

Presheaf Models of Polarized Higher-Order Abstract Syntax

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1 Preliminaries

Note 1.1

We'll work with three set universes in our metatheory, with implicit ("Russell-style") element operators.

$$\mathbf{Set}_0 \quad : \quad \mathbf{Set}_1 \quad : \quad \mathbf{Set}.$$

When convenient, we'll call elements of \mathbf{Set}_0 *small sets* and elements of \mathbf{Set}_1 *large sets*.¹ We freely make use of the following properties:

- These set universes are *cumulative*: any $X : \mathbf{Set}_0$ is also in \mathbf{Set}_1
- Both \mathbf{Set}_0 and \mathbf{Set}_1 are *closed under dependent products and sums*: if $X : \mathbf{Set}_\ell$ and $P : X \rightarrow \mathbf{Set}_\ell$, then

$$\left(\prod_{x:X} P \ x \right) : \mathbf{Set}_\ell \quad \text{and} \quad \left(\sum_{x:X} P \ x \right) : \mathbf{Set}_\ell$$

- Both \mathbf{Set}_0 and \mathbf{Set}_1 form categories (which we'll also denote as \mathbf{Set}_0 and \mathbf{Set}_1 , respectively), which are both full subcategories of \mathbf{Set}

¹We don't use these mutually exclusively: a large set could perhaps be a small set, and, by the cumulativity of the universes, all small sets are large.

- If \mathbb{C} is a *small category*, that is, $|\mathbb{C}| : \mathbf{Set}_0$ and $\mathbf{Hom}_{\mathbb{C}}(I, J) : \mathbf{Set}_0$ for all $I, J : |\mathbb{C}|$, then the collection of *small presheaves on \mathbb{C}* is a large set:

$$|\mathbf{Set}_0^{\mathbb{C}^{\text{op}}}| : \mathbf{Set}_1$$

- The empty set \emptyset , the singleton set $\mathbb{1}$, and the set of natural numbers \mathbb{N} are all small sets

For full generality, we might instead need to assume an infinite hierarchy $\mathbf{Set}_0 : \mathbf{Set}_1 : \mathbf{Set}_2 : \dots$ – see [Note 3.1](#).

Definition 1.1

Given a category \mathbb{C} and a presheaf $F : \mathbb{C}^{\text{op}} \Rightarrow \mathbf{Set}$, the **category of elements of F** – denoted $\int F$ – is the category whose

- objects are pairs (I, x) , where I is an object of \mathbb{C} and $x : F(I)$
- morphisms $(I, x) \rightarrow (J, y)$ are \mathbb{C} -morphisms $j : I \rightarrow J$ such that $F j y = x$

Morphisms in $\int F$ are uniquely identified by their underlying \mathbb{C} -morphism and their codomain: for any $j : I \rightarrow J$ and $y : F(J)$, we'll write $(j \downarrow y)$ to indicate the $\int F$ -morphism $(I, F j y) \rightarrow (J, y)$. We'll use the rule 1 to type morphisms in categories of elements.

$$\frac{j : \mathbb{C}(I, J) \quad F : \mathbb{C}^{\text{op}} \Rightarrow \mathbf{Set} \quad F j y = x}{(j \downarrow y) : (\int F) (I, x) (J, y)} \quad (1)$$

Note 1.2

If \blacklozenge is the constant- $\mathbb{1}$ presheaf $\mathbb{C}^{\text{op}} \Rightarrow \mathbf{Set}$, then

$$\int \blacklozenge \cong \mathbb{C} \quad (2)$$

Definition 1.2

Let \mathbb{C} be any category, and consider the Yoneda embedding

$$\mathbf{y} : \mathbb{C} \Rightarrow \mathbf{Set}^{\mathbb{C}^{\text{op}}}.$$

For any object $J : |\mathbb{C}|$, define the **slice category of \mathbb{C} over J** to be the category of elements of $\mathbf{y}(J)$:

$$\mathbb{C}/J = \int (\mathbf{y} J).$$

Explicitly, the objects of \mathbb{C}/J are pairs $(I \downarrow j)$ where $I : |\mathbb{C}|$ and $j : \mathbb{C}(I, J)$. A morphism in \mathbb{C}/J from (I_0, j_0) to (I_1, j_1) is a \mathbb{C} -morphism $i_2 : I_0 \rightarrow I_1$ such that the triangle

$$\begin{array}{ccc} I_0 & \xrightarrow{i_2} & I_1 \\ & \searrow j_0 & \swarrow j_1 \\ & J & \end{array}$$

commutes. So we specialize 1.

