

CS 58000_01/02I Design, Analysis, and Implementation Algorithms (3 cr.)

Assignment As_02 (Exam 01)

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Problem 1[30 points]: 140-30= 110 So far, you have an A for the course.

In Ch 00_03, we addressed Figure 1.4 Modular Exponentiation: Given a function $\text{modexp}(x, y, N)$ for computing $x^y \bmod N$, where x , y , and N are integers. We also addressed $a^k \bmod n$, when k is a power of 2, and a is any integer. We also addressed Fermat's Little Theorem.

1a. What is $4^{2^{2018}} \pmod{17}$?

Answer:

My approach will be Modular exponential and repeated squaring

Convert 2018 to base 2, we have 11111100010. The number 11111100010 is the sum of:

$$10000000000 = 1024$$

$$1000000000 = 512$$

$$100000000 = 256$$

$$10000000 = 128$$

$$1000000 = 64$$

$$100000 = 32$$

$$10 = 2$$

Therefore, $4^{2^{2018}}$ can be written as $4^{2^{(1024+512+256+128+64+32+2)}}$. Thus, it can further develop as $4^{2^{1024}} * 4^{2^{512}} * 4^{2^{256}} * 4^{2^{128}} * 4^{2^{64}} * 4^{2^{32}} * 4^{2^2}$.

Therefore, we have

$$\begin{aligned} &4^{2^{2018}} \pmod{17} \\ &= (4^{2^{1024}} * 4^{2^{512}} * 4^{2^{256}} * 4^{2^{128}} * 4^{2^{64}} * 4^{2^{32}} * 4^{2^2}) \pmod{17} \\ &= [(4^{2^{1024}} \pmod{17}) * (4^{2^{512}} \pmod{17}) * (4^{2^{256}} \pmod{17}) * (4^{2^{128}} \pmod{17}) * \\ &(4^{2^{64}} \pmod{17}) * (4^{2^{32}} \pmod{17}) * (4^{2^2} \pmod{17})] \pmod{17} \end{aligned}$$

Using divide and conquer, I will calculate each module's section separately. For example, let us calculate $4^{2^{1024}} \pmod{17}$:

$$4^{2^{1024}} \pmod{17}$$

$$\begin{aligned}
&= (4^{2^{512}} * 4^{2^{512}}) \bmod 17 \\
&= (4^{2^{256}} * 4^{2^{256}} * 4^{2^{256}} * 4^{2^{256}}) \bmod 17 \\
&= (4^{2^{256}} * 4^{2^{256}} * 4^{2^{256}} * 4^{2^{256}} * 4^{2^{256}} * 4^{2^{256}} * 4^{2^{256}} * 4^{2^{256}}) \bmod 17
\end{aligned}$$

$$= 4^{2^{64}} \text{ multiple with itself 16 times then mod 17}$$

$$= 4^{2^{32}} \text{ multiple with itself 32 times then mod 17}$$

$$= 4^{2^{16}} \text{ multiple with itself 64 times then mod 17}$$

$$= 4^{2^8} \text{ multiple with itself 128 times then mod 17}$$

$$= 4^{2^4} \text{ multiple with itself 256 times then mod 17}$$

$$= 4^{2^2} \text{ multiple with itself 512 times then mod 17}$$

The result would be $(4^{2^2} \bmod 17)$ multiply with itself 512 times and mod 17 can be written as

$$= [(4^{2^2} \bmod 17) * (4^{2^2} \bmod 17) \dots * (4^{2^2} \bmod 17) * (4^{2^2} \bmod 17)] \bmod 17 \quad (1)$$

(*) Apply the fact that $(X^a)^2 = X^{2a}$ and $X^{(a+b)} = X^a * X^b$. I will double both the Number Columns and the result columns for each iteration to calculate the

number	Result mod	Result
$4^1 \bmod 17$	$4 \bmod 17$	
$4^2 \bmod 17$	$16 \bmod 17$	
$4^4 \bmod 17$	$256 \bmod 17$	1

Therefore, $4^{2^2} \bmod 17$ equals 1. replace this result with (1), and we got 4^{2^2} time itself 512 times (equals 1 time itself 512 times) then mod 17. We have the result is $1 \bmod 17 = 1$. Therefore, $4^{2^{1024}} \bmod 17 = 1$

Applying the same method, I found:

$$4^{2^{512}} \bmod 17 = 1$$

$$4^{2^{256}} \bmod 17 = 1$$

$$4^{2^{128}} \bmod 17 = 1$$

$$4^{2^{64}} \bmod 17 = 1$$

$$4^{2^{32}} \bmod 17 = 1$$

$$4^{2^2} \bmod 17 = 1$$

Therefore, $[(4^{2^{1024}} \bmod 17) * (4^{2^{512}} \bmod 17) * (4^{2^{256}} \bmod 17) * (4^{2^{128}} \bmod 17) * (4^{2^{64}} \bmod 17) * (4^{2^{32}} \bmod 17) * (4^{2^2} \bmod 17)] \bmod 17 = 1 \bmod 17 = 1$.

$$4^{2^{2018}} \pmod{17} = 1$$

The above way is not the one I would like to have: See my soln:

$$\begin{aligned}
 4^{2^{2018}} \pmod{17} &\equiv 4^{2^2 \cdot 2^{2016}} \pmod{17} \\
 &\equiv (4^{2^2})^{2^{2016}} \pmod{17} \\
 &\equiv (4^4)^{2^{2016}} \pmod{17} \\
 &\equiv (256)^{2^{2016}} \pmod{17} \\
 &\equiv (256 \pmod{17})^{2^{2016}} \pmod{17} \\
 &\equiv (1)^{2^{2016}} \pmod{17} \\
 &\equiv 1 \pmod{17} \\
 &= 1
 \end{aligned}$$

1b. What is $4^{2^{2006}} \pmod{31}$? (Hard Problem) **The answer is 8.** **-5**

My approach will be Modular exponential and repeated squaring

Convert 2006 to base 2, we have 11111010110. The number 11111010110 is the sum of:

$$10000000000 = 1024$$

$$1000000000 = 512$$

$$100000000 = 256$$

$$10000000 = 128$$

$$1000000 = 64$$

$$10000 = 16$$

$$100 = 4$$

$$10 = 2$$

Therefore, $4^{2^{2006}}$ can be written as $4^{2^{1024+512+256+128+64+16+4+2}}$. Thus, it can further develop as $4^{2^{1024}} * 4^{2^{512}} * 4^{2^{256}} * 4^{2^{128}} * 4^{2^{64}} * 4^{2^{16}} * 4^{2^4} * 4^{2^2}$. Therefore, we have:

$$4^{2^{2006}} \pmod{31}$$

$$= (4^{2^{1024}} * 4^{2^{512}} * 4^{2^{256}} * 4^{2^{128}} * 4^{2^{64}} * 4^{2^{16}} * 4^{2^4} * 4^{2^2}) \bmod 31$$

$$= [(4^{2^{1024}} \bmod 31) * (4^{2^{512}} \bmod 31) * (4^{2^{256}} \bmod 31) * (4^{2^{128}} \bmod 31) * (4^{2^{64}} \bmod 31) * (4^{2^{16}} \bmod 31) * (4^{2^4} \bmod 31) * (4^{2^2} \bmod 31)] \bmod 31$$

Using divide and conquer, I will calculate each module's section separately. For example, let us calculate $4^{2^{1024}} \bmod 17$:

$$\begin{aligned} &4^{2^{1024}} \bmod 31 \\ &= (4^{2^{512}} * 4^{2^{512}}) \bmod 31 \\ &= (4^{2^{256}} * 4^{2^{256}} * 4^{2^{256}} * 4^{2^{256}}) \bmod 31 \\ &= (4^{2^{256}} * 4^{2^{256}} * 4^{2^{256}} * 4^{2^{256}} * 4^{2^{256}} * 4^{2^{256}} * 4^{2^{256}} * 4^{2^{256}}) \bmod 31 \end{aligned}$$

$$= 4^{2^{64}} \text{ multiple with itself 16 times then mod 31}$$

$$= 4^{2^{32}} \text{ multiple with itself 32 times then mod 31}$$

$$= 4^{2^{16}} \text{ multiple with itself 64 times then mod 31}$$

$$= 4^{2^8} \text{ multiple with itself 128 times then mod 31}$$

$$= 4^{2^4} \text{ multiple with itself 256 times then mod 31}$$

$$= 4^{2^2} \text{ multiple with itself 512 times then mod 31}$$

$$\text{The result would be } (4^{2^2} \bmod 31) \text{ multiply with itself 512 times and then mod 31}$$

$$= [(4^{2^2} \bmod 31) * (4^{2^2} \bmod 31) \dots * (4^{2^2} \bmod 31) * (4^{2^2} \bmod 31)] \bmod 31$$

(result-2)

(*) Apply the fact that $(X^a)^2 = X^{2a}$ and $X^{(a+b)} = X^a * X^b$. I will double both the Number Columns and the result columns for each iteration to calculate the result (1)

number	Result mod	Result
$4^1 \bmod 31$	$4 \bmod 31$	
$4^2 \bmod 31$	$16 \bmod 31$	
$4^4 \bmod 31$	$256 \bmod 31$	8

Therefore, $4^{2^2} \bmod 31$ equals 8. replace this result with result-2, we got 8 times itself 512 times then mod 31. We have the result $4^{2^{1024}} \bmod 31 = 8^{512} \bmod 31$. Using the same methods in table 1 we have (2)

number	Result mod	Convert
$8^1 \bmod 31$	$8 \bmod 31$	8

$8^2 \bmod 31$	$8 \cdot 8 \bmod 31$	2
$8^4 \bmod 31$	$2 \cdot 2 \bmod 31$	4
$8^8 \bmod 31$	$4 \cdot 4 \bmod 31$	16
$8^{16} \bmod 31$	$16 \cdot 16 \bmod 31$	8
$8^{32} \bmod 31$	$8 \cdot 8 \bmod 31$	2
$8^{64} \bmod 31$	$2 \cdot 2 \bmod 31$	4
$8^{128} \bmod 31$	$4 \cdot 4 \bmod 31$	16
$8^{256} \bmod 31$	$16 \cdot 16 \bmod 31$	8
$8^{512} \bmod 31$	$8 \cdot 8 \bmod 31$	2

As a result, $4^{2^{1024}} \bmod 31 = 8^{512} \bmod 31 = 2$

Apply the same from (1) and (2), and we have:

$$4^{2^{512}} \bmod 31 = 8^{256} \bmod 31 = 8$$

$$4^{2^{256}} \bmod 31 = 8^{128} \bmod 31 = 16$$

$$4^{2^{128}} \bmod 31 = 8^{64} \bmod 31 = 4$$

$$4^{2^{64}} \bmod 31 = 8^{32} \bmod 31 = 2$$

$$4^{2^{16}} \bmod 31 = 8^8 \bmod 31 = 16$$

$$4^{2^4} \bmod 31 = 8^2 \bmod 31 = 2$$

$$4^{2^2} \bmod 31 = 8^1 \bmod 31 = 8$$

Therefore:

$$4^{2^{2006}} \pmod{31}$$

$$= [(4^{2^{1024}} \bmod 31) * (4^{2^{512}} \bmod 31) * (4^{2^{256}} \bmod 31) * (4^{2^{128}} \bmod 31) * (4^{2^{64}} \bmod 31) * (4^{2^{16}} \bmod 31) * (4^{2^4} \bmod 31) * (4^{2^2} \bmod 31)] \bmod 31$$

$$= [(8^{512} \bmod 31) * (8^{256} \bmod 31) * (8^{128} \bmod 31) * (8^{64} \bmod 31) * (8^{32} \bmod 31) * (8^8 \bmod 31) * (8^2 \bmod 31) * (8^1 \bmod 31)] \bmod 31$$

$$= (2 \cdot 8 \cdot 16 \cdot 4 \cdot 2 \cdot 16 \cdot 2 \cdot 8) \bmod 31$$

$$= (16 \cdot 16 \cdot 8 \cdot 16 \cdot 16) \bmod 31$$

$$= (16^4 \cdot 8) \bmod 31$$

$$= (2^4 \cdot 4 \cdot 2^3) \bmod 31$$

$$= (2^{16} \cdot 2^2 \cdot 2^1) \bmod 31$$

$$= [(2^{16} \bmod 31) * (2^2 \bmod 31) * (2 \bmod 31)] \bmod 31$$

$$= (2 \cdot 4 \cdot 2) \bmod 31$$

$$= 16$$

$$4^{2^{2006}} \pmod{31} = 16$$

number	Result mod	Convert
$2^1 \pmod{31}$	$2 \pmod{31}$	2
$2^2 \pmod{31}$	$2*2 \pmod{31}$	4
$2^4 \pmod{31}$	$4 * 4 \pmod{31}$	16
$2^8 \pmod{31}$	$16 * 16 \pmod{31}$	8
$2^{16} \pmod{31}$	$8*8 \pmod{31}$	2

The solution is:

$$2^5 = 32 \equiv 1 \pmod{31}$$

$$2^4 = 16 \equiv 1 \pmod{5}$$

$$2^{2000} = (2^4)^{500} \equiv 1 \pmod{5}$$

$$2^{2007} \equiv 2^7 = 2^{4+3} \equiv 2^3 \equiv 3 \pmod{5}$$

$$4^{2^{2006}} = 2^{2*2^{2006}} = 2^{2^{2007}} = 2^{5N+3} \equiv 2^3 \pmod{31} \equiv 8 \pmod{31}$$

$$\text{The answer is } 4^{2^{2006}} \pmod{31} = 8.$$

$$\text{Key is: } 2^{2^{2007}} = 2^{5N+3} \equiv 2^3 \pmod{31} = 8$$

1c. Construct (Design) a polynomial-time algorithm for computing $x^{y^z} \pmod{p}$, where x, y, z , and a prime p .

Algorithm modexp (x, y, z, p):

Input: two n -bits integers x and p (p is a prime number); two integer exponent y and z .

Output: $x^{y^z} \pmod{p}$

if ($y = 0$) then return 1;

$y = y^z$

// compute the y^z then we can use the same formula for $x^y \pmod{p}$

$z = \text{modexp}(x, \lfloor y/2 \rfloor, N)$; // $z = x^{\lfloor y/2 \rfloor} \pmod{N}$

if (y is even) then return $z^2 \pmod{N}$;

else return $x * z^2 \pmod{N}$;

Problem 2 [60 points]:

This problem is an exercise using the formalization of the RSA public-key cryptosystem. For solving the problems, you are required to use the following formalization of the RSA public-key cryptosystem.

Given the following formalization of the RSA public-key cryptosystem, each participant creates their public key (n, g) where a is a small prime number, and n is the product of two large primes, p and q . However, the two large primes p and q are secret keys.

1. Select two very large prime numbers p and q . The number of bits needed to represent p and q might be 1024.

2. Compute

$$n = pq$$

$$\varphi(n) = (p - 1)(q - 1).$$

The formula for $\varphi(n)$ is owing to the Theorem: The number of elements in $Z_n^* = \{ [1]_n, [2]_n, \dots, [n-1]_n \}$ is given by Euler's totient function, which is

$$\varphi(n) = n \prod_{p:p|n} \left(1 - \frac{1}{p}\right),$$

where the product is over all primes that divide n , including n if n is prime.

3. Choose a small prime number as an encryption component g , that is relatively prime to $\varphi(n)$. That means,

$$\gcd(g, \varphi(n)) = 1, \text{ i.e.,}$$

$$\gcd(g, (p-1)(q-1)) = 1.$$

4. Compute the multiplicative inverse $[h]_{\varphi(n)}$ of $[g]_{\varphi(n)}$. That is,

$$[g]_{\varphi(n)}[h]_{\varphi(n)} = [1]_{\varphi(n)}.$$

The inverse exists and is unique.

That is, the decryption component $h = g^{-1} \bmod \varphi(n)$.

5. Let $pkey = (n, g)$ be the public key, and $skey = (p, q, h)$ be the secret key.
 - For any message $M \bmod n$, the encryption of M is $C = M^g \bmod n$.
 - The decryption of C is $M = C^h \bmod n$.

End of the formalization of the RSA public-key cryptosystem.

Use the RSA Cryptosystem formalism for solving problem 2.

Given $g = 59$, $p = 991$ and $q = 997$.

2a. Show that the given values of g , p , and q are prime.

Answer

The factor of 59 is 1, 59

The factor of 991 is 1, 991

The factor of 997 is 1, 997

Apply Fermat's little theorem:

- If $a = 2$ and $p = 59$:
 - Using Fermat's theorem: $a^{p-1} \bmod p = 1 \bmod p$ then p is a prime number
 - $2^{58} \bmod 59 = 1$
 - $288,230,376,151,711,744 \bmod 59 = 1$
 - $1 = 1$
 - Therefore, 59 is a prime number
- If $a = 2$ and $p = 991$:
 - Using Fermat's theorem: $a^{p-1} \bmod p = 1 \bmod p$ then p is a prime number
 - $2^{990} \bmod 991 = 1 \bmod 991$
 - $2^{990} \bmod 991 = 1 \bmod 991$
 - $1.0463951242053391806136963369727e+298 \bmod 991 = 1$
 - $1 = 1$
 - Therefore 991 is a prime number
- If $a = 2$ and $p = 997$:
 - Using Fermat's theorem: $a^{p-1} \bmod p = 1 \bmod p$ then p is a prime number
 - $2^{996} \bmod 997 = 1 \bmod 997$
 - $2^{996} \bmod 997 = 1 \bmod 997$
 - $6.696928794914170755927656556625e+299 \bmod 997 = 1$
 - $1 = 1$
 - Therefore 997 is a prime number

Use algorithm sieve is quicker than using Fermat's Little Thm.

2b. Compute $n = pq$ and $\phi(n) = (p - 1)(q - 1)$.

Answer

Given $g = 59$, $p = 991$ and $q = 997$. Therefore, $n = pq = 988027$.

$$\phi(n) = (p - 1)(q - 1) = (991 - 1)(997 - 1) = 986040$$

$$\phi(n) = 986040$$

$$n = 988027$$

2c: Given a plaintext $M = 5065747269$, what is the encryption of M , using $C = M^g \bmod n$? Show in detail how you derive C , which is the ciphertext of the plaintext M .

Answer

$$M = 5,06,5,7,4,7,2,6,9$$

$$\text{Given } g = 59, p = 991, q = 997, n = 998027, \varphi(n) = 986040$$

Therefore,

$$C = M^g \bmod n$$

$$5: C = 5^{59} \bmod 998027 = 610651$$

$$06: C = 6^{59} \bmod 998027 = 276250$$

$$5: C = 5^{59} \bmod 998027 = 610651$$

$$7: C = 7^{59} \bmod 998027 = 848338$$

$$4: C = 4^{59} \bmod 998027 = 50246$$

$$7: C = 7^{59} \bmod 998027 = 848338$$

$$2: C = 2^{59} \bmod 998027 = 380645$$

$$6: C = 6^{59} \bmod 998027 = 276250$$

$$9: C = 9^{59} \bmod 998027 = 212630$$

$$C = 61065127625061065184833850246848338380645276250212630$$

$$\text{Encode: } C = M^e \pmod{pq}, \text{ where } e = g = 59$$
$$59 = 32 + 16 + 8 + 2 + 1$$

$$\begin{aligned} \text{Compute } C &= M^e \pmod{pq} \\ &= 5065747269^{59} \pmod{988027} \\ &= 5065747269^{(32+16+8+2+1)} \pmod{988027} = ? = 433940 \end{aligned}$$

$$\begin{aligned} \text{Compute } C &= M^e \pmod{pq} \\ &= 5065747269^{59} \pmod{988027} \\ &= 5065747269^{(32+16+8+2+1)} \pmod{988027} = ? = 433940 \end{aligned}$$

$$\text{Give } M = 5065747269 \text{ and } n = pq = 988027.$$

$$M \bmod n = 132840$$

$$M^{**2} \bmod n \equiv 132840^2 \bmod 988027 = 303380$$

$$M^{**4} \bmod n \equiv 303380^2 \bmod 988027 = 757242$$

$$M^{**8} \bmod n \equiv 757242^2 \bmod 988027 = 144736$$

$$M^{**16} \bmod n \equiv 144736^2 \bmod 988027 = 361242$$

$$M^{**32} \bmod n \equiv 361242^2 \bmod 988027 = 140485$$

$$5065747269^{59} \pmod{988027}$$

$$\equiv (5065747269^{32} * 5065747269^{16} * 5065747269^8 * 5065747269^2 * 5065747269) \pmod{988027}$$

$$\equiv ((5065747269 \pmod{988027}) * (5065747269^2 \pmod{988027}) * (5065747269^8 \pmod{988027}) * (5065747269^{16} \pmod{988027}) * (5065747269^{32} \pmod{988027})) \bmod 988027$$

$$\equiv (132840 * 303380 * 144736 * 361242 * 140485) \bmod 988027$$

$$\equiv ((((((132840 * 303380) \bmod 988027) * 144736) \bmod 988027) * 361242) \bmod 988027) * 140485) \bmod 988027$$

$$\equiv (((((365897 * 144736) \bmod 988027) * 361242) \bmod 988027) * 140485) \bmod 988027$$

$$\equiv (((220992 * 361242) \bmod 988027) * 140485) \bmod 988027$$

$$\equiv (986518 * 140485) \bmod 988027$$

$$= 433940$$

The ciphertext of the plaintext 5065747269 is 433940

2d. Compute the multiplicative inverse $[h]_{\varphi(n)}$ of $[g]_{\varphi(n)}$. That is, the decryption component $h = g^{-1} \bmod \varphi(n)$.

[Hints: Compute a GCD as a Linear Combination. [Then, find an Inverse Modulo n](#). In other words, you can apply the extended Euclid algorithm to find the linear combination of g and $\varphi(n)$. Then find a positive inverse of $g \bmod \varphi(n)$.]

Answer

We have Given $g = 59$, $p = 991$, $q = 997$, $n = 998027$, $\varphi(n) = 986040$

$$h = g^{-1} \bmod \varphi(n) = 59^{-1} \bmod (986040) = 584939$$

$$\text{Using this equation } h = (((\varphi(n) * i) + 1) / g) = (((986040 * i) + 1) / 59)$$

Increase i by 1 start with $i=1$ and choose the result as an integer h .

when $i=1$, $h=16712.5593220339$
when $i=2$, $h=33425.101694915254$
when $i=3$, $h=50137.64406779661$
when $i=4$, $h=66850.18644067796$
when $i=5$, $h=83562.72881355933$
when $i=6$, $h=100275.27118644067$
when $i=7$, $h=116987.81355932204$
when $i=8$, $h=133700.35593220338$
when $i=9$, $h=150412.89830508476$
when $i=10$, $h=167125.4406779661$
when $i=11$, $h=183837.98305084746$
when $i=12$, $h=200550.5254237288$
when $i=13$, $h=217263.06779661018$
when $i=14$, $h=233975.61016949153$
when $i=15$, $h=250688.15254237287$
when $i=16$, $h=267400.69491525425$
when $i=17$, $h=284113.23728813557$
when $i=18$, $h=300825.77966101695$
when $i=19$, $h=317538.3220338983$
when $i=20$, $h=334250.86440677964$
when $i=21$, $h=350963.406779661$
when $i=22$, $h=367675.9491525424$
when $i=23$, $h=384388.4915254237$
when $i=24$, $h=401101.0338983051$
when $i=25$, $h=417813.57627118647$
when $i=26$, $h=434526.1186440678$
when $i=27$, $h=451238.66101694916$
when $i=28$, $h=467951.2033898305$
when $i=29$, $h=484663.74576271186$
when $i=30$, $h=501376.28813559323$

when i=31, h=518088.83050847455
 when i=32, h=534801.3728813559
 when i=33, h=551513.9152542372
 when i=34, h=568226.4576271187
 when i=35, h=584939.0

Therefore, h=584939 Although ans is correct, but this is not the method for finding it. -5 Inefficient calculation.

