

# CSE 151B/251B: Deep Learning

## Programming Assignment 2

Winter 2024

## Instructions

Due on Thursday, February 08, 2024 (11:59 PM)

1. Please submit your assignment on Gradescope. There are two components to this assignment: written homework (Problems I.1-6), and a programming part. As in previous assignments, your report for the programming part will be written using  $\text{\LaTeX}$  or Word in **NeurIPS format** (NeurIPS is the top machine learning conference, and it is now dominated by deep nets - it will be good practice for you to write in that format!). The templates, both in **Word** and  $\text{\LaTeX}$  are available from the [2015 NeurIPS format site](#).
2. For the programming part, please work in teams of 3 or 4 individuals. Again, **don't forget to include a paragraph for each team member in your report that describes what each team member contributed to the project**.
3. You also need to submit all of the source code files and a *README.md* file that includes detailed instructions on how to run your code.

You should write clean code with consistent format, as well as explanatory comments. Do not submit any of your output plot files or .pyc files, just the .py files and a README.md that explains how to run your code.

4. Any form of copying, plagiarizing, grabbing code from the web, having someone else write your code for you, etc., is cheating. We expect you all to do your own work, and when you are on a team, to pull your weight. Team members who do not contribute will not receive the same scores as those who do. Discussions of course materials and homework solutions are encouraged, but you should write the final solutions to the written part alone. Books, notes, and Internet resources can be consulted, but not copied from. Working together on homework must follow the spirit of the **Gilligan's Island Rule** (Dymond, 1986): No notes can be made (or recording of any kind) during a discussion, and you must watch one hour of Gilligan's Island or something equally insipid before writing anything down. Suspected cheating has been and will be reported to the UCSD Academic Integrity office.
5. **Start early!** If you have any questions or uncertainties about the assignment instructions in Part 1 or Part 2, please ask about them as soon as possible (preferably on Piazza, so everybody can benefit). We want to minimize any possible confusion about this assignment and have tried very hard to make it understandable and easy for you to follow.
6. You will be using [PyTorch](#) (v 1.4), a Deep Learning library, for the tasks in this assignment. Also you are allowed to only use Numpy, Matplotlib and PIL Imaging library apart from

the libraries that come along with the Python installation. Steps to access the UCSD GPU cluster are explained in the following sections.

7. This PA would require the use of a GPU. UCSD provides students with a GPU cloud instance via Datahub. More information about it at the end of this PA. Remember to start early as there are a limited number of GPUs available and will get clogged up closer to deadline. (Feel free to use your own GPU enabled systems if you want to!)

# Learning Objectives

1. Understand the basics of convolutional neural networks, including convolutional and deconvolutional layer mechanics, max-pooling, and dimensions of layers.
2. Learn how to implement a CNN architecture in PyTorch for Semantic Segmentation using best practices.
3. Build intuition on the effects of modulating the design of a CNN by experimenting with your own (or ‘classic’) architectures.
4. Learn best practices of transfer learning model by fine tuning a model for Semantic Segmentation.

## Part I

# Understanding Convolutional Network Basics - Individual Task

This portion of the assignment is to build your intuition and understanding the basics of convolutional networks - namely how convolutional layers learn feature maps and how max pooling works - by manually simulating these. We expect each team member to complete this task individually

For questions 1-4, consider the  $(5 \times 5 \times 2)$  input with values in range  $[-1, 1]$  and the corresponding  $(3 \times 3 \times 1)$  filter shown below. You have 2 5x5 input feature maps, and 2 3x3 filters. For question 1, filter1 should be applied on input feature 1, and filter 2 should be applied to input feature 2, resulting in two filtered inputs (Check image), that you have to combine to get the output feature map.

