

# Compact Object Astrophysics

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## Introduction

Tuesdays and Wednesday at 14.30 PM in room P1A, Paolotti building. 22 people.

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This course overlaps with “Computational Astrophysics” by professor Mapelli.

The examination is an oral one, done either online or live.

We start with a brief overview of the final fates of massive stars. We have white dwarfs, neutron stars and black holes under the category of “compact objects”, but white dwarfs are not really that compact.

We then discuss accretion onto compact objects, and neutron stars. An open question: what is the EOS of ultradense neutron matter?

“Accretion power in astrophysics”, “The physics of Compact Objects”, “Astrofisica Relativistica I & II”, “Astrofisica delle Alte Energie”.

# Chapter 1

## Compact objects

### 1.1 A journey into the life of a massive star

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Stars whose mass is  $M \gtrsim 8M_{\odot}$  go supernova at the end of their life. During their lifetime, hydrogen fuses through two channels: the p-p chain and the CNO cycle.

In the CNO cycle, four protons turn into a  ${}^4\text{He}$  nuclide, two positrons, two electron neutrinos using heavier nuclides as catalysts.

The critical temperature above which the CNO cycle dominates is around  $T_c \sim 2 \times 10^7$  K. For the Sun, less than 8 % of energy production is through the CNO cycle.

When the temperature of the core reaches a value around  $1 \div 2 \times 10^8$  K and the density is around  $10^8 \div 10^9$  g/cm<sup>3</sup>, helium starts to burn in the  $3\alpha$  process, becoming  ${}^{12}\text{C}$ . The Q-value here is around 7.27 MeV.

As soon as we have carbon, this can fuse with an  $\alpha$  particle giving rise to a nucleus of oxygen with  $Q \approx 7.16$  MeV. This oxygen can further catch an  $\alpha$  particle, making a  ${}^{20}\text{Ne}$  nuclide.

Then, we have a temperature around  $5 \times 10^8$  K and a density around  $3 \times 10^6$  g/cm<sup>3</sup>. Carbon starts to fuse with itself, making sodium, magnesium, and more neon (plus an  $\alpha$  particle).

If you want carbon burning to proceed in a steady way, it must occur in a nondegenerate electron gas. This occurs only if the star is quite massive, more than  $8M_{\odot}$ . Otherwise, it is an explosive process.

Now the core temperature reaches  $10^9$  K. The energy of a typical photon is quite high,  $h\nu \sim k_B T \sim 100$  keV. Suppose there are neon nuclei in the core (this will be the case since they are a product of fusion).

It is not hard for a Neon to lose an  $\alpha$  particle through photodissociation, this produces an Oxygen. The energy required for this is of the order 4.7 MeV, at the high energy tail we have a few photons at this energy.

This is the “neon burning phase”, after which we have oxygen and magnesium. Oxygen is the next candidate for nuclear burning, and after a further contraction the star starts burning it. It fuses with itself to produce  ${}^{28}\text{Si}$  plus an  $\alpha$ , or  ${}^{32}\text{S}$ .

Sulfur cannot fuse with itself, the potential barrier is too high. Through successive  $\alpha$  captures, the star synthesizes elements in the “iron peak”: iron, nickel, cobalt.

The core tries to contract in the attempt to get them to burn, but they have the maximum possible binding energy per nucleon. So, the contraction continues.

If the mass of the contracting core exceeds the Chandrasekhar limit, it cannot become an electron-degenerate object. The mass of the core is always in excess of this limit mass for the stars which are massive enough to reach this stage of stellar burning.

Iron is photodissociated to make helium nuclei first, then bare protons, electrons and neutrons. Protons and electrons can combine into neutrons. The core becomes more and more neutrons rich, but the reaction also produces neutrinos, which can fly away.

The *neutron* degeneracy pressure can stop the collapse in certain cases: this is how a neutron star is formed. The threshold between neutron stars and black holes is hard to determine, but generally speaking with  $8M_{\odot} < M < 25M_{\odot}$  a neutron star is formed, while for larger masses the core collapses further to form a black hole.

The freefall velocity is a significant fraction of the speed of light. What are the statistics? how many NS and BH are there in our galaxy?

We can model the distribution of star masses in our galaxy with the distribution, the IMF, as a Salpeter IMF,<sup>1</sup> which is given by

$$N(m) \propto m^{-\alpha}, \quad (1.1.1)$$

where  $\alpha \approx 2.35$ . Then, we can calculate the number of stars which have more than  $8M_{\odot}$  by integrating: we find something proportional to  $8^{-1.35}$ , while the number of stars which have more than  $25M_{\odot}$  we get something proportional  $25^{-1.35}$ . These will give us the amount of compact objects. The proportionality constant depend on the minimum mass of stars, but we can calculate the ratio of the two without concern for it.<sup>2</sup> We find

$$\frac{N_{BH}}{N_{NS} + N_{BH}} = \left(\frac{25}{8}\right)^{-1.35} \approx 0.2. \quad (1.1.2)$$

The present rate of supernova explosions in the galaxy is around 1 per century, or  $10^{-2} \text{ yr}^{-1}$ . In the age of the galaxy (around  $10^{10} \text{ yr}$ ), we will then have had around  $10^8$  compact objects.

How much can we trust this figure? Kind of, the true number is closer to  $10^9$ , about 1 % of the number of stars in the galaxy.

The galaxy roughly looks like a cylinder with radius  $R \sim 60 \text{ kpc}$  and height  $H \sim 1 \text{ kpc}$ . Its volume will then be  $V = 2\pi R^2 H \approx 10^{13} \text{ pc}^3$ . Then, the number density of compact objects is around  $0.1 \text{ pc}^{-3}$ .

The typical separation between them will be something like  $20 \text{ pc}$ . Compact objects are close, common! Beware!

The closest compact object we know of is a neutron star  $60 \text{ pc}$  away: this is on the same order of magnitude, so considering the fact that the compact object density need not be uniform throughout the galaxy we can say that our estimate works.

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<sup>1</sup> See the [evil organization in Mission Impossible](#).

<sup>2</sup> We are assuming that the maximum mass of stars is large enough to neglect  $M_{\text{max}}^{-1.35}$  — this is definitely fine for our rough estimate, since we know of stars with  $M \gtrsim 150M_{\odot}$ .

**Compactness** The gravitational radius characterizing an object is

$$R_g = \frac{GM}{c^2}, \quad (1.1.3)$$

while the Schwarzschild radius is  $2R_g$ . For the Sun, this is approximately 1.5 km. It's small.

The value  $R_g/R$  is 0.5 for black holes, 0.15 for neutron stars,  $10^{-4}$  for white dwarfs.

As we said earlier, massive stars go type-2 supernova: this corresponds to Core-Collapse. Compact objects are quite common in the galaxy.

A compact object is one for which the ratio of the gravitational radius  $R_g = GM/c^2$  is comparable to the radius of the true object. For a white dwarf, the ratio is of the order of  $10^3$ .

We then need GR in order to deal with them. Let us quickly go over exact solutions of the Einstein Field Equations.

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## 1.2 The Schwarzschild external solution

This lecture, we consider the vacuum Schwarzschild solution. The most general line element which is spherically symmetric (invariant under spatial rotations) must be made up of elements which are themselves invariant under spatial rotations. We will use spherical coordinates:  $r, \theta, \varphi, t$ .

In flat spacetime, the line element reads

$$ds^2 = -c^2 dt^2 + dr^2 + r^2(d\theta^2 + \sin^2 \theta d\varphi^2), \quad (1.2.1)$$

and our Schwarzschild solution will need to reduce to this in some limit.

The spatial line element is given by

$$d\vec{r} \cdot d\vec{r} = dr^2 + r^2(d\theta^2 + \sin^2 \theta d\varphi^2) = g_{ij} dx^i dx^j. \quad (1.2.2)$$

Then, the most general spherically symmetric line element will read

$$ds^2 = F(r, t) dt^2 + M(r, t) dr^2 + G(r, t) dr dt + C(r, t) r^2(d\theta^2 + \sin^2 \theta d\varphi^2), \quad (1.2.3)$$

however, we can redefine the radial coordinate in order to remove the function multiplying the angular term, so we get

$$ds^2 = F dt^2 + M dr^2 + G dr dt + r^2(d\theta^2 + \sin^2 \theta d\varphi^2). \quad (1.2.4)$$

We can also introduce a new time variable:

$$dt' = dt + \psi(r, t) dr. \quad (1.2.5)$$

If  $\psi = G/2F$ , then we remove the mixed term, and then we are left with the expression

$$ds^2 = -B(r, t) dt'^2 + A(r, t) dr^2 + r^2 d\Omega^2. \quad (1.2.6)$$

However, we have not yet determined the two functions, and we have not said anything about the Einstein Field Equations, which are

$$R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}R = 8\pi GT_{\mu\nu}. \quad (1.2.7)$$

In vacuo, the stress-energy tensor vanishes. The curvature scalar must vanish (we can show this by contracting the EFE with the inverse metric), so the equations reduce to  $R_{\mu\nu} = 0$ . We restrict ourselves to the static case.

The linearly independent components of the Ricci tensor read

$$R_0^0 = \frac{B''}{2AB} - \frac{A'B'}{4A''B} - \frac{B'^2}{4AB^2} + \frac{B'}{rAB} = 0 \quad (1.2.8)$$

$$R_1^1 = \frac{B''}{2AB} - \frac{A'B'}{4A''B} - \frac{B'^2}{4AB^2} + \frac{A'}{rA^2} = 0 \quad (1.2.9)$$

$$R_2^2 = \frac{1}{4rA} \left( \frac{B'}{B} - \frac{A'}{A} \right) + \frac{1}{r^2} \left( \frac{1}{A} - 1 \right). \quad (1.2.10)$$

Computing  $R_0^0 - R_1^1 = 0$  we find

$$\frac{1}{rA} \left( \frac{B'}{B} + \frac{A'}{A} \right) = 0 \quad (1.2.11)$$

$$\frac{d \log(AB)}{dr} = 0, \quad (1.2.12)$$

so  $AB$  is constant. Without losing generality we can take  $A = 1/B$ , since if this is not the case we can just rescale the radial or temporal coordinate until it is.

Then, we can compute

$$R_2^2 = \frac{B}{2r} \left( \frac{B'}{B} + \frac{B'}{B} \right) + \frac{1}{r^2} (B - 1) = 0 \quad (1.2.13)$$

$$B' + \frac{B}{r} - \frac{1}{r} = 0 \quad (1.2.14)$$

$$\frac{d}{dr}(rB) = 1, \quad (1.2.15)$$

so  $rB(r) = r + C$  for some constant  $C$ , or equivalently

$$B(r) = \frac{C}{r} + 1. \quad (1.2.16)$$

After this, we can already substitute into the metric:

$$ds^2 = - \left( 1 + \frac{C}{r} \right) dt^2 + \frac{1}{1 + C/r} dr^2 + r^2 d\Omega^2. \quad (1.2.17)$$

For any value of  $C$ ,  $B \rightarrow 1$  as  $r \rightarrow \infty$ : the metric reduces to the flat one asymptotically. Right now  $C$  is an arbitrary constant, however in the weak field limit it is known that

$$g_{00} = - \left( 1 + 2 \frac{\phi}{c^2} \right), \quad (1.2.18)$$

where  $\phi = -GM/r$  is the Newtonian gravitational field. Equating this expression to the one for  $g_{00}$ , we find

$$g_{00} = -\left(1 - \frac{2GM}{rc^2}\right) \implies C = -\frac{2GM}{c^2}. \quad (1.2.19)$$

The constant  $M$  in the classical case is the mass of the source, however we are computing a vacuum solution. This is the mass we would compute if we were to measure the orbits of objects around the compact object.

Then, we can write the Schwarzschild metric:

$$ds^2 = -\left(1 - \frac{2GM}{c^2 r}\right) dt^2 + \left(1 - \frac{2GM}{rc^2}\right)^{-1} dr^2 + r^2 d\Omega^2. \quad (1.2.20)$$

This is derived by assuming time-independence, however the result is the same even in the time-dependent case by the Jebsen-Birkhoff theorem (which we will prove in a moment). The element  $g_{rr}$  diverges as  $r \rightarrow R_g = 2GM/c^2$ , however this does not represent any physical divergence: no component of the Riemann tensor  $R_{\mu\nu\rho\sigma}$  diverges there, while the Ricci tensor  $R_{\mu\nu}$  is identically zero by hypothesis. On the other hand, the origin is a true singularity, since the scalar  $R^{\mu\nu\rho\sigma}R_{\mu\nu\rho\sigma} \propto r^{-6}$  diverges there.

There are coordinates which do not diverge near the horizon: one classical choice employs the “tortoise” coordinates, which are the same for  $r$ ,  $\theta$ ,  $\varphi$  as the Schwarzschild ones, while the time becomes (setting  $G = c = 1$ )

$$t = t' - 2M \log\left(1 - \frac{r}{2M}\right). \quad (1.2.21)$$

**Claim 1.2.1.** *Substituting this into the metric yields (dropping the primes for clarity):*

$$ds^2 = -\left(1 - \frac{2M}{r}\right) dt^2 + \frac{4M}{r} dr dt + \left(1 + \frac{2M}{r}\right) dr^2 + r^2 d\Omega^2. \quad (1.2.22)$$

*Proof.* The new time differential after the change of coordinates reads

$$dt = \frac{\partial t}{\partial t'} dt' + \frac{\partial t}{\partial r} dr \quad (1.2.23)$$

$$= dt' - 2M \frac{(-1/2M)}{1 - r/2M} dr = dt' + \frac{dr}{1 - r/2M} \quad (1.2.24)$$

$$dt^2 = dt'^2 + 2 \frac{dr dt'}{1 - r/2M} + \frac{dr^2}{(1 - r/2M)^2}, \quad (1.2.25)$$

therefore the new metric reads (omitting the angular part for brevity):

$$ds^2 = -\left(1 - \frac{2M}{r}\right) dt^2 + \frac{dr^2}{1 - 2M/r} \quad (1.2.26)$$

$$= -\left(1 - \frac{2M}{r}\right) \left( dt'^2 + 2 \frac{dr dt'}{1 - r/2M} + \frac{dr^2}{(1 - r/2M)^2} \right) + \frac{dr^2}{1 - 2M/r} \quad (1.2.27)$$



$$= -\left(1 - \frac{2M}{r}\right) dt'^2 + \frac{4M}{r} dr dt' + \left(1 + \frac{2M}{r}\right) dr^2, \quad (1.2.28)$$

where we have used the following manipulations: setting  $x = 2M/r$ , the coefficients of the  $dr dt'$  and  $dr^2$  terms are respectively

$$2\frac{1-x}{1-x^{-1}} = -2x \quad (1.2.29)$$

$$-\frac{1-x}{(1-x^{-1})^2} + \frac{1}{1-x} = \frac{1}{1-x} \left( -\left(\frac{1-x}{1-x^{-1}}\right)^2 + 1 \right) = \frac{1-x^2}{1-x} = 1+x. \quad (1.2.30)$$

□

There is no pathology at  $r = 2M$  anymore, so it was not a physical divergence. The temporal coefficient  $g_{00}$  is the same: it can be shown that it is an invariant under coordinate transformations.

If we take two points which are very close along a particle trajectory, they must be separated by an interval  $ds^2 < 0$ .

If we consider a radial path (not necessarily geodesic) described by  $r(t)$ , we can compute the corresponding line element by neglecting the angular part:

$$ds^2 = -\left(1 - \frac{2M}{r}\right) dt^2 + \frac{4M}{r} dr dt + \left(1 + \frac{2M}{r}\right) dr^2 \quad (1.2.31)$$

$$\left(\frac{ds}{dt}\right)^2 = -\left(1 - \frac{2M}{r}\right) + \frac{4M}{r} \frac{dr}{dt} + \left(1 + \frac{2M}{r}\right) \left(\frac{dr}{dt}\right)^2. \quad (1.2.32)$$

Now, the question we ask is: is it possible for the particle trajectory to be timelike or lightlike ( $ds^2 \leq 0$ ) and outgoing ( $dr/dt > 0$ ) under these conditions? If this is the case, the signs of the three terms read

$$\underbrace{\left(\frac{ds}{dt}\right)^2}_{<0?} = -\left(1 - \frac{2M}{r}\right) + \underbrace{\frac{4M}{r} \frac{dr}{dt}}_{>0} + \underbrace{\left(1 + \frac{2M}{r}\right) \left(\frac{dr}{dt}\right)^2}_{>0}, \quad (1.2.33)$$

so we can see that the equality can be satisfied (a positive number cannot equal a negative one!) as long as the first term on the right-hand side is negative, which means  $r > 2M$ . If  $r \leq 2M$ , on the other hand, this cannot be the case: a radial trajectory below the horizon *cannot* be outward.

This is what “horizon” means: it is a *semi-permeable* membrane, particles can surpass it only in one direction.

**Jebsen-Birkhoff** This theorem states that the Schwarzschild solution also describes the spacetime around an object in the spherically-symmetric but time-*dependent* case. Let us give a sketch of its proof, omitting some tedious calculations. If we write out the components of the Ricci tensor, we find something in the form

$$R_0^0 = R_0^0 \Big|_{\text{static}} + \dot{A}(\dots) \quad (1.2.34)$$

$$R_1^1 = R_1^1 \Big|_{\text{static}} + \dot{A}(\dots), \quad (1.2.35)$$

while  $R_2^2$  and  $R_3^3$  are the same. Also, the term  $R_0^1$  does not vanish unlike the static case, and is equal to

$$R_0^1 = -\frac{\dot{A}}{rA^2} = 0, \quad (1.2.36)$$

so  $\dot{A} = 0$ : the equations then are the same as the static case ones! This, however, is not the end, since now the equation

$$\frac{A'}{A} + \frac{B'}{B} = 0 \quad (1.2.37)$$

is not necessarily solved by  $\log A = -\log B$ , since a prime denotes a *partial* derivative with respect to  $r$ , so in general we will have  $\log A + \log B = f(t)$ , some generic function of time. The metric will then read

$$ds^2 = -\left(1 - \frac{2M}{r}\right)f(t) dt^2 + \left(1 - \frac{2M}{r}\right) dr^2 + r^2 d\Omega^2, \quad (1.2.38)$$

but we can simply rescale the time coordinate to  $t \rightarrow \sqrt{f}t$  in order for this to reduce to the usual expression. This theorem was originally discovered by the Norwegian physicist Jebsen, and only later popularized in a textbook by Birkoff [JR05].

The source of the geometry can change in time while leaving the outside spacetime unperturbed, however this holds only as long as the variation remains spherically symmetric: collapse, expansion or pulsation. Any asymmetry can lead to the emission of gravitational radiation.

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“Schwarzschild” means “Black Shield”: no relation though, it is the name of a German scientist. We have discussed the properties of this metric.

Now, let us consider **geodesic motion** in the vacuum Schwarzschild spacetime.

We cannot choose an arbitrary radius for an orbit around a Black Hole: there is an Innermost Stable Circular Orbit, and this has a direct impact on the accretion efficiency.

We will use Latin letters for spacetime indices. The four-velocity, as usual, is  $u^i = dx^i/d\tau$ , where  $d\tau$  is the proper time. Also, for massive particles we also have the four-momentum  $p^i = mu^i$ .

Analogously to classical mechanics, the Lagrangian of a free particle is

$$L = -\sqrt{-g_{ij}p^ip^j} = -m\sqrt{-g_{ij}u^iu^j}, \quad (1.2.39)$$

and the corresponding Euler-Lagrange equations read

$$\frac{d}{d\tau} \left( \frac{\partial L}{\partial u^i} \right) - \frac{\partial L}{\partial x^i} = 0, \quad (1.2.40)$$

however we will not study these in the general case, it is too complicated. It can be shown that along a geodesic the Lagrangian itself is conserved:  $L = \text{const}$ . Therefore,  $g_{ij}u^iu^j$  is

also a constant, a negative one since the velocity is timelike. We can then set it to  $-1$  by reparametrizing, so we will have  $u^2 = -1$  and  $p^2 = -m^2$ .

Suppose that there is a certain coordinate  $x^k$  such that

$$\frac{\partial g_{ij}}{\partial x^k} = 0, \quad (1.2.41)$$

that is, the metric does not change along the  $x^k$  direction. This is known as a **Killing vector** for the metric. Therefore,

$$\frac{\partial L}{\partial x^k} = 0, \quad (1.2.42)$$

which tells us that

$$\frac{d}{d\tau} \left( \frac{\partial L}{\partial u^k} \right) = 0, \quad (1.2.43)$$

meaning that this cyclic variable gives us a conserved quantity. If we do the calculation, the constant comes out to be

$$mg_{kj}u^j = p_k = \text{const.} \quad (1.2.44)$$

This is the case in Schwarzschild spacetime: the metric only depends on  $r$  and  $\theta$ , while  $t$  and  $\varphi$  are cyclic. Therefore, we will have two constants of motion: in terms of the momentum,  $p_t$  and  $p_\varphi$ .

