python and call 214 upon algorithms written in C++. The python scripts are also used to set the job options 215 for the algorithms added to the component accumulator, job options like flag definitions, 216 input and output file names, and other algorithm specific options. A CA script written in 217

pseudocode would take the form:

218

```
# Import Packages
219
        from AthenaConfiguration.AllConfigFlags import initConfigFlags
220 2
        from AthenaConfiguration.ComponentFactory import CompFactory
221.3
        from OutputStreamAthenaPool.OutputStreamConfig import OutputStreamCfg,
222 4
        outputStreamName
223
224 5
        # Set Job Options
225 6
        outputStreamName = "StreamA"
226 7
        outputFileName = "output.root"
227 8
228 9
        # Setup flags
229.0
        flags = initConfigFlags()
230.1
        flags.Input.Files = ["input.root"]
2312
        flags.addFlag(f"Output.{streamName}FileName", outputFileName)
232.3
        # Other flags
233.4
        flags.lock()
234.5
```

```
235 6
        # Main services
236.7
        from AthenaConfiguration.MainServicesConfig import MainServicesCfg
237.8
238.9
        acc = MainServicesCfg( flags )
23920
        # Add algorithms
24021
        acc.addEventAlgo( CompFactory.MyAlgorithm(MyParameters) )
24122
2423
        # Run
24324
        import sys
24425
        sc = acc.run(flags.Exec.MaxEvents)
24526
        sys.exit(sc.isFailure())
2462
```

ROOT is an open-source software framework used for high-energy physics analysis at CERN.[17] It uses C++ objects to save, access, and process data brought in by the various experiments based at the LHC, the ATLAS experiment uses it in conjunction with Athena. ROOT largely revolves around organization and manipulation of TFiles and TTrees into ROOT files. A TTree represents a columnar dataset, and the list of columns are called branches. The branches have memory buffers that are automatically allocated by ROOT. These memory buffers are divided into corresponding baskets, whose size is designated during memory allocation. More detail on branch baskets are explored in Chapter ?? and 4.

2.1.1 Continuous Integration (CI) and Development

255

CI is a software development practice where new code is tested and validated upon each merge to the main branch of a repository. Every commit to the main branch is automatically built and tested for specific core features that are required to work with the codebase. This

helps to ensure that the codebase is working as intended and that the new code is compatible with the existing codebase.

Athena is hosted on GitLab and developed using CI with an instance of Jenkins, called ATLAS Robot, to build and test the new changes within a merge request interface. ATLAS Robot will then provide a report of the build and test results. If the build or test fail, ATLAS Robot will provide a report of which steps failed and why. This allows for early detection of issues before the nightly build is compiled and tested.

2.2 TTree Object

266

272

273

274

275

A TTree is a ROOT object that organizes physically distinct types of event data into branches. Branches hold data into dedicated contiguous memory buffers, and those memory buffers, upon compression, become baskets. These baskets can have a limited size and a set minimum number of entries. The Athena default basket size at present is 128 kB, and the default minimum number of entries is 10.

One function relevant to TTree is Fill(). Fill() will loop over all of the branches in the TTree and compresses the baskets that make up the branch. This removes the basket from memory as it is then compressed and written to disk. It makes reading back branches faster as all of the baskets are stored near each other on the same disk region. [18]

AutoFlush is a function that tells the Fill() function after a designated number of entries of the branch, in this case vectors, to flush all branch buffers from memory and save them to disk.

Derivation Production Jobs

279

285

287

A derivation production job takes AODs, which comes from the reconstruction step at 280 $\mathcal{O}(1 \text{ MB})$ per event, and creates a derived AOD (DAOD) which sits at $\mathcal{O}(10 \text{ kB})$ per event. 281 Derivation production is a necessary step to make all data accessible for physicists doing 282 analysis as well as reducing the amount of data that needs to be processed. While deriva-283 tions are reduced AODs, they often contain additional information useful for analysis, such 284 as jet collections and high-level discriminants.[16] Athena provides two types of output files from a derivation job, PHYS and PHYSLITE. Figure 2.1 shows the object composition of a PHYS and PHYSLITE $t\bar{t}$ sample. PHYS output files, at 40.0 kB per event, is prodomi-

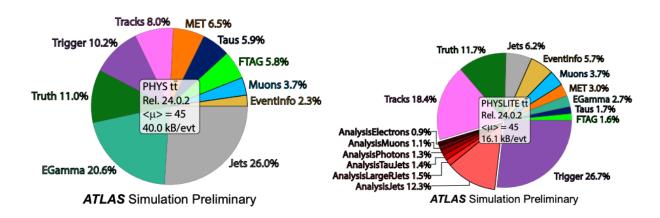


Figure 2.1: Object composition of a PHYS and PHYSLITE $t\bar{t}$ sample from Run 3.

nantly made of jet collections, while PHYSLITE, at 16.1 kB per event, has more trigger and 288 track information. There is ongoing work to reduce the amount of Trigger information in 289 PHYSLITE which would help to reduce the file size. 290

PHYSLITE, being the smallest file of the two, sees the largest effect upon attempts of 291 optimization. These jobs can demand heavy resource usage on the GRID, so optimization 292 of the AOD/DAODs for derivation jobs can be vital. 293

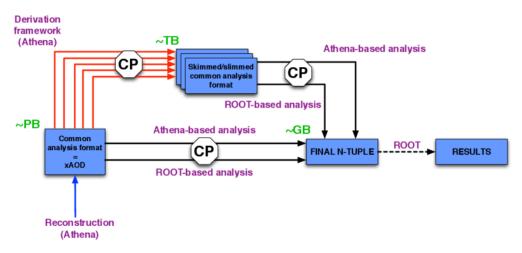


Figure 2.2: Derivation production from Reconstruction to Final N-Tuple[12]

The derivation framework is sequence of steps that are performed on the AODs to create the DAODs. Skimming is the first step in the derivation framework, and it's responsible for removing whole events based on pre-defined criteria. Thinning is the second step, and it removes whole objects based on pre-defined criteria. Lastly slimming removes variables from objects uniformly across events.

2.4 Event Data Models

299

An Event Data Model (EDM) is a collection of classes and their relationships to each other that provide a representation of an event detected with the goal of making it easier to use and manipulate by developers. An EDM is how particles and jets are represented in memory, stored to disk, and manipulated in analysis. It's useful to have an EDM because it brings a commonality to the code, which is useful when developers reside in different groups with various backgrounds. An EDM allows those developers to more easily debug and communicate issues when they arise.

