Assignment 2: Deep Q Learning and Policy Gradient

2022-2023 fall quarter, CS269 Seminar 5: Reinforcement Learning. Department of Computer Science at University of California, Los Angeles. Course Instructor: Professor Bolei ZHOU. Assignment author: Zhenghao PENG.

Double-click (or enter) to edit

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Welcome to the assignment 2 of our RL course. This assignment consists of three parts:

- Section 2: Implement Q learning in tabular setting (20 points)
- Section 3: Implement Deep Q Network with pytorch (30 points)
- Section 4: Implement policy gradient method REINFORCE with pytorch (30 points)
- Section 5: Implement policy gradient method with baseline (20 points)

Section 0 and Section 1 set up the dependencies and prepare some useful functions.

The experiments we'll conduct and their expected goals:

- 1. Naive Q learning in FrozenLake (should solve)
- 2. DQN in CartPole (should solve)
- 3. DQN in MetaDrive-Easy (should solve)
- 4. DQN in MetaDrive-Hard (>50 return)
- 5. Policy Gradient w/o baseline in CartPole (w/ and w/o advantage normalization) (should solve)
- 6. Policy Gradient w/o baseline in MetaDrive-Easy (should solve)
- 7. Policy Gradient w/ baseline in CartPole (w/ advantage normalization) (should solve)
- 8. Policy Gradient w/ baseline in MetaDrive-Easy (should solve)
- 9. Policy Gradient w/ baseline in MetaDrive-Hard (>50 return)

Section 0: Dependencies

Please install the following dependencies.

Notes on MetaDrive

MetaDrive is a lightweight driving simulator which we will use for DQN and Policy Gradient methods. It can not be run on M1-chip Mac. We suggest using Colab or Linux for running MetaDrive.

Please ignore this warning from MetaDrive: WARNING:root:BaseEngine is not launched, fail to sync seed to engine!

Notes on Colab

We have several cells used for installing dependencies for Colab only. Please make sure they are run properly.

You don't need to install python packages again and again after **restarting the runtime**, since the Colab instance still remembers the python envionment after you installing packages for the first time. But you do need to rerun those packages installation script after you **reconnecting to the runtime** (which means Google assigns a new machine to you and thus the python environment is new).

```
!pip install "gym[classic_control,box2d]<0.20.0" seaborn pandas
!pip install torch
!pip install "pyglet<2.0.0"
    Looking in indexes: <a href="https://pypi.org/simple">https://us-python.pkg.dev/colab-wheels/publications</a>
    Collecting gym[box2d,classic control]<0.20.0
      Downloading gym-0.19.0.tar.gz (1.6 MB)
                    1.6 MB 5.5 MB/s
    Requirement already satisfied: seaborn in /usr/local/lib/python3.7/dist-packages (0.11.2)
    Requirement already satisfied: pandas in /usr/local/lib/python3.7/dist-packages (1.3.5)
    Requirement already satisfied: numpy>=1.18.0 in /usr/local/lib/python3.7/dist-packages (
    Requirement already satisfied: cloudpickle<1.7.0,>=1.2.0 in /usr/local/lib/python3.7/dis
    Collecting pyglet>=1.4.0
      Downloading pyglet-2.0.0-py3-none-any.whl (966 kB)
                                 966 kB 19.9 MB/s
    Collecting box2d-py~=2.3.5
      Downloading box2d_py-2.3.8-cp37-cp37m-manylinux1_x86_64.whl (448 kB)
           448 kB 23.9 MB/s
    Requirement already satisfied: matplotlib>=2.2 in /usr/local/lib/python3.7/dist-packages
    Requirement already satisfied: scipy>=1.0 in /usr/local/lib/python3.7/dist-packages (fro
    Requirement already satisfied: pytz>=2017.3 in /usr/local/lib/python3.7/dist-packages (4
    Requirement already satisfied: python-dateutil>=2.7.3 in /usr/local/lib/python3.7/dist-r
    Requirement already satisfied: pyparsing!=2.0.4,!=2.1.2,!=2.1.6,>=2.0.1 in /usr/local/li
    Requirement already satisfied: cycler>=0.10 in /usr/local/lib/python3.7/dist-packages (1
    Requirement already satisfied: kiwisolver>=1.0.1 in /usr/local/lib/python3.7/dist-packas
    Requirement already satisfied: typing-extensions in /usr/local/lib/python3.7/dist-packas
    Requirement already satisfied: six>=1.5 in /usr/local/lib/python3.7/dist-packages (from
    Building wheels for collected packages: gym
      Building wheel for gym (setup.py) ... done
      Created wheel for gym: filename=gym-0.19.0-py3-none-any.whl size=1663117 sha256=279d7k
      Stored in directory: /root/.cache/pip/wheels/ef/9d/70/8bea53f7edec2fdb4f98d9d64ac9f11a
```

```
Successfully built gym
     Installing collected packages: pyglet, gym, box2d-py
       Attempting uninstall: gym
         Found existing installation: gym 0.25.2
         Uninstalling gym-0.25.2:
           Successfully uninstalled gym-0.25.2
     Successfully installed box2d-py-2.3.8 gym-0.19.0 pyglet-2.0.0
     Looking in indexes: <a href="https://pypi.org/simple">https://us-python.pkg.dev/colab-wheels/pub</a>.
     Requirement already satisfied: torch in /usr/local/lib/python3.7/dist-packages (1.12.1+c
     Requirement already satisfied: typing-extensions in /usr/local/lib/python3.7/dist-packas
     Looking in indexes: <a href="https://pypi.org/simple">https://us-python.pkg.dev/colab-wheels/publications</a>
     Collecting pyglet<2.0.0
       Downloading pyglet-1.5.27-py3-none-any.whl (1.1 MB)
                                           | 1.1 MB 8.9 MB/s
     Installing collected packages: pyglet
       Attempting uninstall: pyglet
         Found existing installation: pyglet 2.0.0
         Uninstalling pyglet-2.0.0:
           Successfully uninstalled pyglet-2.0.0
     Successfully installed pyglet-1.5.27
# Install MetaDrive, a lightweight driving simulator
!pip install git+https://github.com/metadriverse/metadrive
# Test whether MetaDrive is properly installed. No error means the test is passed.
!python -m metadrive.examples.profile metadrive --num-steps 1000
     Collecting pygame
       Downloading pygame-2.1.2-cp37-cp37m-manylinux 2 17 x86 64.manylinux2014 x86 64.whl
                                  21.8 MB 13.0 MB/s
     Requirement already satisfied: tqdm in /usr/local/lib/python3.7/dist-packages (from m
     Collecting vapf
       Downloading yapf-0.32.0-py2.py3-none-any.whl (190 kB)
                                           190 kB 42.2 MB/s
     Requirement already satisfied: seaborn in /usr/local/lib/python3.7/dist-packages (fro
     Collecting panda3d==1.10.8
       Downloading panda3d-1.10.8-cp37-cp37m-manylinux1_x86_64.whl (53.2 MB)
                                    | 53.2 MB 1.1 MB/s
     Collecting panda3d-gltf
       Downloading panda3d gltf-0.13-py3-none-any.whl (25 kB)
     Collecting panda3d-simplepbr
       Downloading panda3d simplepbr-0.10-py3-none-any.whl (10 kB)
     Requirement already satisfied: pillow in /usr/local/lib/python3.7/dist-packages (from
     Requirement already satisfied: pytest in /usr/local/lib/python3.7/dist-packages (from
     Requirement already satisfied: opencv-python-headless in /usr/local/lib/python3.7/dis
     Requirement already satisfied: lxml in /usr/local/lib/python3.7/dist-packages (from m
     Requirement already satisfied: scipy in /usr/local/lib/python3.7/dist-packages (from
     Requirement already satisfied: cloudpickle<1.7.0,>=1.2.0 in /usr/local/lib/python3.7/
     Requirement already satisfied: kiwisolver>=1.0.1 in /usr/local/lib/python3.7/dist-pac
     Requirement already satisfied: pyparsing!=2.0.4,!=2.1.2,!=2.1.6,>=2.0.1 in /usr/local
     Requirement already satisfied: cycler>=0.10 in /usr/local/lib/python3.7/dist-packages
     Requirement already satisfied: python-dateutil>=2.1 in /usr/local/lib/python3.7/dist-
     Requirement already satisfied: typing-extensions in /usr/local/lib/python3.7/dist-pac
```

Paguinament almosty caticfied: civy_1 E in /ucn/local/lih/nython2 7/dict mackages /fr

```
Requirement already satisfied: attrs>=17.4.0 in /usr/local/lib/python3.7/dist-package
     Requirement already satisfied: more-itertools>=4.0.0 in /usr/local/lib/python3.7/dist
     Requirement already satisfied: py>=1.5.0 in /usr/local/lib/python3.7/dist-packages (f
     Requirement already satisfied: pluggy<0.8,>=0.5 in /usr/local/lib/python3.7/dist-pack
     Requirement already satisfied: setuptools in /usr/local/lib/python3.7/dist-packages (
     Building wheels for collected packages: metadrive-simulator
       Building wheel for metadrive-simulator (setup.py) ... done
       Created wheel for metadrive-simulator: filename=metadrive_simulator-0.2.5.2-py3-non
       Stored in directory: /tmp/pip-ephem-wheel-cache-t11v1lzn/wheels/d8/bc/9c/530116e897
     Successfully built metadrive-simulator
     Installing collected packages: panda3d, panda3d-simplepbr, yapf, pygame, panda3d-gltf
     Successfully installed metadrive-simulator-0.2.5.2 panda3d-1.10.8 panda3d-gltf-0.13 p
     Successfully registered the following environments: ['MetaDrive-validation-v0', 'Meta
     Start to profile the efficiency of MetaDrive with 1000 maps and ~8 vehicles!
     :device(error): Error adding inotify watch on /dev/input: No such file or directory
     :device(error): Error opening directory /dev/input: No such file or directory
     Finish 100/1000 simulation steps. Time elapse: 0.4430. Average FPS: 225.7563, Average
     Finish 200/1000 simulation steps. Time elapse: 1.0274. Average FPS: 194.6667, Average
     Finish 300/1000 simulation steps. Time elapse: 1.5479. Average FPS: 193.8095, Average
     Finish 400/1000 simulation steps. Time elapse: 2.2328. Average FPS: 179.1511, Average
     Finish 500/1000 simulation steps. Time elapse: 3.0118. Average FPS: 166.0130, Average
     Finish 600/1000 simulation steps. Time elapse: 3.7379. Average FPS: 160.5194, Average
     Finish 700/1000 simulation steps. Time elapse: 4.2994. Average FPS: 162.8148, Average
     Finish 800/1000 simulation steps. Time elapse: 5.0923. Average FPS: 157.1004, Average
     Finish 900/1000 simulation steps. Time elapse: 5.5501. Average FPS: 162.1582, Average
     Finish 1000/1000 simulation steps. Time elapse: 6.1108. Average FPS: 163.6449, Average
     Total Time Elapse: 6.111, average FPS: 163.641, average number of vehicles: 9.385.
                                                                                         •
# If you are using Colab, please run the following script EACH time you disconnect from a Run
!apt-get install -y xvfb python-opengl
!pip install pyvirtualdisplay
     Reading package lists... Done
     Building dependency tree
     Reading state information... Done
     The following package was automatically installed and is no longer required:
       libnvidia-common-460
     Use 'apt autoremove' to remove it.
     The following additional packages will be installed:
       freeglut3
     Suggested packages:
       libgle3
     The following NEW packages will be installed:
       freeglut3 python-opengl xvfb
     0 upgraded, 3 newly installed, 0 to remove and 4 not upgraded.
     Need to get 1,355 kB of archives.
     After this operation, 8,005 kB of additional disk space will be used.
     Get:1 http://archive.ubuntu.com/ubuntu bionic/universe amd64 freeglut3 amd64 2.8.1-3 [7]
     Get:2 http://archive.ubuntu.com/ubuntu bionic/universe amd64 python-opengl all 3.1.0+dfs
     Get:3 <a href="http://archive.ubuntu.com/ubuntu">http://archive.ubuntu.com/ubuntu</a> bionic-updates/universe amd64 xvfb amd64 2:1.19.6
```