Soln:

Find a positive inverse of 59 (mod (p-1)(q-1)) = 59 (mod 986040)

$$\begin{aligned} \gcd(986040, 59) \quad \underline{986040} &= 16712 * \underline{59} + 32 \\ 1 &= -13*\underline{59} + 24*(\underline{986040} - 16712*\underline{59}) \\ 1 &= 24*\underline{986040} - 401101*\underline{59} \end{aligned}$$

$$\begin{aligned} = \gcd(59, 32) \quad \underline{59} &= 1 * \underline{32} + 27 & 1 &= 11*32 - 13*(\underline{59} - 1*\underline{32}) \\ & & 1 &= -13*\underline{59} + 24*\underline{32} \end{aligned}$$

$$\begin{aligned} = \gcd(32, 27) \quad \underline{32} &= 1 * \underline{27} + 5 & 1 &= -2*\underline{27} + 11*\underline{5} \\ & & 1 &= -2*\underline{27} + 11*(\underline{32} - 1*\underline{27}) \\ & & 1 &= 11*32 - 13*\underline{27} \end{aligned}$$

$$\begin{aligned} = \gcd(27, 5) \quad \underline{27} &= 5 * \underline{5} + 2 & 1 &= 1*\underline{5} - 2*\underline{2} \\ & & 1 &= 1*\underline{5} - 2*(\underline{27} - 5*\underline{5}) \\ & & 1 &= -2*\underline{27} + 11*\underline{5} \end{aligned}$$

$$\begin{aligned} = \gcd(5, 2) \quad \underline{5} &= 2 * \underline{2} + 1 & 1 &= 1* \underline{1} = 1*(\underline{5} - 2 * \underline{2}) \\ & & 1 &= 1*\underline{5} - 2*\underline{2} \end{aligned}$$

$$\begin{aligned} = \gcd(2, 1) \quad \underline{2} &= 2 * \underline{1} + 0 & 1 &= 1 * \underline{1} - 0 * (1*\underline{2} - 2*\underline{1}) \\ & & 1 &= 1 * \underline{1} \end{aligned}$$

$$= \gcd(1, 0) \quad \underline{1} = 0 * \underline{0} + 1 \quad 1 = 1 * \underline{1} - 0 * \underline{0}$$

$$= 1$$

We have $1 = 24*\underline{986040} - 401101*\underline{59}$

$$1 \pmod{986040} \equiv (24 \cdot 986040 - 401101 \cdot 59) \pmod{986040}$$

$$1 \pmod{986040} \equiv (24 \cdot 986040 \pmod{986040} - 401101 \cdot 59 \pmod{986040}) \pmod{986040}$$

986040)

$$1 \pmod{986040} \equiv (0 - 401101 \cdot 59) \pmod{986040}$$

$$1 \pmod{986040} \equiv -401101 \cdot 59 \pmod{986040}$$

$$1 \pmod{986040} \equiv -401101 \cdot 59 \pmod{986040}$$

$$-401101 \cdot 59 \pmod{986040} \equiv 1 \pmod{986040}$$

$$-401101 \equiv \frac{1}{59} \pmod{986040}$$

-401101 is the multiplicative inverse of 59.

-401101 + 986040 = 584939 is the smallest positive multiplicative inverse of 59

The secret key is (988027, 584939)

2e. From problem 2d, what is your secret key (p, q, h)?

Answer

We have (p = 991, q = 997, h = 584939)

Also, n = 998027, $\varphi(n) = 986040$

2f. What is the decryption of C using $M = C^h \pmod{n}$? Show in detail how you derive M, which is the plaintext M of the ciphertext C.

Answer

C = 610651 276250 610651 848338 50246 848338 380645 276250 212630

We have 584939 = 10001110110011101011 which is the sum of

10000000000000000000, to decimal is: 524288

1000000000000000000, to decimal is: 32768

1000000000000000000, to decimal is: 16384

1000000000000000000, to decimal is: 8192

1000000000000000000, to decimal is: 2048

10000000000, to decimal is: 1024
 10000000, to decimal is: 128
 1000000, to decimal is: 64
 100000, to decimal is: 32
 1000, to decimal is: 8
 10, to decimal is: 2
 1, to decimal is: 1

Decrypt the first character 610651: $M = C^h \bmod n = 610651^{584939} \bmod 988027$

610651¹ mod 988027: 610651
 610651² mod 988027: 409650
 610651⁴ mod 988027: 688658
 610651⁸ mod 988027: 833072
 610651¹⁶ mod 988027: 19871
 610651³² mod 988027: 633868
 610651⁶⁴ mod 988027: 545685
 610651¹²⁸ mod 988027: 541965
 610651²⁵⁶ mod 988027: 454530
 610651⁵¹² mod 988027: 87173
 610651¹⁰²⁴ mod 988027: 216272
 610651²⁰⁴⁸ mod 988027: 379804
 610651⁴⁰⁹⁶ mod 988027: 124443
 610651⁸¹⁹² mod 988027: 713078
 610651¹⁶³⁸⁴ mod 988027: 42750
 610651³²⁷⁶⁸ mod 988027: 700577
 610651⁶⁵⁵³⁶ mod 988027: 780544
 610651¹³¹⁰⁷² mod 988027: 858899
 610651²⁶²¹⁴⁴ mod 988027: 96732
 610651⁵²⁴²⁸⁸ mod 988027: 464134

$$M = C^h \bmod n$$

$$= 610651^{584939} \bmod 988027$$

$$= (610651^{524288} * 610651^{32768} * 610651^{16384} * 610651^{8192} * 610651^{2048} * 610651^{1024} * 610651^{128} * 610651^{64} * 610651^{32} * 610651^8 * 610651^2 * 610651^1) \bmod 988027$$

$$= [(610651^{524288} \bmod 988027) * (610651^{32768} \bmod 988027) * (610651^{16384} \bmod 988027) * (610651^{8192} \bmod 988027) * (610651^{2048} \bmod 988027) * (610651^{1024} \bmod 988027) * (610651^{128} \bmod 988027) * (610651^{64} \bmod 988027) * (610651^{32} \bmod 988027) * (610651^8 \bmod 988027) * (610651^2 \bmod 988027) * (610651^1 \bmod 988027)] \bmod 988027$$

$$\begin{aligned}
&= (464134 * 700577 * 42750 * 713078 * 379804 * 216272 * 541965 * 545685 * 633868 \\
&* 833072 * 409650 * 610651) \bmod 988027 \\
&= \mathbf{664356}
\end{aligned}$$

The answer is not correct, but I can't track where I do it wrong. I have been gone over this multiple times but can't see what is wrong.

Decrypt the character 276250: $M = C^h \bmod n = 276250^{584939} \bmod 988027$

$$\begin{aligned}
276250^1 \bmod 988027 &: 276250 \\
276250^2 \bmod 988027 &: 833074 \\
276250^4 \bmod 988027 &: 388082 \\
276250^8 \bmod 988027 &: 707060 \\
276250^{16} \bmod 988027 &: 85816 \\
276250^{32} \bmod 988027 &: 620625 \\
276250^{64} \bmod 988027 &: 968891 \\
276250^{128} \bmod 988027 &: 616506 \\
276250^{256} \bmod 988027 &: 481541 \\
276250^{512} \bmod 988027 &: 690024 \\
276250^{1024} \bmod 988027 &: 933222 \\
276250^{2048} \bmod 988027 &: 973972 \\
276250^{4096} \bmod 988027 &: 925652 \\
276250^{8192} \bmod 988027 &: 778326 \\
276250^{16384} \bmod 988027 &: 391712 \\
276250^{32768} \bmod 988027 &: 661925 \\
276250^{65536} \bmod 988027 &: 180367 \\
276250^{131072} \bmod 988027 &: 477687 \\
276250^{262144} \bmod 988027 &: 34319 \\
276250^{524288} \bmod 988027 &: 65577
\end{aligned}$$

$$M = C^h \bmod n$$

$$\begin{aligned}
&= 276250^{584939} \bmod 988027 \\
&= (276250^{524288} * 276250^{32768} * 276250^{16384} * 276250^{8192} * 276250^{2048} * \\
&276250^{1024} * 276250^{128} * 276250^{64} * 276250^{32} * 276250^8 * 276250^2 * \\
&276250^1) \bmod 988027 \\
&= [(276250^{524288} \bmod 988027) * (276250^{32768} \bmod 988027) * \\
&(276250^{16384} \bmod 988027) * (276250^{8192} \bmod 988027) * (276250^{2048} \bmod \\
&988027) * (276250^{1024} \bmod 988027) * (276250^{128} \bmod 988027) * \\
&(276250^{64} \bmod 988027) * (276250^{32} \bmod 988027) * (276250^8 \bmod 988027) * \\
&(276250^2 \bmod 988027) * (276250^1 \bmod 988027)] \bmod 988027 \\
&= (65577 * 661925 * 391712 * 778326 * 973972 * 933222 * 616506 * 968891 * 620625 \\
&* 707060 * 833074 * 276250) \bmod 988027 \\
&= \mathbf{112625}
\end{aligned}$$

Decrypt the character 848338: $M = C^h \bmod n = 848338^{584939} \bmod 988027$

$848338^1 \bmod 988027: 848338$
 $848338^2 \bmod 988027: 471498$
 $848338^4 \bmod 988027: 336896$
 $848338^8 \bmod 988027: 301218$
 $848338^{16} \bmod 988027: 776087$
 $848338^{32} \bmod 988027: 880126$
 $848338^{64} \bmod 988027: 703660$
 $848338^{128} \bmod 988027: 508901$
 $848338^{256} \bmod 988027: 566615$
 $848338^{512} \bmod 988027: 100764$
 $848338^{1024} \bmod 988027: 418244$
 $848338^{2048} \bmod 988027: 827267$
 $848338^{4096} \bmod 988027: 943388$
 $848338^{8192} \bmod 988027: 777889$
 $848338^{16384} \bmod 988027: 88333$
 $848338^{32768} \bmod 988027: 269670$
 $848338^{65536} \bmod 988027: 157619$
 $848338^{131072} \bmod 988027: 798273$
 $848338^{262144} \bmod 988027: 900582$
 $848338^{524288} \bmod 988027: 287072$

$M = C^h \bmod n$

$= 848338^{584939} \bmod 988027$
 $= (848338^{524288} * 848338^{32768} * 848338^{16384} * 848338^{8192} * 848338^{2048} * 848338^{1024} * 848338^{128} * 848338^{64} * 848338^{32} * 848338^8 * 848338^2 * 848338^1) \bmod 988027$
 $= [(848338^{524288} \bmod 988027) * (848338^{32768} \bmod 988027) * (848338^{16384} \bmod 988027) * (848338^{8192} \bmod 988027) * (848338^{2048} \bmod 988027) * (848338^{1024} \bmod 988027) * (848338^{128} \bmod 988027) * (848338^{64} \bmod 988027) * (848338^{32} \bmod 988027) * (848338^8 \bmod 988027) * (848338^2 \bmod 988027) * (848338^1 \bmod 988027)] \bmod 988027$
 $= (287072 * 269670 * 88333 * 777889 * 827267 * 418244 * 508901 * 703660 * 880126 * 301218 * 471498 * 848338) \bmod 988027$

= 422920

Decrypt the character 50246: $M = C^h \bmod n = 50246^{584939} \bmod 988027$

$50246^1 \bmod 988027: 50246$
 $50246^2 \bmod 988027: 251531$
 $50246^4 \bmod 988027: 523043$

$50246^8 \bmod 988027: 171846$
 $50246^{16} \bmod 988027: 896740$
 $50246^{32} \bmod 988027: 296651$
 $50246^{64} \bmod 988027: 226965$
 $50246^{128} \bmod 988027: 347526$
 $50246^{256} \bmod 988027: 864277$
 $50246^{512} \bmod 988027: 632027$
 $50246^{1024} \bmod 988027: 788683$
 $50246^{2048} \bmod 988027: 572423$
 $50246^{4096} \bmod 988027: 792703$
 $50246^{8192} \bmod 988027: 778425$
 $50246^{16384} \bmod 988027: 377849$
 $50246^{32768} \bmod 988027: 953328$
 $50246^{65536} \bmod 988027: 603715$
 $50246^{131072} \bmod 988027: 497249$
 $50246^{262144} \bmod 988027: 835197$
 $50246^{524288} \bmod 988027: 50620$

$$M = C^h \bmod n$$

$$\begin{aligned}
&= 50246^{584939} \bmod 988027 \\
&= (50246^{524288} * 50246^{32768} * 50246^{16384} * 50246^{8192} * 50246^{2048} * \\
&\quad 50246^{1024} * 50246^{128} * 50246^{64} * 50246^{32} * 50246^8 * 50246^2 * 50246^1) \\
&\quad \bmod 988027 \\
&= [(50246^{524288} \bmod 988027) * (50246^{32768} \bmod 988027) * (50246^{16384} \bmod 988027) * \\
&\quad (50246^{8192} \bmod 988027) * (50246^{2048} \bmod 988027) * \\
&\quad (50246^{1024} \bmod 988027) * (50246^{128} \bmod 988027) * (50246^{64} \bmod 988027) * \\
&\quad (50246^{32} \bmod 988027) * (50246^8 \bmod 988027) * (50246^2 \bmod 988027) * \\
&\quad (50246^1 \bmod 988027))] \bmod 988027 \\
&= (50620 * 953328 * 377849 * 778425 * 572423 * 788683 * 347526 * 226965 * 296651 \\
&\quad * 171846 * 251531 * 50246) \bmod 988027 \\
&= 6097
\end{aligned}$$

Decrypt the character 380645: $M = C^h \bmod n = 380645^{584939} \bmod 988027$

$380645^1 \bmod 988027: 380645$
 $380645^2 \bmod 988027: 408583$
 $380645^4 \bmod 988027: 61888$
 $380645^8 \bmod 988027: 531892$
 $380645^{16} \bmod 988027: 412565$
 $380645^{32} \bmod 988027: 491881$
 $380645^{64} \bmod 988027: 842455$
 $380645^{128} \bmod 988027: 4088$
 $380645^{256} \bmod 988027: 903312$

$380645^{512} \bmod 988027: 591124$
 $380645^{1024} \bmod 988027: 966529$
 $380645^{2048} \bmod 988027: 755395$
 $380645^{4096} \bmod 988027: 444553$
 $380645^{8192} \bmod 988027: 233215$
 $380645^{16384} \bmod 988027: 325929$
 $380645^{32768} \bmod 988027: 14082$
 $380645^{65536} \bmod 988027: 697324$
 $380645^{131072} \bmod 988027: 308845$
 $380645^{262144} \bmod 988027: 119418$
 $380645^{524288} \bmod 988027: 465033$

$$M = C^h \bmod n$$

$$\begin{aligned}
&= 380645^{584939} \bmod 988027 \\
&= (380645^{524288} * 380645^{32768} * 380645^{16384} * 380645^{8192} * 380645^{2048} * \\
&\quad 380645^{1024} * 380645^{128} * 380645^{64} * 380645^{32} * 380645^8 * 380645^2 * \\
&\quad 380645^1) \bmod 988027 \\
&= [(380645^{524288} \bmod 988027) * (380645^{32768} \bmod 988027)) * \\
&\quad (380645^{16384} \bmod 988027)) * (380645^{8192} \bmod 988027)) * (380645^{2048} \bmod \\
&\quad 988027)) * (380645^{1024} \bmod 988027)) * (380645^{128} \bmod 988027)) * \\
&\quad (380645^{64} \bmod 988027)) * (380645^{32} \bmod 988027)) * (380645^8 \bmod 988027)) * \\
&\quad (380645^2 \bmod 988027)) * (380645^1 \bmod 988027))] \bmod 988027 \\
&= (465033 * 14082 * 325929 * 233215 * 755395 * 966529 * 4088 * 842455 * 491881 * \\
&\quad 531892 * 408583 * 380645) \bmod 988027 \\
&= 138455
\end{aligned}$$

Decrypt the character 212630: $M = C^h \bmod n = 212630^{584939} \bmod 988027$

$212630^1 \bmod 988027: 212630$
 $212630^2 \bmod 988027: 389407$
 $212630^4 \bmod 988027: 367824$
 $212630^8 \bmod 988027: 5758$
 $212630^{16} \bmod 988027: 549673$
 $212630^{32} \bmod 988027: 762302$
 $212630^{64} \bmod 988027: 211262$
 $212630^{128} \bmod 988027: 477000$
 $212630^{256} \bmod 988027: 214278$
 $212630^{512} \bmod 988027: 458567$
 $212630^{1024} \bmod 988027: 919052$
 $212630^{2048} \bmod 988027: 200620$
 $212630^{4096} \bmod 988027: 116528$
 $212630^{8192} \bmod 988027: 319723$
 $212630^{16384} \bmod 988027: 535282$
 $212630^{32768} \bmod 988027: 965578$

$212630^{65536} \bmod 988027: 63831$
 $212630^{131072} \bmod 988027: 761240$
 $212630^{262144} \bmod 988027: 597884$
 $212630^{524288} \bmod 988027: 72937$

$$M = C^h \bmod n$$

$$\begin{aligned}
 &= 212630^{584939} \bmod 988027 \\
 &= (212630^{524288} * 212630^{32768} * 212630^{16384} * 212630^{8192} * 212630^{2048} * \\
 &212630^{1024} * 212630^{128} * 212630^{64} * 212630^{32} * 212630^8 * 212630^2 * \\
 &212630^1) \bmod 988027 \\
 &= [(212630^{524288} \bmod 988027) * (212630^{32768} \bmod 988027) * \\
 &(212630^{16384} \bmod 988027) * (212630^{8192} \bmod 988027) * (212630^{2048} \bmod \\
 &988027) * (212630^{1024} \bmod 988027) * (212630^{128} \bmod 988027) * \\
 &(212630^{64} \bmod 988027) * (212630^{32} \bmod 988027) * (212630^8 \bmod 988027) * \\
 &(212630^2 \bmod 988027) * (212630^1 \bmod 988027)] \bmod 988027 \\
 &= (72937 * 965578 * 535282 * 319723 * 200620 * 919052 * 477000 * 211262 * 762302 \\
 &* 5758 * 389407 * 212630) \bmod 988027 \\
 &= 38965
 \end{aligned}$$

Doing the same thing for the rest of them we have:

the cipher text is: 610651, the original text is 664356
 the cipher text is: 276250, the original text is 112625
 the cipher text is: 610651, the original text is 664356
 the cipher text is: 848338, the original text is 422920
 the cipher text is: 50246, the original text is 6097
 the cipher text is: 848338, the original text is 422920
 the cipher text is: 380645, the original text is 138455
 the cipher text is: 276250, the original text is 112625
 the cipher text is: 212630, the original text is 38965

After all, the correct answer should be $C = 610651\ 276250\ 610651\ 848338\ 50246\ 848338\ 380645\ 276250\ 212630$

$M = 5065747269$ Method is inefficient! The conversion of C to M is not there. -5

My 2f solution is:

The secret key is (988027, 584939)

Decode: $M = C^d \pmod{pq}$

$$M = 433940^{584939} \bmod 988027$$

$$\begin{aligned}
 584939 &= 2^{19} + 2^{15} + 2^{14} + 2^{13} + 2^{11} + 2^{10} + 2^7 + 2^6 + 2^5 + 2^3 + 2 + 1 \\
 &= 1 + 2 + 8 + 32 + 64 + 128 + 1024 + 2048 + 8192 + \\
 &16384 + 32768 + 424288
 \end{aligned}$$

Enter C **433940**, d 584939, pq 988027

$$\mathbf{433940 \bmod 988027 = 433940}$$

$$\mathbf{433940^2 \bmod 988027 = 797805}$$

$$\mathbf{433940^4 \bmod 988027 = 884490}$$

$$\mathbf{433940^8 \bmod 988027 = 805446}$$

$$\mathbf{433940^{16} \bmod 988027 = 778608}$$

$$\mathbf{433940^{32} \bmod 988027 = 763112}$$

$$\mathbf{433940^{64} \bmod 988027 = 762852}$$

$$\mathbf{433940^{128} \bmod 988027 = 762852}$$

$$\mathbf{433940^{256} \bmod 988027 = 166442}$$

$$\mathbf{433940^{512} \bmod 988027 = 638338}$$

$$\mathbf{433940^{1024} \bmod 988027 = 223093}$$

$$\mathbf{433940^{2048} \bmod 988027 = 602578}$$

$$\mathbf{433940^{4096} \bmod 988027 = 323584}$$

$$\mathbf{433940^{8192} \bmod 988027 = 443731}$$

$$\mathbf{433940^{16384} \bmod 988027 = 215720}$$

$$\mathbf{433940^{32768} \bmod 988027 = 34727}$$

$$\mathbf{433940^{65536} \bmod 988027 = 571589}$$

$$\mathbf{433940^{131072} \bmod 988027 = 132750}$$

$$\mathbf{433940^{262144} \bmod 988027 = 112928}$$

$$\mathbf{433940^{424288} \bmod 988027 = 268695}$$

Decode: $M = C^d \pmod{pq}$

$$M = 433940^{584939} \bmod 988027$$

$$M = (433940 * 797805 * 805446 * 763112 * 762852 * 211039 * 223093 * 602578 * 443731 * 215720 * 34727 * 268695) \bmod 988027$$

$$M = (((((((((((((((((((((((((((((((433940 * 797805) \bmod 988027) * 805446) \bmod 988027) * 763112) \bmod 988027) * 762852) \bmod 988027) * 211039) \bmod 988027) * 223093) \bmod 988027) * 602578) \bmod 988027) * 443731) \bmod 988027) * 215720) \bmod 988027) * 34727) \bmod 988027) * 268695) \bmod 988027) \bmod 988027 \\ = 132840$$

$$(\text{mod1} * \text{mod2}) \bmod n = 769062$$

$$(\text{mod1_2} * \text{mod8}) \bmod n = 312164$$

$$(\text{mod1_2_8} * \text{mod32}) \bmod n = 808614$$

$$(\text{mod1_2_8_32} * \text{mod64}) \bmod n = 874299$$

$$(\text{mod1_2_8_32_64} * \text{mod128}) \bmod n = 108492$$

$$(\text{mod1_32_64_128} * \text{mod1024}) \bmod n = 108337$$

$$(\text{mod1_32_64_128_1024} * \text{mod2048}) \bmod n = 572842$$

$$(\text{mod1_32_64_128_2048} * \text{mod8192}) \bmod n = 23266$$

$$(\text{mod1_128_2048_8192} * \text{mod16384}) \bmod n = 752387$$

$$(\text{mod1_2048_8192_16384} * \text{mod32768}) \bmod n = 757361$$

$$(\text{mod1_8192_16384_32768} * \text{mod424288}) \bmod n = 132840$$

M should be

$$132840 + \lfloor (M/988027) \rfloor * 988027$$

$$= 132840 + 5127 * 988027$$

$$= 5065747269$$

The plaintext M for the ciphertext 433940 is:

the decryption of C using $M = C^h \bmod n$

$$M = 433940^{584939} \bmod 988027$$

$$= 5065747269$$

2g. (Bonus) [5 points]:

What is the message (in terms of the alphabet)?

