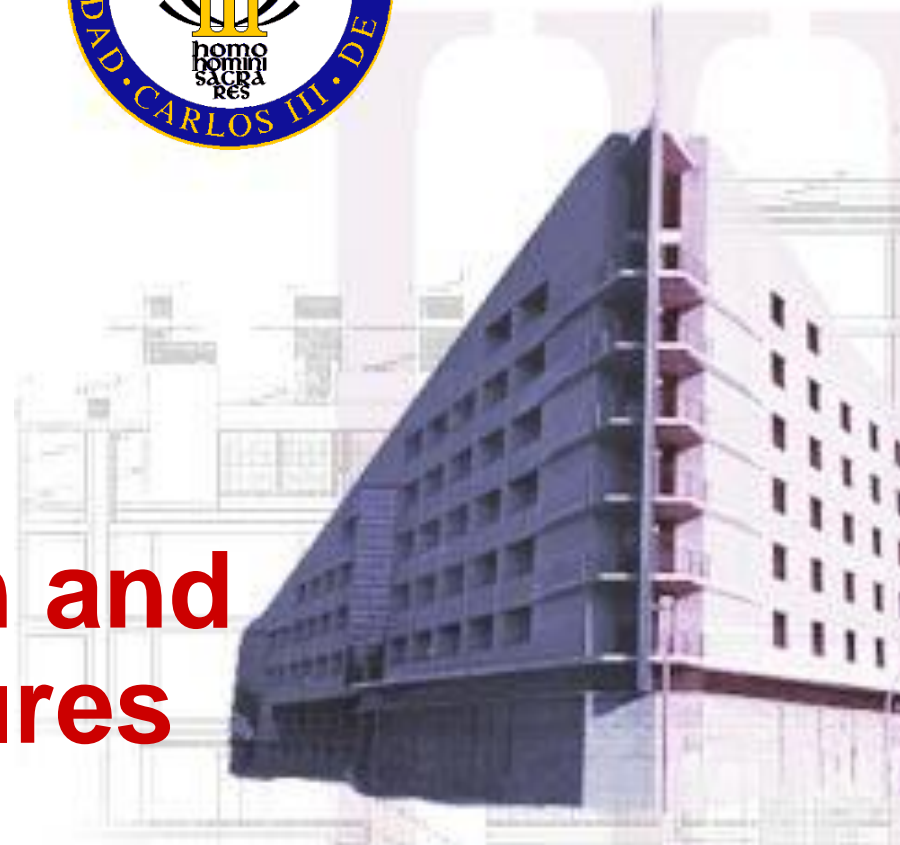




# Data Protection, Chapter 5

## Authentication and Digital Signatures



# Chapter Index

- **Hash functions**
  - ❖ MDC: Modification Detection Codes
  - ❖ MAC: Message Authentication Codes
- **Digital Signatures**
  - ❖ RSA, ElGamal
  - ❖ DSS: Digital Signature Standard
- **Certificates (name and attribute)**
  - ❖ X.509
- **Key distribution mechanisms in asymmetric cryptography**



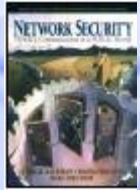
# Bibliography

- **Basic:**

- ❖ Kaufman[5,7],
- ❖ Stallings [10,11,12,13],

- **Complementary:**

- ❖ Ramió [15,16,17]
- ❖ Lucena [13,17]
- ❖ Menezes [9,11,12,13]



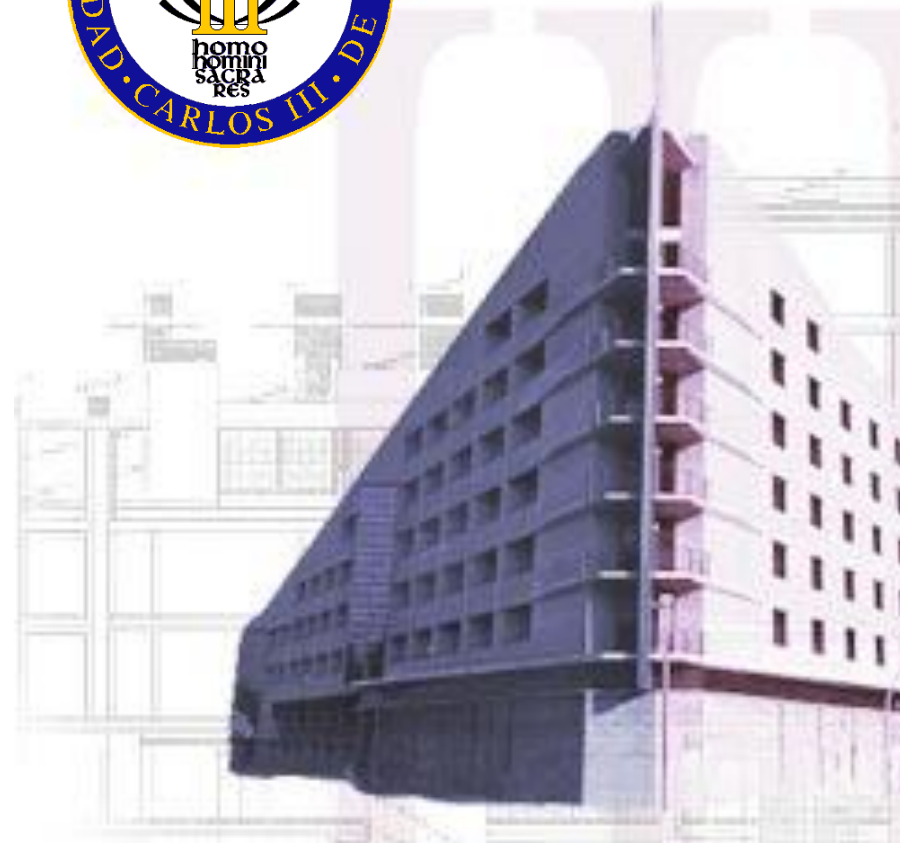
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# Motivation



# Integrity: Problems I

## a) Message integrity:

- ❖ How can Bob validate that the message received from Alice is authentic, i.e. has not been fabricated nor changed during transmission?

## b) Data origin authentication:

- ❖ How can Bob validate that a message received from a sender, who claims to be Alice, actually is coming from Alice?
  - ✓ Dealing with authenticity of the sender
  - ✓ Usually includes message integrity



# Integrity: Problems II

## c) Non-repudiation of the sender:

- How can Bob validate that a message sent from Alice actually has been sent by Alice, even if Alice claims not having sent the message?

## d) Non-repudiation of the receiver:

- How can Bob validate that a message sent to Alice actually has arrived at Alice even if Alice claims not having received the message?

## e) Impersonation of the identity of the sender / the receiver

- How can Bob check if Alice, Clare, or other users are sending messages as if they were signed by Bob?

# How do we achieve that?

- The following slides show how to achieve the previous objectives taking into account both the techniques already studied and those introduced in this chapter



# Sender Authentication

- **Two basic methods:**
  - ❖ Symmetric cryptography using shared secret:
    - ✓ Explicit exchange (seen)
    - ✓ Challenge/Response (seen)
    - ✓ Symmetric encoding with CRC (seen)
    - ✓ **Use of hash functions with a secret key (this chapter)**
  - ❖ Asymmetric cryptography using digital signatures
    - ✓ This chapter

# Message Integrity

- **Two basic alternatives:**
  - ❖ Symmetric encoding with CRC
    - ✓ Symmetric key does not need to be a shared secret
    - ✓ If the message has redundancy or the padding helps to detect modifications, the CRC would not be needed
  - ❖ MDCs (modification detector code) using a key or coded (this chapter)
- **Other alternatives may involve the use of secure channels**
  - ❖ A not very common case

# Non-repudiation and Impersonation

- **Non-repudiation:**
  - ❖ Digital signatures (this chapter)
- **Impersonation:**
  - ❖ Digital certificates (this chapter)



# Hash Functions



# Hash Functions

- **Hash functions (also known as message digest):**
  - ❖ Input: A message with an arbitrary length
  - ❖ Output: A fingerprint / marker / digest / hash value / ...
    - ✓ Having a fixed length ( $n$  bits)
- **Calculating a hash function can be done:**
  - ❖ From the message alone: MDC
  - ❖ Using the message and an additional key: MAC
- **Two principal applications in cryptography:**
  - ❖ Assure **integrity** for a message
  - ❖ Provide **authentication and integrity** for a message



# Hash functions v.s. CRC

- Hashes are just a fingerprint of fixed length, so isn't this the same as a CRC?
- The answer is NO:
  - ❖ CRC was designed to countermeasure noise:
    - ✓ A CRC allows the extraction of information of the original message
    - ✓ It is easy to obtain several messages with the same CRC
  - ❖ Hash functions are designed as protection against **malicious** attackers

# Desired Properties of Hash Functions

- **Unidirectional (Pre-image resistance):**
  - ❖ Computationally infeasible to find a message which results in a pre-specified hash value
- **Compression**
  - ❖ A message of any length must have a digest of fixed length.
- **Easy computation**
- **Diffusion**
  - ❖ The digest must be a complex function of all the bits of the message. If only one bit is modified, the digest should flip almost half of its bits





# Desired Properties of Hash Functions

- **Simple collision ( $2^{\text{nd}}$  pre-image resistance):**
  - ❖ Computationally infeasible to find one message which results in the same hash value as a pre-specified message
- **Strong collision resistance:**
  - ❖ Computationally infeasible to find any two messages which result in the same hash value
  - ❖ Note: Requires less operations for brute-force than the former two properties
- **Remember birthday paradox:**
  - ❖ You do not need to search within a  $2^m$  space of messages, searching within a  $2^{m/2}$  will suffice.
    - ✓ Algorithmic complexity drastically reduced.