Requirement already satisfied: pytz>=2017.3 in /usr/local/lib/python3.7/dist-packages Requirement already satisfied: atomicwrites>=1.0 in /usr/local/lib/python3.7/dist-packages

```
Fetched 1,355 kB in 2s (903 kB/s)
     Selecting previously unselected package freeglut3:amd64.
     (Reading database ... 123942 files and directories currently installed.)
     Preparing to unpack .../freeglut3 2.8.1-3 amd64.deb ...
     Unpacking freeglut3:amd64 (2.8.1-3) ...
     Selecting previously unselected package python-opengl.
     Preparing to unpack .../python-opengl 3.1.0+dfsg-1 all.deb ...
     Unpacking python-opengl (3.1.0+dfsg-1) ...
     Selecting previously unselected package xvfb.
     Preparing to unpack .../xvfb_2%3a1.19.6-1ubuntu4.11_amd64.deb ...
     Unpacking xvfb (2:1.19.6-1ubuntu4.11) ...
     Setting up freeglut3:amd64 (2.8.1-3) ...
     Setting up python-opengl (3.1.0+dfsg-1) ...
     Setting up xvfb (2:1.19.6-1ubuntu4.11) ...
     Processing triggers for man-db (2.8.3-2ubuntu0.1) ...
     Processing triggers for libc-bin (2.27-3ubuntu1.6) ...
     Looking in indexes: <a href="https://pypi.org/simple">https://us-python.pkg.dev/colab-wheels/publications</a>
     Collecting pyvirtualdisplay
       Downloading PyVirtualDisplay-3.0-py3-none-any.whl (15 kB)
     Installing collected packages: pyvirtualdisplay
     Successfully installed pyvirtualdisplay-3.0
# If you are using Colab, please run the following script EACH time you restart the Runtime.
import os
os.environ['SDL VIDEODRIVER']='dummy'
from pyvirtualdisplay import Display
display = Display(visible=0, size=(400, 300))
display.start()
     <pyvirtualdisplay.display.Display at 0x7f12cf831650>
```

Section 1: Building abstract class and helper functions

```
# Run this cell without modification

# Import some packages that we need to use import gym import numpy as np import pandas as pd import seaborn as sns from collections import deque import copy from gym.error import Error from gym import logger, error import torch import torch.nn as nn import time from IPython.display import clear_output
```

```
from gym.envs.registration import register
import copy
import json
import os
import subprocess
import tempfile
import time
import IPython
import PIL
import pygame
def wait(sleep=0.2):
    clear output(wait=True)
    time.sleep(sleep)
def merge config(new config, old config):
    """Merge the user-defined config with default config"""
    config = copy.deepcopy(old_config)
    if new config is not None:
        config.update(new_config)
    return config
def test_random_policy(policy, env):
    acts = set()
    for i in range(1000):
        act = policy(0)
        acts.add(act)
        assert env.action_space.contains(act), "Out of the bound!"
    if len( acts) != 1:
        print(
            "[HINT] Though we call self.policy 'random policy', " \
            "we find that generating action randomly at the beginning " \
            "and then fixing it during updating values period lead to better " \setminus
            "performance. Using purely random policy is not even work! " \
            "We encourage you to investigate this issue."
        )
# We register a non-slippery version of FrozenLake environment.
try:
    register(
        id='FrozenLakeNotSlippery-v1',
        entry_point='gym.envs.toy_text:FrozenLakeEnv',
        kwargs={'map_name' : '4x4', 'is_slippery': False},
        max episode steps=200,
        reward_threshold=0.78, # optimum = .8196
    )
```

```
except Error:
    print("The environment is registered already.")
def _render_helper(env, mode, sleep=0.1):
    ret = env.render(mode)
    if sleep:
        wait(sleep=sleep)
    return ret
def animate(img_array):
    """A function that can generate GIF file and show in Notebook."""
    path = tempfile.mkstemp(suffix=".gif")[1]
    images = [PIL.Image.fromarray(frame) for frame in img_array]
    images[0].save(
        path,
        save_all=True,
        append images=images[1:],
        duration=0.05,
        loop=0
    with open(path, "rb") as f:
        IPython.display.display(
            IPython.display.Image(data=f.read(), format='png'))
def evaluate(policy, num_episodes=1, seed=0, env_name='FrozenLake8x8-v1',
             render=None, existing env=None, max episode length=1000,
             sleep=0.0, verbose=False):
    """This function evaluate the given policy and return the mean episode
    :param policy: a function whose input is the observation
    :param num_episodes: number of episodes you wish to run
    :param seed: the random seed
    :param env name: the name of the environment
    :param render: a boolean flag indicating whether to render policy
    :return: the averaged episode reward of the given policy.
    .....
    if existing_env is None:
        env = gym.make(env name)
        env.seed(seed)
    else:
        env = existing_env
    rewards = []
    frames = []
    if render: num_episodes = 1
    for i in range(num episodes):
        obs = env.reset()
        act = policy(obs)
        ep reward = 0
```

```
for step_count in range(max_episode_length):
            obs, reward, done, info = env.step(act)
            act = policy(obs)
            ep reward += reward
            if verbose and step count % 50 == 0:
                 print("Evaluating {}/{} episodes. We are in {}/{} steps. Current episode rewa
                     i + 1, num_episodes, step_count + 1, max_episode_length, ep_reward
                 ))
            if render:
                 frames.append(_render_helper(env, render, sleep))
                 wait(sleep=0.05)
            if done:
                 break
        rewards.append(ep reward)
    if render:
        env.close()
    return np.mean(rewards), {"frames": frames}
     pygame 2.1.2 (SDL 2.0.16, Python 3.7.15)
     Hello from the pygame community. <a href="https://www.pygame.org/contribute.html">https://www.pygame.org/contribute.html</a>
# Run this cell without modification
DEFAULT CONFIG = dict(
    seed=0,
    max iteration=20000,
    max_episode_length=200,
    evaluate_interval=10,
    evaluate num episodes=10,
    learning_rate=0.01,
    gamma=0.8,
    eps=0.3,
    env name='FrozenLakeNotSlippery-v1'
)
class AbstractTrainer:
    """This is the abstract class for value-based RL trainer. We will inherent
    the specify algorithm's trainer from this abstract class, so that we can
    reuse the codes.
    def __init__(self, config):
        self.config = merge_config(config, DEFAULT_CONFIG)
        # Create the environment
        self.env name = self.config['env name']
        self.env = gym.make(self.env_name)
```

```
# Apply the random seed
    self.seed = self.config["seed"]
    np.random.seed(self.seed)
    self.env.seed(self.seed)
    # We set self.obs dim to the number of possible observation
    # if observation space is discrete, otherwise the number
    # of observation's dimensions. The same to self.act dim.
    if isinstance(self.env.observation_space, gym.spaces.box.Box):
        assert len(self.env.observation space.shape) == 1
        self.obs dim = self.env.observation space.shape[0]
        self.discrete obs = False
    elif isinstance(self.env.observation space,
                    gym.spaces.discrete.Discrete):
        self.obs dim = self.env.observation space.n
        self.discrete_obs = True
    else:
        raise ValueError("Wrong observation space!")
    if isinstance(self.env.action space, gym.spaces.box.Box):
        assert len(self.env.action_space.shape) == 1
        self.act dim = self.env.action space.shape[0]
    elif isinstance(self.env.action space, gym.spaces.discrete.Discrete):
        self.act_dim = self.env.action_space.n
    elif isinstance(self.env.action space, gym.spaces.MultiDiscrete):
        MetaDrive-Tut-Easy-v0
    else:
        raise ValueError("Wrong action space! {}".format(self.env.action space))
    self.eps = self.config['eps']
def process state(self, state):
   Process the raw observation. For example, we can use this function to
    convert the input state (integer) to a one-hot vector.
    return state
def compute action(self, processed state, eps=None):
    """Compute the action given the processed state."""
    raise NotImplementedError(
        "You need to override the Trainer.compute_action() function.")
def evaluate(self, num episodes=50, *args, **kwargs):
    """Use the function you write to evaluate current policy.
    Return the mean episode reward of 50 episodes."""
    if "MetaDrive" in self.env name:
        kwargs["existing_env"] = self.env
    result, eval infos = evaluate(self.policy, num episodes, seed=self.seed,
```

```
env name=self.env name, *args, **kwargs)
        return result, eval infos
   def policy(self, raw state, eps=0.0):
        """A wrapper function takes raw_state as input and output action."""
        return self.compute action(self.process state(raw state), eps=eps)
   def train(self):
        """Conduct one iteration of learning."""
        raise NotImplementedError("You need to override the "
                                  "Trainer.train() function.")
# Run this cell without modification
def run(trainer_cls, config=None, reward_threshold=None):
    """Run the trainer and report progress, agnostic to the class of trainer
    :param trainer_cls: A trainer class
    :param config: A dict
    :param reward_threshold: the reward threshold to break the training
    :return: The trained trainer and a dataframe containing learning progress
   if config is None:
        config = {}
   trainer = trainer_cls(config)
   config = trainer.config
   start = now = time.time()
   stats = []
   total steps = 0
   try:
        for i in range(config['max iteration'] + 1):
            stat = trainer.train()
            stat = stat or {}
            stats.append(stat)
            if "episode_len" in stat:
                total steps += stat["episode len"]
            if i % config['evaluate_interval'] == 0 or \
                    i == config["max iteration"]:
                reward, _ = trainer.evaluate(
                    config.get("evaluate_num_episodes", 50),
                    max_episode_length=config.get("max_episode_length", 1000)
                print("({:.1f}s,+{:.1f}s) Iter {}, {}episodic return"
                      " is {:.2f}. {}".format(
                            time.time() - start,
                            time.time() - now,
                            "" if total steps == 0 else "Step {}, ".format(total steps),
                            reward,
                            {k: round(np.mean(v), 4) for k, v in stat.items()
```

```
if not np.isnan(v) and k != "frames"
                              if stat else ""
                  ))
            now = time.time()
        if reward threshold is not None and reward > reward threshold:
            print("In {} iteration, episodic return {:.3f} is "
                  "greater than reward threshold {}. Congratulation! Now we "
                  "exit the training process.".format(
                i, reward, reward_threshold))
            break
except Exception as e:
    print("Error happens during training: ")
finally:
    if hasattr(trainer.env, "close"):
        trainer.env.close()
        print("Environment is closed.")
return trainer, stats
```

→ Section 2: Q-Learning

(20/100 points)

Q-learning is an off-policy algorithm who differs from SARSA in the computing of TD error.

Unlike getting the TD error by running policy to get next_act a^\prime and compute:

$$r + \gamma Q(s', a') - Q(s, a)$$

as in SARSA, in Q-learning we compute the TD error via:

$$r + \gamma \max_{a'} Q(s', a') - Q(s, a).$$

The reason we call it "off-policy" is that the next-Q value is not computed for the "behavior policy", instead, it is a "virtural policy" that always takes the best action given current Q values.

```
a = np.ones((16, 4))
print(a[0, :] - a[0])
[0. 0. 0. 0.]
```

▼ Section 2.1: Building Q Learning Trainer

```
# Solve the TODOs and remove `pass`
```

```
# Managing configurations of your experiments is important for your research.
Q_LEARNING_TRAINER_CONFIG = merge_config(dict())
    eps=0.3,
), DEFAULT_CONFIG)
class QLearningTrainer(AbstractTrainer):
    def init (self, config=None):
        config = merge_config(config, Q_LEARNING_TRAINER_CONFIG)
        super(QLearningTrainer, self).__init__(config=config)
        self.gamma = self.config["gamma"]
        self.eps = self.config["eps"]
        self.max episode length = self.config["max episode length"]
        self.learning_rate = self.config["learning_rate"]
       # build the Q table
        self.table = np.zeros((self.obs_dim, self.act_dim))
   def compute_action(self, obs, eps=None):
        """Implement epsilon-greedy policy
       It is a function that take an integer (state / observation)
        as input and return an interger (action).
        if eps is None:
            eps = self.eps
        # [TODO] You need to implement the epsilon-greedy policy here.
        if np.random.uniform(0, 1) < eps:</pre>
          action = self.env.action space.sample()
          action = np.argmax(self.table[obs, :])
        return action
   def train(self):
        """Conduct one iteration of learning."""
        # [TODO] Q table may be need to be reset to zeros.
        # if you think it should, than do it. If not, then move on.
       obs = self.env.reset()
        for t in range(self.max episode length):
            act = self.compute_action(obs)
            next obs, reward, done, = self.env.step(act)
            # [TODO] compute the TD error based on the next observation and current reward
            td_error = reward + self.gamma * max(self.table[next_obs, :]) - self.table[obs][a
```

```
# [TODO] compute the new Q value
# hint: use TD error, self.learning_rate and current Q value
new_value = self.table[obs][act] + self.learning_rate * td_error
self.table[obs][act] = new_value
obs = next_obs
if done:
    break
```

