

## Chapter 13. Symmetry Groups

### Groups

#### Definitions:

A **group** is a set  $G$  with an operation  $\circ$  that is closed and associative, has an identity  $e$ , and every element  $g$  has an inverse  $g^{-1}$  such that  $g \circ g^{-1} = e = g^{-1} \circ g$ .

A group  $G$  is **Abelian** if it is commutative:  $g \circ h = h \circ g$  for all  $g, h$  in  $G$ .

A **coset** of  $G$  is a set  $Gh = \{gh : g \in G\}$ , where  $h \in G$ .

A **subgroup** is a subset of  $G$  that is a group under  $\circ$ .

A **normal subgroup** is a subgroup  $H$  that satisfies  $g \circ H = H \circ g$  for all  $g$  in  $G$ , or equivalently  $H = g^{-1} \circ H \circ g$ .

A group is **simple** if it contains no non-trivial normal subgroup.

The simple groups are the fundamental “building blocks” of more complex groups.

**Theorem.** There are precisely 4 **classical** and 5 **exceptional** simple Lie groups.

- Classical Families:  $A_m, B_m, C_m, D_m$  having dimensions  $m(m+2), m(2m+1), m(2m+1)$ , and  $m(2m-1)$ , respectively where  $m \in \mathbb{Z}^+$ .
- Exceptional Groups:  $E_6, E_7, E_8, F_4, G_2$  of dimension 78, 133, 248, 52, and 14 respectively

**Theorem.** The simple finite groups have been classified into classical and exceptional groups. The largest exceptional group has  $\approx 10^{60}$  elements and is known as **the monster**.

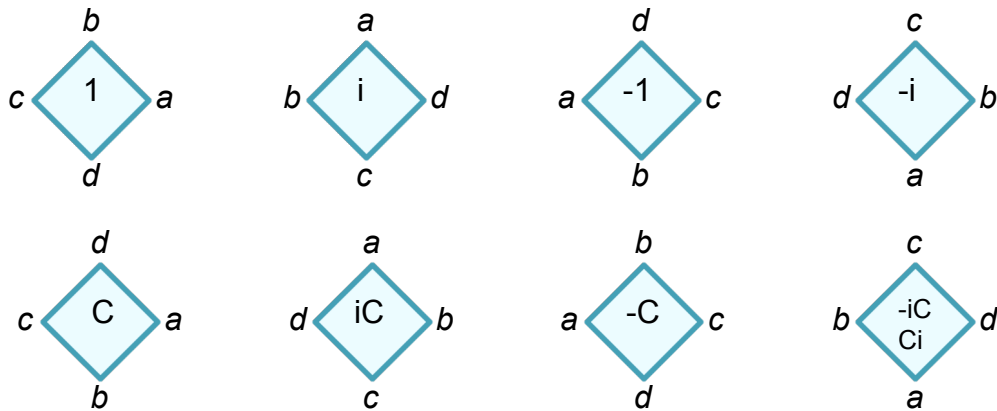
**Definition.** The **Product Group** of groups  $G$  and  $H$  is  $\mathbf{G \times H} = \{(g, h) : g \in G, h \in H\}$  with group operation  $(g_1, h_1) \circ (g_2, h_2) = (g_1 \circ g_2, h_1 \circ h_2)$ .

**Definition.** Let  $N$  be a subgroup of  $G$ . The **Factor Space**  $\mathbf{G/N}$  is the collection of cosets  $G \circ n$  along with the operation  $(G \circ n_1) \circ (G \circ n_2) = G \circ (n_1 \circ n_2)$ .

**Theorem.** If  $N$  is normal then  $G/N$  is a group, called the **Factor Group**.

**Theorem.** (Problem [13.10])  $H \cong G \times H/G$ .

## Symmetries of a Square



### Definitions:

**Non-reflecting Group:**  $\langle i \rangle = \{1, i, -1, -i\}$

**Reflecting Group:**  $\langle i, C \rangle = \{1, i, -1, -i, C, iC, -C, -iC = Ci\}$

**C** is complex conjugation:  $a + bi \mapsto a - bi$ . **1** is the null rotation, which is the group identity element. **i** is the 90° counter-clockwise rotation of the square

**Convention:**  $ab$  means  $b$  acts first.

A subgroup of a symmetry group is called a **reduced symmetry group**.