2.4.1 Transient/Persistent (T/P) EDM

307

323

One of the previous EDM schemas used by ATLAS concerned a dual transient/persistent 308 status of AOD. With this EDM, the AOD was converted into an ntuple based format called 309 D3PDs. While this conversion allowed for fast readability and partial read for efficient analysis 310 in ROOT, it left the files disconnected from the reconstruction tools found in Athena.[3] 311 When transient data was present in memory, it could have information attached to the 312 object and gain in complexity the more it was used. Transient data needed to be simplified 313 before it could become persistent into long-term storage (sent to disk). ROOT had trouble handling the complex inheritance models that would come up the more developers used this 315 EDM. Before the successor to the T/P EDM was created, ATLAS physicists would convert 316 data samples using the full EDM to a simpler one that would be directly readable by ROOT. 317 This would lead to duplication of data and made it challenging to develop and maintain the 318 analysis tools to be used on both the full EDM and the reduced ones. Additionally, converting 319 from transient to persistent data was an excessive step which was eventually removed by the 320 adoption of using an EDM that blends the two stages of data together, this was dubbed the 321 xAOD EDM.

2.4.2 xAOD EDM

The xAOD EDM is the successor to the T/P EDM and brings a number of improvements.

This EDM, unlike T/P, is usuable both on Athena and ROOT. It's easier to pick up for
analysis and reconstruction. The xAOD EDM has the ability to add and remove variables
at runtime, these variables are called "decorations."

The xAOD EDM use two types of objects handle data, interface objects and payload objects. Interfaces act as an interface for the user to access the object but without its stored data. This differs from T/P where the user would have to load an object into memory to access the object. If the user wanted to delay the loading of data into memory, they could use the interface object to do so. The payload object contains the data for the interface object and is allocating continuous memory. Payload classes are often referred to as auxiliary storage.

CHAPTER 3

TOY MODEL BRANCHES

335

336

349

Building a toy model for derivation production jobs offers a simplified framework to 337 effectively simulate and analyze the behavior of real and Monte Carlo (MC) data under 338 techniques of optimization aimed to study. One commonality between both data and MC is 339 the data types stored in branches for both is made of a mixture between repeated integer-like data and randomized floating-point data. Intergers are easier to compress than floating-point numbers, so adjusting the mixture of each will yield compression ratios closer to real and MC data. Replicating this mixture in a branch give us an effective model that resemble 343 how current derivation jobs act on real and MC simulated data. These toy model mixtures 344 provide an avenue to test opportunities for optimizing the memory and storage demands 345 of the GRID by first looking at limiting basket sizes and their effects on compression of 346 branches. 347

3.1 Toy Model Compression

3.1.1 Random Float Branches

There were a number of iterations to the toy model, but the first was constructed by filling
a TTree with branches that each have vectors with varying number of random floats to write
and read. Vectors are used in this toy model, as opposed to arrays, because vectors are
dynamically allocated and deallocated, which allows for more flexibility when synthesizing
AOD. This original model had four distinct branches, each with a set number of events

(N=1000), and each event having a number of entries, vectors with 1, 10, 100, and 1000 floats each.

The script can be compiled with gcc or g++ and it requires all of the dependencies that come with ROOT. Alternatively, the script can be run directly within ROOT.

The following function VectorTree() is the main function in this code. What is needed 359 first is an output file, which will be called VectorTreeFile.root, and the name of the tree 360 can simply be myTree. Initializing variables start with the total number of events in the 361 branch, i.e. the number of times a branch is filled with the specified numbers per vectors, 362 N. Additionally the branches have a number of floats per vector, this size will need to be 363 defined as size_vec_0, size_vec_1, etc. The actual vectors that are being stored into each 364 branch need to be defined as well as the temporary placeholder variable for our randomized 365 floats, vec_tenX and float_X respectively. 366

```
void VectorTree() {
367
368 2
        const int N = 1e4; // N = 10000, number of events
369 3
        // Set size of vectors with 10<sup>#</sup> of random floats
370 4
        int size_vec_0 = 1;
371 5
        int size_vec_1 = 10;
372 6
        int size_vec_2 = 100;
373 7
        int size_vec_3 = 1000;
374 8
375 9
        // vectors
376.0
        std::vector<float> vec_ten0; // 10^0 = 1 entry
377.1
        std::vector<float> vec_ten1; // 10^1 = 10 entries
378.2
        std::vector<float> vec_ten2; // 10^2 = 100 entries
379.3
        std::vector<float> vec_ten3; // 10^3 = 1000 entries
380.4
381/5
        // variables
382.6
```

```
3837     float float_0;
3848     float float_1;
3859     float float_2;
3860     float float_3;
3871     ...
3882 }
```

From here, initialize the branches so each one knows where its vector pair resides in memory.

```
void VectorTree() {
391
392 2
        // Initializing branches
393.3
        std::cout << "creating branches" << std::endl;</pre>
394 4
        tree->Branch("branch_of_vectors_size_one", &vec_ten0);
395 5
        tree->Branch("branch_of_vectors_size_ten", &vec_ten1);
396 6
        tree->Branch("branch_of_vectors_size_hundred", &vec_ten2);
397 7
        tree->Branch("branch_of_vectors_size_thousand", &vec_ten3);
398 8
399 9
     }
400.0
```

One extra step taken during this phase of testing is the disabling of AutoFlush.

Disabling AutoFlush allows for more consistent compression across the various sizes of branch baskets. The toy model needed this consistency more than the later tests as these early tests were solely focused on mimicking data procured by the detector and event simulation. The derivation production jobs tested in Chapter 4 were tested with AutoFlush enabled because those tests are not as concerned with compression as they are with memory and disk usage. Following branch initialization comes the event loop where data is generated and emplaced into vectors.

```
void VectorTree() {
413 1
414 2
        // Events Loop
415 3
        std::cout << "generating events..." << std::endl;</pre>
416 4
        for (int j = 0; j < N; j++) {
417 5
             // Clearing entries from previous iteration
418 6
            vec_ten0.clear();
419 7
            vec_ten1.clear();
420 8
            vec_ten2.clear();
421 9
            vec_ten3.clear();
422 0
423.1
            // Generating vector elements, filling vectors
4242
            // Fill vec_ten0
425.3
             // Contents of the vector:
426.4
                   {float_0}
427.5
                    Only one float of random value
428.6
            float_0 = gRandom -> Rndm() * 10; // Create random float value
429 7
            vec_ten0.emplace_back(float_0); // Emplace float into vector
430.8
431.9
            // Fill vec_ten1
4320
            // Contents of the vector:
43321
                    {float_1_0, ..., float_1_10}
4342
                   Ten floats, each float is random
43523
             for (int n = 0, n < size_vec_1; n++) {</pre>
4364
                 float_1 = gRandom->Rndm() * 10;
43725
                 vec_ten1.emplace_back(float_1);
43826
            }
43927
44028
```

```
// Fill vec_ten2
44129
                Contents of the vector:
4420
                    {float_2_0, ..., float_2_99}
4431
                    Hundred floats, each float is random
44432
             for (int a = 0, a < size_vec_2; a++) {</pre>
4453
                 float_2 = gRandom->Rndm() * 10;
4464
                 vec_ten2.emplace_back(float_2);
44735
             }
4486
44937
             // Fill vec_ten3
4508
                Contents of the vector:
45139
                    {float_3_0, ..., float_3_999}
452£0
             //
                    Thousand floats, each float is random
4531
             for (int b = 0, b < size_vec_3; b++) {</pre>
4542
                 float_3 = gRandom->Rndm() * 10;
45513
                 vec_ten3.emplace_back(float_3);
4564
             }
45745
             tree->Fill(); // Fill our TTree with all the new branches
45846
        }
45917
        // Saving tree and file
46048
        tree->Write();
46149
4620
     }
46351
```

