

Chapter 00_03

Introducing Foundation

Body of Knowledge Coverage:

Basis Analysis (AL)



- Basis Analysis (AL)
 - **Asymptotic Analysis**, empirical measurement.
 - Differences among **best, average, and worst case behaviors** of an algorithm.
 - **Complexity classes**, such as constant, logarithmic linear, quadratic, and exponential.
 - **Recurrence Relations** and their solutions.
 - **Time and space trade-offs** in algorithms.

Modular Arithmetic for Applications to Cryptography

Outlines



- Elementary number-theoretic notions (5)
- Division Theorem, the remainder (7, 8)
- Congruent modulo n , and modular equivalence (9, 10, 15, 21)
- Compute $a^k \bmod n$, when k is a power of 2. (31-36, 39-41)

Elementary Number-Theoretic Notions

- An application of number-theoretic algorithms is in *cryptography*
 - the discipline concerned with encrypting a message sent from one party to another, such that someone who intercepts the message will not be able to decode it.

- Let the set $Z = \{ \dots, -2, -1, 0, 1, 2, 3, \dots \}$ of integers.
- Let the set $N = \{0, 1, 2, 3, \dots\}$ of natural numbers (also non-negative integers).
- The notation $d \mid a$ (read “ d divides a ”) means
 - $a = k \cdot d$ for some integer k , (i.e., a is k multiple of d).

The Division Theorem, Remainders, and Modular Equivalence

For any two integers x and y , where $y \neq 0$,

- the *quotient* q of x divided by y is given by

$$q = \lfloor \frac{x}{y} \rfloor$$

- the *remainder* r of dividing x by y is given by

$$r = x - q*y.$$

Algorithm `divide(x, y)`, $x \geq 0$, $y \geq 1$

`q = 0; r = x;`

`while (r \geq y) {`

`r = r - y;`

`q ++; };`

output q, r ;

$[1]_2 = \{ \dots, -7, -5, -3, -1, 1, 3, 7, 9, 11, 13, 15, 17, 19, \dots \}$ is the equivalence class modulo 2 containing 1.

The Division Theorem, Remainders, and Modular Equivalence

Theorem 0.1 (Division Theorem)

For any integer x and any positive integer y , there are **unique integers q and r** such that $0 \leq r < y$ and $x = q*y + r$.

Proof: Followed by definition.

QED

Definition of $x \bmod y$

$x \bmod y$ is the remainder $r = x - q*y$, where $q = \lfloor x / y \rfloor$.

$$\begin{aligned} x &= q*y + r \\ \frac{x}{y} &= (q, r) \\ \frac{-7}{2} &= (-4, 1) \\ -7 &= -4 * 2 + 1 \\ \frac{-5}{2} &= (-3, 1) \\ -5 &= -3 * 2 + 1 \\ \frac{-3}{2} &= (-2, 1) \\ -3 &= -2 * 2 + 1 \\ \frac{-1}{2} &= (-1, 1) \\ -1 &= -1 * 2 + 1 \\ \frac{1}{2} &= (0, 1) \\ \mathbf{1} &= \mathbf{0*2 + 1} \\ \frac{3}{2} &= (1, 1) \\ 3 &= 1*2 + 1 \\ \frac{5}{2} &= (2, 1) \\ 5 &= 2*2 + 1 \\ \frac{7}{2} &= (3, 1) \\ 7 &= 3*2 + 1 \end{aligned}$$

$x \bmod y$ is the remainder of $\frac{x}{y}$:

- *Definition of $x \bmod y$*

$m \bmod n$ is the remainder $r = x - q * y$, where $q = \lfloor \frac{x}{y} \rfloor$.



- Example: $-18 \bmod 7 = 3$, $3 = -18 - (-3) * 7$.

$$-11 \bmod 7 = 3, \quad 3 = -11 - (-2) * 7.$$

$$-4 \bmod 7 = 3, \quad 3 = -4 - (-1) * 7.$$

$$3 \bmod 7 = 3, \quad 3 = 3 - 0 * 7.$$

$$10 \bmod 7 = 3, \quad 3 = 10 - 1 * 7.$$

$$17 \bmod 7 = 3, \quad 3 = 17 - 2 * 7.$$

$$[3]_7 = \{ \dots, -18, -11, -4, 3, 10, 17, \dots \}$$

- Denote “ y divides x ” as $y \mid x$.
- $y \mid x$ means that $x = i * y$ for some integer i .
- $y \mid x$ iff $x \bmod y = 0$.

Congruence Modulo n

Definition of Congruent Modulo n:



Let m and k be integers and n be a positive integer ($n > 0$).

- ***m is congruent to k modulo n***
 - (or we say, m and k are congruent modulo n)
 - (or we say, *m and k are equivalent (\equiv) mod n*)

denoted as

$$m \equiv k \pmod{n}$$

if, and only if $n \mid (m - k)$ or $n \mid (k - m)$.

