

# CS312 Notes

August 27, 2024

## Contents

<b>I Theory Of Computation Introduction</b>	<b>1</b>
Automata (Automaton)	2
Computability	2
Complexity	3
Problem Abstraction	3
Abstraction of Data	3
<b>II Finite Automata</b>	<b>4</b>
Formal Language	4
Abstraction of Problems	4
Formal Definition	5
Example	5
DFA	5
Applications	5
FA Computation	5
Language Recognition	6
Formal Definition of Acceptance	6
Regular Languages	6
FA Design Approach	6
Example	7
Notes on Example	7
Example 2	7
Expansion on Above $M_3$	8
What does this give us?	8
Last DFA Example	8
<b>III Regular Languages</b>	<b>8</b>
Is $\epsilon$ always a member of $A^*$ regardless of the language $A$ ?	9
What is another name for the language of $A \cup A^*$ ?	9
Closures of Regular Languages	9
Nondeterminism	9
Formal Definition	9
Formal Definition of Acceptance (NFA)	9

## I Theory Of Computation Introduction

The 3 components of problem solving

1. Unknowns
2. Data
3. Conditions

To solve a problem we need to find a way of determining the unknowns from given data such that conditions of the problem are satisfied.

The traditional areas of the theory of computation (TOC)

- Automata
  - Provide problem solving devices
- Computability
  - Provide framework that can characterize devices by their computing power
- Complexity
  - Provide framework to classify problems according to time/space complexity of the tool used to solve them

### **Automata (Automaton)**

- Abstraction of computing devices
- How much memory can be used?
- What operations can be performed?

### **Computability**

- Study different computing models and identify the most powerful ones
- Range of problems

- Problems can be undecidable or uncomputable

- The halting problem

## Complexity

- Computing problems range from easy to hard; sorting is easier than scheduling

- Question

- What makes some problems computationally hard or others easy?

## Problem Abstraction

Data

- Abstracted as a word in a given alphabet

Conditions

- Abstracted as a set of words called a language

Unknowns

- A boolean variable: true if a word is in the language or false otherwise

### Abstraction of Data

- $\Sigma$ : alphabet, a finite, nonempty set of symbols
- $\Sigma^*$ : all words of a finite length built up using  $\Sigma$
- Rules: (1) the empty word ( $\epsilon$ ) is in  $\Sigma^*$ ; (2) if  $w \in \Sigma^*$  and  $a \in \Sigma$ , then  $aw \in \Sigma^*$ , and (3) nothing else is in  $\Sigma^*$

Example: If  $\Sigma = \{0,1\}$ , then  $\Sigma^* = \{\epsilon, 0, 1, 00, 01, 10, 11, 000, 001, 010, 011, \dots\}$ .

1. Valid C

```

int my_func() { return 1; };

int main() {
    int var = my_func(1,2,3,4,5,6,7);
    for (;;) {}
    // You cannot just simply change the syntax of a for loop
    for(;) {}
}

```

## 2. Invalid C++

```

int my_func() { return 1; };

int main() {
    int var = my_func(1,2,3,4,5,6,7);
    for (;;) {}
    // You cannot just simply change the syntax of a for loop
    for(;) {}
}

```

## II Finite Automata

### Formal Language

- Some set of strings over a give alphabet
- How do you specify a language?
- How do you recognize strings in a language?
- How do you translate the language?

### Abstraction of Problems

#### 1. Data - word in a given alphabet

- $\Sigma$  alphabet, a finite non-empty set of symbols
- $\Sigma^*$  all words of finite length built-up using  $\Sigma$

#### 2. Conditions - Set of words called a language

- Any subset  $L \subseteq \Sigma^*$  is a formal language

3. Unknown - a boolean variable that is true, if word is in language; false, otherwise.

- Given  $w \in \Sigma^*$  and  $L \subseteq \Sigma^*$ , is  $w \in L$ ?

