



## DIGITAL COMMUNICATION

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# BASEBAND PULSE SHAPING

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**Background on Random Process,  
Power Spectrum of a Discrete PAM Signal**

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## Definition

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A random variable can be considered to be a collection of real numbers, characterized by a probability description.

Similarly, a random process is an ensemble (collection) of real signals, characterized by a probability description.

It can also be viewed as a sequence/continuum of random variables.

It is denoted by  $X(t)$  /  $X(n)$ .

Examples:

Communications: message signal, noise, the received signal.

Statistics: Stock market index, rainfall, weather etc.

The result of sampling the random process  $X(t)$  at  $t = t_0$  is the random variable  $X(t_0)$ .

Any specific signal in the ensemble is called a “sample function” or realization, and is denoted by  $x(t)$ .

A random process is said to be “deterministic” if the future values of any realization can be predicted from the past samples.

Ex: 1.  $X(t) = A$  , where  $A \sim N(0, \sigma^2)$

2.  $X(t) = A \cos(\omega_0 t + \phi)$  , where  $A$  and  $\omega_0$  are constants,  
and  $\phi \sim \text{unif}[-\pi, \pi]$

If the future values cannot be predicted from the past samples, then the process is called “non-deterministic”.

Consider the r.p  $X(t)$ . At any  $t = t_1$ , we have the r.v  $X_1 = X(t_1)$ . Let its p.d.f be denoted by  $f_x(x_1; t_1)$

we can define

$\mu_x(t) = E[X(t)]$  : "Ensemble average" of  $x(t)$ .

$$\sigma_x^2(t) = E[\{X(t) - \mu_x(t)\}^2]$$

These are the "1<sup>st</sup> order statistics". They are, in general, functions of time.

[Also the mean squared value  $E[X^2(t)]$ ]

Similarly, by considering the random variables

$x_1 = x(t_1)$  and  $x_2 = x(t_2)$  at times  $t_1$  and  $t_2$ ,

we denote their joint p.d.f as  $f_x(x_1, x_2; t_1, t_2)$

w.r.t this joint p.d.f, we can define

\* "the autocorrelation function"

$$R_x(t_1, t_2) = E[x(t_1)x(t_2)]$$

# Random Process

## Probabilistic Description

\* "the autocovariance function".

$$\begin{aligned} C_X(t_1, t_2) &= E \left[ \{X(t_1) - \mu_X(t_1)\} \{X(t_2) - \mu_X(t_2)\} \right] \\ &= R_X(t_1, t_2) - \mu_X(t_1) \mu_X(t_2) \end{aligned}$$

\* NOTE:  $R_X(t_1, t_1) = E[X^2(t_1)]$   
 $C_X(t_1, t_1) = \sigma_X^2(t_1)$

In general, we can <sup>denote</sup> ~~define~~ the  $n^{\text{th}}$  order joint p.d.f as

$$f_X(x_1, x_2, \dots, x_n; t_1, t_2, \dots, t_n)$$



A random process is said to be “first order stationary” if its first order density function does not change with time.

$$\text{i.e., } f_x(x_1; t_1) = f_x(x_1; t_1 + \Delta)$$

for any  $t_1$  and any  $\Delta$ .

$\Rightarrow f_x(x_1; t_1)$  is independent of  $t_1$ , and can be expressed as  $f_x(x_1)$ .

$$\text{Also } \left. \begin{aligned} \mu_x(t) &= \mu_x \\ \sigma_x^2(t) &= \sigma_x^2 \end{aligned} \right\} \text{ constants.}$$

The definitions of stationarity are “probabilistic”, and are difficult to either ensure or verify.

We instead go for a “statistical” notion of stationarity.

A process is said to be “wide sense stationary” (WSS),

if

$$i) E[x(t)] = \mu_x \quad : \text{a constant}$$

$$ii) R_x(t_1, t_2) = R_x(t_2 - t_1) = R_x(\tau) \\ = E[x(t)x(t+\tau)]$$

i.e., the autocorrelation is a function of only the “time lag”  $\tau$ , and not of the actual time values  $t_1$  and  $t_2$ .

Consider the autocorrelation function

$$R_x(\tau) = E [x(t)x(t+\tau)]$$

This function is a measure of the similarity between  $X(t)$  and  $X(t+\tau)$ .