$$\frac{i_2 : \mathbb{C}(I_0, I_1) \quad j_1 \circ i_2 = j_0}{(i_2 \downarrow j_1) : (\mathbb{C}/J) (I_0, j_0) (I_1, j_1)} \quad (3)$$

Proposition 1.1

If \mathbb{C} is a small category, then, for any $J : |\mathbb{C}|$, the representable functor $\mathbf{y}J$ is a small presheaf on \mathbb{C}

$$\mathbf{y}J : \mathbb{C}^{\text{op}} \Rightarrow \mathbf{Set}_0$$

and, moreover, the slice category \mathbb{C}/J is also small.

Definition 1.3

A **category with families (cwf)** consists of the following data

- A category \mathbf{Con} with terminal object \bullet . For $I, J : \mathbf{Con}$, write $\mathbf{Sub} \, I \, J$ for the hom-set $\mathbf{Hom}_{\mathbf{Con}}(I, J)$. We'll call objects $I : \mathbf{Con}$ *contexts*, and morphisms $j : \mathbf{Sub} \, I \, J$ *substitutions* or *context morphisms*.

- A presheaf

$$\mathbf{Ty} : \mathbf{Con}^{\text{op}} \Rightarrow \mathbf{Set}$$

sending a context I to its *set of types* $\mathbf{Ty} \, I$, and a substitution $j : \mathbf{Sub} \, I \, J$ to the map

$$-[j] : \mathbf{Ty} \, J \rightarrow \mathbf{Ty} \, I$$

- A presheaf

$$\mathbf{Tm} : (\int \mathbf{Ty})^{\text{op}} \Rightarrow \mathbf{Set}$$

sending each $J : \mathbf{Con}$ and $Y : \mathbf{Ty} \, J$ to the set $\mathbf{Tm}(J, Y)$ of *terms of type A in context I* , and each $j : \mathbf{Sub} \, I \, J$ to the map

$$-[j] : \mathbf{Tm}(J, Y) \rightarrow \mathbf{Tm}(I, Y[j])$$

- For each $Y : \mathbf{Ty} \, J$, a context $J \triangleright Y : \mathbf{Con}$, called the *extension of J by Y* , such that there is an isomorphism

$$\mathbf{Sub} \, I \, (J \triangleright Y) \cong \sum_{j : \mathbf{Sub} \, I \, J} \mathbf{Tm}(I, Y[j])$$

natural in I .² We'll write $(\mathbf{p}_Y, \mathbf{v}_Y) : \sum_{\mathbf{Sub} \, (J \triangleright Y)} \mathbf{Tm}(J \triangleright Y, Y[\mathbf{p}_Y])$ for the image of $\text{id}_{J \triangleright Y}$ under this isomorphism (dropping the subscripts when possible), and write $\langle -, - \rangle$ for the reverse direction (e.g. $\langle \mathbf{p}, \mathbf{v} \rangle = \text{id}_{J \triangleright Y}$).

Definition 1.4

A CwF $(\mathbf{Con}, \mathbf{Sub}, \mathbf{Ty}, \mathbf{Tm}, \dots)$ is called *small* if all the sets involved are in \mathbf{Set}_0 , i.e.

- $|\mathbf{Con}| : \mathbf{Set}_0$
- $\mathbf{Sub}(I, J) : \mathbf{Set}_0$ for all I, J
- $\mathbf{Ty} : \mathbf{Con}^{\text{op}} \Rightarrow \mathbf{Set}_0$
- $\mathbf{Tm} : (\int \mathbf{Ty})^{\text{op}} \Rightarrow \mathbf{Set}_0$

²That is,

$$\langle j_1, t \rangle \circ i_2 = \langle j_1 \circ i_2, t[i_2] \rangle \quad (\text{extend-natural})$$

where $t : \mathbf{Tm}(I_1, Y[j_1])$.

2 The Presheaf Model

Definition 2.1

Let \mathbb{C} be any small category. The **presheaf model** (on \mathbb{C}) is the category $\widehat{\mathbb{C}} = \mathbb{C}^{\text{op}} \Rightarrow \mathbf{Set}_1$, endowed with a CwF structure in the following way.

