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Building a Testbed for Evaluating Privacy Enhancing Technologies (PETs)

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Declaration

This dissertation is submitted to the University of Bristol in accordance with the requirements of the degree of BSc in the Faculty of Engineering. It has not been submitted for any other degree or diploma of any examining body. Except where specifically acknowledged, it is all the work of the Author.

Jacob Daniel Halsey, Thursday $20^{\rm th}$ May, 2021



Contents

1	Contextual Background					
	1.1	What are Privacy Enhancing Technologies (PETs)?	1			
	1.2	Existing Solutions	2			
	1.3	High Level Objectives	2			
2	Tec	hnical Background	5			
	2.1	Virtualization	5			
	2.2	Containerization	6			
	2.3	Virtual Networks	7			
	2.4	The Rust Language	8			
	2.5	cloud-init	8			
3	Pro	ject Execution	11			
	3.1	kvm-compose	11			
	3.2	Examples	16			
	3.3	Development Practices	20			
4	Cri	tical Evaluation	21			
	4.1	Results	21			
	4.2	Failure Cases	21			
	4.3	REPHRAIN Feedback	24			
	4.4	Difficulties Creating Example Projects	24			
	4.5	Summary	27			
5	Cor	nclusion	29			



Executive Summary

The goal of this project is to produce a simple and lightweight testbed platform for evaluating privacy enhancing technologies. It should provide support for testing varied architectures and network topologies, such as client-server and peer-to-peer applications. It should also support simulating applications for different types of platforms including mobile phone apps.

Summary of work:

- I have developed a flexible command line tool called *kvm-compose* for Linux using the Rust language and *libvirt* library for building and destroying virtual testing environments.
- In the process I have made some contributions to open source libraries including libvirt-rust (the *Rust* language bindings to *libvirt*).
- I have then implemented some example projects using the testbed tool.



Supporting Technologies

- Linux KVM (Kernel-based Virtual Machine) https://www.linux-kvm.org/
- Open vSwitch Virtual multilayer switch https://www.openvswitch.org/
- libvirt Virtualization API https://libvirt.org/
- Rust Language, Compiler, Toolchain, etc. https://www.rust-lang.org/
- libvirt-rust Rust bindings to the libvirt https://gitlab.com/libvirt/libvirt-rust
- clap Rust command Line Argument Parser https://github.com/clap-rs/clap
- serde Rust Serialization framework https://github.com/serde-rs/
- serde-yaml YAML backend for serde https://github.com/dtolnay/serde-yaml
- serde-plain Plain text backend for serde https://github.com/mitsuhiko/serde-plain
- thiserror Rust error derive macro https://github.com/dtolnay/thiserror
- anyhow Rust error handling framework https://github.com/dtolnay/anyhow
- simple_logger Rust logging implementation https://github.com/borntyping/rust-simple_logger
- xml-rs XML library for Rust https://github.com/netvl/xml-rs
- validator Rust struct validation https://github.com/Keats/validator
- directories User data directories library https://github.com/dirs-dev/directories-rs
- request Rust HTTP Client https://github.com/seanmonstar/request
- indicatif Rust command line progress indicator https://github.com/mitsuhiko/indicatif
- tempfile Rust temporary file library https://github.com/Stebalien/tempfile
- casual Rust user input parser https://github.com/rossmacarthur/casual
- derive-new Rust new constructor macro https://github.com/nrc/derive-new
- enum-iterator Rust macro for iterating enums https://github.com/stephaneyfx/enum-iterator
- rust-embed Embeds files into Rust binaries https://github.com/pyros2097/rust-embed



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Chapter 1

Contextual Background

The UK Research and Innovation (UKRI) is a non-departmental public body of the United Kingdom Government sponsored by the Department for Business, Energy and Industrial Strategy [1]. In October 2020 the UKRI announced the creation of the National Research Centre on Privacy, Harm Reduction and Adversarial Influence Online (REPHRAIN) [2]. The centre is made up of researchers in computer science, international relations, law, psychology, management, design, digital humanities, public policy, political Science, criminology, and sociology from five British universities including the University of Bristol.

REPHRAIN should be understood in the context of the UK government's *Online Harms White Paper* public consultation beginning in April 2019 [3], which sets out plans for new online safety measures; REPHRAIN's missions and outcomes are aligned with this paper [4].

REPHRAIN will focus on three core missions [5]:

- 1. Delivering privacy at scale while mitigating its misuse to inflict harms.
- 2. Minimising harms while maximising benefits from a sharing-driven digital economy.
- 3. Balancing individual agency vs. the social good.

The three missions will require looking at Privacy Enhancing Technologies (PETs); including their capabilities, applications of PETs in addressing existing online harms, mitigating the potential abuse of PETs, embedding the PETs into infrastructures, and developing new PETs. In order to facilitate this REPHRAIN intends to build a toolbox of resources including a PETs testbed. The testbed will be used by researchers in developing, testing, and evaluating the PETs. The aim of this project is to develop a prototype for this testbed.

1.1 What are Privacy Enhancing Technologies (PETs)?

Before we discuss Privacy Enhancing Technologies we must consider what we mean by "privacy". REPHRAIN is primarily using the definitions set out by D. J. Solove in his 2006 article A Taxonomy of Privacy [6, 4]. Solove notes that the definition of privacy has often been very

- 1. Information collection
 - (a) Surveillance
 - (b) Interrogation
- 2. Information processing
 - (a) Aggregation
 - (b) Identification
 - (c) Insecurity
 - (d) Secondary Use
 - (e) Exclusion

- 3. Information dissemination
 - (a) Breach of Confidentiality
 - (b) Disclosure
 - (c) Exposure
 - (d) Increased Accessibility
 - (e) Blackmail
 - (f) Appropriation
 - (g) Distortion
- 4. Invasion
 - (a) Intrusion
 - (b) Decisional Interference

Figure 1.1: A Taxonomy of Privacy Violations [6].

broad or vague, and therefore sets out to develop a taxonomy of privacy violations. He has defined four groups of harmful activities (See figure 1.1).

Broadly speaking a Privacy Enhancing Technology is any solution or approach in hardware or software that helps protect a user from such privacy violations [7]. Some examples of PETs could include Onion routing such as the Tor network (which enables anonymous communication), or end-to-end encrypted messaging systems such as the Signal protocol. Kaaniche et al. [8] have defined a more comprehensive classification of PETs (see Figure 1.2).

1.2 Existing Solutions

There has been some existing research by Tekeoglu and Tosun [9] who have developed a privacy testbed for Internet-of-Things (IoT) devices. Their approach has some similar goals to this project in that it looks at capturing layer 2 and 3 network traffic. They note that the testbed enables experiments such as port vulnerability scans, checking what cipher suites are used (or not), and generally monitoring network traffic to see what data is being collected. However their testbed is different in that it is only designed for IoT devices; rather than general purpose PET applications.