For discrete-time random process,

$$R_x(k) = E [x(n)x(n+k)]$$

# Power Spectrum of a Discrete PAM Signal

## Discrete PAM Signals

Let  $v(t)$  indicate the basic pulse shape for NRZ schemes. (Figure)

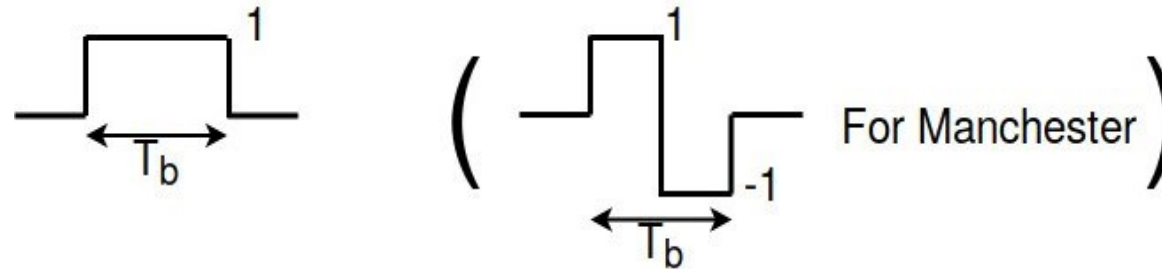


Figure : Basic Pulse Shape of NRZ

The different discrete PAM signals can be expressed as the realization of the random process given by:

$$X(t) = \sum_{k=-\infty}^{\infty} A_k v(t - kT_b) \quad (1)$$

where  $A_k$  is a discrete random variable that determines the PAM format (Unipolar, Polar, etc..)

# Power Spectrum of a Discrete PAM Signal

## Discrete PAM Signals

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$A_k$  for the different pulse shaping formats can be expressed as:

$$\text{Unipolar : } A_k = \begin{cases} a & \text{symbol 1} \\ 0 & \text{symbol 0} \end{cases} \quad (2)$$

$$\text{Polar/Manchester : } A_k = \begin{cases} a & \text{symbol 1} \\ -a & \text{symbol 0} \end{cases} \quad (3)$$

$$\text{Bipolar : } A_k = \begin{cases} a, -a & \text{alternating 1s} \\ 0 & \text{symbol 0} \end{cases} \quad (4)$$

# Power Spectrum of a Discrete PAM Signal

## Discrete PAM Signals

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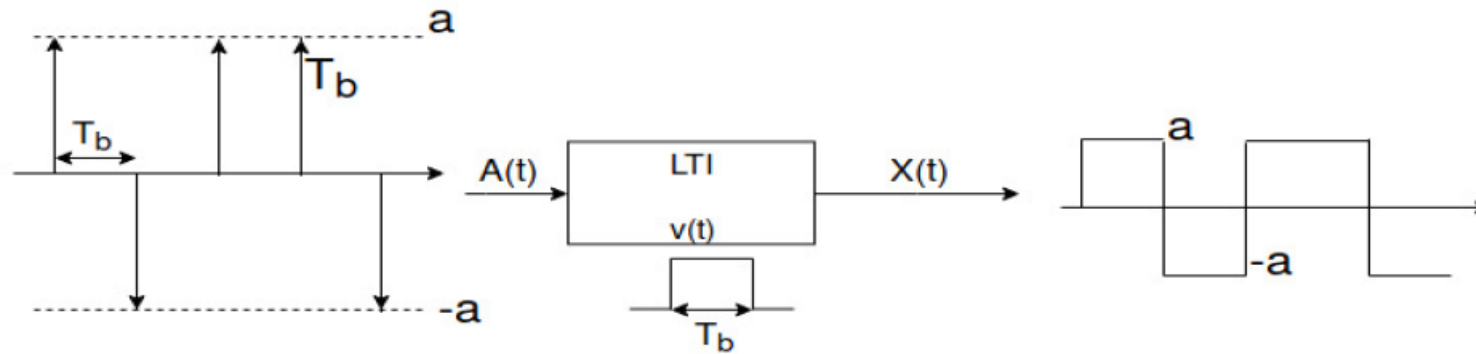
We can rewrite  $X(t)$  in eqn. (1) as:

$$\begin{aligned} X(t) &= \sum_{k=-\infty}^{\infty} A_k \{ \delta(t - kT_b) * v(t) \} \\ &= \left\{ \sum_{k=-\infty}^{\infty} A_k \delta(t - kT_b) \right\} * v(t) \\ &= A(t) * v(t) \end{aligned}$$