- In symbol, $m \equiv k \pmod{n} \leftrightarrow n \mid (m - k) \text{ or } n \mid (k - m)$.
- Example: $-18 \equiv 3 \pmod{7}$, $-11 \equiv 3 \pmod{7}$, $-4 \equiv 3 \pmod{7}$, $10 \equiv 3 \pmod{7}$,

$$17 \equiv 3 \pmod{7}, 24 \equiv 3 \pmod{7}, 24 \equiv 10 \pmod{7}.$$

Observation:

$n \mid (m - k)$ or $n \mid (k - m)$. $m \pmod{n} = k \pmod{n}$ (i.e., they have the same remainder.
 $m = k + i * n$, where $i \in \mathbb{Z} = \{..., -2, -1, 0, 1, 2, ...\}$

Congruent modulo n:



- *m and k are congruent modulo n*
if they differ by a multiple of n (i.e., $i * n$).

- Equivalently, we write $|m - k| = i * n$,
for some $i \in \mathbb{Z} = \{\dots, -2, -1, 0, 1, 2, \dots\}$, the set of integers.

- Example:

- $[3]_7 = \{\dots -11, -4, 3, 10, 17, 24, 31, \dots\}$ are congruent modulo 7.
- $|-11 - (-4)| = 1 * 7, |-11 - 3| = 2 * 7, |-11 - 10| = 3 * 7, \dots$
- $|-4 - 3| = 1 * 7, |-4 - 10| = 2 * 7, |-4 - 17| = 3 * 7$
- $|3 - 10| = 1 * 7, |3 - 17| = 2 * 7, |3 - 24| = 3 * 7, \dots$
- $|10 - 17| = 1 * 7, |10 - 24| = 2 * 7, |10 - 31| = 3 * 7, \dots$
- $|17 - 24| = 1 * 7, |17 - 31| = 2 * 7, \dots$
- $|24 - 31| = 1 * 7, \dots$

$$m = i * n + k, 0 \leq k < n$$

$$m = i * 7 + 3$$

When $i \in \{0, 1, 2, 3, \dots\}$,
 $m \in \{3, 10, 17, 24, \dots\}$,
respectively.

When $i \in \{-1, -2, -3, \dots\}$,
 $m \in \{-4, -11, -18, \dots\}$,
respectively.

Congruence Modulo n

Example 0.47: $n = 5$; $m \equiv k \pmod n$ if, and only if $n \mid (m - k)$ or $n \mid (k - m)$.

What is the equivalence class modulo 5 containing 3, $[r]_n = [3]_5$.

That is, each of the integer m in the class mod 5 has the remainder 3.

$[3]_5 = \{ \dots, -7, -2, 3, 8, 13, 18, 23, 28, 33, \dots \}$ is the equivalence class modulo 5 containing 3.

$$x = q*y + r$$

$$\text{Since } 5 \mid (33 - 33), \quad 33 \equiv 33 \pmod 5. \quad |33 - 33| = 0 * 5. \quad 33 = 6*5 + 3$$

$$\text{Since } 5 \mid (33 - 28), \quad 33 \equiv 28 \pmod 5. \quad |33 - 28| = 1 * 5. \quad 28 = 5*5 + 3$$

$$\text{Since } 5 \mid (33 - 23), \quad 33 \equiv 23 \pmod 5. \quad |33 - 23| = 2 * 5. \quad 23 = 4*5 + 3$$

$$\text{Since } 5 \mid (33 - 18), \quad 33 \equiv 18 \pmod 5. \quad |33 - 18| = 3 * 5. \quad 18 = 3*5 + 3$$

$$\text{Since } 5 \mid (33 - 13), \quad 33 \equiv 13 \pmod 5. \quad |33 - 13| = 4 * 5. \quad 13 = 2*5 + 3$$

$$\text{Since } 5 \mid (33 - 8), \quad 33 \equiv 8 \pmod 5. \quad |33 - 8| = 5 * 5. \quad 8 = 1*5 + 3$$

$$\text{Since } 5 \mid (33 - 3), \quad 33 \equiv 3 \pmod 5. \quad |33 - 3| = 6 * 5. \quad 3 = 0*5 + 3$$

$$\text{Since } 5 \mid (33 - (-2)), \quad 33 \equiv -2 \pmod 5. \quad |33 - -2| = 7 * 5. \quad -2 = 1*5 + 3$$

$$\text{Since } 5 \mid (33 - (-7)), \quad 33 \equiv -7 \pmod 5. \quad |33 - -7| = 8 * 5. \quad -7 = 2*5 + 3$$



Congruence Modulo n

$[3]_5 = \{ \dots, -7, -2, 3, 8, 13, 18, 23, 28, 33, \dots \}$ is *the equivalence class modulo 5 containing 3*.

Theorem 0.1.4.1 Modular Equivalences

Let a and b and n be any integers and suppose $n > 1$.

The following statements are all equivalent:

1. $n \mid (a - b)$
2. $a \equiv b \pmod{n}$
3. $a = b + i \cdot n$ for some integer i
4. a and b have the same (nonnegative) remainder when divided by n
5. $a \bmod n = b \bmod n$.

Proof: Obvious. Example: $5 \mid (33 - 18)$.

$$r = x \bmod y.$$

$$x = q*y + r \longrightarrow [r]_y = \{ r + q*y \mid q \in \mathbb{Z} \}$$

Let \mathbb{Z} be the set of integers $\{\dots, -2, -1, 0, 1, 2, \dots\}$.

All integers can be partitioned into n equivalence classes, according to their remainders modulo n .