1.3 High Level Objectives

Overall the high-level objective of this project is to develop a simple and lightweight testbed platform for evaluating PETs:

• The testbed should support testing various architectures and network topologies, including client/server and peer-to-peer applications, to accommodate a variety of PETs.

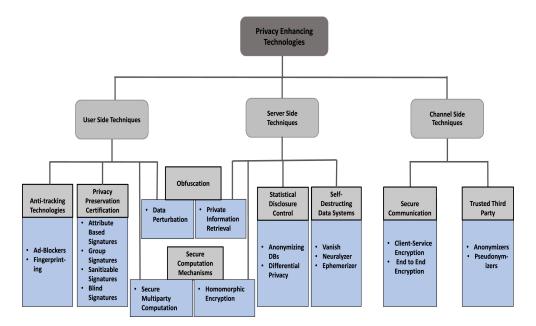


Figure 1.2: A Taxonomy of privacy enhancing technologies [8].

- The testbed should be able to collect information such as packet captures for use in evaluating the privacy properties.
- The testbed should support different platforms such as desktop and mobile apps, and both applications where the source code is available or only pre-built binaries.
- The testbed should enable a high level of automation, such that working with large test environments because feasible, and the setup can easily and programmatically be replicated.

CHAPTER 1.	CONTEXTUAL BACKGROUND
4	

Chapter 2

Technical Background

In this chapter I will discuss some of the technologies which this project depends or builds upon.

2.1 Virtualization

'Virtualization uses software to create an abstraction layer over computer hardware that allows the hardware elements of a single computer—processors, memory, storage and more—to be divided into multiple virtual computers, commonly called virtual machines (VMs). Each VM runs its own operating system (OS) and behaves like an independent computer, even though it is running on just a portion of the actual underlying computer hardware.' [10]

Virtualization¹ will therefore be a very useful technology for the testbed, since it will allow us to model an environment consisting of multiple computers such as application clients and servers, and run them all within a single machine. In addition, modern CPU extensions (such as $Intel\ VT$ and AMD-V) provide hardware-assisted / accelerated virtualization support, allowing the virtual machines to have near native performance which will help in meeting the goal of minimal overhead for the testbed.

In order to use virtualization a *Hypervisor* is required, this is the software layer sits between the physical hardware and manages the virtual machines. A hypervisor may run directly on the physical machine in place of a conventional operating system - as a Type 1 or *bare-metal* hypervisor, or run within a separate host operating system - as a Type 2 or *hosted* hypervisor.

$2.1.1 \quad KVM$

For the prototype testbed I will be using *Kernel-based Virtual Machine* (KVM), which is a kernel module for the Linux operating system that allows it to function as a hypervisor. The

¹I am a British citizen, and this is a dissertation at a British university, and therefore I am very much aware that the correct spelling in British English is 'virtualisation', however the majority of platforms, libraries, and sources I will be referencing use the US English spelling, so I have chosen to do the same. Likewise the same applies for 'containerization'.

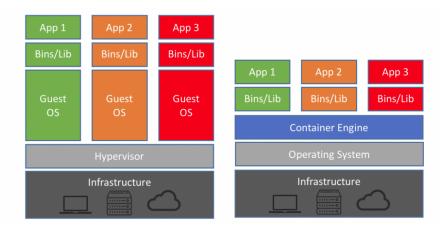


Figure 2.1: Platform Virtualization vs Containerization³

advantage is that KVM (and the Linux kernel itself) are free and open-source software under GNU licenses, and it is a stable and mature platform [11]. In userspace $QEMU^2$ may then use KVM to provide a full virtualization platform.

libvirt is an open-source toolkit for managing virtualization platforms [12], it supports QE-MU/KVM as well as hypervisors from other vendors. It is written in C, with bindings available in many other programming languages, making it a suitable library for developing the testbed. Support for other platforms also means it would be easier to add support for additional hypervisors in future.

2.2 Containerization

Having discussed full platform / machine virtualization it is worth also mentioning containerization which is an alternative lightweight approach to virtualizing applications. In particular there is the Docker platform for Linux containers which has become very popular in recent years [13].

Unlike full platform virtualization, containerization does not virtualize a whole computer (or require hardware acceleration), instead it uses namespacing within the host operating system kernel to create an isolated environment. This has many practical uses, making deploying software and services very quick and easy, but unfortunately it is not ideal for our testbed, since it would mean all applications would have to use the same operating system; it couldn't be used to simulate different platforms.

While I will not be using containerization, the *Docker Compose* tool [14] used for orchestrating containers has provided some useful inspiration for the testbed. *Docker Compose* is a command line program with two core subcommands *up* and *down* which are used to either build or destroy a set of containers as defined in a YAML configuration file. The configuration file

²Note *QEMU* can also function as its own independent type 2 hypervisor but *KVM* is required to enable hardware acceleration, see https://www.packetflow.co.uk/what-is-the-difference-between-qemu-and-kvm/

³Graphic from https://blog.netapp.com/blogs/containers-vs-vms/

may define a list of containers, each with options including an image to download, an entry command to run, volumes to attach, and environment variables, the config may also define virtual networks and attach them to the containers. These are all very useful features in line with the goals for the testbed, and as such I will try to replicate them but within a fully virtualized environment (QEMU/KVM).

2.3 Virtual Networks

Once we have created virtual machines, a hypervisor needs the ability to bridge traffic between the virtual machines and the external network [15]. One method of doing of doing so with QEMU/KVM is to use the $Linux\ Bridge^4$, this is a virtual layer 2 switch built into the Linux kernel, that can be managed with the bridge-utils package (or equivalent).

There is also an alternative virtual networking solution for *Linux* (and other platforms) - *Open vSwitch*. The advantages of *Open vSwitch* over *Linux Bridge* include that it has easy management via SDN (explained below), it can support more complex network protocols, and it can function as a Layer 3 router (as opposed to just a Layer 2 bridge).

2.3.1 Software Defined Networking (SDN)

In order to understand Software Defined Networking (SDN) we must first explain how networking devices such as switches and routers function.

The *data plane* refers to the functions of a network device that actually process and forward packets between interfaces. For example the ASIC (application specific integrated circuit) logic that matches packets to a routing table.

The *control plane* refers to the processes that a network device uses in order to control the data plane; to determine which paths a particular packet should take. For example a dynamic routing protocol such as OSPF that programs a routing table.

The management plane refers to the protocols that a network administrator can use to manage and configure the network device. For example the SSH protocol can be used to remotely connect a console.

SDN refers to the practice of removing the control plane responsibilities of each individual network device, and centralising the control plane within an SDN controller [16, p. 760].

The benefit of SDN is that it can simplify network management since the network can be managed from a single controller. This is especially useful in this scenario of a virtual testbed because researchers are likely to want to be able to quickly conduct experiments varying the structure of the virtual network while testing PETs.