Once the branches were filled, ROOT then will loop over each of the branches in the TTree and at regular intervals will remove the baskets from memory, compress, and write the baskets to disk (flushed), as was discussed in Section §2.2.

As illustrated, the TTree is written to the file which allows for the last steps within this script.

```
void VectorTree() {
```

```
470 2
471 3
          // Look in the tree
472 4
473 5
          tree->Scan();
          tree->Print();
474 6
475 7
         myFile ->Save();
4768
         myFile ->Close();
477 9
      }
478.0
479
       int main() {
480.2
          VectorTree();
4813
          return 0;
482.4
      }
483.5
```

Upon reading back the ROOT file, the user can view the original size of the file (Totalfile-size), the compressed file size (File-size), the ratio between Total-file-size and File-size
(Compression Factor), the number of baskets per branch, the basket size, and other information. Filling vectors with entirely random values was believed to yield compression ratios
close to real data, but the results in Figure 3.1 show changes needed to be made to bring
the branches closer to a compression ratio of $\mathcal{O}(5)$. It is evident that branches containing
vectors with purely random floats are more difficult to compress due to the high level of
randomization.

Figure 3.1 shows compression drop-off as the branches with more randomized floats per vector were present. This is the leading indication that there needs to be more compressible data within the branches.

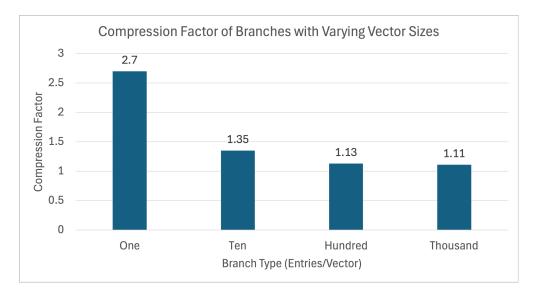


Figure 3.1: Compression factors of N=1000 entries per branch with random-valued vectors of varying size.

3.1.2 Mixed-Random Float Branches

495

The branches needed to have some balance between compressible and incompressible data to mimic the compression ratio found in real data. How this was achieved was by filling each vector with different ratios of random floats and repeating integers, which will now be described in detail.

The first change was increasing the total number of events per branch from $N=10^4$ to $N=10^5$, or from 10,000 to 100,000. Mixing of random floats and repeated integer values takes the same script structure as Section § 3.1.1 but adjusts the event generation loop.

```
void VectorTree() {

vectorTree(
```

```
vec_ten1.clear();
509 7
             vec_ten2.clear();
510 8
             vec_ten3.clear();
5119
512 0
             // Generating vector elements, filling vectors
513.1
             // Generating vec_ten0
5142
             // Contents of the vector:
515.3
             11
                   {float_0}
5164
                   Only one float of random value
             //
517.5
             // And since there's only one entry, we don't mix the entries.
518.6
             float_0 = gRandom->Gaus(0, 1) * gRandom->Rndm();
519.7
             vec_ten0.emplace_back(float_0);
520.8
52119
5220
             // Generating vec_ten1
52321
             // Contents of the vector:
5242
             11
                   {float_1_0, float_1_1, float_1_2, float_1_3, float_1_4, 1,
52523
       1, 1, 1, 1}
526
             //
                   5 floats of random values, 5 integers of value 1.
52724
             for (int b = 0; b < size_vec_1; b++) {</pre>
52825
                 if (b < size_vec_1 / 2) {</pre>
529.6
                    float_1 = gRandom->Rndm() * gRandom->Gaus(0, 1);
53027
                   vec_ten1.emplace_back(float_1);
5312.8
                 } else {
5329
                   float_1 = 1;
53330
53431
                   vec_ten1.emplace_back(float_1);
                 }
53532
             }
5363
53734
             // Generating vec_ten2
5385
             // Contents of the vector:
53086
```

```
11
                   {float_2_0, ...,float_2_49, 1, ..., 1}
54037
                   50 floats of random values, 50 integers of value 1.
             11
54B8
            for (int c = 0; c < size_vec_2; c++) {</pre>
54289
                 if (c < size_vec_2 / 2) {</pre>
54310
                   float_2 = gRandom->Rndm() * gRandom->Gaus(0, 1);
5441
                   vec_ten2.emplace_back(float_2);
54512
                 } else {
54613
                    float_2 = 1;
54714
                   vec_ten2.emplace_back(float_2);
548-5
                 }
54946
            }
55047
55148
            // Generating vec_ten3
55249
            // Contents of the vector:
5530
                   {float_3_0, ..., float_3_499, 1, ..., 1}
            11
5541
             11
                    500 entries are floats of random values,
5552
                    500 entries are integers of value 1.
            //
5563
            for (int d = 0; d < size_vec_3; d++) {</pre>
55734
                 if (d < size_vec_3 / 2) {</pre>
5585
                    float_3 = gRandom->Rndm() * gRandom->Gaus(0, 1);
5596
                   vec_ten3.emplace_back(float_3);
56057
                 } else {
56158
                   float_3 = 1;
56259
                   vec_ten3.emplace_back(float_3);
56360
                 }
56431
5653
            }
            tree->Fill(); // Fill our TTree with all the new branches
5663
        }
56764
        // Saving tree and file
5685
        tree->Write();
5696
57667
```

57168 }

As shown in the if-statements in lines 14, 25, 36 and 47, if the iterator was less than half of the total number of entries in the vector then that entry had a randomized float put in that spot in the vector, otherwise it would be filled with the integer 1. Having a mixture of half random floats and half integer 1 led to the larger branches still seeing poor compression, so a new mixture of 1/4 random data was introduced. Even though $N = 10^5$ had the larger branches closer to the desired compression ratio, testing at $N = 10^6$ events improves the accuracy of the overall file size to more closely resemble real data.