Define *the equivalence class modulo n containing an integer a* to be

$$[a]_n = \{ a + i * n \mid i \in \mathbb{Z} \},$$

For example, $[3]_7 = \{ \dots, -25, -18, -11, -4, 3, 10, 17, 24, 31, 38, \dots \}$.

i.e., $b \in [a]_n$ iff $b \equiv a \pmod{n}$.

iff $n \mid (b - a)$. i.e., b must be equal to $a + i*n$.

$b \in [a]_n$ iff $b \equiv a \pmod{n}$. i.e., a and b are in the same class $[a]_n$.

The set of all such equivalence classes Z_n is

$$Z_n = \{[a]_n \mid 0 \leq a \leq n-1\}. \text{ i.e., } z = i * n + a, 0 \leq a < n.$$

There are seven equivalence classes modulo 7.

$$Z_7 = \{[0]_7, [1]_7, [2]_7, \dots, [6]_7\} \text{ where}$$

$$[0]_7 = \{ \dots, -14, -7, 0, 7, 14, 21, \dots \} \quad 21 \equiv 0 \pmod{7}, (21 - 0) / 7 = 0$$

$$[1]_7 = \{ \dots, -13, -6, 1, 8, 15, 22, \dots \} \quad 22 \equiv 1 \pmod{7}, (22 - 1) / 7 = 0$$

$$[2]_7 = \{ \dots, -12, -5, 2, 9, 16, 23, \dots \} \quad 23 \equiv 2 \pmod{7}, (23 - 2) / 7 = 0$$

$$[3]_7 = \{ \dots, -11, -4, 3, 10, 17, 24, \dots \} \quad 24 \equiv 3 \pmod{7}, (24 - 3) / 7 = 0$$

...

$$[6]_7 = \{ \dots, -8, -1, 6, 13, 20, 27, \dots \} \quad 27 \equiv 6 \pmod{7}, (27 - 6) / 7 = 0$$

Congruence Modulo n

Congruence modulo n is an equivalence (\equiv) relation on the set of all integers.

Theorem 0.1.4.2 Congruence Modulo n is an Equivalence Relation

Let $n > 1$ be any integer. The distinct equivalence classes of the relation are the sets $[0]_n, [1]_n, [2]_n, \dots, [n-1]_n$, where for each $a = 0, 1, 2, \dots, n-1$,

$$[a]_n = \{m \in \mathbb{Z} \mid m \equiv a \pmod{n}\},$$

or, equivalently,

$$[a]_n = \{m \in \mathbb{Z} \mid m = i \cdot n + a \text{ for some integer } i\}.$$

Proof: Left for exercise.

Example: The equivalence class mod 7 containing 3 is

$$[3]_7 = \{3, 10, 17, 24, \dots\}.$$

Congruence Modulo n

- m and k are congruent modulo n
- m and k are equivalent (\equiv) mod n
- $m \equiv k \pmod{n}$

Theorem 0.1.4.3 Modular Arithmetic

Let a, b, c, d , and n be integers with $n > 1$, and suppose

$$a \equiv c \pmod{n} \text{ and } b \equiv d \pmod{n}.$$

Then

1. $(a + b) \equiv (c + d) \pmod{n}$
2. $(a - b) \equiv (c - d) \pmod{n}$
3. $a b \equiv c d \pmod{n}$
4. $a^m \equiv c^m \pmod{n}$ for all integers m .

Proof: left for exercise.

Congruence Modulo n

Corollary 0.1.4.4



Let a , b , and n be integers with $n > 1$. Then

$$a b \equiv [(a \bmod n) (b \bmod n)] \pmod{n},$$

or, equivalently,

$$a b \bmod n = [(a \bmod n) (b \bmod n)] \pmod{n}.$$

If m is a positive integer, then

$$a^m \equiv [(a \bmod n)^m] \pmod{n}.$$

or, equivalently,

$$a^m \bmod n = [(a \bmod n)^m] \pmod{n}.$$

Some of the properties: Modular arithmetic (a, b are integers, n is a positive integer)

$$(a + b) \bmod n = (a \bmod n + b \bmod n) \bmod n$$

$$(a * b) \bmod n = ((a \bmod n) * (b \bmod n)) \bmod n$$

Example:

$$(15 + 21) \bmod 5 = 36 \bmod 5 \equiv 1$$

$$\begin{aligned} (15 + 21) \bmod 5 &= (15 \bmod 5 + 21 \bmod 5) \bmod 5 \\ &= (0 + 1) \bmod 5 \equiv 1 \end{aligned}$$

$$\begin{aligned} (32 * 32) \bmod 31 &= ((32 \bmod 31) * (32 \bmod 31)) \bmod 31 \\ &= 1 \bmod 31 \equiv 1, \text{ where as } 1024 \bmod 31 \equiv 1 \end{aligned}$$

Congruence Modulo n

Example: Using Corollary 0.1.4.4

$$\begin{aligned}(55 * 26) \bmod 4 &= \{(55 \bmod 4) (26 \bmod 4)\} \bmod 4 \\ &= (3 * 2) \bmod 4 \\ &= 6 \bmod 4 \\ &\equiv 2\end{aligned}$$

That is, $2 \equiv (55 * 26) \bmod 4$

or $(55 * 26) \equiv 2 \bmod 4$

Under such substitution, addition, multiplication remain well-defined.