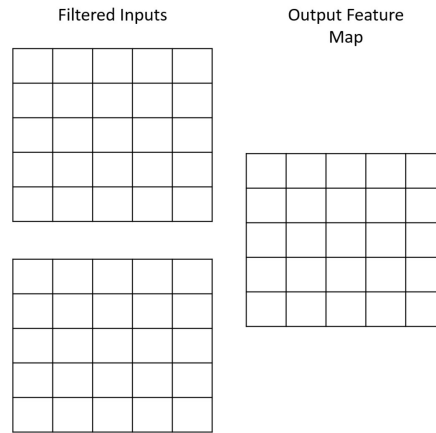
### 1 Question 1

Feature Maps					Filters		
0	1	0	-1	1	1	0	-1
1	1	0	-1	0	1	0	-1
1	1	0	0	1	1	0	-1
0	0	1	0	1			
1	0	-1	-1	0			

1	1	1	0	0	1	1	1
0	-1	1	0	0	0	0	0
0	1	-1	-1	1	-1	-1	-1
-1	1	-1	0	1			
1	1	-1	0	0			

1. In the provided area below, fill in the values resulting from applying a convolutional layer to the input with no zero-padding and a stride of 1. Calculate these numbers before **any activation function** is applied. There will be unused cells - place an  $\times$  in them. The output you get on the right is the output after **combining** the results of each channel. Again - there will be unused cells. And don't apply an activation function! (3 pts)



2. What kind of features do the kernels in Part 1 detect? (just describe them in English) (1 pt)
3. Think about what ideal  $3 \times 3$  patch from each of the input channels would maximally activate the corresponding  $3 \times 3$  filter. Fill in these maximally activating patches in the area below. If there are any unused cells (i.e., cells that would not make any difference) after completing the process in the provided tables, place an  $\times$  in them. (2pts)

Maximally  
Activating Patch


4. **Spatial pooling:** Using your **output feature map** in question 1, apply *max-pooling* using a  $[2 \times 2]$  kernel with a stride of 1. Recall from lecture that spatial pooling gives a CNN invariance to small transformations in the input, and max-pooling is more widely used over sum or average pooling due to empirically better performance. (2 pts)

Max Pooled  
Output


5. **Dilated Convolutions:** Maxpooling helps the network learn the global context of input feature maps but loses spatial resolution. Dilated convolutions allow for larger filters without adding additional parameters. You can read more on Dilated convolutions [here](#). Consider the same input feature map used for question 1. Also consider the same filters provided for question 1. Fill in the values below after dilated convolution (with dilation factor 2) between the input feature map and the filters. (2 pts)



Filtered Inputs    Output feature Map

## 6. Number of learnable parameters and feature map dimensions

Suppose we had the following architecture:

`inputs -> conv1 -> conv2 -> maxpool -> conv3 -> fc1 -> fc2 (outputs)`

All convolutions have stride 1 and no zero padding unless mentioned. `conv1` has a  $5 \times 5$  kernel with 5 output channels. `conv2` has a  $7 \times 7$  kernel with 10 output channels. `maxpool` has  $3 \times 3$  kernel with stride 2. `conv3` has single output channel with a  $13 \times 13$  kernel and stride=10. `fc2` has 512 input units. You are supposed to predict 10 class outputs.

If ReLU is the activation function between each layer and the inputs are  $[256 \times 256]$  RGB images, what are:

- conv1**, **conv2**, **conv3** filter shape
- conv1** output feature map shape
- conv2** output feature map shape
- maxpool** output feature map shape
- conv3** output feature map shape
- Number of input and output units of **fc1** and **fc2** layer

Show your work with calculations (6 pts)

## 7. Question 7: (For CSE251B Students only, NO EXTRA CREDIT FOR CSE151B)

Please do chapter 1, Problem 1 from **Bishop**, (For reference, it is on page 43 of the pdf) (5 pts)

## Part II

# Fully Convolutional Network for Semantic Segmentation

**Problem statement:** Deep convolutional neural networks have been applied to a broad range of problems and tasks within Computer Vision. In this part of the assignment, we will explore *semantic segmentation* task. Semantic segmentation means that every pixel in an image is classified as belonging to some category. This means that at the output level, there is a softmax over all of the categories for each pixel in the image. This is increasingly relevant and important in the industry. We will build deep CNN architectures and train them from scratch to predict the segmentation masks of the images. (As mentioned in the instructions, for training we would require the use of GPU enabled hardware. More information available in the Datahub section at the bottom)