We can denote them as  $E = -p_t$  and  $L = p_\varphi$ . Let us start from  $L$ :

$$L = g_{\varphi k}p^k = g_{\varphi\varphi}m \frac{dx^\varphi}{d\tau} \quad (1.2.45)$$

$$= r^2 \sin^2 \theta m \frac{d\varphi}{d\tau} \quad (1.2.46)$$

$$\frac{d\varphi}{d\tau} = \frac{L}{mr^2 \sin^2 \theta}. \quad (1.2.47)$$

Now, geodesic motion is in general planar since the metric is spherically symmetric: we do not lose any generality by setting  $\theta = \pi/2$ , so we can simplify  $\sin^2 \theta = 1$ .

In general, even in Newtonian mechanics, when discussing orbits we can decompose the velocity into the radial and perpendicular direction. The angular momentum, in classical Newtonian mechanics, has a modulus  $|\vec{L}| = |\vec{r} \wedge m\vec{v}| = mr v_\varphi = mr^2 \dot{\varphi}$ . This is the same relation we have found here.

The energy of an object with four-momentum  $p_k$  as measured by an observer with four-velocity  $u^k$  is given by  $E = -p_k u^k$ . We choose an observer which is static with respect to the coordinates:<sup>3</sup> then, we have  $dt/d\tau = \gamma/\sqrt{-g_{tt}}$ , so

$$E = -g_{tt}m \frac{dx^t}{d\tau} = + \left( 1 - \frac{2M}{r} \right) \frac{m}{\sqrt{1-v^2}} \frac{1}{\sqrt{-g_{tt}}} \quad (1.2.48)$$

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<sup>3</sup> Its four velocity will be given by  $k^i = (1/\sqrt{-g_{tt}}, \vec{0})$  by normalization.

$$= \sqrt{1 - \frac{2M}{r}} m \gamma \quad (1.2.49)$$

$$\approx m \left( 1 - \frac{M}{r} + \frac{v^2}{2} \right) \quad (1.2.50)$$

so in the Newtonian limit ( $M \ll r$ ,  $v \ll 1$ ) this is regular expression for the conservation of energy, gravitational plus kinetic.

Now we have the tools to study geodesic motion: let us write down  $p^2 = -m^2$  explicitly,

$$g_{tt}(p^t)^2 + g_{rr}(p^r)^2 + \underbrace{g_{\theta\theta}(p^\theta)^2}_{=0 \text{ if } \theta \equiv \pi/2} + g_{\varphi\varphi}(p^\varphi)^2 = -m^2, \quad (1.2.51)$$

and if we substitute we must be careful: the constants of motion are related to the *covariant* components of the quantities, so we have

$$g_{tt}(g^{tt}p_t)^2 + g_{rr}(g^{rr}p_r)^2 + g_{\varphi\varphi}(g^{\varphi\varphi}p_\varphi)^2 = -m^2 \quad (1.2.52)$$

$$g_{tt}(g^{tt})^2 E^2 + g_{rr}(p^r)^2 + g_{\varphi\varphi}(g^{\varphi\varphi})^2 L^2 = -m^2 \quad (1.2.53)$$

$$g^{tt}E^2 + g_{rr}(p^r)^2 + g^{\varphi\varphi}L^2 = -m^2 \quad (1.2.54)$$

$$-\left(1 - \frac{2M}{r}\right)^{-1} E^2 + \left(1 - \frac{2M}{r}\right)^{-1} (p^r)^2 + \frac{L^2}{r^2} = -m^2 \quad (1.2.55)$$

$$-\left(1 - \frac{2M}{r}\right)^{-1} E^2 + \left(1 - \frac{2M}{r}\right)^{-1} m^2 \left(\frac{dr}{d\tau}\right)^2 + \frac{L^2}{r^2} = -m^2, \quad (1.2.56)$$

which is an ODE for the single function  $r(\tau)$ : we can integrate this to find the shape of the trajectory. We now divide everything by  $m^2$ , and define the specific energy and angular momentum  $\epsilon = E/m$  and  $\ell = L/m$ :

$$\left(1 - \frac{2M}{r}\right)^{-1} \left[ \left(\frac{dr}{d\tau}\right)^2 - \epsilon^2 \right] + \frac{\ell^2}{r^2} = -1. \quad (1.2.57)$$

We can then express this as

$$\left(\frac{dr}{d\tau}\right)^2 = -\left(1 + \frac{\ell^2}{r^2}\right) \left(1 - \frac{2M}{r}\right) + \epsilon^2, \quad (1.2.58)$$

which can give us  $r(\tau)$  or  $r(\varphi)$  in a rather simple way numerically, however the answer is not analytic: it is given by an elliptic integral.

We can study it analytically by looking at the turning points, those with  $\frac{dr}{d\tau} = 0$ . These will tell us about the shape of the orbit. They obey the equation

$$0 = -\left(1 + \frac{\ell^2}{r^2}\right) \left(1 - \frac{2M}{r}\right) + \epsilon^2, \quad (1.2.59)$$

which means

$$\frac{\ell^2}{r^2} = \left( \epsilon^2 - 1 + \frac{2M}{r} \right) \left( 1 - \frac{2M}{r} \right)^{-1}, \quad (1.2.60)$$

which has the solutions

$$\ell_{\pm} = \pm r \left[ \left( \epsilon^2 - 1 + \frac{2M}{r} \right) \left( 1 - \frac{2M}{r} \right)^{-1} \right]^{1/2}. \quad (1.2.61)$$

Let us define  $\lambda = \ell/2M$  and  $x = r/2M$ . These are dimensionless in our units. Also, we define  $\Gamma = \epsilon^2 - 1$ . They satisfy

$$\lambda_{\pm} = \pm x \sqrt{\left( \Gamma + \frac{1}{x} \right) \left( 1 - \frac{1}{x} \right)^{-1}} = \pm x \sqrt{\frac{x\Gamma + 1}{x - 1}}. \quad (1.2.62)$$

We want to draw these as curves in the  $x, \lambda$  plane. We fix a value of  $\ell$ , which means we have chosen  $\lambda$ . This depends on the initial conditions of the motion of the particle. If this is a  $\lambda_+$ , then  $x$  can move in all the region  $x(\lambda_+) < x < \infty$ .

If we want to set  $\lambda_{\pm} = 0$ , then we find that  $x = -1/\Gamma$ , which is only possible if  $\Gamma < 0$ .

This is the relativistic analog of the hyperbolic trajectories in the Keplerian case.

Let us try to compute  $\frac{d\lambda_{\pm}}{dx}$ : this yields

$$2x^2\Gamma + 3x - 3x\Gamma - 2 = 0 \quad (1.2.63)$$

$$\Gamma(2x^2 - 3x) + x - 2 = 0 \quad (1.2.64)$$

$$\Gamma = \frac{2 - x}{2x^2 - 3x}. \quad (1.2.65)$$

This means we have a single curve, which will relate  $\Gamma$  and  $x$ . The physical cases are only the ones with  $\Gamma > -1$ . The minimum is at  $x = 3$ , corresponding to  $r = 6M$ , where we have  $\Gamma = -1/9$ . This corresponds to  $\epsilon^2 - 1$ : so,  $\epsilon = \sqrt{2} \times 2/3$ .

For  $-1/9 < \Gamma < 0$  we have two choices for  $x$ , for  $\Gamma > 0$  we have only one.

Coming back to the  $\lambda_{\pm}$  curves, we can have  $\lambda_{\pm}$  as two distinct curves, and there is a region around  $\lambda = 0$  for which the particle will cross the horizon.

This allows us to compute the cross-section for gravitational capture, which is nonvanishing *even if* we neglect the size of the black hole.

We were discussing geodesics in the external Schwarzschild solution. We wrote down the locus of the inversion point  $\dot{r} = 0$ : this is

$$\lambda_{\pm} = \pm x \sqrt{\frac{\Gamma x + 1}{x - 1}}. \quad (1.2.66)$$

1. For  $\Gamma > 0$  there is one extremum;
2. for  $-1/9 < \Gamma < 0$  there are two extrema;
3. for  $-1 < \Gamma < -1/9$  there are no extrema.

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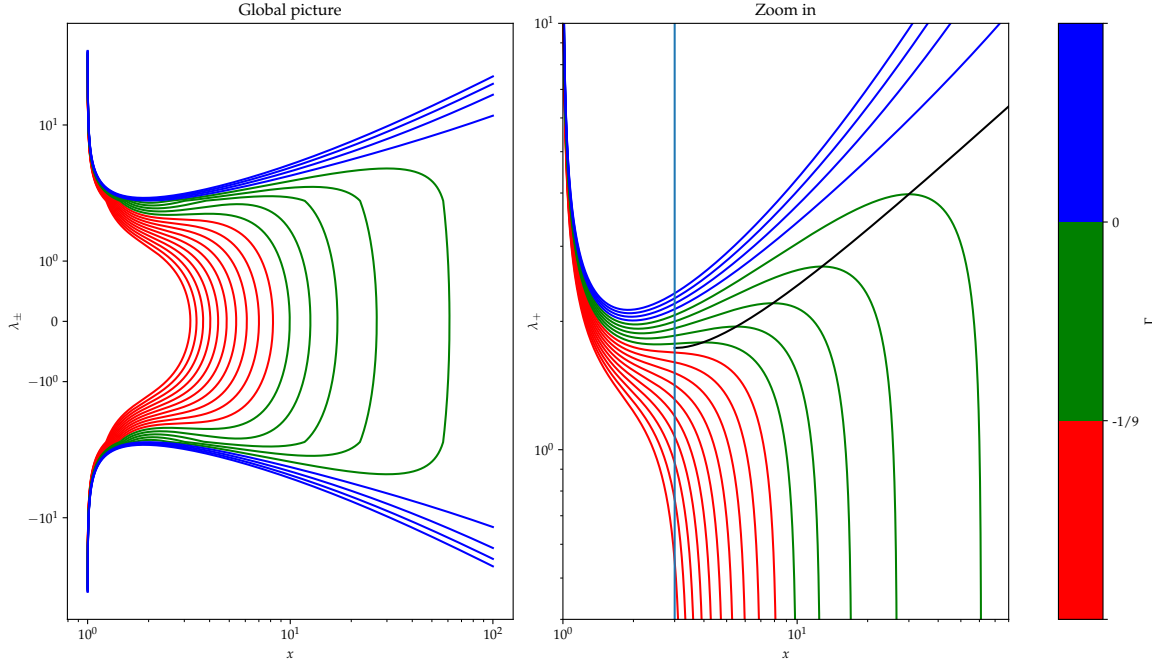


Figure 1.1: Inversion points for orbits in the Schwarzschild exterior metric. The vertical line in the zoomed-in view marks  $x = 3$ , or  $r = 6M$ .

Let us consider the two-extrema case. Then, there is an intersection with the  $x$  axis at  $x = -1/\Gamma$  for the  $\lambda_{\pm}$ . Then the curve looks like a doorknob: it is a closed curve.

In this case, we are considering *bound states*: the particle can start on the LHS of the curve, and if this is the case it must reach the inversion point and then go back and eventually cross the horizon. If it is in the “doorknob region”, then it is trapped there.

These orbits are similar to the Newtonian elliptic ones, but there are differences. These are radially bound, however they are not in general periodic: they will precess.

We can also have circular orbits, both stable and unstable.

In the no-extrema case there are no orbits. The limiting case  $\Gamma = -1/9$  is interesting: the minimum becomes an inflection point, with zero second derivative. This happens at  $x = 3$ . This is the ISCO: the Innermost Stable Circular Orbit.

The corresponding energy is given by  $\epsilon$ , which can be determined through  $\epsilon^2 - 1 = -1/9$ :  $\epsilon = \sqrt{8/9} = 2\sqrt{2}/3$ , so

$$E_{\text{ISCO}} = \frac{2\sqrt{2}}{3}mc^2. \quad (1.2.67)$$

If we can bring a particle from infinity to the ISCO (which is what happens as an accretion disk is formed) it can release an energy equal to  $mc^2 - E_{\text{ISCO}} = (1 - 2\sqrt{2}/3)mc^2$ . This is why an accretion disk can produce a large amount of energy.

The efficiency can then be computed as

$$\text{efficiency} = \frac{mc^2 - 2\sqrt{2}mc^2/3}{mc^2} \approx 6\%. \quad (1.2.68)$$

This is a *very large* efficiency: an order of magnitude more than the efficiency of nuclear burning.

### 1.3 Schwarzschild internal solution

Now we try to solve the EFE under spherical symmetry *with* a source:

$$G_{ij} = R_{ij} - \frac{1}{2}g_{ij}R = 8\pi T_{ij}, \quad (1.3.1)$$

with a metric

$$ds^2 = -B(r) dt^2 + A(r) dr^2 + r^2 d\Omega^2. \quad (1.3.2)$$

Birkhoff does not apply here: we are *assuming* stationarity. We will use a very simple  $T_{ij}$ : a perfect fluid, where

$$T_{ij} = (\rho + P)u_i u_j + P g_{ij}, \quad (1.3.3)$$

where the energy density is given by  $\rho = \rho_0(1 + \epsilon)$ :  $\rho_0$  is the rest energy density of the fluid, while  $\epsilon$  accounts for thermal motion and other kinds of internal energy.

We will assume that the fluid is at rest with respect to the interior: we want to find an analog of the hydrostatic equilibrium equation. So,  $dr = d\theta = d\varphi = 0$ , while only  $dt \neq 0$ . This means that only  $u^0 \neq 0$ , and normalization requires  $g_{ij}u^i u^j = -1$ : this means that  $u^0 = 1/\sqrt{B}$ , and the whole vector reads  $u^i = \delta_0^i / \sqrt{-g_{00}}$ .

Let us then write the nonzero mixed components of the stress-energy tensor:

$$T_0^0 = (P + \rho)u_0 u^0 + P g_0^0 = -\rho \quad T_1^1 = T_2^2 = T_3^3 = P, \quad (1.3.4)$$

since if we have one upper and one lower index the normalization in  $u^i u_j$  simplifies.

Let us also skip the computations: the Einstein tensor reads

$$G_0^0 = \frac{1}{A} \left( \frac{1}{r^2} - \frac{A'}{rA} \right) - \frac{1}{r^2} = -8\pi\rho \quad (1.3.5)$$

$$G_1^1 = \frac{1}{A} \left( \frac{1}{r^2} - \frac{B'}{rB} \right) - \frac{1}{r^2} = 8\pi P \quad (1.3.6)$$

$$G_2^2 = G_3^3 = -\frac{1}{2A} \left[ \frac{A'B'}{2AB} + \left( \frac{B'}{B} \right)^2 - \frac{B'}{Br^2} + \frac{A'}{r^2 A} - \frac{B''}{2B} \right] = 8\pi P. \quad (1.3.7)$$

We need to solve for  $\rho$ ,  $P$ ,  $A$  and  $B$ : the equations of motion of the particles,  $\nabla_i T^{ij} = 0$ , will be automatically satisfied as a consequence of the Einstein equations.

We have three equations for four variables: we need an additional one, typically we combine them with an *equation of state* for  $P$ : a simple case, a *barotropic EOS*, is in the form  $P = P(\rho)$ .

The first equation can be written as

$$\frac{1}{A} - \frac{rA'}{A^2} = 1 - 8\pi r^2 \rho \quad (1.3.8)$$

$$\frac{d}{dr} \left( \frac{r}{A} \right) = 1 - 8\pi r^2 \rho, \quad (1.3.9)$$

which we can integrate to find

$$\frac{r}{A} = r - \int_0^r 8\pi \tilde{r}^2 \rho \, d\tilde{r}. \quad (1.3.10)$$

We introduce the quantity

$$m(r) = \int_0^r 4\pi \tilde{r}^2 \rho \, d\tilde{r}, \quad (1.3.11)$$

so that

$$A(r) = \left( 1 - \frac{2m(r)}{r} \right)^{-1}. \quad (1.3.12)$$

It is important to state that  $m(r)$  is *not* the total mass-energy enclosed in the region below  $r$ : we are not integrating with respect to a covariant volume form.

With the second two equations, we end up with

$$\frac{1}{2B} \frac{dB}{dr} = -\frac{1}{P + \rho} \frac{dP}{dr}. \quad (1.3.13)$$

We have essentially solved for  $B$ . We can also manipulate the equations to find:

$$\frac{dP}{dr} = -\frac{m(r)\rho}{r^2} \left( 1 + \frac{P}{\rho} \right) \left( 1 + \frac{4\pi r^3 P}{m(r)} \right) \left( 1 - \frac{2m(r)}{r} \right)^{-1}, \quad (1.3.14)$$

the **Tolman-Oppenheimer-Volkov** (TOV) equation. This reduces to the hydrostatic equilibrium equation in weak gravity.

The three other terms are basically three relativistic corrections, from velocity and radius: the first are applied based on whether the gas is relativistic *in its own rest frame*; if this is the case, then  $P \sim \rho$ . The first term is a local correction, the second one is a global one; the third term on the other hand is the usual GR correction, based on  $r_{\text{Schw}}/r$ .

We can also write

$$\frac{dm}{dr} = 4\pi r^2 \rho. \quad (1.3.15)$$

This equation, the TOV one and the equation of state form a closed system for  $m$ ,  $\rho$  and  $P$ .

As for boundary conditions, we require that  $m(0) = 0$  and  $P(R) = 0$ . This is hard to do numerically: it is a boundary value problem, not an initial condition one.



Finally, we discuss the meaning of  $m(r)$ . The total  $M$  is given by

$$M = \int_0^R 4\pi r^2 \rho \, dr , \quad (1.3.16)$$

where  $R$  is the radius of the star. This is *not* the total mass, since  $dV$  is *not*  $4\pi r^2 dr$ . The *proper* three-volume element is instead given by  $dV = 4\pi r^2 \sqrt{A(r)} dr$ , since the true radial distance is calculated through the metric:  $ds^2 = A(r) dr^2$  if the measured length is radial.

We call  $M_*$  the mass which is calculated including the  $\sqrt{A}$  term. Their difference is

$$M - M_* = \int_0^R 4\pi r^2 \rho (1 - \sqrt{A}) \, dr . \quad (1.3.17)$$

If  $r \gg 2m$  (the weak-field limit) then we can approximate  $A = 1 - 2m/r$ :

$$M - M_* \approx \int_0^R 4\pi r^2 \rho \left(1 - 1 - \frac{m}{r}\right) \, dr \quad (1.3.18)$$

$$\approx - \int_0^R \frac{m}{r} \underbrace{4\pi r^2 \rho \, dr}_{dm} \quad (1.3.19)$$

$$\approx - \int_M \frac{Gm}{r} \, dm = E_g . \quad (1.3.20)$$

So, in the weak field limit, the mass defect is given by the gravitational potential energy! This is a physical consequence of the *nonlinearity* of the EFE.

The mass  $M$  is the one which would be measured by an outside observer through gravitational effects (since it appears in the expression for  $A = B^{-1}$  in the exterior region  $r > R$ ); it is written as  $M = M_* + E_b < M_*$ , with  $M_*$  being the “true” matter content of the interior.

## 1.4 The Kerr solution

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Some history: the Schwarzschild was found in 1915 while S. was serving in the army; the second exact solution was found in 1963 by a PhD student in New Zeland [Ker63]. In Cartesian coordinates the metric is hideous; nowadays we use the coordinates defined by Boyer and Lyndquist: starting from a flat set of Cartesian coordinates  $x, y, z$  we define  $r, \theta, \varphi$  as

$$x = \sqrt{r^2 + a^2} \sin \theta \sin \varphi \quad (1.4.1)$$

$$y = \sqrt{r^2 + a^2} \sin \theta \cos \varphi \quad (1.4.2)$$

$$z = r \cos \theta . \quad (1.4.3)$$

These are then not *spherical* but *spheroidal* coordinates. Constant- $r$  spheroids are oblate ellipsoids in the  $z$  direction.

The Kerr solution, for who wants to see the computation, is derived in “The mathematical theory of Black Holes” by Chandrasekhar [Cha98]. The metric reads

$$ds^2 = - \left(1 - \frac{2Mr}{\Sigma}\right) dt^2 + \frac{\Sigma}{\Delta} dr^2 + \Sigma d\theta^2 + \left(r^2 + a^2 + \frac{aA}{\Sigma}\right) \sin^2 \theta d\varphi^2 - \frac{2A}{\Sigma} dt d\varphi , \quad (1.4.4)$$

where:

$$\Delta = r^2 - 2Mr + a^2 \quad \Sigma = r^2 + a^2 \cos^2 \theta \quad A = 2Mar \sin^2 \theta, \quad (1.4.5)$$

while  $a = J/M$  is the *specific angular momentum* of the black hole.