Substitution rule:

If $x \equiv x' \pmod{N}$ and $y \equiv y' \pmod{N}$, then

$x + y \equiv x' + y' \pmod{N}$ and $xy \equiv x'y' \pmod{N}$

Example:

For $16 \equiv 7 \pmod{3}$, and $2 \equiv 17 \pmod{3}$

then $(16 + 2) \equiv (7 + 17) \pmod{3}$.

i.e., $18 \equiv 24 \pmod{3}$ iff $3 \mid (24 - 18)$.

Or

$(16 + 17) \equiv (7 + 2) \pmod{3}$.

i.e., $33 \equiv 9 \pmod{3}$ iff $3 \mid (33 - 9)$.



Example: Suppose we watch an entire season of our favorite television show in one sitting, starting at midnight. There are 25 episodes, each lasting 3 hours.

At what time of day are we done?

The answer is: The hour of completion is $(25 * 3) \pmod{24}$, which is 3 am.

A day has 24 hours. Since $3 \pmod{24} = 3$ and $25 \pmod{24} = 1$

$$(25 * 3) \pmod{24} = (25 \pmod{24} * 3 \pmod{24}) \pmod{24}$$

$$= 1 * 3 \pmod{24}$$

$$= 3 \pmod{24}$$

$$= 3 \text{ am.} \quad \{\text{because the process begins at 0:00 midnight.}\}$$

What if there are 40 episodes? Then the completion is $(40 * 3) \pmod{24} = 0$ (12 midnight).

What if there are 45 episodes? Then the completion is $(45 * 3) \pmod{24} = 15$ (3 pm).

What if there are 125 episodes? Then the completion is $(125 * 3) \pmod{24} = (5 * 3) \pmod{24}$

$$= 15 \text{ (3 pm)}$$

Modular arithmetic satisfies associative, commutative and distributive properties of addition and multiplication.

$$x + (y + z) \equiv (x + y) + z \pmod{N}$$

Associativity

$$xy \equiv yx \pmod{N}$$

Commutativity

$$x(y + z) \equiv (xy + yz) \pmod{N}$$

Distributivity

While performing a sequence of arithmetic operations, it is legal to reduce intermediate results to their remainders modulo N *at any stage*. Such simplifications can be a dramatic help in big calculations.

1024	(2 ¹⁰)	512	256	128	(2 ⁷)	64	(2 ⁶)	32	(2 ⁵)	16	8	4	2	1	(2 ⁰)
1		0	0	0		0		0		0	0	0	0	0	0

$$(a * b) \bmod n = ((a \bmod n) * (b \bmod n)) \bmod n$$



Example 0.21: Compute $2^{345} \bmod 31$.

$$2^{345} = (2^5)^{69} = (32)^{69} \text{ and } 1 \equiv 32 \pmod{31}$$

$$2^{345} \pmod{31} = (2^5)^{69} \pmod{31}$$

$$= (2^5 \pmod{31})^{69} \pmod{31}$$

$$= 1^{69} \pmod{31}$$

$$\equiv 1$$

Compute $2^{345} \pmod{15}$?

then $2^{345} \pmod{15}$

$$= (2^4)^{86} \times 2 \pmod{15}$$

$$= (2^4)^{86} \pmod{15} \times 2$$

$$\pmod{15}$$

$$= (2^4 \pmod{15})^{86} \pmod{15}$$

$$\times 2 \pmod{15}$$

$$= 1 \times 2$$

$$= 2$$

Also compute 2^{345} .

$$2^{345} = (2^5)^{69} = (32)^{69} = \dots \quad \text{Then what?}$$

Some Reason: use shift....

This allows you to use shifting left for your multiplication.

For example: compute $(32)^3$

$$\begin{array}{rcll} 32 & = & 1\ 0\ 0\ 0\ 0\ 0 & \\ \underline{x\ 32} & = & \underline{1\ 0\ 0\ 0\ 0\ 0} & \dots\ 2^5 \\ 1024 & = & 1\ 0\ 0\ 0\ 0\ 0\ 0\ 0\ 0\ 0\ 0 & \dots\text{sL } 5 \text{ bits} \\ \underline{x\ 32} & = & \underline{1\ 0\ 0\ 0\ 0\ 0} & \dots\ 2^5 \\ 32768 & = & 1\ 0\ 0\ 0\ 0\ 0\ 0\ 0\ 0\ 0\ 0\ 0\ 0\ 0\ 0 & \dots\text{ sL } 5 \text{ bits} \end{array}$$

This allows you to use shifting left for your multiplication.

For example: compute $(32)^4$



$$\begin{array}{rcll}
 32 & = & 1\ 0\ 0\ 0\ 0\ 0 & \\
 \underline{\times\ 32} & = & \underline{1\ 0\ 0\ 0\ 0\ 0} & \dots\ 2^5 \\
 1024 & = & 1\ 0\ 0\ 0\ 0\ 0\ 0\ 0\ 0\ 0 & \dots\text{sL } 5 \text{ bits} \\
 \underline{\times\ 32} & = & \underline{1\ 0\ 0\ 0\ 0\ 0} & \dots\ 2^5 \\
 32768 & = & 1\ 0\ 0\ 0\ 0\ 0\ 0\ 0\ 0\ 0\ 0\ 0\ 0\ 0 & \dots\text{ sL } 5 \text{ bits} \\
 \underline{\times\ 32} & = & 1\ 0\ 0\ 0\ 0\ 0 & \dots\ 2^5 \\
 1048576 & = & 1\ 0\ 0\ 0\ 0\ 0\ 0\ 0\ 0\ 0\ 0\ 0\ 0\ 0\ 0\ 0\ 0 & \dots\text{ sL } 5 \text{ bits}
 \end{array}$$