- $\widehat{\mathbf{Con}} = \mathbb{C}^{\text{op}} \Rightarrow \mathbf{Set}_1$. A morphism $\sigma : \widehat{\mathbf{Sub}} \Delta \Gamma$ is a natural transformation of presheaves

$$\sigma : \int_{I:\mathbb{C}} \Delta I \rightarrow \Gamma I$$

- The constant- $\mathbb{1}$ presheaf is the terminal object, which we'll denote $\blacklozenge : \widehat{\mathbf{Con}}$
- For $\Gamma : \widehat{\mathbf{Con}}$, $\widehat{\mathbf{Ty}}(\Gamma)$ is defined as the set of small presheaves on the category of elements of Γ :

$$\widehat{\mathbf{Ty}}(\Gamma) = (f \Gamma)^{\text{op}} \Rightarrow \mathbf{Set}_0 \quad (\text{Defn. } \widehat{\mathbf{Ty}})$$

Explicitly, $A : \widehat{\mathbf{Ty}}(\Gamma)$ assigns to each $J : \mathbb{C}$, $\gamma : \Gamma J$ a small set $A(J, \gamma)$, and to each $j : I \rightarrow J$ a function

$$A(j \downarrow \gamma) : A(J, \gamma) \rightarrow A(I, \Gamma j \gamma) \quad (*)$$

- For a natural transformation $\sigma : \widehat{\mathbf{Sub}} \Delta \Gamma$ and some $A : \widehat{\mathbf{Ty}}(\Gamma)$, define $A[\sigma] : \widehat{\mathbf{Ty}}(\Delta)$ (i.e. $A[\sigma] : (f \Delta)^{\text{op}} \rightarrow \mathbf{Set}_0$) by

$$\begin{aligned} A[\sigma](I, \vartheta) &= A(I, \sigma_I \vartheta) \\ A[\sigma](j \downarrow \delta) &= A(j \downarrow \sigma_J \delta) \end{aligned} \quad (\text{Defn. } A[\sigma])$$

The definition of the morphism part is well-typed, by [Fig. A.1](#).

- Given $\Gamma : \widehat{\mathbf{Con}}$ and $A : \widehat{\mathbf{Ty}}(\Gamma)$, define

$$\widehat{\mathbf{m}}(\Gamma, A) = \int_{I:\mathbb{C}} (\phi : \Gamma I) \rightarrow A(I, \phi).$$

Explicitly, a term $M : \widehat{\mathbf{m}}(\Gamma, A)$ consists of a dependent function

$$M : (I : \mathbb{C}) \rightarrow (\phi : \Gamma I) \rightarrow A(I, \phi)$$

satisfying this condition: for any j and γ ,

$$M_I(\Gamma j \gamma) = A(j \downarrow \gamma)(M_J \gamma). \quad (\text{Nat. } M)$$

- Given $\sigma : \widehat{\mathbf{Sub}} \Delta \Gamma$ and $M : \widehat{\mathbf{m}}(\Gamma, A)$, define

$$M[\sigma] : \int_{I:\mathbb{C}} (\vartheta : \Delta I) \rightarrow A[\sigma](I, \vartheta)$$

by

$$(M[\sigma])_I \vartheta = M_I(\sigma_I \vartheta). \quad (\text{Defn. } M[\sigma])$$

This is natural, by [Fig. A.2](#).

- Given $A : \widehat{\text{Ty}}(\Gamma)$, define $\Gamma \triangleright A : \widehat{\text{Con}}$ by

$$\begin{aligned} (\Gamma \triangleright A) I &= \sum_{\phi: \Gamma I} A(I, \phi) \\ (\Gamma \triangleright A) j(\gamma, a) &= (\Gamma j \gamma, A(j \downarrow \gamma) a) \end{aligned}$$

Proposition 2.1

In the presheaf model, there is an isomorphism

$$\widehat{\text{Sub}} \Delta (\Gamma.A) \cong \sum_{\sigma: \widehat{\text{Sub}} \Delta \Gamma} \widehat{\text{Tm}}(\Delta, A[\sigma])$$

natural in Δ .