It describes the spacetime outside an axially symmetric, stationary body. In the Schwarzschild spacetime we have identified an horizon at  $r = 2M$  by the properties that  $g_{00} \rightarrow 0$  and  $g_{rr} \rightarrow \infty$ .

What about Kerr? Are there horizons? In this case, the two conditions do not happen in the same place. The region  $g_{00} = 0$  is known as the *limit of staticity*: if it is the case, an observer's worldline cannot be both *timelike* and *stationary* (meaning time-directed).

The condition  $g_{00} > 0$  is equivalent to  $\Sigma < 2Mr$ . Now, consider the  $dr^2$  coefficient:  $\Sigma/\Delta$ : this is positive always.

If we are in the region  $\theta = \pi/2$ , at  $g_{00} > 0$  we can still have the metric's signature be  $-+++$ , since we have the  $dt d\varphi$  term.

As long as  $a d\varphi > 0$ , that term in the metric is negative, allowing the signature of the metric to be preserved.

This means that **the particle must be co-rotating** with the black hole if it is in the region  $g_{00} > 0$ .

As long as this is the case, however, a particle can remain at fixed  $r$  and even escape. This is, then, *not* an horizon.

The horizon, instead, is found when  $g_{rr}$  diverges: this is equivalent to  $\Delta \rightarrow 0$ , which means

$$r^2 - 2Mr + a^2 = 0 \implies r = M \pm \sqrt{M^2 - a^2}. \quad (1.4.6)$$

Both of these radii correspond to an horizon. Let us denote  $r_+ = r_H$ , since the inner horizon cannot really affect any observations.

In order for these two solutions to be real, we must have  $a < M$ . There is an horizon as long as  $a/M < 1$ .

If  $a > M$ , we have a **naked singularity**, since the singularity at  $\Sigma = 0$  is still there. This singularity, in any case, is not shaped like a point: we only reach it along the equatorial plane.

Penrose proposed the cosmic **censorship hypothesis**: the universe is a "prude", it always hides singularities with horizons. There are good theoretical reasons to believe that this is verified.

Where is the limit of staticity? The equation is

$$r^2 + a^2 \cos^2 \theta - 2Mr = 0, \quad (1.4.7)$$

which is solved by

$$r_{\pm} = M \pm \sqrt{M^2 - a^2 \cos^2 \theta}. \quad (1.4.8)$$

There are two of these surfaces as well, and we consider the outer one as before:  $r_E = r_+$ . If the horizon exists, then this region also exists.

This region is called the **ergosphere**, and the region between  $r_H < r < r_E$  is called the **ergoregion**.

See Heinicke and Hehl [HH15, sec. 3] for an in-depth discussion of the shape of these regions; figure 12 there also shows them graphically.

The name comes from the fact that we can extract rotational energy from the BH. “Ergo” means energy.

There has been a long debate about whether the Penrose process actually occurs in a realistic astrophysical setting: the consensus is that the trajectory a particle must take in order for this to happen is way too peculiar.

Note that in this case we also have the cyclic coordinates  $\varphi$  and  $t$ . We have two constants of motion like in Schwarzschild.

It can be shown that there exists a third constant of motion, beyond  $E$  and  $L_z$ :  $Q$ , called Carter’s constant.

For motion in the equatorial plane we can write the following expression for the energy integral:

$$E = \frac{r^{3/2} - 2r^{1/2} \pm aM^{1/2}}{r^{3/2}(r^{3/2} - 3Mr^{1/2} \pm 2aM^{1/2})^{1/2}}, \quad (1.4.9)$$

where  $\pm$  refers to whether the particle moves along a prograde or retrograde trajectory.

The expression for the last stable (LS, or sometimes MS for “marginally stable”) circular orbit is [PQR11, eq. 28]:

$$r_{LS} = M \left[ 3 + z_2 \mp [(3 - z_1)(3 + z_1 + 2z_2)]^{1/2} \right], \quad (1.4.10)$$

where

$$z_1 = 1 + \left( 1 - \frac{a^2}{M^2} \right)^{1/3} \left[ \left( 1 + \frac{a}{M} \right)^{1/3} + \left( 1 - \frac{a}{M} \right)^{1/3} \right] \quad (1.4.11)$$

$$z_2 = \left( 3 \frac{a^2}{M^2} + z_1^2 \right)^{1/2}. \quad (1.4.12)$$

This reduces to  $r_{LS} = 6M$  in the  $a = 0$  case, since then we have  $z_1 = z_2 = 3$ .

What happens for an extreme Kerr BH, with  $a = M$ ? Then  $z_1 = 1$ ,  $z_2 = 2$ : so,

$$r_{LS} = M \left[ 3 + 2 \mp \sqrt{2 \times 8} \right] = M[5 \mp 4], \quad (1.4.13)$$

which yields  $r_{LS} = M$  in the corotating case, and  $r_{LS} = 9M$  in the counter-rotating case.

We expect that the efficiency of a Kerr BH in the extraction of energy from matter will be higher than the Schwarzschild solution. Let us use the expression we found for the specific energy  $E$ , with respect to the parameter  $x = r/M$ :

$$E = \frac{x^{3/2} - 2x^{1/2} \pm a/M}{x^{3/2}\sqrt{x^{3/2} - 3x^{1/2} \pm 2a/M}}, \quad (1.4.14)$$

which in the extreme case becomes

$$E = \frac{x^{3/2} - 2x^{1/2} \pm 1}{x^{3/2}\sqrt{x^{3/2} - 3x^{1/2} \pm 2}}, \quad (1.4.15)$$

so we can take the limit: in the corotating direct case, sending  $x \rightarrow 1$  we get  $E = 1/\sqrt{3} \approx 0.577$ .

The efficiency is given by

$$\eta = \frac{E_\infty - E}{E_\infty} = 1 - 1/\sqrt{3} \approx 42\%. \quad (1.4.16)$$

This is a huge amount of energy: we do not expect real black holes to be extreme. There are estimates of the spins of the black holes. What is found is that they seem to cover the whole range  $0 < a/M < 1$ .

An issue regarding a wide-spread misconception: the parameter  $M$  in the interior Schwarzschild solution is the same as the  $M$  in the corresponding *exterior* solution. Can we apply the same kind of reasoning for Kerr? Is there an interior Kerr solution? We don't know, but most probably not. Many efforts were put into seeking it, and they all failed. The properties of the matter and radiation inside are weird.

The wide-spread misconception is to claim that the Kerr spacetime describes the spacetime around a rotating star. It sounds reasonable, but it's wrong. Numerically we can derive the true form of the spacetime outside something like a neutron star: it is very different from the Kerr spacetime.

A qualitative argument: a star will generally have a quadrupole moment and emit GWs, while Kerr does not. Kerr is a Petrov-type-D spacetime, which is *nonradiating*. If we do the calculation, we find that the quadrupole moment of Kerr is  $Q = J/M$ .

For an in-depth discussion see Berti et al. [Ber+05], which refers to Baker and Campanelli [BC00] for its tools to measure “non-type-D-ness”.

The Petrov classification refers to the symmetries of the Weyl tensor — the “deviatoric” part of the Riemann tensor, which describes deformation but not expansion/compression. Kerr spacetime can be analytically shown to be type D, which means its Weyl tensor is “highly symmetric”, and the consequence of this is that the radiation it can emit decays like  $r^{-3}$ , like the tidal tensor of regular Newtonian gravity. This prevents the emission of long-range  $r^{-1}$  gravitational radiation, which we know NSs to emit.

## 1.5 The equation of state and degenerate gasses

We move away from the relativistic realm, and treat the more classical Equation of State (EoS). In general  $P$  could be a function of  $\rho$ ,  $\mu$ ,  $T$  and other variables. An often-used one is  $P = P(\rho)$ ; also sometimes we use  $u = u(\rho)$ , where  $u$  is the internal energy density.

We will treat the equation of state of a completely degenerate gas.

Let us start for a very simple system: a **hydrogen plasma**. It is a collection of  $e^-$  and protons  $p$ .

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We have complete collisional ionization for  $T \gtrsim 10^5$  K. Under which conditions is this plasma relativistic or nonrelativistic? This is shown as the blue area in figure 1.2.

The electrons are surely relativistic if  $k_B T \gtrsim m_e c^2$ , which corresponds to  $T \gtrsim 6 \times 10^9$  K. For the protons, an analogous equation yields  $T \gtrsim 10^{13}$  K: these temperatures are basically never reached for realistic astrophysical scenarios.

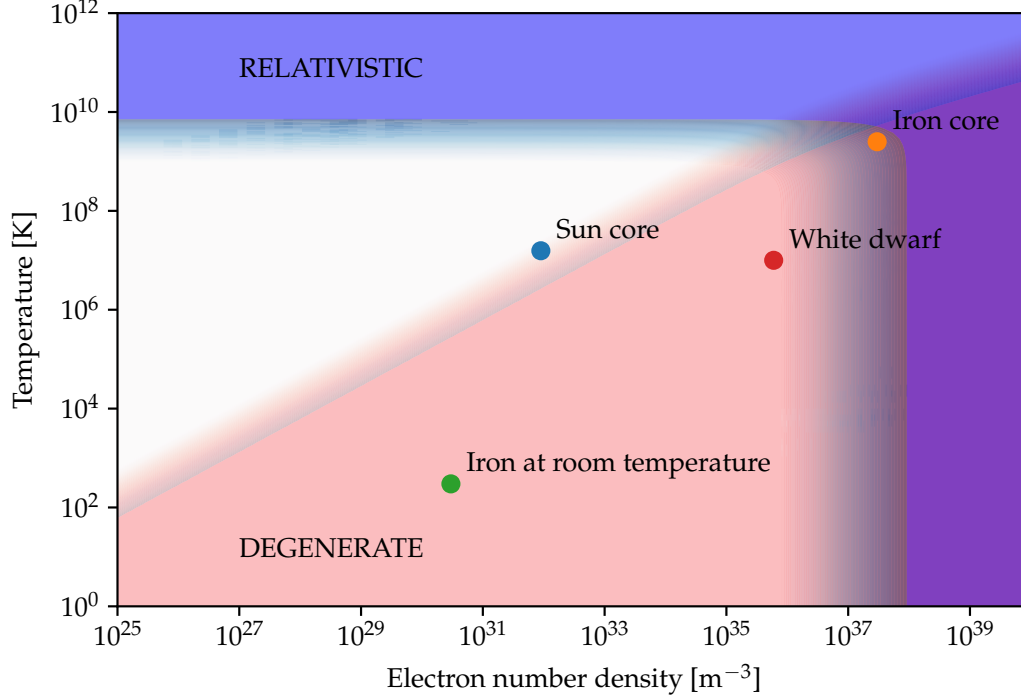


Figure 1.2:

In the region of  $10^5 \text{ K} \lesssim T \lesssim 10^9 \text{ K}$  (for low densities), the plasma will be ionized but not relativistic.

The ideal gas law for the electrons reads  $P_e = n_e k_B T$ , for the ions  $P_i = n_i k_B T$ . However, electrons are much lighter than protons, so for the same forces they will accelerate more, so they will radiate away more energy.

Another complication is the following: consider a collection of  $N$  ionic species, then for each of these (labelled by  $k$ ) we will have  $P_{i,k} = n_{i,k} k_B T$ . The total pressure can be calculated by summing over all of these, plus the electrons:

$$P = n_e k_B T + \sum_k n_{i,k} k_B T = k_B T \left( n_e + \sum_k n_{i,k} \right) \quad (1.5.1)$$

$$= \frac{n k_B T}{\mu}, \quad (1.5.2)$$

where  $\mu$  is the *mean molecular weight*, calculated through the total baryonic number density

$n$ :

$$\mu = \left[ \frac{n_e}{n} + \frac{\sum_k n_{i,k}}{n} \right]^{-1}. \quad (1.5.3)$$

For a pure hydrogen plasma, we have one electron per proton, so the baryonic density is equal to the hydrogen atom density and we find

$$\mu_H = [1 + 1]^{-1} = \frac{1}{2}. \quad (1.5.4)$$

For a pure helium plasma we have two electrons per Helium nucleus, which contains 4 baryons: so,

$$\mu_{\text{He}} = \left[ \frac{2}{4} + \frac{4}{4} \right]^{-1} = \frac{4}{3}. \quad (1.5.5)$$

This holds under the assumption that the plasma behaves like an ideal gas, and that the electrons and protons are nonrelativistic. There is a crucial reason why the first assumption fails: **degeneracy**.

Electrons obey Dirac statistics, and if the density is high enough they can behave very similarly to the  $T = 0$  limit even for high temperatures. The region in which they behave like a fully degenerate gas is shown in pink in figure 1.2.

The distribution function for a system of fermions reads

$$\frac{dN}{d^3x d^3p} = \frac{2}{h^3} \underbrace{\left[ \exp\left(\frac{E}{k_B T} - \alpha\right) + 1 \right]}_f^{-1}. \quad (1.5.6)$$

Here, the *degeneracy parameter* is  $\alpha = \mu/k_B T$ , where  $\mu$  is the chemical potential. The energy is expressed as  $E = \sqrt{m^2 c^4 + p^2 c^2}$ .

If we want to compute  $\alpha$ , we can just integrate:

$$n = \int_{\mathbb{R}^3} \frac{dN}{d^3x d^3p} d^3p \quad (1.5.7)$$

$$= \frac{2}{h^3} \int_0^\infty \left[ \exp\left(\frac{E}{k_B T} - \alpha\right) + 1 \right]^{-1} 4\pi p^2 dp. \quad (1.5.8)$$

This integral can be computed for any value of  $\alpha$  and  $T$ : we then find  $n = n(\alpha, T)$ . Inverting this relation, we find  $\alpha = \alpha(n, T)$ .

The logarithm of the absolute value of  $\alpha k_B T = \mu$  can be plotted against  $\log n$  for different values of the temperature  $T$ . For each  $T$ , there is a cusp:  $\alpha$  changes sign. It can become very big and positive or very big and negative.

Let us start with the case  $|\alpha| \gg 1$  and  $\alpha < 0$ : this corresponds to low  $n$ , high  $T$ . Then, the  $-\alpha$  appearing in the distribution is large and positive: then, the distribution looks like  $f \sim \exp\left(-\frac{E}{k_B T}\right)$ , the Maxwell-Boltzmann distribution. The gas is behaving like an ideal gas.

Another option is  $|\alpha| \gg 1$ ,  $\alpha > 0$ . This is the case in which we have low  $T$ , high  $n$ . Even in the  $T \rightarrow 0$  limit, the product  $\alpha k_B T$  stays finite: this is a function of  $n$ , and is called  $E_F$ .

The distribution then looks like  $f \sim \left[ \exp((E - E_F)/k_B T) + 1 \right]^{-1} \rightarrow [E \leq E_F] = [p \leq p_F]$  in the limit  $T \rightarrow 0$ . (I use the Iverson bracket: [proposition] is 1 if the proposition is true, 0 if it is false).

The higher  $n$  is, the higher the  $T$  for which the behavior is close to the  $T \rightarrow 0$  limit.

In the  $T \rightarrow 0$  limit, we can do the integration analytically: this yields an explicit expression for  $n$  in terms of the Fermi momentum  $p_F$  corresponding to the Fermi energy  $E_F$ :

$$n = \frac{2}{h^3} \int [p \leq p_F] 4\pi p^2 dp = \frac{8\pi p_F^3}{3h^3} \quad (1.5.9)$$

$$p_F = \sqrt[3]{\frac{3n}{8\pi}} h. \quad (1.5.10)$$

This momentum is a characteristic of  $n$  independently of the temperature: for  $T > 0$  it will not be a hard limit anymore, but it is still a good descriptor of the Fermi gas.

We can write

$$E_F = \sqrt{m^2 c^4 + p_F^2 c^2} = \sqrt{1 + x_F^2} mc^2, \quad (1.5.11)$$

where  $x_F = p_F/mc^2$ . For a nonrelativistic particle distribution  $x_F \ll 1$ , so  $E_F \approx mc^2 + x_F^2 mc^2/2$ . The dependence on the number density of the kinetic part is  $\sim x_F^2 \sim n^{2/3}$ .

On the other hand, in the ultrarelativistic limit  $E_F \approx x_F mc^2 \sim x_F \sim n^{1/3}$ .

This is the reason why in figure 1.2 the pink boundary curves down in the blue (relativistic region).

It is useful to define this quantity in terms of proper density, in  $\text{g/cm}^3$ , instead of number density.

We have

$$x_F = \frac{p_F}{mc} = \left( \frac{3h^3}{8\pi} \right)^{1/3} \frac{1}{mc} n^{1/3}; \quad (1.5.12)$$

the only degenerate species we will consider will be electrons, so the  $n$  in this equation should be substituted for  $n_e$ , which we can express by multiplying above and below by the mean baryon mass  $m_b$  multiplied by the baryon density  $n$ , whose product is the baryonic mass density  $\rho$ :

$$n_e = \frac{n_e m_b n}{n m_b} = \rho \frac{n_e}{n} \frac{1}{m_b} = \frac{\rho}{\mu_e m_b}, \quad (1.5.13)$$

which gives us:

$$x_F \sim \left( \frac{n_e}{6 \times 10^{35} \text{ m}^{-3}} \right)^{1/3} \quad (1.5.14)$$

$$x_F \sim \left( \frac{\rho/\mu_e}{10^9 \text{ kg/m}^3} \right)^{1/3}. \quad (1.5.15)$$

This corresponds to the vertical delimiter in figure 1.2: even at cold  $T$  for high enough densities the gas becomes relativistic.

The internal energy density  $u$  (not denoted as  $\rho$  to avoid confusion between it and the mass density) is computed in general as

$$u = \frac{2}{h^3} \int E(p) \frac{dN}{d^3x d^3p} d^3p, \quad (1.5.16)$$

which in our case (complete degeneracy) is

$$u = \frac{2}{h^3} \int_0^{p_F} \sqrt{m^2 c^4 + p^2 c^2} 4\pi p^2 dp \quad (1.5.17)$$

$$= \frac{8}{h^3} \pi m c^2 (m c)^3 \int_0^{p_F} \sqrt{1 + x^2} x^2 dx \quad (1.5.18)$$

$$= \frac{8\pi m^4 c^6}{h^3} \frac{x_F^4}{4} I(x_F), \quad (1.5.19)$$

where  $I(x_F)$  can be computed analytically, but it is of order 1 as can be seen by the asymptotics of the integral.

The integral reads

$$\int_0^{p_F} \sqrt{1 + x^2} x^2 dx = \frac{1}{8} \left[ x_F (1 + 2x_F^2)^2 \sqrt{1 + x_F^2} - \log \left( x_F + \sqrt{1 + x_F^2} \right) \right] \quad (1.5.20)$$

$$\stackrel{\text{def}}{=} \frac{x_F^4}{4} I(x_F). \quad (1.5.21)$$

For the pressure we have a similar integral, which however is more complicated from the conceptual point of view.

The first law of thermodynamics states that

$$dU + p dV = 0, \quad (1.5.22)$$

as long as the transformation does not exchange heat with its surroundings. Note that  $du = d(U/V) = V^{-1} dU - UV^{-2} dV$ , which means that

$$\frac{dU}{V} = du + \frac{u}{V} dV. \quad (1.5.23)$$

Substituting into the first law of thermodynamics,

$$du + \frac{U}{V} dV + P \frac{dV}{V} = 0 \quad (1.5.24)$$

$$du + \left( \frac{U}{V} + P \right) \frac{dV}{V} = 0, \quad (1.5.25)$$



but since  $V \propto 1/n$  we have

$$\frac{dV}{V} = -\frac{dn}{n}. \quad (1.5.26)$$

Substituting this in, we get

$$du - (P + u)\frac{dn}{n} = 0. \quad (1.5.27)$$

This means that

$$n \frac{du}{dn} = P + u, \quad (1.5.28)$$

which allows us to compute the pressure! The only step remaining is to replace  $dn/n$  with an expression in terms of  $x_F$ , which is

$$\frac{dx_F}{x_F} = 3 \frac{dn}{n}. \quad (1.5.29)$$

This finally yields

$$P = \frac{x_F}{3} \frac{du}{dx_F} - u. \quad (1.5.30)$$

Then we are almost done: we can compute the pressure with  $u$ , for which we have an analytic expression, and  $du/dx_F$ , which we can easily find since the original expression for  $u$  was an integral in  $dx_F$ , from which we can read off the integrand.