# Review of the birthday paradox

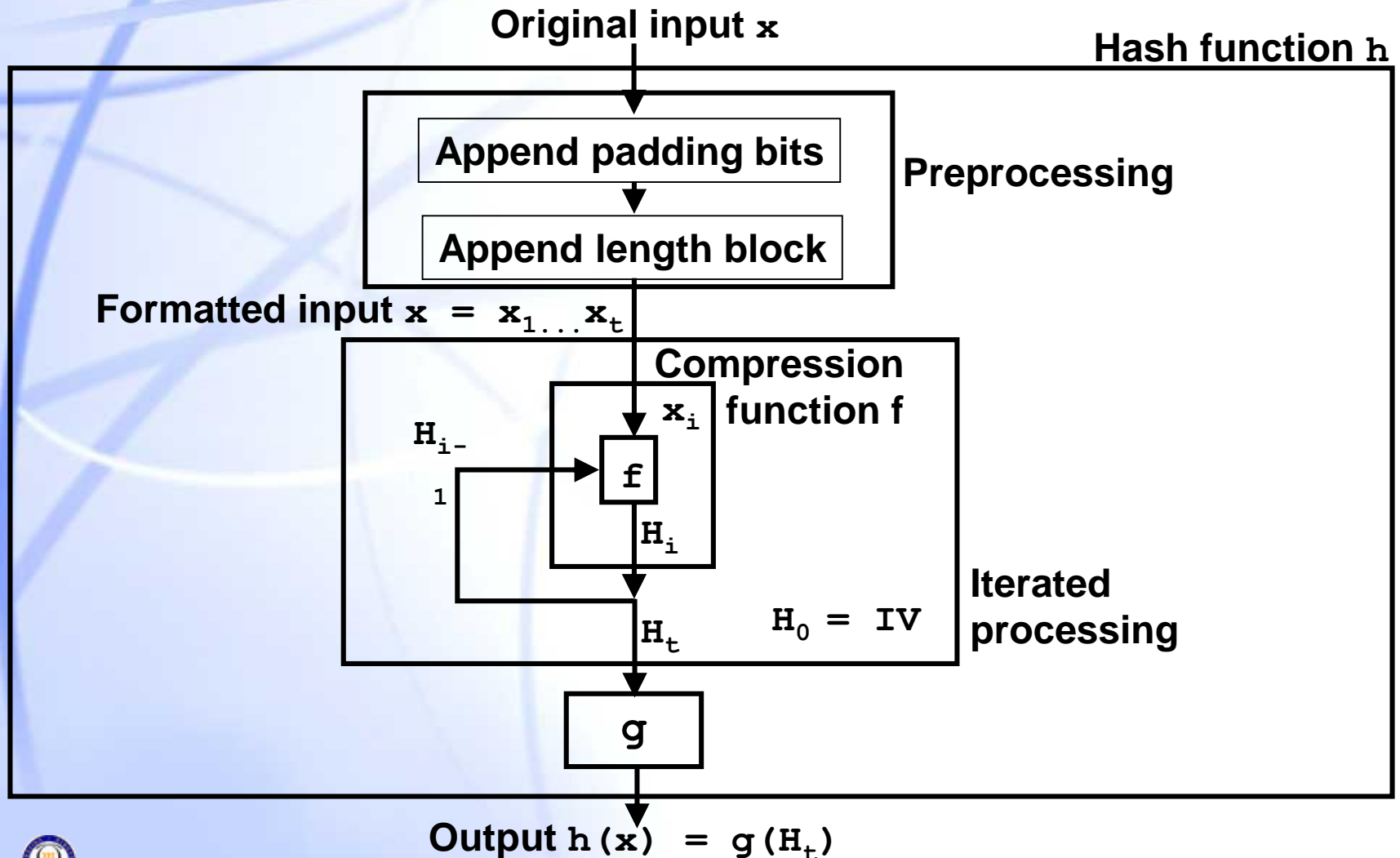
- Determine how many people is needed in a room so that at least two of them have the same birthday with probability greater than 0,5.
- Actually, this is not a paradox but it seems so because the number of people needed is 23 only ( $366^{1/2} = 19$ )
- Explanation: if each person enters the room and deletes from the blackboard his/her birthday, this first will have a probability that his/her birthday is not already deleted of  $n/n = 1$ , the second of  $(n-1)/n$ , etc. The probability of non-coincidence is  $p_{NC} = n!/(n-k)!n^k$ . If  $k = 23$ , we have that  $p_{NC} = 0,493$  and the probability of coincidence is  $p_C = 0,507$ .



# Types of hash functions

- **MDC (manipulation detection codes) or MIC (message integrity codes) → do not use keys**
  - ❖ Unidirectionals or One-Way Hash Functions (OWHFs)
  - ❖ Collision resistant (strong) o Collision Resistant Hash Functions (CRHFs)
- **MAC (message authentication codes) → use keys**
  - ❖ The implicit key provides integrity, and if the key is a shared secret, it also provides authentication.

# Generic Model for Iterated Hash Functions



# Merkle's Meta-Method for Hashing

- **INPUT: compression function  $f$  which is collision resistant**
- **OUTPUT: unkeyed hash function  $h$  which is collision resistant**
  - ❖ Suppose that  $f$  maps  $(n+r)$  bits to  $n$ -bit outputs
  - ❖ Break an input  $x$  into  $t$  blocks  $x_i$  ( $i=1, 2, \dots, t$ )
    - ❖ All having the block length  $r$
    - ❖ Pad the last block with zeros if necessary
  - ❖ Add an additional block with the message length
  - ❖ Letting  $H_0 = '0'^n$  (i.e.  $n$  zeros), compute iteratively the hash from:
    - ❖  $H_i = f(H_{i-1} || x_i)$  and append the length block



# Unkeyed Hash Functions: MDCs Based on Block Ciphers

- Most famous examples:

Hash function	n	m	Preimage	Collision	Comments
Matyas-Meyer-Oseas	n	n	$2^n$	$2^{n/2}$	For key length=n
MDC-2 (with DES)	64	128	$2 * 2^{82}$	$2 * 2^{54}$	Rate = 0.5
MDC-4 (with DES)	64	128	$2^{109}$	$4 * 2^{54}$	Rate = 0.25
MD4	512	128	$2^{128}$	$2^{20}$	
MD5	512	128	$2^{128}$	Also broken	
RIPEMD-128	512	128	$2^{128}$	?	
SHA-1, RIPEMD-160	512	160	$2^{160}$	$2^{63}$	

# MDCs Based on Block Ciphers

- **Motivation:**

- ❖ Efficient block ciphers already wide-spread
- Construct a hash function from a block cipher

- **But:**

- ❖ Block ciphers do not possess the properties of random functions which would be ideal to build hash functions
  - ✓ E.g., they are invertible...

- **Define the rate of an MDC as the inverse of the number of block cipher iterations it uses**





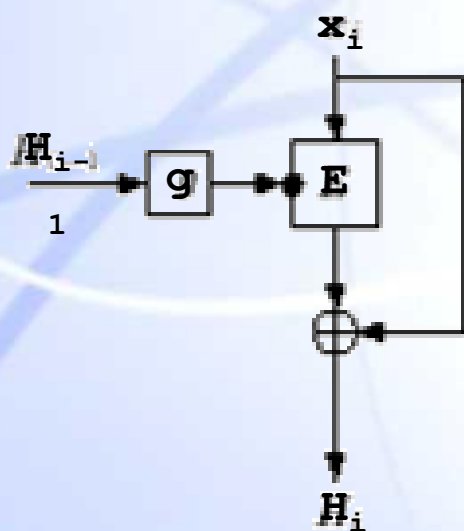
# MDCs Based on Block Ciphers: Example Rates

- $n$ :  $n$ -bit block ciphers
- $k$ : size of the block cipher key (in bits)
- $m$ : size of the hash value (in bits)

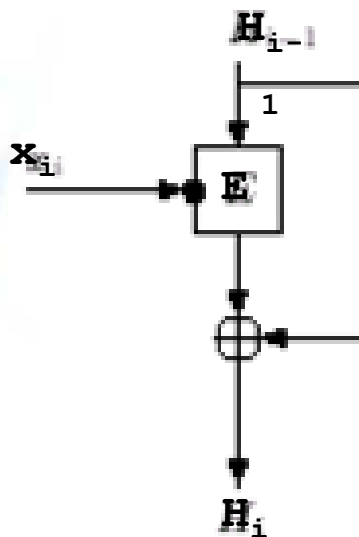
<i>Hash function</i>	<i><math>(n, k, m)</math></i>	<i>Rate</i>
Matyas-Meyer-Oseas	$(n, k, n)$	1
Davies-Meyer	$(n, k, n)$	$k/n$
Miyaguchi-Preneel	$(n, k, n)$	1
MDC-2 (with DES)	$(64, 56, 128)$	$\frac{1}{2}$
MDC-4 (with DES)	$(64, 56, 128)$	$\frac{1}{4}$

# MDCs of Rate 1

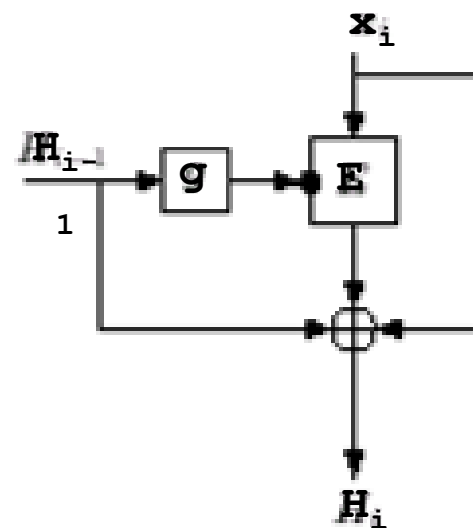
- Based on one iteration of a block cipher
- The main ones are:



Matyas-Meyer-Oseas



Davies-Meyer



Miyaguchi-Preneel

# Example: Matyas-Meyer-Oseas Hash

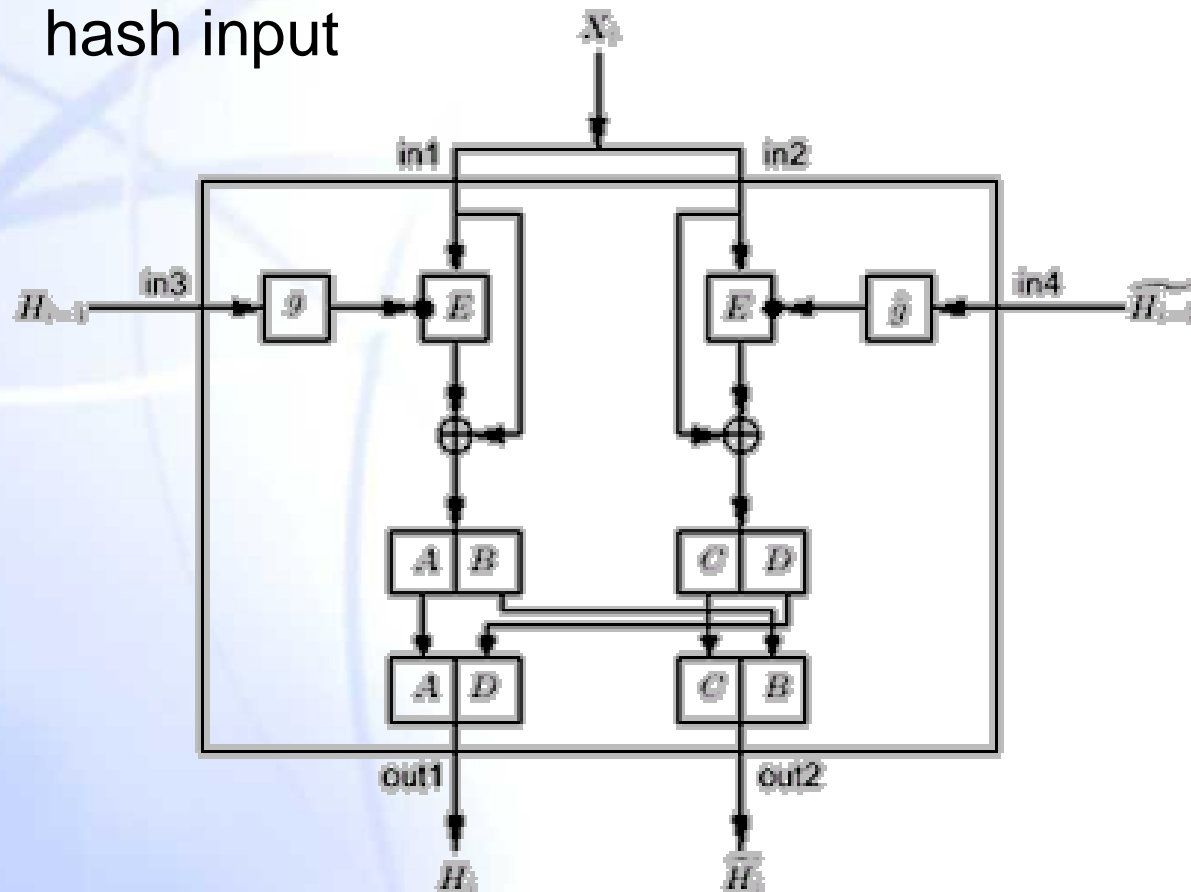
- **INPUT:** bit string  $x$
- **OUTPUT:**  $n$ -bit hash-code of  $x$
- **Algorithm:**
  - Divide input  $x$  into  $n$ -bit blocks (pad if necessary)
    - ❖  $\mathbf{x}_i$  ( $i=1, 2, \dots, t$ )
    - ❖ Pre-specify an  $n$ -bit initialization vector  $IV$
  - Define a function  $g$  that can generate a valid key from  $H_i$ .
  - The output is  $H_i = E_{g(H_{i-1})}(\mathbf{x}_i) \oplus \mathbf{x}_i$ ,  $1 \leq i \leq t$ 
    - ❖ With:  $H_0 = IV$



# MDCs of Rate 0.5: MDC-2

- **MDC-2 algorithm overview:**

- ❖ Uses two block cipher operations per block of hash input

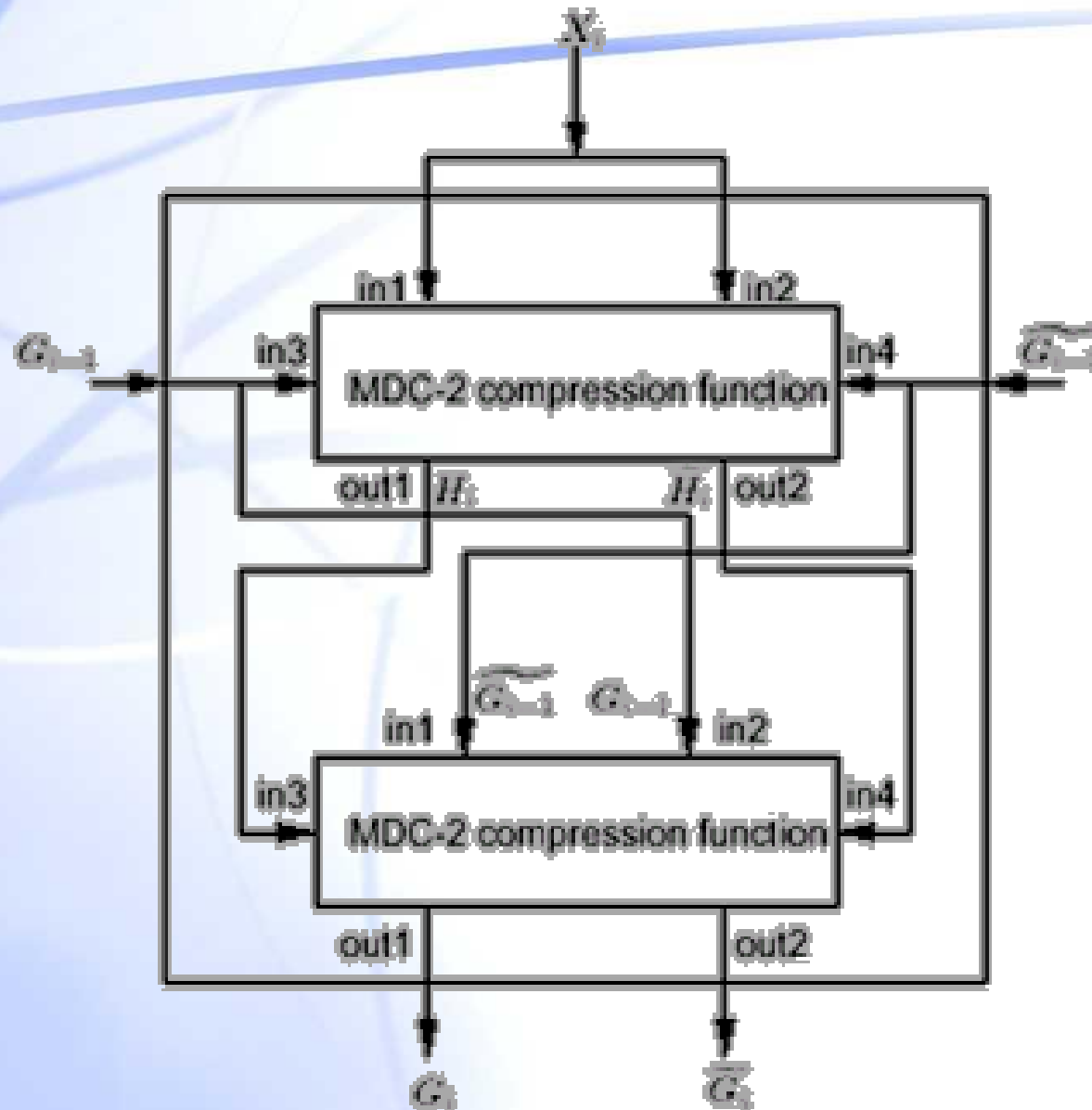


# More Details on MDC-2

## Components

- DES as underlying block cipher
- Two functions to map 64 bit to 56 bits:
  - ❖  $g(U) = u_1 \ 1 \ 0 \ u_4 \ u_5 \ u_6 \ u_7 \ u_9 \ u_{10} \ \dots \ u_{56}$
  - ❖  $g(U) = u_1 \ 0 \ 1 \ u_4 \ u_5 \ u_6 \ u_7 \ u_9 \ u_{10} \ \dots \ u_{56}$
  - **This is used as key input for DES**
    - These are guaranteed not to be weak keys
- Definition of the initialization vector:
  - ❖  $IV = 0x5252525252525252$
  - ❖  $IV = 0x2525252525252525$
  - ❖  $H_0 = IV ; H_0 = IV$

# MDC of Rate 0.25: MDC-4



# Customized MDC Hash Functions

- **Some of the most important ones:**
  - ❖ MD4 (message digest 4)
  - ❖ MD5 (message digest 5)
  - ❖ SHA-1 (Secure hash algorithm 1)
- **MD-5 is an improvement over MD-4**
- **SHA-1 is another improvement**
  - ❖ Predecessor SHA-0, published by NIST in 1993, rendered obsolete by vulnerabilities
  - ❖ Successor: SHA-224, SHA-256, SHA-384, y SHA-512
- **Other functions of this type:**
  - ❖ RIPEMD
  - ❖ RIPEMD-128
  - ❖ RIPEMD-160