Note 2.1

Given any closed type $E : \widehat{\text{Ty}} \blacklozenge$, we can *weaken* E to be type in any context Γ by ignoring the elements of Γ :

$$\begin{aligned} E &: \widehat{\text{Ty}} \Gamma \\ E(J, \gamma) &= E(J, \star) \\ E(j \downarrow \gamma) &= E(j \downarrow \text{id}_\star) \end{aligned}$$

where \star is the unique element of $\mathbb{1}$. When we define *large types* (Defn. 3.1), the same will be true.

3 Type Formers

TODO: Dependent types in presheaf model

Note 3.1

The following construction will produce a universe \mathbf{U} in the syntax of our type theory. However, \mathbf{U} will not itself be a term of some larger universe. If we want \mathbf{U} to live in a universe, we need to assume a further set-theoretic universe and repeat this construction to obtain \mathbf{U}_1 . But then \mathbf{U}_1 will not live in a universe, and so on. To completely avoid this problem will require an infinite hierarchy

$$\text{Set}_0 : \text{Set}_1 : \text{Set}_2 : \dots : \text{Set}.$$

We avoid doing this, for simplicity.

Definition 3.1

A **large type** in context Γ is a large presheaf on the category of elements of Γ :

$$\mathbf{X} : (\int \Gamma)^{\text{op}} \rightarrow \text{Set}_1$$

Proposition 3.1

There is an isomorphism

$$\widehat{\text{Con}} \cong \widehat{\text{Ty}} \blacklozenge.$$

Proposition 3.2

We can extend the definition of $\widehat{\text{Tm}}$ to large types: given any $\mathbf{X} : \widehat{\text{Ty}} \Gamma$,

$$\widehat{\text{Tm}}(\Gamma, \mathbf{X}) = \int_{I: \mathbf{C}} (\phi : \Gamma I) \rightarrow \mathbf{X}(I, \phi).$$

In the special case where the large type in question is some $\mathbf{E} : \widehat{\mathbf{Ty}} \blacklozenge$, weakened to be a large type in Γ (care of [Note 2.1](#)), then this definition is just natural transformations from Γ to \mathbf{E} (taken as a context, care of [Prop. 3.1](#)):

$$\mathsf{Tm}(\Gamma, \mathbf{E}) \cong \int_{I:\mathbb{C}} \Gamma I \rightarrow \mathbf{E} I \quad (4)$$

$$= \widehat{\mathsf{Sub}} \Gamma \mathbf{E}. \quad (5)$$

Theorem 3.3

There is a large closed type $\mathbf{U} : \widehat{\mathbf{Ty}} \blacklozenge$ such that

$$\widehat{\mathsf{Tm}}(\Gamma, \mathbf{U}) \cong \mathsf{Ty} \Gamma.$$

$$\frac{\widehat{\mathsf{Sub}} \Gamma \mathbf{U}}{(\int \Gamma)^{\text{op}} \Rightarrow \mathsf{Set}_0} \quad (\text{Fundamental Property of } \mathbf{U})$$

Proposition 3.4

$$\frac{\widehat{\mathsf{Sub}} \blacklozenge (\Gamma \Rightarrow \Delta)}{\widehat{\mathsf{Sub}} \Gamma \Delta} \quad (6)$$

4 Higher-Order Abstract Syntax

Definition 4.1

Observe that the base CwF type presheaf $\mathsf{Ty} : \mathbb{C}^{\text{op}} \Rightarrow \mathsf{Set}_0$ can be regarded as a closed *type* in the presheaf model, $\mathsf{Ty} : \widehat{\mathbf{Ty}} \blacklozenge$, care of [2](#). A **closed base type** is a term $A : \widehat{\mathsf{Tm}}(\blacklozenge, \mathsf{Ty})$.

Given a closed base type A and some $I : \mathbb{C}$, write $A_I : \mathsf{Ty} I$ for the I -component of A (recalling that $\widehat{\mathsf{Tm}}(\blacklozenge, \mathsf{Ty})$ consists of natural transformations from \blacklozenge to Ty).³ Then define

$$\begin{aligned} \mathsf{Tm}_A & : \mathbb{C}^{\text{op}} \Rightarrow \mathsf{Set}_0 \\ \mathsf{Tm}_A I & = \mathsf{Tm}(I, A_I) \\ \mathsf{Tm}_A i_2 & = \mathsf{Tm}(i_2 \downarrow A_{I_1}) \end{aligned}$$

See [Fig. B.1](#).