This yields

$$P = \frac{8\pi m^4 c^5}{h^3} \left[ \frac{1}{3} x_F^3 \sqrt{1+x_F^2} - \frac{1}{8} \left( x_F(1+2x_F)^2 \sqrt{1+x_F^2} - \log(x_F + \sqrt{1+x_F^2}) \right) \right] \quad (1.5.31)$$

$$= \frac{m^4 c^5 \pi}{h^3} \left[ x_F \sqrt{1+x_F^2} \left( \frac{8}{3} x_F^2 - (1+2x_F^2) \right) + \log(x_F + \sqrt{1+x_F^2}) \right] \quad (1.5.32)$$

$$= \frac{m^4 c^5 \pi}{h^3} \left[ x_F \sqrt{1+x_F^2} \left( \frac{2}{3} x_F^2 - 1 \right) + \log(x_F + \sqrt{1+x_F^2}) \right]. \quad (1.5.33)$$

Back to what we were saying: we have an explicit expression for the pressure of a degenerate electron gas.

Let us consider the ultrarelativistic limit first,  $x_F \gg 1$ :

$$P \approx \frac{\pi m^4 c^5}{h^3} \left[ x_F^2 \frac{2}{3} x_F^2 + \log(2x_F) \right] \quad (1.5.34)$$

$$\approx \frac{\pi m^4 c^5}{h^3} \frac{2}{3} x_F^4 \propto n^{4/3} \propto \rho^{4/3}. \quad (1.5.35)$$

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In the opposite limit,  $x_F \ll 1$ , we would need to expand up to fifth order to see through all the cancellations: skipping all that mess, we find

$$P \approx \frac{8}{15} \frac{m^4 c^5}{h^3} x_F^5 \propto n^{5/3} \propto \rho^{5/3}. \quad (1.5.36)$$

The results are similar: in both cases,  $P \propto \rho^\gamma$ , with  $\gamma = 5/3$  and  $4/3$  respectively.

This allows us to compute the maximum mass that a spherical equilibrium configuration can reach if it is supported by the degeneracy pressure of the electron gas alone: the **Chandrasekhar mass**. This is the maximum mass of a white dwarf.

We need to start with the **Lane-Emden equation**, which is also due to work by Chandrasekhar.

We have a spherical star with no nuclear burning. The only forces are due to gravity and pressure. This is a good description of a white dwarf. Sometimes white dwarfs can have some burning on their surface if they are in binary systems, if they accrete fresh mass.

The equation of hydrostatic equilibrium reads

$$\frac{dP}{dr} = -\frac{Gm(r)\rho}{r^2}, \quad (1.5.37)$$

where  $m(r)$  is the mass contained within a shell of radius  $r$ :

$$m(r) = \int_0^r 4\pi r^2 \rho dr \quad \frac{dm}{dr} = 4\pi r^2 \rho. \quad (1.5.38)$$

We want to couple these two equations in order to get a single one: we will find a second-order equation. We start from

$$\frac{r^2}{\rho} \frac{dP}{dr} = -Gm \quad (1.5.39)$$

$$\frac{d}{dr} \left( \frac{r^2}{\rho} \frac{dP}{dr} \right) = -G \frac{dm}{dr} = -4\pi G r^2 \rho \quad (1.5.40)$$

$$\frac{1}{r^2} \frac{d}{dr} \left( \frac{r^2}{\rho} \frac{dP}{dr} \right) = -4\pi G \rho. \quad (1.5.41)$$

We also need to specify an equation of state, which we will have in the form  $P(\rho)$ : we assume  $P = K\rho^\gamma$ , a **polytropic** EoS, with constant  $\gamma$ . Sometimes this is also written through  $\gamma = 1 + 1/n$ , where  $n$  is called the polytropic index.

A convenient way to solve the equation is to substitute  $\rho = \lambda\phi^n$ : then,  $P = \lambda^{1+1/n} K \phi^{n+1}$ . Then, if we assume that the function  $\phi$  is dimensionless and such that  $\phi(0) = 1$ , we have  $\lambda = \rho_c$ .

We need to compute

$$\frac{dP}{dr} = \frac{d}{dr} (K \lambda^{1+1/n} \phi^{n+1}) \quad (1.5.42)$$

$$= K \lambda^{1+1/n} (n+1) \phi^n \frac{d\phi}{dr}. \quad (1.5.43)$$

$n$	$\xi_1$
0	$\sqrt{6}$
3/2	3.65
5	$\infty$

Figure 1.3: First zero crossing as a function of  $n$ .

Then, the equation reads

$$K\lambda^{1/n}(n+1)\frac{1}{r^2}\frac{d}{dr}\left(r^2\frac{d\phi}{dr}\right) = -4\pi G\lambda\phi^n \quad (1.5.44)$$

$$a^2\frac{1}{r^2}\frac{d}{dr}\left(r^2\frac{d\phi}{dr}\right) = -\phi^n, \quad (1.5.45)$$

where

$$a^2 = \frac{K(n+1)\lambda^{-1+1/n}}{4\pi G}. \quad (1.5.46)$$

The physical dimensions of  $a$  are those of a length. We then introduce a new radial coordinate  $\xi = r/a$ , and we have the right amount of  $a$ s on the left-hand side to adimensionalize everything:

$$\frac{1}{\xi^2}\frac{d}{d\xi}\left(\xi^2\frac{d\phi}{d\xi}\right) = -\phi^n, \quad (1.5.47)$$

which is the usual formulation of the Lane-Emden equation.

What are the boundary conditions we need to set? If we assume that  $\rho(r=0) = \rho_c$ , we can set  $\lambda = \rho_c$  and  $\phi(0) = 1$ .

We also need to set  $dP/dr = 0$  at  $r = 0$ , which also means that  $d\phi/d\xi = 0$  there as well.

After prescribing these conditions, we can solve the equation: the solution will depend on  $n$ . We have analytical solutions for  $n = 0, 1, 5$  (note that however  $n$  is not necessarily an integer).

The radius of the star described by the equation is given by the first zero:  $\xi_1$ . As a function of  $n$ ,

Let us calculate the total mass of the star: it is given by an integral we can simplify inserting the Lane-Emden equation:

$$M = \int_0^{\xi_1} 4\pi\lambda\phi^n\xi^2a^3d\xi \quad (1.5.48)$$

$$= -4\pi\lambda a^3 \int_0^{\xi_1} \frac{\xi^2}{\xi^2} \frac{d}{d\xi} \left( \xi^2 \frac{d\phi}{d\xi} \right) d\xi \quad (1.5.49)$$

$$= -4\pi\lambda a^3 \xi^2 \frac{d\phi}{d\xi} \Big|_0^{\xi_1} \quad (1.5.50)$$

$$= -4\pi\lambda a^3 \xi_1^2 \frac{d\phi}{d\xi} \Big|_{\xi_1}, \quad (1.5.51)$$

where  $d\phi/d\tilde{\zeta}$  at  $\tilde{\zeta}_1$  is necessarily negative, since  $\tilde{\zeta}_1$  is the first zero-crossing while  $\phi = 1$  at  $\tilde{\zeta} = 0$ .

We want expressions for  $R$  and  $M$  in terms of  $\lambda$ , the central density, and the polytropic index.

Now, disregarding the constant numbers we have  $M \propto \lambda a^3$ , while  $a^2 \propto \lambda^{-1+1/n}$ , therefore  $a^3 \propto \lambda^{3(-1+1/n)/2}$ .

This yields a dependence of the mass  $M$  on the central density  $\rho_c = \lambda$  as follows:

$$M \propto \lambda^{-\frac{3}{2} + \frac{3}{2n} + 1} = \lambda^{-\frac{1}{2} + \frac{3}{2n}}. \quad (1.552)$$

On the other hand, the radius scales like

$$R = a\tilde{\zeta}_1 \propto \lambda^{-\frac{1}{2} + \frac{1}{2n}}. \quad (1.553)$$

The polytropic index for a nonrelativistic gas is given by  $1 + 1/n = 5/3$ , meaning  $n = 3/2$ ; for an ultrarelativistic gas we have  $1 + 1/n = 4/3$ , meaning  $n = 3$ .

In general, for a polytropic index  $\gamma$  the number  $n$  is given by  $n = (\gamma - 1)^{-1}$ .

Then, we want to find solutions with varying  $\rho_c$ : let us start with relatively-small central densities,  $\rho_c < 10^6 \text{ g/cm}^3$ , and with the star being supported by electron degeneracy pressure, in the **nonrelativistic** case. Then, we increase the central density.

In this case we have  $M \propto \rho_c^{1/2}$ . Increasing the central density increases the mass, in a polynomial fashion. On the other hand,  $R \propto \rho_c^{-1/6}$ . The radius decreases as we increase the central density.

Increasing  $\rho_c$ , sooner or later we move towards the **relativistic** region of the equation of state: then, we need to change  $n$  from  $3/2$  to  $3$ : this means  $M \propto \rho_c^0 = \text{const}$  and  $R \propto \rho_c^{-1/3}$ .  $M \propto \rho_c^0$  means, qualitatively, that “ $\rho_c \propto M^\infty$ ”: the central density is extremely dependent on the mass, and it will diverge with an asymptote, while the radius decreases. Then, we cannot go beyond this threshold, which we can compute using the first zero of the Lane-Emden equation:

$$M_{\text{Ch}} = -4\pi \left[ \frac{K(n+1)\rho_c^{-1+1/n}}{4\pi G} \right]^{3/2} \rho_c \left( \tilde{\zeta}^2 \frac{d\phi}{d\tilde{\zeta}} \right) \Big|_{\tilde{\zeta}_1} \quad (1.554)$$

$$= -4\pi \left[ \frac{4K}{4\pi G} \right]^{3/2} \left( \tilde{\zeta}^2 \frac{d\phi}{d\tilde{\zeta}} \right) \Big|_{\tilde{\zeta}_1}, \quad (1.555)$$

which we can evaluate with the correct numbers for the constants  $M_{\text{Ch}} = 5.81/\mu_e^2 M_\odot \approx 1.44 M_\odot$  in the case of  $\mu_e \approx 2$ , which is a good approximation for the cores of massive stars, which have endured several nuclear burning phases: for example,  $^{56}\text{Fe}$  has 26 protons and 30 neutrons, so we can approximate  $n_n \approx n_p = n_e$ , therefore  $n_e \approx n_b/2$ .

# Chapter 2

## Accretion

### 2.1 Bondi accretion

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We now start discussing the interaction of a compact object with its environment. Black holes are intrinsically black, however we can see them through their interactions with the surrounding medium.

A neutron star might have a temperature of the order  $T \sim 10^6 \div 10^7$  K; we know that the flux is given by  $F = \sigma T^4$ , so the emitted luminosity is calculated by

$$L = 4\pi R^2 \sigma T^4 \sim 10^{31} \text{ erg/s}, \quad (2.1.1)$$

which comes out to be *very small*, due to the fact that the radius is very small compared to a star. For comparison, the Sun has a luminosity of around 100 times more.

A compact object's gravitational pull, however, induces *accretion*: material falls onto the object, heating up. We will consider spherical accretion, the simplest geometrical situation. It is not fully realistic, however it can be used to model the real case. We assume to have a point-like object of mass  $M$ , and we make some other assumptions:

1. spherical symmetry;
2. stationarity;
3. the accreting matter can be treated as a gas: this is not obvious, since it means that collisions are efficient enough to couple the temperatures of the gas, or equivalently the mean free path  $\lambda_c$  should be much smaller than the radius  $r$ ;<sup>1</sup>
4. the accreting matter is a perfect gas which can be treated adiabatically;
5. the accreting matter is non-self-gravitating: the total mass of gas surrounding the BH,  $m$ , is much smaller than  $M$ ;
6. we will use Newtonian gravity, although the general-relativistic way to treat this is not very hard.<sup>2</sup>

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<sup>1</sup> This is not easily verified in astrophysical scenarios, magnetic fields make it easier for it to happen.

<sup>2</sup> For the GR and non-ideal fluid version see my Bachelor's thesis [Tis19].

Under these hypotheses, the problem is called **Bondi-Hoyle accretion**, and old problem in astrophysics, dating back to the fifties [Bon52]. The final treatment of accretion onto a BH was done in 1991 [NTZ91].

This is very much a toy model: adiabaticity precludes the production of radiation. However, we shall see how the conditions might be relaxed.

The first equation is the continuity equation, whose physical meaning is rest mass conservation. The velocity field is denoted as  $u(r)$ . The quantity  $4\pi r_2^2 \rho(r_2) u(r_2)$  is the rate of mass crossing the surface of radius  $r_2$ . If there are no sources nor sinks of mass, this expression should be equal for another choice of radius  $r_1$ . Then, in general we can write

$$4\pi r^2 \rho(r) u(r) = \dot{M} = \text{const}. \quad (2.1.2)$$

The Euler equation, coming from the conservation of momentum, reads

$$\frac{du}{dt} = \text{force per unit mass} = -\frac{1}{\rho} \frac{dP}{dr} - \frac{GM}{r^2}. \quad (2.1.3)$$

The total time derivative of the velocity can be written as

$$\frac{du}{dt} = \underbrace{\frac{\partial u}{\partial t}}_{=0} + \frac{\partial u}{\partial r} \frac{dr}{dt} = \frac{\partial u}{\partial r} u \quad (2.1.4)$$

by stationarity. Then the Euler equation reads

$$u \frac{\partial u}{\partial r} + \frac{1}{\rho} \frac{dP}{dr} + \frac{GM}{r^2} = 0, \quad (2.1.5)$$

which we can integrate: it becomes

$$\frac{1}{2} u^2 + \int \frac{dP}{\rho} - \frac{GM}{r} = \text{const}, \quad (2.1.6)$$

which is Bernoulli's theorem, the conservation of energy. It is slightly different from the usual form because we are considering a compressible flow; this is a gas, and it definitely can be compressed. This is our second conservation law. We need a third equation: an equation of state, which we can derive from our assumption of adiabaticity,  $P = K\rho^\Gamma$ , a polytropic EoS.

Luckily, we have managed to integrate already, so we do not have ODEs anymore: we are left with a simple algebraic system. If we introduce the isentropic speed of sound,<sup>3</sup>  $a^2 = (\partial P / \partial \rho)_s = k\Gamma\rho^{\Gamma-1}$  (calculated at constant entropy, but since we assumed adiabaticity this is not an additional assumption).

Also, the pressure differential reads  $dP = k\Gamma\rho^{\Gamma-1} d\rho$ , so

$$\frac{1}{2} u^2 + \int \frac{k\Gamma\rho^{\Gamma-1}}{\rho} d\rho - \frac{GM}{r} = \text{const} \quad (2.1.7)$$

---

<sup>3</sup> There are other kinds of speed of sound, depending on the kind of perturbation.

$$\frac{1}{2}u^2 + \frac{a^2}{\Gamma - 1} - \frac{GM}{r} = \text{const.} \quad (2.1.8)$$

This can be solved together with the continuity equation. What is this constant? We can calculate it for an arbitrary  $r$ , since it is always the same. We choose  $r \rightarrow \infty$ , so we get

$$\frac{1}{2}u^2 + \frac{a^2}{\Gamma - 1} - \frac{GM}{r} = \frac{1}{2}u_\infty^2 + \frac{a_\infty^2}{\Gamma - 1}, \quad (2.1.9)$$

where  $u_\infty$  and  $a_\infty$  are the velocity and speed of sound very far from the source. What can we say about them? A special case we can consider is  $u_\infty = 0$ : the gas at infinity is at rest, it has no bulk motion with respect to the black hole. We now need to replace  $\rho$  in the continuity equation with something in terms of the sound speed: we know that  $a^2 = k\Gamma\rho^{\Gamma-1}$ , so

$$\rho = \left( \frac{a^2}{k\Gamma} \right)^{1/(\Gamma-1)}. \quad (2.1.10)$$

We can calculate this at infinity:  $\rho_\infty$  is then given in terms of  $a_\infty$ . Taking the ratio of the two expressions, we get

$$\frac{\rho}{\rho_\infty} = \left( \frac{a}{a_\infty} \right)^{2/(\Gamma-1)}. \quad (2.1.11)$$

Inserting this into the continuity equation we get

$$4\pi r^2 \rho_\infty \left( \frac{a}{a_\infty} \right)^{2/(\Gamma-1)} u = \dot{M}. \quad (2.1.12)$$

There are several constants appearing, but only the two variables  $u(r)$  and  $a(r)$ . What can we say about the constants?  $\dot{M}$  is called the *mass accretion rate*. The other two constants are  $a_\infty$  and  $\rho_\infty$ . One might think that we would need to specify all three of the constants: this is, however, not the case. Only two of these constants are actually independent. Fixing  $a_\infty$  and  $\rho_\infty$  constrains  $\dot{M}$  to a single value, an *eigenvalue* of the problem.

Let us select a fixed value of  $r = \bar{r}$ . Then, the two equations are just functions of  $u$  and  $a$ . The Euler equation looks like

$$\frac{1}{2}u^2 + \frac{a^2}{\Gamma - 1} = \text{const}, \quad (2.1.13)$$

an ellipse (of which we consider only a quarter, with  $u > 0, a > 0$ ). The continuity equation, instead, is in the form

$$u \propto \dot{M} a^{-\frac{2}{\Gamma-1}}. \quad (2.1.14)$$

This is a part of a hyperbola. The two may cross in zero, one or two points. In order for a solution to exist there needs to be at least one intersection. Changing  $\dot{M}$  moves the hyperbola. We get a single  $\dot{M}$  so that the two curves cross at a single point. For now, we

can surely say that  $\dot{M}$  cannot be chosen to have any value, since we must have at least an intersection.

We can apply a similar kind of reasoning by changing  $\bar{r}$  instead of  $\dot{M}$ . We can connect these solutions: curves in the  $(u, a)$  plane, parametrized by  $\bar{r}$ . The intersections always lie on opposite sides of the  $u = a$  line, which corresponds to the sonic condition. One solution is always supersonic, one is always subsonic.

The solution which is always supersonic has  $u_\infty > a > 0$ , which is not good for us. The subsonic solution might then work: however, if the central mass is a BH, then the speed at which the matter crosses the horizon is the speed of light, and surely  $c > a$ .<sup>4</sup>

This argument shows that we need a transsonic solution: we need a radius  $r_s$  at which the two curves are tangent to one another. If we fix this, we get two solutions, only one of which has  $u_\infty = 0$ . The opposite solution could describe a transsonic stellar wind. The consequence of this is that there is a single acceptable value for  $\dot{M}$ , providing us with a radius so that the two curves are tangent.

This yields a fixed  $\dot{M}(\rho_\infty, a_\infty)$ . We can get more information by going back to the differential form of the equations of motion:

$$4\pi r^2 \rho u = \dot{M} \quad (2.1.15)$$

$$u \frac{\partial u}{\partial r} + \frac{1}{\rho} \frac{dP}{dr} + \frac{GM}{r^2} = 0. \quad (2.1.16)$$

We can write the Euler equation as

$$u \frac{\partial u}{\partial r} = -\frac{1}{\rho} \frac{dP}{dr} - \frac{GM}{r^2} \quad (2.1.17)$$

$$u \frac{\partial u}{\partial r} = -\frac{a^2}{\rho} \frac{d\rho}{dr} - \frac{GM}{r^2}. \quad (2.1.18)$$

We can also find a differential form of the continuity equation:

$$r^2 \rho u = \frac{\dot{M}}{4\pi} \implies \frac{du}{dr} = -\frac{2u}{r} - \frac{u}{\rho} \frac{d\rho}{dr}, \quad (2.1.19)$$

which we can substitute into the Euler equation:

$$-2\frac{u^2}{r} - \frac{u^2}{\rho} \frac{d\rho}{dr} = -\frac{a^2}{\rho} \frac{d\rho}{dr} - \frac{GM}{r^2} \quad (2.1.20)$$

$$(a^2 - u^2) \frac{1}{\rho} \frac{d\rho}{dr} = \frac{2u^2}{r} - \frac{GM}{r^2} \quad (2.1.21)$$

$$\frac{d \log \rho}{dr} = \frac{1}{a^2 - u^2} \left[ \frac{2u^2}{r} - \frac{GM}{r^2} \right], \quad (2.1.22)$$

but we want there to exist a point at which  $a = u$ : therefore, the denominator vanishes, meaning that if we do not want the derivative of the log-density to diverge we must have

$$2u^2(r_s) = \frac{GM}{r_s}, \quad (2.1.23)$$

---

<sup>4</sup> The largest physically possible speed of sound is  $c/\sqrt{3}$ , achieved for ultrarelativistic matter.



and  $u(r_s) = a(r_s)$ .