Answer

If I encode $a=1, b=2, c=3, d=4, e=5, f=6, g=7, h=8, i=9, \dots$

The message should be: efegdgbf

What is the message (in terms of the alphabet)? **Petri**

+0

Problem 3 [30 points]:

Assume that we define

$$h_1(k) = k \bmod 13, \text{ and}$$

$$h_2(k) = 1 + (k \bmod 11).$$

For open addressing, consider the following methods

Linear Probing

Given an ordinary hash function $h: U \rightarrow \{0, 1, 2, \dots, m-1\}$, the method of linear probing uses the hash function $h(k, i) = (h_1(k) + i) \bmod m$. for $i = 0, 1, 2, \dots, m-1$.

Quadratic Probing

Uses a hashing function of the form $h(k, i) = (h_1(k) + c_1i + c_2i^2) \bmod m$, where h_1 is an auxiliary hash function, c_1 and $c_2 \neq 0$ are auxiliary constants $c_1=3c_2=5$, and $i = 0, 1, 2, \dots, m-1$.

Double hashing

Uses a hashing function of the form $h(k, i) = (h_1(k) + i h_2(k)) \bmod m$, where h_1 and h_2 are auxiliary hash functions. The value of $h_2(k)$ must never be zero and should be relatively prime to m for the sequence to include all possible addresses.

Given $K = \{79, 69, 98, 72, 14, 50, 88, 99, 78, 65\}$ and the size of a table is 13, with indices counting from 0, 1, 2, ..., 12. Store the given K in a table with the size 13 counting the indices from 0, 1, 2, ..., 12. Show the resultant table with 10 given keys for each method applied:

3a. if linear probing is employed.

The Resultant Table with 10 given keys is: Complete the table.

We have $K = [79, 69, 98, 72, 14, 50, 88, 99, 78, 65]$

After using the $h_1(k) = k \bmod 13$ the key will look like this

$H_1 = [1, 4, 7, 7, 1, 11, 10, 8, 0, 0]$

- Since index 1, 4, and 7 is empty we push 79, 69, and 98 in the table
- 72 gives index 7 (which is not available). We increment i by 1, now we are at index 8. Index 8 is available, so we push 72 into index 8.
- 14 gives index 1 (which is not available). We increment i by 1, now we are at index 2. Index 2 is available, so we push 14 into index 2.
- 50, 88 give index 11, 10 accordingly. They are all available, so we push them according to the index.
- 99 gives index 8 (which is not available). We increment i by 1, now we are at index 9. Index 9 is available, so we push 99 into index 9.
- 78 gives an index of 0 accordingly. It is available, so we put 78 into index 0
- 65 gives an index of 0 (which is not available). We increment i by 1, now we are at index 1 (still not available). Keep increment by 1 until it hits Index 3. Index 3 is available, so we push 65 into index 3.
-

78	79	14	65	69			98	72	99	88	50	
0	1	2	3	4	5	6	7	8	9	10	11	12

3b. if quadratic probing is employed.

The Resultant Table with 10 given keys is: Complete the table.

$h(k, i) = (h_1(k) + c_1i + c_2i^2) \bmod 13$

with $c_1c_2 = 5$, $h_1(k) = k \bmod 13$ we have $h(k, i) = (h_1(k) + 5i + (5i^2)/3) \bmod 13$

We have $K = [79, 69, 98, 72, 14, 50, 88, 99, 78, 65]$

We have $h_1 = [1, 4, 7, 7, 1, 11, 10, 8, 0, 0]$

I calculate the combination of $h(k, i)$ and put them in a table for easy explanation.

According to the table we have $h = [1, 4, 7, 0, 5, 11, 10, 8, 6, 3]$

	$h(k, i)$												
k	0	1	2	3	4	5	6	7	8	9	10	11	12
79	1	7	4	5	8	2	0	0	4	12	9	10	2
69	4	10	7	8	11	5	3	3	7	2	12	0	5
98	7	0	10	11	1	8	6	6	10	5	2	3	8
72	7	0	10	11	1	8	6	6	10	5	2	3	8
14	1	7	4	5	8	2	0	0	4	12	9	10	2
50	11	4	1	2	5	12	10	10	1	9	6	7	12
88	10	3	0	1	4	11	9	9	0	8	5	6	11
99	8	1	11	12	2	9	7	7	11	6	3	4	9
78	0	6	3	4	7	1	12	12	3	11	8	9	1
65	0	6	3	4	7	1	12	12	3	11	8	9	1

- We have $h(79, 0) = 1$ index 1 is empty so put 79 into index 1
- We have $h(69, 0) = 4$ index 4 is empty so put 69 into index 4
- We have $h(98, 0) = 7$ index 7 is empty so put 98 into index 7
- We have $h(72, 0) = 7$ index 7 is not empty so increment I by 1. $h(72, 1) = 0$ index 0 is empty so put 72 into index 0
- We have $h(14, 0) = 1$ index 1 is not empty so increment I by 1. We have $h(14, 1) = 7$ index 7 is not empty so increment I by 1. We have $h(14, 2) = 4$ index 4 is not empty so increment I by 1. $h(14, 3) = 5$ index 5 is empty so put 14 into index 5
- We have $h(50, 0) = 11$ index 11 is empty so put 50 into index 11
- We have $h(88, 0) = 10$ index 10 is empty so put 88 into index 10
- We have $h(99, 0) = 8$ index 8 is empty so put 99 into index 8
- We have $h(78, 0) = 0$ index 0 is not empty so increment I by 1. $h(78, 1) = 6$ index 6 is empty so put 78 into index 6
- We have $h(65, 0) = 0$ index 0 is not empty so increment I by 1. $h(65, 1) = 6$ index 6 is not empty so increment I by 1. $h(65, 2) = 3$ index 3 is empty so put 65 into index 3

72	79		65	69	14	78	98	99		88	50	
0	1	2	3	4	5	6	7	8	9	10	11	12

-5

Hash position = $(h_1(k) + c_1i + c_2i^2) \bmod 13$

Where $h_1(k) = k \bmod 13$

$C_1 = 3, c_2 = 5$

$k = \{79, 69, 98, 72, 14, 50, 88, 99, 78, 65\}$ This is a set of keys. We take it one by one from the beginning.

QUADRATIC PROBING

$$h(k, i) = (h(k) + c_1i + c_2i^2) \bmod m$$

$$h(k, i) = (h(k) + 3i + 5i^2) \bmod 13$$

1. $h(79, 0) = (79 \bmod 13 + 0 + 0) \bmod 13 = 1 \bmod 13 = \mathbf{1}$
2. $h(69, 0) = (69 \bmod 13 + 0 + 0) \bmod 13 = \mathbf{4}$
3. $h(98, 0) = (98 \bmod 13 + 0 + 0) \bmod 13 = \mathbf{7}$
4. $h(72, 0) = (72 \bmod 13 + 0 + 0) \bmod 13 = 7 \rightarrow \text{occupied}$
 $h(72, 1) = (72 \bmod 13 + 3(1) + 5(1)) \bmod 13 = (7+3+5) \bmod 13 = \mathbf{2}$
5. $h(14, 0) = (14 \bmod 13 + 0 + 0) \bmod 13 = 1 \rightarrow \text{occupied}$
 $h(14, 1) = (14 \bmod 13 + 3 + 5) \bmod 13 = 9 \bmod 13 = \mathbf{9}$
6. $h(50, 0) = (50 \bmod 13 + 0 + 0) \bmod 13 = \mathbf{11}$
7. $h(88, 0) = (88 \bmod 13 + 0 + 0) \bmod 13 = \mathbf{10}$
8. $h(99, 0) = (99 \bmod 13 + 0 + 0) \bmod 13 = \mathbf{8}$
9. $h(78, 0) = (78 \bmod 13 + 0 + 0) \bmod 13 = \mathbf{0}$
10. $h(65, 0) = (65 \bmod 13 + 0) \bmod 13 = 0 \rightarrow \text{occupied}$
 $h(65, 1) = (65 \bmod 13 + 3 + 5) \bmod 13 = 8 \bmod 13 = 8 \rightarrow \text{occupied}$
 $h(65, 2) = (65 \bmod 13 + 3(2) + 5(4)) \bmod 13 = 26 \bmod 13 = 0 \rightarrow \text{occupied}$
 $h(65, 3) = (65 \bmod 13 + 3(3) + 5(9)) \bmod 13 = 54 \bmod 13 = 2 \rightarrow \text{occupied}$
 $h(65, 4) = (65 \bmod 13 + 3(4) + 5(16)) \bmod 13 = 92 \bmod 13 = 1 \rightarrow \text{occupied}$
 $h(65, 5) = (65 \bmod 13 + 3(5) + 5(25)) \bmod 13 = 140 \bmod 13 = 10 \rightarrow \text{occupied}$
 $h(65, 6) = (65 \bmod 13 + 3(6) + 5(36)) \bmod 13 = 188 \bmod 13 = \mathbf{3}$

0	1	2	3	4	5	6	7	8	9	10	11	12
78	79	72	65	69			98	99	14	88	50	

3c. if double hashing is employed.

The Resultant Table with 10 given keys is: Complete the table.

We have K = [79, 69, 98, 72, 14, 50, 88, 99, 78, 65]

We have h1= [1, 4, 7, 7, 1, 11, 10, 8, 0, 0]

We have h2= [3, 4, 11, 7, 4, 7, 1, 1, 2, 11]

I calculate the combination of h(k, i) and put them in a table for easy explanation.

According to the table we have h = [1,4,7,8,5,11,10,9,0,3]

			h(k,i)												
k	h1	h2	0	1	2	3	4	5	6	7	8	9	10	11	12
79	1	3	1	4	7	10	0	3	6	9	12	2	5	8	11
69	4	4	4	8	12	3	7	11	2	6	10	1	5	9	0
98	7	11	7	5	3	1	12	10	8	6	4	2	0	11	9
72	7	7	7	1	8	2	9	3	10	4	11	5	12	6	0
14	1	4	1	5	9	0	4	8	12	3	7	11	2	6	10
50	11	7	11	5	12	6	0	7	1	8	2	9	3	10	4
88	10	1	10	11	12	0	1	2	3	4	5	6	7	8	9
99	8	1	8	9	10	11	12	0	1	2	3	4	5	6	7
78	0	2	0	2	4	6	8	10	12	1	3	5	7	9	11
65	0	11	0	11	9	7	5	3	1	12	10	8	6	4	2

I will fill the number in according to the table

78	79		65	69	14		98	72	99	88	50	
0	1	2	3	4	5	6	7	8	9	10	11	12

Problem 4 [20 points]:

For the division method for creating hash functions, map a key k into one of the m slots by taking the remainder of k divided by m. The hash function is:

$$h(k) = k \bmod m,$$

where m should not be a power of 2.

For the multiplication method for creating hash functions, the hash function is

$$h(k) = \lfloor m (kA - \lfloor kA \rfloor) \rfloor = \lfloor m (kA \bmod 1) \rfloor$$

where “ $kA \bmod 1$ ” means the fractional part of kA and a constant A in the range $0 < A < 1$.

An advantage of the multiplication method is that the value of m is not critical.

Choose $m = 2^p$ for some integer p.

Give your explanations for the following questions:

4a. Why m should not be a power of 2 in the division method for creating a hash function?

Answer:

m should not be a power of 2, since if $m = 2^p$, then $h(k)$ is just the p lowest-order bits. According to (R-1), Prime numbers that are too close to a power of 2 will provide the same kind of biasing as a power of 2 for the keys which differ by $+a$ or $-a$ if $2^k = a \pmod{M}$.

In cases when keys are distributed in such a way that many different keys have the same low-order p -bit patterns, then choosing m equal to a power of 2 will fail to provide uniform distribution.

-5

Soln:

4a. 2^k has k -bit representation. That is, $2^k = 1$ is followed by a $k-1$ number of 0 in bits representation, $10\dots00$. For example. $2^4 = 1000$, $2^5 = 10000$, $2^6 = 100000$, etc.

Consider a key K , then $h(K) = K \pmod{2^k}$, and $h(K)$ has the rightmost $k-1$ bit representation as its remainder to be the hash. The reason is: Let K be $i+k$ bits long in bit representation.

Consider $\mathcal{C}_k(K) = \{ u_i m_k \neq v_i m_k \mid u_i \text{ and } v_i \text{ are the leftmost } i\text{-bit representations, where } u_i \neq v_i; \text{ and } m_k \text{ is rightmost } k\text{-bit representation.} \}$ Therefore, $\mathcal{C}_k(K)$ has 2^{k-1} keys and $h(K_1) = K_1 \pmod{2^k} = h(K_2) = K_2 \pmod{2^k}$ for $K_1, K_2 \in \mathcal{C}_k(K)$. For example, for $\mathcal{C}_4(K) = \{ 1000101, 1010101, 1100101, 1110101, 1001101, 1011101, 1101101, 1111101 \}$. Since $h(K) = K \pmod{2^4} = 101_2 = 5$, all these 8 distinct numbers will be hashed to the same value 5.

In summary, $h(K) = K \pmod{2^k}$ will retain the rightmost $k-1$ bit representation from a list of numbers $\mathcal{C}_k(K)$. If $\mathcal{C}_k(K)$ has a list of distinct numbers which have the same value of rightmost $k-1$ bit representation, they will have the same hashed value (namely, they collide).

Thus, the value of m should not be a power of 2 for the division method for creating a hash function, because if m was a power of 2, h would become the $k-1$ lowest-order bits of key K . And there is a list of numbers $\mathcal{C}_k(K)$ where each distinct K , $h(K) = K \pmod{2^k}$ has the same $k-1$ lowest-order bits. These keys contend the same location

of a given table. i.e., collisions occur. $\text{mod } 2^k$ does not provide a uniform distribution of data.

4b. Why $m = 2^p$, for some integer p , could be (and in fact, favorably) used in the multiplication method?

According to (R2), the multiplication method is suitable $m = 2^p$ when the table size is a power of two, then getting the index from the hash could be implemented as a bitwise AND operation. Therefore, the whole path of computing the table index by the key with multiplication hashing is **very fast**.

The multiplication method seems to be better in all respects than the division method including the fact that the multiplication method **is not restricted to choosing prime m**

-5

For the multiplication method for creating hash functions.

The hash function is

$$h(k) = \lfloor m (kA \bmod 1) \rfloor$$

where “ $kA \bmod 1$ ” is the fractional part of kA , and a constant A , $0 < A < 1$.

For Example:

Consider that a good value for A is 0.618033

So, $A = 0.618033$

Consider $K = 123456$

Consider $m = 2^p$ such as $m = 10^4$

$$\begin{aligned} h(k) &= \lfloor 2^p (123456 * 0.618033 \bmod 1) \rfloor \\ &= \lfloor 10^4 (76300.00411 \bmod 1) \rfloor \\ &= \lfloor 10^4 (0.0041151) \rfloor \\ &= \lfloor 41.151 \rfloor = 41 \end{aligned}$$

Extend this notion to 2^p which has a p -bit representation. That is, $2^p = 1$ follows by $k-1$ number of 0 in bits representation, $10\dots00$. For example $2^4 = 1000$, $2^5 = 10000$, $2^6 = 100000$, etc. For $\lfloor m (kA \bmod 1) \rfloor$, multiplying the fraction part of kA by m is to shift left the fraction part by $k-1$ bits. (Is this true?)

Thus, $m=2^p$ for some integer p could be used for the multiplication method for creating hash functions. And in multiplication the value of m does not matter therefore, it is a power of 2 that does not matter.

Unlike the division method, m is not a critical value here, so we don't need to avoid any value of m . We often set m to be a power of 2 (say $m = 2^p$) for some integer p since it makes computation easier. For example: Suppose the word size of our computer is w bits. If we further restrict A to be a real of the form $s/2^w$ for some integer s , then we can compute the hash value by following these steps:

Step 1: Obtain $k*s$ as a $2w$ -bit integer

Step 2: Retain the last w bits of $k*s$

Step 3: Retain the first p bits of the result of step 2

References:

[Hash size: Are prime numbers "near" powers of two a poor choice for the modulus? - Computer Science Stack Exchange](#) (R1)

[algorithm - What are the disadvantages of hashing function using multiplication method - Stack Overflow](#) (R2)

Note: If you provide your answer in your handwriting, good handwriting is required.

Proper numbering of your answer to each problem is strictly required. The problem's solution must be given orderly. (10 points off if not)

CS 58000_01/02I Design, Analysis and Implementation Algorithms
Assignment As_03 (Exam 02)

Problem 1[30 points]:

1a. Show that for any real constants $a < 0$ and $b > 0$,

$$(n + a)^b = \Theta(n^b).$$

ANSWER:

To prove $(n+a)^b = O(n^b)$, we must prove that there exist constant $c_1, c_2, n_0 > 0$ such that $0 < c_1 * n^b \leq (n+a)^b \leq c_2 * n^b$ for all $n \geq n_0$

$$n+a \leq 2*n, \text{ when } |a| \leq n$$

$$n+a \geq \frac{1}{2}*n, \text{ when } |a| \leq n/2$$

$$\text{Therefore } n \geq 2|a| \text{ and } 0 \leq n/2 \leq (n+a) \leq 2n$$

As $b > 0$, we raise all the terms of the previous inequality to the power of b without breaking the inequality.

$$0^b \leq (n/2)^b \leq (n+a)^b \leq (2n)^b$$

$$0 \leq (1/2)^b * n^b \leq (n+a)^b \leq 2^b * n^b$$

$$0 \leq \frac{1}{2^b} * n^b \leq (n+a)^b \leq 2^b * n^b$$

$$\text{Therefore there exists } c_1 = 1/(2^b), c_2 = 2^b, \text{ and } n_0 = 2|a|$$

1b. Explain why the statement “The running time of algorithm A is at least $O(n^2)$ ” is meaningless.

ANSWER:

$T(n)$: running time of Algo A.

$T(n)$ The statement is: $T(n) \geq O(n^2)$. To decide the meaning of a function we need to decide the upper bound and lower bound of it.

Upper bound: Because $T(n) \geq O(n^2)$, then there's no information about upper bound of $T(n)$

Lower bound: Assume $f(n) = O(n^2)$, then the statement is: $T(n) \geq f(n)$, but $f(n)$ could be anything that is "smaller" than n^2 . Ex: constant, n, \dots , So there's no conclusion about lower bound of $T(n)$ too

Therefore, The statement is meaningless

Reference:

[big o - Running time of algorithm A is at least \$O\(n^2\)\$ - Why is it meaningless? - Stack Overflow](#)

1c. Is $2n+1 = O(2n)$? Justify your answer.

Is $22n = O(2n)$? Justify your answer.

ANSWER:

Here

Is $2^{n+1} = O(2^n)$? Justify your answer

n	$2^{(n+1)}$	2^n	compare
0	2	1	not equal
1	4	2	not equal
2	8	4	not equal

Therefore 2^{n+1} is not equal to $O(2^n)$ and

Is $2^{2n} = O(2^n)$? Justify your answer.

n	$2^{(n+1)}$	2^n	compare
0	1	1	equal
1	4	2	not equal

Therefore, 2^{2n} is not equal $O(2^n)$

Problem 2 [30 points]:

Order of the following functions according to their order of growth (from the lowest to the highest)

$(n-2)!$, $22n$, $0.002n^4 + 3n^2 + 1$, 2^n , e^n , $n2^n$, $\ln 2n$, $3\sqrt{n}$, $3n$, $2^{\log n}$, n^2 , $4^{\log n}$, $\sqrt{\log n}$

{Hint: $\ln 2n = (\log_e n) (\log_e n)$ where $e = 2.71828$.}

ANSWER:

	Functions		Similarity group
F(1)	$(n-2)!$	$(n-2)! \Rightarrow O((n-2)!)$ This a factorial and can implement by a recursive call from 1 to n-2	
F(2)	2^{2n}	$2^{2n} = (2^2)^n = 4^n \Rightarrow O(4^n)$	Group 1

F(3)	$0.002 \cdot n^4 + 3 \cdot n^2 + 1$	$0.002 \cdot n^4 + 3 \cdot n^2 + 1 = \text{infinity} + \text{infinity} + \text{constant} = \text{infinity} + \text{infinity} + 0$ \Rightarrow Therefore, the time complexity will be $O(n^4)$ as n^4 is the highest	Group 2
F(4)	2^n	$2^n \Rightarrow O(2^n)$	Group 1
F(5)	e^n	$e^n \Rightarrow O(e^n)$ $\Rightarrow e$ is a constant there for e^n belongs to Group 1	Group 1
F(6)	$n \cdot 2^n$	$n \cdot 2^n \Rightarrow O(n \cdot 2^n)$ \Rightarrow Due to the time complexity of this function is based on n . Therefore it belongs to group 1	Group 1
F(7)	$1 \cdot n^2 \cdot n$	$1 \cdot n^2 \cdot n = n^3$ \Rightarrow , since 2 is a constant therefore the time complexity of this function, is $O(n^2)$	
F(8)	$3\sqrt{n}$	$3\sqrt{n} \Rightarrow O(n^{1/3})$	Group 2
F(9)	3^n	$3^n \Rightarrow O(3^n)$	Group 1
F(10)	$2^{\log n}$	$2^{\log n} \Rightarrow O(2^{\log n})$ the logarithmic function takes less time complexity than other given function	Group 3
F(11)	n^2	$n^2 \Rightarrow O(n^2)$	Group 2
F(12)	$4^{\log n}$	$4^{\log n} \Rightarrow O(4^{\log n})$ the logarithmic function takes less time complexity than other given function	Group 3
F(13)	$\sqrt{\log n}$	$\sqrt{\log n} = O(\log n^{1/2})$	

Group:

- Group 1: F(2), F(4), F(5), F(6), F(9)
- Group 2: F(3), F(11), F(8)
- Group 3: F(10), F(12)
- Standalone: F(1), F(7), F(13)

Total Comparison:

$F(1) = O((n-2)!)$

$F(7) = O(n^2 \cdot n)$

$F(13) = O(\log n^{1/2})$: will take less than other functions because $^{1/2}$ is a constant. Therefore, $O(\log n^{1/2})$ is equal to $O(\log n)$ (in the order of growth)

Group 3 sampling: $F(10) = O(2^{\log n})$: second smallest in the order of growth in logarithmic function takes less than other given function

Group 1 sampling: $F(2) = O(4^n)$

Group 2 sampling: $F(3) = O(n^4)$: third smallest

$$F(13) < \text{Group3} < \text{Group2} < \text{Group1} < F(7) < F(1)$$

Group 1 Comparision:

We have Group 1: $F(2)$, $F(4)$, $F(5)$, $F(6)$, $F(9)$

$$F(2) = O(4^n)$$

$$F(4) = O(2^n)$$

$$F(5) = O(e^n) = O(2.71828^n)$$

$$F(6) = O(n2^n)$$

$$F(9) = O(3^n)$$

Therefore, the order of growth within group 1 should be: $F(4) < F(5) < F(9) < F(2) < F(6)$

Group 2 Comparision:

We have Group 2: $F(3)$, $F(8)$, $F(11)$

$$F(3) = O(n^4)$$

$$F(8) = O(n^{1/3})$$

$$F(11) = O(n^2)$$

Therefore, the order of growth within group 1 should be: $F(8) < F(11) < F(3)$

Group 3 Comparision:

We have Group 3: $F(10)$, $F(12)$

$$F(10) = O(2^{\log n})$$

$$F(12) = O(4^{\log n})$$

Therefore, the order of growth within group 1 should be: $F(10) < F(12)$

Finally: $F(13) < \text{Group3} < \text{Group2} < \text{Group1} < F(7) < F(1)$

Final Result:

$$F(13) < F(10) < F(12) < F(8) < F(11) < F(3) < F(4) < F(5) < F(9) < F(2) < F(6) \leq F(7) < F(1)$$

Therefore:

$$[\sqrt{\log n}] < [2^{\log n}] < [4^{\log n}] < [3^{\sqrt{n}}] < [n^2] < [0.002 \cdot n^4 + 3 \cdot n^2 + 1] < [2^n] < [e^n] < [3^n] < [2^{2n}] < [n \cdot 2^n] = [1 \cdot n^2 \cdot n] < (n-2)!$$

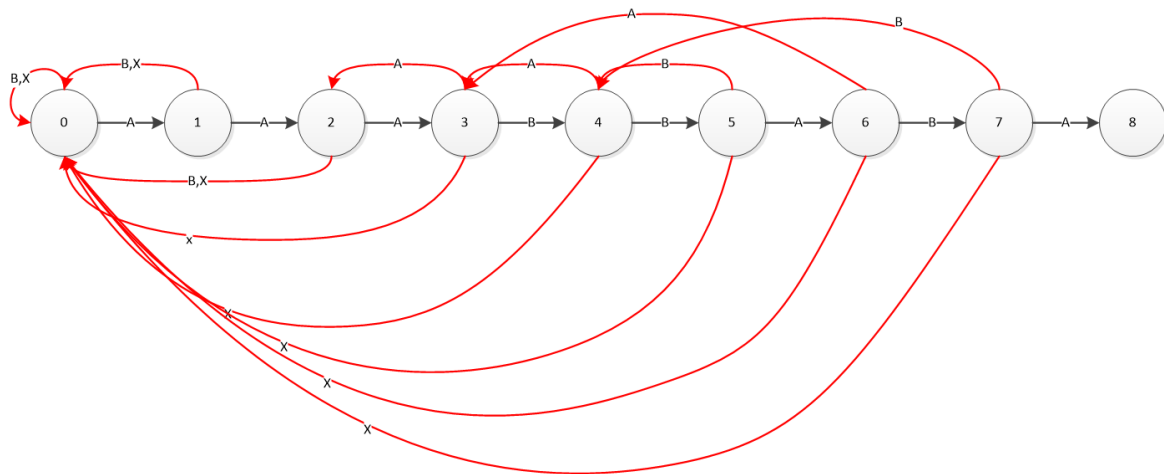
Problem 3[40 points]:

Construct the string-matching automaton for the pattern $P = \text{aaabbaba}$ over the alphabet $\Sigma = \{a, b, x \mid x \text{ is any letter other than } a \text{ and } b\}$; and illustrate its operation on the text string $T = \text{aaaabbabaaabbaabbabaab}$.