# Power Spectrum of a Discrete PAM Signal

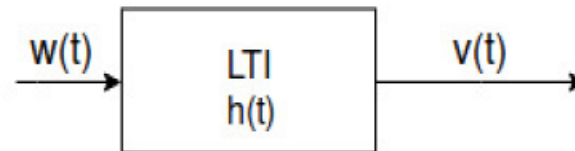
## Discrete PAM Signals

The Generation of  $X(t)$  can be modeled as:



Polar Example

If  $v(t)$  is a WSS process as:



Then,

$$S_v(f) = |H(f)|^2 S_w(f)$$

# Power Spectrum of a Discrete PAM Signal

## Discrete PAM Signals

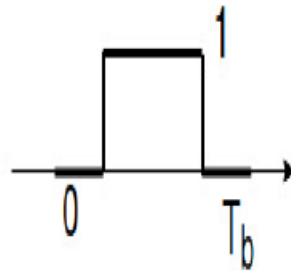
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In the present case,  $X(t)$  is a cyclostationary process with period  $T_b$ . Hence it follows that:

$$S_X(f) = \frac{|V(f)|^2}{T_b} S_A(f) \quad (5)$$

Finding  $|V(f)|^2$  :

$$w.k.t. v(t) = \begin{cases} 1 & 0 \leq t \leq T_b \\ 0 & \text{Elsewhere} \end{cases} \quad (6)$$





# Power Spectrum of a Discrete PAM Signal

## Discrete PAM Signals

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$$V(f) = \int_{-\infty}^{\infty} v(t)e^{-j2\pi ft} \quad (7)$$

Substituting  $v(t)$  from (6) into (7), we get:

$$\begin{aligned} V(f) &= \int_0^{T_b} v(t)e^{-j2\pi ft} \\ &= \frac{-1}{j2\pi f} e^{-j2\pi ft} \Big|_0^{T_b} = \frac{1}{j2\pi f} \left[ 1 - e^{-j2\pi f T_b} \right] \\ &= \frac{e^{-j\pi f T_b}}{\pi f} \left[ \frac{e^{j\pi f T_b} - e^{-j\pi f T_b}}{2j} \right] \\ &= e^{-j\pi f T_b} \frac{\sin(\pi f T_b)}{\pi f} \end{aligned}$$

# Power Spectrum of a Discrete PAM Signal

## Discrete PAM Signals

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$$\therefore |V(f)| = \frac{\sin(\pi f T_b)}{\pi f} = \frac{T_b \sin(\pi f T_b)}{\pi f T_b}$$