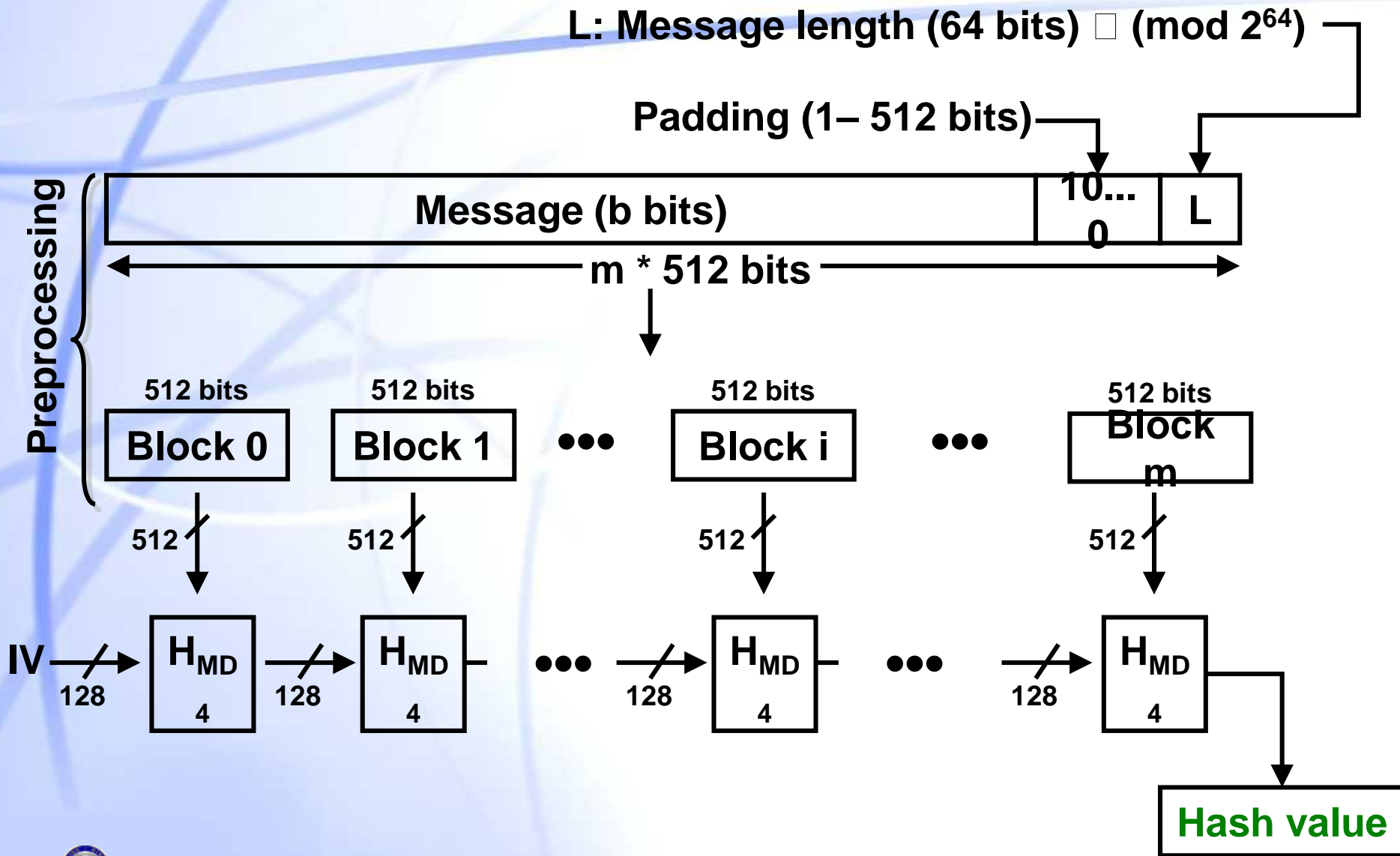


# MD4: Message Digest Number 4

- **128-bit hash function**
- **Original goals: Make it difficult to**
  1. Find two messages with the same hash-value:
    - ✓  $2^{64}$  operations (collision resistance)
  2. Find a message for a pre-specified hash:
    - ✓  $2^{128}$  operations ( $2^{\text{nd}}$  pre-image resistance)
- **Problem:**
  - ❖ Goal 1 was missed:
    - ✓  $2^{20}$  operations necessary only
- **MD4 no longer recommended!**

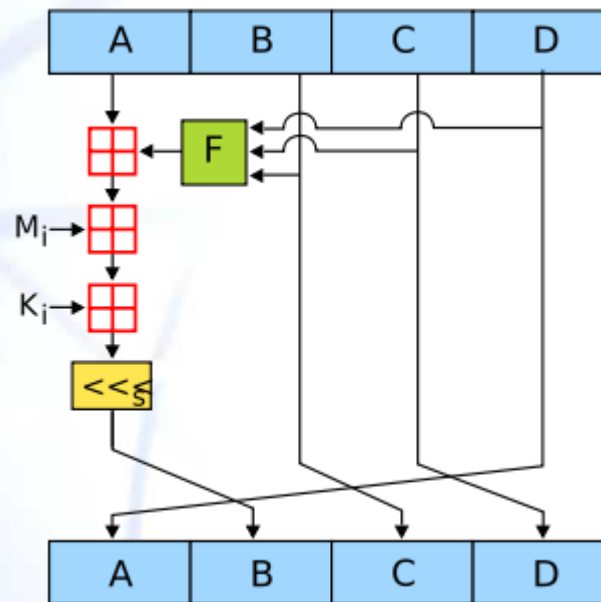


# MD4: Operation Overview



# MD4 structure

$H_{MD4} \rightarrow$  repeat 48 times ( $16 \cdot 3$ )



# Security considerations

- **SHA-1 was compromised in 2005.**
  - ❖ MD5 in 2004
- **Xiaoyun Wang, Yiqun Lisa Yin & Hongbo Yu (the same which broke MD-5 the year before) broke SHA-1 with at least  $2^{69}$  operations, (by brute force  $2^{80}$  operations).**
- **Last attacks on SHA-1 have reduced the number of operations to  $2^{63}$ .**
- **Although  $2^{63}$  is a large number of operations, it is within the limit of current computation capabilities.**



# Hash Functions Based on Modular Arithmetic

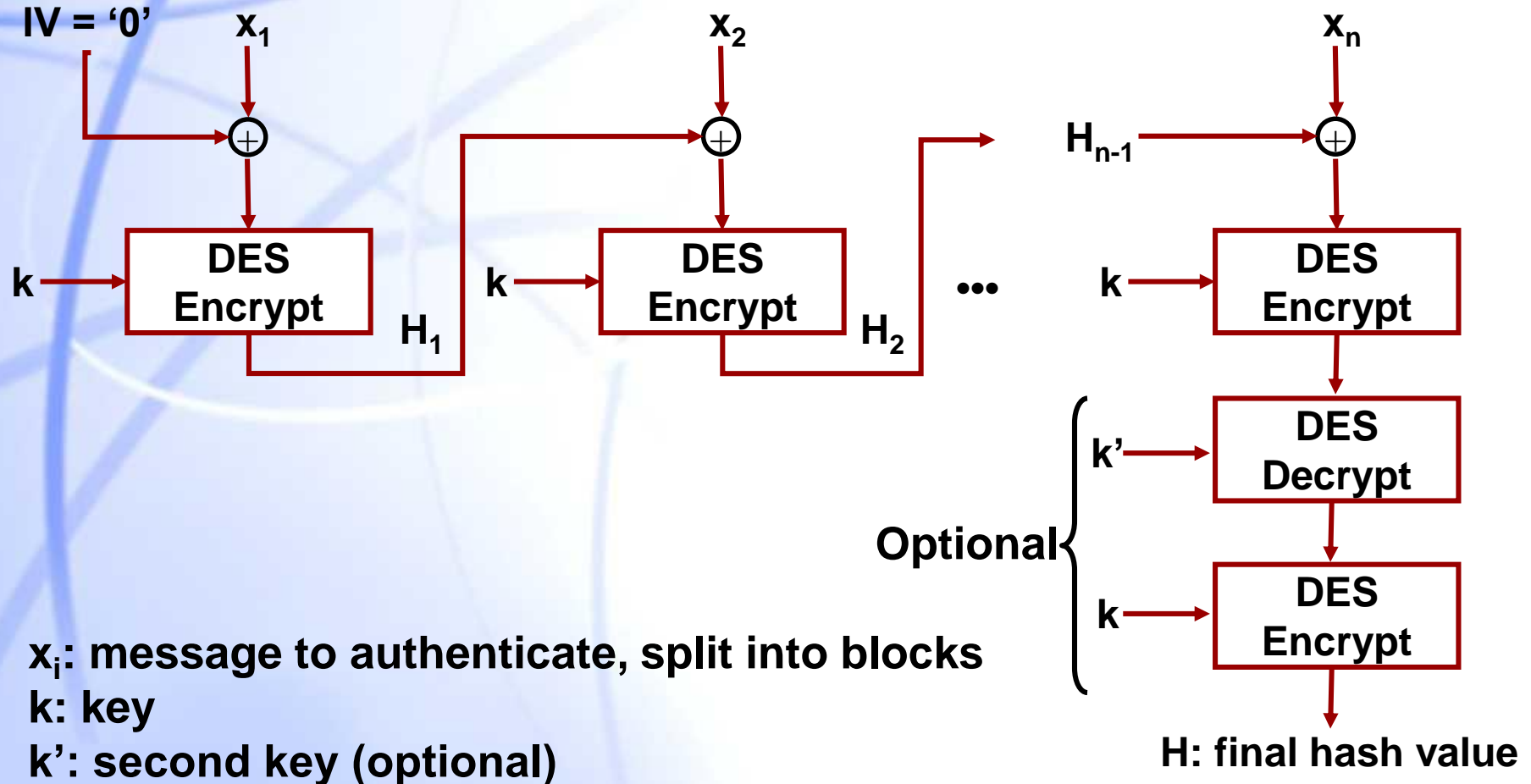
- Use arithmetic modulo  $m$  as principle for the compression function
- May re-use existing software based on public-key technology (e.g., RSA)
- Two significant disadvantages:
  - ❖ Processing speed
  - ❖ Guarantee of security
- One example: MASH

# Message Authentication Codes (MAC)

- Hash function using a key  $k$  to provide authentication
- Can be computed:
  - ❖ Based on block ciphers
  - ❖ Starting from MDCs and adding a key to the input message

# MACs Based on Block Ciphers

- Example: CBC-MAC algorithm:





# Constructing MACs from MDCs I

- **Example: Keyed-Hashing for Message Authentication Codes (HMAC)**
- **H. Krawczyk, M. Bellare, R. Canetti, RFC 2104, 1997.**
- **Define:**
  - ❖ **H**: Generic hash function (MD5, SHA-1...)
  - ❖ **K**: Secret key shared between two peers
  - ❖ **B**: Block length for the input of **H** (in bytes)
  - ❖ **L**: Length of the output of **H** (in bytes)
    - ✓ MD5: **L** = **16** (128 bit); SHA-1: **L** = **20** (160 bit)
  - ❖ **K<sub>L</sub>**: Length of the key **K**
- **Recommendation:  $K_L$  should be at least **L****
- **If  $K_L > B$ : obtain a new  $K' = H(K)$**



# Constructing MACs from MDCs II

- Procedure to obtain the hash value of **M**:
  - ❖ Pad **K** or **K'** with '0's to create a string **K''** with **B** bytes
  - ❖ Define:
    - ✓ **ipad** = the byte 0x36 repeated **B** times
    - ✓ **opad** = the byte 0x5C repeated **B** times
  - ❖ To compute the hash value **S** for message **M**:
    - ✓  $S = H(K'' \text{ XOR opad} || H(K'' \text{ XOR ipad} || M))$
- Example:
  - ❖  $H = \text{MD5}$  to obtain a keyed variant of MD5:
    - ✓ Used in IPsec





# Digital Signatures

# What are we going to study?

- **Digital signature requirements**
- **Properties**
- **Methods**
  - ❖ RSA
  - ❖ ElGamal
  - ❖ DSS



# What do we want?

- Obtain the following security services:
  - ❖ Integrity
  - ❖ Authentication
  - ❖ Non-repudiation
- How?
  - ❖ By means of digital signatures

# Characteristics of a Digital Signature

- **Requirements:**

- ❖ Should be easy to generate
- ❖ Should be irrevocable, not to be rejected by its owner
- ❖ Should be unique (only the owner can generate it)
- ❖ Should be easy to authenticate or recognize by its owner and the receivers
- ❖ Must depend on the message and the author

***Much stronger requirements than for a hand-written signature!***

# Digital signature

- **We need to leave a print that only the sender could leave in a message**
  - ❖ Use of the private key
  - ❖ On the whole message?
    - ✓ Only on the digest (speed)
- **Review 3 systems:**
  - ❖ RSA
  - ❖ ElGamal
  - ❖ DSS



# Digital Signatures using RSA

Public key ( $n_A$ ,  $e_A$ )

Private key ( $d_A$ )



Alice

Algorithm:

Signature:  $s_A[H(M)] = H(M)^{d_A} \bmod n_A$

**A** sends a message  $M$  (plaintext or ciphertext) to destination **B** together with the signature:  $\{M, s_A[H(M)]\}$



Bob

**B** has the public key ( $n_A$ ,  $e_A$ ) of **A** and decrypts  $s_A[H(M)] \Rightarrow \{H(M)^{d_A}\}^{e_A} \bmod n_A$  to get  $H(M)$ .  
On reception of message  $M'$ , **B** computes the hash function  $H(M')$  and compares:

If  $H(M') = H(M)$  the signature is correct.



