CS1632, Lecture 18: Pairwise and Combinatorial Testing

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Let's Test A Word Processor

- Let's say there are ten possible font effects
 - Italic
 - Bold
 - Underline
 - Strikethrough
 - Superscript
 - Shadow
 - Embossed
 - 3-D
 - Outline
 - Inverse

These can be combined

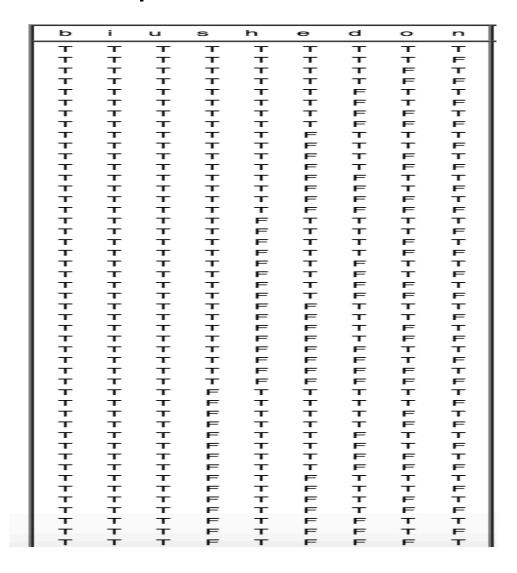
- Plain text
- Superscript
- Bold
- Italic and strikethrough
- Bold and underlined
- <u>Bold italic strikethrough shadowed superscript</u>

How many tests would you need to test all the possible font combinations?

Exhaustive Testing: 1024 Tests

- 2 choices per each variable (true or false)
- 10 variables
- All possible combinations = 2^{10}

That's quite a few tests...



But it's necessary!

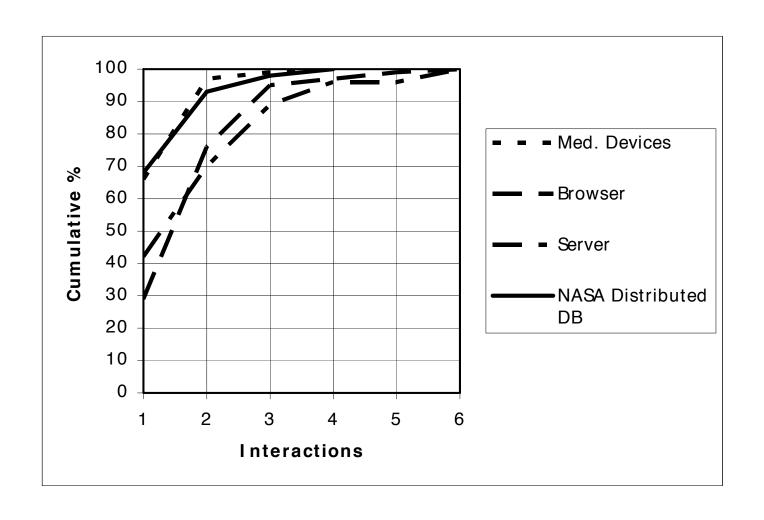
- What if a problem only occurs with shadowed, bold, italic text?
 - Doesn't occur with just shadowed text
 - Doesn't occur with just bold text
 - Doesn't occur with just italic text
 - The 3 in combination interact with each other to cause defect
- Can't test all interactions unless you test all combinations

But then how do you deal with the exponentially increasing tests?

Turns Out Other People Have Thought About This!

- The National Institute of Standards and Technology (NIST) did a survey
 - See "Practical Combinatorial Testing": http://nvlpubs.nist.gov/nistpubs/Legacy/SP/nistspecialpublication800-142.pdf
 - Study of dozens of applications in 6 domains:
 Medical devices, Web Browser, Web Server, Database, Network Security, TCAS
- Q: Do defects really occur as a result of interactions between variables?
 - If not, we can just test each of the 10 font effects individually!
- Q: If so, how many variables are typically involved in a defect?
 - If less than 10, we don't have to test all combinations of 10 font effects.
 - If 2, we can just test interactions between all pairs of font effects.