OpenFlow is a protocol that implements SDN by allowing remote access / control to the data plane of a switch or router [17]. OpenFlow is supported by Open vSwitch, and there are a

⁴https://wiki.linuxfoundation.org/networking/bridge

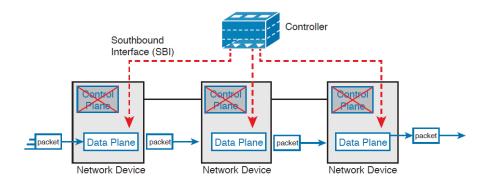


Figure 2.2: Use of an SDN controller [16, p. 767]

number of free and open-source SDN controllers that also support OpenFlow such as $Floodlight^5$ and OpenDaylight (ODL)⁶.

2.4 The Rust Language

As you will see in Chapter 3, I have chosen to use the Rust programming language for developing the testbed. Although there are no doubt many languages which could have been used, I will provide some background on Rust, and its advantages for this project.

Rust is a modern systems programming language, originally developed by Mozilla, with its first stable release in 2015. It is designed with a focus on performance, safety and concurrency.

It has some key advantages:

- Excellent performance; on par with $C/C++^{7}$.
- Easy interaction with C libraries via FFI, making the *libvirt* [18] bindings possible.
- A simple to use package manager Cargo, along with a rich ecosystem⁸ of libraries / crates⁹.
- Modern functional constructs such as sum types and pattern matching.
- Memory and thread safety are enforced at compile time [19].
- The compiler and standard library support a large number of platforms.

2.5 cloud-init

One of the goals of the project is to support a high level of automation when building a test environment. So far we have looked at technologies we can use to create virtual machines, but that would still leave the tester with a lot of work in terms of installing the operating systems

 $^{^5} https://floodlight.atlassian.net/wiki/spaces/floodlightcontroller/overview$

⁶https://www.opendaylight.org/

⁷https://benchmarksgame-team.pages.debian.net/benchmarksgame/index.html

⁸https://crates.io/

⁹Rust packages managed through the *Cargo* package manager are known as *Crates*

and software on the virtual machines.

One approach the tester could take is pre-building a machine step by step, installing all their software and configuring it appropriately, and then saving a disk image, which they could attach to virtual test machines. However that approach has some weaknesses; first of all if they wish to change on of the earlier steps such as their choice of operating system it would require starting the process from scratch, another problem is that each clone of the disk image would be the same, and customisation would require manually logging in to each individual instance. Also if the tester wants to transfer or replicate the testbed it would mean copying a series of potentially large disk images with duplicate data.

The problem of automatically initialising virtual machines is not unique to this testbed project, in fact it is shared by public cloud providers (such as *Amazon AWS*, *Microsoft Azure*, *Oracle OCI*, etc.), who need to deploy various operating systems (of many different versions) and then configure them so the customer can remotely connect. As a result a standard system for initialising cloud machines has been developed called *cloud-init* [20] - which has support for many popular operating systems, cloud platforms and data sources.

It works by having a *cloud-init* package already installed as part of the operating system, in a 'cloud image', usually available directly from the operating system maintainers ¹⁰. On the first boot of the image *cloud-init* will check for supported data sources, (such as from a list of supported URLs) from which to obtain user data and instance meta data. The data can include things such locale, hostname, and SSH keys which are then automatically applied to the instance.

¹⁰For example https://cloud-images.ubuntu.com/

CHAPTER 2.	TECHNICAL BACKGROUND

Chapter 3

Project Execution

$3.1 \quad kvm\text{-}compose$

To provide the core functionality of the testbed I have developed a command line tool called kvm-compose, using the technologies described in chapter 2, namely QEMU/KVM, libvirt, Open vSwitch, cloud-init, and the Rust language.

3.1.1 Dependencies and Installation

In order to compile the project it requires the *Rust* compiler¹, and the libvirt-dev and libssl-dev packages (or system equivalent). The *bash* script kvm-compose/install.sh may be used to compile *kvm-compose*, install the binary into a system folder, and change its ownership to *root*. Note that the *root* permission is added so that the utility may access qemu:///system and modify the *Open vSwitch* and other networking configurations.

To successfully use *kvm-compose* the following packages should be installed (or equivalent): qemu-kvm libvirt-daemon-system libvirt-clients openvswitch-switch. Hardware acceleration for virtualization should be enabled in the system, and if required for the application being tested - nested virtualization for KVM should also be enabled².

3.1.2 Command Line Interface (CLI)

As mentioned in section 2.2 the CLI is partly inspired by docker-compose. It has two main subcommands up and down which create or destroy a testbed environment. It will look for a configuration file called kvm-compose.yaml in the current directory (unless otherwise specified with the --input flag). And the current directory name is taken to be used as the 'project name' (again unless specified with the --project-name flag). The clap crate was used to automatically parse the command line arguments in a type-safe manner.

 $^{^{1}}$ https://www.rust-lang.org/tools/install

²https://docs.fedoraproject.org/en-US/quick-docs/using-nested-virtualization-in-kvm/

```
kvm-compose 1.0
Jacob Halsey
USAGE:
kvm-compose [FLAGS] [OPTIONS] <SUBCOMMAND>
FLAGS:
-h, --help
                 Prints help information
             Suppress (accept) continue prompts
--no-ask
-V, --version
                 Prints version information
OPTIONS:
--input <input>
                                 Configuration file [default: kvm-compose.yaml]
--project-name <project-name>
                                 Defaults to the current folder name
-v, --verbosity <verbosity>
SUBCOMMANDS:
cloud-images
                List supported cloud images
down
                Destroy all virtual devices in the current configuration
help
                Prints this message or the help of the given subcommand(s)
                Configure individual machine
machine
up
                Create all virtual devices in the current configuration
```

Figure 3.1: kvm-compose usage / help output

The basic principle for the up command is to first iterate over all the configured network bridges, if each bridge does not already exist it is then created as per the configuration, with the project name as a prefix. The prefix is used to reduce the possibility that resources in the current testbed project will conflict with other existing configuration on the system or other testbed projects. After creating the bridges it then iterates over all the configured virtual machines, if it does not exist it is created according to its specification (with the project prefix) and then launched. Files required for the virtual machines to function such as disk images are placed in the current directory. The down command does the opposite in reverse order; stopping and removing the machines (and any left over files), and then removing the bridges.

There is also the machine subcommand which can be used to up or down individual machines without affecting the rest of the testbed, in the event the user wishes to just make configuration changes to a small number of virtual machines.