Figure 3.2 shows the difference between compression between the two mixtures at $N=10^6$ events. When the number of events is increased from $N=10^5$ to $N=10^6$, at the 1/2 random-mixture, the branches with more than one entry per vector see their compression factor worsen. Figure 3.3 shows a compression ratio hovering around 3 for the larger branches, whereas Figure 3.2 shows the same branches hovering around 2.

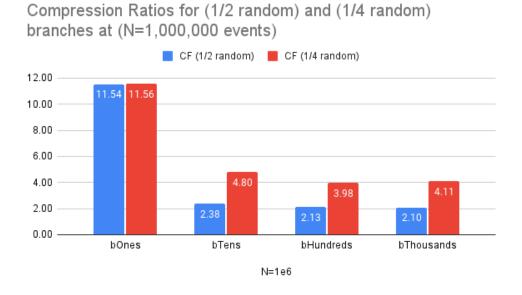


Figure 3.2: Compression Ratios for $(\frac{1}{2} \text{ random})$ and $(\frac{1}{4} \text{ random})$ branches at $(N = 10^6 \text{ events})$

Compression Ratios for (1/2 random) and (1/4 random) branches at (N=100,000 events) CF (1/4 random) CF (1/2 random) 12.00 11.38 10.00 8.00 6.00 4.00 4.74 2.00 0.00 bOnes bHundreds bThousands bTens

Figure 3.3: Compression Ratios for $(\frac{1}{2} \text{ random})$ and $(\frac{1}{4} \text{ random})$ branches at $(N=10^5 \text{ events})$

N=1e5

Unlike the mixture of branches having 1/2 random data, the 1/4 mixture does not see the same compression effect, but with this mixture we see a compression ratio that is in-line with real data. This is inline with expectation, more repeated integers within the mixture makes the branch more compressible, and the more random floats in the mixture will make the branch more difficult to compress. With these mixtures added to the toy model, we can start looking at varying the basket sizes to see how they affect compression.

584

585

586

587

588

589

590

3.2 Basket-Size Investigation

Investigating how compression is affected by the basket size requires us to change the basket size, refill the branch and read it out. Changing the basket sizes was done at the script level with a simple setting after the branch initialization and before the event loop the following code:

```
int basketSize = 8192000;

tree->SetBasketSize("*",basketSize);
```

This ROOT-level setting was sufficient for the case of the toy model; testing of the basket size setting both at the ROOT- and Athena-level would be done later using derivation production jobs in Section §4.1. The lower bound set for the basket size was 1 kB and the upper bound was 16 MB. The first branch looked at closely was the branch with a thousand vectors with half of them being random floats, see Figure 3.4.

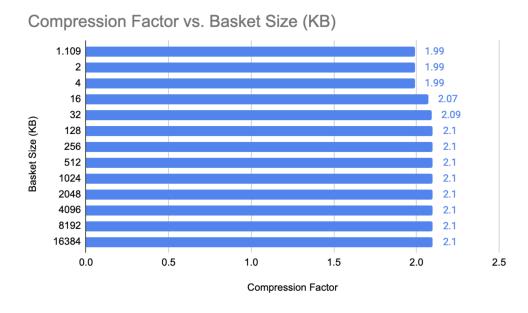


Figure 3.4: Compression Factors vs Branch Size (1000 entries per vector, 1/2 Mixture $N = 10^6$ events)

Figure 3.4 and Figure 3.5 are the first indication that the lower basket sizes are too small to effectively compress the data. For baskets smaller than 16 kB, it is necessary to have as many baskets as events to store all the data effectively. For a mixed-content vector with one thousand entries, containing 500 floats and 500 integers (both are 4 bytes each), its size is approximately 4 kB. ROOT creates baskets of at least the size of the smallest branch entry, in this case the size of a single vector. So even though the basket size was set to 1 or 2 kB,

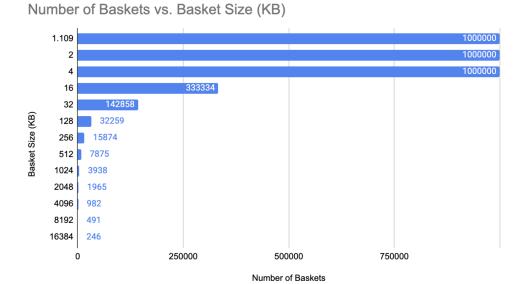


Figure 3.5: Number of Baskets vs Branch Size (1000 entries per vector, 1/2 Mixture $N=10^6$ events)

ROOT created baskets of 4 kB. These baskets \leq 4kB have a significantly worse compression than the baskets \geq 4kB in size, so the focus was shifted toward baskets Once the basket size is larger than the size of a single vector, more than one vector can be stored in a single basket and the total number of baskets is reduced.

There were more variations in the data that were looked at. For instance, looking further into the types of mixtures and how those mixtures would affect compression are shown in Figure 3.6. Another study looked into the same mixtures but decreasing the precision of the floating point values that we used from the standard 32 floating-point precision to 16 and 8 which made compression easier.

Each of these sets of tests indicate that after a certain basket size, i.e. 128 kB, there is no significant increase in compression. Having an effective compression at 128 kB, it's useful to stick to that basket size to keep memory usage down. Knowing that increasing the basket size beyond 128 kB yields diminishing returns, it's worth moving onto the next phase of testing with actual derivation production jobs.

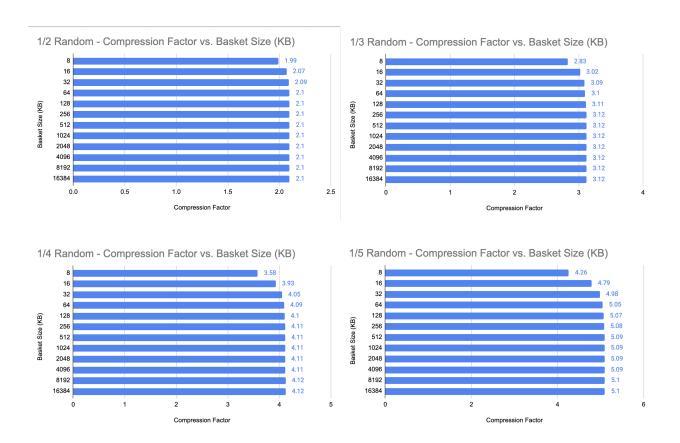


Figure 3.6: Varying Mixtures in 8 point precision - Number of Baskets vs Branch Size $(N=10^6~{\rm events})$



Figure 3.7: Varying Mixtures in 16 point precision - Number of Baskets vs Branch Size $(N=10^6~{\rm events})$

CHAPTER 4

DATA AND MONTE CARLO DERIVATION PRODUCTION

622

624

634

4.1 Current Derivation Framework

Derivation production jobs suffer from high memory usage, and DAODs make up a bulk of disk-space usage. DAODs are used in physics analyses and ought to be optimized to alleviate stress on the GRID and to lower disk-space usage. Optimizing both disk-space and memory usage is a tricky balance as they are typically at odds with one another. For example, increasing memory output memory buffers results in lower disk-space usage due to better compression but the memory usage will increase since one will have to load a larger buffer into memory. The route we opted to take is by optimizing for disk-space and memory by testing various basket limits and viewing the effects of the branches on both data and Monte Carlo (MC) simulated analysis object data (AODs).