3a. Construct the string-matching automaton for the pattern P over the alphabet $\Sigma = \{a, b, x\}$ in terms of the state transition table (Complete the state transition table)

ANSWER:

	input			P
state	a	b	x	
0	1	0	0	a
1	2	0	0	a
2	3	0	0	a
3	2	4	0	b
4	3	5	0	b
5	6	4	0	a
6	3	7	0	b
7	8	4	0	a
8				



3b. Show the operation on the text string T , computed by the state transition table.

Complete the following table, in which $T[i]$ is the letter at the position i of the text string; and State $\Phi(T[i])$ stands for the state transition $\Phi(s, T[i]) = s'$.

text string $T = \text{aaaabbabaaabbaabbabaab}$.

ANSWER:

Stop when hit step 8, I found the pattern matches the text string at index $i=9$. I then stop to fill in the table because I have already found the matched string there is no need to keep going

i		1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
T[i]		a	a	a	a	b	b	a	b	a	a	a	b	b	a	a	a	b	b	a	b	a	a	b	
State $\Phi(T[i])$	0	1	2	3	3	4	5	6	7	8															

3c. Complete the following sentence.

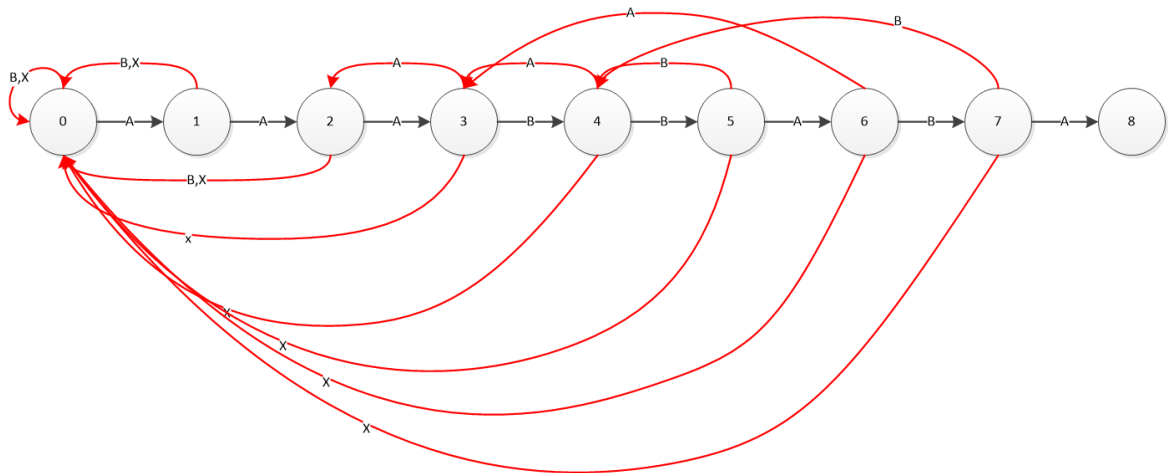
ANSWER:

The result is text string $T = \text{"aaaabbabaaabbababab"}$ matches the pattern at shift = 1 ($i=2$) and shift = 8 ($i=9$). (Note that shift = $i - 1$)

3d. Draw a state transition diagram for a string-matching automaton for the pattern P over the alphabet $\Sigma = \{a, b, x \mid x \text{ is any letter other than } a \text{ and } b\}$.

ANSWER:

The state transition diagram was drawn with Microsoft Visio.



Problem 4[30 points]

Consider the following Algorithm Quicksort:

Algorithm Quicksort($A[p \dots r]$)

//Quicksort($A[0 \dots n - 1]$) is the initial call for sorting an entire array A .

Input: A subarray $A[p \dots r]$ of $A[0 \dots n-1]$, defined by its left and right indices p and r .

Output: Subarray $A[p \dots r]$ sorted in nondecreasing order

```
{  
  if ( $p < r$ )  
    {  
       $s \leftarrow \text{Partition}(A[p \dots r]);$  //  $s \leftarrow j$  is a split position  
      Quicksort( $A[p \dots s-1]$ ); //there is  $s - p$  elements  
      Quicksort( $A[s+1 \dots r]$ ); //there is  $r - s$  elements  
    }  
}
```

Algorithm Partition($A[p \dots r]$)

//Partitions a subarray by using its first element as a pivot.

Input: A subarray $A[p \dots r]$ of $A[0 \dots n-1]$, defined by its left and right indices p and r ,
($p < r$).

Output: A partition of $A[p \dots r]$, with the split position returned as this function's value

```
{  
   $x \leftarrow A[p];$  //set  $x$  be the leftmost element of  $A[p \dots r]$ .  
   $i \leftarrow p; j \leftarrow r+1;$  //set left and right pointers pointing at  $p$  and  $r+1$   
  repeat  
    repeat  $i \leftarrow i+1$  until  $A[i] \geq x;$  //move  $i$  towards right until ...  
    repeat  $j \leftarrow j-1$  until  $A[j] \leq x;$  //move  $j$  towards left until ...  
    swap( $A[i], A[j]$ );  
  until  $i \geq j;$   
  swap( $A[i], A[j]$ );  
  swap( $A[p], A[j]$ );  
  return  $j;$   
}
```

In the Algorithm Partition($A[p \dots r]$), there are three swap() procedures.

4a. When and why the first swap($A[i], A[j]$) is needed?

ANSWER:

- The first swap($A[i], A[j]$) is needed because this first swap enables i and j to continue to move.

- The move until i and j cross each other or both i and j point at the same place.
- We also need to increment i and decrement j after each swap.

4b. When and why the second swap($A[i]$, $A[j]$) is needed?

ANSWER:

- The second swap ($A[i]$, $A[j]$) is needed to undo the last swap when $i \geq j$.
- This means when $i \geq j$ we need to partition the array after exchanging the pivot with $A[j]$

4c. When and why the third swap($A[p]$, $A[j]$) is needed?

ANSWER:

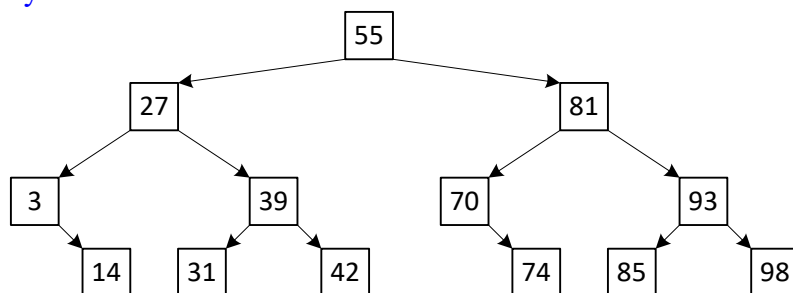
- The third swap is needed to exchange the pivot $A[p]$ with $A[j]$ whenever $i > j$

Problem 5 [70 points]

Given the following array $A[0..15]$ contains 13 elements.

	3	14	27	31	39	42	55	70	74	81	85	93	98		
--	---	----	----	----	----	----	----	----	----	----	----	----	----	--	--

It is helpful when answering these questions 5a through 5d to recognize what an equivalent binary search tree looks like:



5a. What is the largest number of key comparisons made by binary search in searching for a key in the following array?

ANSWER:

Both procedures run in $O(h)$ time on a tree of height $h = \Theta(\log n)$. $\Theta(\log n)$ in the average case

The number of elements in an array $n=13$ maximum operation. Thus $C_{\text{worst}}(n) = \pm \log_2(n+1) = \log_2(13+1) = 3.907352 = 4$.

5b. List all the keys of this array that will require the largest number of key comparisons when searched for by binary search.

ANSWER:

Value	3	14	27	31	39	42	55	70	74	81	85	93	98
Key	0	1	2	3	4	5	6	7	8	9	10	11	12

According to the tree structure, the lowest level requires the largest key comparison.

They are:

Value	14	31	42	74	85	98
Key	1	3	5	8	10	12

5c. Find the average number of key comparisons made by binary search in a successful search in this array. (Assume that each key is searched for with the same probability.)

ANSWER:

The average number of key comparisons made by binary search in a successful search is:

$$= 1 * (1/13) + 2 * (2/13) + 3 * (4/13) + 4 * (6/13) = 1/13 + 4/13 + 12/13 + 24/13 = (1+4+12+24) / 13 = 41/13 = 3.2$$

5d. Find the average number of key comparisons made by binary search in an unsuccessful search in this array. (Assume that searches for keys in each of the 14 intervals formed by the array's elements are equally likely.)

ANSWER:

There are 3 comparisons at position 6, or 7 (the key is at level 0 and between positions 6 and 7). For the remaining 12 elements, there will be 4 comparisons occurring. The average number of key comparisons made by binary search in an unsuccessful search is:

$$3*(2/14) + 4*(12/14) = (48+6)/14 = 54/14 = 3.9$$

5e. Assume that the arrival of the elements is in the order 3, 14, 27, ..., 98 of a given array A[0..15]. Rearrange the contents of 13 elements such that array A forms an AVL tree. Show step-by-step in terms of the intermediate resulting arrays.

ANSWER:

The design is drawn using MS Visio. The source is here: [Drawing.vsd](#)

AVL Tree is a self-balancing BST. There are 4 possible rotations: LL Rotation, RR Rotation, LR Rotation, RL Rotation

	3	14	27	31	39	42	55	70	74	81	85	93	98		
--	---	----	----	----	----	----	----	----	----	----	----	----	----	--	--

Insert 3:

Node	Height
3	0

no rotation

	3														
--	---	--	--	--	--	--	--	--	--	--	--	--	--	--	--

Insert 14:

Node	Height
3	-1
14	0

no rotation

	3		14												
--	---	--	----	--	--	--	--	--	--	--	--	--	--	--	--

Insert 27:

Node	Height
------	--------

3	-2
14	-1
27	0

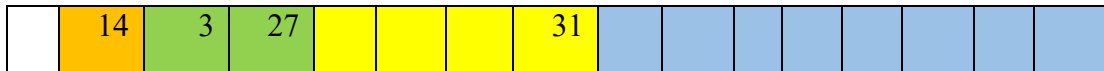
Rotate at 14, and 14 becomes the root



Insert 27:

Node	Height
3	0
14	-1
27	-1
31	0

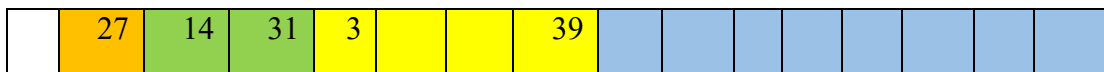
No rotation



Insert 39:

Node	Height
3	0
14	-2
27	-2
31	-1
39	0

Rotate at 27



Insert 42:

Node	Height
3	
14	

27	
31	-2
39	-1
42	0

Rotate at 31

	27	14	39	3		31	42								
--	----	----	----	---	--	----	----	--	--	--	--	--	--	--	--

Insert 55:

Node	Height
3	
14	
27	-1
31	-1
39	-1
42	-1
55	0

No rotation I needed

	27	14	39	3		31	42								55
--	----	----	----	---	--	----	----	--	--	--	--	--	--	--	----

Insert 70:

Node	Height
3	
14	
27	
31	
39	
42	-2
55	-1
70	0

Rotate at 42

	27	14	39	3		31	55							42	70
--	----	----	----	---	--	----	----	--	--	--	--	--	--	----	----

Insert 74:

Node	Height
3	
14	
27	
31	
39	-2
42	-1
55	
70	-1
74	0

Rotate at 39

	27	14	55	3		39	70					31	42		74
--	----	----	----	---	--	----	----	--	--	--	--	----	----	--	----

Insert 81:

Node	Height
3	
14	
27	
31	
39	
42	
55	
70	-2
74	-1
81	0

Rotate at 70

	27	14	55	3		39	74					31	42	70	81
--	----	----	----	---	--	----	----	--	--	--	--	----	----	----	----

Insert 85:

Node	Height
3	
14	
27	-2
31	
39	
42	
55	-1
70	0
74	-1
81	-1
85	0

Rotate at 27

	55	27	74	14	39	70	81	3		31	42				85
--	----	----	----	----	----	----	----	---	--	----	----	--	--	--	----

Insert 93:

Node	Height
3	
14	
27	
31	
39	
42	
55	
70	
74	
81	-2

85	-1
93	0

Rotate at 81

	55	27	74	14	39	70	85	3		31	42			81	93
--	----	----	----	----	----	----	----	---	--	----	----	--	--	----	----

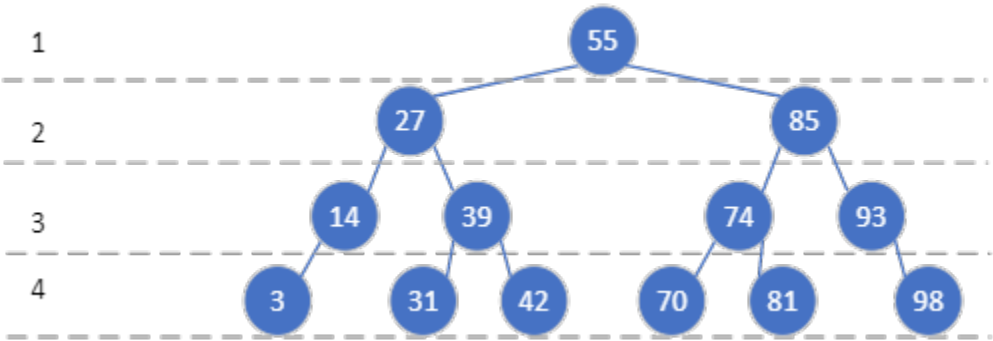
Insert 98:

Node	Height
3	
14	
27	
31	
39	
42	
55	
70	
74	-2
81	
85	-1
93	-1
98	0

Rotate at 74

	55	27	85	14	39	74	93	3		31	42	70	81		98
--	----	----	----	----	----	----	----	---	--	----	----	----	----	--	----

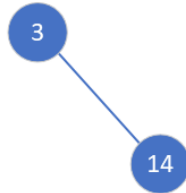
Result:



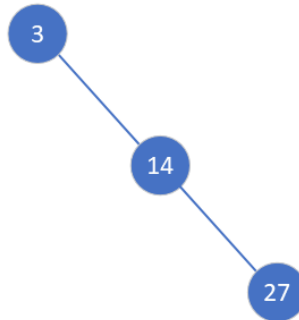
Step 1: Insert 3, 3 now is the root



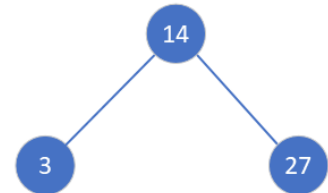
Step 2: Insert 14, 14 is bigger than 3, 14 go to the right of the tree



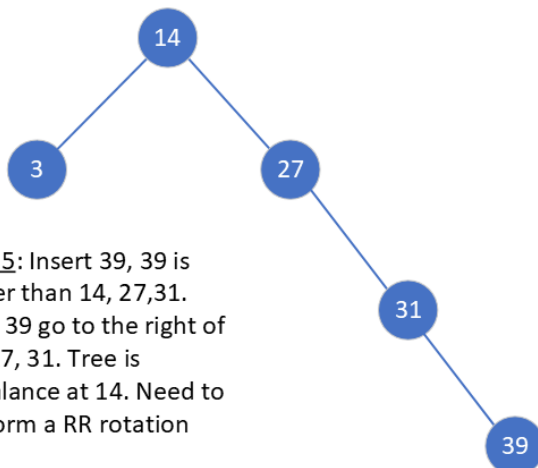
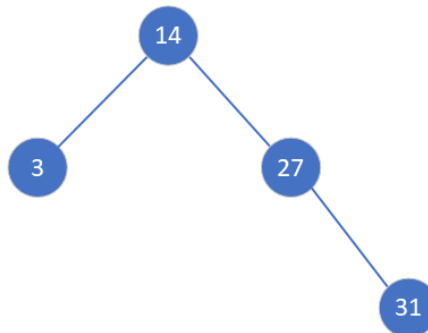
Step 3: Insert 27, 27 is bigger than 3 and 14, 27 go to the right of 14. Now this tree is unbalance and we need to perform a RR rotation



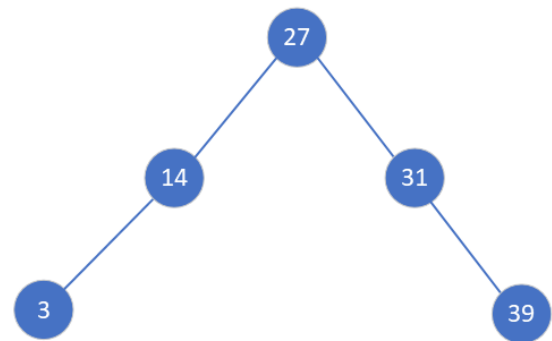
rotate



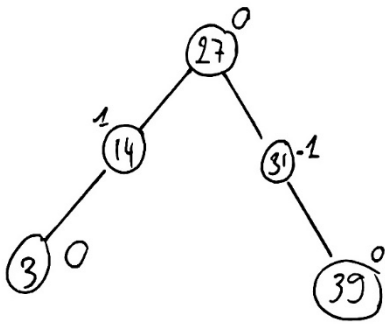
Step 4: Insert 31, 31 is bigger than 14, 27. Thus 31 go to the right of 14, 27. Tree is still balance.