3.1.3 Configuration File Format

The configuration file is expected to be in the YAML format [21], internally kvm-compose uses the serde and serde-yaml crates to parse the file. The root of the config file should look like:

```
machines:  # List of testbed virtual machines
bridges:  # List of testbed virtual bridges
ssh_public_key: ssh-rsa...  # Your SSH public key
```

An example machine config could look like:

```
- name: example1
                                # (VM will be prefixed with project name)
 memory_mb: 4096
                                # Optional: default 512MiB
 cpus: 4
                                # Optional: default 1
 disk:
                                # Two variants: cloud_image or existing_disk
    cloud_image:
      name: ubuntu_18_04
      expand_gigabytes: 25
                                # Optional: Adds additional space to the virtual disk
  interfaces:
                                # List of connected network interfaces
    - bridge: br0
                                # (A bridge defined in the same project)
 run_script: ./script.sh
                                # Optional: path to a script
  context: ./file.txt
                                # Optional: path to a file or folder
                                # Dictionary of arbitary environment variables
  environment:
                                # Use /etc/nocloud/env.sh *key* to query
    key: value
```

Alternatively an existing disk image may be used instead of a cloud image:

```
existing_disk:
  path: ./disk.img  # Path to the disk image
  driver_type: qcow2  # raw or qcow2 (Default: raw)
  device_type: disk  # disk or cdrom (Default: disk)
  readonly: false  # Default: false
```

Assuming that the disk image supports *cloud-init*³ at first boot the following will happen:

- The machine name (with project prefix) is used as the hostname.
- The SSH public key is injected into the instance.
- File(s) specified in context are copied into the /etc/nocloud/context directory.
- The run_script is executed, with its output log saved into /etc/nocloud/.

An example virtual bridge config could look like:

 $^{^3}cirros$ -init is also supported but only when used with the cirros cloud image

```
controller: tcp:127.0.0.1:6653
    # Optional: Specify which protocol to use for the SDN controller
protocol: OpenFlow13
```

3.1.4 Interaction with QEMU/KVM

I have used the *libvirt* library for interacting with *QEMU/KVM*, via the bindings to access it from the *Rust* language: *libvirt-rust* [18]. One of the benefits of the *kvm-compose* tool is that it automates the creation of *libvirt Domain* XML configurations (with the help of the *xml-rs* crate). These are otherwise tedious to write, for example it generates the following XML to send to *libvirt*:

```
<?xml version="1.0" encoding="UTF-8"?>
<domain type="kvm">
  <name>signal-client1</name>
  <cpu mode="host-model" />
  <vcpu>2</vcpu>
  <memory unit="MiB">2048
    <type arch="x86_64">hvm</type>
  </os>
  <devices>
    <graphics type="vnc" port="-1" autoport="yes" />
    <disk type="file" device="disk">
      <driver name="qemu" type="qcow2" />
      <source file="/home/jacob/independent-project/examples/signal/client1-cloud-disk.img"</pre>
      <target dev="hda" bus="ide" />
    </disk>
    <disk type="file" device="cdrom">
      <driver name="qemu" type="raw" />
      <source file="/home/jacob/independent-project/examples/signal/client1-cloud-init.iso"</pre>
      <readonly />
      <target dev="hdb" bus="ide" />
    </disk>
    <interface type="bridge">
      <source bridge="signal-br0" />
      <virtualport type="openvswitch" />
    </interface>
  </devices>
  <sysinfo type="smbios">
    <system>
      <entry name="serial">ds=nocloud;</entry>
```

```
</system>
</sysinfo>
</domain>
```

3.1.5 Modifications to librirt-rust

During my early work developing the project I discovered that under certain build setups / toolchains the *libvirt-rust* crate failed to compile, due to it containing function declarations that did not actually exist in the *libvirt* library. This is described in full detail in my issue report: https://gitlab.com/libvirt/libvirt-rust/-/issues/1.

I submitted a fixed version of *libvirt-rust* with these invalid functions removed, but also with a test case that builds the bindings in a static library, therefore checking that all the symbols (such as functions) did actually exist in the underlying *libvirt* library. The tests are automatically run as part of the CI/CD pipeline so this sort of problem should be prevented in future. The merge request was approved by the project maintainers: https://gitlab.com/libvirt/libvirt-rust/-/merge_requests/14.

Whilst working with *libirt* I also discovered that I was receiving duplicate error messages printed to the console (via *stdout/stderr*), this was because by default *libvirt* has an error handler configured to print all errors, but at the same time the *libvirt-rust* binding functions also returned a Result<_, virt::error::Error> type (the idiomatic approach in Rust), which on failure I was also printing to the console via the logging framework. So I also added a clear_error_func() to the merge request that binds to virSetErrorFunc so that the default error handler can be disabled.

3.1.6 Cloud Images

The currently supported cloud images may be listed using the cloud-images subcommand of kvm-compose. When a cloud image is chosen and launched kvm-compose takes the following steps:

- 1. Checks if the image has already been downloaded, the directories crate is used to resolve the path (\$HOME/.kvm-compose/ on Linux).
- 2. If not it will prompt the user before downloading; the download may be large so it makes sense to check first (this behaviour can be disabled with the --no-ask option for use in an unattended script). Request is used for the download along with the indicatif crate to display progress.
- 3. A copy of the image with the machine name will be made in the current directory.
- 4. The disk image will be expanded if the expand_gigabytes option is specified.
- 5. The disk is attached to virtual machine via the librit Domain XML.

3.1.7 cloud-init

Section 2.5 provides an introduction to *cloud-init*, but I will now explain how it has been used in *kvm-compose*. When a virtual machine is created the virtual bios is configured to use the string ds=nocloud in the serial number. This indicates to *cloud-init* that the NoCloud datasource⁴ is being used. On startup the *cloud-init* agent will then look for an *ISO9660/Joliet* or *vfat* filesystem with a volume label of cidata.

When creating virtal machines kvm-compose calls the <code>genisoimage</code> program⁵ to generate a Joliet filesystem containing a cloud-init user data and instance metadata file. The instance metadata is a JSON encoded file; on NoCloud this can contain an automatically configured hostname, as well as any other keys. The keys also accessible via the <code>cloud-init</code> query <code>ds.meta_data.*key*</code> command inside the VM. The user data is a <code>#cloud-config</code> file in the YAML format, which when loaded by the cloud-init agent it is put through the jinja templating engine, allowing variable substitution from the instance metadata values⁶. The context file(s) are made available to the virtual machine as a tarball in the same Joliet volume. The <code>#cloud-config</code> file is used to list a number of startup commands necessary to provide the features needed by kvm-compose - such as launching and logging the <code>run_script</code> and extracting in the <code>context</code> file(s) to the local disk.

kvm-compose also supports the Cirros cloud image - this is a minimal Linux distribution by the OpenStack Project⁷. I have added support because due to its small footprint it could be useful when launching a large number of virtual machines on a resource constrained system. Note that when using Cirros the cloud-init setup works slightly differently because Cirros does not have a full cloud-init implementation - instead it uses cirros-init which doesn't support #cloud-config; the user data can only be a simple script⁸. Instance metadata keys are instead accessed via cirros-query get *key* command.