This ensures that there is no divergence at the transsonic point. This is a *regularity condition*, imposed at a *critical point*.

We have seen why  $\dot{M}$  is fixed as long as  $a_\infty$  and  $\rho_\infty$  are. Let us then write the Bernoulli equation at the sonic radius:

$$\frac{1}{2}a_s^2 + \frac{a_s^2}{\Gamma - 1} - \frac{GM}{r_s} = \frac{a_\infty^2}{\Gamma - 1} \quad (2.1.24)$$

$$\frac{1}{2}a_s^2 + \frac{a_s^2}{\Gamma - 1} - 2a_s^2 = \frac{a_\infty^2}{\Gamma - 1}, \quad (2.1.25)$$

so we can calculate the sonic speed if we know  $a_\infty$ :

$$a_s^2 \left( \frac{1}{2} - 2 + \frac{1}{\Gamma - 1} \right) = \frac{a_\infty^2}{\Gamma - 1} \quad (2.1.26)$$

$$a_s = a_\infty \left( \frac{2}{5 - 3\Gamma} \right)^{1/2}, \quad (2.1.27)$$

so, since the proportionality constant is of order unity, we can say that the speed of sound at infinity and at the sonic point are of the same order of magnitude. We can also calculate the sonic radius:

$$r_s = \frac{GM}{2a_s^2} = \frac{GM}{2a_\infty^2} \left( \frac{5 - 3\Gamma}{2} \right) \sim \frac{GM}{a_\infty^2}. \quad (2.1.28)$$

This relates the chemical potential at infinity and the gravitational potential energy: when these contributions are of the same order of magnitude we find the sonic transition.

We are close to being able to write an explicit expression for the accretion rate  $\dot{M}$ ; we only need to use the continuity equation:

$$\dot{M} = 4\pi r^2 \rho_\infty \left( \frac{a}{a_\infty} \right)^{2/(\Gamma-1)} u \Big|_{r=r_s} \quad (2.1.29)$$

$$= 4\pi r_s^2 \rho_\infty \left( \frac{a_s}{a_\infty} \right)^{2/(\Gamma-1)} a_s \quad (2.1.30)$$

$$\propto \frac{M^2 \rho_\infty}{a_\infty^3}. \quad (2.1.31)$$

What happens when  $\Gamma = 5/3$ ? it would seem that then the sonic speed diverges; this is not the case, as was clarified relatively recently.

What happens if the massive object is moving through the cloud, in which  $u_\infty \neq 0$ ? We lose spherical symmetry, but we can still get a solution, in the form

$$\dot{M} \propto \frac{M^2 \rho_\infty}{(u_\infty^2 + a_\infty^2)^{3/2}}. \quad (2.1.32)$$

Numerically, we have

$$\frac{\dot{M}}{10^{11} \text{ g/s}} \approx \left( \frac{M}{M_\odot} \right)^2 \frac{n_\infty}{\text{cm}^{-3}} \left( \left( \frac{u_\infty}{10 \text{ km/s}} \right)^2 + \left( \frac{a_\infty}{10 \text{ km/s}} \right)^2 \right)^{-3/2}. \quad (2.1.33)$$

The equation we found earlier is

$$\frac{a^2 - u^2}{\rho} \frac{d\rho}{dr} = \frac{2u^2}{r} - \frac{GM}{r^2}. \quad (2.1.34)$$

How do  $u$  and  $\rho$  depend on  $r$ , roughly speaking? Let us give a qualitative argument. First, we assume that  $u \ll a$  (which also means  $r \gg r_s$ ).

Then, everything on the right-hand side is approximately zero, while  $a^2 - u^2 \approx a^2$ : this means

$$\frac{a^2}{\rho} \frac{d\rho}{dr} \approx 0, \quad (2.1.35)$$

or,  $\rho \equiv \rho_\infty$ . This happens if we are far from the star, in the *hydrostatic region*. If  $\rho \approx \text{const}$ , then by continuity  $u \propto r^{-2}$ .

Insert plot

In the opposite limit, we have  $u \gg a$ ,  $r \ll r_s$ . Then, substituting in from the continuity we get

But  $u^2/r$  is *not* negligible compared to  $GM/r^2$ ! This does not change the substance, the proportionality still works, however we must be careful.

$$-\frac{1}{\rho} u^2 \frac{d\rho}{dr} \approx -\frac{GM}{r^2} \quad (2.1.36)$$

$$\frac{1}{\rho} \left( \frac{1}{r^2 \rho} \right)^2 \frac{d\rho}{dr} \propto \frac{1}{r^2} \quad (2.1.37)$$

$$\frac{1}{\rho^3} \frac{d\rho}{dr} \propto r^2 \quad (2.1.38)$$

$$\frac{1}{\rho^2} \propto r^3, \quad (2.1.39)$$

therefore  $\rho \propto r^{-3/2}$ . This is the crucial aspect: in the supersonic region the density increases with decreasing  $r$ . We know that  $u \propto 1/r^2 \rho \propto r^{-1/2}$ . This is the same thing we would have found by considering free fall:

$$\frac{1}{2} m v^2 = \frac{GMm}{r}. \quad (2.1.40)$$

The full GR treatment of spherical accretion onto a Schwarzschild BH is quite close to what we have found here [NTZ91, fig. 2, top left]. What is the emitted **luminosity**? We can express in terms of the *efficiency*  $\eta$ :  $L = \eta \dot{M} c^2$ .

Let us give a qualitative, Newtonian argument about the maximum accretion efficiency of a Neutron Star. The surface of the NS is rigid, when matter falls upon it it basically *stops*, so  $u(r_*) = 0$ , and let us assume that all the impact energy is radiated away. Then, the efficiency is the

$$\eta_{NS} = \frac{mc^2 - (mc^2 - GMm/r_*)}{mc^2} = \frac{GM}{c^2 r_*} = \frac{r_{Schw}}{2r_*}. \quad (2.1.41)$$

In GR this is slightly different, but not by much. Typical values are generally

$$\eta = \frac{3(M/M_\odot)\text{km}}{2 \times 10(R/R_\odot)} \approx 0.15. \quad (2.1.42)$$

With typical values, we get

$$L = 0.15 \times 10^{11} \times 9 \times 10^{20} \text{erg/s} \sim 10^{31} \text{erg/s}, \quad (2.1.43)$$

100 times lower than the solar luminosity. The average number density in interstellar space is of the order of  $1 \text{cm}^{-3}$ , the region in which we live has a lower number density, one or two orders of magnitude less. It was swept away by multiple supernova events.

People have looked for the luminosity of NS accretion, without result.

NSs are strongly magnetized: radio pulsars have magnetic fields of the order of  $10^7 \text{T}$ , magnetars reach  $10^{10} \text{T}$ . These magnetic fields can inhibit any accretion, through the *propeller effect*.

If we consider a rotating dipolar field,<sup>5</sup> it propels the particles away.

What about black holes? There is now no solid surface, and what we can do is to compare the typical timescales for production of radiation,  $\tau_{\text{rad}}$ , and the typical dynamical (free-fall) timescale  $\tau_{\text{dyn}}$ . If  $\tau_{\text{rad}} \gg \tau_{\text{dyn}}$ , then hardly any radiation will be produced before the plasma can fall in. This is what happens if we do the proper modelling of the flow. Typically,  $10^{-8} \lesssim \eta \lesssim 10^{-2}$ . This is at least 10 times larger.

The luminosity produced by a solar-mass BH would be even lower. Isolated BHs are typically larger, but not by *that much*. The very massive BHs can only come from very massive stars in the universe, where the low metallicity allowed for low stellar winds and high remnant masses.

## 2.2 Roche Lobe Overflow

What happens in a **binary system** with a Compact Object and another *donor* star?

They will revolve around their common center of mass. If the star is massive, then there will be a strong stellar wind. A fraction of the matter expelled will fall onto the CO, however this will not be a large fraction.

The other possibility is *Roche lobe overflow*.

The idea is: consider a test particle (or, really, a test fluid element, since we will consider gasses) moving under the action of two centrally condensed (“point-like”) masses. This is

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<sup>5</sup> It's kind of like making homemade mayonnaise. . .

basically a problem in celestial mechanics. Let us denote the two masses by  $M_{1,2}$ . They will orbit around a common center of mass, and by Kepler's third law we can link their orbital separation and the period of the motion:

$$4\pi^2 a^3 = G(M_1 + M_2)P^2 \quad (2.2.1)$$

$$a^3 = \frac{G(M_1 + M_2)}{\omega^2}. \quad (2.2.2)$$

### 2.2.1 The Roche potential

Solving the Roche problem means that we have to write down Newton's second law; it is convenient to do so in a system which is *co-rotating* with the two stars, and centered in the center of mass. Then, we will need to account for the fictitious forces for this noninertial reference system.

The Euler equation (we start with this directly, for a single test particle the effects are the same) will read

$$\frac{\partial \vec{v}}{\partial t} + \underbrace{(\vec{v} \cdot \vec{\nabla}) \vec{v}}_{\text{convective derivative}} = -\frac{\vec{\nabla} P}{\rho} - \underbrace{2\vec{\omega} \wedge \vec{v}}_{\text{Coriolis}} - \underbrace{\omega \wedge (\vec{\omega} \wedge \vec{r})}_{\text{centrifugal}} - \vec{\nabla} \phi_G. \quad (2.2.3)$$

We can write down a potential for the centrifugal term:

$$\phi_C = -\frac{1}{2}(\vec{\omega} \wedge \vec{r})^2, \quad (2.2.4)$$

and we can then introduce an effective potential: the *Roche* potential,  $\phi_R = \phi_C + \phi_G$ .

This potential reads

$$\phi_R = \underbrace{-\frac{GM_1}{|\vec{r} - \vec{r}_1|} - \frac{GM_2}{|\vec{r} - \vec{r}_2|}}_{\phi_G} - \underbrace{\frac{1}{2}(\vec{\omega} \wedge \vec{r})^2}_{\phi_C}. \quad (2.2.5)$$

If we plot the contour lines of this potential, they are determined by the mass ratio  $q = M_2/M_1$ , while the linear scale of the system is only determined by  $a$ .

Also, if  $\theta$  is the angle between  $\vec{\omega}$  and  $\vec{r}$  we can write

$$(\vec{\omega} \wedge \vec{r})^2 = \omega^2 r^2 \sin^2 \theta. \quad (2.2.6)$$

Let us come back to the Roche potential, whose equipotential contours we sketch in figure 2.1. In terms of the aforementioned variables  $q$  and  $\theta$  it can be written as

$$\phi_R = -G(M_1 + M_2) \left[ \frac{1}{2} \frac{\omega^2 r^2 \sin^2 \theta}{G(M_1 + M_2)} + \frac{GM_1}{G(M_1 + M_2)|\vec{r} - \vec{r}_1|} + \frac{GM_2}{G(M_1 + M_2)|\vec{r} - \vec{r}_2|} \right] \quad (2.2.7)$$

$$= -G(M_1 + M_2) \left[ \frac{1}{2} \frac{\omega^2 r^2 \sin^2 \theta}{G(M_1 + M_2)} + \frac{1}{(1+q)|\vec{r} - \vec{r}_1|} + \frac{q}{(1+q)|\vec{r} - \vec{r}_2|} \right]. \quad (2.2.8)$$

Tuesday  
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compiled  
2021-02-24

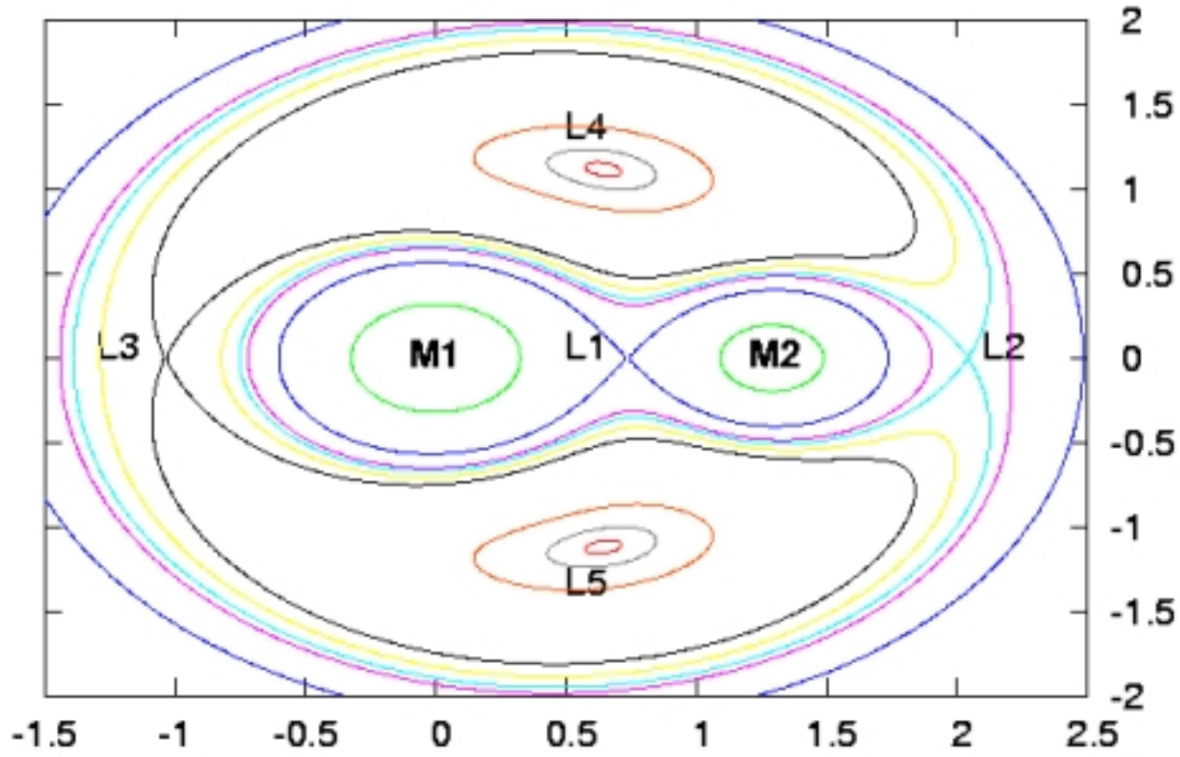


Figure 2.1: Equipotential lines for the Roche potential  $\phi_R$ .  $M_{1,2}$  mark the two masses, while the Lagrange points  $L$  are the ones in which the potential is stationary:  $\nabla\phi_R = 0$ .

We can then use Cartesian coordinates centered in  $M_1$ : then,

$$\phi_R = -\frac{G(M_1 + M_2)}{2a^3} \left[ \frac{2a^3}{1+q} \frac{1}{\sqrt{x^2 + y^2 + z^2}} + \frac{2qa^3}{1+q} \frac{1}{\sqrt{(x-a)^2 + y^2 + z^2}} + \left[ (x - x_{\text{CM}})^2 + y^2 \right] \right], \quad (2.2.9)$$

where

$$x_{\text{CM}} = \frac{qa}{1+q}, \quad (2.2.10)$$

so if we rescale all the spatial coordinates by the major semi axis  $a$  we get

$$\phi_R = -\frac{G(M_1 + M_2)}{2a} \left[ \frac{2}{1+q} \frac{1}{\sqrt{x^2 + y^2 + z^2}} + \frac{2q}{1+q} \frac{1}{\sqrt{(x-1)^2 + y^2 + z^2}} + \left[ \left( x - \frac{q}{1+q} \right)^2 + y^2 \right] \right]. \quad (2.2.11)$$

If the stars are stationary, or in slow evolution. Then, their surfaces will be (at least in first approximation) at rest. Therefore, the derivative terms vanish, and we get

$$0 = -\frac{1}{\rho} \vec{\nabla} P - \vec{\nabla} \phi_R, \quad (2.2.12)$$

but the surface is defined by  $\vec{\nabla}P = 0$ , which by this equation corresponds to  $\vec{\nabla}\phi_R = 0$ .

The star will then take the shape of an equi-Roche potential surface. What is this shape? See 2.1.

### 2.2.2 The Roche lobe

We have roughly spherical contours near the stars, and a figure-eight contour eventually. The center of this contour is called  $L_1$ , the first or “inner” Lagrange point.

Analogy with a dog food container for Roche Lobe overflow.

Is the overflow stable? It depends on whether the volume of the Roche lobe increases or decreases as the donor star loses mass: in the latter case.

We can introduce a characteristic **radius** of the Roche Lobe, calculated by

$$V_{\text{lobe}} = \frac{4}{3}\pi R_{\text{lobe}}^3, \quad (2.2.13)$$

although the lobe is not a sphere this allows us to give a characteristic number. We can calculate [Egg83]:

$$\frac{R_{\text{lobe}}}{a} = f(q) \approx \begin{cases} 0.38 + 0.2 \log_{10} q & 0.5 < q < 20 \\ 0.46 \left( \frac{q}{1+q} \right)^{1/3} & 0 < q < 0.5 \end{cases}, \quad (2.2.14)$$

and we can see that the dependence on  $q$  is quite weak. A plot of this is shown in figure 2.2:

However, the orbital separation  $a$  is itself a function of  $q$ , and the dependence  $a(q)$  is much more relevant than the dependence of  $R_{\text{lobe}}/a$ .

In order to calculate  $a(q)$ , let us make some assumptions.

1.  $M_1 + M_2 = \text{const}$ . This is realistic: it is hard for the binary star system as a whole to lose or gain mass.
2. The total angular momentum  $L_{\text{tot}}$  is a constant. This is a bit tricky: even a small amount of mass loss can result in high angular momentum loss.
3.  $L_{\text{tot}} = L_{\text{orb}}$ : all the angular momentum is orbital. We are neglecting the spins of the stars, and the angular momentum of the gas. This is realistic, since tidal forces move the configuration towards a tidally locked state.

The conservation of mass tells us that  $M_1(1+q)$  is constant. The orbital angular momentum is written in terms of the distances of the stars from the center of mass:

$$L_{\text{orb}} = M_1 v_1 a_1 + M_2 v_2 a_2 \quad (2.2.15)$$

$$= M_1 a_1^2 \omega + M_2 a_2^2 \omega, \quad (2.2.16)$$

so if we place the origin of our coordinates in the center of mass we can write everything in terms of the orbital separation  $a = a_1 + a_2$ :

$$-M_1 a_1 + M_2 a_2 = 0 \quad (2.2.17)$$

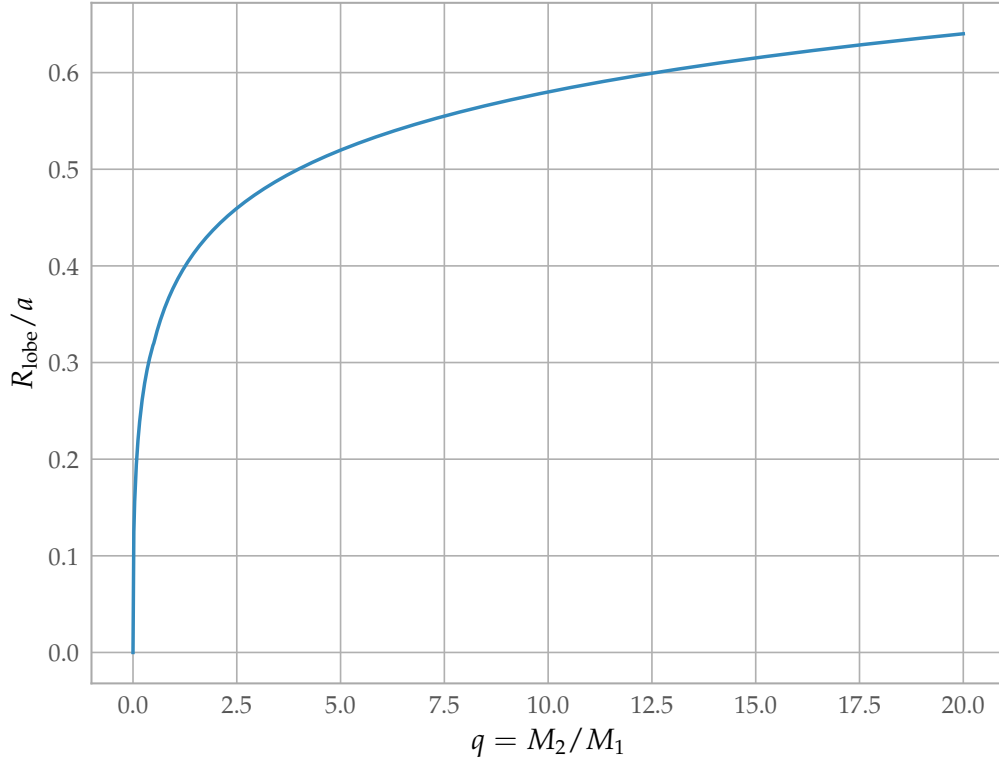


Figure 2.2: Radius of the Roche lobe as a function of  $q$ .

$$-(M_1 + M_2)a_1 + M_2a = 0 \quad (2.2.18)$$

$$a_1 = \frac{qa}{1+q} \quad (2.2.19)$$

$$a_2 = \frac{a}{1+q}. \quad (2.2.20)$$

In terms of angular momentum we get

$$\left[ M_1 \frac{q^2 a^2}{(1+q)^2} + M_2 \frac{a^2}{(1+q)^2} \right] \omega = \text{const} \quad (2.2.21)$$

$$M_1 a^2 \left[ \frac{q^2}{(1+q)^2} + \frac{q}{(1+q)^2} \right] \omega = \text{const}, \quad (2.2.22)$$

which means

$$\frac{a^2 M_1 \omega q}{1+q} = \frac{a^2 M_1 2\pi q}{P(1+q)} = \text{const}, \quad (2.2.23)$$

since  $\omega = 2\pi/P$ , where  $P$  is the orbital period. We also know that (because of mass conservation)  $M_1 \propto (1+q)^{-1}$ , therefore

$$\frac{a^2 q}{(1+q)^2} \frac{1}{P}, \quad (2.2.24)$$

but also Kepler's third law tells us that  $a^3 \propto P^2$ : so,  $P \propto a^{3/2}$ . This yields

$$\frac{a^{2-3/2} q}{(1+q)^2} = \sqrt{a} \frac{q}{(1+q)^2} = \text{const}, \quad (2.2.25)$$

therefore

$$a \propto \frac{(1+q)^4}{q^2}. \quad (2.2.26)$$

The Roche lobe  $R_2$  for  $M_2$  is given by  $R_2 = af$ , therefore

$$\log R_2 = \log a + \log f, \quad (2.2.27)$$

and if we consider a variation of  $q$ , the variation of  $\log R_2$  will be given by the sum of the variations of the two logarithms.