▼ Section 2.2: Use Q Learning to train agent in FrozenLake

```
# Run this cell without modification
q learning trainer, = run(
   trainer cls=QLearningTrainer,
   config=dict(
       max_iteration=5000,
        evaluate interval=50,
        evaluate num episodes=50,
        env name='FrozenLakeNotSlippery-v1'
   ),
   reward_threshold=0.99
)
     (0.4s,+0.4s) Iter 0, episodic return is 0.00.
     (1.0s,+0.5s) Iter 50, episodic return is 0.00.
     (1.5s,+0.5s) Iter 100, episodic return is 0.00.
     (2.0s,+0.5s) Iter 150, episodic return is 0.00.
     (2.5s,+0.5s) Iter 200, episodic return is 0.00.
     (3.1s,+0.7s) Iter 250, episodic return is 0.00.
     (3.8s,+0.7s) Iter 300, episodic return is 0.00.
     (4.2s,+0.4s) Iter 350, episodic return is 0.00.
     (4.8s,+0.6s) Iter 400, episodic return is 0.00.
     (5.5s,+0.7s) Iter 450, episodic return is 0.00.
     (6.0s,+0.4s) Iter 500, episodic return is 0.00.
     (6.4s,+0.4s) Iter 550, episodic return is 0.00.
     (7.0s,+0.6s) Iter 600, episodic return is 0.00.
     (7.5s,+0.5s) Iter 650, episodic return is 0.00.
     (8.1s,+0.5s) Iter 700, episodic return is 0.00.
     (8.5s,+0.4s) Iter 750, episodic return is 0.00.
     (9.0s,+0.5s) Iter 800, episodic return is 0.00.
     (9.5s,+0.5s) Iter 850, episodic return is 0.00.
     (10.1s,+0.6s) Iter 900, episodic return is 0.00.
     (10.5s,+0.4s) Iter 950, episodic return is 0.00.
     (11.2s,+0.6s) Iter 1000, episodic return is 0.00.
     (11.7s,+0.6s) Iter 1050, episodic return is 0.00.
     (12.2s,+0.5s) Iter 1100, episodic return is 0.00.
     (12.7s,+0.5s) Iter 1150, episodic return is 0.00.
     (13.3s,+0.6s) Iter 1200, episodic return is 0.00.
     (13.7s,+0.4s) Iter 1250, episodic return is 0.00.
```

```
(14.2s,+0.5s) Iter 1300, episodic return is 0.00.
(14.8s,+0.6s) Iter 1350, episodic return is 0.00.
(15.4s,+0.7s) Iter 1400, episodic return is 0.00.
(16.2s,+0.8s) Iter 1450, episodic return is 0.00.
(16.8s,+0.6s) Iter 1500, episodic return is 0.00.
(17.2s,+0.4s) Iter 1550, episodic return is 0.00.
(17.3s,+0.0s) Iter 1600, episodic return is 1.00.
In 1600 iteration, episodic return 1.000 is greater than reward threshold 0.99. Congratue Environment is closed.
```

```
# Run this cell without modification

# Render the learned behavior
_ = evaluate(
    policy=q_learning_trainer.policy,
    num_episodes=1,
    env_name=q_learning_trainer.env_name,
    render="human", # Visualize the behavior here in the cell
    sleep=0.0 # The time interval between two rendering frames
)

    (Right)
    SFFF
    FHFH
    FFFH
    HFFG
```

Section 3: Implement Deep Q Learning in Pytorch

(30 / 100 points)

In this section, we will implement a basic neural network and Deep Q Learning with Pytorch, a powerful deep learning framework. Before start, you need to make sure using pip install torch to install it (see Section 0).

If you are not familiar with Pytorch, we suggest you to go through pytorch official quickstart tutorials:

- 1. quickstart
- 2. tutorial on RL

Different from the Q learning in Section 2, we will implement Deep Q Network (DQN) in this section. The main differences are summarized as follows:

DQN requires an experience replay memory to store the transitions. A replay memory is implemented in the following ExperienceReplayMemory class. It contains a certain amount of

transitions: $(s_t, a_t, r_t, s_{t+1}, done_t)$. When the memory is full, the earliest transition is discarded to store the latest one.

The introduction of replay memory increases the sample efficiency (since each transition might be used multiple times) when solving complex task. However, you may find it learn slowly in this assignment since the CartPole-v0 is a relatively easy environment.

DQN has a delayed-updating target network. DQN maintains another neural network called the target network that has identical structure of the Q network. After a certain amount of steps has been taken, the target network copies the parameters of the Q network to itself. Normally, the update of target network is much less frequent than the update of the Q network, since the Q network is updated in each step.

The reason to leverage the target network is to stabilize the estimation of the TD error. In DQN, the TD error is evaluated as:

$$(r_t + \gamma \max_{a_{t+1}} Q^{target}(s_{t+1}, a_{t+1}) - Q(s_t, a_t))$$

The Q value of the next state is estimated by the target network, not the Q network that is being updated. This mechanism can reduce the variance of gradient because the next Q values is not influenced by the update of current Q network.

▼ Section 3.1: Build DQN trainer

```
# Solve the TODOs and remove `pass`

from collections import deque
import random

class ExperienceReplayMemory:
    """Store and sample the transitions"""
    def __init__(self, capacity):
        # deque is a useful class which acts like a list but only contain
        # finite elements. When adding new element into the deque will make deque full with
        # `maxlen` elements, the oldest element (the index 0 element) will be removed.

# [TODO] uncomment next line.
        self.memory = deque(maxlen=capacity)

def push(self, transition):
        self.memory.append(transition)

def sample(self, batch_size):
        return random.sample(self.memory, batch size)
```

```
def __len__(self):
        return len(self.memory)
# Solve the TODOs and remove `pass`
class PytorchModel(nn.Module):
    def init (self, num inputs, num actions, hidden units=100):
        super(PytorchModel, self).__init__()
        print("Num inputs: {}, Num actions: {}".format(num inputs, num actions))
        # [TODO] Build a nn.Sequential object as the neural network with two layers.
        # The first hidden layer has `hidden_units` hidden units, followed by
        # a ReLU activation function.
        # The second hidden layer takes `hidden units`-dimensional vector as input
        # and output another `hidden_units`-dimensional vector, followed by ReLU activation.
        # The third layer take the activation vector from the second hidden layer, who has
        # `hidden_units` elements, as input and return `num_actions` values.
        self.action value = nn.Sequential(
            nn.Linear(num_inputs, hidden_units),
            nn.ReLU(),
            nn.Linear(hidden units, hidden units),
            nn.ReLU(),
            nn.Linear(hidden units, num actions)
        )
    def forward(self, obs):
        return self.action_value(obs)
# Test
test pytorch model = PytorchModel(num inputs=3, num actions=7, hidden units=123)
assert isinstance(test pytorch model.action value, nn.Module)
assert len(test pytorch model.state dict()) == 6
assert test_pytorch_model.state_dict()["action_value.0.weight"].shape == (123, 3)
print("Name of each parameter vectors: ", test_pytorch_model.state_dict().keys())
print("Test passed!")
     Num inputs: 3, Num actions: 7
     Name of each parameter vectors: odict_keys(['action_value.0.weight', 'action_value.0.bi
     Test passed!
# Solve the TODOs and remove `pass`
DQN CONFIG = merge config(dict(
    parameter_std=0.01,
    learning rate=0.01,
    hidden_dim=100,
```

```
clip norm=1.0,
    clip gradient=True,
    max_iteration=1000,
    max episode length=1000,
    evaluate_interval=100,
    gamma=0.99,
    eps=0.3,
    memory_size=50000,
    learn start=5000,
    batch_size=32,
    target update freq=500, # in steps
    learn_freq=1, # in steps
    n=1,
    env name="CartPole-v0",
), Q_LEARNING_TRAINER_CONFIG)
def to tensor(x):
    """A helper function to transform a numpy array to a Pytorch Tensor"""
    if isinstance(x, np.ndarray):
        x = torch.from numpy(x).type(torch.float32)
    assert isinstance(x, torch.Tensor)
    if x.dim() == 3 \text{ or } x.dim() == 1:
        x = x.unsqueeze(0)
    assert x.dim() == 2 \text{ or } x.dim() == 4, x.shape
    return x
class DQNTrainer(AbstractTrainer):
    def __init__(self, config):
        config = merge config(config, DQN CONFIG)
        self.learning_rate = config["learning_rate"]
        super().__init__(config)
        self.memory = ExperienceReplayMemory(config["memory_size"])
        self.learn_start = config["learn_start"]
        self.batch size = config["batch size"]
        self.target_update_freq = config["target_update_freq"]
        self.clip_norm = config["clip_norm"]
        self.hidden dim = config["hidden dim"]
        self.max_episode_length = self.config["max_episode_length"]
        self.learning rate = self.config["learning rate"]
        self.gamma = self.config["gamma"]
        self.n = self.config["n"]
        self.step since update = 0
        self.total step = 0
        # You need to setup the parameter for your function approximator.
```

```
self.initialize parameters()
def initialize parameters(self):
    self.network = None
    print("Setting up self.network with obs dim: {} and action dim: {}".format(self.obs_d
    self.network = PytorchModel(self.obs dim, self.act dim)
    self.network.eval()
    self.network.share memory()
   # [TODO] Uncomment next few lines
    # Initialize target network, which is identical to self.network,
    # and should have the same weights with self.network. So you should
    # put the weights of self.network into self.target network.
    self.target network = PytorchModel(self.obs dim, self.act dim)
    self.target_network.load_state_dict(self.network.state_dict())
    self.target network.eval()
    # Build Adam optimizer and MSE Loss.
    # [TODO] Uncomment next few lines
    self.optimizer = torch.optim.Adam(
        self.network.parameters(), lr=self.learning rate
    self.loss = nn.MSELoss()
def process state(self, state):
    """Preprocess the state (observation).
    If the environment provides discrete observation (state), transform
    it to one-hot form. For example, the environment FrozenLake-v0
    provides an integer in [0, ..., 15] denotes the 16 possible states.
   We transform it to one-hot style:
   original state 0 -> one-hot vector [1, 0, 0, 0, 0, 0, 0, 0, ...]
    original state 1 -> one-hot vector [0, 1, 0, 0, 0, 0, 0, 0, ...]
    original state 15 -> one-hot vector [0, ..., 0, 0, 0, 0, 0, 1]
   If the observation space is continuous, then you should do nothing.
    if not self.discrete obs:
        return state
    else:
        new_state = np.zeros((self.obs_dim,))
        new state[state] = 1
    return new_state
def compute_values(self, processed_state):
    """Compute the value for each potential action. Note that you
    should NOT preprocess the state here."""
```

```
values = self.network(processed_state).detach().numpy()
    return values
def compute_action(self, processed_state, eps=None):
    """Compute the action given the state. Note that the input
    is the processed state."""
    values = self.compute_values(processed_state)
    assert values.ndim == 1, values.shape
    if eps is None:
        eps = self.eps
    if np.random.uniform(0, 1) < eps:</pre>
        action = self.env.action_space.sample()
    else:
        action = np.argmax(values)
    return action
def train(self):
    s = self.env.reset()
    processed_s = self.process_state(s)
    act = self.compute_action(processed_s)
    stat = {"loss": [], "success_rate": np.nan}
    for t in range(self.max episode length):
        next_state, reward, done, info = self.env.step(act)
        next_processed_s = self.process_state(next_state)
        # Push the transition into memory.
        self.memory.push(
            (processed_s, act, reward, next_processed_s, done)
        )
        processed_s = next_processed_s
        act = self.compute action(next processed s)
        self.step_since_update += 1
        self.total_step += 1
        if done:
            # print("INFO: ", info)
            if "arrive_dest" in info:
                stat["success_rate"] = info["arrive_dest"]
            break
        if t % self.config["learn freq"] != 0:
            # It's not necessary to update in each step.
            continue
        if len(self.memory) < self.learn_start:</pre>
            continue
```

```
elif len(self.memory) == self.learn_start:
    print("Current memory contains {} transitions, "
          "start learning!".format(self.learn_start))
batch = self.memory.sample(self.batch_size)
# Transform a batch of state / action / .. into a tensor.
state_batch = to_tensor(
    np.stack([transition[0] for transition in batch])
)
action_batch = to_tensor(
    np.stack([transition[1] for transition in batch])
reward_batch = to_tensor(
    np.stack([transition[2] for transition in batch])
next_state_batch = torch.stack(
    [transition[3] for transition in batch]
done_batch = to_tensor(
    np.stack([transition[4] for transition in batch])
)
# torch.Size([32, 4])
# torch.Size([1, 32])
# torch.Size([1, 32])
# torch.Size([32, 4])
# torch.Size([1, 32])
# td_error = reward + self.gamma * max(self.table[next_obs, :]) - self.table[obs]
# # [TODO] compute the new Q value
# # hint: use TD error, self.learning_rate and current Q value
# new_value = self.table[obs][act] + self.learning_rate * td_error
with torch.no_grad():
    # [TODO] Compute the Q values of next states
    # Q_t_plus_one = self.target_network(next_state_batch).gather(1, action_batch
    # Q_t_plus_one, _ = torch.max(self.target_network(next_state_batch), dim = 1)
    Q_t_plus_one = torch.max(self.target_network(next_state_batch), dim = 1)[0] #
    assert isinstance(Q_t_plus_one, torch.Tensor)
    assert Q_t_plus_one.dim() == 1
    # [TODO] Compute the target value of Q
    # print("self.gamma * Q_t_plus_one", (self.gamma * Q_t_plus_one).size())
    # print("torch.transpose(state_batch, 0, 1)[act].size()", torch.transpose(sta
    # print("reward_batch", reward_batch.size())
    # print((self.batch size,))
```

```
Q_target = (reward_batch + (1 - done_batch) + self.gamma * Q_t_plus_one)
            Q_target = Q_target.reshape(self.batch_size,)
            # print("Q_target.size()", Q_target.size())
            assert Q target.shape == (self.batch size,)
        # Collect the Q values in batch.
        self.network.train()
        q_out = self.network(state_batch)
        assert q out.dim() == 2
        Q_t = q_out.gather(1, action_batch.long().view(-1, 1)).squeeze(-1)
        # print("Q_t", Q_t)
        # print("Q_target", Q_target)
        assert Q t.shape == Q target.shape
        # Update the network
        self.optimizer.zero grad()
        loss = self.loss(input=Q_t, target=Q_target)
        loss value = loss.item()
        stat['loss'].append(loss_value)
        loss.backward()
        # [TODO] Gradient clipping. Uncomment next line
        nn.utils.clip grad norm (self.network.parameters(), self.clip norm)
        self.optimizer.step()
        self.network.eval()
    if len(self.memory) >= self.learn start and \
            self.step_since_update > self.target_update_freq:
        print("{} steps has passed since last update. Now update the"
              " parameter of the behavior policy. Current step: {}".format(
            self.step_since_update, self.total_step
        ))
        self.step_since_update = 0
        # [TODO] Copy the weights of self.network to self.target network.
        self.target_network.load_state_dict(self.network.state_dict())
        self.target network.eval()
   ret = {"loss": np.mean(stat["loss"]), "episode_len": t}
    if "success_rate" in stat:
        ret["success_rate"] = stat["success_rate"]
    return ret
def process state(self, state):
    return torch.from_numpy(state).type(torch.float32)
def save(self, loc="model.pt"):
```

```
torch.save(self.network.state_dict(), loc)

def load(self, loc="model.pt"):
    self.network.load state dict(torch.load(loc))
```