We will be using **PASCAL VOC-2007** dataset for the task of pixel-level semantic segmentation. This dataset has pixelwise annotation for 20 object categories (21 including the background category). More details about the dataset can be found [here](#). The main goal of this challenge is to recognize objects from a number of visual object classes in realistic scenes (i.e., not pre-segmented objects). In this assignment, we will be using 21 categories. It is fundamentally a supervised learning problem in that a training set of labeled images is provided.

## GitHub Classroom

The link to our GitHub Classroom assignment is [https://classroom.github.com/a/y\\_ddFRC0](https://classroom.github.com/a/y_ddFRC0). Following that link will lead to a button that says "Accept this assignment." Accepting this assignment will set up a Git repo with the starter code. You should have admin permissions on this repo, so you can share it with your teammates. *Only one person per team should accept the assignment* and then share the repository with the whole team by adding them as collaborators. On GitHub, this can be done under Settings -> Manage Access -> Add people.

## Implementation Instructions

Please familiarize yourself with Pytorch before implementing. One of the good places to start with Pytorch is [this playlist](#).

We have provided you with a data loader: a custom PyTorch Dataset class-**voc.py**, specifically designed for this dataset. Please familiarize yourself with the code and read the comments.

The following are the implementation steps to follow.

### 1. Evaluation metrics

Before we discuss the neural network details, we must clarify how you will accurately and transparently evaluate your model's performance. Here are some of the essential metrics for this:

- (a) Pixel Accuracy:  $\text{percent correct predictions} = \frac{\text{total correct predictions}}{\text{total number of samples}}$  The issue with this measure is called *class imbalance*. When our classes are extremely imbalanced, it means that a class or some classes dominate the image, while some other classes make up only a small portion of the image. This means the network can reduce the error considerably just

by labeling everything with the majority class. Unfortunately, class imbalance is prevalent in many real world datasets, so it can't be ignored. Therefore, there are alternative metrics that are better at dealing with this issue.

- (b) Intersection-Over-Union (IoU, Jaccard Index): Simply put, the IoU is the area of overlap between the predicted segmentation and the ground truth divided by the area of union between the predicted segmentation and the ground truth. It is computed on a per-class basis, and then these are averaged over the classes. It is measured as,  $\text{IoU} = \frac{TP}{TP+FP+FN}$ , where TP, FP, and FN are the numbers of true positive, false positive, and false negative pixels, respectively, determined over the whole validation set. See [this blog](#) for an example of the difference between pixel accuracy and IoU. For a particular class, let's say car, TP is the number of pixels for which the ground truth is car and the network labels them as car. FP is the count of pixels that the network labeled as car, but weren't cars. FN is the number of pixels that the network should have labeled car, but didn't.

Complete the implementation of these functions in the **utils.py** file provided. A detailed explanation of the class label ids and their mapping is provided in **voc.py**.

For each experiment below, Please report the following:

- (a) Average Pixel Accuracy; Consider the object boundary as part of background (make the pixels with value 255 in the target to zero (label for background))
- (b) Average IoU; Consider the object boundary as part of background (make the pixels with value 255 in the target to zero (label for background))

## 2. Dataset loading

- (a) Download the dataset using the starter code - **download.py** (Run on terminal using `python download.py` and make sure you are in the right directory. => it should create a data folder in root directory.
- (b) **voc.py** contains custom PyTorch Dataset class which is already completed for you. Here, we have also provide a necessary image transformation for this assignment: resizing every image to  $224 \times 224$ . However, you will need to write code for any other data pre-processing or transformations you want to use (such as normalization, cropping, horizontal flipping, etc.). For list of available transformation, have a look at PyTorch transformations [here](#). (**Note:** For some transformations (such as cropping/flipping/mirroring), you have to make sure the same transformation is applied to both image and its label).
- (c) Dataloader in **train.py** uses the dataset object and iterates over your dataset while training.