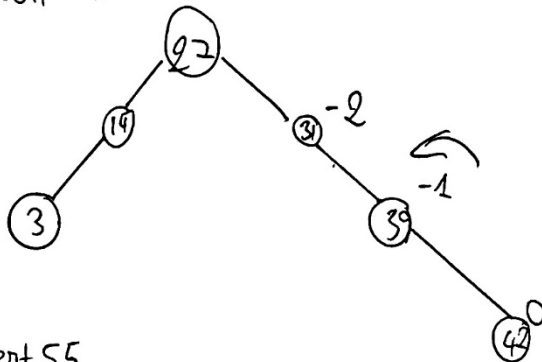
Step 5: Insert 39, 39 is bigger than 14, 27, 31. Thus 39 go to the right of 14, 27, 31. Tree is unbalance at 14. Need to perform a RR rotation



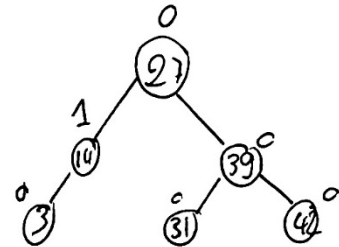
$$B = L - R$$



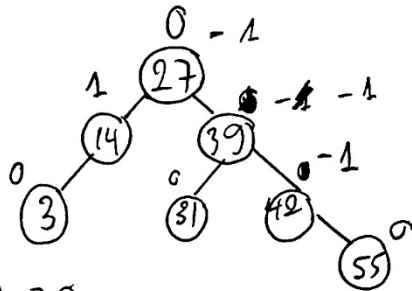
insert 42



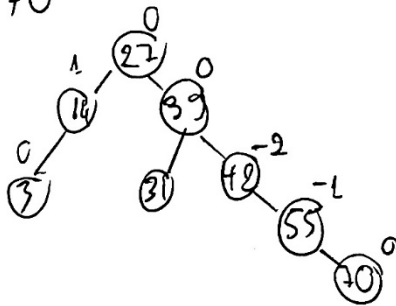
rotate
at 31
→



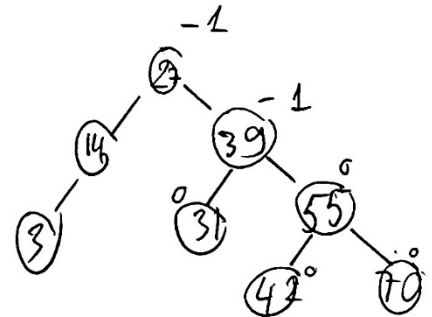
insert 55



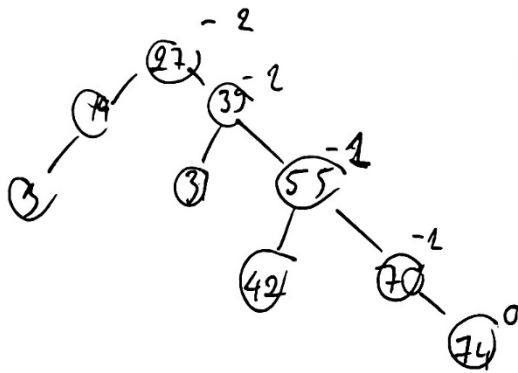
insert 70



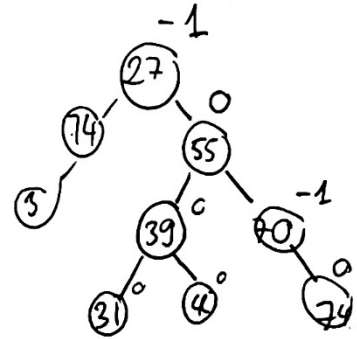
rotate at 42
→



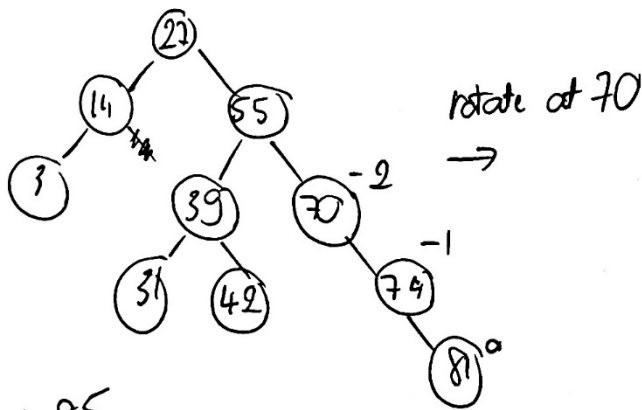
Insert 74:



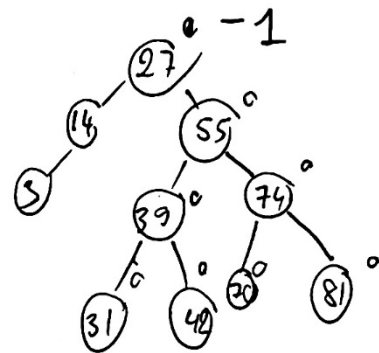
rotate at 39
→



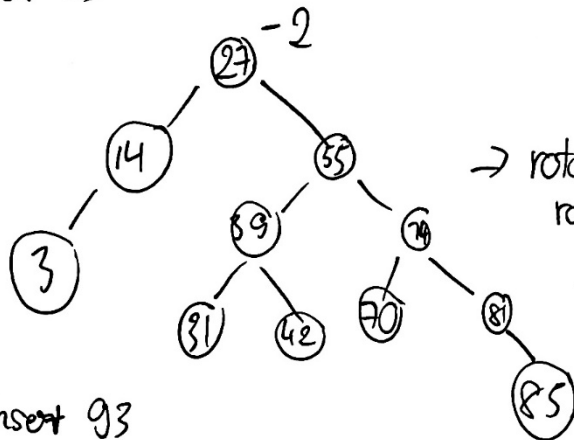
Insert 81



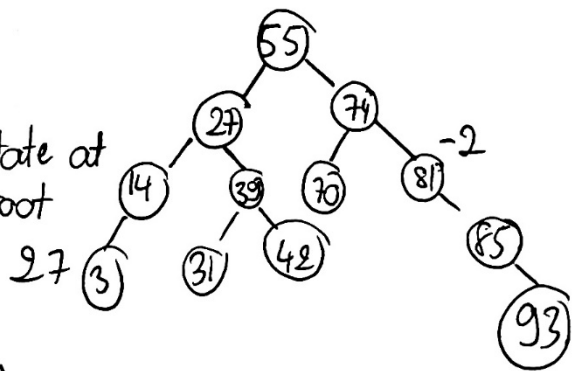
rotate at 70
→



Insert 85

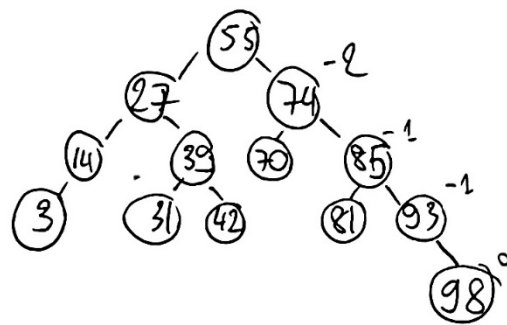


→ rotate at root
27

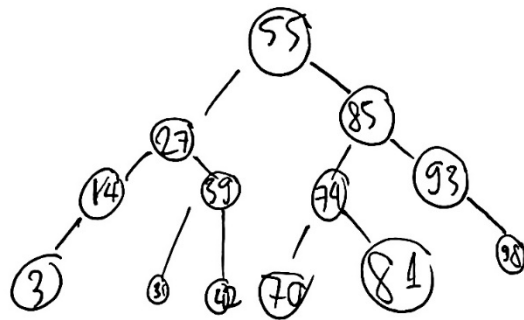


Insert 93

After insert at 93 rotate at 81.



Insert 98



5f. What is the largest number of key comparisons in searching for a key in array A which has an AVL tree?

ANSWER:

Both procedures run in $O(h)$ time on a tree of height $h = \Theta(\log n)$. $\Theta(\log n)$ in the average case. The number of elements in an array $n=13$ maximum operation. Thus largest number of key comparison = $\log_2(n) = \log_2(13) = 3.7 = 4$

5g. List all the keys of this array that will require the largest number of key comparisons when searched for by binary search.

ANSWER:

Key	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14
Value	55	27	85	14	39	74	93	3		31	42	70	81		98
	55	27	85	14	39	74	93	3		31	42	70	81		98

According to the tree structure, the lowest level requires the largest key comparison. They are:

Value	7	9	10	11	12	14
Key	3	31	42	70	81	98

Problem 6 [20 points]

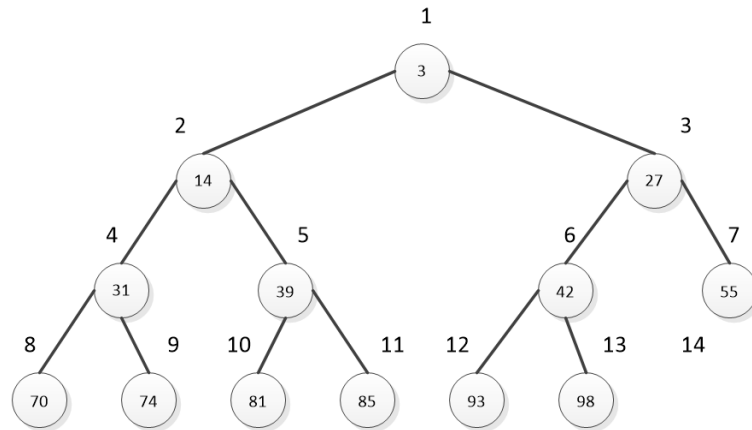
Given the following array A[0..15] contains 13 elements.

	3	14	27	31	39	42	55	70	74	81	85	93	98		
--	---	----	----	----	----	----	----	----	----	----	----	----	----	--	--

6a. Use Max Heapify(A, i), $0 \leq i$ to maintain given array A[0..15] a max-heap.

ANSWER:

This is the heap structure before apply the MaxHeapify



This structure violate the definition of maxheap. Therefore, we need to reconstruct

	3	14	27	31	39	42	55	70	74	81	85	93	98		
--	---	----	----	----	----	----	----	----	----	----	----	----	----	--	--

1st run:

Run Heapify from $\lfloor \text{length}A \rfloor / 2 \rfloor$ to 1, to determine their children node, $A[2i]$ or $A[2i+1]$. Therefore, we start at index $i = 12/2 = 6$ and $42, 93 < 98$. Therefore swap 98 and 42.

	3	14	27	31	39	98	55	70	74	81	85	93	42		
--	---	----	----	----	----	----	----	----	----	----	----	----	----	--	--

Run Heapify again since $i--$ now $i=5$ and $39, 81 < 85$. Therefore swap 39 and 85

	3	14	27	31	85	98	55	70	74	81	39	93	42		
--	---	----	----	----	----	----	----	----	----	----	----	----	----	--	--

Run Heapify again since $i--$ now $i=4$ and $31, 70 < 74$. Therefore swap 31 and 74

	3	14	27	74	85	98	55	70	31	81	39	93	42		
--	---	----	----	----	----	----	----	----	----	----	----	----	----	--	--

Run Heapify again since $i--$ now $i=3$ and $27, 55 < 98$. Therefore swap 27 and 98

	3	14	98	74	85	27	55	70	31	81	39	93	42		
--	---	----	----	----	----	----	----	----	----	----	----	----	----	--	--

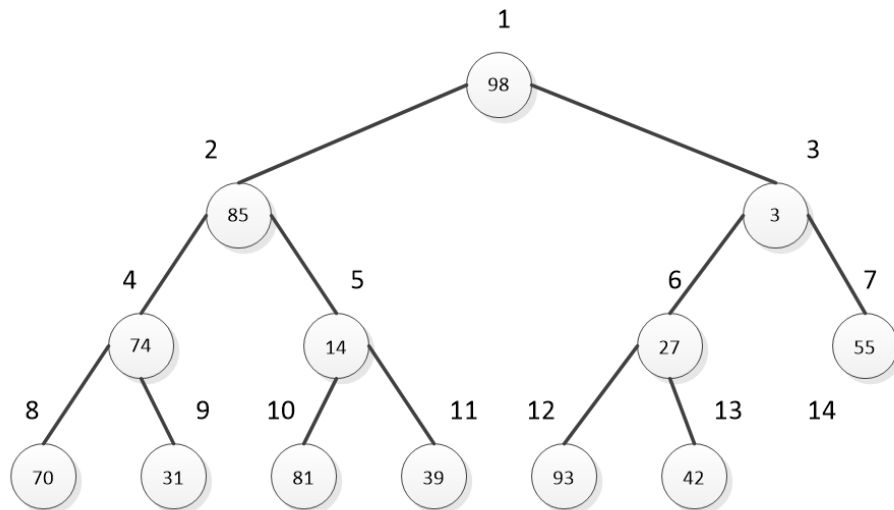
Run Heapify again since $i--$ now $i=2$ and $14, 74 < 85$. Therefore swap 14 and 85

	3	85	98	74	14	27	55	70	31	81	39	93	42		
--	---	----	----	----	----	----	----	----	----	----	----	----	----	--	--

Run Heapify again since $i--$ now $i=1$ and $3, 85 < 98$. Therefore swap 3 and 98

	98	85	3	74	14	27	55	70	31	81	39	93	42		
--	----	----	---	----	----	----	----	----	----	----	----	----	----	--	--

This is the heap structure after 1st round



At this point we run function to validate if this is a maxheap. And it is not therefore, we recursive run the heapify again.

	98	85	3	74	14	27	55	70	31	81	39	93	42		
--	----	----	---	----	----	----	----	----	----	----	----	----	----	--	--

2nd run:

Run Heapify from $\lfloor \text{length}A[]/2 \rfloor$ to 1, to determine their children node, $A[2i]$ or $A[2i+1]$. Therefore, we start at index $i = 12/2 = 6$ and $27, 42 < 93$. Therefore swap 93 and 27.

	98	85	3	74	14	93	55	70	31	81	39	27	42		
--	----	----	---	----	----	----	----	----	----	----	----	----	----	--	--

Run Heapify again since $i--$ now $i=5$ and $14, 39 < 81$. Therefore swap 14 and 81

	98	85	3	74	81	93	55	70	31	14	39	27	42		
--	----	----	---	----	----	----	----	----	----	----	----	----	----	--	--

Run Heapify again since $i--$ now $i=4$ and $70, 31 < 74$. DO NOTHING

	98	85	3	74	81	93	55	70	31	14	39	27	42		
--	----	----	---	----	----	----	----	----	----	----	----	----	----	--	--

Run Heapify again since $i--$ now $i=3$ and $3, 55 < 93$. Swap 93 and 3

	98	85	93	74	81	3	55	70	31	14	39	27	42		
--	----	----	----	----	----	---	----	----	----	----	----	----	----	--	--

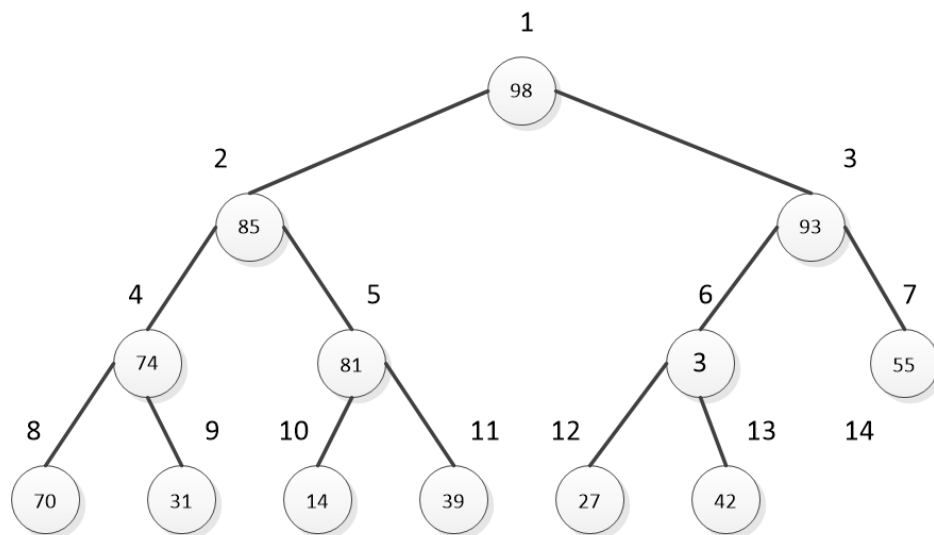
Run Heapify again since $i--$ now $i=2$ and $74, 81 < 85$. Do nothing

	98	85	93	74	81	3	55	70	31	14	39	27	42		
--	----	----	----	----	----	---	----	----	----	----	----	----	----	--	--

Run Heapify again since $i--$ now $i=1$ and 98 is the biggest. Do nothing

	98	85	93	74	81	3	55	70	31	14	39	27	42		
--	----	----	----	----	----	---	----	----	----	----	----	----	----	--	--

At this point, we run a function to validate if this is a maxheap. And it is not therefore, we recursive run the heapify again.



3rd run:

Start with $i=6$ and 3, $27 < 42$. Swap 3 and 42.

	98	85	93	74	81	42	55	70	31	14	39	27	3		
--	----	----	----	----	----	----	----	----	----	----	----	----	---	--	--

After that when $i=5, 4, 3, 2, 1$ do nothing (no swap occurs). Therefore, the final result in the heap is:

	98	85	93	74	81	42	55	70	31	14	39	27	3		
--	----	----	----	----	----	----	----	----	----	----	----	----	---	--	--

6b. Using the resultant max-heap array obtained from 6a, apply the heapsort algorithm to obtain a sorted array A in descending order. Show step-by-step in terms of the intermediate resulting arrays.

ANSWER:

We have the current max heap

	98	85	93	74	81	42	55	70	31	14	39	27	3		
--	----	----	----	----	----	----	----	----	----	----	----	----	---	--	--

I draw a tree structure on my whiteboard to help me visualize and work easier. For every time the max heap swap and restructure I re-draw the tree.

Swap the first and last node

	3	85	93	74	81	42	55	70	31	14	39	27	98		
--	---	----	----	----	----	----	----	----	----	----	----	----	----	--	--

And take 98 out of the maxheap and put it in the sorted array. **Please note the one in red is marked as not existing in the maxheap but exists in the sorted array.** The max heap now looks like 3, 85, 93, 74, 81, 42, 55, 70, 14, 39, 27 (1).

Restructure the max heap

	93	85	55	74	81	42	3	70	31	14	39	27	98		
--	----	----	----	----	----	----	---	----	----	----	----	----	----	--	--

Swap the first and last node, similar to (1)

	27	85	55	74	81	42	3	70	31	14	39	93	98		
--	----	----	----	----	----	----	---	----	----	----	----	----	----	--	--

Restructure the max heap

	85	81	55	74	39	42	3	70	31	14	27	93	98		
--	----	----	----	----	----	----	---	----	----	----	----	----	----	--	--

Swap the first and last node, similar to (1)

	27	81	55	74	39	42	3	70	31	14	85	93	98		
--	----	----	----	----	----	----	---	----	----	----	----	----	----	--	--

Restructure the max heap

	81	74	55	70	39	42	3	27	31	14	85	93	98		
--	----	----	----	----	----	----	---	----	----	----	----	----	----	--	--

Swap the first and last node, similar to (1)

	14	74	55	70	39	42	3	27	31	81	85	93	98		
--	----	----	----	----	----	----	---	----	----	----	----	----	----	--	--

Restructure the max heap

	74	70	55	31	39	42	3	27	14	81	85	93	98		
--	----	----	----	----	----	----	---	----	----	----	----	----	----	--	--

Swap the first and last node

	14	70	55	31	39	42	3	27	74	81	85	93	98		
--	----	----	----	----	----	----	---	----	----	----	----	----	----	--	--

Restructure the max heap, similar to (1)

	70	39	55	31	14	42	3	27	74	81	85	93	98		
--	----	----	----	----	----	----	---	----	----	----	----	----	----	--	--

Swap

	27	39	55	31	14	42	3	70	74	81	85	93	98		
--	----	----	----	----	----	----	---	----	----	----	----	----	----	--	--

Restructure the max heap, similar to (1)

	55	39	42	31	14	27	3	70	74	81	85	93	98		
--	----	----	----	----	----	----	---	----	----	----	----	----	----	--	--

Swap

	3	39	42	31	14	27	55	70	74	81	85	93	98		
--	---	----	----	----	----	----	----	----	----	----	----	----	----	--	--

Restructure the max heap, similar to (1)

	42	39	27	31	14	3	55	70	74	81	85	93	98		
--	----	----	----	----	----	---	----	----	----	----	----	----	----	--	--

Swap

	3	39	27	31	14	42	55	70	74	81	85	93	98		
--	---	----	----	----	----	----	----	----	----	----	----	----	----	--	--

Restructure the max heap, similar to (1)

	39	31	27	3	14	42	55	70	74	81	85	93	98		
--	----	----	----	---	----	----	----	----	----	----	----	----	----	--	--

Swap

	14	31	27	3	39	42	55	70	74	81	85	93	98		
--	----	----	----	---	----	----	----	----	----	----	----	----	----	--	--

Restructure the max heap, similar to (1)

	31	14	27	3	39	42	55	70	74	81	85	93	98		
--	----	----	----	---	----	----	----	----	----	----	----	----	----	--	--

Swap

	3	14	27	31	39	42	55	70	74	81	85	93	98		
--	---	----	----	----	----	----	----	----	----	----	----	----	----	--	--

Restructure the max heap, similar to (1)

	27	14	3	31	39	42	55	70	74	81	85	93	98		
--	----	----	---	----	----	----	----	----	----	----	----	----	----	--	--

Swap

	3	14	27	31	39	42	55	70	74	81	85	93	98		
--	---	----	----	----	----	----	----	----	----	----	----	----	----	--	--

Restructure the max heap, similar to (1)

	14	3	27	31	39	42	55	70	74	81	85	93	98		
--	----	---	----	----	----	----	----	----	----	----	----	----	----	--	--

Swap

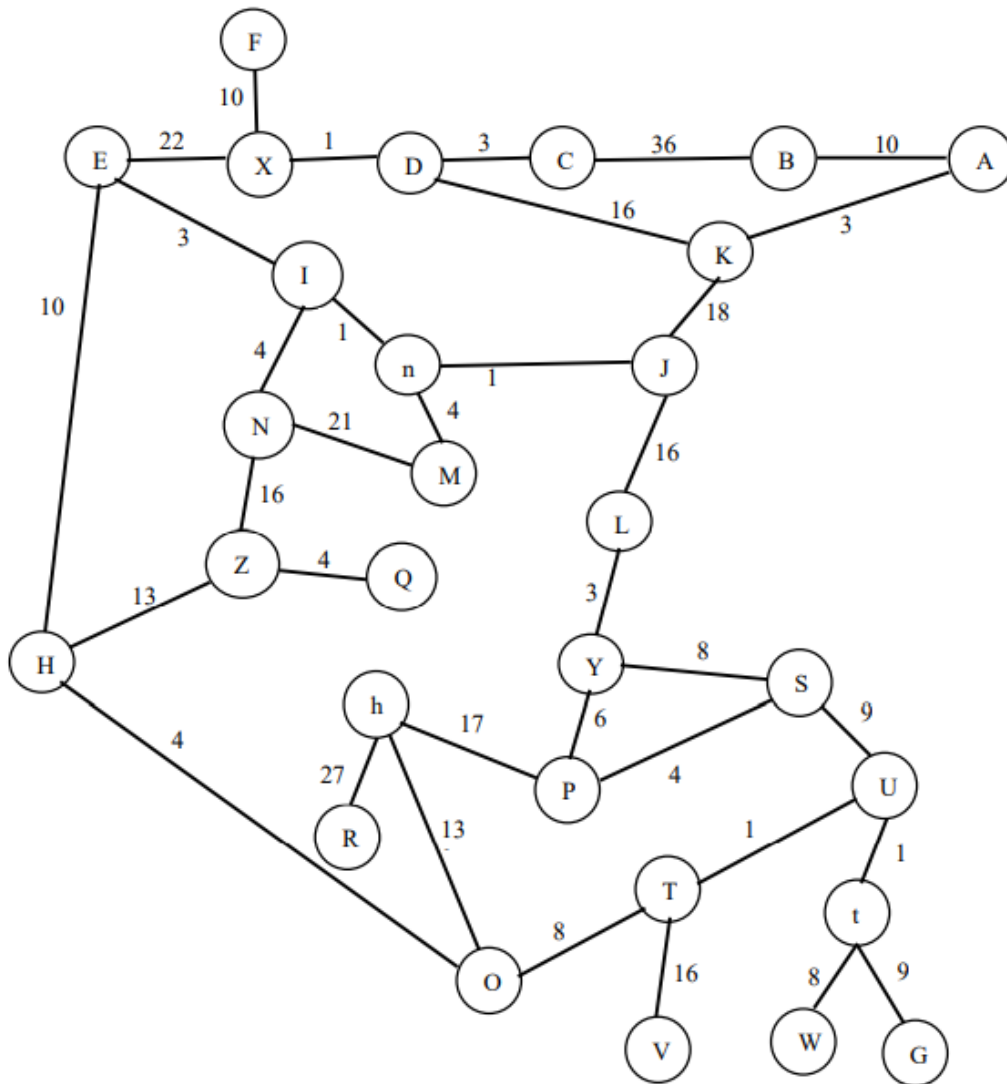
	3	14	27	31	39	42	55	70	74	81	85	93	98		
--	---	----	----	----	----	----	----	----	----	----	----	----	----	--	--

Terminate now we got an ascending order; reversing the array to get a descending order.