3.2 Examples

I have provided two example projects demonstrating how *kvm-compose* can be used to automatically create a virtual testbed environment for privacy enhancing technologies (PETs).

3.2.1 DP3T

Decentralized Privacy-Preserving Proximity Tracing (DP3T) is an open protocol developed for digital contact tracing during the COVID-19 pandemic, originating from the École polytechnique fédérale de Lausanne (EPFL) and ETH Zurich, it was developed with the intention of being less centralised than alternative solutions, and therefore more private [22]. Switzerland's

 $^{^4} https://cloudinit.readthedocs.io/en/latest/topics/datasources/nocloud.html \\$

 $^{^5}$ https://linux.die.net/man/1/genisoimage

⁶See /kvm-compose/assets/cloud_init.yaml, the file is embedded in the binary using the rust-embed crate.

⁷https://docs.openstack.org/image-guide/obtain-images.html#cirros-test

 $^{^8\}mathrm{See}$ /kvm-compose/assets/cirros_init.sh

national contact tracing app SwissCovid has been built using the DP3T protocol [23].

The files in /examples/dp3t demonstrate how *kvm-compose* can be used to build a test environment. First of all a virtual machine for the backend service is defined, which has a run_script that on launch:

- 1. Installs dependencies Git and Maven.
- 2. Clones and builds the dp3t-sdk-backend⁹.
- 3. Installs and runs dp3t as a service.
- 4. Configures the network address of the server ¹⁰.

Android App

The *DP3T* project provides an SDK (Software Development Kit) for both *Android* and *Apple iOS* which is used to communicate with the backend server, it is this library which is used in the official implementations such as *SwissCovid*. The SDK also has a demo calibration-app (that uses the SDK library) with a simple user interface of diagnostic tools and info, for use in testing the system¹¹. The /examples/dp3t contains a small patch to the source of the calibration-app that changes the server URL to the backend in the testbed (as well as setting the correct keys).

To run the calibration-app I have provided two different example machines. The first uses Anbox which is a compatibility layer for running Android apps within a Linux container¹², and the second uses the Android Emulator (from the official Android SDK / Android Studio)¹³ which uses full virtualization instead¹⁴. For each of the two machines their run_script installs the dependencies and simulators. The calibration-app is made available in the machine in the /etc/nocloud/context folder, ready to be launched and used by the tester. I chose to provide two Android examples because each of the emulators have different strengths and weaknesses; the Android Emulator has more support for customising sensor input such as GPS data, but it has poorer performance due to the extra layer of virtualization when compared to Anbox.

3.2.2 Signal

Signal is a messaging service built using its own custom end-to-end encryption protocol (the Signal Protocol), available for a number of platforms on mobile and desktop, designed with a focus on privacy [24]. The standard service uses a central server hosted and maintained by the

⁹https://github.com/DP-3T/dp3t-sdk-backend

 $^{^{10}}$ Unfortunately Anbox (discussed below) does not support using hostnames / DNS from the host machine's network, so a static address has been used.

¹¹https://github.com/DP-3T/dp3t-sdk-android/tree/master/calibration-app

¹²https://anbox.io/

¹³https://developer.android.com/studio/run/emulator

¹⁴It therefore requires nested virtualization to be enabled, see section 3.1.1.

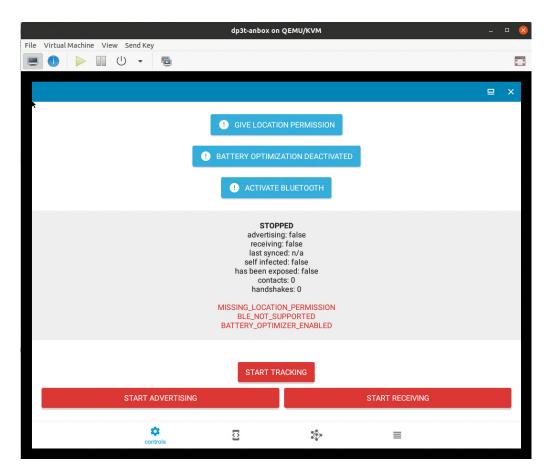


Figure 3.2: DP3T calibration-app running in Anbox on the testbed

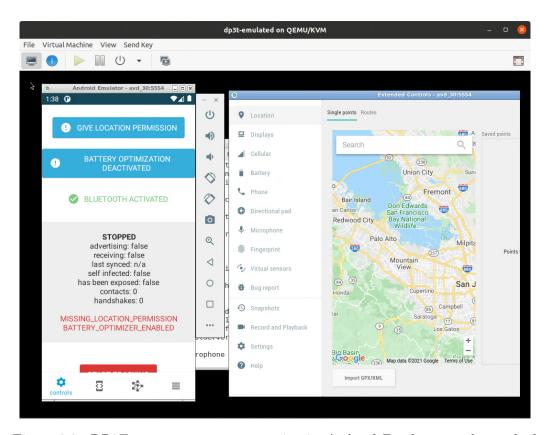


Figure 3.3: DP3T calibration-app running in $Android\ Emulator$ on the testbed

```
nocloud@signal-client2:/opt/signal/signal-cli/build/install/signal-cli/bin$ USER=$(/etc/nocloud/env.sh number)
nocloud@signal-client2:/opt/signal/signal-cli/build/install/signal-cli/bin$ ./signal-cli -u $USER register
nocloud@signal-client2:/opt/signal/signal-cli/build/install/signal-cli/bin$ ./signal-cli -u $USER verify $(/etc/nocloud/env.sh code)
nocloud@signal-client2:/opt/signal/signal-cli/build/install/signal-cli/bin$ ./signal-cli -u *USER verify $(/etc/nocloud/env.sh code)
nocloud@signal-client2:/opt/signal/signal-cli/build/install/signal-cli/bin$ ./signal-cli -u-verbose -u $USER receive
2021-05-18723:20:55.0602 [main] INFO LibSignal - [libsignal-client]: rust/bridge/jni/src/logging.rs:173: Initializing libsignal-client version:0.5.1
2021-05-18723:20:55.0602 [main] INFO LibSignal - [WebSocketConnection]: connect()
2021-05-18723:20:55.118Z [OkHttp http://signal-server:8080/...] INFO LibSignal - [WebSocketConnection]: onOpen() connected
2021-05-18723:20:55.224Z [main] INFO LibSignal - [WebSocketConnection]: disconnect()
nocloud@signal-client2:/opt/signal/signal-cli/bild/install/signal-cli/bild/install/signal-cli/bild/install/signal-cli/bild/install/signal-cli/bild/install/signal-cli/bild/install/signal-cli/bild/install/signal-cli/bild/install/signal-cli/bild/install/signal-cli/bild/install/signal-cli/bild/install/signal-cli/bild/install/signal-cli/bild/install/signal-cli/bild/install/signal-cli/bild/install/signal-cli/bild/install/signal-cli/bild/install/signal-cli/bild/install/signal-cli/bild/install/signal-cli/bild/install/signal-cli/bild/install/signal-cli/bild/install/signal-cli/bild/install/signal-cli/bild/install/signal-cli/bild/install/signal-cli/bild/install/signal-cli/bild/install/signal-cli/bild/install/signal-cli/bild/install/signal-cli/bild/install/signal-cli/bild/install/signal-cli/bild/install/signal-cli/bild/install/signal-cli/bild/install/signal-cli/bild/install/signal-cli/bild/install/signal-cli/bild/install/signal-cli/bild/install/signal-cli/bild/install/signal-cli/bild/install/signal-cli/
```