# Digital Signatures using RSA: Example



Bob

Hi! I will send you a document. Regards, Bob.



Alice

Assume:  $H(M) = \text{F3A9}$  (16 bits)

**Bob's keys:**

$$n_B = 65.669$$

$$e_B = 35, d_B = 53.771$$

$$2^{16} \cdot 65.669 \cdot 2^{17}$$

Then, sign the 16-bit  
block hash using a 17-  
bit signature

**Alice keys**

$$n_A = 66.331$$

$$e_A = 25, d_A = 18.377$$

The prime numbers (p,q)  
chosen by Bob are (97, 677)

Signature

:

$$H(M) = \text{F3A9}_{16} = 62.377_{10}$$

$$s_{H(M)} = H(M)^{d_B} \bmod n_B$$

$$s_{H(M)} = 62.377^{53.771} \bmod 65.669 = 24.622$$

Bob sends the pair  $(M, s) = (M, 24.622)$



# Digital Signatures using RSA: Validation of the Signature



Bob

## Bob's keys:

$$n_B = 65.669$$
$$e_B = 35, d_B = 53.771$$

## Alice's keys

$$n_A = 66.331$$
$$e_A = 25, d_A = 18.377$$



Alice

From the previous slide:  $H(M) = F3A9_{16} = 62.377_{10}$

$$s_{H(M)} = H(M)^{d_B} \bmod n_B \quad s_{H(M)} = 24.622$$

Bob has sent the pair  $(M, r) = (M, 24.622)$

Alice receives a message  $M'$  together with a signature  $s = 24.622$ :

- Compute  $s^{e_B} \bmod n_B = 24.622^{35} \bmod 65.669 = 62.377$
- Compute the hash value of  $M'$ , i.e.  $H(M')$ , and compare with  $H(M)$ .
- If the messages  $M$  and  $M'$  are the same, then  $H(M) = H(M')$  and the signature is accepted as valid
- **NOTE:** However,  $H(M) = H(M')$  does not imply that  $M = M'$  !

# Possible vulnerability of RSA signature

- Working in a multiplicative body, the following scenario is possible:
- If the signature of two messages  $M_1$  and  $M_2$  is known, a third message can be signed  $M_3$  which is a product of the two previous messages, without the need for knowing the private key of the signer.
- Let the signer keys be  $e$ ,  $d$  &  $n = p \cdot q$ . Therefore:
- $r_{M_1} = M_1^d \bmod n$  &  $r_{M_2} = M_2^d \bmod n$  If now  $M_3 = M_1 M_2$ :
- $r_{M_3} = (M_1 M_2)^d \bmod n = M_1^d M_2^d \bmod n = r_{M_1} r_{M_2} \bmod n$
- In practice, this is not a problem, why?
  - ❖ Before signing, a hash function is applied

# Digital Signatures using ElGamal A to B



Alice

**ElGamal**: User **A** generates a random number  $x_a$  (the private key) in a field with modulus  $p$ . The public key is  $y_a = g^{x_a} \bmod p$ , with the generator  $g$

## Digital signature algorithm

Signature:  $(r, s)$

1. **A** generates a random number  $h$ , which should be coprime to  $\phi(p)$ , i.e.:  $h \mid \gcd\{h, \phi(p)\} = 1$
2. Compute:  $h^{-1} = \text{inv}\{h, \phi(p)\}$
3. Compute  $r = g^h \bmod p$
4. Solve the following congruence to get  $s$ :

$$\begin{aligned} H(M) &= x_a * r + h * s \bmod \phi(p) && \Leftrightarrow \\ s &= (H(M) - x_a * r) * \text{inv}[h, \phi(p)] \bmod \phi(p) \end{aligned}$$

# Digital Signatures using ElGamal: Validation by B



Bob

## Algorithm for verifying the signature, B:

1. receives the pair  $(r, s)$  and computes:
  - $r^s \bmod p$  and  $y^r \bmod p$
2. Computes  $k = [y^r * r^s] \bmod p$ 
  - Since  $r = g^h \bmod p$  and  $y = g^{xa} \bmod p$ :
3.  $k = [(g^{xar} \cdot g^{hs}) \bmod p = g^{(xar + hs)} \bmod p$   
 $= g^\beta \bmod p$
4. As  $H(M) = (x_a * r + h * s) \bmod \phi(p)$  and  $g$  is a simple root of  $p$ , it is true that:  
 $g^\beta = g^\gamma \text{ iff } \beta = \gamma \bmod (p-1)$
5. Verify that  $k = g^{H(M)} \bmod p$

Knows:

$p$  and  $y = (g^{xa}) \bmod p$

Accepts the signature

If:  $k = [(g^{xa})^r * r^s] \bmod p$   
is the same as  $g^{H(M)} \bmod p$   
...





# Digital Signatures using ElGamal: Example



Hi again! I'm Bob ... See you.



Alice

Let  $H(M) = A69B$  (16 bits)

## Bob's keys

$$p = 79.903 \quad g = 10$$

$$x_b = 20, \quad g^{x_b} \bmod p = 3.631$$

$$h = 31$$

$2^{16} \cdot 79.903 \cdot 2^{17}$   
therefore, sign a 16 bit block  
with a 17 bit signature

Signature:

1.  $h^{-1} = \text{inv}(h, \phi(p)) = \text{inv}(31, 79.902) = 5.155$
2.  $r = g^h \bmod p = 10^{31} \bmod 79.903 = 11.755$
3.  $s = [H(M) - x_b \cdot r] \cdot [\text{inv}(h, \phi(p))] \bmod \phi(p)$ 
  - $H(M) = A69B_{16} = 42.651_{10}$
4.  $s = [42.651 - 20 \cdot 11.755] \cdot 5.155 \bmod 79.902$   
 $= 68.539$

**Thus, the signature is:**  $(r, s) = (11.755, 68.539)$





# Digital Signatures using ElGamal: Example Validation



Bob

## Bob's keys

$$\begin{aligned}p &= 79.903 & g &= 10 \\x_b &= 20, & g^{x_b} \bmod p &= \\&& 3.631 \\h &= 31\end{aligned}$$



Alice

$$H(M) = A69B = 42.651$$

Alice receives the pair  $(r, s) = (11.755, 68.539)$

## Validation of the signature:

- $r^s \bmod p = 11.755^{68.539} \bmod 79.903 = 66.404$
- $(g^{x_b})^r \bmod p = 3.631^{11.755} \bmod 79.903 = 12.023$
- $(g^{x_b})^r * r^s \bmod p = (12.023 * 66.404) \bmod 79.903 =$   
**64.419** =  $k$
- $g^{H(M)} \bmod p = 10^{42.651} \bmod 79.903 =$  **64.419**

**If equal, accept the signature**



# The Generator $g$ in the ElGamal Digital Signature



Bob

## Bob's keys

$$\begin{aligned} p &= 79.903 & g &= 10 \\ x_b &= 20, & g^{x_b} \bmod p &= 3.631 \\ h &= 31 \end{aligned}$$

$g = 10$  is a generator of the field  $p = 79.903$  because:

$$p-1 = 79.902 = 2 \cdot 3^2 \cdot 23 \cdot 193$$

$$q_1 = 2; q_2 = 3; q_3 = 23; q_4 = 193$$

$$\text{And if: } 10^{(p-1)/q_i} \bmod p \neq 1$$

$$\begin{aligned} 10^{39.951} \bmod 79.903 &= 79.902 \\ 10^{26.634} \bmod 79.903 &= 71.324 \\ 10^{3.474} \bmod 79.903 &= 2.631 \\ 10^{414} \bmod 79.903 &= 41.829 \end{aligned}$$

For example, if  $g = 11$ , you get the value 1 for the exponent 39.951 and  $g$  cannot be used for a signature. It will be impossible to validate using the equation  $k = g^M \bmod p$ .



# Digital Signature Standard DSS

- 1991: National Institute of Standards and Technology (NIST) proposes DSA, Digital Signature Algorithm, a variant of the algorithms by ElGamal y Schnoor.
- 1994: DSA is established as standard known as DSS, Digital Signature Standard.
- 1996: The government of the USA allows to export Clipper 3.11, in which DSS is built in using a hash function of the type SHS, Secure Hash Standard.
- **Major disadvantage of ElGamal:**
  - ❖ Duplication of the message size to send in the pair  $(r, s)$  in  $\mathbb{Z}_p$  and  $\varphi(p)$
- However, the ElGamal scheme has been chosen for DSS



# Digital Signature Standard DSS

- **Public parameters of the signature:**

- ❖ A large prime number  $p$  (512 - 1024 bits)
- ❖ A prime number  $q$  (160 bits) divisor of  $p-1$
- ❖ A generator  $g$  “of the order  $q \bmod p$ ”
  - ✓ Generator of the order  $q$  is the root  $g$  modulus  $p$  such that  $q$  is the smallest integer that fulfils:
    - $q$  being much smaller than  $p$
    - Condition:  $g^q \bmod p = 1$
    - So that:
      - For all  $t$ :  $g^t = g^{t \bmod q} \bmod p$

# DSS Signature: Example $A \rightarrow B$

## Generation of the signature at **A**

- Public keys at **A**: prime number  $p$ ,  $q$  and the generator  $g$
- Secret key of the signature:  $x_a$  ( $1 < x_a < q$ ) random
- Public key of the signature:  $y = g^{x_a} \bmod p$ 
  - Difficult to compute  $x_a$  from  $y$  !
- To sign a message  $1 < H(M) < q$ , the signer chooses a random number  $h$ ,  $1 < h < q$  and computes:
  - $r = (g^h \bmod p) \bmod q$
  - $s = [(H(M) + x_a * r) * \text{inv}(h, q)] \bmod q$
- The digital signature for  $M$  is the pair  $(r, s)$

# Verification of the Signature at B

## Verification of A's signature at B

- B receives a pair  $(r, s)$
- Then, B computes
  - $w = \text{inv}(s, q)$
  - $u = H(M) * w \bmod q$
  - $v = r * w \bmod q$
- Verification of the following equation:
  - $r = (g^u y^v \bmod p) \bmod q$
- If yes, the signature is accepted

The size of the signature is smaller than  $p$ , i.e. less bits than the modulo of the signature, since  $q$  was chosen by design to be smaller than  $p$

# DSS Signature: Example B $\rightarrow$ A



Hi Alice, I'm Bob and I sign with DSS.