## Formal Definition

- Simplest computational model also referred to as a finite-state machine or finite automaton (FA)
- Representations: graphical, tabular, and mathematical
- A finite automaton is a 5-tuple  $(Q, \Sigma, \delta, q_0, F)$ , where  $Q$  is a finite set of states,  $\Sigma$  is a finite set of symbols (alphabet), the transition function  $\delta$  maps  $Q \times \Sigma$  to  $Q$ ,  $q_0 \in Q$  is the start (initial) state, and  $F \subseteq Q$  is the set of accept (final) states
- Used to design embedded systems, or compilers

## Example

If the machine is in a start state, where the initial state is an accept state, that means that our FA can accept an empty string  $\epsilon$

## DFA

Deterministic Finite Automata

## Applications

- Parsers for compilers
- Pattern recognition
- Speech processing and OCR
- Financial planning and market prediction

## FA Computation

- Automaton  $M_1$  receives input symbols one-by-one (left to right)
- After reading each symbol,  $M_1$  moves from one state to another along the transition that has that symbol as its label

- When  $M_1$  reads the last symbol of the input, it produces the output: accept if  $M_1$  is in an accept state, or reject if  $M_1$  is not in an accept state

## Language Recognition

- If  $L$  is the set of all strings that an FA  $M$  accepts, we say that  $L$  is the language of the machine  $M$  and write  $L(M) = L$
- An automaton may accept several strings, but it always recognizes only one language
- If a machine accepts no strings, it still recognizes one language, namely the empty language  $\emptyset$

The machines are recognizing words in the language

Any given automaton only recognizes specifically one language

## Formal Definition of Acceptance

- Let  $M = (Q, \Sigma, \delta, q_0, F)$  be an FA and  $w = a_1a_2 \dots a_n$  be a string over  $\Sigma$ . We say  $M$  accepts  $w$  if a sequence of states  $r_0r_1 \dots r_n$  exist in  $Q$  such that
  - $r_0 = q_0$  (where machine starts)
  - $\delta(r_i, a_{i+1}) = r_{i+1}$ ,  $i=0,1, \dots, n-1$ , (transitions based on  $\delta$ )
  - $r_n \in F$  (input accepted)

## Regular Languages

- We say that FA recognizes the language  $L$  if  $L = \{w \mid M \text{ accepts } w\}$
- A language is called a **regular** language, if there exists an FA that recognizes it
- Q: how do you design/build an FA

## FA Design Approach

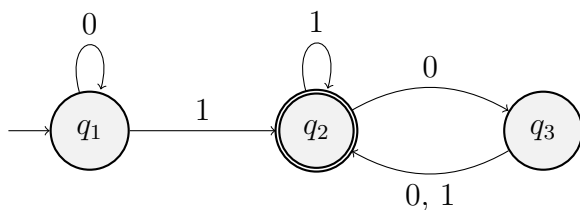
1. Identify finite pieces of information you need, i.e., the states (possibilities)
2. Identify the condition (or alphabet) to change from one state to another
3. Identify the starting and final/accept states
4. Add missing transitions

## Example

Let  $M_1 = (Q, \Sigma, \delta, q_1, F)$ ,  $Q = \{q_1, q_2, q_3\}$ ,  $\Sigma = \{0, 1\}$ , and  $F = \{q_2\}$ . Let's define a transition function  $\delta$  for  $M_1$  and then draw the resulting (graph-based) **state transition diagram** for  $M_1$

DFA, this table is  $Q \times \Sigma \rightarrow Q$   
 $q_1$  is the start state  
 $q_2$  is the accept

	0	1
$q_1$	$q_1$	$q_2$
$q_2$	$q_3$	$q_2$
$q_3$	$q_2$	$q_2$



## Notes on Example

$L(M_1) = ?$

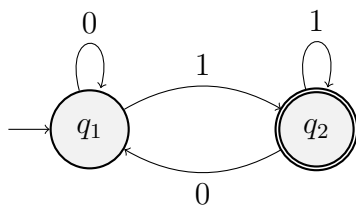
$L(M_1) = A$

$A = \{w \mid w \text{ contains at least one 1 AND an event number of 0's following the last 1}\}$