Figure 3.4: signal-cli registering and connecting via WebSockets to the testbed server

Signal Foundation, however the server code is also available under an open source license 15.

The files in /examples/signal demonstrate how kvm-compose can be used to build a test environment. First of all a virtual machine for the signal server is defined, which has a run_script that on launch:

- 1. Installs dependencies Git, Maven, Docker etc.
- 2. Clones and builds the Signal server.
- 3. Launches a number of dependent services (Redis, Postgres, Nginx) in Docker containers.
- 4. Initialises the databases.
- 5. Installs and runs the *Signal* server as a service; configuration files are provided via *kvm-compose*'s context option.

The Signal server's configuration has test device numbers defined, allowing them to be registered without requiring phone number verification. The *kvm-compose* configuration file then defines client machines using a run_script that:

- 1. Installs dependencies Git and Java.
- 2. Clones and builds $signal-cli^{16}$ which is an open source command line wrapper around the Signal SDK / client libraries.
- 3. Before building, applies a small patch to change the server address to the hostname of the Signal server within the testbed.

When the client's Signal server URL is patched, an okhttp3.ConnectionSpec is also provided allowing cleartext (non HTTPS) communication between the client and server. This is useful because it allows inspection of the packets which would otherwise be TLS encrypted. Unfortunately this exposed a bug in libsignal-service-java (a dependency of signal-cli) where the given ConnectionSpec is only respected for RESTful but not WebSocket communications with the Signal server. I have submitted a pull request to fix this: https://github.com/Turasa/libsignal-service-java/pull/28 which has since been merged.

¹⁵ https://github.com/signalapp/Signal-Server

¹⁶https://github.com/AsamK/signal-cli

3.2.3 Capturing Traffic

When a test environment has been built (using the kvm-compose up command), network traffic can then be collected using the ovs-tcpdump utility of *Open vSwitch*¹⁷. For example:

```
sudo ovs-tcpdump --span -i dp3t-br0 -w output.pcap
```

The -i option is where the bridge name is specified, in the format of the project name and bridge name (from the kvm-compose.yaml file) joined with a hyphen. What this does is create a temporary mirror port on the specified bridge, with the --span option indicating that traffic from all bridge ports should be mirrored. The output is saved as a packet capture file which may be opened with software such as Wireshark¹⁸.

3.3 Development Practices

During development I used the *Git* version control system, with free hosting from *GitHub Inc*, a .gitignore file was used to prevent temporary and user specific files being added to the repository. The *IntelliJ Rust* plugin for *CLion* proved very useful for code completion and other typical IDE features¹⁹. I also made use of the *GitHub Actions* CI/CD platform, with a workflow configured to check that the project builds and passes style checks on each push to the repository²⁰.

¹⁷https://docs.openvswitch.org/en/latest/ref/ovs-tcpdump.8/

¹⁸https://www.wireshark.org/

¹⁹https://www.jetbrains.com/rust/

 $^{^{20}\}mathrm{See}$.github/workflows/rust.yml

Chapter 4

Critical Evaluation

4.1 Results

For *DP3T* I was able to quickly build a testbed by using the kvm-compose up command. After waiting a few minutes for the machines to become ready I was able to login and use *Anbox* to run the calibration-app. Figures 4.1 and 4.2 show an infection report being sent using the app, and the resulting data being captured.

However one issue I found was that I had to manually inspect the output logs of the run_script to confirm that the virtual machines were setup. For example on an earlier attempt at running the DP3T testbed, the installation of the backend server failed because it was trying to download a dependency from Bintray which has just been discontinued, but I was not notified of this and had to manually inspect the virtual machine's logs to discover this. Fortunately I was able to apply a small patch to remove the Bintray dependency and get the installation working again¹.

Perhaps an improvement to the testbed could involve some sort of system so that the virtual machines can report their installation status directly back to the user, and alert them when it is ready for use, or otherwise if any errors have occurred.

Likewise I was able to do the same with the *Signal* example project, building a testbed by using the kvm-compose up command. Figure 4.3 shows some of the packet capture data as a result of using the signal-cli program within the testbed.

4.2 Failure Cases

Unlike other high level languages *Rust* does not implement exceptions, instead fallible functions should return a Result<_, _> type, where the left type is for success and the right type represents an error. Within *kvm-compose* I have used the anyhow crate to simplify error handling, since it

 $^{^{1}}$ The root cause of this is that the DP3T version is out of date - explained in 4.4.2