Number of Defects for Interactions 1 to 6



Same data, but with more Domains

Vars	Medical Devices	Browser	Server	NASA GSFC	Network Security	TCAS
1	66	29	42	68	17	*
2	97	76	70	93	62	53
3	99	95	89	98	87	74
4	100	97	96	100	98	89
5		99	96		100	100
6		100	100			

Table 1. Number of variables involved in triggering software failures

Takeaways from the Survey

- Defects do occur as a result of interactions between variables
 - Defects covered by just a single variable: 17 68%
- At max, just SIX variables are involved in a defect
 - For all domains, 100% defects are covered by up to 6 interactions
- Majority of defects are found just by testing all possible pairs
 - Defects covered by up to 2 interacting variables: 53 97%

Pairwise Testing

- Testing all possible pairs of interactions (a.k.a "all-pairs" testing)
 - Bold / Italic,
 - Subscript / Bold
 - Underline / Strikethrough
 - Every possible pairing of two variables
- Testing all possible interactions within a pair. E.g.:
 - Not-Bold / Not-Italic
 - Bold / Not-Italic
 - Not-Bold / Italic
 - Bold / Italic

Naïve Pairwise Testing: 180 Tests

- For our font-effects: it was 1,024 (2 ^ 10) tests to test exhaustively.
- How many tests would be required to test only pairs of interactions?
- All possible pairs of interactions: $\binom{10}{2} = \frac{10*9}{2} = 45$
- All possible combinations within a pair: 2 * 2 = 4
- So 45 * 4 = 180 tests.

Already pretty good, but we can do much better!

Pairwise Testing w/ Covering Array: 8 Tests

No.	BOLD	ITALIC	STRIKETHROUGH	UNDERLINE	THREAD	SHADOW	SUPERSCRIPT	SUBSCRIPT	EMBOSSED	ENGRAVED
1	FALSE	FALSE	TRUE	FALSE	FALSE	FALSE	TRUE	TRUE	TRUE	FALSE
2	FALSE	TRUE	FALSE	TRUE	TRUE	FALSE	FALSE	FALSE	TRUE	TRUE
3	TRUE	FALSE	FALSE	TRUE	FALSE	TRUE	FALSE	TRUE	FALSE	FALSE
4	TRUE	TRUE	TRUE	FALSE	TRUE	TRUE	FALSE	TRUE	TRUE	FALSE
5	TRUE	TRUE	FALSE	FALSE	FALSE	FALSE	TRUE	FALSE	FALSE	TRUE
6	FALSE	FALSE	TRUE	TRUE	TRUE	TRUE	TRUE	FALSE	FALSE	TRUE
7	-	-	-	-	-	-	-	FALSE	-	FALSE
8	-	-	-	-	-	-	-	TRUE	-	TRUE

- Wow, how did we reduce it to 8 tests? (from 180 tests, no less)
- Key: a single test case tests 10 font-effects at once (not just a pair)
 - Many pairs are tested at once in a single test (45 pairs to be exact)
 - Test 1: tests BOLD/ITALIC = FALSE/FALSE, ITALIC/STRIKETHROUGH = FALSE/TRUE, ...
- Above is called a covering array (will tell you how to make this soon)

What if Pairwise Testing is not Enough?

- We need to "dial up" the number of possible interactions
 - To check for any t number of interactions
- For example, check every three-way interaction (t = 3):
 - Bold / Italic / Underline
- Or four-way (t = 4)
 - Bold / Italic / Underline / Superscript
- All the way up to six-way (t = 6)
 - According to NIST survey, no need to go beyond this point

Combinatorial Testing

• This generalized testing for any t is known as "combinatorial testing"

- Combinatorial (*math*):
 - Relating to the selection of a given number of elements from a larger number
- Combinatorial (testing):
 - Relating to testing interactions between t variables from entire set of variables
- Pairwise testing is an instance of combinatorial testing where t = 2

Combinatorial Testing Example

• Let's test with t = 6 (the max required according to NIST)

- Recall that:
 - # tests required for exhaustive testing was 1,024
 - # tests required for pairwise testing (with covering array) was 8
- How many to test all six-way interactions?
 - And the answer is: 165 (with covering array)

Interesting!