▼ Section 3.2: Test DQN trainer

```
# Run this cell without modification
# Build the test trainer.
test trainer = DQNTrainer({})
# Test compute values
fake state = test trainer.env.observation space.sample()
processed_state = test_trainer.process_state(fake_state)
assert processed state.shape == (test trainer.obs dim, ), processed state.shape
values = test_trainer.compute_values(processed_state)
assert values.shape == (test trainer.act dim, ), values.shape
test trainer.train()
print("Now your codes should be bug-free.")
_ = run(DQNTrainer, dict(
    max iteration=20,
    evaluate interval=10,
    learn start=100,
    env name="CartPole-v0",
))
test trainer.save("test trainer.pt")
test_trainer.load("test_trainer.pt")
print("Test passed!")
     Setting up self.network with obs dim: 4 and action dim: 2
     Num inputs: 4, Num actions: 2
     Num inputs: 4, Num actions: 2
     Now your codes should be bug-free.
     Setting up self.network with obs dim: 4 and action dim: 2
     Num inputs: 4, Num actions: 2
     Num inputs: 4, Num actions: 2
     (0.0s,+0.0s) Iter 0, Step 9, episodic return is 9.20. {'episode len': 9.0}
     /usr/local/lib/python3.7/dist-packages/numpy/core/fromnumeric.py:3441: RuntimeWarning: N
       out=out, **kwargs)
     /usr/local/lib/python3.7/dist-packages/numpy/core/_methods.py:189: RuntimeWarning: inval
       ret = ret.dtype.type(ret / rcount)
     Current memory contains 100 transitions, start learning!
     (0.4s,+0.4s) Iter 10, Step 116, episodic return is 10.80. {'loss': 0.2861, 'episode len
     (0.8s,+0.4s) Iter 20, Step 276, episodic return is 9.70. {'loss': 0.0368, 'episode_len'
```

Run this cell without modification

▼ Section 3.3: Train DQN agents in CartPole

```
pytorch_trainer, pytorch_stat = run(DQNTrainer, dict(
   max iteration=2000,
   evaluate interval=50,
   learning rate=0.01,
   clip norm=10.0,
   memory size=50000,
   learn start=1000,
   eps=0.1,
   target_update_freq=1000,
   batch size=32,
   env name="CartPole-v0",
), reward threshold=195.0)
reward, _ = pytorch_trainer.evaluate()
assert reward > 195.0, "Check your codes. " \
    "Your agent should achieve {} reward in 1000 iterations." \
    "But it achieve {} reward in evaluation.".format(195.0, reward)
pytorch trainer.save("dqn trainer cartpole.pt")
# Should solve the task in 10 minutes
    current memory contains 1000 transitions, start learning!
    1053 steps has passed since last update. Now update the parameter of the behavior pol
     (1.4s,+1.3s) Iter 50, Step 1436, episodic return is 9.40. {'loss': 0.0229, 'episode l
    1013 steps has passed since last update. Now update the parameter of the behavior pol
     (3.0s,+1.6s) Iter 100, Step 2087, episodic return is 9.20. {'loss': 0.0245, 'episode_
     (4.6s,+1.5s) Iter 150, Step 2680, episodic return is 9.20. {'loss': 0.0261, 'episode
    1006 steps has passed since last update. Now update the parameter of the behavior pol
     (6.1s,+1.5s) Iter 200, Step 3298, episodic return is 9.80. {'loss': 0.0361, 'episode_
     1015 steps has passed since last update. Now update the parameter of the behavior pol
     (8.2s,+2.1s) Iter 250, Step 4122, episodic return is 25.90. {'loss': 0.0407, 'episode
     1018 steps has passed since last update. Now update the parameter of the behavior pol
     (11.5s,+3.3s) Iter 300, Step 5327, episodic return is 21.20. {'loss': 0.047, 'episode
    1009 steps has passed since last update. Now update the parameter of the behavior pol
     1007 steps has passed since last update. Now update the parameter of the behavior pol
     (15.9s, +4.4s) Iter 350, Step 7042, episodic return is 73.90. {'loss': 0.0958, 'episod
    1054 steps has passed since last update. Now update the parameter of the behavior pol
    1044 steps has passed since last update. Now update the parameter of the behavior pol
     1061 steps has passed since last update. Now update the parameter of the behavior pol
    1018 steps has passed since last update. Now update the parameter of the behavior pol
     (27.5s,+11.6s) Iter 400, Step 11422, episodic return is 36.90. {'loss': 0.0496, 'epis
     1065 steps has passed since last update. Now update the parameter of the behavior pol
```

1002 steps has passed since last update. Now update the parameter of the behavior pol 1076 steps has passed since last update. Now update the parameter of the behavior pol (35.7s,+8.1s) Iter 450, Step 14583, episodic return is 16.20. {'loss': 0.0971, 'episo 1027 steps has passed since last update. Now update the parameter of the behavior pol 1034 steps has passed since last update. Now update the parameter of the behavior pol (40.4s,+4.7s) Iter 500, Step 16283, episodic return is 15.30. {'loss': 0.1235, 'episo 1044 steps has passed since last update. Now update the parameter of the behavior pol 1034 steps has passed since last update. Now update the parameter of the behavior pol (45.0s,+4.7s) Iter 550, Step 18104, episodic return is 53.60. {'loss': 0.1329, 'episo 1028 steps has passed since last update. Now update the parameter of the behavior pol 1059 steps has passed since last update. Now update the parameter of the behavior pol (50.7s,+5.7s) Iter 600, Step 20325, episodic return is 143.30. {'loss': 0.2167, 'epis 1011 steps has passed since last update. Now update the parameter of the behavior pol 1069 steps has passed since last update. Now update the parameter of the behavior pol 1045 steps has passed since last update. Now update the parameter of the behavior pol (59.5s,+8.8s) Iter 650, Step 23895, episodic return is 18.70. {'loss': 0.1272, 'episo 1037 steps has passed since last update. Now update the parameter of the behavior pol (62.1s,+2.6s) Iter 700, Step 24917, episodic return is 17.70. {'loss': 0.0458, 'episo 1027 steps has passed since last update. Now update the parameter of the behavior pol (64.6s,+2.5s) Iter 750, Step 25892, episodic return is 15.50. {'loss': 0.132, 'episod 1014 steps has passed since last update. Now update the parameter of the behavior pol (67.5s,+2.9s) Iter 800, Step 26878, episodic return is 14.00. {'loss': 0.2647, 'episo 1011 steps has passed since last update. Now update the parameter of the behavior pol 1017 steps has passed since last update. Now update the parameter of the behavior pol (72.2s,+4.8s) Iter 850, Step 28279, episodic return is 83.40. {'loss': 0.154, 'episod 1007 steps has passed since last update. Now update the parameter of the behavior pol (75.7s,+3.5s) Iter 900, Step 29371, episodic return is 14.50. {'loss': 0.0946, 'episo 1024 steps has passed since last update. Now update the parameter of the behavior pol (79.8s,+4.1s) Iter 950, Step 30873, episodic return is 106.50. {'loss': 0.2597, 'epis 1023 steps has passed since last update. Now update the parameter of the behavior pol 1056 steps has passed since last update. Now update the parameter of the behavior pol 1107 steps has passed since last update. Now update the parameter of the behavior pol 1061 steps has passed since last update. Now update the parameter of the behavior pol (90.0s,+10.2s) Iter 1000, Step 34746, episodic return is 200.00. {'loss': 0.1904, 'ep In 1000 iteration, episodic return 200.000 is greater than reward threshold 195.0. Co Environment is closed.

```
# Render the learned behavior
eval_reward, eval_info = evaluate(
    policy=pytorch_trainer.policy,
    num_episodes=1,
    env_name=pytorch_trainer.env_name,
    render="rgb_array", # Visualize the behavior here in the cell
)
animate(eval_info["frames"])
print("DQN agent achieves {} return.".format(eval_reward))
```