³The naturality condition says: for all $i_2 : \mathbb{C}(I_0, I_1)$,

$$\mathsf{Ty} i_2 A_{I_1} = A_{I_0} \quad (7)$$

HOAS	Semantics in presheaf model	translated into category theory	Note
\mathbf{U} type	$\mathbf{U} : \widehat{\mathbf{T}\mathbf{y}} \diamond$	$\mathbf{U} : \mathbb{C}^{\text{op}} \Rightarrow \mathbf{Set}_1$	
$\mathbf{T}\mathbf{y} : \mathbf{U}$	$\mathbf{T}\mathbf{y} : \widehat{\mathbf{T}\mathbf{m}}(\diamond, \mathbf{U})$	$\mathbf{T}\mathbf{y} : \mathbb{C}^{\text{op}} \Rightarrow \mathbf{Set}_0$	Fig. B.2
$\mathbf{T}\mathbf{m} : \mathbf{T}\mathbf{y} \rightarrow \mathbf{U}$	$\mathbf{T}\mathbf{m} : \widehat{\mathbf{T}\mathbf{m}}(\diamond, \mathbf{T}\mathbf{y} \Rightarrow \mathbf{U})$	$\mathbf{T}\mathbf{m} : (\int \mathbf{T}\mathbf{y})^{\text{op}} \Rightarrow \mathbf{Set}_0$	Fig. B.3
$\Sigma : (A : \mathbf{T}\mathbf{y}) \rightarrow (\mathbf{T}\mathbf{m} A \rightarrow \mathbf{T}\mathbf{y}) \rightarrow \mathbf{T}\mathbf{y}$	$\frac{A : \widehat{\mathbf{T}\mathbf{m}}(\diamond, \mathbf{T}\mathbf{y}) \quad B : \widehat{\mathbf{Sub}} \mathbf{T}\mathbf{m}_A \mathbf{T}\mathbf{y}}{\Sigma AB : \widehat{\mathbf{T}\mathbf{m}}(\diamond, \mathbf{T}\mathbf{y})}$		

5 Polarized Type Theory

Definition 5.1

A **polarized category with families (PCwF)** consists of a CwF with two ‘copies’ of each structure: a ‘positive’ and a ‘negative’ version. More precisely, it includes the following data.

- A category \mathbf{Con} with terminal object \bullet and hom-sets denoted \mathbf{Sub}
- Two presheaves

$$\mathbf{T}\mathbf{y} : \mathbf{Con}^{\text{op}} \Rightarrow \mathbf{Set} \quad \mathbf{T}\mathbf{y}^- : \mathbf{Con}^{\text{op}} \Rightarrow \mathbf{Set}$$

sending a context I to its set of positive types $\mathbf{T}\mathbf{y} I$ and set of negative types $\mathbf{T}\mathbf{y}^- I$, respectively, and sending a substitution $j : \mathbf{Sub} I J$ to the maps

$$-[j] : \mathbf{T}\mathbf{y} J \rightarrow \mathbf{T}\mathbf{y} I \quad -[j^-] : \mathbf{T}\mathbf{y}^- J \rightarrow \mathbf{T}\mathbf{y}^- I$$

We require that $\mathbf{T}\mathbf{y}$ and $\mathbf{T}\mathbf{y}^-$ agree on the empty context:

$$\mathbf{T}\mathbf{y} \bullet = \mathbf{T}\mathbf{y}^- \bullet$$

- Two presheaves

$$\mathbf{T}\mathbf{m} : (\int \mathbf{T}\mathbf{y})^{\text{op}} \Rightarrow \mathbf{Set} \quad \mathbf{T}\mathbf{m}^- : (\int \mathbf{T}\mathbf{y}^-)^{\text{op}} \Rightarrow \mathbf{Set}$$

sending each $J : \mathbf{Con}$ and $Y : \mathbf{T}\mathbf{y}^s J$ to the set $\mathbf{T}\mathbf{m}^s(J, Y)$, and each $j : \mathbf{Sub} I J$ to the map

$$-[j^s] : \mathbf{T}\mathbf{m}^s(J, Y) \rightarrow \mathbf{T}\mathbf{m}^s(I, Y[j^s])$$

where here, and henceforth, s is a metavariable for either negative or positive.

- For each $Y : \mathbf{T}\mathbf{y}^s J$, a context $J \triangleright^s Y : \mathbf{Con}$, called the *s-extension of J by Y*, such that there is an isomorphism

$$\mathbf{Sub} I (J \triangleright^s Y) \cong \sum_{j : \mathbf{Sub} I J} \mathbf{T}\mathbf{m}^s(I, Y[j^s])$$

natural in I .