Back to the original problem to compute 2^{345} :

$$2^{345} = (2^5)^{69}$$

$$= (32)^{69}$$

$$= (32)^{68} * (32)^1$$

$$= (32)^{64} * (32)^4 * (32)^1$$

$$= ((32)^4)^{16} * (32)^4 * (32)^1$$

$$= ((((32)^4)^2)^2)^2 * (32)^4 * (32)^1$$

$$= ((((32)^4 * (32)^4)^2)^2 * (32)^4 * (32)^1$$

$$= ((((32)^4 * (32)^4) * ((32)^4 * (32)^4))^2 * (32)^4 * (32)^1$$

$$= ((((32)^4 * (32)^4) * ((32)^4 * (32)^4) * ((32)^4 * (32)^4))^2 * (32)^4 * (32)^1$$

$$= ((((32)^4 * (32)^4) * ((32)^4 * (32)^4) * ((32)^4 * (32)^4) * ((32)^4 * (32)^4)) *$$

$$((((32)^4 * (32)^4) * ((32)^4 * (32)^4) * ((32)^4 * (32)^4) * ((32)^4 * (32)^4))^2 * (32)^4 * (32)^1$$

which requires 8 * operations



$$2^2 = 2 * 2 \quad (\text{one } * \text{ operation})$$

$$2^5 = 2 * 2^2 * 2^2 \quad (\text{three } * \text{ operations})$$

$$(2^5)^2 = 2^5 * 2^5 \quad (\text{four } * \text{ operations})$$

$$(2^5)^4 = 2^{5 \cdot 2^2} = (2^5)^2 * (2^5)^2 \quad (5 * \text{ operations})$$

$$(2^5)^8 = (2^5)^4 * (2^5)^4 \quad (6 * \text{ operations})$$

$$(2^5)^{16} = (2^5)^8 * (2^5)^8 \quad (7 * \text{ operations})$$

$$(32)^4 = (32 * 32) * (32 * 32)$$

2 * operations.

Modular addition and multiplication

Compute $(x + y) \bmod N$... An effective method is :

$$(x + y) \bmod N = (x \bmod N + y \bmod N) \bmod N$$

Its running time is linear in the sizes (of n bits) of these numbers, i.e., $O(n)$, where $n = \lceil \log_2 \max\{x, y\} \rceil$ is the size of $\max\{x, y\}$.

Assume that $x \geq y$.

If $\lceil \log_2 x \rceil < \lceil \log_2 N \rceil$, then $x \bmod N = x$; and the nos. times of division is 0.

If $\lceil \log_2 x \rceil > \lceil \log_2 N \rceil$, then $x \bmod N$ requires $\lceil \log_2 q \rceil \leq ((\lceil \log_2 x \rceil - \lceil \log_2 N \rceil) + 1)$ nos. times of division, since $x \bmod N = x - q * N = r$, where $0 \leq r < N$. Each time of division has to perform $\lceil \log_2 N \rceil$ additions. Its running time is $\lceil \log_2 q \rceil * \lceil \log_2 N \rceil$ which is linear, $O(n)$, where n is at most the size of $\lceil \log_2 x \rceil$ bits of x . Likewise, If $\lceil \log_2 y \rceil > \lceil \log_2 N \rceil$, then $y \bmod N$ requires $\lceil \log_2 q' \rceil \leq ((\lceil \log_2 y \rceil - \lceil \log_2 N \rceil) + 1)$ nos. times of division, since $y \bmod N = y - q' * N = r'$, where $0 \leq r' < N$. Its running time is $\lceil \log_2 q' \rceil * \lceil \log_2 N \rceil$ which is linear, $O(n')$, where n' is at most the size of $\lceil \log_2 y \rceil$ bits of y .

Finally, $(r + r') \bmod N$, where $(r + r') \leq 2(N-1)$ requires $\lceil \log_2 q'' \rceil \leq (\lceil \log_2 (r+r') \rceil - \lceil \log_2 2 * N \rceil + 1)$ nos. times of division. Its running time $\lceil \log_2 q'' \rceil * \lceil \log_2 N \rceil$ which is linear, $O(n'')$ where n'' is at most the size of $\lceil \log_2 (r+r') \rceil$ bits of $(r + r')$.

Thus, $(x+y) \bmod N$ requires $(\lceil \log_2 q \rceil + \lceil \log_2 q' \rceil + \lceil \log_2 q'' \rceil) \lceil \log_2 N \rceil \leq$ which is linear $O(n)$, $n = \lceil \log_2 N \rceil$ the input size of N .

$$\begin{aligned} 11 \bmod 4 \\ &= 7 \bmod 4 \\ &= 3 \bmod 4 \\ &= 3 \end{aligned}$$

$$\begin{array}{r} 10 \\ 100 \overline{) 1011} \\ \underline{100} \\ 11 \end{array}$$



```

      31
11 345
   33
   --
   15
   11
   --
    4

```

$\lceil \log_2 x \rceil = 9$ bits
 $\lceil \log_2 y \rceil = 4$ bits
 The nos. of
 division needed is
 $9 - 4 + 1 = 6$ additions

```

              1 1 1 1 1
1011 101011001
      1011
      --
      10101
        1011
        --
        10100
          1011
          --
          10010
            1011
            --
            1111
              1011
              --
              100