$$\therefore |V(f)| = T_b \text{sinc}(f T_b)$$

$$\boxed{\therefore |V(f)|^2 = T_b^2 \text{sinc}^2(f T_b)}$$

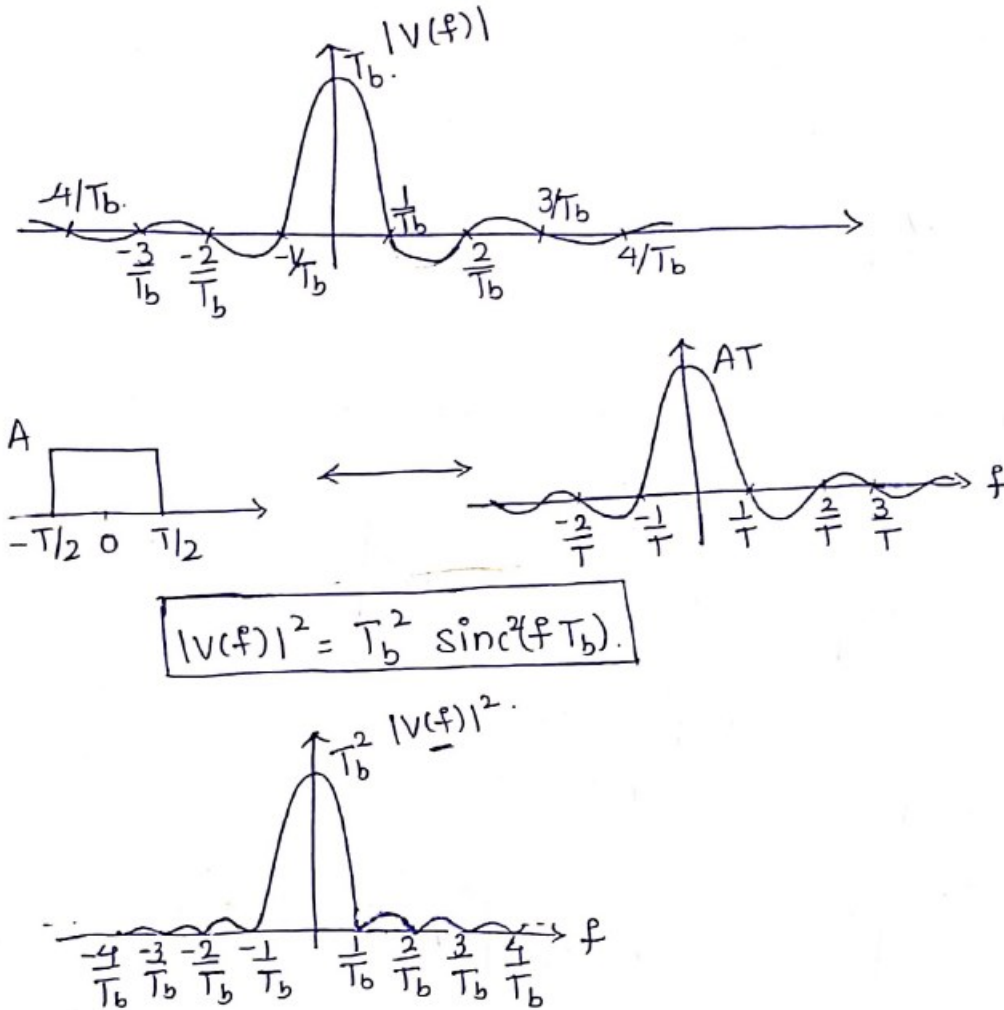
(8)

# Power Spectrum of a Discrete PAM Signal

## Discrete PAM Signals



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# Power Spectrum of a Discrete PAM Signal

## Finding $S_A(f)$ :

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We know that power spectrum is the Fourier Transform of the Autocorrelation Function. Consider the sequence of samples  $x_k$ .

$$x(n) = \sum_{k=-\infty}^{\infty} x_k \delta(n - k) \quad (9)$$

$$x(t) = \sum_{k=-\infty}^{\infty} x_k \delta(t - kT) \quad (10)$$

$$x(n) \xleftrightarrow{\text{F.T.}} X(e^{j\omega}) = \sum_{k=-\infty}^{\infty} x_k e^{-j\omega k}$$

$$x(t) \xleftrightarrow{\text{F.T.}} X(f) = \int_{t=-\infty}^{\infty} x(t) e^{-j2\pi ft} dt$$

# Power Spectrum of a Discrete PAM Signal

Finding  $S_A(f)$  :

$$\begin{aligned} X(f) &= \int_{t=-\infty}^{\infty} \sum_k x_k \delta(t - KT) e^{-j2\pi ft} dt \\ &= \sum_k x_k \left\{ \int_{t=-\infty}^{\infty} \delta(t - KT) e^{-j2\pi ft} dt \right\} \end{aligned}$$

$$WKT \quad \delta(t) \xleftrightarrow{\text{F.T.}} 1 \text{ and } \therefore \delta(t - KT) \xleftrightarrow{\text{F.T.}} e^{-j2\pi fKT} \quad (\text{Time Shift Property})$$

$$\boxed{X(f) = \sum_k x_k e^{-j2\pi fKT}} \quad (11)$$

$$\boxed{S_A(f) = \sum_{n=-\infty}^{\infty} R_A(n) e^{-j2\pi fnT_b}} \quad \text{where} \quad \boxed{R_A(n) = E[A_k A_{k-n}]} \quad (12)$$

# Power Spectrum of a Discrete PAM Signal

## Finding $S_A(f)$ for different schemes

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We will find  $S_X(f)$  for each of the following three cases:

- i NRZ Unipolar
- ii NRZ Polar
- iii NRZ Bipolar.
- iv Manchester Coding

Note: To obtain  $S_X(f)$ , we first find  $S_A(f)$  from  $R_A(n)$  and substitute in

$$S_X(f) = \frac{|V(f)|^2}{T_b} S_A(f)$$



# THANK YOU

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