- Pairwise testing (8 tests): catches 53 97% of defects
- Six-way testing (165 tests): catches ~100% of defects
- Exhaustive testing (1024 tests): catches 100% of defects

- Only when using a good covering array!
- Good covering arrays for each situation is given in: https://math.nist.gov/coveringarrays/ipof/ipof-results.html
 - These are not optimal (creating an optimal covering array is NP-Hard)
 - But they are pretty close

Cost of Testing

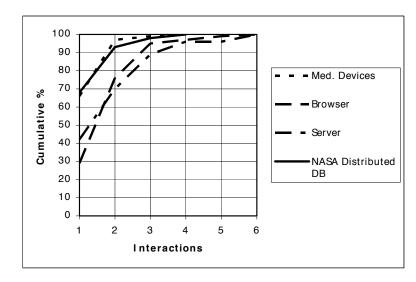
• Number of tests (cost of testing) for each t:

t-way	2	3	4	5	6
No. of Tests	8	18	41	87	165

- → Exponential increase!
- Testing Cost = $O(v^t * log k)$
 - t: number of interactions
 - *k*: number of variables
 - v: number of values a variable can take
- Let's look at each factor t, k, v in more depth

Law of Diminishing Returns on t

- O(v^t * log k): Cost increases exponentially on t
- Benefit saturates quickly as we increase t



- Cost/benefit analysis says: have a minimal t with decent coverage
 - Typically t = 2 or t = 3

But Lots of Variables: Not a Problem!

- $O(v^t * log k)$: Cost increases logarithmically on k
- If we had 20-variable system (with 4 values per variable)
 - Exhaustive testing: 1 trillion tests (approx.)
 - All 2-way interactions: 37 tests
 - All 6-way interactions: 224 K tests (approx.)
- If we had 30-variable system (with 4 values per variable)
 - Exhaustive testing: 10¹⁸ tests (approx.)
 - All 2-way interactions: 41 tests
 - All 6-way interactions: 424 K tests (approx.)
- As long as you limit t, life is not that difficult!

How about Values per Variable?

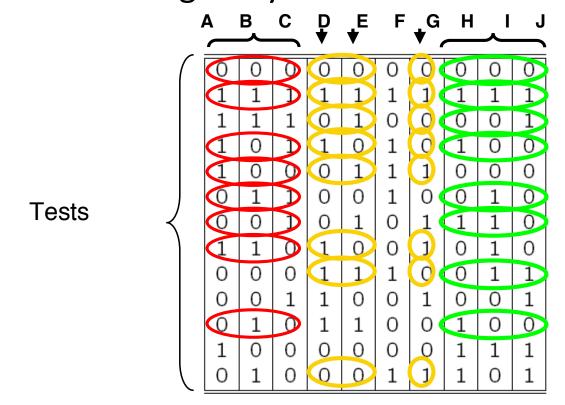
• $O(v^t * log k)$: values per variable can have a significant impact

- Depending on type of variable, v can be pretty big ...
 - For boolean variables (like out font-effects) v = 2
 - For integer variables, $v = 2^{32} = 4$ gigs!
- But we learned about equivalence classes and not testing every input
 - v = # of boundary and interior values chosen using equivalence classes

Creating Covering Arrays

Covering Arrays

- Covering array: set of test cases covering all t-way combinations
- At below is a covering array where t = 3



Steps To Make Covering Array

- Example we will use
 - Variables: Bold, Italic, Underline
 - *t* = 2 (Pairwise covering array)

1. Enumerate the set of all possible tests

No.	BOLD	ITALIC	UNDERLINE
1	FALSE	FALSE	FALSE
2	FALSE	FALSE	TRUE
3	FALSE	TRUE	FALSE
4	FALSE	TRUE	TRUE
5	TRUE	FALSE	FALSE
6	TRUE	FALSE	TRUE
7	TRUE	TRUE	FALSE
8	TRUE	TRUE	TRUE

Steps To Make Covering Array

- 2. Make a list of all *t*-way interactions for desired *t*
 - Bold / Italic
 - Bold / Underline
 - Italic / Underline
- 3. Complete "mini truth table" for each t-way interaction

No.	BOLD	ITALIC
1	FALSE	FALSE
2	FALSE	TRUE
3	TRUE	FALSE
4	TRUE	TRUE

No.	BOLD	UNDERLINE
1	FALSE	FALSE
2	FALSE	TRUE
3	TRUE	FALSE
4	TRUE	TRUE

No.	ITALIC	UNDERLINE
1	FALSE	FALSE
2	FALSE	TRUE
3	TRUE	FALSE
4	TRUE	TRUE

→ Each mini truth table must be covered by final choice of tests

Steps To Make Covering Array

- 4. Cover each *t*-way interaction mini truth table:
 - For each entry in mini truth table, add test that fulfills it

E.g.	No.	BOLD	UNDERLINE	can be fulfilled by:				
	3	TRUE	FALSE		5	TRUE	FALSE	FALSE

• If an already added test case fulfills the entry, nothing to do!