- 3. **Baseline Model** An outline for creating the model is provided in **basic\_fcn.py** for the purposes of (i) getting acquainted with PyTorch, (ii) getting initial results to compare with more complex architectures and approaches to solving this semantic segmentation problem. The baseline architecture contains an encoder and a decoder structure. Using the starter code provided, complete the implementation for the architecture as described in the comments, replacing the instances of `__` with its corresponding missing value. This includes finishing the `__init__()` and `forward()` functions.

To run the **basic\_fcn.py** model, we have additionally provided a **train.py**, which you will have to complete to train your model. Based on your reasoning for determining the activation function for the output layer of the network, determine which loss criterion you should be optimizing - this may be something you are already familiar with (note that PyTorch loss



criteria can be found in the **torch.nn** package). Additionally, use the Adam/AdamW gradient descent optimizer, which can be found in the **torch.optim** package. Use early stopping to train the baseline model. A naive implementation of this model should reach average pixel accuracy of 0.65 and average IoU of 0.05 approximately on the validation dataset.

Please note that this is a very bare-bones implementation which might not perform very well. As such, this architecture may have ‘good’ overall classification accuracy, but fail to address the class-imbalance problem.

4. **Improving baseline model:** The baseline model we trained above is a basic implementation which can be improved.
  - (a) It is usually a good idea to adjust your learning rate with the number of epochs during training. Try using the [cosine annealing learning rate scheduler](#) and see if it helps improve the performance.
  - (b) Augment your dataset by applying transformations to the input images: this can include using mirror flips, rotating the images slightly, or different crops. Make sure to apply the same transformations to the corresponding labels!
  - (c) Address the rare class or imbalanced class problem. This is often done by pressuring the network to categorize the infrequently seen classes. You can use weighted loss, which weights infrequent classes more. You must implement your own weighted/balanced loss criterion.
5. **Experimentation and your solution:** To get a better sense of how your design choices affect model performance, we encourage you to experiment with various approaches to solving this semantic segmentation problem using a deep CNN. You are welcome to make a copy of the **basic\_fcn.py** file and **train.py** for this purpose. Use all techniques to improve the model performance and handle the problem of class imbalance.
  - (a) Try and come up with an architecture of your own - this includes making significant changes in the number of layers, activation functions, dimensions of the convolutional filters, etc. Simply adding an additional layer is not sufficient! You can take inspiration from already existing models and try to combine their strengths.
  - (b) Try Transfer Learning with any of the architectures present in the Pytorch Library to replace the encoder part of the given FCN architecture. Does this improve the performance? Why do you think you observe the changes that you do? (NOTE: You might have to make necessary changes to use existing architecture. For example, if you want to use ResNet34 pretrained on Imagenet, you can remove the last fully connected layer and the avgpool layer before it to match the dimension for your decoder.)
  - (c) Refer to the U-Net architecture in [this](#) paper and implement the same for the given dataset. Note that this architecture is very similar to the FCN architecture provided with some important changes.

## What to include in your report

In addition to learning very useful and applicable skills in deep learning and computer vision, this portion of the assignment will help you learn to write a scientific report. Please include an abstract and the following 7 sections:

1. **Title:** (1 pt) A meaningful title for your report.

2. **Abstract:** (1 pt)

The Abstract should serve as  $\sim$  one paragraph synopsis of the work in your report, including the task (e.g. semantic segmentation dataset X), how you approached it, and a quick overview of your results. Please mention any key findings, interesting insights, and final results (i.e., percent correct, Mean IoU, not loss numbers)

3. **Introduction:** (2 pt)

The Introduction should describe the problem statement or task, why it's important, and any necessary background your audience may need to know. Keep in mind that since we're using [Xavier weight initialization](#) and batch normalization, you should understand the basic mathematics behind these concepts at a minimum, how they affect your network parameters, and why they're useful. As such, these should be discussed in either the Introduction or Methods sections.