Run this cell without modification



```
# Run this cell without modification
def register_metadrive():
   from gym.envs.registration import register
   from gym import Wrapper
   try:
       from metadrive.envs import MetaDriveEnv
        from metadrive.utils.config import merge_config_with_unknown_keys
   except ImportError as e:
        print("Please install MetaDrive through: pip install git+https://github.com/decisionf
        raise e
   env_names = []
   try:
        class MetaDriveEnvD(Wrapper):
            def __init__(self, config, *args, **kwargs):
                super().__init__(MetaDriveEnv(config))
                self.action_space = gym.spaces.Discrete(int(np.prod(self.env.action_space.nve
        _make_env = lambda config=None: MetaDriveEnvD(config)
        env_name = "MetaDrive-Tut-Easy-v0"
        register(id=env_name, entry_point=_make_env, kwargs={"config": dict(
            map="S",
            start_seed=0,
            environment_num=1,
```

```
horizon=200,
            discrete action=True,
            discrete steering dim=3,
            discrete throttle dim=3
        )})
        env names.append(env name)
        env name = "MetaDrive-Tut-Hard-v0"
        register(id=env name, entry point= make env, kwargs={"config": dict(
            map="CCC",
            start seed=0,
            environment num=10,
            discrete action=True,
            discrete steering dim=5,
            discrete_throttle_dim=5
        )})
        env_names.append(env_name)
   except gym.error.Error as e:
        print("Information when registering MetaDrive: ", e)
   else:
        print("Successfully registered MetaDrive environments: ", env names)
# Run this cell without modification
register metadrive()
     Successfully registered the following environments: ['MetaDrive-validation-v0', 'MetaDri
     Successfully registered MetaDrive environments: ['MetaDrive-Tut-Easy-v0', 'MetaDrive-Tu
# Run this cell without modification
# Build the test trainer.
test_trainer = DQNTrainer(dict(env_name="MetaDrive-Tut-Easy-v0"))
# Test compute values
for _ in range(10):
   fake state = test trainer.env.observation space.sample()
   processed_state = test_trainer.process_state(fake_state)
   assert processed state.shape == (test trainer.obs dim, ), processed state.shape
   values = test trainer.compute values(processed state)
   assert values.shape == (test_trainer.act_dim, ), values.shape
   test_trainer.train()
print("Now your codes should be bug-free.")
test_trainer.env.close()
del test trainer
```

```
WARNING:root:BaseEngine is not launched, fail to sync seed to engine!
     Setting up self.network with obs dim: 259 and action dim: 9
     Num inputs: 259, Num actions: 9
     Num inputs: 259, Num actions: 9
     /usr/local/lib/python3.7/dist-packages/numpy/core/fromnumeric.py:3441: RuntimeWarning: N
       out=out, **kwargs)
     /usr/local/lib/python3.7/dist-packages/numpy/core/ methods.py:189: RuntimeWarning: inval
       ret = ret.dtype.type(ret / rcount)
     Now your codes should be bug-free.
# Run this cell without modification
env name = "MetaDrive-Tut-Easy-v0"
pytorch trainer2, = run(DQNTrainer, dict(
   max_episode_length=200,
   max iteration=5000,
    evaluate interval=10,
   evaluate_num_episodes=10,
   learning rate=0.0001,
   clip_norm=10.0,
   memory size=1000000,
    learn_start=2000,
   eps=0.1,
   target update freq=5000,
   learn_freq=16,
   batch size=256,
    env name=env name
), reward_threshold=120)
pytorch trainer2.save("dqn trainer metadrive easy.pt")
     WARNING:root:BaseEngine is not launched, fail to sync seed to engine!
     Setting up self.network with obs dim: 259 and action dim: 9
     Num inputs: 259, Num actions: 9
     Num inputs: 259, Num actions: 9
     (3.8s,+3.8s) Iter 0, Step 199, episodic return is -0.57. {'episode_len': 199.0}
     (10.6s,+6.9s) Iter 10, Step 2189, episodic return is -0.57. {'loss': 0.7809, 'episode l€
     (18.3s,+7.6s) Iter 20, Step 4179, episodic return is -0.57. {'loss': 0.0433, 'episode_le
     5200 steps has passed since last update. Now update the parameter of the behavior policy
     (25.7s,+7.5s) Iter 30, Step 6169, episodic return is -0.01. {'loss': 0.0186, 'episode l€
     (31.3s,+5.6s) Iter 40, Step 7199, episodic return is 125.58. {'loss': 0.0953, 'episode ]
     In 40 iteration, episodic return 125.581 is greater than reward threshold 120. Congratul
     Environment is closed.
# Run this cell without modification
# Render the learned behavior
```

```
# NOTE: The learned agent is marked by green color.
eval_reward, eval_info = evaluate(
    policy=pytorch_trainer2.policy,
    num_episodes=1,
    env_name=pytorch_trainer2.env_name,
    render="topdown", # Visualize the behaviors in top-down view
    verbose=True
)

frames = [pygame.surfarray.array3d(f).swapaxes(0, 1) for f in eval_info["frames"]]
animate(frames)

print("DQN agent achieves {} return in MetaDrive easy environment.".format(eval_reward))
```

▼ Section 3.5: Train agent to solve harder driving task using DQN!

We will train agent to solve a hard MetaDrive environment with multiple curved road segments. We will visualize the behavior of agent later.

The training log of my experiment is left below for your information. As you can see the performance is not good in terms of the zero success rate.

GOAL: achieve episodic return > 50.

BONUS!! can be earned if you can improve the training performance by adjusting hyperparameters and optimizing code. Improvement means achieving > 0.0 success rate. However, I can't promise that it is feasible to use DQN algorithm to solve this task. Please creates a independent markdown cell to highlight your improvement.

```
# Solve the TODOs and remove `pass`

class PytorchModel(nn.Module):
    def __init__(self, num_inputs, num_actions, hidden_units=100):
        super(PytorchModel, self).__init__()

    print("Num inputs: {}, Num actions: {}".format(num_inputs, num_actions))

# [TODO] Build a nn.Sequential object as the neural network with two layers.

# The first hidden layer has `hidden_units` hidden units, followed by

# a ReLU activation function.

# The second hidden layer takes `hidden_units`-dimensional vector as input

# and output another `hidden_units`-dimensional vector, followed by ReLU activation.

# The third layer take the activation vector from the second hidden layer, who has

# `hidden_units` elements, as input and return `num_actions` values.
```

```
self.action value = nn.Sequential(
            nn.Linear(num_inputs, hidden_units),
            nn.ReLU(),
            nn.Linear(hidden units, hidden units),
            nn.ReLU(),
            nn.Linear(hidden units, hidden units),
            nn.ReLU(),
            nn.Linear(hidden_units, hidden_units),
            nn.ReLU(),
            nn.Linear(hidden_units, num_actions)
        )
    def forward(self, obs):
        return self.action_value(obs)
# Run this cell without modification
# (of course you can adjust hyper-parameters if you like)
# We might want to stop the training and restore later.
# Therefore, we don't use the `run` function but instead
# explicitly expose the trainer here.
# This can avoid the loss of trained agent if any unexpected error
# happens during training and thus you can stop at any time and then
# run next cell to see the visualization.
# This also allow us to save and restore the intermiedate agents if want.
metadrive_config = dict(
    max_episode_length=1000,
    max_iteration=5000,
    evaluate interval=50,
    evaluate_num_episodes=5,
    learning_rate=0.0001,
    clip_norm=10.0,
    memory_size=1000000,
    learn_start=5000,
    eps=0.2,
    target_update_freq=5000,
    learn_freq=16,
    batch_size=258,
    env_name="MetaDrive-Tut-Hard-v0"
)
metadrive_reward_threshold = 1000
metadrive_trainer = DQNTrainer(metadrive_config)
# We might want to load trained trainer to pick up training:
if os.path.isfile("dqn_trainer_metadrive_hard.pt"):
    metadrive_trainer.load("dqn_trainer_metadrive_hard.pt")
```

```
metadrive_config = metadrive_trainer.config
start = now = time.time()
stats = []
total steps = 0
try:
   for i in range(metadrive_config['max_iteration'] + 1):
        stat = metadrive trainer.train()
        stat = stat or {}
        stats.append(stat)
       metadrive_trainer.save("dqn_trainer_metadrive_hard.pt")
        if "episode_len" in stat:
            total steps += stat["episode len"]
        if i % metadrive_config['evaluate_interval'] == 0 or \
                i == metadrive_config["max_iteration"]:
            reward, = metadrive trainer.evaluate(
                metadrive_config.get("evaluate_num_episodes", 50),
                max_episode_length=metadrive_config.get("max_episode_length", 1000)
            print("({:.1f}s,+{:.1f}s) Iter {}, {}episodic return"
                  " is {:.2f}. {}".format(
                        time.time() - start,
                        time.time() - now,
                        i,
                        "" if total steps == 0 else "Step {}, ".format(total steps),
                        {k: round(np.mean(v), 4) for k, v in stat.items()
                        if not np.isnan(v) and k != "frames"
                              if stat else ""
                  ))
            now = time.time()
        if metadrive reward threshold is not None and reward > metadrive reward threshold:
            print("In {} iteration, episodic return {:.3f} is "
                  "greater than reward threshold {}. Congratulation! Now we "
                  "exit the training process.".format(
                i, reward, metadrive reward threshold))
            break
except Exception as e:
   print("Error happens during training: ")
   raise e
finally:
   if hasattr(metadrive trainer.env, "close"):
        metadrive trainer.env.close()
        print("Environment is closed.")
     (2001)-3,123,137 feet 3300, 300p 300100, opi300ic reconn is 33.51. ( 2003 , 2035io)
     5056 steps has passed since last update. Now update the parameter of the behavior pol
     (2091.3s,+26.7s) Iter 3550, Step 310684, episodic return is -0.25. {'loss': 1.8117, '
```

(2122.0s,+30.7s) Iter 3600, Step 315114, episodic return is 55.99. {'loss': 1.619, 'e 5028 steps has passed since last update. Now update the parameter of the behavior pol (2150.6s, +28.6s) Iter 3650, Step 319229, episodic return is 54.67. {'loss': 1.403, 'e 5066 steps has passed since last update. Now update the parameter of the behavior pol (2176.8s, +26.2s) Iter 3700, Step 322996, episodic return is 54.30. {'loss': 1.1383, ' 5074 steps has passed since last update. Now update the parameter of the behavior pol (2207.5s,+30.7s) Iter 3750, Step 327536, episodic return is 52.44. {'loss': 1.8064, 5008 steps has passed since last update. Now update the parameter of the behavior pol (2235.9s, +28.4s) Iter 3800, Step 331792, episodic return is 58.82. {'loss': 1.7941, ' 5080 steps has passed since last update. Now update the parameter of the behavior pol (2262.5s,+26.7s) Iter 3850, Step 335939, episodic return is 57.47. {'loss': 1.8673, (2291.8s,+29.3s) Iter 3900, Step 340203, episodic return is 54.48. {'loss': 2.1193, 5045 steps has passed since last update. Now update the parameter of the behavior pol (2317.9s,+26.1s) Iter 3950, Step 344304, episodic return is 45.07. {'loss': 2.0082, ' 5014 steps has passed since last update. Now update the parameter of the behavior pol (2345.9s, +28.0s) Iter 4000, Step 348501, episodic return is 62.93. {'loss': 2.2177, ' 5025 steps has passed since last update. Now update the parameter of the behavior pol (2375.5s,+29.7s) Iter 4050, Step 352967, episodic return is 54.85. {'loss': 1.7875, ' 5088 steps has passed since last update. Now update the parameter of the behavior pol (2402.6s,+27.0s) Iter 4100, Step 357009, episodic return is 57.93. {'loss': 1.9405, ' 5110 steps has passed since last update. Now update the parameter of the behavior pol (2430.5s,+27.9s) Iter 4150, Step 361252, episodic return is 52.42. {'loss': 2.1129, ' (2454.2s,+23.7s) Iter 4200, Step 364918, episodic return is 53.81. {'loss': 2.223, 'e 5025 steps has passed since last update. Now update the parameter of the behavior pol (2478.9s, +24.7s) Iter 4250, Step 368518, episodic return is 60.15. {'loss': 1.9067, ' 5080 steps has passed since last update. Now update the parameter of the behavior pol (2504.8s, +25.8s) Iter 4300, Step 372548, episodic return is 58.30. {'loss': 2.1726, ' 5036 steps has passed since last update. Now update the parameter of the behavior pol (2532.7s,+27.9s) Iter 4350, Step 376816, episodic return is 60.92. {'loss': 1.6555, ' 5018 steps has passed since last update. Now update the parameter of the behavior pol (2559.1s,+26.4s) Iter 4400, Step 380599, episodic return is 56.41. {'loss': 1.6445, (2584.9s,+25.8s) Iter 4450, Step 384393, episodic return is 55.02. {'loss': 1.7815, ' 5080 steps has passed since last update. Now update the parameter of the behavior pol (2613.7s,+28.8s) Iter 4500, Step 388930, episodic return is 58.58. {'loss': 1.6675, 5068 steps has passed since last update. Now update the parameter of the behavior pol (2641.6s,+27.9s) Iter 4550, Step 393111, episodic return is 57.78. {'loss': 1.5443, ' 5082 steps has passed since last update. Now update the parameter of the behavior pol (2672.0s,+30.4s) Iter 4600, Step 397620, episodic return is 54.31. {'loss': 1.6602, 5083 steps has passed since last update. Now update the parameter of the behavior pol (2696.4s,+24.4s) Iter 4650, Step 401472, episodic return is 52.80. {'loss': 2.4116, 5064 steps has passed since last update. Now update the parameter of the behavior pol (2726.1s,+29.7s) Iter 4700, Step 406025, episodic return is 54.75. {'loss': 2.9953, (2752.0s,+25.9s) Iter 4750, Step 410206, episodic return is -0.66. {'loss': 2.1858, 5030 steps has passed since last update. Now update the parameter of the behavior pol (2779.2s, +27.2s) Iter 4800, Step 414501, episodic return is 52.79. {'loss': 2.1456, 5043 steps has passed since last update. Now update the parameter of the behavior pol (2806.8s,+27.6s) Iter 4850, Step 418707, episodic return is 60.64. {'loss': 2.1959, 5091 steps has passed since last update. Now update the parameter of the behavior pol (2833.6s,+26.8s) Iter 4900, Step 422788, episodic return is 54.57. {'loss': 2.0302, 5021 steps has passed since last update. Now update the parameter of the behavior pol (2862.3s,+28.6s) Iter 4950, Step 427086, episodic return is 60.51. {'loss': 2.6268, 5023 steps has passed since last update. Now update the parameter of the behavior pol (2884.8s,+22.6s) Iter 5000, Step 430502, episodic return is 58.77. {'loss': 3.0463, Environment is closed.