Nomenclature

Base CwF

\mathbb{C}	: Small category	Category of contexts for the base CwF
Sub	: $ \mathbb{C} \rightarrow \mathbb{C} \rightarrow \text{Set}_0$	Substitutions in the base CwF, homsets of \mathbb{C}
\mathbf{y}	: $\mathbb{C} \Rightarrow \mathbb{C}^{\text{op}} \Rightarrow \text{Set}_0$	Yoneda embedding (object part is given by Sub)
I, J, I_0, I_1, K	: $ \mathbb{C} $	Contexts in the base CwF
\bullet	: $ \mathbb{C} $	Empty context in the base CwF
i_2	: $\mathbb{C}(I_0, I_1)$, a.k.a. $\text{Sub}(I_0, I_1)$	Substitution, context morphism
j_0	: $\mathbb{C}(I_0, I_1)$, a.k.a. $\text{Sub}(I_0, J)$	
j_1	: $\mathbb{C}(I_1, J)$, a.k.a. $\text{Sub}(I_1, J)$	
k	: $\mathbb{C}(J, K)$, a.k.a. $\text{Sub}(J, K)$	
Ty	: $\mathbb{C}^{\text{op}} \Rightarrow \text{Set}_0$	Family of Types of the base CwF
Tm	: $(\int \text{Ty})^{\text{op}} \Rightarrow \text{Set}_0$	Family of Terms of the base CwF
Y	: $\text{Ty } J$	Type in context J

Presheaf Model

$\widehat{\mathbb{C}}$: Category	Category of contexts for the presheaf model, category of large presheaves on \mathbb{C}
\blacklozenge	: $\widehat{\text{Con}}$, i.e. $\mathbb{C}^{\text{op}} \Rightarrow \text{Set}_1$	Empty context, the terminal presheaf
Δ, Γ	: $\widehat{\text{Con}}$, i.e. $\mathbb{C}^{\text{op}} \Rightarrow \text{Set}_1$	Contexts in presheaf model, presheaves over \mathbb{C}
σ	: $\widehat{\text{Sub}} \Delta \Gamma$	Substitutions in the presheaf model, natural transformations of presheaves
ϕ	: ΓI	Element of Γ at I
γ	: ΓJ	Element of Γ at J
ϑ	: ΔI	Element of Δ at I
δ	: ΔJ	Element of Δ at J
A	: $\widehat{\text{Ty}} \Gamma$	Type in context Γ
E	: $\widehat{\text{Ty}} \blacklozenge$	Closed type
M	: $\widehat{\text{Tm}}(\Gamma, A)$	Term of type A in context Γ
a	: $A(I, \phi)$	Element of A at (I, ϕ)
\mathbf{X}	: $(\int \Gamma)^{\text{op}} \Rightarrow \text{Set}_1$	Large type in context Γ
\mathbf{E}	: $\mathbb{C}^{\text{op}} \Rightarrow \text{Set}_1$	Large closed type

A Presheaf Model Calculations & Proofs

$$\frac{\frac{\frac{\sigma : \widehat{\text{Sub}} \Delta \Gamma}{\Gamma j (\sigma_J \delta) = \sigma_I(\Delta j \delta)} \quad \frac{(j \downarrow \delta) : (f \Delta) (I, \vartheta) (J, \delta)}{\Delta j \delta = \vartheta}}{\Gamma j (\sigma_J \delta) = \sigma_I \vartheta}} \quad \frac{A(j, \sigma_J \delta) : A(J, \sigma_J \delta) \rightarrow A(I, \Gamma j (\sigma_J \delta))}{A(j, \sigma_J \delta) : A(J, \sigma_J \delta) \rightarrow A(I, \sigma_I \vartheta)} \quad (1)$$

Figure A.1: Calculation: Well-typedness of type substitution in presheaf model

$$\begin{aligned} (M[\sigma])_I(\Delta j \delta) &= M_I(\sigma_I(\Delta j \delta)) && \text{(Defn. } M[\sigma]) \\ &= M_I(\Gamma j (\sigma_J \delta)) && \text{(Naturality of } \sigma) \\ &= A(j \downarrow \sigma_J \delta) (M_J (\sigma_J \delta)) && \text{(Nat. } M, \text{ with } \gamma = \sigma_J \delta) \\ &= A[\sigma] (j \downarrow \delta) (M_J (\sigma_J \delta)) && \text{(Defn. } A[\sigma]) \\ &= A[\sigma] (j \downarrow \delta) ((M[\sigma])_J \delta) && \text{(Defn. } M[\sigma]) \end{aligned}$$

Thus $M[\sigma]$ satisfies the requisite “naturality” condition to be a term of type $A[\sigma]$.