### Examples:

Normal subgroups of  $\langle i, C \rangle$ :

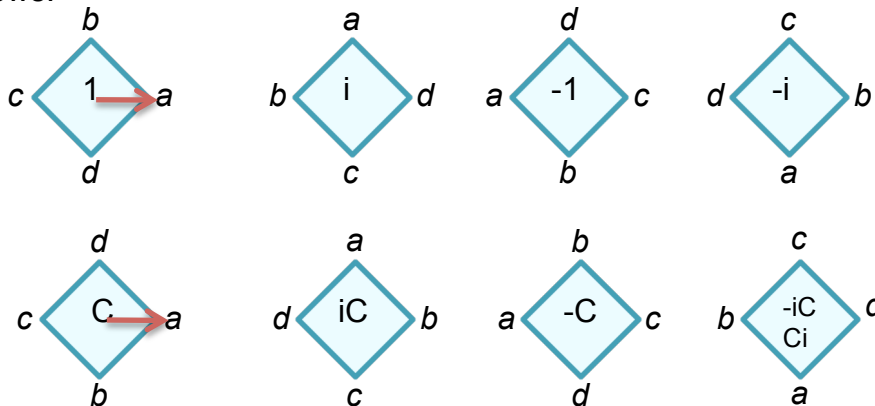
$\{1, -1, C, -C\}$ ,  $\{1, -1\}$ ,  $\{1, -i\}$

Non-normal subgroups of  $\langle i, C \rangle$ :

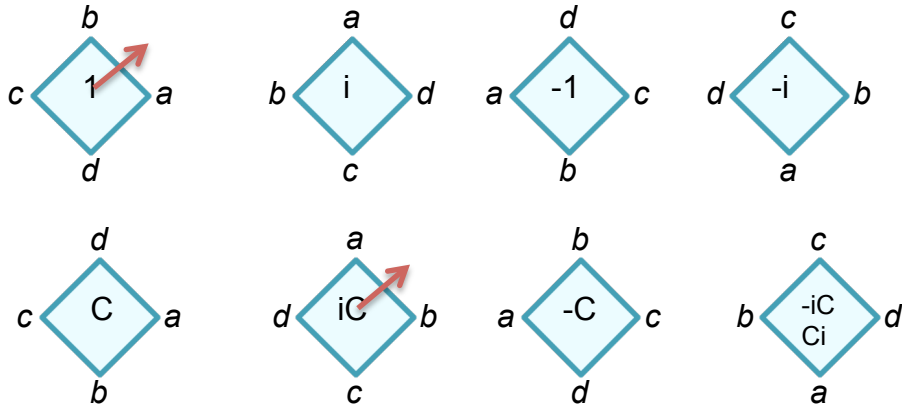
$\{1, -C\}$ ,  $\{1, iC\}$ ,  $\{1, C\}$

For example,  $\{1, C\}i = \{i, Ci\} \neq \{i, -Ci\} = i\{1, C\}$

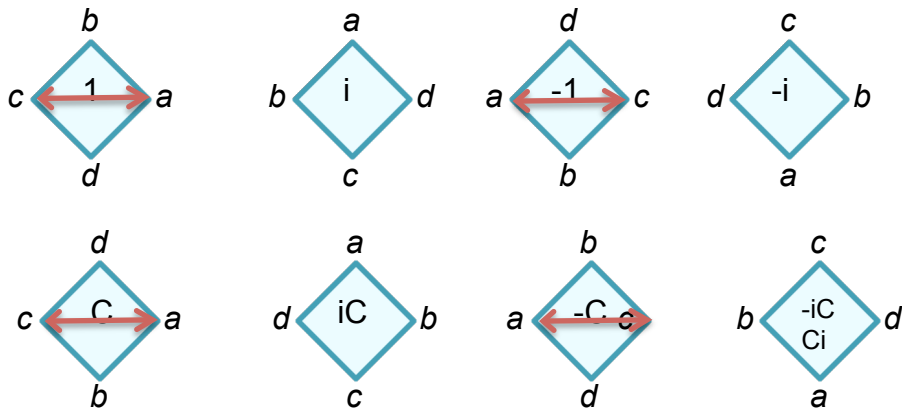
**Example [13.6]:** Reduced symmetry groups can be generated using one or more arrows.