4.2 Performance Metrics and Benchmarking

Our initial focus was on the inclusion of a minimum number of entries per buffer and the maximum basket buffer limit. As we'll see in Section §4.3, we then opted to keep the minimum number of entries set to its default setting (10 entries per buffer).

For both the nightly and the release testing, the data derivation job comes from a 2022 dataset with four input files 160327 events. The MC job comes from a 2023 $t\bar{t}$ standard sample simulation job with six input files with 140k events. The specific datasets for both are noted in Appendix A.1.

The corresponding input files for both data and MC jobs were ran with various configurations of Athena (version 24.0.16) and its specified basket buffer limit. The four configurations tested all kept minimum 10 entries per basket and modified the basket limitation in the following ways:

- 1. "default" Athena's default setting, and basket limit of 128×1024 bytes
- 2. "no-lim" Removing the Athena basket limit, the ROOT imposed 1.3 MB limit still remains
- 3. "256k" Limit basket buffer to 256×1024 bytes
- 4. "512k" Limit basket buffer to 512×1024 bytes

Interesting results come from the comparison of "no-lim" and "default" configuration.

The "256k" and "512k" configurations were included for completeness and provided to be
a helpful sanity check throughout. Building and running these configurations of Athena are
illustrated in a GitHub repository. [11]

655 4.3 Results

656

4.3.1 Presence of basket-cap and presence of minimum number of entries

First batch testing was for data and MC simulation derivation production jobs with and without presence of an upper limit to the basket size and presence of the minimum number of entires per branch. PHYSLITE MC derivation production, from Table 4.2, sees a 9.9% increase in output file size when compared to the default Athena configuration. Since this configuration only differs by the elimination of the "min-number-entries" we assume the minimum number of entries per branch should be kept at 10 and left alone. Table 4.2 also shows the potential for a PHYSLITE MC DAOD output file size reduction by eliminating our upper basket buffer limit altogether.

Athena v22.0.16 configurations (Data)	Max PSS (MB) (Δ% default)	PHYS outFS (GB) (Δ% default)	PHYSLITE outFS (GB) (Δ% default)
With basket-cap and min-num-entries (default)	27.109 (+ 0.00 %)	3.216 (+ 0.00 %)	1.034 (+ 0.00 %)
Without both basket-cap and min-num-entries	27.813 (+ 2.53 %)	3.222 (+ 0.20 %)	1.036 (+ 0.21 %)
Without basket-cap but with min-num-entries	27.814 (+ 2.53 %)	3.216 (- 0.00 %)	1.030 (- 0.39 %)
With basket-cap but without min-num-entries	27.298 (+ 0.69 %)	3.221 (+ 0.15 %)	1.042 (+ 0.71 %)

Table 4.1: Athena v22.0.16: Comparing the maximum proportional set size (PSS) and PHYS/PHYSLITE output file sizes (outFS) for data jobs over various Athena configurations for 160327 entries.

Athena v22.0.16 configurations (MC)	Max PSS (MB) (Δ % default)	PHYS outFS (GB) (Δ % default)	PHYSLITE outFS (GB) ($\Delta\%$ default)
With basket-cap and min-num-entries (default)	14.13 (+ 0.00 %)	5.83 (+ 0.00 %)	2.59 (+ 0.00 %)
Without both basket-cap and min-num-entries	16.08 (+ 12.13 %)	6.00 (+ 2.93 %)	2.72 (+ 5.06 %)
Without basket-cap but with min-num-entries	15.97 (+ 11.51 %)	5.67 (- 2.80 %)	2.45 (- 5.58 %)
With basket-cap but without min-num-entries	14.19 (+ 0.42 %)	6.16 (+ 5.35 %)	2.87 (+ 9.90 %)

Table 4.2: Athena v22.0.16: Comparing the maximum proportional set size (PSS) and PHYS/PHYSLITE output file sizes (outFS) for MC jobs over various Athena configurations for 140000 entries.

4.3.2 Comparing different basket sizes

665

666

Pre-existing derivation jobs were ran for data and MC simulations to compare between configurations of differing basket sizes limits. The results for this set of testing are found from Table 4.3 through Table 4.10. The following tables are the DAOD output-file sizes of the various Athena configurations for PHYS/PHYSLITE over their respective data/MC AOD input files.

Athena configurations (Data)	Max PSS (MB) (Δ % default)	PHYS outFS (GB) ($\Delta\%$)	PHYSLITE outFS (GB) ($\Delta\%$)
(default)	27.8591 (+ 0.00 %)	3.2571 (+0.00 %)	1.0334 (+ 0.00 %)
no_limit	28.6432 (+ 2.74 %)	3.2552 (- 0.06 %)	1.0302 (- 0.31 %)
256k_basket	28.2166 (+ 1.27 %)	3.2553 (- 0.05 %)	1.0303 (- 0.30 %)
512k_basket	28.4852 (+2.20 %)	3.2571 (+0.00 %)	1.0307 (- 0.26 %)

Table 4.3: Athena v24.0.16: Comparing the maximum proportional set size (PSS) and PHYS/PHYSLITE output file sizes (outFS) for Data jobs over various Athena configurations for 160327 entries.

Athena configurations (Data)	Max PSS (MB) (Δ % default)	PHYS outFS (GB) ($\Delta\%$)	PHYSLITE outFS (GB) ($\Delta\%$)
(default)	15.00 (+ 0.00 %)	5.88 (+ 0.00 %)	2.59 (+ 0.00 %)
no_limit	16.90 (+ 11.27 %)	5.72 (- 2.80 %)	2.45 (- 5.55 %)
256k_basket	15.28 (+ 1.87 %)	5.80 (- 1.35 %)	2.51 (- 3.11 %)
512k_basket	16.41 (+ 8.60 %)	5.74 (- 2.46 %)	2.46 (- 5.11 %)

Table 4.4: Athena v24.0.16: Comparing the maximum proportional set size (PSS) and PHYS/PHYSLITE output file sizes (outFS) for MC jobs over various Athena configurations for 140000 entries.