•

```
# Run this cell without modification

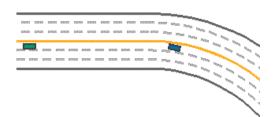
# Render the learned behavior
# NOTE: The learned agent is marked by green color.

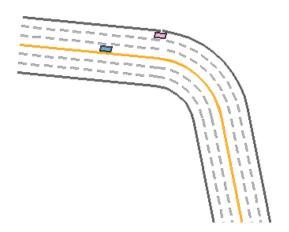
eval_reward, eval_info = evaluate(
    policy=metadrive_trainer.policy,
    num_episodes=1,
    env_name=metadrive_trainer.env_name,
    render="topdown", # Visualize the behaviors in top-down view
    verbose=True
)

frames = [pygame.surfarray.array3d(f).swapaxes(0, 1) for f in eval_info["frames"]]

animate(frames)

print("DQN agent achieves {} return in MetaDrive hard environment.".format(eval_reward))
```





→ Section 4: Policy gradient methods - REINFORCE

(30 / 100 points)

Unlike supervised learning, in RL the optimization objective return is not differentiable w.r.t. the neural network parameters. This can be workaround via *Policy Gradient*. It can be proved that policy gradient is an unbiased estimator of the gradient of the objective.

Concretely, let's consider such optimization objective:

$$Q = \mathbb{E}_{ ext{possible trajectories}} \sum_t r(a_t, s_t) = \sum_{s_0, a_0, \dots} p(s_0, a_0, \dots, s_t, a_t) r(s_0, a_0, \dots, s_t, a_t) = \sum_{ au} p(s_0, a_0, \dots, s_t, a_t) r(s_0, a_0, \dots, s_t, a_t) = \sum_{ au} p(s_0, a_0, \dots, s_t, a_t) r(s_0, a_0, \dots, s_t, a_t) r$$

wherein $\sum_t r(a_t, s_t) = r(\tau)$ is the return of trajectory $\tau = (s_0, a_0, \dots)$. We remove the discount factor for simplicity. Since we want to maximize Q, we can simply compute the gradient of Q w.r.t. parameter θ (which is implictly included in $p(\tau)$):

$$abla_{ heta}Q =
abla_{ heta} \sum_{ au} p(au) r(au) = \sum_{ au} r(au)
abla_{ heta} p(au)$$

Apply a famous trick:
$$abla_{ heta} p(au) = p(au) rac{
abla_{ heta} p(au)}{p(au)} = p(au)
abla_{ heta} \log p(au).$$

Introducing a log term can change the product of probabilities to sum of log probabilities. Now we can expand the log of product above to sum of log:

$$p_{ heta}(au) = p(s_0, a_0, \dots) = p(s_0) \prod_t \pi_{ heta}(a_t|s_t) p(s_{t+1}|s_t, a_t) \ \log p_{ heta}(au) = \log p(s_0) + \sum_t \log \pi_{ heta}(a_t|s_t) + \sum_t \log p(s_{t+1}|s_t, a_t)$$

You can find that the first and third term are not correlated to the parameter of policy $\pi_{\theta}(\cdot)$. So when we moving back to $\nabla_{\theta}Q$, we find

$$abla_{ heta}Q = \sum_{ au} r(au)
abla_{ heta} p(au) = \sum_{ au} r(au) p(au)
abla_{ heta} \log p(au) = \sum_{ au} p_{ heta}(au) (\sum_{t}
abla_{ heta} \log \pi_{ heta}(a_{t}|s_{t})) r(au)$$

When we sample sufficient amount of data from the environment, the above equation can be estimated via:

$$abla_{ heta}Q = rac{1}{N}\sum_{i=1}^{N}[(\sum_{t}
abla_{ heta}\log\pi_{ heta}(a_{i,t}|s_{i,t})(\sum_{t'=t}\gamma^{t'-t}r(s_{i,t'},a_{i,t'}))]$$

This algorithm is called REINFORCE algorithm, which is a Monte Carlo Policy Gradient algorithm with long history. In this section, we will implement the it using pytorch.

The policy network is composed by two parts:

- A basic neural network serves as the function approximator. It output raw values
 parameterizing the action distribution given current observation. We will reuse PytorchModel
 here.
- A distribution layer builds upon the neural network to wrap the raw logits output from neural network to a distribution and provides API for sampling action and computing log probability.

▼ Section 4.1: Build REINFORCE

```
# Run this cell without modification

class PGNetwork(nn.Module):
    def __init__(self, obs_dim, act_dim, hidden_units=128):
        super(PGNetwork, self).__init__()
        self.network = PytorchModel(obs_dim, act_dim, hidden_units)

def forward(self, obs):
    logit = self.network(obs)

# [TODO] Create an object of the class "torch.distributions.Categorical"
    # with logit. Hint: don't mess up `logits`
    # Then sample an action from it.
    dist = torch.distributions.Categorical(logits=logit)
    action = dist.sample()
```

```
def log_prob(self, obs, act):
        logits = self.network(obs)
        # [TODO] Create an object of the class "torch.distributions.Categorical"
        # Then get the log probability of the action `act` in this distribution.
        dist = torch.distributions.Categorical(logits=logits)
        log prob = dist.log prob(act)
        return log_prob
# Note that we do not implement GaussianPolicy here. So we can't
# apply our algorithm to the environment with continous action.
# Solve the TODOs and remove `pass`
PG DEFAULT CONFIG = merge config(dict(
    normalize_advantage=True,
    clip norm=10.0,
    clip_gradient=True,
    hidden units=100,
    max_iteration=1000,
    train_batch_size=1000,
    gamma=0.99,
    learning rate=0.01,
    env_name="CartPole-v0",
), DEFAULT CONFIG)
class PGTrainer(AbstractTrainer):
    def __init__(self, config=None):
        config = merge_config(config, PG_DEFAULT_CONFIG)
        super(). init (config)
        self.iteration = 0
        self.start time = time.time()
        self.iteration_time = self.start_time
        self.total timesteps = 0
```

return action

self.total_episodes = 0

```
# build the model
    self.initialize_parameters()
def initialize parameters(self):
    """Build the policy network and related optimizer"""
    # Detect whether you have GPU or not. Remember to call X.to(self.device)
   # if necessary.
    self.device = torch.device(
        "cuda" if torch.cuda.is available() else "cpu"
    )
   # Build the policy network
    self.network = PGNetwork(
        self.obs dim, self.act dim,
        hidden_units=self.config["hidden_units"]
    ).to(self.device)
   # Build the Adam optimizer.
    self.optimizer = torch.optim.Adam(
        self.network.parameters(),
        lr=self.config["learning_rate"]
    )
def to tensor(self, array):
    """Transform a numpy array to a pytorch tensor"""
    return torch.from numpy(array).type(torch.float32).to(self.device)
def to array(self, tensor):
    """Transform a pytorch tensor to a numpy array"""
    ret = tensor.cpu().detach().numpy()
    if ret.size == 1:
        ret = ret.item()
    return ret
def save(self, loc="model.pt"):
    torch.save(self.network.state_dict(), loc)
def load(self, loc="model.pt"):
    self.network.load_state_dict(torch.load(loc))
def compute action(self, observation, eps=None):
    """Compute the action for single observation. eps is useless here."""
    assert observation.ndim == 1
    # [TODO] Sample an action from action distribution given by the policy
    # Hint: The input of policy network is a batch of data, so you need to
    # expand the first dimension of observation before feeding it to policy network.
   obs = to tensor(observation).unsqueeze(0)
    action = self.network.forward(obs).item()
    return action
```

```
def compute log probs(self, observation, action):
    """Compute the log probabilities of a batch of state-action pair"""
    # [TODO] Using the function of policy network to get log probs.
    # Hint: Remember to transform the data into tensor before feeding it.
    obs = to tensor(observation)
    act = to tensor(action)
    log_probs = self.network.log_prob(obs, act)
    return log_probs.squeeze(0)
def update_network(self, processed_samples):
    """Update the policy network"""
    advantages = self.to_tensor(processed_samples["advantages"])
    flat obs = np.concatenate(processed samples["obs"])
    flat_act = np.concatenate(processed_samples["act"])
    self.network.train()
    self.optimizer.zero_grad()
    log_probs = self.compute_log_probs(flat_obs, flat_act)
    assert log probs.shape == advantages.shape, "log probs shape {} is not " \
        "compatible with advantages {}".format(log_probs.shape, advantages.shape)
   # [TODO] Compute the loss using log probabilities and advantages.
    loss = -torch.sum(log_probs * advantages)
    loss.backward()
   # Clip the gradient
   torch.nn.utils.clip grad norm (
        self.network.parameters(), self.config["clip_gradient"]
    )
    self.optimizer.step()
    self.network.eval()
    update_info = {
        "policy loss": loss.item(),
        "mean_log_prob": torch.mean(log_probs).item(),
        "mean advantage": torch.mean(advantages).item()
    return update_info
# ===== Training-related functions =====
def collect samples(self):
    """Here we define the pipeline to collect sample even though
    any specify functions are not implemented yet.
```

```
iter timesteps = 0
iter episodes = 0
episode_lens = []
episode rewards = []
episode_obs_list = []
episode act list = []
episode reward list = []
success_list = []
while iter timesteps <= self.config["train batch size"]:</pre>
    obs_list, act_list, reward_list = [], [], []
    obs = self.env.reset()
    steps = 0
    episode reward = 0
    while True:
        act = self.compute_action(obs)
        # print("ACT: ", act, type(act))
        next obs, reward, done, step info = self.env.step(act)
        obs list.append(obs)
        act_list.append(act)
        reward_list.append(reward)
        obs = next_obs.copy()
        steps += 1
        episode_reward += reward
        if done or steps > self.config["max_episode_length"]:
            if "arrive dest" in step info:
                success_list.append(step_info["arrive_dest"])
            break
    iter_timesteps += steps
    iter episodes += 1
    episode rewards.append(episode reward)
    episode_lens.append(steps)
    episode obs list.append(np.array(obs list, dtype=np.float32))
    episode_act_list.append(np.array(act_list, dtype=np.float32))
    episode_reward_list.append(np.array(reward_list, dtype=np.float32))
# [TODO] Uncomment everything below and understand the data structure:
# The return `samples` is a dict that contains several fields.
# Each field (key-value pair) contains a list.
# Each element in list is a list represent the data in one trajectory (episode).
# Each episode list contains the data of that field of all time steps in that episode
# The return `sample_info` is a dict contains logging item name and its value.
samples = {
    "obs": episode obs list,
    "act": episode_act_list,
    "reward": episode_reward_list
}
```

```
sample info = {
        "iter_timesteps": iter_timesteps,
        "iter_episodes": iter_episodes,
        "performance": np.mean(episode_rewards), # help drawing figures
        "ep len": float(np.mean(episode lens)),
        "ep ret": float(np.mean(episode rewards)),
        "episode len": sum(episode lens),
        "success rate": np.mean(success list)
    }
    return samples, sample info
def process samples(self, samples):
    """Process samples and add advantages in it"""
    values = []
    for reward list in samples["reward"]:
        # reward list contains rewards in one episode
        returns = np.zeros like(reward list, dtype=np.float32)
        Q = 0
        # [TODO] Scan the episode in a reverse order and compute the
        # discounted return at each time step. Fill the array `returns`
        # Each entry to the returns is the target Q value of current time step
        for i, r in reversed(list(enumerate(reward_list))):
          Q = Q * self.config["gamma"] + r
          returns[i] = Q
        values.append(returns)
    # We call the values advantage here.
    advantages = np.concatenate(values)
    # print("advan", advantages.shape)
    if self.config["normalize_advantage"]:
        # [TODO] normalize the advantage so that it's mean is
        # almost 0 and the its standard deviation is almost 1.
        mean, std = advantages.mean(), advantages.std()
        advantages = (advantages - mean)/std
    samples["advantages"] = advantages
    return samples, {}
# ===== Training iteration =====
def train(self):
    """Here we defined the training pipeline using the abstract
    functions."""
    info = dict(iteration=self.iteration)
    # [TODO] Uncomment the following block and go through the learning
    # pipeline.
```

```
# Collect samples
samples, sample_info = self.collect_samples()
info.update(sample info)
# Process samples
processed samples, processed info = self.process samples(samples)
info.update(processed_info)
# Update the model
update info = self.update network(processed samples)
info.update(update_info)
now = time.time()
self.iteration += 1
self.total_timesteps += info.pop("iter_timesteps")
self.total_episodes += info.pop("iter_episodes")
# info["iter_time"] = now - self.iteration_time
# info["total time"] = now - self.start time
info["total_episodes"] = self.total_episodes
info["total_timesteps"] = self.total_timesteps
self.iteration time = now
# print("INFO: ", info)
return info
```