$\{1, C\}$  is a reduced symmetry group



$\{1, iC\}$  is a reduced symmetry group



$\{1, -1, C, -C\}$  is a reduced symmetry group

## Symmetries of a Sphere

### Definitions:

A group  $G$  whose underlying set is continuous is called a **Lie Group**.

**SO(3)** is the group of non-reflective symmetries of a 3-sphere

**O(3)** is the **Orthogonal Group**. It consists of both the reflective and non-reflective symmetries of a sphere.

$O(3) = SO(3) \cup T$ , the disjoint union of  $O(3)$  with the coset of reflective symmetries

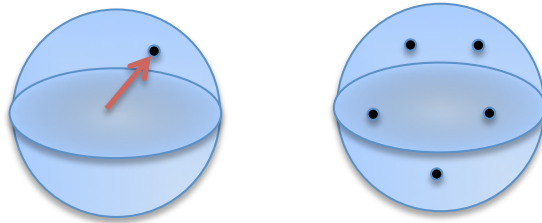
$T = R SO(3) = \{Rg : g \in SO(3)\}$  where  $R$  is the reflection operator on the sphere.

Recall problem [12.7]:  $SO(3)$  is group isomorphic to the solid sphere  $\mathcal{R}$  of radius  $\pi$  with antipodal points identified.

**Theorem.** (Problem [13.7])  $SO(3)$  and  $\{1, R\}$  are the only normal subgroups of  $O(3)$ , where **1** is the null rotation. (Penrose overlooked that the latter group is normal.)

**Examples.** Reduced Symmetry Groups

The set of rotations that fix a point on the sphere forms a non-normal subgroup. It is the set of rotations having the arrow as its axis.



Marking the sphere with vertices of a regular polyhedra reduces to the finite group of rotations of the sphere that take each vertex to one of the others. Such reduced symmetry groups are non-normal.

## Linear Transformations and Matrices

**Definition.** Let  $V$  and  $W$  be vector spaces.

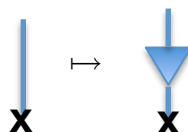
- $f: V \rightarrow W$  is a **homomorphism** if it preserves the vector space structure:
  - $f(au + bv) = af(u) + bf(v)$  for all vectors  $u$  and  $v$  and scalars  $a$  and  $b$ .
- $\text{Hom}(V, W)$  is the set of homomorphisms from  $V$  to  $W$ .
- $\mathcal{A}(V) = \text{Hom}(V, V)$ .
- A **linear transformation** is a member  $T \in \mathcal{A}(V)$ .
  - That is, a linear transformation is a function  $T: V \rightarrow V$  such that  $T(au + bv) = aTu + bTv$ .

**Theorem.** [13.12, 13.13] Let  $V = \mathbb{R}^3$ , using  $(x^1, x^2, x^3)$  instead of  $(x, y, z)$ . Then a linear transformation  $T$  takes the form  $T: x^r \mapsto T^r_s x^s = ax^1 + bx^2 + cx^3$ .



**Note.** Linear transformations are represented by matrices:

$$T: \begin{pmatrix} x^1 \\ x^2 \\ x^3 \end{pmatrix} \mapsto \begin{pmatrix} T^1_1 & T^1_2 & T^1_3 \\ T^2_1 & T^2_2 & T^2_3 \\ T^3_1 & T^3_2 & T^3_3 \end{pmatrix} \begin{pmatrix} x^1 \\ x^2 \\ x^3 \end{pmatrix} \quad \text{or} \quad x \mapsto Tx$$

In diagrammatic form this is



on:

$R =$    $=$    $= ST$

Diagrammatic equation for the propagator:

$$\text{wavy line with arrow} = \text{straight line with arrow} = \text{wavy line with arrow and dot}$$

**Definitions.** A linear transformation  $T$  is **singular** if  $\dim(TV) < \dim W$ ; that is,  $T$  is not *onto*.

$$\text{Proof: } T \text{ is 1-1} \Leftrightarrow \forall v \neq w \quad T(v-w) = T(v) - T(w) \neq 0 \stackrel{(*)}{\Leftrightarrow} \forall u \neq 0 \quad T(u) \neq 0$$

(\*) Set  $v = 3u$  and  $w = 2u$ .