## Example 2

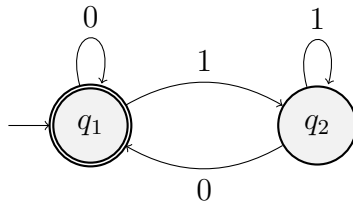
$\delta: Q \times \Sigma \rightarrow Q$

	0	1
$q_1$	$q_1$	$q_2$
$q_2$	$q_1$	$q_2$



$L(M_2) = B = \{w \mid w \text{ ends in a 1}\}$

### Expansion on Above $M_3$



Language of  $M_3 = C = \{ w \mid w \text{ ends in a 0 OR } w \text{ is empty} \}$

### What does this give us?

If we flip the accept and initial state, we generate the complement of the machine (flip the meaning)

### Last DFA Example

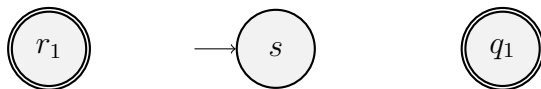
$$Q = \{s, q_1, q_2, r_1, r_2\}$$

$$\Sigma = \{a, b\}$$

$$F = \{q_1, r_1\}$$

$\Delta$  chart

	a	b
s	q <sub>1</sub>	r <sub>1</sub>
q <sub>1</sub>	q <sub>1</sub>	q <sub>2</sub>
q <sub>2</sub>	q <sub>1</sub>	q <sub>2</sub>
r <sub>1</sub>	r <sub>2</sub>	r <sub>1</sub>
r <sub>2</sub>	r <sub>2</sub>	r <sub>1</sub>



$\{ w \mid \text{starts with 'a' AND ends with 'a'} \}$

## III Regular Languages

Let A and B be languages

Union:  $A \cup B = \{ x \mid x \in A \vee x \in B \}$

Concatenation:  $A \cdot B = \{ xy \mid x \in A \wedge y \in B \}$

Star:  $A^* = \{ x_1 x_2 \dots x_k \mid k \geq 0 \wedge x_i \in A, 0 \leq i \leq k \}$



Is  $\epsilon$  always a member of  $A^*$  regardless of the language  $A$ ?  
Yes

What is another name for the language of  $A \cap A^*$ ?  
 $A^+$

### Closures of Regular Languages

Theorem: Class of regular languages is closed under intersection. (Proof: Use cross-product construction of states)

Theorem: Class of regular languages is closed under complementation (Proof: swap accept/non-accept states and show FA recognizes the complement)

### Nondeterminism

NFA or nondeterministic finite automata

- Every step of a FA computation follows in a unique way from the proceeding step; a deterministic computation
- Nondeterministic computation - choices exist for the next state; a nondeterministic FA (NFA)
- Ways to introduce nondeterminism
  - more choices for next state (zero, one, many)
  - State may change to another state without reading any symbol

### Formal Definition

a 5-tuple  $(Q, \Sigma, \delta, q_0, F)$ , where  $Q$  is a finite set of states,  $\Sigma$  is a finite set of symbols (alphabet), the transition function  $\delta$  maps  $Q \times \Sigma \cup \{\epsilon\}$  to  $P(Q)$ ,  $q_0 \in Q$  is the start (initial) state, and  $F \subseteq Q$  is the set of accept (final) states.

Notice that the range of the transition function  $\delta$  for an NFA is the power set of  $Q$   $P(Q)$

### Formal Definition of Acceptance (NFA)

Let  $N = (Q, \Sigma, \delta, q_0, F)$  be an NFA and  $w = y_1 y_2 \dots y_n$  be a string over  $\Sigma_\epsilon = \Sigma \cup \{\epsilon\}$ . We say  $N$  accepts  $w$  if a sequence of states  $r_0, r_1, \dots, r_m$  exist in  $Q$  such that

1.  $r_0 = q_0$

$$2. \delta(r_i, y_{i+1}) = r_{i+1} \text{ for } i = 0, 1, \dots, m-1$$

$$3. r_m \in F$$