```

```

              0 1 1 1 1 1
1011 101011001
      0000
      --
      10101
        1011
        --
        10101
          1011
          --
          10100
            1011
            --
            10010
              1011
              --
              1111
                1011
                --
                100

```

$\lceil \log_2 x \rceil = 13$ bits
 $\lceil \log_2 y \rceil = 4$ bits
 The nos. of
 division needed is
 $13-4+1 = 10$
 additions

```

      7 4 4
11 | 8 1 9 1
    7 7
    ---
      4 9
      4 4
      ---
        5 1
        4 4
        ---
          7
  
```

```

              1 0 1 1 1 0 1 0 0 0
1 0 1 1 | 1 1 1 1 1 1 1 1 1 1 1 1
          1 0 1 1
          ---
            1 0 0 1
            0 0 0 0
            ---
              1 0 0 1 1
              1 0 1 1
              ---
                1 0 0 0 1
                1 0 1 1
                ---
                  1 1 0 1
                  1 0 1 1
                  ---
                    1 0 1
                    0
                    ---
                      1 0 1 1
                      1 0 1 1
                      ---
                        0 1
                        0
                        ---
                          0 1 1
                          0
                          ---
                            0 1 1 1
                            0
                            ---
                              0 1 1 1
  
```

Compute $xy \pmod N$ multiplying $x \pmod N$ and $y \pmod N$


$$(x * y) \pmod N = ((x \pmod N) * (y \pmod N)) \pmod N$$

- To multiply two mod N numbers x and y ,
 - start with regular multiplication, $x*y$ and
 - then reduce the answer modulo N .
 - The product can be as large as $(N-1)^2$.
- This is still at most $2n$ bits long since $\log(N-1)^2 = 2\log(N-1) \leq 2n$.

[Rationalize: let $n = \lceil \log_2(N-1) \rceil$, where $2^{n-1} \leq N-1 < 2^n$. We have $(N-1)^2 < 2^{2n}$.

Then $\log_2(N-1)^2 < \log_2 2^{2n}$. We obtain $2\log_2(N-1) < 2n$.

That is, $\lceil \log_2(N-1)^2 \rceil \leq 2n$.]

Example:

Let N be 31.

Let x and y be 30

$$(x * y) \pmod N$$

$$= 30 * 30 \pmod{31}$$

$$= 30 * 30$$


$$= (N-1)^2$$

Let x and y be n bits long.

$x*y$ would be $2n$ bits long.

The size of $(N-1)^2$ is $\log_2(N-1)^2$

$$\leq \lceil (N-1)^2 \rceil + 1 = 2n$$

- 
- To reduce the answer modulo N , we compute the remainder upon dividing it by N , using a quadratic-time division algorithm. Thus, multiplication remains a quadratic operation.
 - If $N \neq 0$, **division** can be done in cubic time, $O(n^3)$.

For 20 bits the original, 19th position in bit representation x or y can be $\{0, 2^{19}\}$. That is 1000....0000; The largest value would be $2^{19} - 1$. That is 0111 ... 1111.

Modular exponentiation

Any cryptography system needs a fast way to compute $x^y \bmod N$, where values x , y , and N of several hundred bits long each.



- The result is some number modulo N and is, a few hundred bits long.
 - The raw value of x^y could be much longer than this.
 - When both x and y are 20 bits numbers [bit positions are from 0 to 19], x^y is at least $(2^{19})^{(2^{19})} = 2^{(19)(524288)}$, about 10 million bits long!
 - Image what happens if y is a 500-bit number!
-
- Compute $x^y \bmod N$ by repeatedly multiplying by x modulo N to yield
 - $x \bmod N \rightarrow x^2 \bmod N \rightarrow x^3 \bmod N \rightarrow x^4 \bmod N \rightarrow \dots \rightarrow x^y \bmod N$.
 - A problem arises: We need to perform all intermediate computations modulo N . We need to perform $y - 1$ (i.e., $\approx 2^{500}$) multiplications if y is 500 bits long.
 - This approach is clearly exponential in the size of y .

Can we do better to compute $x^y \bmod N$?

- Starting with x and squaring repeatedly modulo N , compute $x \bmod N$

$$x^2 \bmod N = (x \bmod N) (x \bmod N) \bmod N$$

$$x^4 \bmod N = (x^2 \bmod N) (x^2 \bmod N) \bmod N$$

$$x^8 \bmod N = (x^4 \bmod N) (x^4 \bmod N) \bmod N$$

...

$$x^y \bmod N = (x^{\frac{y}{2}} \bmod N) (x^{\frac{y}{2}} \bmod N) \bmod N.$$

- $x \bmod N \rightarrow x^2 \bmod N \rightarrow x^4 \bmod N \rightarrow x^8 \bmod N \rightarrow \dots \rightarrow x^{2^{\lceil \log_2 y \rceil}} \bmod N$,
where $2^k = y$ which implies $k = \lceil \log_2 y \rceil$. That is $2^k = 2^{\lceil \log_2 y \rceil}$.