Let  $H(M) = 1101000 = 104$

**Bob**

## Bob's keys

$$\begin{aligned} p &= 223 \quad q = 37 \quad g = 17, \\ x_b &= 25, \quad y = g^{x_b} \bmod p = 30 \\ h &= 12 \end{aligned}$$

**Alice**

$2^8 \cdot p = 223 \cdot 2^7$   
Thus: sign 7-bit block  
with 8 bit signature

Signing:

1.  $\text{inv}(h, q) = \text{inv}(12, 37) = 34$
2.  $r = (g^h \bmod p) \bmod q = (17^{12} \bmod 223) \bmod 37 = 171 \bmod 37 = 23$
3.  $s = [H(M) + x_b \cdot r] \cdot [\text{inv}(h, q)] \bmod q = [104 + 25 \cdot 23] \cdot 34 \bmod 37 = 35$
4. The digital signature of  $H(M) = 104$  is:  $(r, s) = (23, 35)$
5. Bob transmits to Alice:  $(M, r, s) = (M, 23, 35)$





# Verification of the Signature at A



Bob

## Bob's keys

$$\begin{aligned} p &= 223 \quad q = 37 \quad g = 17, \\ x_b &= 25, \quad y = g^{x_b} \bmod p = \\ &30 \\ h &= 12 \end{aligned}$$



Alice

Alice receives:

$$(M, r, s) = (M, 23, 35)$$

equal?

Accepting the signature

## Verification of the signature:

1.  $w = \text{inv}(s, q) = \text{inv}(35, 37) = 18$
2.  $u = M \cdot w \bmod q = 104 \cdot 18 \bmod 37 = 22$
3.  $v = r \cdot w \bmod q = 23 \cdot 18 \bmod 37 = 7$
4.  $(g^u y^v \bmod p) \bmod q = r ?$
5.  $[(17^{22} 30^7) \bmod 223] \bmod 37 = 23$

And the size is  
smaller:  $q = 37 \ll p = 223$  which was a weak  
point of ElGamal



# Key selection in DSS

- Choose a prime  $q$  of 160 bits.
- Choose a prime  $p$  of length  $L$  bits, such as  $p=qz+1$  for an integer  $z$ ,  $512 \leq L \leq 1024$ , and  $L$  is divisible by 64.
  - ❖ The modification “FIPS-186-2, change notice 1” specifies that  $L$  must be only 1024.
- Choose  $h$ , where  $1 < h < p - 1$  such as  $g = h^z \bmod p > 1$ . (remember that  $z = (p-1) / q$ .)
- Choose  $x$  randomly, where  $0 < x < q$ .
- Compute  $y = g^x \bmod p$ .
- The public key will be  $(p, q, g, y)$ . The private will be  $x$ .
- Note that  $(p, q, g)$  can be shared among several users.





# Certificates

**My identity validated by a third party**

# Our objective

- **To be guaranteed the identity of somebody without needing to have knowledge about him/her in advance**
- **In the physical world?**
  - ❖ ID card, passport
  - ❖ That is: a document which contains identity data, "signed" by someone I trust.
- **In the digital world?**
  - ❖ Digital certificates.

# Our search

- **How can we be sure that a specific public key belongs to a user?**
  - ❖ If we are certain that a public key belongs to somebody we trust (Certificate Authority, CA)
  - ❖ And the CA signs also other associations between identity-public key → that is a certificate (of identity)

# Certificates of identity

- **A certificate consists of:**
  - ❖ A public key
  - ❖ An identifier of the user,
  - ❖ → Signed digitally by a certification authority (CA)
- **Objective:**
  - ❖ Show that a public key belongs to a certain user
  - ❖ Sender and receiver trust the certificate, so the sender is authenticated from the point of view of the receiver (if the certificate is digitally signed by the CA and the sender proves that it has the private key)
- **Format of certificates:**
  - ❖ X.509 (Recommendation X.509 of the CCITT: "The Directory - Authentication Framework". 1988)
- **Well-known and currently widely extended**
  - ❖ The format X.509 was adopted by PKIX group of IETF and adopted by TCP/IP



# Versions

- **ITU-T X.509 standard:**
  - ❖ v1 (1988)
  - ❖ v2 (1993) = minor changes
  - ❖ v3 (1996) = v2 + extensions+ attribute certificates v1
  - ❖ v3 (2001) = v3 + attribute certificates v2



# Fields of a X.509 certificate

<b>V1</b>	<b>certificate</b>	<b>V2 certificate</b>	<b>V3 certificate</b>
	version	version	version
	serialNumber	serialNumber	serialNumber
	signature	signature	signature
	issuer	issuer	issuer
	validity	validity	validity
	subject	subject	subject
	subjectPublicKeyInfo	subjectPublicKeyInfo	subjectPublicKeyInfo
		<i>issuerUniqueIdentifier</i>	<i>issuerUniqueIdentifier</i>
		<i>subjectUniqueIdentifier</i>	<i>subjectUniqueIdentifier</i>
			<i>extensions</i>

# Data format

- To define a data structure which could be able to travel by a network we need to use a data format definition language (XDR, IDL...).
- **X.509 makes use of ASN.1**
  - ❖ ASN.1 allows the definition of data structures
  - ❖ Define coding rules to map those data structures to bits in order to be transmitted from one system to another.

# X.509v3 certificates in ASN.1

```
Certificate ::= SEQUENCE {
    tbsCertificate      TBSCertificate,
    signatureAlgorithm  AlgorithmIdentifier,
    signature            BIT STRING }

TBSCertificate ::= SEQUENCE {
    version              [0] Version DEFAULT v1,
    serialNumber          CertificateSerialNumber,
    signature             AlgorithmIdentifier,
    issuer                Name,
    validity              Validity,
    subject               Name,
    subjectPublicKeyInfo  SubjectPublicKeyInfo,
    issuerUniqueID        [1] IMPLICIT UniqueIdentifier OPTIONAL,
                        -- If present, version must be v2 or v3
    subjectUniqueID       [2] IMPLICIT UniqueIdentifier OPTIONAL,
                        -- If present, version must be v2 or v3
    extensions            [3] Extensions OPTIONAL
                        -- If present, version must be v3
}
```



# X.509v3 certificates in ASN.1

```
Version ::= INTEGER { v1(0), v2(1), v3(2) }
```

```
CertificateSerialNumber ::= INTEGER
```

```
Validity ::= SEQUENCE {  
    notBefore      UTCTime,  
    notAfter       UTCTime }
```

```
UniqueIdentifier ::= BIT STRING
```

```
SubjectPublicKeyInfo ::= SEQUENCE {  
    algorithm      AlgorithmIdentifier,  
    subjectPublicKey BIT STRING }
```

```
Extensions ::= SEQUENCE OF Extension
```

```
Extension ::= SEQUENCE {  
    extnID      OBJECT IDENTIFIER,  
    critical    BOOLEAN DEFAULT FALSE,  
    extnValue   OCTET STRING }
```



# Certificate example X.509

## Certificate:

### Data:

Version: 1 (0x0)

Serial Number: 7829 (0x1e95)

Signature Algorithm: md5WithRSAEncryption

Issuer: C=ZA, ST=Western Cape, L=Cape Town, O=Thawte Consulting cc,  
OU=Certification Services Division,  
CN=Thawte Server CA/Email=server-certs@thawte.com

### Validity

Not Before: Jul 9 16:04:02 1998 GMT

Not After : Jul 9 16:04:02 1999 GMT

Subject: C=US, ST=Maryland, L=Pasadena, O=Brent Baccala,  
OU=FreeSoft, CN=www.freesoft.org/Email=baccala@freesoft.org

### Subject Public Key Info:

Public Key Algorithm: rsaEncryption

RSA Public Key: (1024 bit)

Modulus (1024 bit):

00:b4:31:98:0a:c4:bc:62:c1:88:aa:dc:b0:c8:bb:  
33:35:19:d5:0c:64:b9:3d:41:b2:96:fc:f3:31:e1:  
66:36:d0:8e:56:12:44:ba:75:eb:e8:1c:9c:5b:66:  
70:33:52:14:c9:ec:4f:91:51:70:39:de:53:85:17:  
16:94:6e:ee:f4:d5:6f:d5:ca:b3:47:5e:1b:0c:7b:  
c5:cc:2b:6b:c1:90:c3:16:31:0d:bf:7a:c7:47:77:  
8f:a0:21:c7:4c:d0:16:65:00:c1:0f:d7:b8:80:e3:  
d2:75:6b:c1:ea:9e:5c:5c:ea:7d:c1:a1:10:bc:b8:  
e8:35:1c:9e:27:52:7e:41:8f

Exponent: 65537 (0x10001)

Signature Algorithm: md5WithRSAEncryption

93:5f:8f:5f:c5:af:bf:0a:ab:a5:6d:fb:24:5f:b6:59:5d:9d:  
92:2e:4a:1b:8b:ac:7d:99:17:5d:cd:19:f6:ad:ef:63:2f:92:  
ab:2f:4b:cf:0a:13:90:ee:2c:0e:43:03:be:f6:ea:8e:9c:67:  
d0:a2:40:03:f7:ef:6a:15:09:79:a9:46:ed:b7:16:1b:41:72:  
0d:19:aa:ad:dd:9a:df:ab:97:50:65:f5:5e:85:a6:ef:19:d1:  
5a:de:9d:ea:63:cd:cb:cc:6d:5d:01:85:b5:6d:c8:f3:d9:f7:  
8f:0e:fc:ba:1f:34:e9:96:6e:6c:cf:f2:ef:9b:bf:de:b5:22:  
68:9f

# X.509v3 extensions

- **Extensions can be:**
  - ❖ Public (the same meaning for everybody)
  - ❖ Private (particular to a specific community)
- **Can be defined as:**
  - ❖ critical – must be understood by the verifier of the certificate
  - ❖ non-critical – may be omitted by the verifier

# Public extensions

- Information about policies and keys
- Alternative names (non-X.500) for the sender and subject of the certificate
- Restrictions dealing with the path of the certificate
- Identifier of the certificate revocation list where one has to check the legitimacy of the certificate