Figure A.2: Calculation: Naturality of term substitution in presheaf model

Proposition A.1 (Theorem 3.3)

There is a large closed type $\mathbf{U} : \widehat{\text{Ty}} \blacklozenge$ such that

$$\widehat{\text{tm}}(\Gamma, \mathbf{U}) \cong \text{Ty } \Gamma.$$

Proof. —

We’ll define \mathbf{U} as a large presheaf on \mathbb{C} . To see what the definition of $\mathbf{U}(I)$ must be, we use the Yoneda Lemma:

$$\begin{aligned} \mathbf{U} I &\cong \widehat{\text{Sub}}(\mathbf{y}I) \mathbf{U} && \text{(Yoneda)} \\ &\cong \widehat{\text{tm}}(\mathbf{y}I, \mathbf{U}) && \text{(Prop. 3.2)} \\ &\cong \widehat{\text{Ty}}(\mathbf{y}I) && \text{(Desired Property of } \mathbf{U}) \\ &= \text{Set}_0^{(f \mathbf{y}I)^{\text{op}}} && \text{(Defn. } \widehat{\text{Ty}}) \\ &= \text{Set}_0^{(\mathbb{C}/I)^{\text{op}}} && \text{(Defn. 1.2)} \end{aligned}$$

This motivates this definition: on objects, \mathbf{U} is defined as

$$\mathbf{U} I = \text{Set}_0^{(\mathbb{C}/I)^{\text{op}}}. \quad \text{(Defn. } \mathbf{U})$$

Then, for a \mathbb{C} -morphism $k : J \rightarrow K$, we must give $\mathbf{U}(k) : \mathbf{U}K \rightarrow \mathbf{U}J$. Given $F : (\mathbb{C}/K)^{\text{op}} \Rightarrow \text{Set}_0$, define $\mathbf{U} k F : (\mathbb{C}/J)^{\text{op}} \Rightarrow \text{Set}_0$ by

$$\mathbf{U} k F (I, j) = F(I, k \circ j) \quad (8)$$

$$\mathbf{U} k F (i_2 \downarrow j_1) = F(i_2 \downarrow k \circ j_1) \quad (9)$$

where the last line makes sense by Fig. A.3.

It remains to check that \mathbf{U} is indeed a functor, and that we have the bijection

$$\widehat{\text{Sub}} \Gamma \mathbf{U} \cong \widehat{\text{Ty}} \Gamma$$

This bijection is given as a generalization of the proof of the Yoneda Lemma: given $\beta: \widehat{\text{Sub}} \Gamma \mathbf{U}$, define $B: \widehat{\text{Ty}} \Gamma$, that is, $B: (f \Gamma)^{\text{op}} \Rightarrow \text{Set}_0$ by

$$B(I, \phi) = \beta_I \phi (I, \text{id}_I) \quad (10)$$

$$B(i_2 \downarrow \phi_1) = \beta_I \phi_1 i_2 \quad (11)$$

and, oppositely, given B , define

$$\beta_J \gamma : (\mathbb{C}/J)^{\text{op}} \Rightarrow \text{Set}_0 \quad (12)$$

$$\beta_J \gamma (I, j) = B(I, \Gamma j \gamma) \quad (13)$$

$$\beta_J \gamma (i_2 \downarrow j_1) = B(i_2 \downarrow \Gamma j_1 \gamma) \quad (14)$$

That these definitions are well-typed and constitute a bijection is confirmed in Fig. A.4. . We can then conclude

$$\text{Tm}(\Gamma, \mathbf{U}) \cong \widehat{\text{Sub}} \Gamma \mathbf{U} \cong \widehat{\text{Ty}} \Gamma.$$

