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Jane Smith

5446882375129691

Exp. 09/16

John Smith

4532014495343

Exp. 02/17From: DenizkurtE@aol.com

Sent: Tuesday, October 28, 2003 12:14 PM

To: rule-comments@sec.gov; schockL@sec.gov

Cc: DenizkurtE@aol.com

Subject: Short Sale Rule Proposals

Dear SEC Staff:

Below is a list of concerns I have regarding short sales. I would like to voice

my opinion and suggestions on the issue and they are listed below. If you have

any questions regarding any of the proposals I am available to clarify my position.

SHORT SELLING RULE PROPOSALS

1.. All short positions must be monitored by the SEC

2.. All short position totals updated daily and posted on the data sheet along

with price, high, low, close, previous day close, short, previous day short;

that way all important information directly impacting an investors investment

can be viewed quickly

3.. All short positions broken down by Market Maker on each exchange;

manipulation can be easily monitored if this is done

4.. All short positions, outstanding number of shares and float all updated

daily and grouped together as those details impact a shareholder the most on

all NASDAQ, AMEX, NYSE, OTCBB stocks

5.. SEC must make sure the number of shares short do not exceed the Float.

This must be monitored for NYSE, AMEX, NASDAQ and especially the OTCBB stocks

6.. All shorting of stock from brokerages outside the US needs to be monitored

for economic terrorism reasons. The best way to hurt the US is to harm its'

capitalist society. By shorting and driving US stocks into the penny levels

incredible harm has been done to corporations that employ millions of people.

7.. SEC must monitor closely all short positions by brokerage houses with

investment banking arms. Too many of them are doing Convertible Financing and

PIPE Financing and then shorting the stock to reap profits.

8.. Market Makers who are upside down should not be allowed to short until

buying interest is gone. Close monitoring of their activities regarding this

is imperative.

9.. Punishment by brokerage firms and market makers who break short selling

rules should be punishable by prison and not a fine. That will make the abuses

stop. Paying a fine is obviously not enough of a deterrent. When brokers are

willing to pay 100 million in fines like those imposed for other reasons these

past few years then it is obvious enough money is being made that even a

100 million fine is not enough of a deterrent to the criminals

10.. Market Makers should be making most of their money from orderflow not from

trading in the securities they make a market for. Since they have access to all

orders they have an upperhand over average investors and should not be taking

advantage of that position; CNBC reported market makers make 80% of thier income

from profits on stocks they make a market in and 20% from order flow - Shouldn't

it be the other way around?

Thank you for your time.

Erol Denizkurt

4548 Andover Way F303

Naples, FL 34112

Phone: 239-417-4348

Fax: 240-331-5618

DenizkurtE@aol.com

Wisconsin Division

of Safety and Buildings

Application No.

Wisconsin Uniform Building

Permit Application

Wisconsin Stats. 101.63, 101.73

Instructions on back of second ply. The information you provide may be

used by other government agency programs [(Privacy Law, s. 15.04 (1)(m)]

PERMIT REQUESTED

Constr.

HVAC

Electric

Owner’s Name

Mailing Address

Contractor Name & Type

Dwelling Contractor (Constr.)

Lic/Cert#

Plumbing

Parcel No.

Erosion Control

Other:

Tel.

Mailing Address

Dwelling Contr. Qualifier

Tel. & Fax

The Dwelling Contr. Qualifier shall be an owner,

CEO, COB or employee of the Dwelling Contr.

HVAC

Electrical

Plumbing

PROJECT

LOCATION

Lot area

Sq.ft.

One acre or more of

soil will be disturbed

Building Address

Town

Village

City of

County

Zoning District(s)

Subdivision Name

Zoning Permit No.

Setbacks:

Front

3. OCCUPANCY

Single Family

Two Family

Garage

Other:

Repair

Raze

Move

2. AREA INVOLVED (sq ft)

Unit 1

Unit 2

Total

4. CONST. TYPE

Site-Built

6. ELECTRIC

Entrance Panel

Amps: \_\_\_\_\_\_\_

Underground

Overhead

7.WALLS

Wood Frame

Steel

Mfd. per WI UDC

Mfd. per US

HUD

Unfin.

Bsmt

Living

Area

5. STORIES

1-Story

Garage

Deck/

Porch

Totals

9. HVAC EQUIP.

Furnace

Radiant Basebd

Heat Pump

Boiler

Central AC

Fireplace

Other:

ICF

Timber/Pole

Other:

Rear

Permanent

Other:

Other:

E/W

Block No.