The result is

	98	93	85	81	74	70	55	42	39	31	27	14	3		
--	----	----	----	----	----	----	----	----	----	----	----	----	---	--	--

Given a weighted graph G, which is as follows:



7a. Construct

- (i) a weighted adjacency list and
- (ii) a weighted adjacency matrix

ANSWER:

Vertex List

0	F
1	E
2	X
3	D
4	C
5	B
6	A
7	I
8	K
9	N
10	n
11	J
12	M
13	L
14	Z
15	Q
16	H
17	h
18	Y
19	S
20	R
21	P
22	U
23	O
24	T
25	t
26	V
27	W
28	G

	F	E	X	D	C	B	A	I	K	N	n	J	M	L	Z	Q	H	Y	S	h	R	P	U	O	T	t	V	W	G
F	∞	∞	10	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞
E	∞	∞	22	∞	∞	∞	∞	3	∞	∞	∞	∞	∞	∞	∞	∞	10	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞
X	10	22	∞	1	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞
D	∞	∞	1	∞	3	∞	∞	∞	16	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞
C	∞	∞	∞	3	∞	36	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞
B	∞	∞	∞	∞	36	∞	10	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞
A	∞	∞	∞	∞	∞	10	∞	∞	3	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞
I	∞	3	∞	∞	∞	∞	∞	∞	∞	4	1	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞
K	∞	∞	16	∞	∞	3	∞	∞	∞	∞	18	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞
N	∞	∞	∞	∞	∞	∞	4	∞	∞	∞	∞	21	∞	16	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞
n	∞	∞	∞	∞	∞	∞	∞	1	∞	∞	∞	1	4	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞
J	∞	∞	∞	∞	∞	∞	∞	∞	18	∞	1	∞	∞	16	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞
M	∞	∞	∞	∞	∞	∞	∞	∞	∞	21	4	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞
L	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	16	∞	∞	∞	∞	3	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞
Z	∞	∞	∞	∞	∞	∞	∞	∞	∞	16	∞	∞	∞	∞	∞	4	13	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞
Q	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	4	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞
H	∞	10	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	13	∞	∞	∞	∞	∞	∞	∞	∞	∞	4	∞	∞	∞	∞	∞
Y	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	3	∞	∞	∞	8	∞	∞	6	∞	∞	∞	∞	∞	∞	∞	∞	∞
S	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	8	∞	∞	4	9	∞	∞	∞	∞	∞	∞	∞	∞
h	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	27	17	∞	13	∞	∞	∞	∞	∞	∞
R	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	27	∞	∞	∞	∞	∞	∞	∞	∞	∞
P	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	6	4	17	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞
U	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	9	∞	∞	∞	∞	∞	1	1	∞	∞	∞	∞
O	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	4	∞	∞	13	∞	∞	∞	∞	∞	8	∞	∞	∞	∞
T	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	1	8	∞	∞	16	∞	∞	∞
t	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	1	∞	∞	∞	∞	8	9	∞
V	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	16	∞	∞	∞	∞	∞
W	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	8	∞	∞	∞	∞
G	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	∞	9	∞	∞	∞	∞
	F	E	X	D	C	B	A	I	K	N	n	J	M	L	Z	Q	H	Y	S	h	R	P	U	O	T	t	V	W	G

The *weight adjacency-matrix*

I used Microsoft Excel to create the table. The original source can be found at:

[Final.xlsx](#)

F	→	X, 10				
E	→	X, 22	→	I, 3	→	H, 10
X	→	F, 10	→	E, 22	→	D, 1
D	→	X, 1	→	C, 3	→	K, 16
C	→	D, 3	→	B, 36		
B	→	C, 36	→	A, 10		
A	→	B, 10	→	K, 3		
I	→	E, 3	→	N, 4	→	n, 1
K	→	D, 16	→	A, 3	→	J, 18
N	→	I, 4	→	M, 21	→	Z, 16
n	→	I, 1	→	J, 1	→	M, 4
J	→	K, 18	→	n, 1	→	L, 16
M	→	N, 21	→	n, 4		
L	→	J, 16	→	Y, 3	→	
Z	→	N, 16	→	Q, 4	→	H, 13
Q	→	Z, 4				
H	→	E, 10	→	Z, 13	→	O, 4
Y	→	L, 3	→	S, 8	→	P, 6
S	→	Y, 8	→	P, 4	→	U, 9
h	→	R, 27	→	P, 17	→	O, 13
R	→	h, 27				
P	→	Y, 6	→	S, 4	→	h, 17
U	→	S, 9	→	T, 1	→	t, 1
O	→	H, 4	→	h, 13	→	T, 8
T	→	U, 1	→	O, 8	→	V, 16
t	→	U, 1	→	W, 8	→	G, 9
V	→	T, 16				
W	→	t, 8				
G	→	t, 9				

The *weight adjacency-list*

I used Microsoft Excel to create the table. The original source can be found at:

[Final.xlsx](#)

Traversing the given graph, based on its weighted adjacency list representation obtained in problem 7a(i), construct its depth-first search tree forest starting from vertex A. In your obtained DFS tree forest, show the tree edges (indicated as solid lines) and back edges (indicated as dotted lines) for your trees. Traversal's stack contains symbols (such as V_i, j , the first subscript number indicates the order in which a vertex V was first visited, say at i , (pushed onto the stack, V), where $0 < i \leq n$; the second one indicates the order in which it became a dead-end, say at j (popped off the stack V), where $0 < j < n$. n is the total number of vertices for the given graph. For simplicity's sake, please use two time-stamps: one is $0 < i \leq n$, the order for pushing a vertex onto the stack counting from 1 through n . The other one is $0 < j \leq n$, the order for popping off a vertex from the stack counting from 1 through n . For this problem, you need to answer 7b through 7e, which are as follows:

- 7b. Show the traversal's stack with time-stamp, and what are the orderings of vertices yielded by the DFS?

ANSWER:

			W _{28,10}	G _{29,11}	
		Q _{24,7}	t _{27,12}	t _{27,12}	
		Z _{23,8}	U _{26,13}	U _{26,13}	V _{30,14}
		H _{22,9}	T _{25,15}	T _{25,15}	T _{25,15}
	R _{20,6}	O _{21,16}	O _{21,16}	O _{21,16}	O _{21,16}
A _{13,1}	h _{19,17}	h _{19,17}	h _{19,17}	h _{19,17}	h _{19,17}
B _{12,2}	P _{18,18}	P _{18,18}	P _{18,18}	P _{18,18}	P _{18,18}
C _{11,3}	S _{17,19}	S _{17,19}	S _{17,19}	S _{17,19}	S _{17,19}
D _{10,4}	Y _{16,20}	Y _{16,20}	Y _{16,20}	Y _{16,20}	Y _{16,20}
K _{9,5}	L _{15,21}	L _{15,21}	L _{15,21}	L _{15,21}	L _{15,21}
J _{8,22}	J _{8,22}	J _{8,22}	J _{8,22}	J _{8,22}	J _{8,22}
n _{7,23}	n _{7,23}	n _{7,23}	n _{7,23}	n _{7,23}	n _{7,23}
M _{6,24}	M _{6,24}	M _{6,24}	M _{6,24}	M _{6,24}	M _{6,24}
N _{5,25}	N _{5,25}	N _{5,25}	N _{5,25}	N _{5,25}	N _{5,25}
I _{4,26}	I _{4,26}	I _{4,26}	I _{4,26}	I _{4,26}	I _{4,26}
E _{3,27}	E _{3,27}	E _{3,27}	E _{3,27}	E _{3,27}	E _{3,27}
X _{2,28}	X _{2,28}	X _{2,28}	X _{2,28}	X _{2,28}	X _{2,28}
F _{1,29}	F _{1,29}	F _{1,29}	F _{1,29}	F _{1,29}	F _{1,29}

Ordering of vertices yield by DFS:

A, B, C, D, K, R, Q, Z, H, W, G, t, U, V, T, O, h, P, S, Y, L, J, n, M, N, I, E, X, F

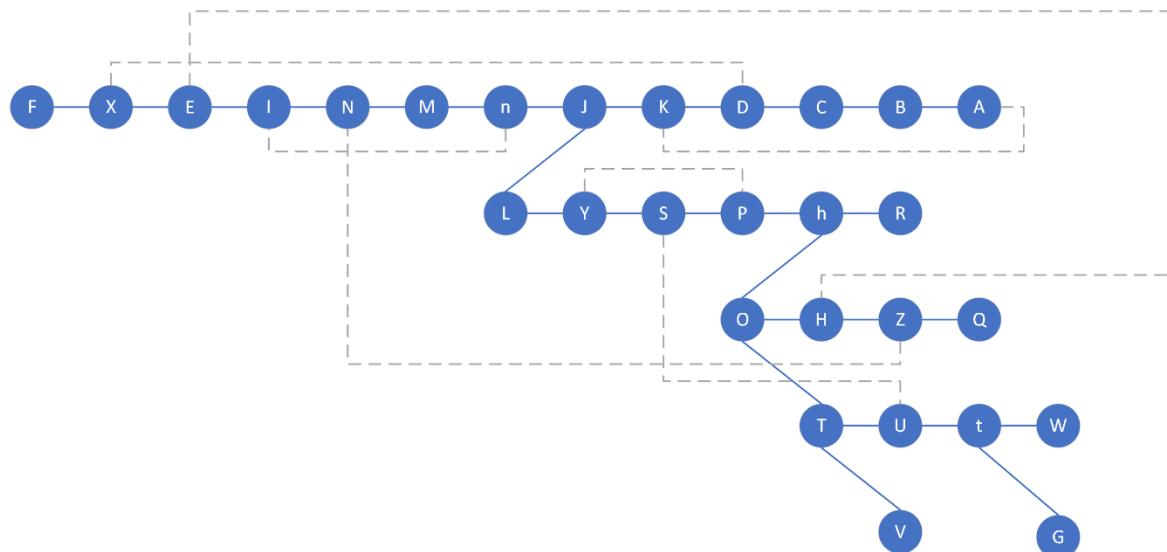
7c. Construct the corresponding depth-first search (DFS) tree forest, with indications of tree edges and back edges.

ANSWER:

I am using Microsoft Visio to construct the DFS tree. Link is here [visio-link](#)

Based on the traversal's stack with the time-stamp table, I constructed depth-first search (DFS) tree forest using the following steps:

- At the top level, I have F, X, E, I, N, M, n, J, K, D, C, B, and A are connected by edges
- Since we pop A, B, C, D, K. I connect J to L by an edge
- The next level will be L, Y, S, P, h, and R are connected by edges
- Since we pop R. I connect h to O
- The next level will be O, H, Z, and Q are connected by edges
- Since we will pop Q, Z, H. I connect O to T
- The next level will be T, U, t, and W are connected by edges
- Since we will pop W. I connect G to t, and T to V
- That is finish all the edges of the DFS tree forest
- Now I look at the weight adjacency list to construct the back edges
- Please note **tree edges** are indicated as blue solid lines and **back edges** are indicated as dotted lines



7d. What is the graph called? Is this graph acyclic? Does the graph have articulation points? What is the topological sort ordering for the graph?

ANSWER:

- The graph is called the depth-first forest
- This graph is not acyclic since there are back-edges from some vertex to its ancestor (e.g. X is connected to D via back-edge, E is connected to H via back-edge...)
- The topological sort ordering for the graph is the reverse of pop-off ordering: {F, X, E, I, N, M, n, J, L, Y, S, P, h, O, T, V, U, t, G, W, H, Z, Q, R, K, D, C, B, A}
- Yes, the graph has articulation points.

7e. What are the time efficiency and space efficiency of the DFS?

ANSWER:

- Time efficiency is a linear-time procedure which means running time increases at most linearly with the size of the input.
- Space efficiency is the total space that needs to store all the data structure below in computer memory:
 - o a data structure to store graph G (vertex and edges)
 - o a stack data structure (list implementation) to store vertex V of graph G. Length of the stack should be equal to the total vertex in Graph G
 - o a global variable count to use for timestamp

Problem 8 [40 points]

Traversing the graph given in Problem 7, based on its weighted adjacency list representation obtained in Problem 7a(i), construct its breath-first search (BFS) tree forest starting from vertex A. For this, you need to use a queue (note the difference from DFS) to trace the operation of breadth-first search, indicating the order in which the vertices $\{\dots, V', V'', \dots\}$ were visited. i.e., the order of the operation of adding several vertices to, or removing a vertex from the queue $\{V_i'', \dots, V_{i+1}', V_{i+2}', \dots\}$. The order in which vertices are added to the queue (i.e., enqueue operation) is the same order in which they are removed from it (i.e., dequeue operation). Indicate the tree edges (indicated as solid lines) and cross-edges (indicated as dotted lines) for your trees. For this problem, you need to answer 8a through 7e, which are as follows:

- 8a. Show the traversal's queue with a time-stamp indicating the order in which the vertices were visited, and what is the ordering of vertices yielded by the BFS?

ANSWER:

FIFO QUEUE	VISITED NODE	ORDER OF VERTICLES
∞		
A Enqueue : A Dequeue:	$A' \rightarrow B \rightarrow K$	
B K Enqueue : B, K Dequeue: A	$A'' \rightarrow B \rightarrow K$ $B' \rightarrow C \rightarrow A$ $K' \rightarrow D \rightarrow A \rightarrow J$	A
K C Enqueue : C Dequeue: B	$B'' \rightarrow C \rightarrow A$ $K' \rightarrow D \rightarrow A \rightarrow J$ $C' \rightarrow D \rightarrow B$	A B
C D J Enqueue : D, J Dequeue: K	$K'' \rightarrow D \rightarrow A \rightarrow J$ $C' \rightarrow D \rightarrow B$ $D' \rightarrow X \rightarrow C \rightarrow K$ $J' \rightarrow K \rightarrow N \rightarrow L$	A B K
D J X n L Enqueue : X, N, L Dequeue: C	$C'' \rightarrow D \rightarrow B$ $D' \rightarrow X \rightarrow C \rightarrow K$ $J' \rightarrow K \rightarrow N \rightarrow L$ $X' \rightarrow F \rightarrow E \rightarrow D$ $n' \rightarrow I \rightarrow J \rightarrow M$ $L' \rightarrow J \rightarrow Y$	A B K C
J X n L Enqueue : Dequeue: D	$D'' \rightarrow X \rightarrow C \rightarrow K$ $J' \rightarrow K \rightarrow N \rightarrow L$ $X' \rightarrow F \rightarrow E \rightarrow D$ $n' \rightarrow I \rightarrow J \rightarrow M$	A B K C D

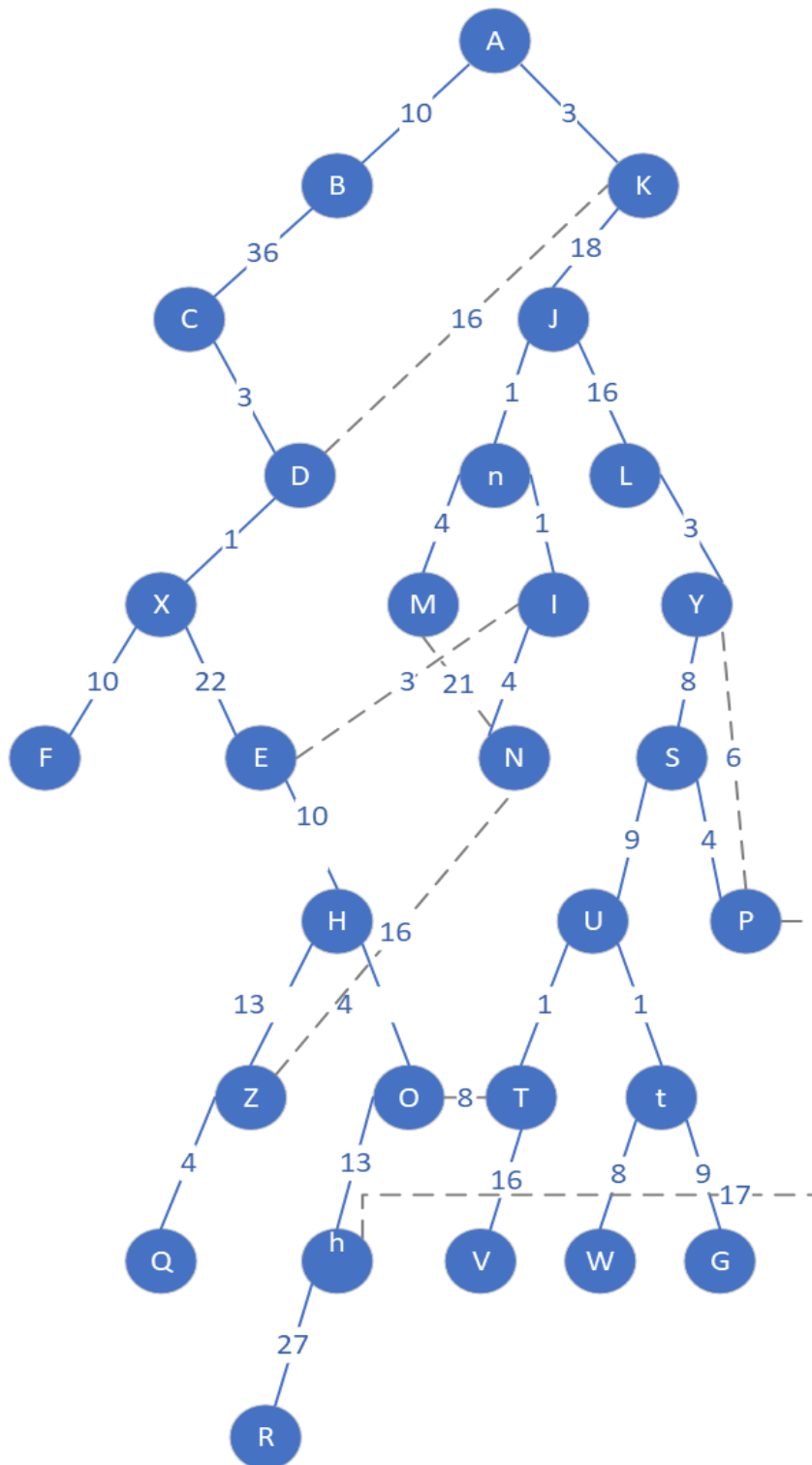
	$L' \rightarrow J \rightarrow Y$	
X n L Enqueue : Dequeue: J	$J'' \rightarrow K \rightarrow N \rightarrow L$ $X' \rightarrow F \rightarrow E \rightarrow D$ $n' \rightarrow I \rightarrow J \rightarrow M$ $L' \rightarrow J \rightarrow Y$	A B K C D J
n L F E Enqueue : F E Dequeue: X	$X'' \rightarrow F \rightarrow E \rightarrow D$ $n' \rightarrow I \rightarrow J \rightarrow M$ $L' \rightarrow J \rightarrow Y$ $F' \rightarrow X$ $E' \rightarrow X \rightarrow I \rightarrow H$	A B K C D J X
n L F E I M Enqueue : I, M Dequeue: n	$n'' \rightarrow I \rightarrow J \rightarrow M$ $L' \rightarrow J \rightarrow Y$ $F' \rightarrow X$ $E' \rightarrow X \rightarrow I \rightarrow H$ $I' \rightarrow E \rightarrow N \rightarrow n$ $M' \rightarrow N \rightarrow n$	A B K C D J X n
F E I M Y Enqueue : Y Dequeue: L	$L'' \rightarrow J \rightarrow Y$ $F' \rightarrow X$ $E' \rightarrow X \rightarrow I \rightarrow H$ $I' \rightarrow E \rightarrow N \rightarrow n$ $M' \rightarrow N \rightarrow n$ $Y' \rightarrow L \rightarrow S \rightarrow P$	A B K C D J X n L
E I M Y Enqueue : Dequeue: F	$F'' \rightarrow X$ $E' \rightarrow X \rightarrow I \rightarrow H$ $I' \rightarrow E \rightarrow N \rightarrow n$ $M' \rightarrow N \rightarrow n$ $Y' \rightarrow L \rightarrow S \rightarrow P$	A B K C D J X n L F
I M Y H Enqueue : H Dequeue: E	$E' \rightarrow X \rightarrow I \rightarrow H$ $I' \rightarrow E \rightarrow N \rightarrow n$ $M' \rightarrow N \rightarrow n$ $Y' \rightarrow L \rightarrow S \rightarrow P$ $H' \rightarrow E \rightarrow Z \rightarrow O$	A B K C D J X n L F E
M Y H N Enqueue : N Dequeue: I	$I' \rightarrow E \rightarrow N \rightarrow n$ $M' \rightarrow N \rightarrow n$ $Y' \rightarrow L \rightarrow S \rightarrow P$ $H' \rightarrow E \rightarrow Z \rightarrow O$ $N' \rightarrow I \rightarrow M \rightarrow Z$	A B K C D J X n L F E I
Y H N Enqueue : Dequeue: M	$M'' \rightarrow N \rightarrow n$ $Y' \rightarrow L \rightarrow S \rightarrow P$ $H' \rightarrow E \rightarrow Z \rightarrow O$ $N' \rightarrow I \rightarrow M \rightarrow Z$	A B K C D J X n L F E I M

H N S P Enqueue : S, P Dequeue: Y	$Y'' \rightarrow L \rightarrow S \rightarrow P$ $H' \rightarrow E \rightarrow Z \rightarrow O$ $N' \rightarrow I \rightarrow M \rightarrow Z$ $S' \rightarrow Y \rightarrow P \rightarrow U$ $P' \rightarrow Y \rightarrow S \rightarrow H$	A B K C D J X _n L F E I M Y
N S P Z O Enqueue : Z, O Dequeue: H	$H'' \rightarrow E \rightarrow Z \rightarrow O$ $N' \rightarrow I \rightarrow M \rightarrow Z$ $S' \rightarrow Y \rightarrow P \rightarrow U$ $P' \rightarrow Y \rightarrow S \rightarrow H$ $Z' \rightarrow N \rightarrow Q \rightarrow H$ $O' \rightarrow H \rightarrow h \rightarrow T$	A B K C D J X _n L F E I M Y H
S P Z O Enqueue : Dequeue: N	$N'' \rightarrow I \rightarrow M \rightarrow Z$ $S' \rightarrow Y \rightarrow P \rightarrow U$ $P' \rightarrow Y \rightarrow S \rightarrow H$ $Z' \rightarrow N \rightarrow Q \rightarrow H$ $O' \rightarrow H \rightarrow h \rightarrow T$	A B K C D J X _n L F E I M Y H N
P Z O U Enqueue : U Dequeue: S	$S'' \rightarrow Y \rightarrow P \rightarrow U$ $P' \rightarrow Y \rightarrow S \rightarrow H$ $Z' \rightarrow N \rightarrow Q \rightarrow H$ $O' \rightarrow H \rightarrow h \rightarrow T$ $U' \rightarrow S \rightarrow T \rightarrow t$	A B K C D J X _n L F E I M Y H N S
Z O U Enqueue : Dequeue: P	$P'' \rightarrow Y \rightarrow S \rightarrow H$ $Z' \rightarrow N \rightarrow Q \rightarrow H$ $O' \rightarrow H \rightarrow h \rightarrow T$ $U' \rightarrow S \rightarrow T \rightarrow t$	A B K C D J X _n L F E I M Y H N S P
O U Q Enqueue : Q Dequeue: Z	$Z'' \rightarrow N \rightarrow Q \rightarrow H$ $O' \rightarrow H \rightarrow h \rightarrow T$ $U' \rightarrow S \rightarrow T \rightarrow t$ $Q' \rightarrow Z$	A B K C D J X _n L F E I M Y H N S P Z
U Q h T Enqueue : h, T Dequeue: O	$O'' \rightarrow H \rightarrow h \rightarrow T$ $U' \rightarrow S \rightarrow T \rightarrow t$ $Q' \rightarrow Z$ $h \rightarrow R \rightarrow P \rightarrow O$ $T \rightarrow U \rightarrow O \rightarrow V$	A B K C D J X _n L F E I M Y H N S P Z O
Q h T t Enqueue : t Dequeue: U	$U'' \rightarrow S \rightarrow T \rightarrow t$ $Q' \rightarrow Z$ $h' \rightarrow R \rightarrow P \rightarrow O$ $T' \rightarrow U \rightarrow O \rightarrow V$ $t' \rightarrow U \rightarrow W \rightarrow G$	A B K C D J X _n L F E I M Y H N S P Z O U
h T t Enqueue : Dequeue: Q	$Q'' \rightarrow Z$ $h' \rightarrow R \rightarrow P \rightarrow O$ $T' \rightarrow U \rightarrow O \rightarrow V$ $t' \rightarrow U \rightarrow W \rightarrow G$	A B K C D J X _n L F E I M Y H N S P Z O U Q