**Theorem.** [13.19]  $T^{-1} = \left( \begin{array}{c} \downarrow \\ \text{---} \end{array} \right)^{-1} = \overbrace{\begin{array}{c} \text{---} \\ \downarrow \downarrow \dots \downarrow \\ \text{---} \end{array}}^n$

**Definition.** A matrix  $T$  is **orthogonal** if  $T^{-1} = T^T$ .

## Determinants and Traces

**Definition.**  $\text{Det } T = \frac{1}{n!} \begin{array}{c} \text{---} \\ \downarrow \downarrow \dots \downarrow \\ \text{---} \end{array} = \frac{1}{n!} \epsilon^{ab\dots d} T^e_a T^f_b \dots T^h_d \epsilon_{ef\dots h}.$

**Theorem.** [Bud]  $\begin{array}{c} \text{---} \\ \downarrow \downarrow \dots \downarrow \\ \text{---} \end{array} = \begin{array}{c} \text{---} \\ \downarrow \downarrow \dots \downarrow \\ \text{---} \end{array} = n! \begin{array}{c} \text{---} \\ \downarrow \downarrow \dots \downarrow \\ \text{---} \end{array}$

Proof: Let  $P_{a\dots g}$  be the set of permutations of  $(a, \dots, g)$ . Then

$$\begin{aligned} \begin{array}{c} \text{---} \\ \downarrow \downarrow \dots \downarrow \\ \text{---} \end{array} &= n! \epsilon_{a\dots g} \epsilon^{r\dots x} T^a_r \dots T^g_x \\ &= \frac{n!}{n!} \epsilon_{a\dots g} \epsilon^{r\dots x} \sum_{\pi \in \mathcal{P}_{ab\dots g}} \text{Sign}(\pi) T^{\pi(a)}_r \dots T^{\pi(g)}_x \stackrel{(*)}{=} n! \epsilon_{a\dots g} T^a_r \dots T^g_x \epsilon^{r\dots x} \\ &= n! \begin{array}{c} \text{---} \\ \downarrow \downarrow \dots \downarrow \\ \text{---} \end{array} \end{aligned}$$

(\*)  $\pi$  is the composition of transmutations (i.e., of pairwise permutations).

Let  $\pi^*: \begin{array}{l} c \mapsto e \\ e \mapsto c \end{array}$  be a transmutation. Then

$$\begin{aligned} \epsilon_{a\dots c\dots e\dots g} \epsilon^{r\dots t\dots v\dots x} \text{Sign}(\pi^*) T^{\pi(a)}_r \dots T^{\pi(c)}_t \dots T^{\pi(e)}_v \dots T^{\pi(g)}_x \\ &= \epsilon_{a\dots c\dots e\dots g} \epsilon^{r\dots t\dots v\dots x} \text{Sign}(\pi^*) T^a_r \dots T^e_t \dots T^c_v \dots T^g_x \\ &= \epsilon_{a\dots e\dots c\dots g} \epsilon^{r\dots t\dots v\dots x} \text{Sign}(\pi^*) T^a_r \dots T^c_t \dots T^e_v \dots T^g_x \text{ (Rename } c \mapsto e \text{ \& } e \mapsto c) \\ &= \text{Sign}(\pi^*) \epsilon_{a\dots c\dots e\dots g} \epsilon^{r\dots t\dots v\dots x} \text{Sign}(\pi^*) T^a_r \dots T^c_t \dots T^e_v \dots T^g_x \\ &= \epsilon_{a\dots g} T^a_r \dots T^g_x \epsilon^{r\dots x}. \end{aligned}$$

So, for any permutation  $\pi$ , we have

$$\epsilon_{a\dots g} \epsilon^{r\dots x} \text{Sign}(\pi) T^{\pi(a)}_r \dots T^{\pi(g)}_x = \epsilon_{a\dots g} T^a_r \dots T^g_x \epsilon^{r\dots x} \quad \blacksquare$$

**Theorem.** [13.22]

$$\text{Det } AB = \frac{1}{n!} = \left(\frac{1}{n!}\right)^2 = \left(\frac{1}{n!}\right)^2$$

$$= \text{Det } A \text{ Det } B$$

**Theorem.** (p.260 – no proof given) Matrix A is singular iff  $\text{Det } A = 0$ .

Proof: From [13.19], A is non-singular iff  $\text{Det } A \neq 0$ . ■

**Definition.** Vectors  $v$  and  $w$  are **orthogonal** if  $v \cdot w = 0$ . That is, the angle between them is  $90^\circ$ .