4.3.3 Monte Carlo PHYSLITE branch comparison

672

Derivation production jobs work with initially large, memory-consuming branches, com-673 pressing them to a reduced size. These derivation jobs are memory intensive because they 674 first have to load the uncompressed branches into readily-accessed memory. Once they're 675 loaded, only then are they able to be compressed. The compression factor is the ratio of pre-676 derivation branch size (Total-file-size) to post-derivation branch size (Compressed-file-size). 677 The compressed file size is the size of the branch that is permanently saved into the DAOD. 678 Branches with highly repetitive data are better compressed than non-repetitive data, 679 leading to high compression factors—the initial size of the branch contains more data than it needs pre-derivation. If pre-derivation branches are larger than necessary, there should be 681 an opportunity to save memory usage during the derivation job. 682 The following tables look into some highly compressible branches and might lead to areas 683

where simulation might save some space. (AOD pre compression?)

Athena v24.0.16 (default) MC branch	Branch size (kB)	Total-file-size (MB)	Compressed-file-size (MB)	Compression factor
Primary Vertices Aux Dyn. track Particle Links	128	2146.2	24.0	89.4
HardScatterVerticesAuxDyn.incomingParticleLinks	128	118.5	1.7	71.6
HLTNav_Summary_DAODSlimmedAuxDyn.linkColNames	128	784.0	11.9	65.7
HardScatterVerticesAuxDyn.outgoingParticleLinks	128	108.6	1.9	58.7
Truth Bosons With Decay Vertices Aux Dyn. incoming Particle Links	96	31.6	0.7	43.5
HLTNav_Summary_DAODSlimmedAuxDyn.linkColClids	128	390.6	10.7	36.6
AnalysisTauJetsAuxDyn.tauTrackLinks	128	75.0	2.0	36.6
HLTNav_Summary_DAODSlimmedAuxDyn.linkColKeys	128	390.6	11.7	33.4
AnalysisJetsAuxDyn.GhostTrack	128	413.8	13.1	31.5
Truth Bosons With Decay Vertices Aux Dyn. outgoing Particle Links	83.5	27.3	0.9	31.0

Table 4.5: Top 10 branches sorted by compression factor, MC PHYSLITE [Athena v24.0.16 default configuration.]

Athena v24.0.16 (no-lim) MC branch	Branch size (kB)	Total-file-size (MB)	Compressed-file-size (MB)	Compression factor
PrimaryVerticesAuxDyn.trackParticleLinks	1293.5	2145.5	22.9	93.5
HardScatterVerticesAuxDyn.incomingParticleLinks	693.0	118.5	1.3	90.1
HardScatterVerticesAuxDyn.outgoingParticleLinks	635.5	108.5	1.5	74.0
HLTNav_Summary_DAODSlimmedAuxDyn.linkColNames	1293.5	783.5	11.9	65.8
Truth Bosons With Decay Vertices Aux Dyn. incoming Particle Links	96.0	31.6	0.7	43.5
AnalysisTauJetsAuxDyn.tauTrackLinks	447.0	74.9	1.9	39.2
HLTNav_Summary_DAODSlimmedAuxDyn.linkColClids	1293.5	390.3	11.0	35.5
HLTNav_Summary_DAODSlimmedAuxDyn.linkColKeys	1293.5	390.3	11.3	34.5
AnalysisJetsAuxDyn.GhostTrack	1293.5	413.5	13.0	31.9
${\bf Truth Bosons With Decay Vertices Aux Dyn. outgoing Particle Links}$	83.5	27.3	0.9	31.0

Table 4.6: Top 10 branches sorted by compression factor, MC PHYSLITE [Athena v24.0.16 without limit to the basket buffer.]

Athena v24.0.16 (default) MC branch	Branch size (kB)	Total-file-size (MB)	Compressed-file-size (MB)	Compression factor
PrimaryVerticesAuxDyn.trackParticleLinks	128	2146.2	24.0	89.4
HLTNav_Summary_DAODSlimmedAuxDyn.linkColNames	128	784.0	11.9	65.7
AnalysisJetsAuxDyn.GhostTrack	128	413.8	13.1	31.5
HLTNav_Summary_DAODSlimmedAuxDyn.linkColClids	128	390.6	10.7	36.6
HLTNav_Summary_DAODSlimmedAuxDyn.linkColKeys	128	390.6	11.7	33.4
AnalysisJetsAuxDyn.SumPtChargedPFOPt500	128	148.9	7.3	20.5
AnalysisJetsAuxDyn.NumTrkPt1000	128	148.8	8.7	17.2
AnalysisJetsAuxDyn.NumTrkPt500	128	148.8	11.9	12.5
HardScatterVerticesAuxDyn.incomingParticleLinks	128	118.5	1.7	71.6
AnalysisLargeRJetsAuxDyn.constituentLinks	128	111.5	7.1	15.8

Table 4.7: Top 10 branches sorted by total file size in bytes, MC PHYSLITE [Athena v24.0.16 default configuration.]

Athena v24.0.16 (no-lim) MC branch	Branch size (kB)	Total-file-size (MB)	Compressed-file-size (MB)	Compression factor
PrimaryVerticesAuxDyn.trackParticleLinks	1293.5	2145.5	22.9	93.6
HLTNav_Summary_DAODSlimmedAuxDyn.linkColNames	1293.5	783.5	11.9	65.8
AnalysisJetsAuxDyn.GhostTrack	1293.5	413.5	13.0	31.9
HLTNav_Summary_DAODSlimmedAuxDyn.linkColClids	1293.5	390.3	11.0	35.5
HLTNav_Summary_DAODSlimmedAuxDyn.linkColKeys	1293.5	390.3	11.3	34.5
AnalysisJetsAuxDyn.SumPtChargedPFOPt500	905.5	148.8	6.8	21.9
AnalysisJetsAuxDyn.NumTrkPt1000	905	148.8	8.5	17.6
AnalysisJetsAuxDyn.NumTrkPt500	905	148.8	11.8	12.6
HardScatterVerticesAuxDyn.incomingParticleLinks	693	118.5	1.3	90.2
AnalysisLargeRJetsAuxDyn.constituentLinks	950.5	111.4	6.4	17.4

Table 4.8: Top 10 branches sorted by total file size in bytes, MC PHYSLITE [Athena v24.0.16 without limit to the basket buffer.]