▼ Section 4.2: Test REINFORCE

```
1 HOTHMATIZE_advantage : True, env_Hame : LunarLanuer-vz })
test_adv = test_trainer.process_samples(fake_sample)[0]["advantages"]
np.testing.assert_almost_equal(test_adv.mean(), 0.0)
np.testing.assert almost equal(test adv.std(), 1.0)
# Test the shape of functions' returns
fake observation = np.array([
   test trainer.env.observation space.sample() for i in range(10)
])
fake action = np.array([
   test trainer.env.action space.sample() for i in range(10)
])
assert test trainer.to tensor(fake observation).shape == torch.Size([10, 8])
assert np.array(test_trainer.compute_action(fake_observation[0])).shape == ()
assert test trainer.compute log probs(fake observation, fake action).shape == \
      torch.Size([10])
print("Test Passed!")
     Num inputs: 4, Num actions: 2
     Num inputs: 8, Num actions: 4
     Test Passed!
```

Section 4.3: Train REINFORCE in CartPole and see the impact of advantage normalization

```
pg_trainer_no_na, pg_result_no_na = run(PGTrainer, dict(
   learning_rate=0.01,
   max episode length=200,
   train_batch_size=200,
   env name="CartPole-v0",
    normalize advantage=False, # <<== Here!
   evaluate interval=10,
   evaluate_num_episodes=10,
), 195.0)
     Num inputs: 4, Num actions: 2
     (0.2s,+0.2s) Iter 0, Step 209, episodic return is 27.20. {'iteration': 0.0, 'performanc€
     (1.2s,+1.0s) Iter 10, Step 2398, episodic return is 40.30. {'iteration': 10.0, 'performation'
     (2.6s,+1.4s) Iter 20, Step 4887, episodic return is 73.40. {'iteration': 20.0, 'performa
     (4.6s,+2.0s) Iter 30, Step 8101, episodic return is 200.00. {'iteration': 30.0, 'perform
     In 30 iteration, episodic return 200.000 is greater than reward threshold 195.0. Congrat
     Environment is closed.
```

Run this cell without modification

```
# Run this cell without modification
pg_trainer_na, pg_result_na = run(PGTrainer, dict(
   learning rate=0.01,
   max_episode_length=200,
   train batch size=200,
   env_name="CartPole-v0",
   normalize advantage=True, # <<== Here!</pre>
   evaluate_interval=10,
   evaluate num episodes=10,
), 195.0)
     Num inputs: 4, Num actions: 2
     (0.2s,+0.2s) Iter 0, Step 202, episodic return is 19.60. {'iteration': 0.0, 'performance
     /usr/local/lib/python3.7/dist-packages/numpy/core/fromnumeric.py:3441: RuntimeWarning: N
       out=out, **kwargs)
     /usr/local/lib/python3.7/dist-packages/numpy/core/_methods.py:189: RuntimeWarning: inval
       ret = ret.dtype.type(ret / rcount)
     (1.3s,+1.1s) Iter 10, Step 2603, episodic return is 38.40. {'iteration': 10.0, 'performa
     (3.2s,+1.9s) Iter 20, Step 5513, episodic return is 200.00. {'iteration': 20.0, 'perform
     In 20 iteration, episodic return 200.000 is greater than reward threshold 195.0. Congrat
     Environment is closed.
# Run this cell without modification
pg_result_no_na_df = pd.DataFrame(pg_result_no_na)
pg_result_na_df = pd.DataFrame(pg_result_na)
pg result no na df["normalize advantage"] = False
pg result na df["normalize advantage"] = True
data=pd.concat([pg_result_no_na_df, pg_result_na_df]).reset_index()
ax = sns.lineplot(
   x="total timesteps",
   y="performance",
   data=pd.concat([pg_result_no_na_df, pg_result_na_df]).reset_index(), hue="normalize_advan
ax.set_title("Policy Gradient: Advantage normalization matters!")
```

Policy Gradient: Advantage normalization matters!



Section 4.4: Train REINFORCE in MetaDrive-Easy

```
T5 -
                    / \setminus \wedge / \setminus \setminus /
                                          V
# Run this cell without modification
env name = "MetaDrive-Tut-Easy-v0"
pg trainer metadrive easy, pg trainer metadrive easy result = run(PGTrainer, dict(
    train batch size=2000,
    normalize advantage=True,
    max_episode_length=200,
    max iteration=5000,
    evaluate interval=10,
    evaluate num episodes=10,
    learning rate=0.001,
    clip_norm=10.0,
    env name=env name
), reward_threshold=120)
pg_trainer_metadrive_easy.save("pg_trainer_metadrive_easy.pt")
     WARNING:root:BaseEngine is not launched, fail to sync seed to engine!
     Num inputs: 259, Num actions: 9
     (8.9s,+8.9s) Iter 0, Step 2010, episodic return is 2.72. {'iteration': 0.0, 'performanc€
     (53.3s,+44.5s) Iter 10, Step 22732, episodic return is 4.11. {'iteration': 10.0, 'perfor
     (102.5s,+49.2s) Iter 20, Step 43434, episodic return is 6.10. {'iteration': 20.0, 'perfc
     (155.9s, +53.4s) Iter 30, Step 64227, episodic return is 26.94. {'iteration': 30.0, 'pert
     (214.1s, +58.2s) Iter 40, Step 84694, episodic return is 57.48. {'iteration': 40.0, 'pert
     (281.1s,+67.0s) Iter 50, Step 105163, episodic return is 125.58. {'iteration': 50.0, 'pe
     In 50 iteration, episodic return 125.581 is greater than reward threshold 120. Congratul
     Environment is closed.
# Run this cell without modification
# Render the learned behavior
# NOTE: The learned agent is marked by green color.
eval reward, eval info = evaluate(
    policy=pg_trainer_metadrive_easy.policy,
    num episodes=1,
    env_name=pg_trainer_metadrive_easy.env_name,
    render="topdown", # Visualize the behaviors in top-down view
```

```
verbose=True
)

frames = [pygame.surfarray.array3d(f).swapaxes(0, 1) for f in eval_info["frames"]]
animate(frames)

print("REINFORCE agent achieves {} return in MetaDrive easy environment.".format(eval_reward)
```

▼ Section 5: Policy gradient with baseline

(20 / 100 points)

We compute the gradient of $Q=\mathbb{E}\sum_t r(a_t,s_t)$ w.r.t. the parameter to update the policy. Let's consider this case: when you take a so-so action that lead to positive expected return, the policy gradient is also positive and you will update your network toward this action. At the same time a potential better action is ignored.

To tackle this problem, we introduce the "baseline" when computing the policy gradient. The insight behind this is that we want to optimize the policy toward an action that are better than the "average action".

We introduce $b_t = \mathbb{E}_{a_t} \sum_{t'} \gamma^{t'-t} r(s_{t'}, a_{t'})$ as the baseline. It average the expected discount return of all possible actions at state s_t . So that the "advantage" achieved by action a_t can be evaluated via $\sum_{t'=t} \gamma^{t'-t} r(a_{t'}, s_{t'}) - b_t$

Therefore, the policy gradient becomes:

$$abla_{ heta}Q = rac{1}{N} \sum_{i=1}^{N} [(\sum_{t}
abla_{ heta} \log \pi_{ heta}(a_{i,t}|s_{i,t}) (\sum_{t'} \gamma^{t'-t} r(s_{i,t'},a_{i,t'}) - b_{i,t})]$$

In our implementation, we estimate the baseline via an extra network self.baseline, which has same structure of policy network but output only a scalar value. We use the output of this network to serve as the baseline, while this network is updated by fitting the true value of expected return of current state: $\mathbb{E}_{a_t} \sum_{t'} \gamma^{t'-t} r(s_{t'}, a_{t'})$

The state-action values might have large variance if the reward function has large variance. It is not easy for a neural network to predict targets with large variance and extreme values. In implementation, we use a trick to match the distribution of baseline and values. During training, we first collect a batch of target values: $\{t_i = \mathbb{E}_{a_t} \sum_{t'} \gamma^{t'-t} r(s_{t'}, a_{t'})\}_i$. Then we normalize all targets to a standard distribution with mean = 0 and std = 1. Then we ask the baseline network to fit such normalized targets.

When computing the advantages, instead of using the output of baseline network as the baseline b, we firstly match the baseline distribution with state-action values, that is we "de-standarize" the baselines. The transformed baselines $b^\prime=f(b)$ should has the same mean and STD with the action values.

After that, we compute the advantage of current action: $adv_{i,t} = \sum_{t'} \gamma^{t'-t} r(s_{i,t'}, a_{i,t'}) - b'_{i,t}$ By doing this, we mitigate the instability of training baseline.

Hint: We suggest to normalize an array via: (x - x.mean()) / max(x.std(), 1e-6). The max term can mitigate numeraical instability.

▼ Section 5.1: Build PG method with baseline

```
class PolicyGradientWithBaselineTrainer(PGTrainer):
   def initialize parameters(self):
        # Build the actor in name of self.policy
        super().initialize_parameters()
        # Build the baseline network using Net class.
        self.baseline = PytorchModel(
            self.obs_dim, 1, self.config["hidden_units"]
        ).to(self.device)
        self.baseline_loss = nn.MSELoss()
        self.baseline_optimizer = torch.optim.Adam(
            self.baseline.parameters(),
            lr=self.config["learning_rate"]
        )
   def process_samples(self, samples):
        # Call the original process_samples function to get advantages
        tmp_samples, _ = super().process_samples(samples)
        values = tmp_samples["advantages"]
        samples["values"] = values # We add q values into samples
        # [TODO] flatten the observations in all trajectories (still a numpy array)
        obs = np.concatenate(samples["obs"])
        assert obs.ndim == 2
        assert obs.shape[1] == self.obs_dim
        obs = self.to tensor(obs)
        samples["flat_obs"] = obs
        # [TODO] Compute the baseline by feeding observation to baseline network
```

```
# Hint: `baselines` is a numpy array with the same shape of `values`,
    # that is: (batch size, )
    baselines = self.to_array(self.baseline(obs).squeeze(1))
    assert baselines.shape == values.shape
    # [TODO] Match the distribution of baselines to the values.
    # Hint: We expect to see baselines.std() almost equals to values.std(),
    # and baselines.mean() almost equals to values.mean()
   baselines = (baselines - baselines.mean()) / max(baselines.std(), 1e-6)
    # Compute the advantage
    advantages = values - baselines
    samples["advantages"] = advantages
    process_info = {"mean_baseline": float(np.mean(baselines))}
    return samples, process info
def update_model(self, processed_samples):
    update info = {}
    update_info.update(self.update_baseline(processed_samples))
    update info.update(self.update policy(processed samples))
    return update_info
def update baseline(self, processed samples):
    self.baseline.train()
    obs = processed_samples["flat_obs"]
    # [TODO] Normalize the values to mean=0, std=1.
    values = processed samples["values"]
    values = (values - values.mean()) / max(values.std(), 1e-6)
   values = self.to_tensor(values[:, np.newaxis])
    baselines = self.baseline(obs)
    self.baseline optimizer.zero grad()
    loss = self.baseline_loss(input=baselines, target=values)
    loss.backward()
    # Clip the gradient
   torch.nn.utils.clip grad norm (
        self.baseline.parameters(), self.config["clip_gradient"]
    )
    self.baseline_optimizer.step()
    self.baseline.eval()
    return dict(baseline_loss=loss.item())
```