- Each takes just $O(\log_2^2 N)$ time to compute, and in this case, there are only $\log_2 y$ multiplications. Thus, $O(\log_2 y * \log_2^2 N)$, a polynomial time.
 - Takes $O(\log_2^2 N)$ time to compute $x^2 \bmod N = (x \bmod N * x \bmod N) \bmod N$, since it takes $O(\log_2 N)$ to compute $x \bmod N$.

To *determine* $x^y \bmod N$, multiply simply together an appropriate subset of these powers, those corresponding to 1's in the binary representation of y .

For example,

$$x^{25} = x^{11001_2} = x^{10000_2} * x^{1000_2} * x^{1_2} = x^{16} * x^8 * x^1$$

$$x^1$$

$$x^2 = x^1 * x^1$$

$$x^4 = x^2 * x^2$$

$$x^8 = x^4 * x^4$$

$$x^{16} = x^8 * x^8$$

Then, compute $x^{16} * x^8 * x^1$

$x^2, x^4, x^8, x^{16},$
 2^* constitute
6 multiplications
 $\lfloor \log_2 25 \rfloor + 1$

A polynomial-time algorithm is finally within reach!



Figure 1.4 Modular exponentiation

```
function modexp(x, y, N)
```

```
    //Compute  $x^y \bmod N$ 
```

Input: Two n-bits integers x and N, an integer exponent y.

Output: $x^y \bmod N$.

```
    if (y = 0) then return 1;
```

```
    z = modexp(x,  $\lfloor y/2 \rfloor$ , N); //  $z = x^{\lfloor y/2 \rfloor} \bmod N$ 
```

```
    if (y is even) then return  $z^2 \bmod N$ ;
```

```
        else return  $x * z^2 \bmod N$ ;
```

Figure 1.4 Modular exponentiation

function modexp(x, y, N)

//Compute $x^y \bmod N$

Input: Two n-bits integers x and N, an integer exponent y

Output: $x^y \bmod N$.



if (y = 0) then return 1;

z = modexp(x, $\lfloor y/2 \rfloor$, N); // $z = x^{\lfloor y/2 \rfloor} \bmod N$

if (y is even) then return $z^2 \bmod N$;

else return $x * z^2 \bmod N$;

The self-evidence rule:

$$x^y = \begin{cases} (x^{\lfloor y/2 \rfloor})^2 & \text{if } y \text{ is even} \\ x * (x^{\lfloor y/2 \rfloor})^2 & \text{if } y \text{ is odd.} \end{cases}$$

Compute $x^{11} \bmod N$

me(x, 11, N)

z = me(x, $\lfloor 11/2 \rfloor$, N);

z = me(x, $\lfloor 5/2 \rfloor$, N);

z = me(x, $\lfloor 2/2 \rfloor$, N);

z = me(x, $\lfloor 1/2 \rfloor$, N);

z = me(x, $\lfloor 0/2 \rfloor$, N);

if (y = 0), return 1.

z = me(x, $\lfloor 0/2 \rfloor$, N) = 1

z = me(x, $\lfloor 1/2 \rfloor$, N);

if (y is even) ... else $x * 1^2 \bmod N$

z = me(x, $\lfloor 1/2 \rfloor$, N) = $x \bmod N$

z = me(x, $\lfloor 2/2 \rfloor$, N);

if (y is even) then $(x \bmod N)^2 \bmod N$

z = me(x, $\lfloor 2/2 \rfloor$, N) = $(x^2 \bmod N) \bmod N$

z = me(x, $\lfloor 5/2 \rfloor$, N);

if (y is even) then $x * ((x^2 \bmod N) \bmod N)^2 \bmod N$

z = me(x, $\lfloor 11/2 \rfloor$, N);

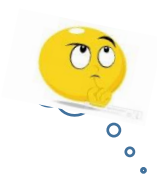
if (y is even) then $x * (x * ((x^2 \bmod N) \bmod N)^2 \bmod N)^2 \bmod N$

For computing $x^y \bmod n$,

- let n be the size in bit-representation $\max\{x, y, N\}$.
- The algorithm will halt after at most n (in fact, $\log_2 n$, since $\lfloor y/2 \rfloor$) recursive calls.
- During each call it multiplies n -bit numbers (doing computation modulo N save us here).
- ***The total running time is $\log_2 n * O(n^2) \leq O(n^3)$.***

- This recursive algorithm of Figure 1.4, which works by executing, modulo N , the self-evident rule

$$x^y = \begin{cases} (x^{\lfloor y/2 \rfloor})^2 & \text{if } y \text{ is even} \\ x * (x^{\lfloor y/2 \rfloor})^2 & \text{if } y \text{ is odd} \end{cases}$$



Example 0.25: Compute x^{25}

$$\begin{aligned}x^{25} &= x * x^{24} \\&= x * (x^{12})^2 \\&= x * ((x^6)^2)^2 \\&= x * (((x^3)^2)^2)^2 \\&= x * (((x * (x^1)^2)^2)^2)^2 \\&= x * (((x * (x * x^0)^2)^2)^2)^2\end{aligned}$$

```
function modexp(x, y, N) {  
  if (y = 0) then return 1;  
  z = modexp(x, ⌊ y/2 ⌋, N);  
  if (y is even) then return z2 mod N  
    else return x * z2 mod N;  
}
```

This closely parallels our recursive multiplication algorithm
(Figure 1.1 Multiplication à la Français).