Because of the expression we derived, we have

$$\log a = 4 \log(1+q) - 2 \log q + \text{const} \quad (2.2.28)$$

$$\Delta \log a = 4 \frac{\Delta q}{1+q} - 2 \frac{\Delta q}{q} \quad (2.2.29)$$

$$= \frac{4q - 2 - 2q}{(1+q)q} \Delta q = 2 \frac{\Delta q}{q} \frac{q-1}{q+1}. \quad (2.2.30)$$

Then, the fractional variation is approximately

$$\frac{\Delta R_2}{R_2} = \Delta \log R_2 \approx 2 \frac{\Delta q}{q} \frac{q-1}{q+1}, \quad (2.2.31)$$

since  $\Delta \log f$  is negligible (see figure 2.3). As the star  $M_2$  donates mass,  $M_2$  decreases and  $M_1$  increases. Then,  $q = M_2/M_1$  decreases, therefore  $\Delta q < 0$ .

If we want the Roche lobe to shrink, we want  $\Delta R_2 < 0$ : so, we want  $q-1 > 0$ . This means that **Roche lobe accretion is self-sustaining, or stable iff the donor star is larger than the receiver**. If we include  $\Delta f$ , as one can see in figure 2.3, the picture is quite similar — the noticeable correction we have is that systems with  $0.8 < q < 1$  might still have Roche Lobe Overflow.

Is this the case in real systems? Usually the mass of the BH is of at least a few solar masses, so we need an unusually large companion. For example, the companion to the BH in Cygnus X-1 is a very large O-star.

## 2.3 Accretion disks

Now we will discuss what happens when this is indeed the case. What is the fate of the matter passing through the inner Lagrange point?



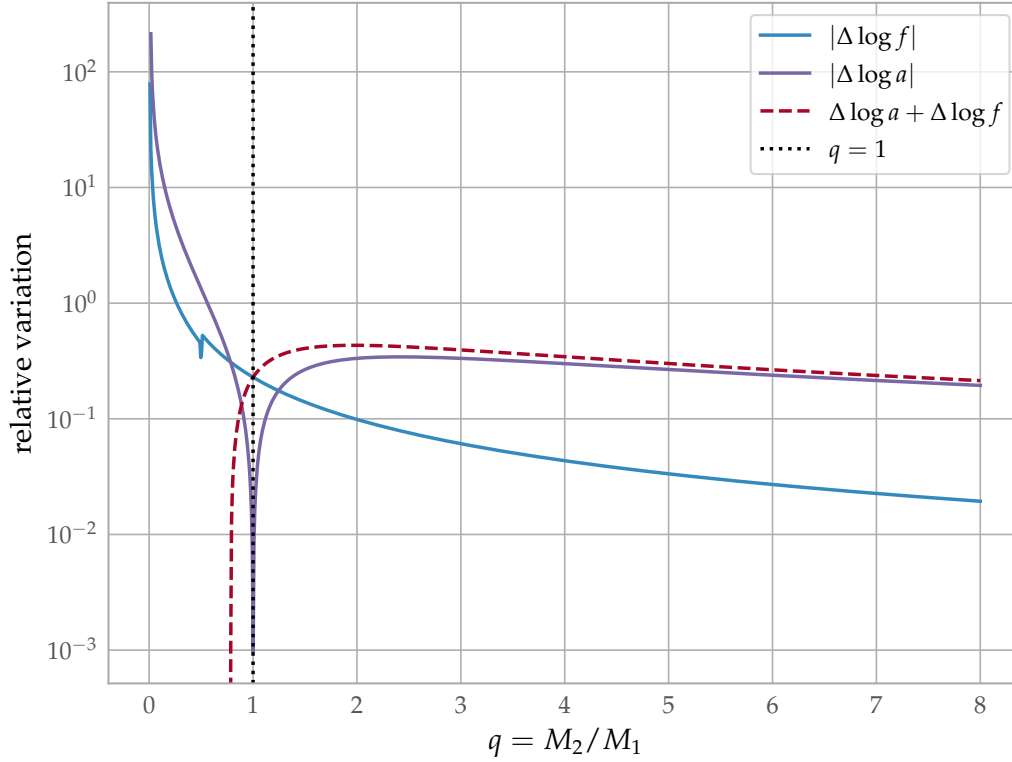


Figure 2.3: Relative size of the corrections —  $\Delta$  means derivative with respect to  $q$ . One can notice that for typical  $q \gtrsim 2$  the magnitude of  $\Delta \log a$  is about one order of magnitude larger than that of  $\Delta \log f$ . Also, we can see that  $\Delta \log a + \Delta \log f$  is indeed positive for  $q > 1$  — the curve is not shown in the region in which it is negative.

### 2.3.1 Circularization

Let us change the perspective: we are not comoving with respect to the orbiting stars anymore. Let us call  $b_1$  the separation of the  $L_1$  point from the center of star 1. This is slightly larger than  $R_1$ .

If we are stationary at the center the companion compact star will appear to rotate at a large velocity compared to us.

The components of this velocity can be decomposed into the parallel and perpendicular to the separation vector between the star, however the component  $v_{\parallel}$  will be of the order of the speed of sound in the gas: roughly,

$$v_{\parallel} \approx c_s = \sqrt{\frac{\partial P}{\partial \rho}} = \sqrt{\frac{k_B T}{\mu m_p}} \approx 10 \sqrt{\frac{T}{10^4 \text{ K}}} \text{ km/s}; \quad (2.3.1)$$

while the component  $v_{\perp}$  will be large: of the order of

$$v_{\perp} \sim b_1 \omega, \quad (2.3.2)$$

and the distance  $b_1$  will be roughly given by [PK64]

$$b_1 \approx a(0.5 - 0.227 \log q), \quad (2.3.3)$$

while by Kepler's third law

$$4\pi^2 a^3 = G(M_1 + M_2)P^2, \quad (2.3.4)$$

so

$$a \approx 3 \times 10^{11} \left( \frac{M_1}{M_{\odot}} \right)^{1/3} (1+q)^{1/3} \left( \frac{P}{1 \text{ d}} \right)^{2/3} \text{ cm}, \quad (2.3.5)$$

while the angular velocity is

$$\omega = \frac{2\pi}{P} \approx 7 \times 10^{-5} \left( \frac{P}{1 \text{ d}} \right)^{-1} \text{ rad/s}. \quad (2.3.6)$$

This means that the perpendicular velocity is approximately

$$v_{\perp} \approx 100 \left( \frac{M_1}{M_{\odot}} \right)^{1/3} (1+q)^{1/3} \left( \frac{P}{1 \text{ d}} \right)^{-1/3} \text{ km/s}, \quad (2.3.7)$$

an order of magnitude more than the speed of sound.

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We were discussing the flow of plasma from the donor star to the compact object through the inner Lagrangian point. The velocities of the compact object in the frame of the gas are  $v_{\parallel} \sim 10 \text{ km/s}$  and  $v_{\perp} \sim 100 \text{ km/s}$ , so we neglect  $v_{\parallel}$ .

Suppose we have a mass  $M$ , and a particle in a bound orbit around this mass. Its energy  $E$  and energy per unit mass  $\epsilon$  will be

$$E = -\frac{GMm}{2a} \quad \epsilon = -\frac{GM}{2a}, \quad (2.3.8)$$

while its specific angular momentum will be (in terms of the eccentricity  $e$ ):

$$\left( \frac{L}{m} \right)^2 = \ell^2 = (1 - e^2)GMa. \quad (2.3.9)$$

Then, we can write the semimajor axis as

$$\frac{1}{a} = \frac{(1 - e^2)GM}{\ell^2}, \quad (2.3.10)$$

so the specific energy will read

$$\epsilon = -\frac{GM(1 - e^2)GM}{2\ell^2} = -\frac{(GM)^2(1 - e^2)}{2\ell^2}. \quad (2.3.11)$$

We can ask ourselves: what is the orbit which has the minimum energy  $\epsilon_{\min}$  at fixed  $\ell$ ? The only thing which can vary is the eccentricity  $e$ , so the minimum energy is attained for the circular orbit, with  $e = 0$ , where

$$\epsilon_{\min} = -\frac{(GM)^2}{2\ell^2}. \quad (2.3.12)$$

The stream of gas will be subjected to frictional forces, which will dissipate energy, and since the energy of circular orbits is minimum this will circularize the orbit. We will discuss the timescale of this process later.

We can estimate the radius of circularization,  $R_{\text{circ}}$ : we know that for a circular orbit the angular momentum will reach its Keplerian value,  $L_K$ , and the velocity will reach its Keplerian value. This reads

$$v_K = \sqrt{\frac{GM}{R}}, \quad (2.3.13)$$

which comes from equating  $v^2/R$  and  $GM/R^2$ . The Keplerian (specific!) angular momentum reads

$$L_K = Rv_K, \quad (2.3.14)$$

which we can compute at  $R_{\text{circ}}$ :

$$L_K(R_{\text{circ}}) = \sqrt{GMR_{\text{circ}}}. \quad (2.3.15)$$

If we fix the specific angular momentum  $\ell$  of an incoming fluid parcel we can then determine the radius of its orbit,  $R_{\text{circ}} = \ell^2/GM$ .

We can compute this initial value of  $\ell$  since the velocity of the fluid is given by the  $v_{\perp} = \omega b_1$ , and then  $\ell = v_{\perp} b_1 = \omega b_1^2$ . Then, finally, we have

$$R_{\text{circ}} = \frac{\omega^2 b_1^4}{GM} = \frac{4\pi^2 b_1^4}{GM P^2}. \quad (2.3.16)$$

In units of the orbital separation, and using Kepler's law

$$\omega^2 = \frac{G(M_1 + M_2)}{a^3} \quad (2.3.17)$$

we get (now denoting, more specifically, as  $M_1$  the mass we previously just called  $M$ ):

$$\frac{R_{\text{circ}}}{a} = \frac{\omega^2 b_1^4}{GM_1 a} \quad (2.3.18)$$

$$= \frac{b_1^4 G(M_1 + M_2)}{GM_1 a^4} = \left(\frac{b_1}{a}\right)^4 (1 + q). \quad (2.3.19)$$

Yesterday we saw that [FKR02]:

$$\frac{b_1}{a} \approx 0.5 - 0.227 \log q, \quad (2.3.20)$$

using which we get

$$\frac{R_{\text{circ}}}{a} \approx (0.5 - 0.227 \log q)^4 (1 + q), \quad (2.3.21)$$

and we can also calculate the radius of the Roche lobe by inverting a result from yesterday (with  $q \rightarrow 1/q$ ):

$$\frac{R_1}{a} = \begin{cases} 0.38 - 0.2 \log q & 0.05 < q < 2 \\ \frac{0.426}{(1+q)^{1/3}} & q > 2 \end{cases}. \quad (2.3.22)$$

We can then see that  $R_{\text{circ}}$  is at least 10 times smaller than the radius of the lobe.

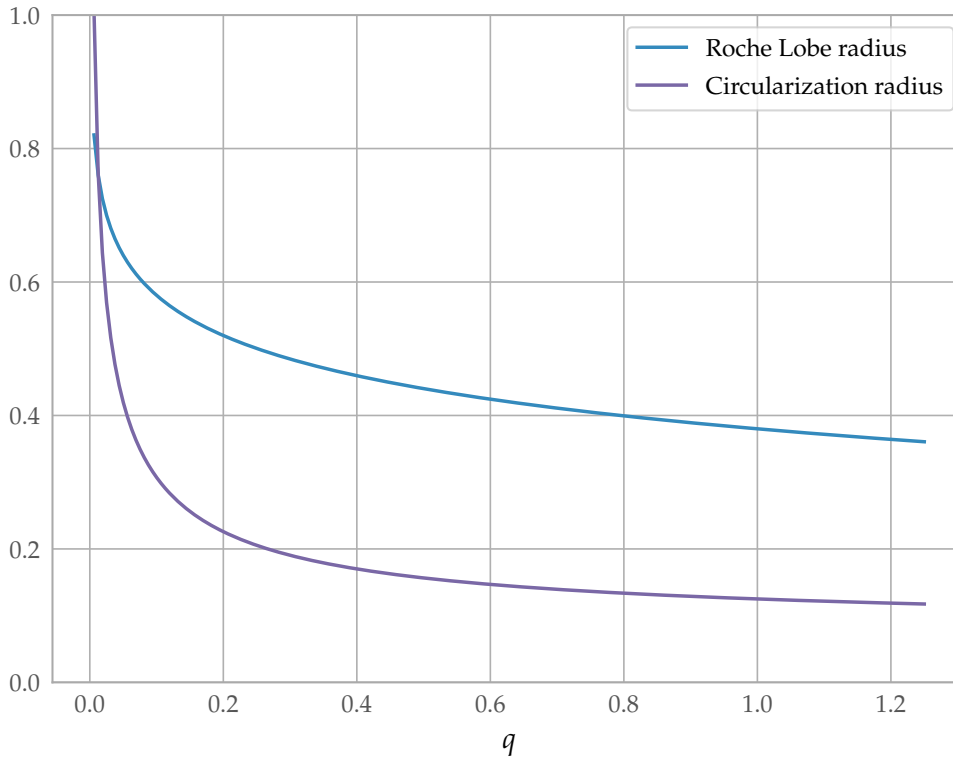


Figure 2.4: Roche lobe of star 1 versus circularization radius; both are plotted as  $R/a$ .

For a star we would need to ensure that  $R_{\text{circ}} > R_*$ , but for a compact object there are no issues.

### 2.3.2 The accretion disk

Particles at their Keplerian velocities and radii around the compact object would keep orbiting, were it not for dissipative effects, which allow for energy and momentum to be transmitted throughout the disk.

There are three characteristic times we need to account for:

$$t_{\text{dyn}} < t_{\text{rad}} < t_{\text{visc}}, \quad (2.3.23)$$

the dynamical, radiative and viscous timescale. Injection happens on a short  $t_{\text{dyn}}$  timescale, circularization happens on a longer  $t_{\text{rad}}$  timescale, shrinkage happens on an even longer  $t_{\text{visc}}$  timescale.

The true trajectory of a fluid element will be a spiral, which we can approximate with a succession of circles. This is how an accretion disk forms.

Since  $M_{\text{disc}} \ll M_1$ , the self-gravity of the accretion disk is negligible. Therefore, the azimuthal velocity of matter in the disk will closely match the Keplerian velocity

$$v_\phi = v_K = \sqrt{\frac{GM_1}{R}}. \quad (2.3.24)$$

We can already estimate the efficiency of the accretion process: the specific energy of the gas at the inner radius of the disk,  $R_{\text{in}}$ , which is the star radius for a NS and the ISCO for a BH. The specific energy is

$$\epsilon(R_{\text{in}}) = -\frac{GM_1}{R_{\text{in}}} + \frac{1}{2}v_K^2 = -\frac{1}{2}\frac{GM_1}{R_{\text{in}}}. \quad (2.3.25)$$

The variation of the energy can be calculated starting from infinity since  $R_1 \gg R_{\text{in}}$ .<sup>6</sup>

$$\epsilon_\infty - \epsilon(R_{\text{in}}) = \frac{1}{2}\frac{GM_1}{R_{\text{in}}}, \quad (2.3.26)$$

therefore the luminosity of the disk will be

$$L_{\text{disc}} = \frac{1}{2}\frac{GM_1}{R_{\text{circ}}}\dot{M}c^2, \quad (2.3.27)$$

only half of the accretion luminosity, defined as

$$L_{\text{acc}} = \frac{GM_1}{R_{\text{in}}}\dot{M}c^2. \quad (2.3.28)$$

Now we want to make more detailed predictions. A key point is viscosity: friction between the various gas elements.

Let us consider two layers of the disk. They will have a macroscopic bulk motion, with  $v_\phi = R\Omega(R)$ , superimposed with a microscopic motion which can be at very small, up to mesoscopic scales. We can have micro-scale motion of ions, but also medium-scale structures can form: turbulent eddies, since the Reynolds number can be shown to be very large.

Suppose we have an eddy which starts in  $A$ , moves radially, and then dissipates in  $A'$ . Further, let us say that the length scale of its motion is  $\lambda$ , and the typical velocity of its motion across the disk is  $\bar{v}$ .

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<sup>6</sup> This is a classical estimate, but it works well enough: for example, for a Schwarzschild BH we have calculated explicitly  $\epsilon_\infty - \epsilon(R_{\text{in}}) = 1 - \sqrt{8/9} \approx 6\%$ , while this expression would give  $1/12 \approx 8\%$ . Not strictly correct, but in the right ballpark.

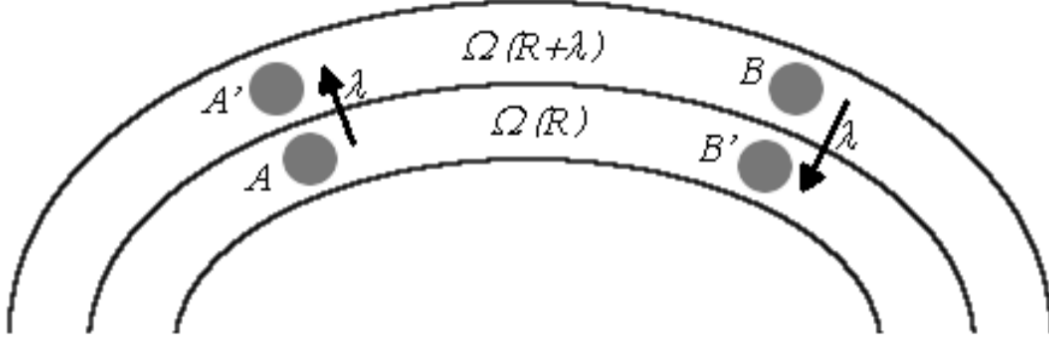


Figure 2.5: Turbulent eddies moving.

Its radius and velocity at  $A$  will be  $R, \Omega(R) \times R$ ; at  $A'$  they will be  $R + \lambda$  and still  $\Omega(R) \times R$ .

In terms of specific angular momentum, when the eddy dies it will dissipate angular momentum  $(R + \lambda)R\Omega(R)$ .

For an eddy moving from  $B$  to  $B'$  in the opposite direction we will have  $R(R + \lambda)\Omega(R + \lambda)$ . Since the motion is thermal, on average there will be as many particles going in both direction.

Suppose that the height of the disk is  $H$ : then the mass per unit time carried by the eddies will be  $H2\pi R\bar{\rho}$ .