Left

Right

ft.

ft.

12. ENERGY SOURCE

Fuel

Nat

LP

Gas

Space Htg

Water Htg

Oil

Elec

ft.

Solid

Solar

Geo

13. HEAT LOSS

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ BTU/HR Total Calculated

Envelope and Infiltration Losses (available from "Total

Building Heating Load" on Rescheck report)

Sanitary Permit#

8. USE

Seasonal

2-Story

10. SEWER

Municipal

N, R

Lot No.

ft.

1. PROJECT

New

Alteration

Addition

Other:

,T

\_\_\_\_\_ 1/4, \_\_\_\_\_\_ 1/4, of Section

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

11. WATER

14. EST. BUILDING COST w/o LAND

Municipal

Plus Basement

On-Site Well

$

I understand that I: am subject to all applicable codes, laws, statutes and ordinances, including those described on the reverse side of the last ply of this form; am subject to

any conditions of this permit; understand that the issuance of this permit creates no legal liability, express or implied, on the state or municipality; and certify that all the above

information is accurate. If one acre or more of soil will be disturbed, I understand that this project is subject to ch. NR 151 regarding additional erosion control and stormwater

management and the owner shall sign the statement on the back of the permit if not signing below. I expressly grant the building inspector, or the inspector's authorized agent,

permission to enter the premises for which this permit is sought at all reasonable hours and for any proper purpose to inspect the work which is being done.

I vouch that I am or will be an owner-occupant of this dwelling for which I am applying for an erosion control or construction permit without a Dwelling

Contractor Certification and have read the cautionary statement regarding contractor responsibility on the reverse side of the last ply of this form.

APPLICANT (Print:) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Sign:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

APPROVAL CONDITIONS

ISSUING

JURISDICTION

Town of

DATE \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

This permit is issued pursuant to the following conditions. Failure to comply may result in suspension or revocation of this

permit or other penalty.

See attached for conditions of approval.

Village of

City of

County of

State→

State-Contracted Inspection

Agency#:

Municipality Number of Dwelling Location

\_\_\_\_ \_\_\_\_ - \_\_\_\_ \_\_\_\_ \_\_\_\_

FEES:

Plan Review

Inspection

Wis. Permit Seal

Other

$

$

$

$

Total

$ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

PERMIT(S) ISSUED

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

SBD-5823(R11/11) Distribute:

Construction

HVAC

Electrical

Plumbing

Erosion Control

\_\_\_\_\_\_\_\_\_\_\_\_\_

Ply 1 – Issuing Jurisdiction;

WIS PERMIT SEAL #

PERMIT ISSUED BY:

Name\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Date \_\_\_\_\_\_\_\_\_\_\_\_ Tel. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Cert No. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Ply 2- Issuer forwards to state w/in 30 days;

Ply 3- Inspector;

Ply 4- Applicant

?INSTRUCTIONS

The owner, builder or agents shall complete the application form down through the Signature of Applicant block and submit it and

building plans and specifications to the enforcing jurisdiction, which is usually your municipality or county. Permit application

data is used for statewide statistical gathering on new one- and two-family dwellings, as well as for local code administration.

Please type or use ink and press firmly with multi-ply form.

PERMIT REQUESTED

 Check off type of Permit Requested, such as structural, HVAC, Electrical or Plumbing.

 Fill in owner's current Mailing Address and Telephone Number.

 If the project will disturb one acre or more of soil, the project is subject to the additional erosion control and stormwater

provisions of ch. NR 151 of the WI Administrative Code. Checking this box will satisfy the related notification requirements

of ch. NR 216.

 Fill in Contractor and Contractor Qualifier Information. Per s. 101.654 (1) WI Stats., an individual taking out an erosion

control or construction permit shall enter his or her dwelling contractor certificate number, and name and certificate number

of the dwelling contractor qualifier employed by the contactor, unless they reside or will reside in the dwelling. Per s. 101.63

(7) Wis. Stats., the master plumber name and license number must be entered before issuing a plumbing permit.

PROJECT LOCATION

 Fill in Building Address (number and street or sufficient information so that the building inspector can locate the site.

 Local zoning, land use and flood plain requirements must be satisfied before a building permit can be issued. County

approval may be necessary.

 Fill in Zoning District, lot area and required building setbacks.