Example 0.25: Compute $x^{25} \% N$

$\text{modexp}(x, 25, N); z = \text{ME}(x, \lfloor 25/2 \rfloor, N);$

$$\begin{aligned} x^{25} &= x * x^{24} \\ &= x * (x^{12})^2 \\ &= x * ((x^6)^2)^2 \\ &= x * (((x^3)^2)^2)^2 \\ &= x * (((x * (x^1)^2)^2)^2)^2 \\ &= x * (((x * (x * x^0)^2)^2)^2)^2 \end{aligned}$$

This closely parallels our recursive multiplication algorithm
(Figure 1.1 Multiplication à la Français).



```
function modexp(x, y, N) {  
  if (y = 0) then return 1;  
  z = modexp(x, ⌊ y/2 ⌋, N);  
  if (y is even) then return z2 mod N  
    else return x * z2 mod N; }
```

$\text{ME}(x, 25, N)$

```
y = 25 ≠ 0;      z = x * (((x * ((x * 12) % N)2 % N)2 % N)2 % N)2 % N  
z = ME(x, ⌊ 25/2 ⌋, N);  
y = 12 ≠ 0;      z = ((x * ((x * 12) % N)2 % N)2 % N)2 % N  
z = ME(x, ⌊ 12/2 ⌋, N);  
y = 6 ≠ 0;       z = (x * ((x * 12) % N)2 % N)2 % N  
z = ME(x, ⌊ 6/2 ⌋, N);  
y = 3 ≠ 0;       z = x * ((x * 12) % N)2 % N  
z = ME(x, ⌊ 3/2 ⌋, N);  
y = 1 ≠ 0;       z = (x * 12) % N  
z = ME(x, ⌊ 1/2 ⌋, N);  
y = 0;          z = x0 = 1
```

For RSA cryptography, computations are facilitated by using two properties of exponents:



$$x^{2n} = (x^n)^2 \text{ for all real numbers } x \text{ and } n \text{ with } x \geq 0. \quad \dots\dots\dots(e.0.1.4.1)$$

$$x^{a+b} = x^a x^b \text{ for all real numbers } x, a \text{ and } b \text{ with } x \geq 0. \quad \dots\dots\dots(e.0.1.4.2)$$

Example:

$$\begin{aligned} x^4 \bmod n &= (x^2)^2 \bmod n \\ &= (x^2 \bmod n)^2 \bmod n, \text{ using Corollary 0.1.4.4} \end{aligned}$$

Example:

$$\begin{aligned} x^7 \bmod n &= (x^{4+2+1}) \bmod n \\ &= (x^4 x^2 x^1) \bmod n \\ &= \{(x^4 \bmod n) (x^2 \bmod n) (x^1 \bmod n)\} \bmod n \end{aligned}$$

Example: Compute $a^k \bmod n$, when k is a power of 2.

Find $144^4 \bmod 713$.

Solution:

Use the property of $x^{2a} = (x^a)^2$ for all real numbers x and a with $x \geq 0$.

$$144 \bmod 713 = 144$$

$$144^2 \bmod 713 = 20736 \bmod 713 = 59$$

$$144^4 \bmod 713 = (144^2)^2 \bmod 713$$

$$= (144^2 \bmod 713)^2 \bmod 713$$

$$= \cancel{(20736 \bmod 713)^2 \bmod 713}$$

$$= 59^2 \bmod 713$$

$$= 3481 \bmod 713$$

$$= 629$$



Example: Compute $a^k \bmod n$, when k is a power of 2.

Find $12^{43} \bmod 713$.



Solution:

First write the exponent as a sum of powers of 2:

$$43 = 2^5 + 2^3 + 2 + 1 = 32 + 8 + 2 + 1.$$

$$43 = 101011$$

Next compute 12^{2^k} for $k = 1, 2, 3, 4, 5$

$$12 \bmod 713 = 12$$

$$12^2 \bmod 713 = 144$$

$$12^4 \bmod 713 = 144^2 \bmod 713 = 59$$

$$12^8 \bmod 713 = 59^2 \bmod 713 = 629$$

$$12^{16} \bmod 713 = 629^2 \bmod 713 = 639$$

$$12^{32} \bmod 713 = 639^2 \bmod 713 = 485$$

$$\text{floor}(\log_2 43) = 5 + 1 \text{ bits}$$

For n bits long, it needs

$\text{floor}(\log_2 n)$ bits.

Each has to do at most 2
mod and 1 multiple.

These can be done by
 $3 * \text{floor}(\log_2 n)$ of
divide/multiple operations.

Use the property of $x^{a+b} = x^a x^b$ for all real numbers x and a , and b with $x \geq 0$.

$$12^{43} = 12^{32+8+2+1} = 12^{32} 12^8 12^2 12^1$$

$$\begin{aligned} 12^{43} \bmod 713 &= ((12^{32} \bmod 713) (12^8 \bmod 713) (12^2 \bmod 713) (12^1 \bmod 713)) \bmod 713 \\ &= (485 * 629 * 144 * 12) \bmod 713 \\ &= 527152320 \bmod 713 = 48 \end{aligned}$$

These can be done by at most
 $\text{floor}(\log_2 n) - 1$ of multiple/divide
operations.



In summary,

Adding x and y takes $O(n)$;

Multiplying x and y takes $O(n^2)$;

Computing $x^y \bmod N$ takes $O(\log^2 N)$ time; and

Dividing x by y takes $O(n^2)$