**Theorem.** A matrix is orthogonal (i.e.,  $T^T = T^{-1}$ ) iff its column vectors are mutually orthogonal.

**Example.** Orthogonal 2 x 2 Matrices: A and B

$$\text{Let } A = \begin{pmatrix} v & w \end{pmatrix} = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix}$$

$$A^T = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}$$

$$A^T = A^{-1} :$$

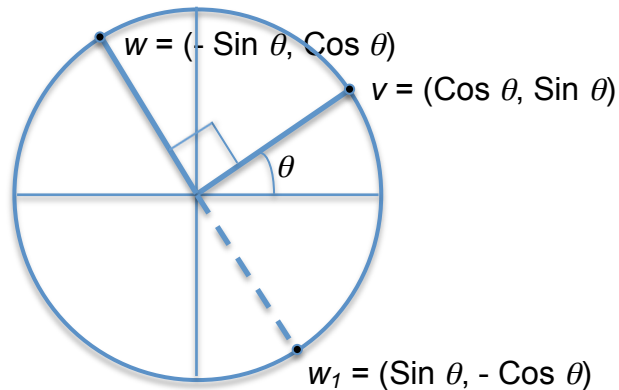
$$A A^T = \begin{pmatrix} \sin^2 \theta + \cos^2 \theta & 0 \\ 0 & \sin^2 \theta + \cos^2 \theta \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = I \quad \checkmark$$

$$\text{Similarly } A^T A = I \quad \checkmark$$

So A is an orthogonal matrix ✓

$$\text{Det } A = \text{Det } A^T = \cos^2 \theta + \sin^2 \theta = 1 \quad \checkmark$$

The column vectors of A are orthogonal:  $v \perp w$  ✓

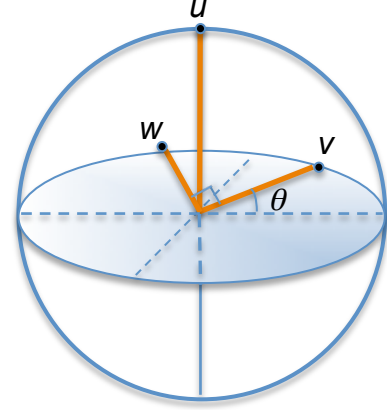


Let  $B = \begin{pmatrix} \cos \theta & \sin \theta \\ \sin \theta & -\cos \theta \end{pmatrix} = B^T$ . Then  $B B^T = I$ ,  $\det B = \det B^T = -1$ , and its column vectors  $v$  and  $w_1$  are orthogonal.

**Examples.** Orthogonal 3 x 3 Matrices: A, B, and C

$$\text{Let } v = \begin{pmatrix} \cos \theta \\ \sin \theta \\ 0 \end{pmatrix}, w = \begin{pmatrix} -\sin \theta \\ \cos \theta \\ 0 \end{pmatrix}, \text{ and } u = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}.$$

$$\text{Let } A = \begin{pmatrix} v & w & u \end{pmatrix} = \begin{pmatrix} \cos \theta & -\sin \theta & 0 \\ \sin \theta & \cos \theta & 0 \\ 0 & 0 & 1 \end{pmatrix}.$$



$$A^T = \begin{pmatrix} \cos \theta & \sin \theta & 0 \\ -\sin \theta & \cos \theta & 0 \\ 0 & 0 & 1 \end{pmatrix}. A \text{ is orthogonal, its columns are orthogonal vectors,}$$

and its determinant is +1. ✓

$$\text{Let } B = \begin{pmatrix} \cos \theta & -\sin \theta & 0 \\ \sin \theta & \cos \theta & 0 \\ 0 & 0 & -1 \end{pmatrix}. B \text{ is orthogonal and its determinant is } -1. \checkmark$$