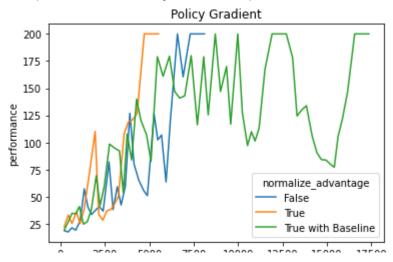
▼ Section 5.2: Run PG w/ baseline in CartPole

```
# Run this cell without modification
pg_trainer_wb_cartpole, pg_trainer_wb_cartpole_result = run(PolicyGradientWithBaselineTrainer
    learning rate=0.01,
   max episode length=200,
   train batch size=200,
   env name="CartPole-v0",
    normalize advantage=True,
   evaluate interval=10,
   evaluate_num_episodes=10,
), 195.0)
     Num inputs: 4, Num actions: 2
     Num inputs: 4, Num actions: 1
     /usr/local/lib/python3.7/dist-packages/numpy/core/fromnumeric.py:3441: RuntimeWarning: N
       out=out, **kwargs)
     /usr/local/lib/python3.7/dist-packages/numpy/core/ methods.py:189: RuntimeWarning: inval
       ret = ret.dtype.type(ret / rcount)
     (0.3s,+0.3s) Iter 0, Step 219, episodic return is 18.40. {'iteration': 0.0, 'performance
     (2.0s,+1.7s) Iter 10, Step 2460, episodic return is 57.70. {'iteration': 10.0, 'performa
     (4.5s,+2.5s) Iter 20, Step 5092, episodic return is 129.80. {'iteration': 20.0, 'perform
     (6.5s, +2.0s) Iter 30, Step 8311, episodic return is 182.70. {'iteration': 30.0, 'perform
     (8.2s,+1.7s) Iter 40, Step 11175, episodic return is 146.10. {'iteration': 40.0, 'perfor
     (9.8s,+1.6s) Iter 50, Step 14434, episodic return is 84.30. {'iteration': 50.0, 'perform
     (11.8s,+2.0s) Iter 60, Step 17359, episodic return is 200.00. {'iteration': 60.0, 'perfc
     In 60 iteration, episodic return 200.000 is greater than reward threshold 195.0. Congrat
     Environment is closed.
# Run this cell without modification
pg result no na df = pd.DataFrame(pg result no na)
pg_result_no_na_df["normalize_advantage"] = "False"
pg result na df = pd.DataFrame(pg result na)
pg result na df["normalize advantage"] = "True"
pg_trainer_wb_cartpole_result_df = pd.DataFrame(pg_trainer_wb_cartpole_result)
pg trainer wb cartpole result df["normalize advantage"] = "True with Baseline"
pg_result_df = pd.concat([pg_result_no_na_df, pg_result_na_df, pg_trainer_wb_cartpole_result_
ax = sns.lineplot(
   x="total timesteps",
   y="performance",
```

data=pg result df, hue="normalize advantage",

ax.set title("Policy Gradient")

Text(0.5, 1.0, 'Policy Gradient')



Section 5.3: Run PG w/ baseline in MetaDrive-Easy

```
# Run this cell without modification
env name = "MetaDrive-Tut-Easy-v0"
pg trainer wb metadrive easy, pg trainer wb metadrive easy result = run(
    PolicyGradientWithBaselineTrainer,
    dict(
        train batch size=2000,
        normalize_advantage=True,
        max_episode_length=200,
        max iteration=5000,
        evaluate interval=10,
        evaluate num episodes=10,
        learning_rate=0.001,
        clip_norm=10.0,
        env name=env name
    ),
    reward threshold=120
)
pg trainer wb metadrive easy.save("pg trainer wb metadrive easy.pt")
     WARNING:root:BaseEngine is not launched, fail to sync seed to engine!
     Num inputs: 259, Num actions: 9
     Num inputs: 259, Num actions: 1
     (8.7s,+8.7s) Iter 0, Step 2163, episodic return is 2.90. {'iteration': 0.0, 'performanc€
     (54.6s,+45.9s) Iter 10, Step 22652, episodic return is 7.54. {'iteration': 10.0, 'perfor
     (111.2s, +56.6s) Iter 20, Step 43178, episodic return is 57.52. {'iteration': 20.0, 'pert
     (176.1s, +64.8s) Iter 30, Step 63613, episodic return is 125.58. {'iteration': 30.0, 'per
     In 30 iteration, episodic return 125.581 is greater than reward threshold 120. Congratul
     Environment is closed.
```

```
# Run this cell without modification

# Render the learned behavior
# NOTE: The learned agent is marked by green color.
eval_reward, eval_info = evaluate(
    policy=pg_trainer_wb_metadrive_easy.policy,
    num_episodes=1,
    env_name=pg_trainer_wb_metadrive_easy.env_name,
    render="topdown", # Visualize the behaviors in top-down view
    verbose=True
)

frames = [pygame.surfarray.array3d(f).swapaxes(0, 1) for f in eval_info["frames"]]
animate(frames)

print("PG agent achieves {} return in MetaDrive easy environment.".format(eval_reward))
```



Run this cell without modification

```
pg_trainer_wb_metadrive_easy_result_df = pd.DataFrame(pg_trainer_wb_metadrive_easy_result)
pg_trainer_wb_metadrive_easy_result_df["with Baseline"] = True

pg_trainer_metadrive_easy_result_df = pd.DataFrame(pg_trainer_metadrive_easy_result)
pg_trainer_metadrive_easy_result_df["with Baseline"] = False

ax = sns.lineplot(
    x="total_timesteps",
    y="performance",
    data=pd.concat([pg_trainer_wb_metadrive_easy_result_df, pg_trainer_metadrive_easy_result_hue="with Baseline",
)
ax.set_title("Policy Gradient in MetaDrive: Baseline matters!")
```

```
Text(0.5, 1.0, 'Policy Gradient in MetaDrive: Baseline matters!')

Policy Gradient in MetaDrive: Baseline matters!

with Baseline
```

▼ Section 5.4: Run PG with baseline in MetaDrive-Hard

Goal: Acheive episodic return > 50.

BONUS!! can be earned if you can improve the training performance by adjusting hyperparameters and optimizing code. Improvement means achieving > 0.0 success rate. However, I can't promise that it is feasible to use PG with or without algorithm to solve this task. Please creates a independent markdown cell to highlight your improvement.

```
20000
                          40000
                                   60000
                                           80000
                                                  TOOOOO
# Run this cell without modification
env name = "MetaDrive-Tut-Hard-v0"
pg_trainer_wb_metadrive_hard, pg_trainer_wb_metadrive_hard_result = run(
    PolicyGradientWithBaselineTrainer,
    dict(
        train batch size=4000,
        normalize_advantage=True,
        max episode length=1000,
        max_iteration=5000,
        evaluate_interval=10,
        evaluate_num_episodes=10,
        learning_rate=0.001,
        clip norm=10.0,
        env_name=env_name
    ),
    reward threshold=120
)
pg_trainer_wb_metadrive_hard.save("pg_trainer_wb_metadrive_hard.pt")
```

```
WARNING:root:BaseEngine is not launched, fail to sync seed to engine!
Num inputs: 259, Num actions: 25
Num inputs: 259, Num actions: 1
(79.1s,+79.1s) Iter 0, episodic return is 12.29.
(369.7s,+290.6s) Iter 10, episodic return is 15.48.
(626.9s, +257.2s) Iter 20, episodic return is 23.20.
(892.1s,+265.3s) Iter 30, episodic return is 18.92.
(1162.2s,+270.0s) Iter 40, episodic return is 43.23.
(1442.7s, +280.6s) Iter 50, episodic return is 53.04.
(1723.8s, +281.1s) Iter 60, episodic return is 54.52.
(2004.9s, +281.1s) Iter 70, episodic return is 51.89.
(2289.9s, +285.0s) Iter 80, episodic return is 55.25.
(2575.5s,+285.7s) Iter 90, episodic return is 54.94.
(2859.7s, +284.2s) Iter 100, episodic return is 56.15.
(3149.0s,+289.3s) Iter 110, episodic return is 51.85.
(3433.9s,+284.9s) Iter 120, episodic return is 55.86.
(3717.1s, +283.2s) Iter 130, episodic return is 59.07.
(4004.5s,+287.4s) Iter 140, episodic return is 58.09.
(4292.6s,+288.0s) Iter 150, episodic return is 56.94.
(4576.7s, +284.1s) Iter 160, episodic return is 57.04.
(4862.1s,+285.5s) Iter 170, episodic return is 53.65.
(5157.4s, +295.2s) Iter 180, episodic return is 58.56.
(5446.2s, +288.9s) Iter 190, episodic return is 56.86.
(5739.7s,+293.4s) Iter 200, episodic return is 59.26.
(6040.0s,+300.4s) Iter 210, episodic return is 57.42.
(6343.5s, +303.5s) Iter 220, episodic return is 55.03.
(6644.1s,+300.6s) Iter 230, episodic return is 54.90.
(6945.6s, +301.5s) Iter 240, episodic return is 57.98.
(7237.3s, +291.7s) Iter 250, episodic return is 56.16.
(7536.1s,+298.8s) Iter 260, episodic return is 57.55.
(7830.9s, +294.8s) Iter 270, episodic return is 54.40.
(8148.3s,+317.3s) Iter 280, episodic return is 57.98.
(8449.6s,+301.3s) Iter 290, episodic return is 61.01.
(8756.2s,+306.6s) Iter 300, episodic return is 54.13.
(9047.7s,+291.5s) Iter 310, episodic return is 59.33.
(9338.1s, +290.4s) Iter 320, episodic return is 57.23.
(9633.5s,+295.4s) Iter 330, episodic return is 54.47.
(9931.4s,+297.9s) Iter 340, episodic return is 56.07.
(10229.0s, +297.6s) Iter 350, episodic return is 52.66.
(10525.3s, +296.3s) Iter 360, episodic return is 49.64.
(10823.3s,+298.1s) Iter 370, episodic return is 52.30.
(11115.3s, +292.0s) Iter 380, episodic return is 56.77.
(11401.2s,+285.9s) Iter 390, episodic return is 56.82.
(11700.1s, +298.9s) Iter 400, episodic return is 56.29.
(11989.8s, +289.6s) Iter 410, episodic return is 54.98.
(12285.4s,+295.7s) Iter 420, episodic return is 54.42.
(12576.9s, +291.5s) Iter 430, episodic return is 56.84.
(12868.2s,+291.4s) Iter 440, episodic return is 55.23.
(13162.1s,+293.8s) Iter 450, episodic return is 52.74.
(13464.7s,+302.6s) Iter 460, episodic return is 60.56.
(13762.2s,+297.6s) Iter 470, episodic return is 54.78.
(14060.7s,+298.5s) Iter 480, episodic return is 58.03.
(14367.4s,+306.7s) Iter 490, episodic return is 58.77.
(14670.6s, +303.3s) Iter 500, episodic return is 59.09.
(14979.4s,+308.8s) Iter 510, episodic return is 53.65.
```

(15287.1s,+307.6s) Iter 520, episodic return is 59.32.

```
(15602.0s,+315.0s) Iter 530, episodic return is 59.06.
     (15910.9s,+308.9s) Iter 540, episodic return is 56.38.
     (16225.9s,+314.9s) Iter 550, episodic return is 55.74.
     (16536.4s,+310.5s) Iter 560, episodic return is 53.87.
     (16850.6s,+314.2s) Iter 570, episodic return is 50.71.
     (17153.8s,+303.2s) Iter 580, episodic return is 56.33.
     Environment is closed.
     KeyboardInterrupt
                                               Traceback (most recent call last)
     <ipython-input-94-ab4fd2e3d8c5> in <module>
          16
                     env_name=env_name
          17
     ---> 18
                 reward_threshold=120
# Run this cell without modification
# Render the learned behavior
# NOTE: The learned agent is marked by green color.
eval_reward, eval_info = evaluate(
   policy=pg_trainer_wb_metadrive_hard.policy,
   num episodes=1,
   env_name=pg_trainer_wb_metadrive_hard.env_name,
   render="topdown", # Visualize the behaviors in top-down view
   verbose=True
)
frames = [pygame.surfarray.array3d(f).swapaxes(0, 1) for f in eval_info["frames"]]
animate(frames)
print("PG agent achieves {} return in MetaDrive hard environment.".format(eval reward))
```

Conclusion and Discussion

In this assignment, we learn how to build naive Q learning, Deep Q Network and Policy Gradient methods.

In the next markdown cell, you can write whatever you like. Like the suggestion on the course, the confusing problems in the assignments, and so on.

If you want to do more investigation, feel free to open new cells via Esc + B after the next cells and write codes in it, so that you can reuse some result in this notebook. Remember to write sufficient comments and documents to let others know what you are doing.

Following the submission instruction in the assignment to submit your assignment. Thank you!