T t R Enqueue : R Dequeue: h	$h'' \rightarrow R \rightarrow P \rightarrow O$ $T' \rightarrow U \rightarrow O \rightarrow V$ $t' \rightarrow U \rightarrow W \rightarrow G$ $R' \rightarrow h$	ABKCDJX _n LFEI MYHNSPZOUQh
t R V Enqueue : V Dequeue: T	$T'' \rightarrow U \rightarrow O \rightarrow V$ $t' \rightarrow U \rightarrow W \rightarrow G$ $R' \rightarrow h$ $V' \rightarrow T$	ABKCDJX _n LFEI MYHNSPZOUQh T
t R V Enqueue : V Dequeue: T	$T'' \rightarrow U \rightarrow O \rightarrow V$ $t' \rightarrow U \rightarrow W \rightarrow G$ $R' \rightarrow h$ $V' \rightarrow T$	ABKCDJX _n LFEI MYHNSPZOUQh T
R V W G Enqueue : W, G Dequeue: t	$t'' \rightarrow U \rightarrow W \rightarrow G$ $R' \rightarrow h$ $V' \rightarrow T$ $W' \rightarrow t$ $G' \rightarrow t$	ABKCDJX _n LFEI MYHNSPZOUQh T t
V W G Enqueue : Dequeue: R	$R'' \rightarrow h$ $V' \rightarrow T$ $W' \rightarrow t$ $G' \rightarrow t$	ABKCDJX _n LFEI MYHNSPZOUQh T t R
W G Enqueue : Dequeue: V	$V'' \rightarrow T$ $W' \rightarrow t$ $G' \rightarrow t$	ABKCDJX _n LFEI MYHNSPZOUQh T t R V
G Enqueue : Dequeue: W	$W'' \rightarrow t$ $G' \rightarrow t$	ABKCDJX _n LFEI MYHNSPZOUQh T t R V W
∞ Enqueue : Dequeue: G	$G'' \rightarrow t$	ABKCDJX _n LFEI MYHNSPZOUQh T t R V W G

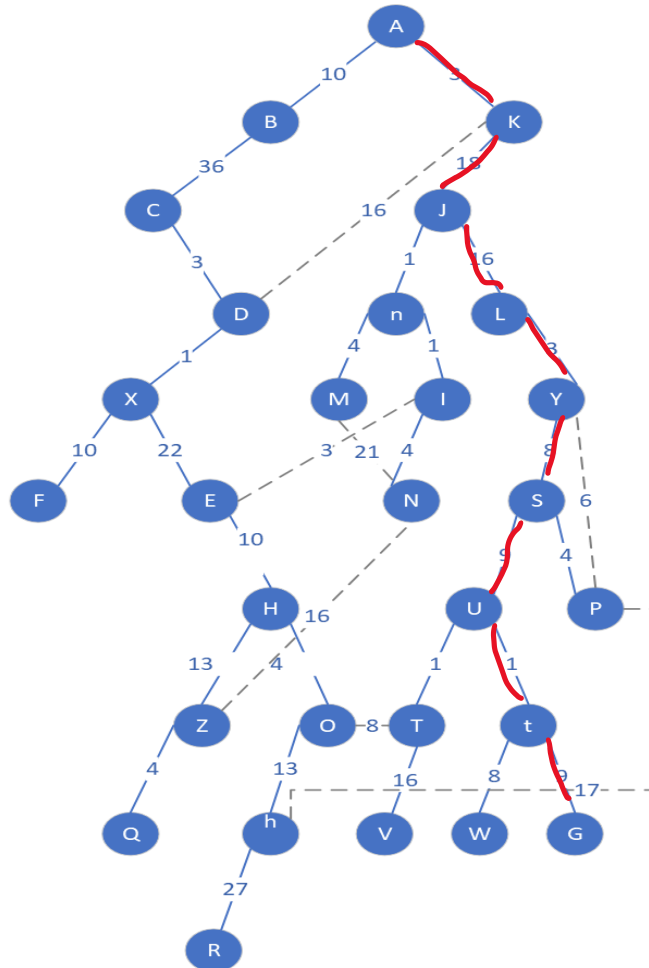
8b. Construct the corresponding breadth-first search (BFS) tree forest, with an indication of tree edges and cross edges in addition to back edges and forward edges)

ANSWER:



8c. From the obtained BFS tree forest, compute the shortest distance (smallest number of edges) from A to vertex G.

ANSWER:



From vertex A to vertex G.
The traversal order should be A, K, J, L, Y, S, U, t, G (Visual it from the tree structure).

The shortest distance is the sum of $AK + KJ + JL + YS + SU + Ut + tG = 3 + 18 + 16 + 3 + 8 + 9 + 1 + 9 = \underline{67}$

8d. What are the time efficiency and space efficiency of the BFS?

ANSWER:

Analyze the running time of an input graph $G = (V, E)$:

- the total time spent in scanning adjacency lists is $O(|E|)$
- the total time devoted to queue operation is $O(|V|)$
- Therefore, the BFS run is linear-time in the size of the adjacency-list representation of G .
the total running time of the BFS procedure is $O(|V| + |E|)$.

Space efficiency is the total space that needs to store all the data structures below in computer memory:

- a data structure to store graph G (vertex and edges) (dictionary implementation)
- The $color[u]$ to store the color of each vertex u in V (list implementation)
- The attribute $\pi[u]$ is the predecessor of vertex u in V (list implementation)
- The attribute $d[u]$ holds the distance from the source s to vertex u computed by the algorithm
- The FIFO queue data structures that containing vertex s .

Problem 9 [30 points]

From the given graph in Problem 7, given a source vertex A , use Prim's algorithm to find the minimum spanning tree for the graph. For each step, state your tree vertices VT and the remaining vertices $V - VT$. More importantly, you need to give a table stating the tree vertices and remaining vertices with their weights (i.e., the corresponding edges with their weights). You do not have to give the intermediate graph as an "Illustration", but you show the final minimum spanning tree (via highlight edges) within the graph given in problem 7.

9a. Compute the minimum spanning tree of the graph given in Problem 7.

Tree Vertices	Remaining Vertices	VT	V - VT
A(-, -)	B(A, 10), K(A, 3), ?(-, ∞)	{A}	{ B, K, ? }
	...		

where $VT = \{ A \}$ and

$V - V_T = \{ B, K, ? \}$, where “?” is to denote any vertex in the graph, which is not adjacent to A.

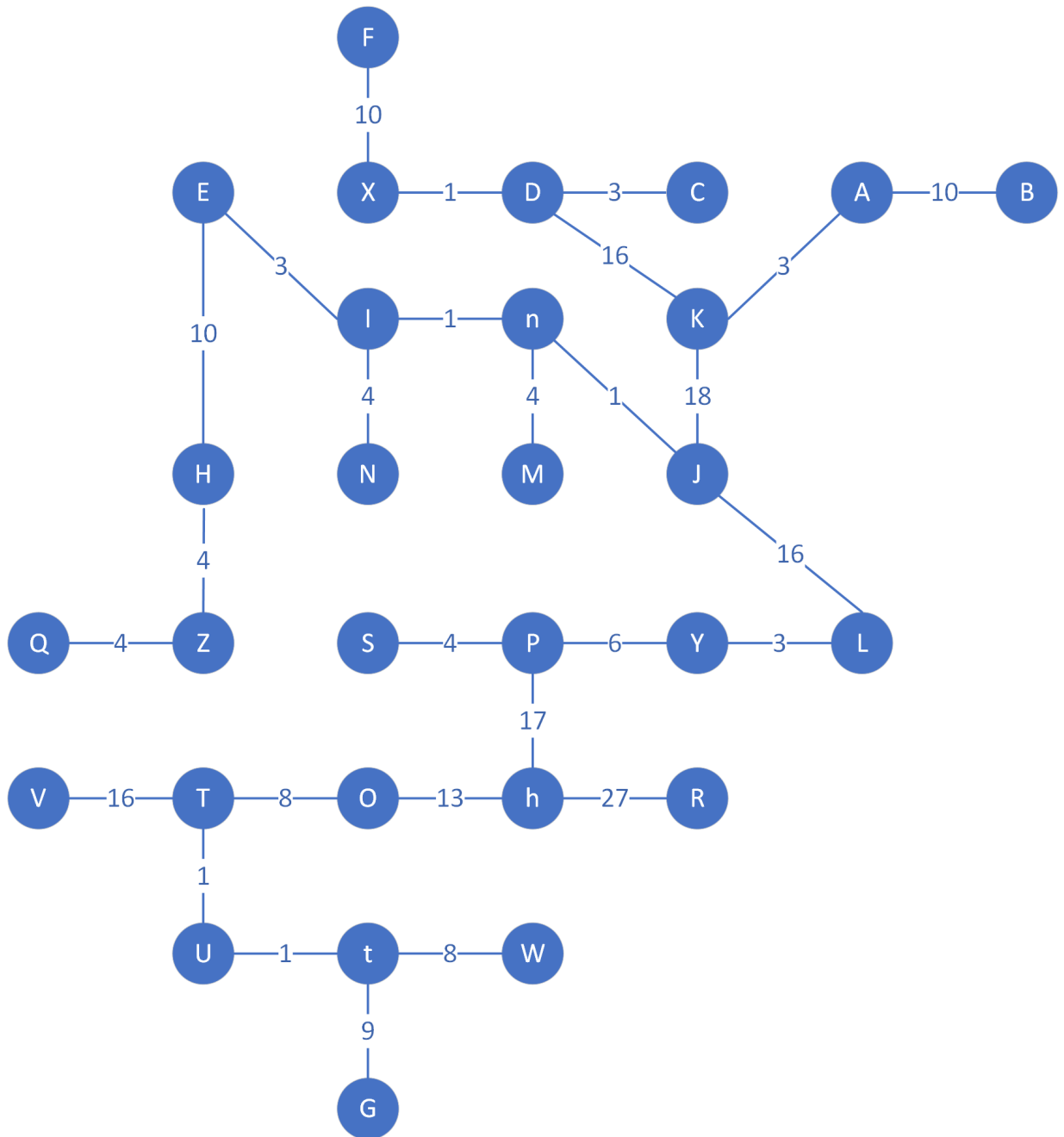
If you wish, use the symbol “?” to denote all the vertices in the graph which are not adjacent to every vertex in V_T .

ANSWER:

Tree Vertices	Remaining Vertices (applied min-heap)	V_T	$V - V_T$
F(-, -)	X(F,10), ?(-,∞)	{F}	{ X, ?}
X(F,10)	D(X,1), E(X,22), ?(-,∞)	{F, X}	{D, E, ?}
D(X,1)	C(D,3), K(D,16), E(X,22), ?(-,∞)	{F, X, D}	{C, K, E, ?}
C(D,3)	K(D,16), E(X,22), B(C, 36), ?(-,∞)	{F, X, D, C}	{K, E, B, ?}
K(D,16)	A(K,3), J(K,18), E(X,22), B(C, 36), ?(-,∞)	{F, X, D, C, K}	{A, J, E, B, ?}
A(K,3)	B(A,10), J(K,18), E(X,22), B(C, 36), ?(-,∞)	{F, X, D, C, K, A}	{B, J, E, ?}
B(A,10)	J(K,18), E(X,22), ?(-,∞)	{F, X, D, C, K, A, B}	{J, E, ?}
J(K,18)	n(J,1), L(J,16), E(X,22), ?(-,∞)	{F, X, D, C, K, A, B, J}	{n, L, E, ?}
n(J,1)	I(n,1), M(n,4), L(J,16), E(X,22), ?(-,∞)	{F, X, D, C, K, A, B, J, n}	{I, M, L, E, ?}
I(n,1)	E(I,3), N(I,4), M(n,4), L(J,16), E(X,22), ?(-,∞)	{F, X, D, C, K, A, B, J, n, I}	{ E, N, M, L, ?}
E(I,3)	N(I,4), M(n,4), H(E,10), L(J,16), ?(-,∞)	{F, X, D, C, K, A, B, J, n, I, E }	{N, M, H, L, ?}
N(I,4)	M(n,4), H(E,10), L(J,16), Z(N,16), ?(-,∞)	{F, X, D, C, K, A, B, J, n, I, E, N }	{ M, H, L, Z, ?}
M(n,4)	H(E,10), L(J,16), Z(N,16), ?(-,∞)	{F, X, D, C, K, A, B, J, n, I, E, N, M }	{H, L, Z, ?}
H(E,10)	Z(H,13), L(J,16), Z(N,16), ?(-,∞)	{F, X, D, C, K, A, B, J, n, I, E, N, M, H}	{Z, L ?}
Z(H,13)	Q(Z,4), L(J,16), ?(-,∞)	{F, X, D, C, K, A, B, J, n, I, E, N, M, H, Z}	{Q, L ?}
Q(Z,4)	L(J,16), ?(-,∞)	{F, X, D, C, K, A, B, J, n, I, E, N, M, H, Z, Q}	{L, ?}

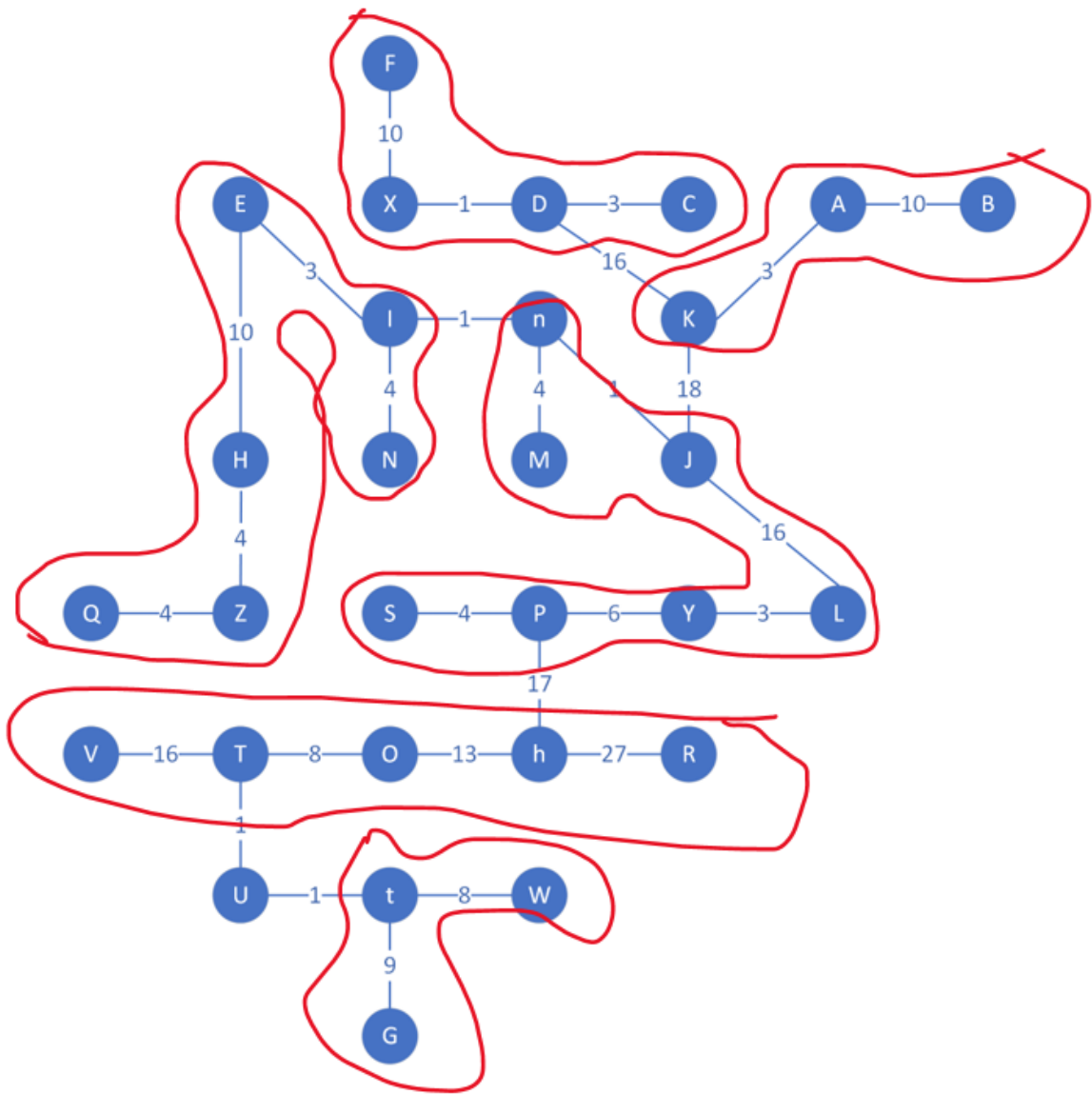
L(J,16)	Y(L,3), ?(-,∞)	{F, X, D, C, K, A, B, J, n, I, E, N, M, H, Z, Q, L}	{Y, ?}
Y(L,3)	P(Y,6), S(Y,8), ?(-,∞)	{F, X, D, C, K, A, B, J, n, I, E, N, M, H, Z, Q, L, Y }	{P, S ?}
P(Y,6)	S(P,4), h(P,17), S(Y,8), ?(-,∞)	{F, X, D, C, K, A, B, J, n, I, E, N, M, H, Z, Q, L, Y, P}	{S, h ?}
S(P,4)	h(P,17), ?(-,∞)	{F, X, D, C, K, A, B, J, n, I, E, N, M, H, Z, Q, L, Y, P, S}	{h, ?}
h(P,17)	O(h,13), R(h,27), ?(-,∞)	{F, X, D, C, K, A, B, J, n, I, E, N, M, H, Z, Q, L, Y, P, S, h}	{O, R, ?}
O(h,13)	T(O,8), R(h,27), ?(-,∞)	{F, X, D, C, K, A, B, J, n, I, E, N, M, H, Z, Q, L, Y, P, S, h, O}	{T, R, ?}
T(O,8)	U(T,1), V(T,16), R(h,27), ?(-,∞)	{F, X, D, C, K, A, B, J, n, I, E, N, M, H, Z, Q, L, Y, P, S, h, O, T}	{U, V, R, ?}
U(T,1)	t(U,1), V(T,16), R(h,27), ?(-,∞)	{F, X, D, C, K, A, B, J, n, I, E, N, M, H, Z, Q, L, Y, P, S, h, O, T, U}	{t, V, R, ?}
t(U,1)	W(t,8), G(t,9), V(T,16), R(h,27)	{F, X, D, C, K, A, B, J, n, I, E, N, M, H, Z, Q, L, Y, P, S, h, O, T, U, t}	{W, G, V, R}
W(t,8)	G(t,9), V(T,16), R(h,27)	{F, X, D, C, K, A, B, J, n, I, E, N, M, H, Z, Q, L, Y, P, S, h, O, T, U, t, W}	{G, V, R}
G(t,9)	V(T,16), R(h,27)	{F, X, D, C, K, A, B, J, n, I, E, N, M, H, Z, Q, L, Y, P, S, h, O, T, U, t, W, G}	{V, R}
V(T,16)	R(h,27)	{F, X, D, C, K, A, B, J, n, I, E, N, M, H, Z, Q, L, Y, P, S, h, O, T, U, t, W, G, V}	{R}
R(h,27)		{F, X, D, C, K, A, B, J, n, I, E, N, M, H, Z, Q, L, Y, P, S, h, O, T, U, t, W, G, V, R}	{}

Here is a nice diagram of the above using visual studio [Drawing.vsd](#)



- 9b. What is your obtained minimum spanning tree with their total weights of branches for the graph given in problem 7? [Highlight the obtained (from 9a) minimum spanning tree in the given graph of Problem 7. For example, the branch of A, K, D, X, F has a weight Secondly, compute the total weight of each branch of the minimum spanning tree. Thirdly, compute the grand total weight of the obtained minimum spanning tree.]

ANSWER:



- The grand total minimum weight of the spanning tree is: 230

Branch	Weight
F, X, D, C	14
K, A, B	13
Q, Z, H, E, I, N	25
M, n, J, L, Y, P, S	34

V, T, O, h, R	64
G, t, W	17

9c. From your obtained minimum spanning tree, what is the minimum distance from vertex A to vertex G?

ANSWER:

The shortest path from vertex A to vertex G is following through the below vertex in the following order:

A, K, J, L, Y, P, h, O, T, U, t, G

The minimum distance is the sum of each edge between these vertexes. It is 95

Please refer to the below diagram for verification

I: [80 points]: each of I.1 through I.8 is 10 points.

(I.1) Give the input and output specifications for :

- (a) the algorithm a?
- (b) the algorithm b?

Answer:

	algorithm a	algorithm b
Input	Two n-bit integer x and y, where $y \geq 1$	Two n-bit integer x and y, where $y \geq 1$
Output	The quotient and remainder of x divided by y	The quotient and remainder of x divided by y

(I.2) What is the input size for:

- (a) the algorithm a?
- (b) the algorithm b?

Answer:

	algorithm a & algorithm b
Input Size	<p>Algorithm a & algorithm b will have the same input size as they take x and y for division</p> <p>The input x or y will be an integer n. The input size for each of them will be $\lceil \log_2 n \rceil + 1$ bits</p> <p>Define the input size as the number of symbols (in this case bits) used for encoding a positive integer n.</p>

(I.3) What is the basic operation for:

- (a) the algorithm a?
- (b) the algorithm b?

Answer:

	algorithm a	algorithm b
Basic Operation	Right shift (bit additions)	<p>Left shift (bit multiplication)</p> <p>Right shift (bit additions)</p>

(I.4) In algorithm b, what is the functionality of the following segment of statements? (i.e., give the reasons for writing each of the statements. Why double the q and r? Why increase r by one when x is odd? Why reduce r by y and increase q by one when $r \geq y$?)

```
q := 2 * q; r := 2 * r;
if (x is odd) then r := r + 1;
if (r ≥ y) then
    { r := r - y; q := q + 1 };
```

Answer:

Why double the q and r?	In the recursive call, we divide x by 2. There we must double q and r to make it even. It can be done by shift left one bit
Why increase r by one when x is odd?	Because we want to get the top division (not the floor division) when using integer division. We want to change it to an even number that can divide by 2. Ex: $7/2 = 3$ (floor division) but that is not what we want to get. Therefore, we add $(7+1)/2 = 4$
Why reduce r by y and increase q by one when $r \geq y$?	<ul style="list-style-type: none"> - Reduce r by y to get the remaining value of x - Adding 1 to q because we just subtract y from (reduce) the value of r. Thus, we prove that previous r (before the subtraction) can divide one more y -> Increase q by 1

(I.5) Analyze and derive the algorithm's time and space efficiency for Algorithm a.

(Hint: express time efficiency in terms of summation $\sum_{i=1}^n$)

Given algorithm a,

```
if x = 0, then return (q, r) := (0, 0);
q := 0; r := x;
while (r ≥ y) do    // takes n iterations for the worse case.
    { q := q + 1;
      r := r - y }; // O(n) for each r - y, where y is n bits long.
return (q, r);
```

Answer:

	algorithm a
--	-------------

Time Efficiency	Takes 2^n iterations for the worse case, which says $x/1$ where x is n bits long with a max value $2^n - 1$. Since this takes linear time $O(n)$ for each iteration. The worse case would be $O(n2^n)$
Space Efficiency	Take 4 spaces: x, y, q, r

(I.6) Analyze and derive the algorithm's time and space efficiency for algorithm b.