Then, the variation of angular momentum will be

$$\frac{\Delta L}{\Delta t} = 2\pi RH\bar{\rho}\bar{v}[R(R + \lambda)\Omega(R) - R(R + \lambda)\Omega(R + \lambda)] \quad (2.3.29)$$

$$\approx -2\pi R^2(R + \lambda)H\bar{\rho}\frac{d\Omega}{dR}\lambda \quad (2.3.30)$$

$$\approx -2\pi R^3H\bar{\rho}\lambda\frac{d\Omega}{dR}, \quad (2.3.31)$$

and if we introduce the surface density of the disk:

$$\Sigma = \int_{-H/2}^{H/2} \rho dz \approx \rho H \quad (2.3.32)$$

we can write this torque as

$$\frac{\Delta L}{\Delta t} = \tau_{\text{partial}} \approx -2\pi R\Sigma(\bar{v}\lambda)R^2\frac{d\Omega}{dR}. \quad (2.3.33)$$

For a Keplerian accretion disk we always have  $d\Omega/dR < 0$ , since  $\Omega_K = \sqrt{GM/R^3}$ : this means that the torque is positive. Note, however, that we are still only considering the effect on a certain layer of the one above it — this is not the full picture yet.

This is the torque which the inner part of the disk exerts on the outer part, decelerating it. We can then introduce a function  $G(R) = -\tau$ , the torque exerted by the outer part of the disk on the inner part, accelerating it.

For a given layer rotating at  $R\Omega(R)$ , the layers above it will try to accelerate it, while the ones below it will try to decelerate it. What will be the net effect? It will be<sup>7</sup>

$$\tau = G(R + dR) - G(R) = \frac{dG}{dR} dR . \quad (2.3.34)$$

These opposite effects will dissipate heat. The differential work dissipated will be given by

$$dW = \tau d\phi = \frac{dG}{dR} dR d\phi , \quad (2.3.35)$$

so, since to a first approximation  $G$  can be taken to be a constant, the power will be

$$\frac{dW}{dt} = \frac{dG}{dR} dR \Omega . \quad (2.3.36)$$

Integrating to find the total power we get

$$\dot{E} = \int_{R_{in}}^{R_{out}} \frac{dG}{dR} \Omega dR , \quad (2.3.37)$$

but we can integrate by parts to find

$$\dot{E} = G\Omega \Big|_{R_{in}}^{R_{out}} - \int_{R_{in}}^{R_{out}} G \frac{d\Omega}{dR} dR , \quad (2.3.38)$$

so we can identify a global, *convective term*: the variation of  $G\Omega$ . On the other hand  $G d\Omega/dR dR$  is a local dissipation term.

Let us introduce the radiated power per unit area of the disk (which is positive, we leave the minus sign out):

$$D(R) = \frac{d(\dot{E})}{2 \times 2\pi R dR} \Big|_{local} = G \frac{d\Omega}{dR} \frac{dR}{2 \times 2\pi R dR} = \frac{G}{4\pi R} \frac{d\Omega}{dR} . \quad (2.3.39)$$

Divided by 2 since the disk has two faces.

This is written as

$$D(R) = \frac{G}{4\pi R} \frac{d\Omega}{dR} = \frac{1}{2} R^2 \bar{\nu} \lambda \Sigma \left( \frac{d\Omega}{dR} \right)^2 . \quad (2.3.40)$$

In order to dissipate energy the differential rotation  $d\Omega/dR$  is crucial.  
We have introduced the function

$$D(R) = \frac{1}{2} R^2 \Sigma (\lambda \bar{\nu}) \left( \frac{\partial \Omega}{\partial R} \right)^2 \geq 0 , \quad (2.3.41)$$

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<sup>7</sup> We use the same letter  $\tau$  as before, but we should specify that this time it accounts for all the contributions to a certain layer of the disk, while before it was only one-way.

which can be zero only if either  $\lambda\bar{v} = 0$  (no viscosity) or  $\frac{\partial\Omega}{\partial R} = 0$  (rigid rotation).

The viscosity is given by the presence of meso-scale structures called **eddies**, and we define  $\lambda\bar{v} = \nu$ , the kinematic viscosity. Newton's law of viscosity gives the viscous stress  $\tau$  (with units of pressure) in terms of the velocity gradient times the *dynamic* viscosity  $\mu$ :

$$\tau = \mu \frac{dv}{dy}, \quad (2.3.42)$$

and the two viscosities are related by  $\nu = \mu/\rho$ . This is exemplified in Couette flow. This is an empirical law, and there are many so-called non-Newtonian fluids which do not abide by it.

In dimensional terms, we can gather from Newton's law that the units of the dynamic viscosity  $\mu$  are kg/ms. Therefore, the kinematic viscosity has units of m<sup>2</sup>/s. At least on dimensional grounds, then, identifying  $\lambda\bar{v}$  with  $\nu$  makes sense.

If the carriers of motion are ions, then  $\lambda \sim \lambda_d$ , the Debye scale, and the average velocity of this Brownian motion is roughly  $\bar{v} \sim c_s$ . We can compute the Reynolds number for a disk region with radius  $R$ , surface  $S$  and mass  $m$  as

$$\text{Re} = \frac{\text{inertial forces}}{\text{viscous forces}} \approx \frac{m \, dv/dt}{\mu S \frac{dv}{dy}} \approx \frac{\frac{dv}{dR} v}{\frac{\mu}{m} S \frac{dv}{dR}} \approx \frac{v}{\mu S/m} \approx \frac{vR}{\mu/\rho} = \frac{vR}{\nu}. \quad (2.3.43)$$

Then, we get  $\text{Re} = vR/\lambda\bar{v}$ : but if the viscosity is indeed due to ions' motion we find  $\text{Re} \gtrsim 10^{14}$ . Even changing our assumptions a bit we will find flow which is definitely turbulent.

If the carriers were ions, then the flow would be turbulent. For water in a pipe, the transition between laminar and turbulent is at around  $\text{Re} \gtrsim 10^3$ .

Then, we will need to account for the **turbulent viscosity**: the effective friction caused by the turbulent motion, described by  $\nu = \nu_{\text{turb}} = \lambda_{\text{turb}}\bar{v}_{\text{turb}}$ . What are these two parameters' values?

If  $H$  is the height of the disk, then we must have  $\lambda_{\text{turb}} \lesssim H$ ; also we must have  $\bar{v}_{\text{turb}} \lesssim c_s$  — it can be shown that supersonic turbulence is unstable. Then, the kinematic viscosity is bounded as  $\nu_{\text{turb}} \lesssim Hc_s$ .

This is somewhat uninteresting, it is just an inequality. What we then do is called an  $\alpha$ -prescription: we write

$$\nu_{\text{turb}} = \alpha H c_s, \quad (2.3.44)$$

encompassing all our ignorance in the dimensionless parameter  $0 < \alpha \lesssim 1$ .

The accretion disk described by this is called a Shakura-Sunyaev Disk (SSD).

### 2.3.3 The Shakura-Sunyaev accretion disk

In general  $\alpha$  will be a function of pressure, temperature and such, but we will simplify the problem by assuming  $\alpha$  is a constant. What we hope to find is that the physical observables are not very sensitive to the value of  $\alpha$ .

We will make certain assumptions:



1. the disk must be geometrically *thin*, at any radius  $R$  the height  $H$  of the disk must be  $H(R) \ll R$ ;
2. we will decompose the velocity as  $\vec{v} = v_\phi \hat{u}_\phi + v_R \hat{u}_R$ , and assume that  $v_\phi \gg v_R$ ;
3. the system has azimuthal symmetry.

We will work in cylindrical coordinates, and have dependence only on  $R$  and  $z$ .

Mass flux will be inward; in terms of the surface density  $\Sigma = \rho H$  the differential mass element will be  $\Delta m = 2\pi R \Delta R \Sigma$ , so

$$\underbrace{\frac{\partial \Delta m}{\partial t}}_{\text{intrinsic variation}} = \underbrace{v_R(R, t) 2\pi R \Sigma(R, t)}_{\text{efflux}} - \underbrace{v_R(R + \Delta R, t) 2\pi (R + \Delta R) \Sigma(R + \Delta R)}_{\text{influx}} \quad (2.3.45)$$

$$\approx -2\pi R \Delta R \frac{\partial (v_R R \Sigma)}{\partial R}, \quad (2.3.46)$$

with  $v_R < 0$ .

With these we have derived our formulation of the **mass conservation equation**:

$$\frac{\partial}{\partial t} (2\pi R \Delta R \Sigma) = -2\pi R \Delta R \frac{\partial}{\partial R} (v_R R \Sigma) \quad (2.3.47)$$

$$R \frac{\partial \Sigma}{\partial t} = -\frac{\partial}{\partial R} (R v_R \Sigma). \quad (2.3.48)$$

The other equation we need to consider is the **angular momentum** one: in general terms, it is written as

$$\frac{d\Delta L}{dt} = \Delta \tau = \frac{\partial G}{\partial R} \Delta R. \quad (2.3.49)$$

The angular momentum in the ring is given by

$$\Delta L = \Delta m \Sigma v_\phi = 2\pi R \Delta R \Sigma R^2 \Omega, \quad (2.3.50)$$

so, since the *total* derivative of the angular momentum  $d\Delta L/dt$  with respect to time is given by the partial derivative  $\partial \Delta L / \partial t$  plus the influx, minus the efflux like before we find that the full equation reads

$$\frac{\partial \Delta L}{\partial t} = \frac{\partial G}{\partial R} \Delta R + \underbrace{v_R(R, t) 2\pi R \Sigma(R, t) R^2 \Omega(R, t)}_{\text{efflux}} \quad (2.3.51)$$

$$- \underbrace{v_R(R + \Delta R, t) 2\pi (R + \Delta R) \Sigma(R + \Delta R, t) (R + \Delta R)^2 \Omega(R + \Delta R, t)}_{\text{influx}}$$

$$= \frac{\partial G}{\partial R} \Delta R - 2\pi \Delta R \frac{\partial}{\partial R} (v_R R R^2 \Omega \Sigma). \quad (2.3.52)$$

Then, like before

$$\frac{\partial}{\partial t} (2\pi R \Delta R \Sigma R^2 \Omega) = 2\pi R R^2 \Delta R \frac{\partial \Omega \Sigma}{\partial t} \quad (2.3.53)$$

$$= \frac{\partial G}{\partial R} \Delta R - 2\pi \Delta R \frac{\partial}{\partial R} (v_R R R^2 \Omega \Sigma), \quad (2.3.54)$$

so, assuming that  $\Omega = \Omega(R)$  is the Keplerian angular velocity and dividing through by  $2\pi \Delta R$  we get

$$R \frac{\partial}{\partial t} (R^2 \Omega \Sigma) = \frac{\partial G}{\partial R} \frac{1}{2\pi} - \frac{\partial}{\partial R} (v_R R R^2 \Omega \Sigma) \quad (2.3.55)$$

$$= \frac{\partial G}{\partial R} \frac{1}{2\pi} - \Omega R^2 \frac{\partial}{\partial R} (v_R R \Sigma) - v_R R \Sigma \frac{\partial}{\partial R} (\Omega R^2) \quad (2.3.56)$$

$$R^3 \Omega \frac{\partial \Sigma}{\partial t} - R^2 \Omega \frac{\partial}{\partial R} (v_R R \Sigma) = \underbrace{R^2 \Omega \left( R \frac{\partial \Sigma}{\partial t} + \frac{\partial}{\partial R} (v_R R \Sigma) \right)}_{=0 \text{ by mass conservation}} = \frac{1}{2\pi} \frac{\partial G}{\partial R} - v_R R \Sigma \frac{\partial}{\partial R} (\Omega R^2), \quad (2.3.57)$$

so the momentum equation reads

$$\frac{1}{2\pi} \frac{\partial G}{\partial R} - v_R R \Sigma \frac{\partial}{\partial R} (\Omega R^2) = 0, \quad (2.3.58)$$

or

$$v_R R \Sigma = \frac{1}{2\pi} \frac{\partial G}{\partial R} \left( \frac{\partial (\Omega R^2)}{\partial R} \right)^{-1} \quad (2.3.59)$$

$$-\frac{\partial}{\partial R} (v_R R \Sigma) = R \frac{\partial \Sigma}{\partial t} = -\frac{\partial}{\partial R} \left[ \frac{1}{2\pi} \frac{\partial G}{\partial R} \left( \frac{\partial (\Omega R^2)}{\partial R} \right)^{-1} \right]. \quad (2.3.60)$$

Since  $\Omega = \sqrt{GM/R^3}$  is the Keplerian angular velocity, we have

$$G = 2\pi R \Sigma \nu R^2 \frac{d\Omega}{dR}, \quad (2.3.61)$$

we get

$$\frac{\partial \Sigma}{\partial t} = \frac{3}{R} \frac{\partial}{\partial R} \left[ R^{1/2} \frac{\partial}{\partial R} (\nu \Sigma R^{1/2}) \right]. \quad (2.3.62)$$

This is a diffusion-like equation, an initially peaky function for  $\Sigma(R, t)$  will drift towards a broader function.

We can solve for  $v_R$ : again from the momentum conservation equation we find

$$v_R = \frac{1}{2\pi R \Sigma} \frac{\partial G}{\partial R} \left[ \frac{\partial(R^2 \Omega)}{\partial R} \right]^{-1} \quad (2.3.63)$$

$$= -\frac{3}{\Sigma R^{1/2}} \frac{\partial}{\partial R} (\nu \Sigma R^{1/2}). \quad (2.3.64)$$

The ratio of  $\Sigma/t_{\text{visc}}$ , where  $t_{\text{visc}}$  is the characteristic time of the evolution of the system through viscous dissipation, can be roughly approximated by considering the orders of magnitude in equation (2.3.62):

$$\frac{\Sigma}{t_{\text{visc}}} \approx \frac{\nu \Sigma}{R^2}, \quad (2.3.65)$$

therefore  $1/t_{\text{visc}} \approx \nu/R^2$ .

Also, the velocity is roughly

$$v_R \approx \frac{1}{\Sigma R} \nu \Sigma = \frac{\nu}{R} = \frac{R}{t_{\text{visc}}}. \quad (2.3.66)$$

How does the mixing timescale  $T$  compare to the timescales mentioned earlier? We will have  $v_\phi \approx R/t_{\text{dyn}}$  and  $v_R \approx R/t_{\text{visc}}$ . This makes sense together with our assumption that  $v_\phi \gg v_R$ .

Why is there turbulence? A strong candidate is **magneto-rotational instability**.

### 2.3.4 Stationary disk structure

The viscous timescale is of the order  $t_{\text{visc}} \approx R^2/\nu$ . It is the longest of the timescales in the accretion disk.

Let us suppose that  $t_{\text{visc}} \ll t_{\text{esc}}$ , where  $t_{\text{esc}}$  is the timescale for changes in the mass transfer rate. As long as this is the case, we can assume that the equations mentioned before are stationary:  $\partial_t = 0$ . The mass and angular momentum equations read

$$R \frac{\partial \Sigma}{\partial t} = -\frac{\partial}{\partial R} (v_R \Sigma R) \quad (2.3.67)$$

$$R^2 \frac{\partial}{\partial t} (\Omega \Sigma) = -\frac{\partial}{\partial R} (v_R R \Sigma R^2 \Omega) + \frac{1}{2\pi} \frac{\partial G}{\partial R}, \quad (2.3.68)$$

so they are simplified to

$$\frac{\partial}{\partial R} (v_R \Sigma R) = 0 \quad (2.3.69)$$

$$-\frac{\partial}{\partial R} (v_R R \Sigma R^2 \Omega) + \frac{1}{2\pi} \frac{\partial G}{\partial R} = 0. \quad (2.3.70)$$

So, the mass equation can be expressed as  $v_R \Sigma R = \text{const}$ , and we can express the constant as  $2\pi R v_R \Sigma = -\dot{M}$ . The mass accretion rate is  $\dot{M} > 0$ , and the minus sign is needed in order to cancel the fact that  $v_R < 0$ .

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The angular momentum equation can be integrated as well:

$$2\pi \frac{\partial}{\partial R} (v_R R \Sigma R^2 \Omega) = \frac{\partial G}{\partial R} \quad (2.3.71)$$

$$\underbrace{2\pi v_R R \Sigma}_{-\dot{M}} R^2 \Omega = G + \text{const} \quad (2.3.72)$$

$$-\dot{M} R^2 \Omega = G + \text{const}, \quad (2.3.73)$$

and now what we need to do is to find out what the constant is. In order to do so, we evaluate the equation at the inner edge of the disk, at  $R = R_{\text{in}}$ . The constant  $\text{const} = C$  reads

$$C = -\dot{M} R_{\text{in}}^2 \Omega_{\text{in}} - G(R_{\text{in}}), \quad (2.3.74)$$

and now we introduce a further hypothesis, called the **no-torque** condition:  $G(R_{\text{in}}) = 0$ .

In the Black Hole case, the inner edge of the disk corresponds to the ISCO, so the edge does not touch any other surface, which means there can be no torque. In the Neutron Star case this will not be true in general;  $R_{\text{in}}$  will be  $R_*$ , and the fact that the ring touches the star means that there can be torque as long as  $\Omega(R_{\text{in}}) \neq \Omega_*$ .

So, the no-torque condition is fulfilled only in the Black Hole case. The constant then reads  $C = -\dot{M} R_{\text{in}}^2 \Omega_{\text{in}}$ , and the angular momentum equation becomes

$$-\dot{M} (R^2 \Omega - R_{\text{in}}^2 \Omega_{\text{in}}) = G. \quad (2.3.75)$$

We can then substitute the expression we have found earlier for  $G(R)$ , under the further assumption that  $\Omega(R) = \Omega_K(R) = \sqrt{GM/R^3}$ .

The derivative of the Keplerian angular velocity reads

$$\frac{d\Omega_K}{dR} = -\frac{3}{2} \sqrt{\frac{GM}{R^5}}. \quad (2.3.76)$$

Therefore, substituting in the expression for  $G$  (2.3.61) and making  $\partial\Omega/\partial R$  explicit we find

$$2\pi R \Sigma v R^2 \left( -\frac{3}{2} \sqrt{\frac{GM}{R}} \frac{1}{R^2} \right) = -\dot{M} \left( R^2 \frac{1}{R} \sqrt{\frac{GM}{R}} - R_{\text{in}}^2 \sqrt{\frac{GM}{R_{\text{in}}}} \frac{1}{R_{\text{in}}} \right). \quad (2.3.77)$$

With some manipulations, we get

$$\Sigma v = \frac{\dot{M}}{3\pi} \left( 1 - \sqrt{\frac{R_{\text{in}}}{R}} \right). \quad (2.3.78)$$

We can then calculate the radiated power per unit area of the disk,  $D(R)$ :

$$D(R) = \frac{1}{2} \Sigma v \left( R \frac{d\Omega}{dR} \right)^2 \quad (2.3.79)$$

$$= \frac{1}{2} \frac{\dot{M}}{3\pi} \left( 1 - \sqrt{\frac{R_{\text{in}}}{R}} \right) \frac{9}{4} \frac{GM}{R^3} \quad (2.3.80)$$

$$= \frac{3}{8\pi} \frac{GM\dot{M}}{R^3} \left( 1 - \sqrt{\frac{R_{\text{in}}}{R}} \right). \quad (2.3.81)$$

This turns out to be completely independent of  $\nu$ !