□

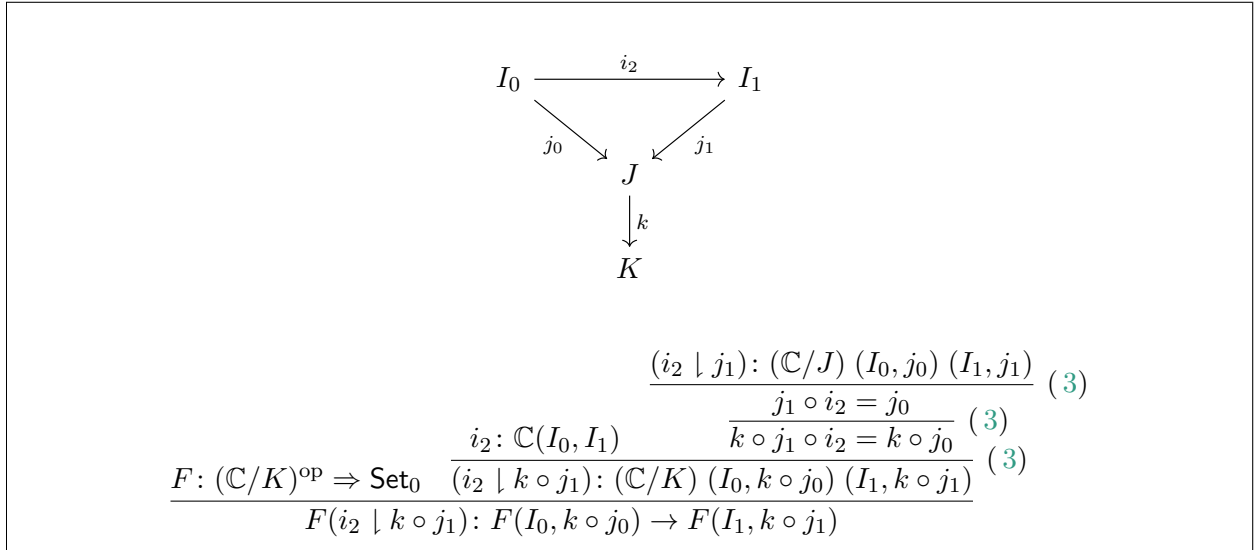


Figure A.3: Calculation: Well-typedness of the definition of the morphism part of \mathbf{U} $k F$

TODO

Figure A.4: Calculation: Correctness of the bijection proof of Theorem 3.3

B HOAS Calculations & Proofs

$$\frac{\text{Tm}: (f \text{ Ty})^{\text{op}} \Rightarrow \text{Set}_0 \quad \frac{i_2: \mathbb{C}(I_0, I_1) \quad \overline{\text{Ty } i_2 A_{I_1} = A_{I_0}} \quad (7)}{(i_2 \downarrow A_{I_1}): (f \text{ Ty}) (I_0, A_{I_0}) (I_1, A_{I_1})} \quad (1)}{\text{Tm}(i_2 \downarrow A_{I_1}): \text{Tm}(I_1, A_{I_1}) \rightarrow \text{Tm}(I_0, A_{I_0})}$$

Figure B.1: Calculation: Well-typedness of the Tm_A construction

$$\begin{aligned} \widehat{\text{Tm}}(\blacklozenge, \mathbf{U}) &\cong \widehat{\text{Sub}} \blacklozenge \mathbf{U} & (5) \\ &\cong (f \blacklozenge)^{\text{op}} \Rightarrow \text{Set}_0 & (\text{Fundamental Property of } \mathbf{U}) \\ &\cong \mathbb{C}^{\text{op}} \Rightarrow \text{Set}_0 & (2) \end{aligned}$$

Figure B.2: Calculation: Meaning of $\text{Ty}: \mathbf{U}$ in the presheaf model

$$\begin{aligned} \widehat{\text{Tm}}(\blacklozenge, \text{Ty} \Rightarrow \mathbf{U}) &\cong \widehat{\text{Sub}} \blacklozenge (\text{Ty} \Rightarrow \mathbf{U}) & (5) \\ &\cong \widehat{\text{Sub}} \text{Ty } \mathbf{U} & (6) \\ &\cong (f \text{ Ty})^{\text{op}} \Rightarrow \text{Set}_0 & (\text{Fundamental Property of } \mathbf{U}) \end{aligned}$$

Figure B.3: Calculation: Meaning of $\text{Tm}: \text{Ty} \rightarrow \mathbf{U}$ in the presheaf model