Let C be a  $\theta$ -rotation of A about an axis  $\{t(a, b, c) : 0 < t < \infty, a^2 + b^2 + c^2 = 1\}$ :

$$C = \begin{pmatrix} \frac{1}{2}[1 + a^2 - b^2 - c^2 + (1 - a^2 + b^2 + c^2)\cos \theta] & 2\sin \frac{\theta}{2} \left( -c \cos \frac{\theta}{2} + ab \sin \frac{\theta}{2} \right) & 2\sin \frac{\theta}{2} \left( b \cos \frac{\theta}{2} + ac \sin \frac{\theta}{2} \right) \\ 2\sin \frac{\theta}{2} \left( c \cos \frac{\theta}{2} + ab \sin \frac{\theta}{2} \right) & \frac{1}{2}[1 - a^2 + b^2 - c^2 + (1 + a^2 - b^2 + c^2)\cos \theta] & 2\sin \frac{\theta}{2} \left( -a \cos \frac{\theta}{2} + bc \sin \frac{\theta}{2} \right) \\ 2\sin \frac{\theta}{2} \left( -b \cos \frac{\theta}{2} + ac \sin \frac{\theta}{2} \right) & 2\sin \frac{\theta}{2} \left( a \cos \frac{\theta}{2} + bc \sin \frac{\theta}{2} \right) & \frac{1}{2}[1 - a^2 - b^2 + c^2 + (1 + a^2 + b^2 - c^2)\cos \theta] \end{pmatrix}$$

It can be directly verified that C is an orthogonal matrix with mutually orthogonal column vectors and determinant +1. ✓

**Definition.** A **symmetry** of a vector space  $(V, +)$  is a transformation  $T : V \mapsto V$  that is 1-1 and onto that preserves the vector space structure:

$$T(a v + b w) = a T v + b T w$$

**Definition.** The **General Linear Group**  $GL(n)$  is the group of symmetries of an  $n$ -dimensional vector space.



**Theorem.**  $GL(n)$  is the group of non-singular ( $n \times n$ ) matrices.

Proof. Let  $T \in GL(n)$ . Since  $T(av + bw) = aTv + bTw$ ,  $T$  is a linear transformation. Were  $T$  singular, then by [13.17]  $\dim TV < n \Rightarrow T$  is not onto, a contradiction. Therefore  $T$  is a non-singular linear transformation. Thus in any basis,  $T$  is represented by a non-singular matrix. ■

**Definition.** The **Special Linear Group  $SL(n)$**  is the subset of  $GL(n)$  having determinant = 1.

**Theorem.**  $SL(n)$  is a normal subgroup of  $GL(n)$ .

Proof. First,  $SL(n)$  is a **group**:

**Closed:** If  $S_1, S_2 \in SL(n)$ , then  $\det(S_1 S_2) = \det(S_1) \det(S_2) = 1$   
 $\Rightarrow S_1 S_2 \in SL(n)$ .

**Identity:**  $\det(I) = 1 \Rightarrow I \in SL(n)$

**Inverse:**  $1 = \det(I) = \det(S_1 S_1^{-1}) = \det(S_1) \det(S_1^{-1}) = \det(S_1^{-1})$   
 $\Rightarrow S_1^{-1} \in SL(n)$

Also,  $SL(n)$  is **normal**:

Let  $S \in SL(n)$  and  $G \in GL(n)$ . Then

$$\begin{aligned} \det(G^{-1} S G) &= \det(G^{-1}) \det(S) \det(G) = \det(G^{-1}) \det(G) \\ &= \det(G G^{-1}) = \det(I) = 1 \end{aligned}$$

$$\Rightarrow G^{-1} S G \in SL(n) \Rightarrow G^{-1} SL(n) G = SL(n) \quad \blacksquare$$

The groundwork has now been laid to introduce the table, below, that shows the relationships between  $GL(3)$ ,  $O(3)$ ,  $SL(3)$ , general linear transformations, orthogonality, determinants, and symmetries. The table shows that  $SL(3) \subseteq O(3) \subseteq GL(3) \subseteq \mathcal{A}(\mathbb{R}^3)$ , and  $GL(3)$  is both the set of symmetries of  $\mathbb{R}^3$  and the set of non-singular matrices. It also shows that the orthogonal group  $O(3)$  is a proper subset of the set of orthogonal matrices (shaded blue).

In general, non-singular matrices squeeze and stretch the unit sphere (or the reflected sphere) into an ellipsoid. However, singular matrices are more severe. They squash the unit sphere down to a 2-dimensional circle or ellipse or even to a line or a point.

Only orthogonal matrices preserve the sphere without squeezing or stretching any portion of it. This is achieved by limiting its operation to rotations and reflections. However, if determinant  $\neq \pm 1$  then orthogonal matrices also expand or contract the sphere.