(Hint: for time efficiency, use recurrence relation and solve for the recurrence relation system)

Given algorithm b,

```

if (x = 0) then return (q, r):=(0, 0);

(q, r) := divide( $\lfloor x/2 \rfloor$ , y)    //requires n-bits right shift
q := 2 * q, r := 2 * r;        // shift left one bit.
if (x is odd) then r := r + 1;  // needs c*n-bits
if (r ≥ y) then                // additions
    { r := r - y; q := q + 1 };
return (q, r);

```

Answer:

	algorithm a
Time Efficiency	- Takes n call, so the worse case would be $O(n^2)$
Space Efficiency	- Take 4 spaces: x, y, q, r

(I.7) Can these two algorithms a and b be improved? Justify your answer.

- (a) for the algorithm a?
- (b) for the algorithm b?

Answer:

	algorithm a	algorithm b
Improvement	<ul style="list-style-type: none"> - No improvement if the use of iteration is applied. - Can only improve by using recursive (algorithm b) because time complexity is huge compared to algorithm b ($O(n2^n) > O(n^2)$) - x must be ≥ 0 for the input (precondition). 	<ul style="list-style-type: none"> - Pretty optimal already (in terms of time and space complexity). - x must be ≥ 0 for the input (precondition).

(I.8) Compare these two algorithms a and b:

Which is a better algorithm in terms of time and space efficiency? Justify your answer.

Answer:

	algorithm a	algorithm b
Time efficiency	- $O(n^{2^n})$ is slower algorithm b	- $O(n^2)$ is faster than algorithm a
Space efficiency	- Take 4 spaces: x, y, q, r. The calculation between them is stored in the computer register (the number of registers depends on the computer). Thus, the same efficiency as algorithm b.	- Take 4 spaces: x, y, q, r. Thus, the same efficiency as algorithm a

Reference:

Purdue slides Ch000_02_IntroFoundation_ProgCorrectionLec.pdf from CS 58000_01 Algorithm Design, Analysis & Implementation

$$80 - 28 = 52$$

Why is your filename anCS486_As01__36?????

If this was your solution for CS 486, then I would say that you did not improve the analysis of algorithms.

I: [80 points]: each of I.1 through I.8 is 10 points.

(I.1) Give the input and output specifications for :

- (a) the algorithm a?
- (b) the algorithm b?

Answer:

	algorithm a	algorithm b
Input	Two n-bit integer x and y, where $y \geq 1$	Two n-bit integer x and y, where $y \geq 1$
Output	The quotient and remainder of x divided by y	The quotient and remainder of x divided by y

-4

Answer: For both a and b, their input and output specifications are as follows:
 Input specification: $\{x, y \mid x \text{ and } y \text{ are two integers, where } x \geq 0, y \geq 1\}$.
 Output specification: $\{q, r \mid x = q*y + r, \text{ where } 0 \leq r < y\}$. q and r are the quotient and remainder for y dividing x.

(I.2) What is the input size for:

- (a) the algorithm a?
- (b) the algorithm b?

Answer:

	algorithm a & algorithm b
Input Size	Algorithm a & algorithm b will have the same input size as they take x and y for division The input x or y will be an integer n. The input size for each of them will be $\lfloor \log_2 n \rfloor + 1$ bits Define the input size as the number of symbols (in this case bits) used for encoding a positive integer n.

Answer: For both (a) and (b), their input size is:

The number of bits for representing the value of x, say m. $m = \lfloor \log_2 x \rfloor + 1$.
 The number of bits for representing the value of y, say n. $n = \lfloor \log_2 y \rfloor + 1$.

Not n but x and y.

-3

(I.3) What is the basic operation for:

- (a) the algorithm a?
- (b) the algorithm b?

Answer:

	algorithm a	algorithm b
Basic Operation	Right shift (bit additions)	Left shift (bit multiplication) Right shift (bit additions)

For (a): The basic operation is the **compare operation** ($r \geq y$), or “either + or :=” in “(r := r + -y); or q := q + 1;”.

For (b): The basic operation could be: compare operation ($x == 0$) or **integer division** $\lfloor x/2 \rfloor$ (shift x right by one bit) for each recursive call. Both can be used to define the number of recursive calls.

-4

(I.4) In algorithm b, what is the functionality of the following segment of statements? (i.e., give the reasons for writing each of the statements. Why double the q and r? Why increase r by one when x is odd? Why reduce r by y and increase q by one when $r \geq y$?)

```
q := 2 * q; r := 2 * r;  
if (x is odd) then r := r + 1;  
if (r ≥ y) then  
    { r := r - y; q := q + 1 };
```

Answer:

Why double the q and r?	In the recursive call, we divide x by 2. There we must double q and r to make it even. It can be done by shift left one bit
Why increase r by one when x is odd?	Because we want to get the top division (not the floor division) when using integer division. We want to change it to an even number that can divide by 2. Ex: $7/2 = 3$ (floor division) but that is not what we want to get. Therefore, we add $(7+1)/2 = 4$
Why reduce r by y and increase q by one when $r \geq y$?)	<ul style="list-style-type: none">- Reduce r by y to get the remaining value of x- Adding 1 to q because we just subtract y from (reduce) the value of r. Thus, we prove that previous r (before the subtraction) can divide one more y -> Increase q by 1

-6

Suppose that preparing for the first recursive call,

$$\lfloor x/2 \rfloor = q_0 * y + r_0 \quad \dots\dots\dots (1)$$

Then, where x is even, $\lfloor x/2 \rfloor = x/2 = q_0 * y + r_0$, since x is divisible by 2.

$$x = 2q_0 * y + 2r_0; \quad \dots\dots\dots(2)$$

where x is odd, $\lfloor x/2 \rfloor = (x-1)/2 = q_0 * y + r_0$

$$x = 2q_0 * y + 2r_0 + 1 \quad \dots\dots\dots(2)$$

From (2), $q_1 = 2q_0$ and $r_1 = 2r_0$, then $q_{i+1} = 2q_i$ and $r_{i+1} = 2r_i$;

these lead to “ $q := 2 * q, r := 2 * r$;”,

Preparing for the i^{th} recursive call, (2) implies (2’),

$x = 2q_{i-1} * y + 2r_{i-1}$, where x is even,

or $x = 2q_{i-1} * y + 2r_{i-1} + 1$, where x is odd. $\dots\dots\dots(2')$

That is, after the first recursive call,

$r_1 = 2r_0$, where x is even,

or $r_1 = 2r_0 + 1$, where x is odd. $\dots\dots\dots(3)$

(3) implies (3’):

That is, after i^{th} recursive call,

$r_i = 2r_{i-1}$, where x is even,

or $r_i = 2r_{i-1} + 1$, where x is odd. $\dots\dots\dots(3')$

This leads to “if (x is odd) then $r := r + 1$;”, where x is odd.

However, cases would arise: either if $(r_1 < y)$

or, if $(r_1 \geq y)$.

According to if $(r \geq y)$ then

$$\{ r := r - y; q := q + 1 \};$$

For if $(r_1 < y)$ then no action “ $\{ r := r - y; q := q + 1 \};$ ” is needed to be taken.

For if $(r_1 \geq y)$, this implies that $2y$ is $\leq r_0$. Since $(r_1 \geq y)$ then

$$\{ r_2 := r_1 - y; q_2 := q_1 + 1 \};$$

implying that if $(r_i \geq y)$ then

$$\{ r_{i+1} := r_i - y; q_{i+1} := q_i + 1 \}; \dots (4)$$

For if ($r_1 \geq y$) then execute “{ $r := r - y; q := q + 1$ };”; reduce r_1 by one times of y to generate $r_2 = r_1 - y$; $0 \leq r_2 < r_1$. and $q_2 := q_1 + 1$. That means $x = q_2 * y + r_2$. That is, $r_2 = x - q_2 * y$.

This leads to “there exists an integer $i \geq 1$, such that $0 \leq r_i - i * y < y$.”

Equivalently, there exists an integer $i \geq 1$, such that $0 \leq i * y \leq r_i < (i + 1)y$.

Assume that these are true for i , “there exists an integer $i \geq 1$, such that $0 \leq r_i - i * y < y$.”

if ($r_i < y$) is true, no further execution of “{ $r := r - y; q := q + 1$ };” is needed. Otherwise,

if ($r_i \geq y$) is true, then $r_{i+1} = r_i - y$, and $q_{i+1} := q_i + 1$, such that $x = q_{i+1} * y + r_{i+1}$.

if $x = q_{i+1} * y + r_{i+1}$, then $x = (q_i + 1) * y + (r_i - y)$ using (4).

$$x = (q_i * y + 1 * y) + (r_i - y) = q_i * y + r_i$$

Therefore, if ($r_i \geq y$) then $r_{i+1} = r_i - y$; and to keep the equations (2) hold, $q_{i+1} := q_i + 1$ for each of the subtract y from r . That is, this leads to

“if ($r \geq y$) then { $r := r - y; q := q + 1$ };”

(I.5) Analyze and derive the algorithm’s time and space efficiency for Algorithm a.

(Hint: express time efficiency in terms of summation $\sum_{i=1}^n 1$)

Given algorithm a,

if $x = 0$, then return $(q, r) := (0, 0)$;

$q := 0; r := x$;

while ($r \geq y$) do // takes n iterations for the worse case.

{ $q := q + 1$;

$r := r - y$ }; // $O(n)$ for each $r - y$, where y is n bits long.

return (q, r) ;

Answer:

	algorithm a
Time Efficiency	Takes 2^n iterations for the worse case, which says $x/1$ where x is n bits long with a max value $2^n - 1$. Since this takes linear time $O(n)$ for each iteration. The worse case would be $O(n2^n)$
Space Efficiency	Take 4 spaces: x, y, q, r

Consider the worst-case, name $y = 1$. Assume that x is $n + 1$ bits long. The position of bit at n is a sign bit. The maximum value of x would be $2^n - 1$. Then the value of x will take $(2^n - 1)$ time reducing the value of x by 1 to get down to 0. That is, $(2^n - 1)$ number of times executing $(r \geq y)$. For a large n , $(2^n - 1) \approx 2^n$. That means it requires 2^n time for executing the '+' addition operation for the statement $r := r + (-y)$; and likewise 2^n time for executing the '+' addition operation for the statement $q := q + 1$;

Since each addition operation requires $O(n)$, the number of times for an addition operation could be $n 2^n$ for executing $r = r - y$ in the **worse case**; **which is exponential**, $O(n 2^n) = O(2^n)$

Likewise for the best case, either $x = 0$, or $x \neq 0$ and $x < y$, or if $x \neq 0$ and $x \geq y$, where $x = y$, then $\Omega(1)$, for the best case.

For the average case, it is $O(2^n)$.

For space efficiency, it requires space for each of the variables x , y , q , and r . It requires a constant c space. Or it requires cn bits, where n is the maximum bits for the $\max\{x, y, q, r\}$ bits. **That is, space efficiency is $\Theta(1)$.**

-4

(I.6) Analyze and derive the algorithm's time and space efficiency for algorithm b.

(Hint: for time efficiency, use recurrence relation and solve for the recurrence relation system)

Given algorithm b,

```

if (x = 0) then return (q, r):=(0, 0);
(q, r) := divide( $\lfloor x/2 \rfloor$ , y)      //requires n-bits right shift
q := 2 * q, r := 2 * r;          // shift left one bit.
if (x is odd) then r := r + 1;    // needs c*n-bits
if (r ≥ y) then                  // additions
    { r := r - y; q := q + 1 };
return (q, r);

```

Answer:

	algorithm a
Time Efficiency	- Takes n call, so the worse case would be $O(n^2)$
Space Efficiency	- Take 4 spaces: x, y, q, r

For time efficiency

Assume $x \geq y$ where x is n bits long and $n = \lfloor \log_2 x \rfloor + 1$.

If $x = 0$, then one compare operator ($=$) and two $:=$ operations

If $x \neq 0$ then it has $n = \lfloor \log_2 x \rfloor + 1$ number of recursive calls. For each call, there is a shift right one bit on x . **That means, there will be n numbers of recursive calls.** Upon return from each recursive call, it requires two $:=$ operations for (q, r) .

For each recursive, upon each of the returns, it needs two numbers of left shift on q and r . two $:=$ operations from $q := 2 * q, r := 2 * r$; Since it has n times of recursive call, then it requires $2n$ shift left of q and r , and $2n$ of $:=$ operation. **That means these require each $O(n)$ for each recursive call.**

if (x is odd) then $r := r + 1$; To determine whether (x is odd) by examine the rightmost digit of x whether is 1 (for x is odd) or 0 (for x is even). And, if x is odd, then it requires one $+$ and one $:=$ operations. Since it has n times of recursive call, each of them at most would be executed n times. **That is, this requires $O(n)$ for each recursive call.**

Then one $r \geq y$ compare operation, and if $r \geq y$, then execute two $+$ and two $:=$ operations **for $\{ r := r - y; q := q + 1 \}$ statements.** We can assume that **they require $O(n)$ for each recursive call.**

Since there is an n number of the recursive call, and upon each return, it requires $O(n)$ for executing the rest of the statements:

$$n(O(n)) = O(n^2), \text{ where } n = \lfloor \log_2 x \rfloor + 1.$$

For space efficiency,

It requires space for x, y, q, r , and space cn for the return address after each recursive call. It has **$\Theta(n)$** , space for a return address. Otherwise, it is a constant **$\Theta(1)$** .

-3

(I.7) Can these two algorithms a and b be improved? Justify your answer.

- (a) for the algorithm a?
- (b) for the algorithm b?

Answer:

	algorithm a	algorithm b
Improvement	<ul style="list-style-type: none"> - No improvement if the use of iteration is applied. - Can only improve by using recursive (algorithm b) because time complexity is 	<ul style="list-style-type: none"> - Pretty optimal already (in terms of time and space complexity). - x must be ≥ 0 for the input (precondition).

	huge compared to algorithm b ($O(n2^n) > O(n^2)$) - x must be ≥ 0 for the input (precondition).	
--	---	--

Answer:

(a) Since **algorithm b is an improvement when compared to algorithm a in terms of time**. The algorithm b **reduces an exponent time** from algorithm a to **quadratic time**, despite the algorithm b having $O(n)$ space complexity compared to the $O(1)$ for algorithm a.

(b) For some cases, **algorithm b could be reduced to $O(1)$ space complexity**.

-2

(I.8) Compare these two algorithms a and b:

Which is a better algorithm in terms of time and space efficiency? Justify your answer.

Answer:

	algorithm a	algorithm b
Time efficiency	- $O(n2^n)$ is slower algorithm b	- $O(n^2)$ is faster than algorithm a
Space efficiency	- Take 4 spaces: x, y, q, r. The calculation between them is stored in the computer register (the number of registers depends on the computer). Thus, the same efficiency as algorithm b.	- Take 4 spaces: x, y, q, r. Thus, the same efficiency as algorithm a

Answer

Let $n = \lceil \log_2 x \rceil + 1$ bits. For the **worse case**, algorithm a requires $O(n2^n)$ that grows exponentially, but algorithm b requires only $O(n^2)$. In the **best case**, both algorithms could be achieved by constant time $O(1)$. For the **average case**, algorithm a is $\Theta(2^n)$ and algorithm b is $\Theta(n^2)$

-2

Reference:

Purdue slides Ch000_02_IntroFoundation_ProgCorrectionLec.pdf from CS 58000_01 Algorithm Design, Analysis & Implementation

1. Problem: Write a most time-efficient sequential search algorithm to search for a given value x in a given array $S[0 \dots n-1]$.

Input Specifications: An array $S[0 \dots n-1]$ of n elements and a search key x .

Output Specification: The index (location) of the first element of S that matches x
or -1 if there are no matching elements.

2. Give an instance of the given problem in 1.

Soln:

1.

$S[n] := x;$

$i := 0;$

while ($S[i] \neq x$)

do $\{i := i + 1; \}$

if ($i < n$) return i ;

else return -1 ;

2.

An instance of the problem can be as follows:

$S[0] = 14$ $x = 16$

$S[1] = 11$

$S[2] = 15$

$S[3] = 20$

September 6, 2022

1. Analyze the time efficiency for the function `multiply(x, y)`.

function `multiply(x, y)`

Input: Two integers x and y , where $y \geq 0$

Output: Their product

if $y = 0$ then return 0;

$z := \text{multiply}(x, \lfloor y/2 \rfloor)$;

if y is even then return $2z$

else return $x + 2z$;

- a. What is the input size of any integer y ?

• $n = \lfloor \log_2 y \rfloor + 1$ bits; e.g., let $2^7 \leq y < 2^8$. Then n is 8 bits long.

- b. What is the total number of recursive calls “`multiply(x, $\lfloor y/2 \rfloor$)`” executed?

 At most $n = \lfloor \log_2 y \rfloor + 1$ number of recursive calls

- c. Upon each return from the recursive call “`multiply(x, $\lfloor y/2 \rfloor$)`”, assign the product to z , and then execute the if-then-else statement. What is the time efficiency for executing this if-then-else statement?

 $O(n)$ justifying by the following problems d ($O(n)$), e ($O(1)$), and f ($O(n)$).

- d. What is time efficiency for determining whether y is even?

 constant time $O(1)$ for every testing the rightmost bit whether is a zero. The other way is to determine whether $(y - (\lfloor y/2 \rfloor) * 2)$ is zero, where $\lfloor y/2 \rfloor * 2$ can be done by shift-right y by one bit and then shift-left by one bit. Then add the negative result to y . If it is zero, then y is even. This require $O(n) + O(1) + O(1) = O(n)$

- e. What is time efficiency for computing $2z$?

 constant time $O(1)$ for each left shift-left z by one bit and then append a zero at the rightmost bit, for any given z .

- f. What is time efficiency for computing $x + 2z$?

 In addition to $O(1)$ for computing $2z$, each addition requires $O(n)$, assuming x and y are n -bits long. The time efficiency is $O(n)$

- g. What is the time efficiency of this algorithm, function `multiply(x, y)`?

 $n * O(n) = n * (c_0 + c_1 n) = O(n^2)$.

h. Express the function $\text{multiple}(x, y)$ in terms of an equation system.

$$x * y = \begin{cases} 2(x * \lfloor y/2 \rfloor), & \text{if } y \text{ is even} \\ x + 2(x * \lfloor y/2 \rfloor), & \text{if } y \text{ is odd} \end{cases}$$

i. Is the function $\text{multiple}(x, y)$ correct?

It is transparently correct; It also handles the base case ($y = 0$). _____

j. Does the function $\text{multiple}(x, y)$ halt?

— The function $\text{multiple}(x, y)$ will eventually halt. The reason is: For each recursive call $\text{multiply}(x, \lfloor y/2 \rfloor)$, the continuation of “shift-right y by one bit” for a given $n = \lfloor \log_2 y \rfloor + 1$ bits will eventually yield zero for the value of y . The recursive call will be ended if $y = 0$ then return 0; _____

September 15, 2022

10 points

Analyze the time efficiency for the function $\text{modexp}(x, y, N)$ function $\text{modexp}(x, y, N)$ //Compute $x^y \bmod N$ Input: Two n -bit integers x and N , and an integer exponent y .Output: $x^y \bmod N$.if ($y = 0$) then return 1; $z = \text{modexp}(x, \lfloor y/2 \rfloor, N)$; // $z = x^{\lfloor y/2 \rfloor} \bmod N$ if (y is even) then return $z^2 \bmod N$;else return $x * z^2 \bmod N$;

1. What is the basic operation?

Answer:

The basic operation would be to integer division, exponent a number, and multiply two mod N numbers

2. What is the input size

Answer:

Since there are 2 n -bits integer x and N , and an integer exponent y . Therefore, each of these numbers x , y , and N has the size $n = \lceil \log_2 y \rceil + 1$ bit.

3. How many times of recursive calls will be made?

Answer:

The algorithm will halt at $\log_2 n$ (since $\lfloor y/2 \rfloor$ will shift one bit after each call) recursive call.

4. What is the best way to compute $z^2 \bmod N$:

Answer:

We have $z = x^{\lfloor y/2 \rfloor} \bmod N$. Therefore, $z^2 \bmod N = (x^{\lfloor y/2 \rfloor} \% N)^2 \% N$. We make the z which is $x^{\lfloor y/2 \rfloor} \% N$ to the power of 2, which is splitting the y (or $y/2$) by the power of 2. So, we split the $((x^y) \% N)$ Until $x^y = x^3$. Then, $x^3 = x * (x^1)^2$ By using these formulas below we can prove it:

$$x^{2n} = (x^n)^2 \text{ for all real numbers } x \text{ and } n \text{ with } x \geq 0.$$

$$\text{E.g.: } x^4 \% n = (x^2)^2 \% n = (x^2 \% n)^2 \% n$$

$$x^{a+b} = x^a x^b \text{ for all real numbers } x, a \text{ and } b \text{ with } x \geq 0.$$

$$\text{E.g.: } x^7 \% n = (x^{4+2+1}) \% n = (x^4 x^2 x^1) \% n = \{(x^4 \% n) (x^2 \% n) (x^1 \% n)\} \% n$$

To clarify my point. Let's look at the example:

$$1. \text{ modexp}(x, \lfloor 12/2 \rfloor, N); y = 6 \neq 0$$

$$\begin{aligned} \text{Therefore } z^2 \% N &= (x^{\lfloor 12/2 \rfloor} \% N)^2 \% N \\ &= (x^6 \% N)^2 \% N \\ &= ((x^3)^2 \% N)^2 \% N \\ &= ((x^3 \% N)^2 \% N)^2 \% N \\ &= (((x^{2+1}) \% N)^2 \% N)^2 \% N \\ &= (((x * x^2) \% N)^2 \% N)^2 \% N \\ &= (x * ((x * 1^2) \% N)^2 \% N)^2 \% N \end{aligned}$$

5. What is the best way to compute $x * z^2 \bmod N$:

Answer:

Very similar to the above answer. We have $z = x^{\lfloor y/2 \rfloor} \% N$. Therefore, $x * z^2 \% N = x * (x^{\lfloor y/2 \rfloor} \% N)^2 \% N$ (y is an integer exponent y). We make the z which is $x^{\lfloor y/2 \rfloor} \% N$ to the power of 2, which is splitting the y (or $y/2$) by the power of 2. So, we split the $((x^y) \% N)$ Until $x^y = x^3$. Then, $x^3 = x * (x^1)^2$ By using these formulas in answer 3 we can prove it. Let's look at the example:

$$1. \text{ modexp}(x, \lfloor 14/2 \rfloor, N); y = 7 \neq 0$$

$$\text{Therefore } x * z^2 \% N = x * (x^{\lfloor 14/2 \rfloor} \% N)^2 \% N$$

$$= x * (x^7 \% N)^2 \% N$$

$$= x * ((x^3)^2 \% N)^2 \% N$$

$$= x * ((x^3 \% N)^2 \% N)^2 \% N$$

$$= x * (((x^{2+1}) \% N)^2 \% N)^2 \% N$$

$$= x * (((x * x^2) \% N)^2 \% N)^2 \% N$$

$$= x * (x * ((x * 1^2) \% N)^2 \% N)^2 \% N$$