E.g. if above test already added and No. BOLD ITALIC needs fulfilling,

3 TRUE FALSE



5. Continue until all mini truth tables are covered

Sounds easy enough. Why is it NP-Hard?

• Note there are *multiple* candidates to choose from.

E.g. Bold / Underline = TRUE / FALSE can be fulfilled by:

No.	BOLD	ITALIC	UNDERLINE
5	TRUE	FALSE	FALSE

But also:

No.	BOLD	ITALIC	UNDERLINE
7	TRUE	TRUE	FALSE

- There are only a handful of optimal choices.
 - Here is where the NP-Hardness creeps in.
 - We'll just choose randomly. It affects quality but not correctness of solution.

Covering Array Example

Test	Bold	Italic	Underline	
1	F	F	F	Bold / Italic
2	F	F	Т	Bold / Underline
3	F	T	F	Italic / Underline
4	F	T	Т	
5	Т	F	F	
6	T	F	Т	
7	T	T	F	
8	Т	Т	Т	

Covering Array Example – Bold / Italic

Test	Bold	Italic	Underline	
1	F	F	F	Bold / Italic
2 F 3 F	F	F T	T F	Bold / Underline
	F			Italic / Underline
4	F	T	T	
5	T	F	F	
6	T	F	T	
7	T	T	F	
8	T	T	T	

Covering Array Example – Bold / Underline

Test	Bold	Italic	Underline	
1	F	F	F	Bold / Italic
2	F	F	T	Bold / Underline
3	F	T	F	Italic / Underline
4	F	T	T	
5	T	F	F	
6	T	F	T	
7	T	T	F	
8	Т	Т	Т	

Covering Array Example – Italic / Underline

Test	Bold	Italic	Underline	
1	F	F	F	Bold / Italic
2	F	F	T	Bold / Underline
3	F	T	F	Italic / Underline
4	F	T	Т	
5	Т	F	F	
6	Т	F	Т	
7	Т	T	F	
8	Т	T	Т	

Run a Subset of Tests

Test	Bold	Italic	Underline	
1	F	F	F	Bold / Italic
2	F	F	T	Bold / Underline
3	F	T	F	Italic / Underline
4	F	T	T	
5	T	F	F	Necessary Tests
6	T	F	T	Unnecessary Tests
7	T	T	F	
8	Т	Т	Т	

Can Minimize Further Using Better Algorithms

Test	Bold	Italic	Underline	
1	F	F	F	Bold / Italic
2	F	F	Т	Bold / Underline
3	F	T	F	Italic / Underline
4	F	Т	Т	
5	Т	F	F	Necessary Tests
6	Т	F	Т	Unnecessary Tests
7	Т	Т	F	
8	Т	Т	Т	

What is a Better Algorithm?

Determining the optimal covering array is an NP-Hard problem.

But there are some good algorithms out there that approximate it.

 "IPOG: A General Strategy for T-Way Software Testing" (ECBS '07): <u>https://www.nist.gov/publications/ipog-general-strategy-t-way-software-testing</u>

Do I have to Learn the Algorithm?

• No, you don't have to learn the algorithm and apply it yourself. ☺

- You can use the pre-generated covering array for your situation:
 - https://math.nist.gov/coveringarrays/ipof/ipof-results.html

- If your situation is not covered in the above, use NIST ACTS:
 - https://csrc.nist.gov/Projects/automated-combinatorial-testing-for-software/downloadable-tools
 - An implementation of the IPOG algorithm

How about the Outputs?



Test Oracle Problem

Covering arrays limit number of tests you have to do

- But they may still run into the thousands for a large program
 - Sheer number of tests means we may need to autogenerate them
 - That means we need to autogenerate expected outputs along with the inputs
- How do we autogenerate expected output?
 - Need an oracle same situation we faced with stochastic testing
 - May consider testing properties (invariants) applicable to all outputs

Now Please Read Textbook Chapter 17