# Information about policies and keys

- **For example, the use of a key:**
  - ❖ Sign
  - ❖ Encrypt keys
  - ❖ Encrypt data
  - ❖ Sign certificates
  - ❖ Sign certificate revocation lists
- **For example, the identifier of the key of the CA (if it has several keys)**

# Alternative names

- **Names can be**
  - ❖ E-mail addresses
  - ❖ DNS domain names
  - ❖ Web URIs (Uniform Resource Identifier)
  - ❖ IP addresses
  - ❖ X.400 email addresses
  - ❖ Registered identifiers
  - ❖ Other

# Restrictions about the path of the certificate

- **For example:**
  - ❖ To specify whether the subject of a certificate is a CA or a final entity → certificate chain
  - ❖ To specify the maximum depth of the chain of certificates

# Certificate Revocation List Identification

- **Admits several formats:**
  - ❖ Entry of a directory
  - ❖ E-mail address
  - ❖ URL

# Certificate Revocation List in X.509

- Signed list which contains the compromised certificates, and therefore, revoked.
- CRL X.509v2

```
CertificateList ::= SEQUENCE {
    tbsCertList          TBSCertList,
    signatureAlgorithm    AlgorithmIdentifier,
    signature             BIT STRING }

TBSCertList ::= SEQUENCE {
    version              Version OPTIONAL,
                        -- if present, must be v2
    signature            AlgorithmIdentifier,
    issuer               Name,
    thisUpdate           UTCTime,
    nextUpdate           UTCTime,
    revokedCertificates  SEQUENCE OF SEQUENCE {
        userCertificate   CertificateSerialNumber,
        revocationDate    UTCTime,
        crlEntryExtensions Extensions OPTIONAL
                        OPTIONAL,
    crlExtensions        [0] Extensions OPTIONAL }

Version ::= INTEGER { v1(0), v2(1) }
```



# Types of X.509 certificates

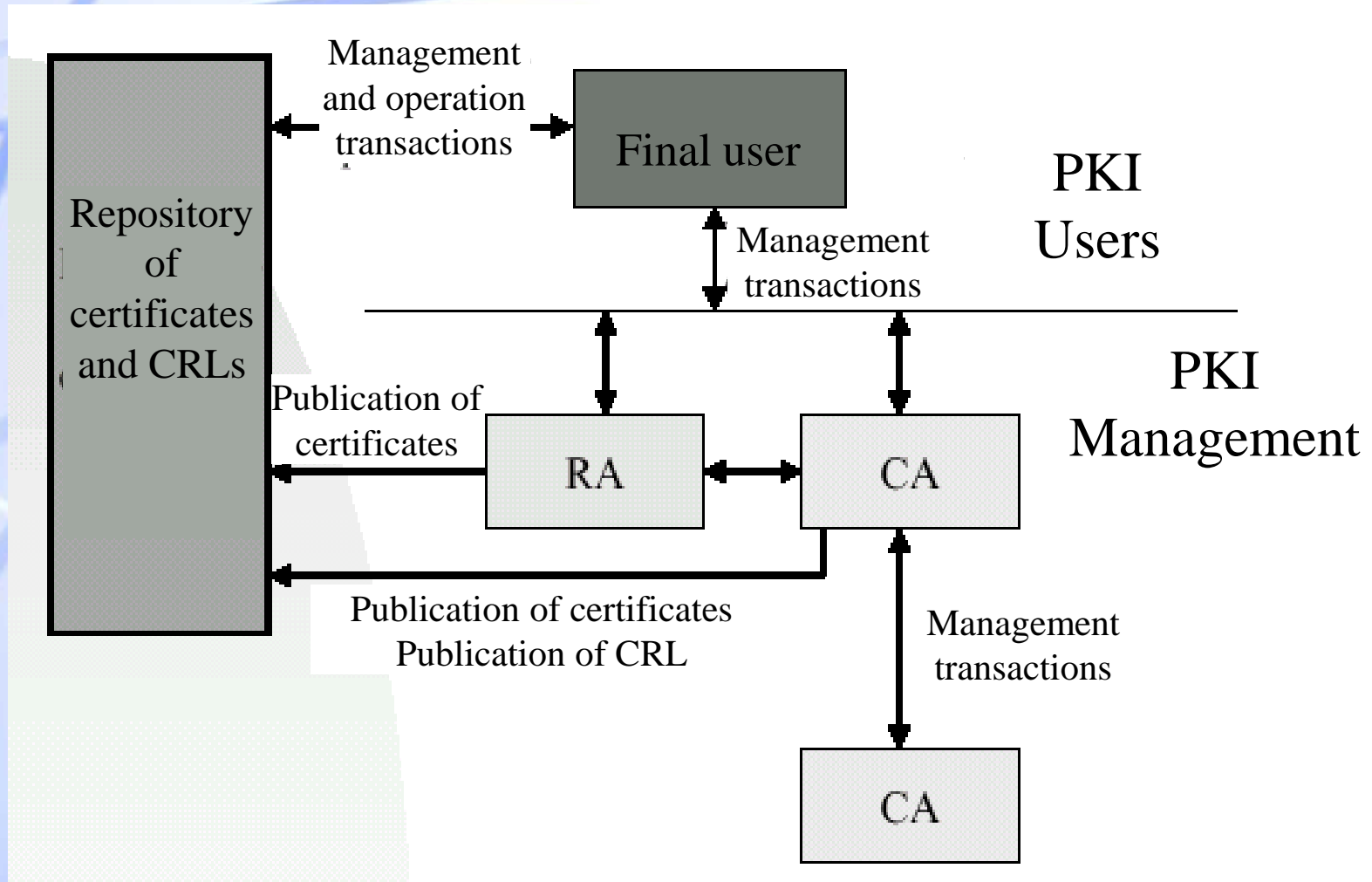
- **Up to now we have explained the certificates of names (PKC):**
  - ❖ My identity (and public key) signed by the CA
  - ❖ Useful for authentication
- **There is a second kind of certificates: attribute certificates (AC).**
  - ❖ Associates attributes to a PKC.
  - ❖ Useful for authorization
  - ❖ Issued by a attribute authority (AA)

# Two types of certificates?

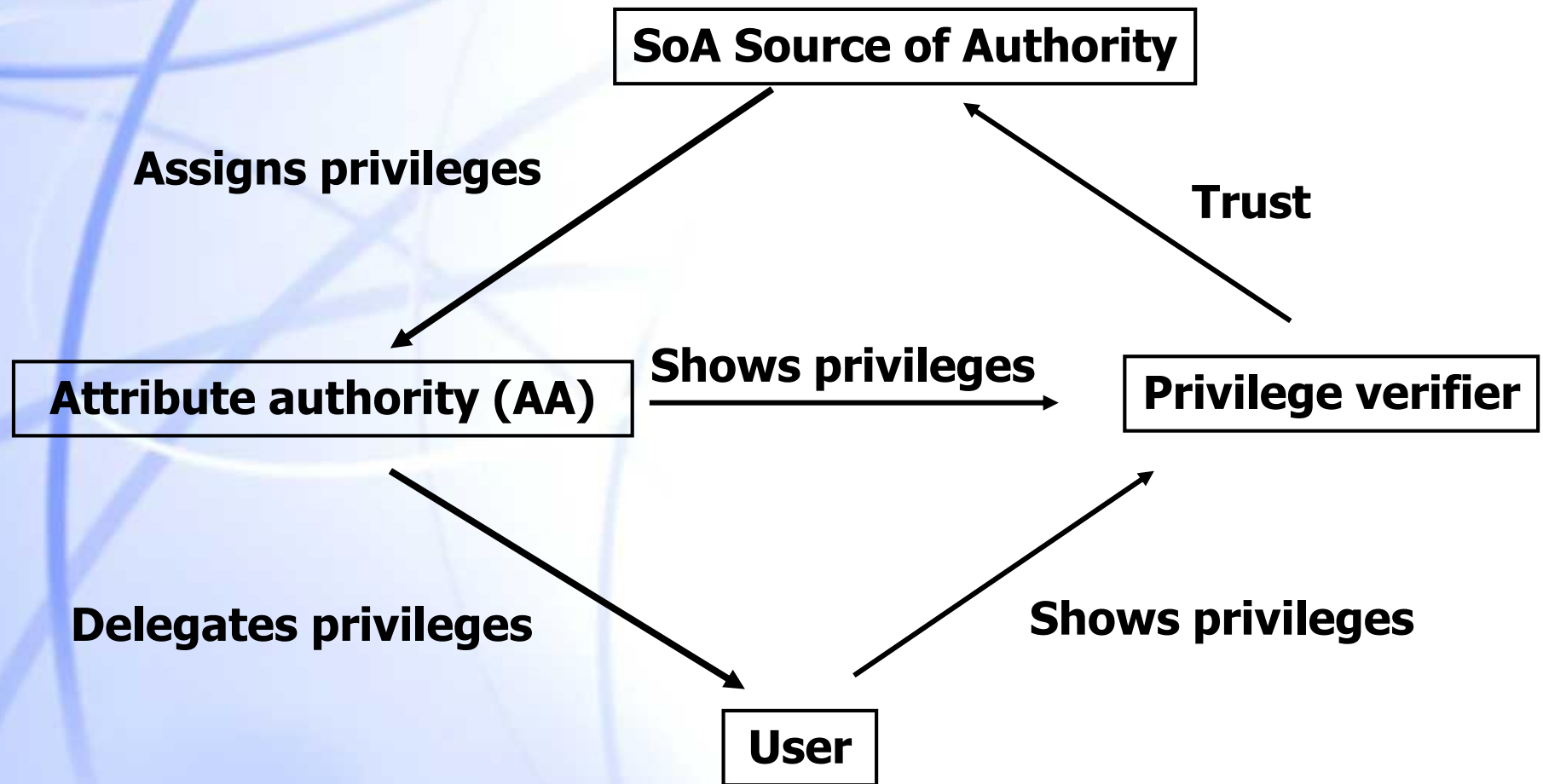
- **Usually, the identity of an entity is:**
  - ❖ Permanent in time
  - ❖ Independent of the place
- **The authorization by an entity to use resources:**
  - ❖ It is locally issued and depends of the location
  - ❖ Changes with time