Athena v24.0.16 (default) MC branch	Branch size (kB)	Total-file-size (MB)	Compressed-file-size (MB)	Compression factor
Primary Vertices Aux Dyn. track Particle Links	128	2146.2	24.0	89.4
AnalysisJetsAuxDyn.GhostTrack	128	413.8	13.1	31.5
AnalysisJetsAuxDyn.NumTrkPt500	128	148.8	11.9	12.5
HLTNav_Summary_DAODSlimmedAuxDyn.linkColNames	128	784.0	11.9	65.7
HLTNav_Summary_DAODSlimmedAuxDyn.linkColKeys	128	390.6	11.7	33.4
HLTNav_Summary_DAODSlimmedAuxDyn.linkColClids	128	390.6	10.7	36.6
AnalysisJetsAuxDyn.NumTrkPt1000	128	148.8	8.7	17.2
AnalysisJetsAuxDyn.SumPtChargedPFOPt500	128	148.9	7.3	20.5
AnalysisLargeRJetsAuxDyn.constituentLinks	128	111.5	7.1	15.8
HLTNav_Summary_DAODSlimmedAuxDyn.name	128	80.8	4.4	18.4

Table 4.9: Top 10 branches sorted by compressed file size in bytes, MC PHYSLITE [Athena v24.0.16 default configuration.]

Athena v24.0.16 (no-lim) MC branch	Branch size (kB)	Total-file-size (MB)	Compressed-file-size (MB)	Compression factor
PrimaryVerticesAuxDyn.trackParticleLinks	1293.5	2145.5	22.9	93.5
AnalysisJetsAuxDyn.GhostTrack	1293.5	413.5	13.0	31.9
$HLTNav_Summary_DAODSlimmedAuxDyn.linkColNames$	1293.5	783.5	11.9	65.8
AnalysisJetsAuxDyn.NumTrkPt500	905	148.8	11.8	12.6
HLTNav_Summary_DAODSlimmedAuxDyn.linkColKeys	1293.5	390.3	11.3	34.5
HLTNav_Summary_DAODSlimmedAuxDyn.linkColClids	1293.5	390.3	11.0	35.5
AnalysisJetsAuxDyn.NumTrkPt1000	905	148.8	8.5	17.6
AnalysisJetsAuxDyn.SumPtChargedPFOPt500	905.5	148.8	6.8	21.9
AnalysisLargeRJetsAuxDyn.constituentLinks	950.5	111.4	6.4	17.4
HLTNav_Summary_DAODSlimmedAuxDyn.name	242	80.8	4.5	18.0

Table 4.10: Top 10 branches sorted by compressed file size in bytes, MC PHYSLITE [Athena v24.0.16 without limit to the basket buffer.]

An immediate observation: with the omission of the Athena basket limit (solely rely-685 ing on ROOTs 1.3MB basket limit), the compression factor increases. This is inline with 686 the original expectation that an increased buffer size limit correlate to better compression. 687 Primary Vertices Aux Dyn. track Particle Links is a branch where, among each configuration of 688 Athena MC derivation, has the highest compression factor of any branch in this dataset. 689 Some branches, like HLTNav Summary DAODSlimmedAuxDyn.linkColNames show highly 690 compressible behavior and are consistent with the other job configurations (data, MC, PHYS, 691 and PHYSLITE). Further work could investigate these branches for further optimization of 692 derivation jobs.

4.3.4 Conclusion to derivation job optimization

694

Initially, limiting the basket buffer size looked appealing; after the 128 kB basket buffer size limit was set, the compression ratio would begin to plateau, increasing the memoryusage without saving much in disk-usage. The optimal balance is met with the setting of
128 kB basket buffers for derivation production.

Instead, by removing the upper limit of the basket size, a greater decrease in DAOD output file size is achieved. The largest decrease in file size came from the PHYSLITE MC derivation jobs without setting an upper limit to the basket buffer size. While similar decreases in file size appear for derivation jobs using data, it is not as apparent for data as it is for MC jobs. With the removal of an upper-limit to the basket size, ATLAS stands to gain a 5% decrease for PHYSLITE MC DAOD output file sizes, but an 11 – 12% increase in memory usage could prove a heavy burden (See Tables 2 and 4).

By looking at the branches per configuration, specifically in MC PHYSLITE output 706 DAOD, highly compressible branches emerge. The branches inside the MC PHYSLITE 707 DAOD are suboptimal as they do not conserve disk space; instead, they consume memory 708 inefficiently. As seen from (Table 5) through (Table 10), we have plenty of branches in MC 709 PHYSLITE that are seemingly empty—as indicated by the compression factor being $\mathcal{O}(10)$. 710 Reviewing and optimizing the branch data could further reduce GRID load during DAOD 711 production by reducing the increased memory-usage while keeping the effects of decreased 712 disk-space. 713

CHAPTER 5

714

715

721

MODERNIZING I/O CI UNIT-TESTS

Athena uses a number of unit tests during the development lifecycle to ensure core I/O functionality does not break. Many of the I/O tests were originally created for the old EDM and haven't been updated to test the xAOD EDMs core I/O functions. This project took in track information from a unit test using the T/P EDM, writes the data into an example xAOD object to file and reads it back.

5.1 CI Unit Tests

Unit tests are programs that act as a catch during the continuous integration of a codebase and exhaust features that need to remain functional. Athena has a number of unit tests which check every merge request and nightly build for issues in the new code that could break core I/O functionality, either at the level of Athena, ROOT, or any other software in the LCG stack. With the adoption of the xAOD EDM, there were no unit tests to cover core I/O functionality related to this new EDM.

Specifically there were no unit tests to handle selection of dynamic attributes, or decorations, on xAOD objects created during writing and read back. To address this, a new xAOD
test object needed to be created and written during a new unit test that fit into the existing
unit tests. The list of unit tests that are currently executed during a nightly build can be
found in Table 5.1.

The mechanism for passing a unit test done automatically by building the framework, running the unit tests, and comparing the diff of the output file to the unit test with a

Unit Test	Employed Algorithms
Write	WriteData
ReadWrite	ReadData
Read	ReadData
Copy	None
ReadWriteNext	ReadData, ReWriteData
WritexAODElectron	ReadData, WriteExampleElectron
ReadxAODElectron	ReadExampleElectron
ReadAgan	ReadData
WriteConcat	WriteData, ReWriteData
ReadConcat	ReadData
WriteCond	ReadData, WriteCond
ReadCond	ReadData, ReadCond
WriteMeta	WriteData, WriteCond
ReadMeta	ReadData

Table 5.1: List of unit tests in the AthenaPoolExample package that are currently executed during a nightly build.

reference file associated with that particular unit test. If the unit test passes, then the diff will be empty and the unit test will be marked as passing. Conversely, if the unit test fails, then the diff will be non-empty and the unit test will be marked as failing.