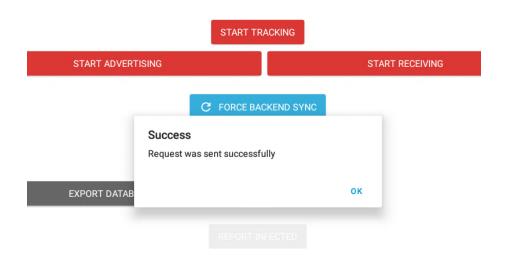


Figure 4.1: calibration-app successfully sending an infection report



Figure 4.2: Packet capture of the send infection report

signal.pcap					
<u>F</u> ile	<u>File Edit View Go Capture Analyze Statistics Telephony Wireless Tools H</u> elp				
			> 2 1 = 4 = = = = = = = = = = = = = = = = =		
ip	addr == 10.3.10.144	and http			
No.	Time	Source	Destination	Protocol	Length Info
	184 12.405975	10.3.10.144	10.3.10.137	HTTP	345 GET /v1/accounts/sms/code/+447722000001?client=android HTTP/1
	186 12.710904	10.3.10.137	10.3.10.144	HTTP	246 HTTP/1.1 200 OK
	521 50.421194	10.3.10.144	10.3.10.137	HTTP	730 PUT /v1/accounts/code/111111 HTTP/1.1 (application/json)
	568 51.115545	10.3.10.137	10.3.10.144	HTTP	326 HTTP/1.1 200 OK (application/json)
	604 51.479743	10.3.10.144	10.3.10.137	HTTP	616 PUT /v2/keys/ HTTP/1.1 (application/json)
	614 51.995057	10.3.10.137	10.3.10.144	HTTP	212 HTTP/1.1 204 No Content
	616 52.005136	10.3.10.144	10.3.10.137	HTTP	351 GET /v1/certificate/delivery HTTP/1.1
	618 52.071631	10.3.10.137	10.3.10.144	HTTP	647 HTTP/1.1 200 OK (application/json)
	628 52.127565	10.3.10.144	10.3.10.137	HTTP	369 GET /v1/websocket/ HTTP/1.1
	635 52.162041	10.3.10.144	10.3.10.137	HTTP	446 GET /v1/websocket/?login=b259190f-1ae8-43ed-ae3c-25a965459775
	637 52.192302	10.3.10.137	10.3.10.144	HTTP	301 HTTP/1.1 101 Switching Protocols
	639 52.193556	10.3.10.137	10.3.10.144	HTTP	301 HTTP/1.1 101 Switching Protocols
	646 52.214016	10.3.10.144	10.3.10.137	HTTP	440 GET /v1/profile/b259190f-1ae8-43ed-ae3c-25a965459775/be95dcc5
	648 52.302995	10.3.10.137	10.3.10.144	HTTP	546 HTTP/1.1 200 OK (application/json)
	652 52.390394	10.3.10.144	10.3.10.137	HTTP	1103 PUT /v1/profile/ HTTP/1.1 (application/json)
	654 52.459603	10.3.10.137	10.3.10.144	HTTP	223 HTTP/1.1 200 OK
	656 52.523052	10.3.10.144	10.3.10.137	HTTP	351 GET /v1/certificate/delivery HTTP/1.1
	658 52.536389	10.3.10.137	10.3.10.144	HTTP	646 HTTP/1.1 200 OK (application/json)
	867 67.300655	10.3.10.144	10.3.10.137	HTTP	336 GET /v2/keys/ HTTP/1.1
	869 67.316986	10.3.10.137	10.3.10.144	HTTP	291 HTTP/1.1 200 OK (application/json)
	871 67.386951	10.3.10.144	10.3.10.137	HTTP	762 PUT /v1/accounts/attributes/ HTTP/1.1 (application/json)
	873 67.444856	10.3.10.137	10.3.10.144	HTTP	212 HTTP/1.1 204 No Content
	880 67.564262	10.3.10.144	10.3.10.137	HTTP	446 GET /v1/websocket/?login=b259190f-1ae8-43ed-ae3c-25a965459775
	886 67.579219	10.3.10.137	10.3.10.144	HTTP	301 HTTP/1.1 101 Switching Protocols
	889 67.583747	10.3.10.144	10.3.10.137	HTTP	369 GET /v1/websocket/ HTTP/1.1
	891 67.598646	10.3.10.137	10.3.10.144	HTTP	301 HTTP/1.1 101 Switching Protocols
	895 67.653789	10.3.10.144	10.3.10.137	HTTP	351 GET /v1/certificate/delivery HTTP/1.1
	897 67.664997	10.3.10.137	10.3.10.144	HTTP	647 HTTP/1.1 200 OK (application/json)
	904 67.824057	10.3.10.144	10.3.10.137	HTTP	318 GET /v2/keys/b259190f-1ae8-43ed-ae3c-25a965459775/* HTTP/1.1
	906 67.894944	10.3.10.137	10.3.10.144	HTTP	614 HTTP/1.1 200 OK (application/json)
	1051 78.435604	10.3.10.144	10.3.10.137	HTTP	336 GET /v2/keys/ HTTP/1.1
	1053 78.450270	10.3.10.137	10.3.10.144	HTTP	291 HTTP/1.1 200 OK (application/json)
	1055 78.504711	10.3.10.144	10.3.10.137	HTTP	762 PUT /v1/accounts/attributes/ HTTP/1.1 (application/json)
	1057 78.543860	10.3.10.137	10.3.10.144	HTTP	212 HTTP/1.1 204 No Content
	1067 78.572181	10.3.10.144	10.3.10.137	HTTP	446 GET /v1/websocket/?login=b259190f-1ae8-43ed-ae3c-25a965459775
	1069 78.640795	10.3.10.137	10.3.10.144	HTTP	301 HTTP/1.1 101 Switching Protocols

Figure 4.3: Packet capture of signal-cli registration and messaging, viewed in Wireshark

reduces the need to define custom error types and has useful macros for manipulating errors [25].

Within *kvm-compose* there are many functions that could fail, these include file system operations, interactions with *QEMU/KVM* (via libvirt-rust), and calls to command line tools. All of these return Result types which are then either handled or passed upwards to the calling function. For example one of the functions I defined for configuring *Open vSwitch*:

```
pub fn add_br<T: AsRef<str>>>(name: T) -> anyhow::Result<()> {
    let output = Command::new("sudo")
    .arg("ovs-vsctl")
    .arg("add-br")
    .arg(name.as_ref())
    .output()?;
    if !output.status.success() {
        let std_err = std::str::from_utf8(&output.stderr)?;
        bail!("{}", std_err);
    }
    Ok(())
}
```

If either the operating system fails to call the command, or the result of the command was not a success then the Rust function will return Result::Err() containing a description of what

went wrong. This approach is used throughout the program, so if there are any errors then kvm-compose will clean up and exit. The error description is printed to the console as part of the log.

The *kvm-compose* log output can be changed with the --verbosity flag, with levels of [error, warn, info, trace]. The higher levels could be useful to the user if they need to debug the program, for example the trace option will show the full XML output that is given to libvirt. Any errors or problems that occur when running *kvm-compose* are therefore presented very clearly to the user as soon as it is executed.

Errors that occur during the run_script stage of initialising the virtual machines are unfortunately less accessible. The stdout and stderr streams of script are logged and stored in /etc/nocloud/. But as noted in section 4.1 this will not appear to the user unless they manually look for those logs themselves. For example using SSH to connect to the virtual machine and running:

tail -f /etc/nocloud/run_script_out.txt

4.3 REPHRAIN Feedback

On the 4th May 2021 my co-supervisor presented my work on *kvm-compose* and the *DP3T* example using the slides and video (that I prepared for the poster day), as part of a REPHRAIN scoping session. My work received positive feedback.

One question that was asked focused on the performance and throughput of testbed. This is not something I have had time to conduct tests for, but I can theorise that it will largely depend on the performance of the system being used, since modern hardware acceleration means there will be minimal overhead from the virtualization.

4.4 Difficulties Creating Example Projects

During the creation of the example projects I discovered some limitations and issues that researchers may face when using the testbed.