# PKI (Public Key Infrastructure)



# PMI (Privilege Management Infrastructure)



# Attribute certificates

```
AttributeCertificate ::= SEQUENCE {  
    toBeSigned      AttributeCertificateInfo,  
    algorithmIdentifier AlgorithmIdentifier,  
    encrypted       BIT STRING  
}
```

```
AttributeCertificateInfo ::= SEQUENCE {  
    version          AttCertVersion, -- version is v2  
    holder           Holder,   
    issuer           AttCertIssuer,  
    signature        AlgorithmIdentifier,  
    serialNumber     CertificateSerialNumber,  
    attrCertValidityPeriod AttCertValidityPeriod,  
    attributes       SEQUENCE OF Attribute,  
    issuerUniqueID    UniqueIdentifier OPTIONAL,  
    extensions       Extensions OPTIONAL  
}
```

References to the name  
certificate



# Standard attributes

- **Some attributes are defined as standard:**
  - ❖ Authentication information for the service
    - ✓ Credentials to use the service
  - ❖ Access identity
    - ✓ Used by the verifier of attribute certificates
  - ❖ Group and role
    - ✓ Define the membership of the owner of the certificate to a group

# Privilege delegation

- Similarly to PKC a chain of attribute certificate can be established
- Using extensions in the attribute certificates, the SoA or an AA (with privileges) can sign an attribute certificate which allows the receiver to become, in turn, an AA.
  - ❖ You can limit the delegation depth

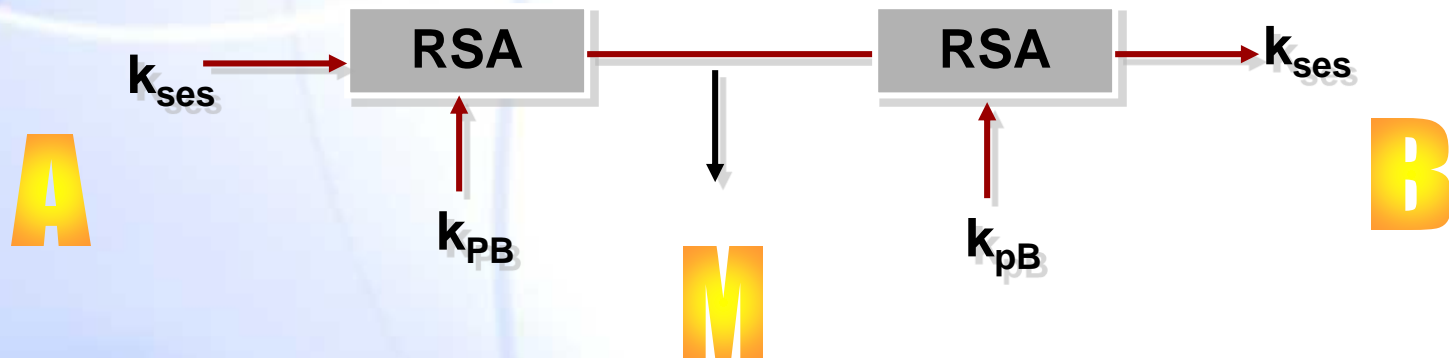


# Exchange of Session Keys using Asymmetric Algorithms



# Encryption with Asymmetric Keys

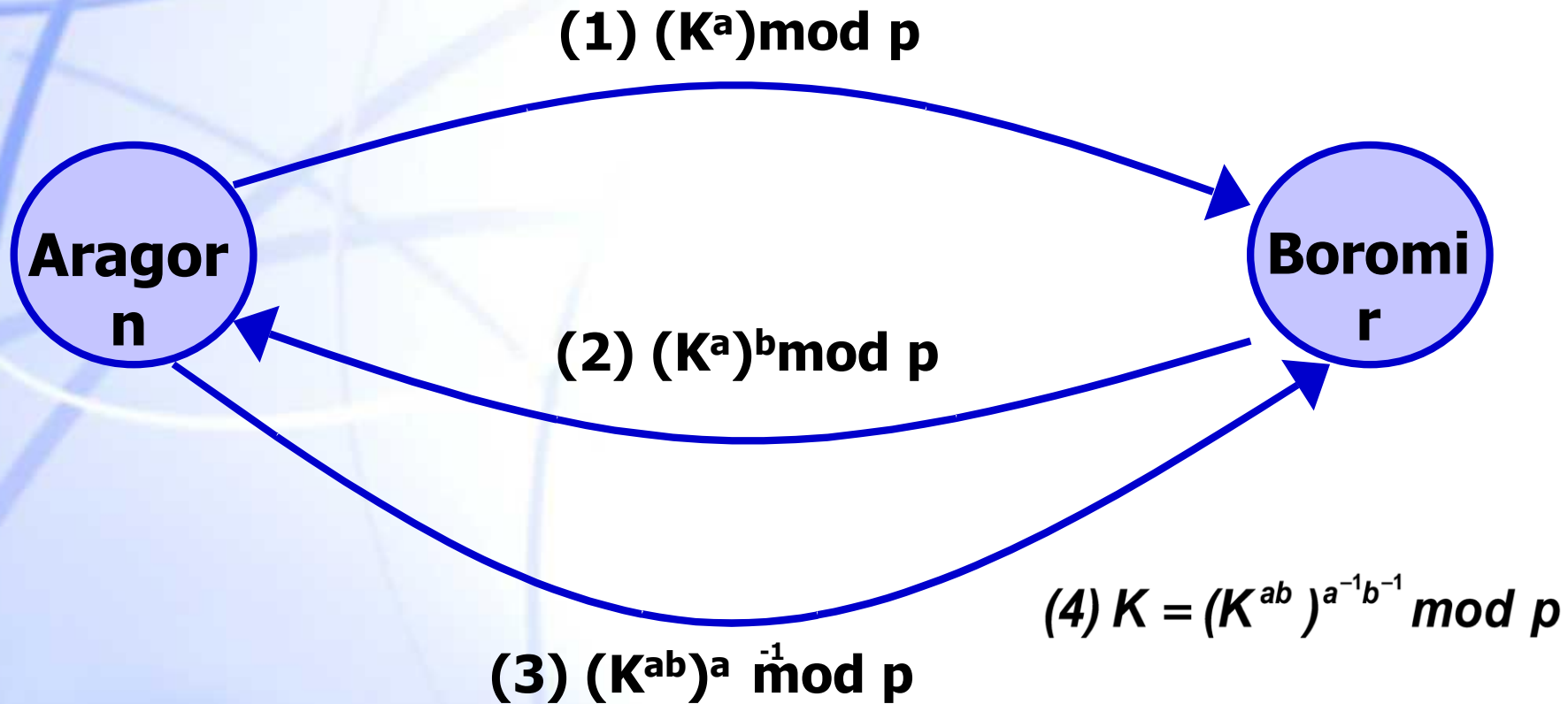
- Can use any of the encryption methods from chapter 4 to encrypt session keys to be sent to the receiver
- For example:





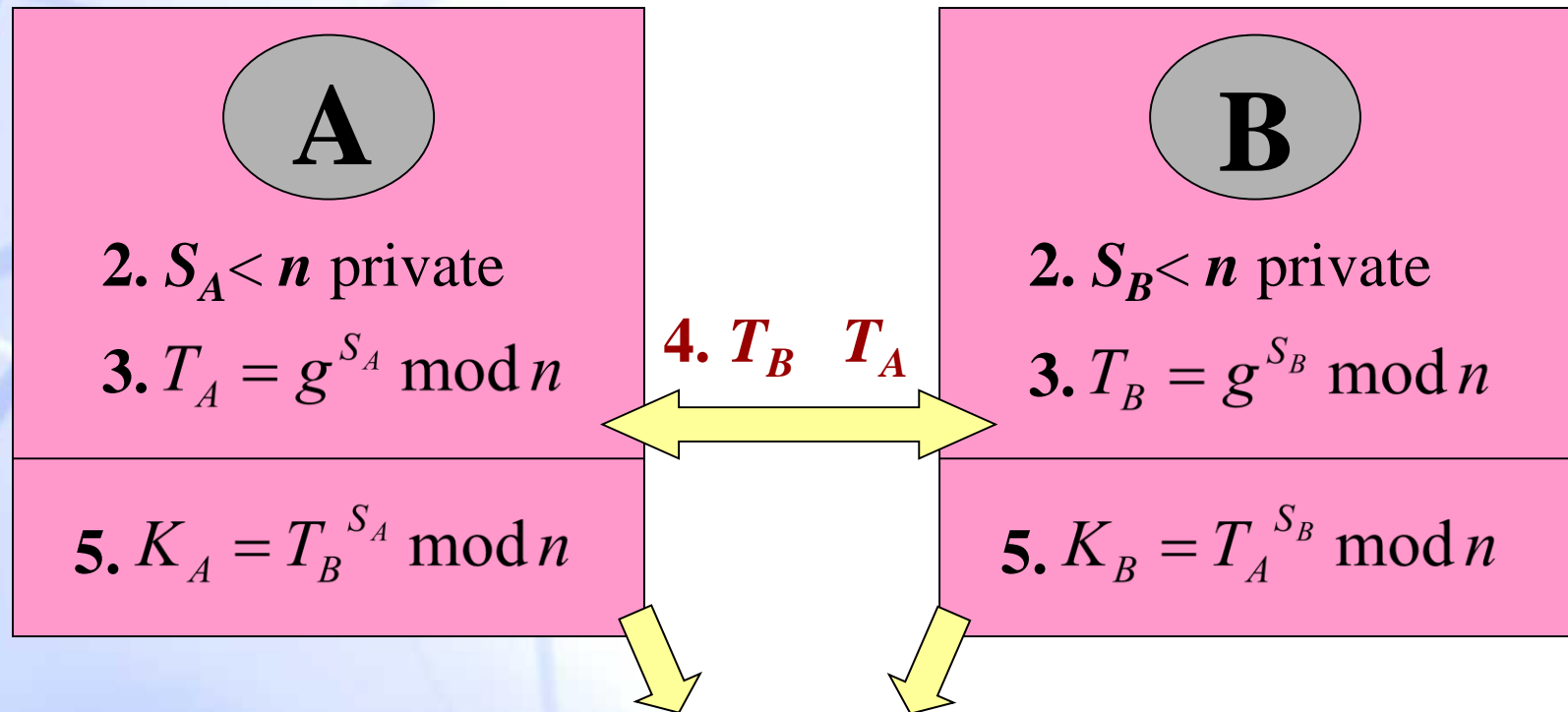
# Shamir

$1 \leq a, b \leq p-2$   
coprimes  $(p-1)$



# Diffie-Hellman Key Exchange

1. Selection of a prime number  $n$  (public) and  $g$  a primitive root of  $n$



$$K_A = T_B^{S_A} = (g^{S_B})^{S_A} = (g^{S_A})^{S_B} = T_A^{S_B} = K_B$$