5.2 xAOD Test Object

738

The object used to employ the new unit test is the xAOD::ExampleElectron object,
where the "xAOD::" is a declaration of the namespace and simply identifies the object as
an xAOD object. An individual xAOD::ExampleElectron object only has a few parameters
for sake of testing, its transvese momentum, pt, and its charge, charge. A collection of
xAOD::ExampleElectron objects are stored in the xAOD::ExampleElectronContainer object,
which is just a DataVector of xAOD::ExampleElectron objects. The xAOD EDM permits
the use of an AuxStore

5.3 Unit Test

The way the xAOD::ExampleElectron object is accessed in the unit test is by utilizing

StoreGate.

749 5.4 Results

750 CHAPTER 6

751

CONCLUSION

The toy model testing allowed us to create branches with data similar compression ratios to real and simulated data, allowing to investigate the hypothesis that modifying the basket buffer limit had an effect on disk and memory usage. It led to the conclusion that, upon investigating with real data and real MC simulation, that there might be an avenue to look at both ROOT and Athena to limit basket sizes. Modifying the basket buffer sizes at the Athena level shows there was a balance struck

This study also illuminated the possibilty at a class of unoptimized branches in MC simulated data, from which it was not clear

The xAOD EDM comes with a number of new additions to bring about optimization the future of analysis work at the ATLAS experiment. Integrating the new features into a few comprehensive unit tests allow for the nightly CI builds to catch any issues that break core I/O functionality as it pertains to the xAOD EDM, which has not been done before. These new unit-tests exercise reading and writing select decorations ontop of the already existing data structures attacted to an example object called ExampleElectron.

- [1] A. Abdesselam et al. "ATLAS pixel detector electronics and sensors". In: Journal of

 Instrumentation 3.07 (July 2008), P07007. DOI: 10.1088/1748-0221/3/07/P07007.

 URL: https://dx.doi.org/10.1088/1748-0221/3/07/P07007.
- In: Nuclear Instruments and Methods in Physics Research Section A: Accelerators,

 Spectrometers, Detectors and Associated Equipment 568.2 (2006), pp. 642-671. ISSN:
 0168-9002. DOI: https://doi.org/10.1016/j.nima.2006.08.036. URL: https:
 //www.sciencedirect.com/science/article/pii/S016890020601388X.
- 775 [3] A. Buckley et al. Report of the xAOD Design Group. 2013. URL: https://cds.cern.
 776 ch/record/1598793/files/ATL-COM-SOFT-2013-022.pdf.
- [4] ATLAS Experiment at CERN. Trigger and Data Acquisition. URL: https://atlas.
- [5] Jean-Luc Caron for CERN. LHC Illustration showing underground locations of detectors. 1998. URL: https://research.princeton.edu/news/princeton-led-groupprepares-large-hadron-collider-bright-future.
- The ATLAS TRT collaboration et al. "The ATLAS Transition Radiation Tracker (TRT) proportional drift tube: design and performance". In: *Journal of Instrumentation* 3.02 (Feb. 2008), P02013. DOI: 10.1088/1748-0221/3/02/P02013. URL: https://dx.doi.org/10.1088/1748-0221/3/02/P02013.

- Beniamino Di Girolamo and Marzio Nessi. *ATLAS undergoes some delicate gymnastics*.

 2013. URL: https://cerncourier.com/a/atlas-undergoes-some-delicate-gymnastics/.
- [8] ATLAS software group. Athena. URL: https://doi.org/10.5281/zenodo.2641997.
- [9] ATLAS software group. Athena Software Documentation. URL: https://atlassoftwaredocs.
- [10] Glenn F. Knoll. Radiation Detection and Measurement. New York: John Wiley & Sons,
 Inc., 2010.
- [11] A.C. Kraus. GitHub Repository: building-athena. https://github.com/arthurkraus3/ building-athena.git. 2023.
- [12] P J Laycock et al. "Derived Physics Data Production in ATLAS: Experience with Run
 1 and Looking Ahead". In: Journal of Physics: Conference Series 513.3 (June 2014),
 p. 032052. DOI: 10.1088/1742-6596/513/3/032052. URL: https://dx.doi.org/10.
 1088/1742-6596/513/3/032052.
- Ana Lopes and Melissa Loyse Perry. FAQ-LHC The guide. 2022. URL: https://home.

 cern/resources/brochure/knowledge-sharing/lhc-facts-and-figures.
- Bartosz Mindur. ATLAS Transition Radiation Tracker (TRT): Straw tubes for tracking
 and particle identification at the Large Hadron Collider. Geneva, 2017. DOI: 10.1016/
 j.nima.2016.04.026. URL: https://cds.cern.ch/record/2139567.
- ATLAS Outreach. "ATLAS Fact Sheet: To raise awareness of the ATLAS detector and collaboration on the LHC". 2010. DOI: 10.17181/CERN.1LN2.J772. URL: https://cds.cern.ch/record/1457044.

- [16] Schaarschmidt, Jana et al. "PHYSLITE A new reduced common data format for ATLAS". In: *EPJ Web of Conf.* 295 (2024), p. 06017. DOI: 10.1051/epjconf/202429506017.

 202429506017. URL: https://doi.org/10.1051/epjconf/202429506017.
- 811 [17] ROOT Team. ROOT, About. URL: https://root.cern/about/.
- ROOT Team. ROOT, TTree Class. 2024. URL: https://root.cern.ch/doc/master/classTTree.html.

APPENDIX

814

815

DERIVATION PRODUCTION DATA

A.1 Derivation production datasets

For both the nightly and the release testing, the data derivation job, which comes from the dataset

```
819 1 data22_13p6TeV:data22_13p6TeV.00428855.physics_Main.merge.AOD.
820 2 r14190_p5449_tid31407809_00
```

was ran with the input files

816

```
822 1 AOD .31407809._000894.pool.root.1
823 2 AOD .31407809._000895.pool.root.1
824 3 AOD .31407809._000896.pool.root.1
825 4 AOD .31407809._000898.pool.root.1
```

Similarly, the MC derivation job, comes from the dataset

```
mc23_13p6TeV:mc23_13p6TeV.601229.PhPy8EG_A14_ttbar_hdamp258p75_

SingleLep.merge.AOD.e8514_e8528_s4162_s4114_r14622_r14663_

tid33799166_00
```

was ran with input files

```
831 1 AOD . 33799166 . _000303 . pool . root . 1
832 2 AOD . 33799166 . _000304 . pool . root . 1
833 3 AOD . 33799166 . _000305 . pool . root . 1
834 4 AOD . 33799166 . _000306 . pool . root . 1
835 5 AOD . 33799166 . _000307 . pool . root . 1
836 6 AOD . 33799166 . _000308 . pool . root . 1
```