PROJECT DATA - Fill in all numbered project data blocks (1-14) with the required information. All data blocks must be filled in,

including the following:

2. Area (involved in project):

Basements - include unfinished area only

Living area - include any finished area including finished areas in basements

Two-family dwellings - include separate and total combined areas

3. Occupancy - Check only "Single-Family" or "Two-Family" if that is what is being worked on. In other words, do not check

either of these two blocks if only a new detached garage is being built, even if it serves a one or two family dwelling. Instead,

check "Garage" and number of stalls. If the project is a community based residential facility serving 3 to 8 residents, it is

considered a single-family dwelling.

9. HVAC Equipment - Check only the major source of heat, plus central air conditioning if present. Only check "Radiant

Baseboard" if there is no central source of heat.

10. Plumbing - A building permit cannot be issued until a sanitary permit has been issued for any new or affected existing private

onsite wastewater treatment system.

14. Estimated Cost - Include the total cost of construction, including materials and market rate labor, but not the cost of land or

landscaping.

SIGNATURE – The owner or the contractor’s authorized agent shall sign and date this application form. If you do not possess the

Dwelling Contractor certification, then you will need to check the owner-occupancy statement for any erosion control or

construction permits.

CONDITIONS OF APPROVAL - The authority having jurisdiction uses this section to state any conditions that must be complied

with pursuant to issuing the building permit.

ISSUING JURISDICTION: This must be completed by the authority having jurisdiction.

 Check off Jurisdiction Status, such as town, village, city, county or state and fill in Municipality Name

 Fill in State Inspection Agency number only if working under state inspection jurisdiction.

 Fill in Municipality Number of Dwelling Location

 Check off type of Permit Issued, such as construction, HVAC, electrical or plumbing.

 Fill in Wisconsin Uniform Permit Seal Number, if project is a new one- or two-family dwelling.

 Fill in Name and Inspector Certification Number of person reviewing building plans and date building permit issued.

INSPECTORS: PLEASE RETURN SECOND PLY WITHIN 30 DAYS AFTER ISSUANCE TO (You may fold

along the dashed lines and insert this form into a window envelope.):

Safety & Buildings Division

P O Box 2509

Madison, WI 53701-2509

?(Part of Ply 4 for Applicants)

Cautionary Statement to Owners Obtaining Building Permits

101.65(lr) of the Wisconsin Statutes requires municipalities that enforce the Uniform Dwelling Code to

provide an owner who applies for a building permit with a statement advising the owner that:

If the owner hires a contractor to perform work under the building permit and the contractor is not bonded

or insured as required under s. 101.654 (2) (a), the following consequences might occur:

(a) The owner may be held liable for any bodily inquiry to or death of others or for any damage to

the property of others that arises out of the work performed under the building permit or that is caused by

any negligence by the contractor that occurs in connection with the work performed under the building

permit.

(b) The owner may not be able to collect from the contractor damages for any loss sustained by

the owner because of a violation by the contractor of the one- and two- family dwelling code or an

ordinance enacted under sub. (1) (a), because of any bodily injury to or death of others or damage to the

property of others that arises out of the work performed under the building permit or because of any

bodily injury to or death of others or damage to the property of others that is caused by any negligence by

the contractor that occurs in connection with the work performed under the building permit.

Cautionary Statement to Contractors for Projects Involving Building Built Before 1978

If this project is in a dwelling or child-occupied facility, built before 1978, and disturbs 6 sq. ft. or more

of paint per room, 20 sq. ft. or more of exterior paint, or involves windows, then the requirements of ch.

DHS 163 requiring Lead-Safe Renovation Training and Certification apply. Call (608)261-6876 or go to

the Wisconsin Department of Health Services’ lead homepage for details of how to be in compliance

Wetlands Notice to Permit Applicants

You are responsible for complying with state and federal laws concerning the construction near or on

wetlands, lakes, and streams. Wetlands that are not associated with open water can be difficult to

identify. Failure to comply may result in removal or modification of construction that violates the law or

other penalties or costs. For more information, visit the Department of Natural Resources wetlands

identification web page or contact a Department of Natural Resources service center.

Additional Responsibilities for Owners of Projects Disturbing One or More Acre of Soil

I understand that this project is subject to ch. NR 151 regarding additional erosion control and stormwater

management and will comply with those standards.

Owner's Signature: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Date:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Contractor Credential Requirements

All contractors shall possess an appropriate contractor credential issued by the Wisconsin Division of

Safety and Buildings. Contractors are also required to only subcontract with contractors that hold the

appropriate contractor credentials.

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