Non-orthogonal matrices also squeeze, stretch, or preserve the sphere but not as rotations. Rather, the matrix columns would contain non-orthogonal vectors. In such a case the angle between the 1<sup>st</sup> and 2<sup>nd</sup> column vectors might be less than

### $A(\mathbb{R}^3) = 3 \times 3$ Matrices

Determinant	Orthogonal	Sphere maps to a ...	Matrix Type
0	Yes	Circle or line or point	Singular
	No	Ellipse or line or point	
Between -1 and 0	Yes	Contracted reflected sphere	$GL(3)$ Non-singular Symmetries of $\mathbb{R}^3$
	No	Contracted reflected ellipsoid	
Between 0 and +1	Yes	Contracted sphere	
	No	Contracted ellipsoid	
-1	Yes	Reflected sphere	
	No	Reflected ellipsoid	
+1	Yes	$SL(3) =$ sphere	
	No	Ellipsoid	
< -1	Yes	Expanded reflected sphere	
	No	Expanded reflected ellipsoid	
> 1	Yes	Expanded sphere	
	No	Expanded ellipsoid	

90°, squeezing the sphere along associated plane. The angle between the 2<sup>nd</sup> and 3<sup>rd</sup> vectors would then be greater than 90°, stretching the sphere along that plane.

Matrices with positive determinant act on the sphere. Matrices with negative determinant behave exactly the same but act on the reflected sphere.

**Definition.** The **Trace** of A is  $\text{Tr}(A) = \text{Tr} \nabla = T^k_k = T^1_1 + \dots + T^n_n$ .

Theorem: [Bud]

$$\text{Tr} \nabla = \frac{1}{(n-1)!} \begin{array}{c} a \quad b \quad c \\ \nabla \quad \dots \quad \dots \\ r \quad s \quad t \end{array} = \frac{1}{(n-1)!} \begin{array}{c} \dots \quad \dots \quad \dots \\ \nabla \quad \dots \quad \dots \end{array} = \dots$$

$$= \frac{1}{(n-1)!} \begin{array}{c} \dots \quad \dots \quad \dots \\ \dots \quad \dots \quad \nabla \end{array}$$

Proof: Let  $\mathcal{P}_{ab\dots c}$  and  $\mathcal{P}_{rs\dots t}$  be the sets of permutations of  $(a,b,\dots,c)$  and  $(r,s,\dots,t)$ ,

respectively. Let  $B =$

$$\begin{array}{c} a \quad b \quad c \\ \nabla \quad \dots \quad \dots \\ r \quad s \quad t \end{array}$$

$$= \epsilon^{rs \dots t} \epsilon_{ab \dots c} T^a_r \delta^b_s \dots \delta^c_t = \sum_{\pi \in \mathcal{P}_{ab \dots c}} \sum_{\pi' \in \mathcal{P}_{rs \dots t}} \epsilon^{\pi'(r)\pi'(s) \dots \pi'(t)} \epsilon_{\pi(a)\pi(b) \dots \pi(c)} T^{\pi(a)}_{\pi'(r)} \delta^{\pi(b)}_{\pi'(s)} \dots \delta^{\pi(c)}_{\pi'(t)}.$$

Fix  $\pi$ . The only non-zero term in the sum is

$$\epsilon^{\pi(a)\pi(b) \dots \pi(c)} \epsilon_{\pi(a)\pi(b) \dots \pi(c)} T^{\pi(a)}_{\pi(a)} \delta^{\pi(b)}_{\pi(b)} \dots \delta^{\pi(c)}_{\pi(c)} = T^{\pi(a)}_{\pi(a)}.$$

I showed in Problem [13.22] that  $\epsilon^{xy \dots z} \epsilon_{xy \dots z} = 1$  for any fixed  $(x, y, \dots, z)$ .

Thus,  $B = \sum_{\pi \in \mathcal{P}_{ab \dots c}} T^{\pi(a)}_{\pi(a)}$ . This sum has  $n!$  terms composed of  $(n-1)!$  terms equal to  $T^a_a$ ,  $(n-1)!$  terms equal to  $T^b_b$ , ..., and  $(n-1)!$  terms equal to  $T^c_c$ . So,

$$B = (n-1)! (T^a_a + T^b_b + \dots + T^c_c) = (n-1)! \text{Tr}(A) = (n-1)! \text{Tr}(A)$$

Similarly for the other figures. ■

**Theorem.** [13.24]  $\text{Det}(I + \epsilon A) = 1 + \epsilon \text{Tr}(A)$  if we ignore 2<sup>nd</sup> order and higher  $\epsilon$  terms.