4. **Related Work:** (2 pt)

The Related Work section should review any work you used to inspire your approach - this includes previous research in the specific problem you address, as well as any core ideas you build upon. You should include citations in standard reference format with this itemized in a References section at the end of the report. This is where using LaTeX and BibTex can come in very handy.

5. **Methods:** (20 pt)

In the Methods section, you should describe the implementation and architectural details of your system - in particular, this addresses how you approached the problem and the design of your solution. For those who believe in reproducible science, this should be fine-grained enough such that somebody could implement your model/algorithm and reproduce the results you claim to achieve.

- (a) **Baseline (5 pt):** You should describe the baseline architecture, stating the appropriate activation function on the last layer of the network, the loss criterion, weights initialization scheme and the gradient descent optimizer you used.
- (b) **Improvements over Baseline (5 pt):** You should describe the approaches you took to improve over the baseline model.
- (c) **Experimentation (10 pt):** Describe your two experimental CNN architectures (parts 5.a and 5.b) and the U-Net, each in a table, which the first column indicate the particular layer of your network, and the other columns state the layer's dimensions (e.g. in-channels, out-channels, kernel-size, padding/stride) and activation function/non-linearity. Describe any regularization techniques (e.g. data augmentation) you used, parameter initialization methods, gradient descent optimization, and how you addressed the class-imbalance problem .

6. **Results** (20 pt)

In the Results section, you should demonstrate your baseline model's performance, performances for each of the parts of Q4.b and Q4.c. You should include both of the performance metrics described in Evaluation metrics (see part 1 above in Implementation Instructions). Please organize these results into a series of concise tables. The formatting is your choice, as long as it is easily interpretable.

For each architecture include:

- (a) A single plot showing both training and validation loss curves;

- (b) Validation set pixel accuracy and average IoU.
- (c) Visualizations of the segmented output for any one image in the test set along with the original image. Use the color coding mapping in the **voc.py** for this (Please refer to visualize.ipynb for help on plotting).

## 7. Discussion (20 pt)

The Discussion section should again have 3 sub-sections for Q3, Q4 and Q5. In each section you should discuss the benefits (and drawbacks if any) of the approaches/architectures you used and some discussion of why you think you got the results you got.

Please discuss the following important points as well: How did the performance of your implementations differ? Discuss the performance differences with respect to the baseline (part 3), improved baseline (part 4) and compare the other implementations (part 5a, 5b, 5c) and mention them in their respective sub-sections. Also, draw insights from the plotted loss curves, tables and the visualizations.

## 8. Authors' Contributions and References (Points to be subtracted if sufficient contribution missing)

Each group member must write a small paragraph describing their contributions to the project. Do not forget to cite your references! You should not include any references that aren't cited somewhere in the paper.

## UCSD GPU cluster

UCSD provides access to its GPU cluster through [UCSD Datahub](#). You are free to use any other platforms but we won't be responsible for any glitches, bugs or delays in them.

(NOTE: The steps here might be outdated as the quarter proceeds. We advise you to check Piazza and their official website for any changes in the steps.)

Steps to access UCSD datahub are as follows.

### 1. Method 1

- (a) Log into [UCSD Datahub](#) using Single Sign On method.
- (b) There will be two notebooks visible for the class. Choose the one that allocates you GPU.
- (c) Once the notebook is spawned, A JupyterHub Dashboard will appear.

### 2. Method 2

- (a) ssh into dsmlp-login.ucsd.edu using *ssh username@dsmlp-login.ucsd.edu*. Before that, you will need to connect through VPN if you are not connected to on campus network.
- (b) Use *launch-scipy-ml-gpu.sh* to launch a Jupyter Notebook with GPU access.
- (c) More indepth detail available [here](#).

More details on using Datahub is provided on this [link](#)

**Start early on the PA.** Datahub GPUs might get over utilized towards the end of PA and you may not be able to get access to GPU when you need it. Happy Coding !! :)