4.4.1 NHS COVID App and Cloud Lock-In

Before creating the DP3T example project I was originally intending to test the UK's NHS COVID-19 contact tracing app implementation². However it quickly became apparent that the service is highly coupled to $Amazon\ Web\ Services\ (AWS)$, and as such it is very difficult to run within the testbed. It is architectured using the serverless model, and depends on services includes using $AWS\ API\ Gateway$, Lambda, DynamoDB etc. Whilst it is indeed possible to run

²https://github.com/nihp-public/covid19-app-system-public

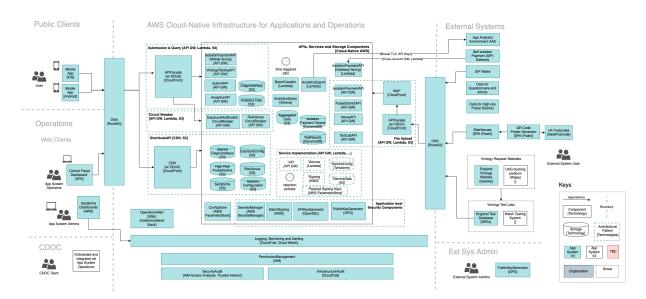


Figure 4.4: NHS COVID-19 App System Architecture

some of the AWS services locally³, replicating the entire AWS infrastructure to simulate the COVID service would require a very substantial amount of time and effort; requiring code and configuration changes to enable connecting to local endpoints rather than the AWS regions.

This can be considered part of a broader problem of vendor lock-in in the context of modern cloud services; due to a lack of standardization and unique cloud service implementations, it means that applications are being developed that target a specific cloud service, and are not easy to move without incurring engineering costs [26]. This proves a challenge to the whole concept of a local virtual testbed in a world where technologies are being developed for specific proprietary cloud services, without support for self hosting. As such to test them locally would require code modifications, and the more the code is modified from the original, the less accurate and useful any test results can be considered, when compared to the real service.

4.4.2 Exposure Notifications API

After switching to the DP3T service I was able to setup the backend server within the testbed relatively easily, since it is implemented as a stand alone Spring Boot application⁴. However when attempting to deploy the mobile client I then discovered another issue: in order to use the DP3T calibration-app it is required to enable the debug mode for COVID-19 exposure notifications within the Android device settings, however that option was not available, since according to Google:

To enable debug mode on a device, the primary account on the device must be a development account that is on the allow list. 5

³For example: https://hub.docker.com/r/amazon/dynamodb-local/

⁴https://spring.io/projects/spring-boot

 $^{^5} https://developers.google.com/android/exposure-notifications/debug-model and the control of the control of$

Allowlist membership is only available to government health authorities, and official implementations of the app are distributed through *Google's Play Store* and are digitally signed, hence allowing them to make use of the exposure notification API. But these are not useful for our testing purposes because we need to be able to change the URL to our own server. There does not currently appear to be any method of using the API for debugging or testing purposes⁶, meaning it cannot be used within the testbed.

As a workaround for my example project so that I could demonstrate the DP3T service being used, I am using the prestandard edition, which used its own exposure detection protocol prior to the development of the Google one⁷. Whilst this does allows the app to launch successfully and data to be collected in the testbed it is no longer an accurate representation of the technology that is actually in live use. This highlights another similar issue with the testbed concept, in that despite being designed with a focus on privacy and security [27] some applications have been engineered without the ability to use in a test environment.

4.4.3 Signal Server

Setting up the *Signal* server created some challenges, which may again highlight the limitations of the testbed. The first difficulty is there does not appear to be any official documentation or guides to setup the server, and while there are some unofficial guides available⁸, their use is limited due to substantial changes between different versions of *Signal*.

At first I attempted to use one of the latest versions (v5.80) of the Signal server however I immediately ran into difficulty because it has substantial dependencies on Amazon Web Services (AWS). Version 5 has a DynamicConfigurationManager class that uses the AmazonAppConfigClient to connect to AWS AppConfig, using authentication from Amazon's metadata service for EC2 instances. The server will not start until it has successfully connected to AppConfig. Again this is another example of modern services being built tightly coupled to a cloud service provider, making it difficult to run in a test environment.

Nevertheless I tried to setup a Signal server in AWS, and was able to configure the AppConfig service, but I then discovered that it also depends on AWS DynamoDB. However due to the lack of documentation I was unable to successfully configure the schema of the DynamoDB tables. As a result I decided to use v4.97 of Signal server which does not have the AWS dependencies and as a result I was able to run it in the testbed. It did however take a substantial amount of time to work out how it should be configured, and in the process I have contributed to one of the guides (https://github.com/madeindra/signal-setup-guide/pull/83). The issue of complex but undocumented technologies could prove to be a problem for users of the testbed.

⁶https://github.com/google/exposure-notifications-android/issues/8

https://github.com/DP-3T/dp3t-sdk-android#introduction

 $^{^8 \}mathrm{See}$ https://github.com/aqnouch/Signal-Setup-Guide and https://github.com/madeindra/signal-setup-guide

4.5 Summary

A topic-specific chapter, of roughly 15 pages

This chapter is intended to evaluate what you did. The content is highly topic-specific, but for many projects will have flavours of the following:

- 1. functional testing, including analysis and explanation of failure cases,
- 2. behavioural testing, often including analysis of any results that draw some form of conclusion wrt. the aims and objectives, and
- 3. evaluation of options and decisions within the project, and/or a comparison with alternatives.

This chapter often acts to differentiate project quality: even if the work completed is of a high technical quality, critical yet objective evaluation and comparison of the outcomes is crucial. In essence, the reader wants to learn something, so the worst examples amount to simple statements of fact (e.g., "graph X shows the result is Y"); the best examples are analytical and exploratory (e.g., "graph X shows the result is Y, which means Z; this contradicts [1], which may be because I use a different assumption"). As such, both positive and negative outcomes are valid if presented in a suitable manner.

CHAPTER 4.	CRITICAL EVALUATION

Chapter 5

Conclusion

A compulsory chapter, of roughly 5 pages

The concluding chapter of a dissertation is often underutilised because it is too often left too close to the deadline: it is important to allocation enough attention. Ideally, the chapter will consist of three parts:

- 1. (Re)summarise the main contributions and achievements, in essence summing up the content.
- 2. Clearly state the current project status (e.g., "X is working, Y is not") and evaluate what has been achieved with respect to the initial aims and objectives (e.g., "I completed aim X outlined previously, the evidence for this is within Chapter Y"). There is no problem including aims which were not completed, but it is important to evaluate and/or justify why this is the case.
- 3. Outline any open problems or future plans. Rather than treat this only as an exercise in what you *could* have done given more time, try to focus on any unexplored options or interesting outcomes (e.g., "my experiment for X gave counter-intuitive results, this could be because Y and would form an interesting area for further study" or "users found feature Z of my software difficult to use, which is obvious in hindsight but not during at design stage; to resolve this, I could clearly apply the technique of Smith [7]").

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