**Theorem.** [13.25]  $\text{Det } e^A = e^{\text{Tr}(A)}$ .

**Definition.** An **Eigenvector** is a non-zero vector  $v$  for which  $\exists \lambda \in \mathbb{C}$  such that  $Tv = \lambda v \Leftrightarrow (T - \lambda I)v = 0$ .  $\lambda$  is called an **Eigenvalue**.

Note:  $\text{Det}(T - \lambda I) = 0$  and so  $(T - \lambda I)$  is singular

**Theorem.** [13.26]  $\text{Det}(T - \lambda I) = (\lambda_1 - \lambda) \dots (\lambda_n - \lambda) = 0$  is a polynomial equation of degree  $n$ .

**Definition.**  $\lambda$  has **multiplicity**  $r$  means that  $\lambda$  appears  $r$  times in the equation above. Eigenvalue multiplicities are called **degeneracies** in Quantum Mechanics.

**Definition.** The set of Eigenvectors corresponding to  $\lambda$  is a linear space called an **Eigenspace**.

**Theorem.** If  $d$  is the dimension of the Eigenspace of  $\lambda$  and  $r$  is the multiplicity of  $\lambda$  then  $1 \leq d \leq r$ .

**Theorem.** [13.27] Let  $\{\lambda_i\}$  be the set of Eigenvalues of an  $n \times n$  matrix  $T$ , and let  $r_i$  be the multiplicity of  $\lambda_i$ . Then  $\sum r_i = n$ .

**Corollary.** A linear transformation  $T$  has at least 1 Eigenvector.

**Theorem.** [13.30] Suppose  $\{e_k\}$  and  $\{f_k\}$  are bases for a vector space  $V$ , and  $f_k = T e_k$ . Then

$$f_j = \begin{pmatrix} T^1_j \\ \vdots \\ T^n_j \end{pmatrix}.$$

That is, the components of  $f_j$  in basis  $\{e_k\}$  are  $(T^1_j, \dots, T^n_j)$ .

**Theorem.** [13.31] If the Eigenspace dimension of every multiple Eigenvector equals its multiplicity, then there is a basis for  $V$  composed of Eigenvectors, and the matrix of  $T$  in this basis is

$$T = \begin{pmatrix} \lambda_1 & & 0 \\ & \ddots & \\ 0 & & \lambda_n \end{pmatrix}.$$

The next theorem states that even when the hypothesis of the above theorem is not satisfied, the matrix of  $T$  can at least be written in upper triangular form.

**Theorem.** (Note 13.12): **Jordan Canonical Form:** Let  $\{\lambda_i\}$  be the set of Eigenvalues of an  $n \times n$  matrix  $T$ , and let  $r_i$  be the multiplicity of  $\lambda_i$ . Then there is a basis for  $V$  such that the matrix of  $T$  in this basis is

$$T = \begin{pmatrix} \begin{array}{ccc|ccc|ccc} \lambda_1 & 1 & & & & & & & \dots & 0 \\ & \lambda_1 & 1 & & & & & & \ddots & \vdots \\ & & \ddots & \ddots & & & & & & \\ & & & \ddots & \ddots & & & & & \\ & & & & \lambda_1 & 1 & & & & \\ & & & & & \lambda_1 & 0 & & & \\ \hline & & & & \lambda_2 & 1 & & & & \\ & & & & & \ddots & \ddots & & & \\ & & & & & & \ddots & \ddots & & \\ & & & & & & & \lambda_{n-1} & 1 & \\ & & & & & & & & \lambda_{n-1} & 0 \\ \hline & & & & & & & & \lambda_n & 1 \\ & & & & & & & & & \lambda_n \\ & & & & & & & & & \ddots \\ & & & & & & & & & \ddots \\ & & & & & & & & & 1 \\ & & & & & & & & & \lambda_n \\ & & & & & & & & & \end{array} & \begin{array}{ccc} 0 & & \\ & \ddots & \\ & & 0 \end{array} \end{pmatrix}.$$