If the disk extends from  $R_{\text{in}}$  to  $R_{\text{out}}$  then we can calculate the total luminosity (from both sides of the disk)

$$L = 2 \int_{R_{\text{in}}}^{R_{\text{out}}} 2\pi R D(R) dR \quad (2.3.82)$$

$$= 2 \int_{R_{\text{in}}}^{R_{\text{out}}} 2\pi R \frac{3}{8\pi} \frac{GM\dot{M}}{R^3} \left( 1 - \sqrt{\frac{R_{\text{in}}}{R}} \right) dR \quad (2.3.83)$$

$$= \frac{3}{4} \frac{GM\dot{M}}{R_{\text{in}}} \int_{x_1}^{x_2} x^{-2} \left( 1 - x^{-1/2} \right) dx \quad (2.3.84) \quad \text{Introduced } x = R/R_{\text{in}}.$$

$$= \frac{3}{2} \frac{GM\dot{M}}{R_{\text{in}}} \left[ \frac{1}{x_1} - \frac{1}{x_2} - \frac{2}{3} \left( \frac{1}{x_1^{3/2}} - \frac{1}{x_2^{3/2}} \right) \right]. \quad (2.3.85)$$

Here  $x_1 = R_{\text{in}}/R_{\text{in}} = 1$ , while  $x_2$  is very large; we can send it to infinity. This yields  $1 - 2/3$  inside the square bracket, so

$$L = \frac{3}{2} \frac{GM\dot{M}}{R_{\text{in}}} \frac{1}{3} = \frac{1}{2} \frac{GM\dot{M}}{R_{\text{in}}}. \quad (2.3.86)$$

### 2.3.5 Self-consistency checks

#### The azimuthal velocity is supersonic

To first order, the gravitational force in the planar direction is

$$F_g = \frac{GM}{r^2} = \frac{GM}{R^2 + z^2}, \quad (2.3.87)$$

while the force in the vertical direction is

$$F_{g,z} = \frac{GM}{R^2 + z^2} \sin \theta = \frac{GMz}{(R^2 + z^2)^{3/2}} \approx \frac{GMz}{R^3}. \quad (2.3.88)$$

We can assume that the plasma does not move in the  $z$  direction, the pressure gradient along the  $z$  direction must balance the gravitational force:

$$\frac{1}{\rho} \frac{\partial P}{\partial z} = - \frac{GMz}{R^3}, \quad (2.3.89)$$

and we can make a rough approximation  $\partial P / \partial z \approx P/H$ . This then means that

$$\frac{1}{\rho} \frac{P}{H} \approx \frac{GMH}{R^3}. \quad (2.3.90)$$

Further, since  $c_s^2 \approx P/\rho$  we will have

$$c_s^2 \approx \frac{GMH^2}{R^3}. \quad (2.3.91)$$

However, since  $H \ll R$  we have

$$\frac{H}{R} \approx c_s \sqrt{\frac{R}{GM}} \ll 1, \quad (2.3.92)$$

therefore

$$c_s \ll \sqrt{\frac{GM}{R}} = v_\phi. \quad (2.3.93)$$

With this simple and rough line of reasoning we have shown that **the azimuthal Keplerian velocity of the gas must be supersonic** if we want the disk to be geometrically thin.

The azimuthal velocity can be written as

$$v_\phi = \sqrt{\frac{GM}{R}} = \frac{c}{\sqrt{2}} \sqrt{\frac{R_s}{R}}, \quad (2.3.94)$$

so even if we are at  $R = 2500R_s$ , quite far from the ISCO, we are already moving at  $c/50\sqrt{2} \approx 4000 \text{ km/s}$ , very fast.

### The azimuthal velocity is Keplerian

Let us start with the Euler equation in the radial direction, written in a frame which is corotating with  $\Omega = \Omega(R)$ , so we will need to account for fictitious forces: the centrifugal force  $\vec{\Omega} \wedge (\vec{\Omega} \wedge \vec{r})$  yields a term  $v_\phi^2/R$ . We find

$$v_R \frac{\partial v_R}{\partial R} - \frac{v_\phi^2}{R} + \frac{1}{\rho} \frac{\partial P}{\partial r} + \frac{GM}{R^2} = 0, \quad (2.3.95)$$

and now we will make some approximations:

$$\frac{1}{\rho} \frac{\partial P}{\partial R} \approx \frac{1}{\rho} \frac{P}{R} \approx \frac{c_s^2}{R}, \quad (2.3.96)$$

and  $c_s^2 \ll GM/R$ : thus, we can completely neglect the pressure gradient term compared to the gravitational term. This yields

$$v_R \frac{\partial v_R}{\partial R} - \frac{v_\phi^2}{R} + \frac{GM}{R^2} \approx 0. \quad (2.3.97)$$

We approximate  $\partial v_R / \partial R \approx v_R / R$ , and write  $v_R$  using the mass continuity equation  $-2\pi R \Sigma v_R = \dot{M}$ , with

$$\Sigma = \frac{\dot{M}}{3\pi\nu} \left( 1 - \sqrt{\frac{R_{\text{in}}}{R}} \right), \quad (2.3.98)$$

therefore

$$v_R = \frac{\dot{M}}{2\pi R \Sigma} = -\frac{3\nu}{2R} \frac{1}{1 - \sqrt{R_{\text{in}}/R}}. \quad (2.3.99)$$

This means that the typical  $v_R$  will be  $v_R \approx \nu/R$ . Using the  $\alpha$ -prescription, this reads

$$v_R \approx \frac{\nu}{R} = \frac{\alpha c_s H}{R} \ll c_s, \quad (2.3.100)$$

since both  $\alpha < 1$  and  $H < R$ . Then,

$$\frac{v_R^2}{R} \ll \frac{c_s^2}{R} \ll \frac{GM}{R^2}, \quad (2.3.101)$$

so the inertial term is negligible compared to the gravitational one: this means that

$$-\frac{v_\phi^2}{R} + \frac{GM}{R^2} \approx 0, \quad (2.3.102)$$

the gravitational force is balanced by the centrifugal force: this means that the azimuthal velocity is indeed Keplerian, our model is self-consistent.

### 2.3.6 Stationary SSD emission

Let us start by stating some assumption and definitions:

1.  $\rho = \Sigma/H$ ;
2.  $H = c_s(R^3/GM)^{1/2} = Rc_s/v_\phi$ ;
3.  $c_s^2 = P/\rho$ ;
4.  $P = P_{\text{gas}} + P_{\text{rad}} = k_B \rho T / (\mu m_p) + aT^4/3$ .

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From the center of the disk radiation can travel outward, however it will be optically thick:  $\tau = \int_0^r \alpha ds > 1$ . We can approximate it as  $\tau \approx \kappa_R \rho H = \kappa_R \Sigma > 1$ , where  $\kappa_R$  is the Rosseland mean opacity.

Radiative transport in a slab can be treated analytically in the diffusion approximation to yield the radiative flux

$$F(z) = \frac{16\sigma T^3}{3\kappa_R \rho} \frac{\partial T}{\partial z} = -\frac{4}{3} \frac{\sigma}{\kappa_R \rho} \frac{\partial(T^4)}{\partial z}. \quad (2.3.103)$$

We can define the surface as the height at which  $\tau = 1$ .

The flux crossing the  $z = 0$  surface is

$$F(0) \approx \frac{4}{3} \frac{\sigma}{\kappa_R \rho_c} \frac{T_c^4}{H} \approx \frac{4}{3} \frac{\sigma T_c^4}{\tau_c}. \quad (2.3.104)$$

The flux at the surface, on the other hand, is

$$F(s) \approx \frac{4}{3} \frac{\sigma}{\tau_s} T_s^4 \approx \frac{4}{3} \sigma T_s^4. \quad (2.3.105)$$

So, their ratio is

$$\frac{F(s)}{F(0)} = \left( \frac{T_s}{T_c} \right)^4 \tau_c. \quad (2.3.106)$$

We expect  $T_s < T_c$ , as is natural if flux is going from inside to outside. Then,  $(T_s/T_c)^4 \ll 1$ , so unless  $\tau_c$  is extremely large (and, as we will see, it is not) we get  $F(s) < F(0)$ . The difference  $F(0) - F(s) \approx F(0)$  corresponds to the produced energy  $D(R)$ , so

$$\frac{4}{3} \sigma \frac{T_c^4}{\tau_c} \approx D(R) = \frac{3GM\dot{M}}{8\pi R^3} \left[ 1 - \left( \frac{R_{\text{in}}}{R} \right)^{1/2} \right]. \quad (2.3.107)$$

This will be another assumption for us. Also, we will use the relations

$$\tau = \kappa_R \Sigma \quad (2.3.108)$$

$$\nu \Sigma = \frac{\dot{M}}{3\pi} \left[ 1 - \left( \frac{R_{\text{in}}}{R} \right)^{1/2} \right] \quad (2.3.109)$$

$$v_R = -\frac{3\nu}{2R} \left[ 1 - \left( \frac{R_{\text{in}}}{R} \right)^{1/2} \right]^{-1} \quad (2.3.110)$$

$$\kappa_R = \kappa_R(\rho, T, \dots) \quad (2.3.111)$$

$$\nu = \nu(\rho, T, \dots) = \alpha H c_s. \quad (2.3.112)$$

Since we want to see what the emission will look like, we seek an explicit expression for the surface temperature:

$$\sigma T_s^4 = D(R) = \frac{3GM\dot{M}}{8\pi R^3} \left[ 1 - \left( \frac{R_{\text{in}}}{R} \right)^{1/2} \right] \quad (2.3.113)$$

$$T_s = \left( \frac{3GM\dot{M}}{8\pi\sigma} \right)^{1/4} R^{-3/4} \left[ 1 - \left( \frac{R_{\text{in}}}{R} \right)^{1/2} \right]^{1/4} \quad (2.3.114)$$

$$T_s \approx \left( \frac{3GM\dot{M}}{8\pi\sigma R_{\text{in}}^3} \right)^{1/4} \left( \frac{R_{\text{in}}}{R} \right)^{3/4}. \quad (2.3.115) \quad \text{If } R \gg R_{\text{in}}.$$

Then, we can see that the temperature decreases as a function of  $R$ .

Let us define a typical surface temperature  $T_s^{\text{typical}}$  as

$$T_s^{\text{typical}} = \left( \frac{3GM\dot{M}}{8\pi\sigma R_{\text{in}}^3} \right)^{1/4} \approx 10^7 \text{ K} \left( \frac{\dot{M}}{10^{17} \text{ g/s}} \right)^{1/4} \left( \frac{M}{M_{\odot}} \right)^{1/4} \left( \frac{R_{\text{in}}}{10^6 \text{ cm}} \right)^{-3/4}, \quad (2.3.116)$$



which yields soft X-rays, at around 1 keV. Using the complete formula, we find that the temperature is in the form

$$T^4 \propto \frac{1}{x^{3/4}} \left( 1 - \frac{1}{x^{1/2}} \right), \quad (2.3.117)$$

where  $x = R/R_{\text{in}}$ . We can maximize this, and we find that the maximum temperature of the disk is found to be  $T_{\text{max}} \approx 0.5T_s^{\text{typical}}$ .

There are several radiative processes taking place in an accretion disk:

1. electron scattering;
2. thermal free-free emission (bremsstrahlung).

The latter is dominant, and the characteristic Rosseland mean opacity is

$$\kappa_R^{\text{bremss}} \approx 6.6 \times 10^{22} \rho T^{-7/2} \text{cm}^2 \text{g}^{-1}. \quad (2.3.118)$$

For convenience, let us define

$$f = 1 - \sqrt{\frac{R_{\text{in}}}{R}}. \quad (2.3.119)$$

We find an algebraic system with all the equations, and finally we get

$$H = 1.7 \times 10^8 \text{cm} \times \alpha^{-1/10} (\dot{M}_{16})^{3/20} M^{-3/8} R_{10}^{9/8} f^{3/5}, \quad (2.3.120)$$

from which we can confirm that  $R \gg H$ . Here, if a quantity has a subscript such as  $\dot{M}_{16}$ , it means that we are normalizing the number to  $10^{16} \text{g/s}$ , or the appropriate cgs unit in general. We can also calculate the central optical depth:

$$\tau_c = 33 \alpha^{-4/5} \dot{M}_{16}^{1/5} f^{4/5}. \quad (2.3.121)$$

Also, we find that  $T_s = T_c/2$ .

Recall that

$$\Sigma = 5.2 \alpha^{-4/5} \dot{M}_{16}^{7/10} M^{1/4} R^{-3/4} \text{g/cm}^2. \quad (2.3.122)$$

Is it true that  $M_d = M_{\text{disk}} \ll M$ , as we assumed? We can calculate it as

$$M_d = \int_{R_{\text{in}}}^{R_{\text{max}}} 2\pi R \Sigma \text{d}R \quad (2.3.123)$$

$$\approx 10^{-8} \alpha^{-4/5} \dot{M}_{16}^{7/10} M^{1/2} M_{\odot}, \quad (2.3.124)$$

assuming that  $R_{\text{max}} \approx 10R_{\text{in}}$ . This is indeed many orders of magnitude below the mass of the stellar source.

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## Regions of the disk

The  $\alpha$  parameter comes from the very rough assumption  $\nu_{\text{turb}} = \alpha c_s H$ , it is a weak point of this model. Asking that  $\alpha = \text{const}$  is just plain wrong.

Further, we assumed that  $P = P_{\text{gas}}$ , and that the Rosseland mean opacity  $\kappa_R$  is only given by free-free absorption.

We know that for Thompson scattering the cross-section is  $\kappa_R^s = \sigma_T / m_p = 0.4 \text{ cm}^2/\text{g}$ . When is this smaller than the free-free opacity? In dimensionless terms, the equation for  $\kappa = \tau/\Sigma$  reads

$$\kappa_R^{\text{ff}} > \kappa_R^s \quad (2.3.125)$$

$$6.3 \dot{M}_{16}^{-1/2} M^{1/4} R_{10}^{-3/4} f^2 > 0.4 \quad (2.3.126)$$

$$R_{10} > 0.5 \times 10^{-2} \dot{M}_{16}^{2/3} M^{1/3} f^{8/3} \quad (2.3.127)$$

$$R > 0.5 \times 10^8 \dot{M}_{16}^{2/3} M^{1/3} f^{8/3} \text{ cm} . \quad (2.3.128)$$

For a white dwarf, this is always the case; we can tell in general that for  $R \lesssim 10^8 \text{ cm}$  electron scattering dominates.

The temperature decreases with radius as  $T \propto R^{-3/2}$ , so for high enough radii it can drop below  $10^4 \text{ K}$ , at which point recombination can occur: at that point free-free absorption cannot occur anymore, and we must account for free-bound and bound-bound transitions.

If a NS or a BH is accreting, on the other hand, we can have a scattering-dominated internal region.

So, in terms of the **main type of matter-radiation interaction** we will have three regions: going outwards, there is domination of electron scattering, free-free absorption, bound-free/bound-bound absorption.

Does  $P_{\text{gas}}$  dominate over  $P_{\text{rad}}$ ? their ratio is indeed

$$\frac{P_{\text{rad}}}{P_{\text{gas}}} = \frac{\frac{1}{3} a T^4}{\frac{k T_c \Sigma H}{\mu m_p}} \approx 3 \times 10^{-3} \alpha^{1/10} \dot{M}_{16}^{7/10} R_{10}^{-3/8} f^{7/5} \ll 1 . \quad (2.3.129)$$

As  $R$  decreases,  $P_{\text{rad}}$  becomes ever more relevant. Is there an equality radius? It will definitely be smaller than  $3 \times 10^8 \text{ cm}$ . Doing the calculation, we find

$$R_{\text{equality}} \approx 24 \alpha^{2/21} \dot{M}_{16}^{16/21} f^{9/21} \text{ km} . \quad (2.3.130)$$

This may sometimes be attained in the innermost region of the disk, right before the ISCO.

So, in terms of the **nature of most of the pressure**, we may have a radiation-dominated region in the innermost part of the disk, but mostly there will be gas pressure domination.

**The shape of the disk** We calculate the shape of the disk,  $H(R)$ , in the innermost radiation-dominated region. The sound speed under radiation domination is

$$c_s^2 = \frac{P}{\rho} = \frac{1}{3} \frac{a T_c^4}{\rho} = \frac{1}{3} \frac{4\sigma}{c} \frac{T_c^4}{\rho} , \quad (2.3.131)$$

and we know that

$$\frac{4}{3} \frac{\sigma T_c^4}{\tau} = \frac{3GM\dot{M}}{8\pi R^3} f, \quad (2.3.132)$$

which means that

$$c_s^2 = \frac{3GM\dot{M}\tau f}{8\pi R^3 \rho c}, \quad (2.3.133)$$

and using the fact that, for scattering opacity domination,

$$\tau = \kappa_R^s \Sigma = \frac{\sigma_T}{m_p} \rho H, \quad (2.3.134)$$

we find

$$c_s^2 = \frac{3GM\dot{M}\sigma_T \rho H f}{8\pi R^3 \rho c m_p} = \frac{3GM\dot{M}\sigma_T H f}{8\pi R^3 c m_p}, \quad (2.3.135)$$

but we also know that  $H = c_s R (R/GM)^{1/2}$ ; using this fact we can calculate the sound speed  $c_s = (H/R)(GM/R)^{1/2}$ . Using this (see equation (2.3.91)), we get

$$\frac{H^2}{R^2} \frac{GM}{R} = \frac{3GM\dot{M}\sigma_T H f}{8\pi R^3 c m_p} \quad (2.3.136)$$

$$H = \frac{3\sigma_T \dot{M}}{8\pi c m_p} f, \quad (2.3.137)$$

which is nearly independent of  $R$ : the only dependence is inside the factor  $f$ , which depends on  $R$  quite weakly. The shape of the disk is slab-like in the inner region, and concave in the outer part but still quite flat.

**The Eddington limit** The Eddington luminosity for electron scattering is

$$L_{\text{Edd}} = \frac{4\pi GM m_p c}{\sigma_T}, \quad (2.3.138)$$

and the corresponding accretion rate is  $\dot{M}_{\text{Edd}} = L_{\text{Edd}}/c^2$ .

The critical accretion rate is the one which produces an Eddington luminosity, after accounting for efficiency:

$$\eta \dot{M}_{\text{crit}} c^2 = L_{\text{Edd}}, \quad (2.3.139)$$

so  $\dot{M}_{\text{crit}}$  is larger than  $\dot{M}_{\text{Edd}}$ . Using this, the height of the disk is given by

$$H = \frac{3}{2} \dot{M} f \frac{\sigma_T}{4\pi c m_p} = \frac{3}{2} \dot{M} f \frac{GM}{L_{\text{Edd}}} \quad (2.3.140)$$

$$= \frac{3}{2} \underbrace{\frac{GM}{R_{\text{in}} c^2}}_{\eta} R_{\text{in}} \frac{\dot{M}}{\dot{M}_{\text{Edd}}} f \quad (2.3.141)$$

$$= \frac{3}{2} \eta R_{\text{in}} \frac{\dot{M}}{\dot{M}_{\text{Edd}}} f, \quad (2.3.142)$$

so

$$\frac{H}{R_{\text{in}}} = \frac{3}{2} \frac{\dot{M}}{\dot{M}_{\text{crit}}} f, \quad (2.3.143)$$

and we can see that  $H < R_{\text{in}}$  iff  $\dot{M} < \dot{M}_{\text{crit}}$ . Then, we see that **the accretion rate must be subcritical as long as we want to keep the disk thin.**

### 2.3.7 The multicolor blackbody

A final point about disks: each layer of the disk emits roughly a blackbody, which means that the total spectrum is a superposition of several blackbodies, this is called a multicolor blackbody.

The spectrum emitted by each annulus, as usual, is described by a Planck function:

$$I_\nu = \frac{2h}{c^2} \frac{\nu^3}{\exp\left(\frac{h\nu}{k_B T}\right) - 1}, \quad (2.3.144)$$

as long as there is no reprocessing of the radiation from stuff around the disk. This is an interesting process, but for simplicity we will not discuss it.

What we measure is the flux:

$$F_\nu = \int_{4\pi} I_\nu \cos \theta \, d\Omega, \quad (2.3.145)$$

where  $d\Omega = 2\pi R \, dR / D^2$ , where  $D$  is the distance from us. The integral to compute is

$$F_\nu = \frac{2\pi}{D^2} \cos \iota \int_{R_{\text{in}}}^{R_{\text{out}}} R \, dR \frac{\nu^3}{\exp\left(\frac{h\nu}{k_B T(R)}\right) - 1}. \quad (2.3.146)$$

This integral can be computed numerically, but we can already gather its main characteristics. As we have seen earlier (2.3.115), the temperature looks like

$$T(R) = T_{\text{in}} \left( \frac{R_{\text{in}}}{R} \right)^{3/4}. \quad (2.3.147)$$

Let us now estimate the integral in three limits, comparing  $h\nu$  to  $k_B T(R_{\text{in}})$  and  $k_B T(R_{\text{out}})$  respectively.

The first interesting limit is the low-energy one:  $h\nu \ll k_B T(R_{\text{out}}) < k_B T(R)$  for any  $R$ . Then, the flux is proportional to

$$F_\nu \propto \int R \, dR \frac{\nu^3}{h\nu/k_B T(R)} \propto \nu^2 \int T(R) R \, dR \propto \nu^2. \quad (2.3.148)$$

The opposite, high energy limit  $h\nu \gg k_B T(R_{\text{in}})$  yields an exponential cutoff, since the contribution of the exponential  $e^{-h\nu/k_B T(R)}$  of the term in the integral with  $T = T_{\text{in}}$  is dominant

$$F_\nu \propto \nu^3 \exp\left(-\frac{h\nu}{k_B T_{\text{in}}}\right). \quad (2.3.149)$$

In the intermediate region,  $k_B T_{\text{out}} < h\nu < k_B T_{\text{in}}$ .

Defining  $x = h\nu/k_B T(R)$ , we find

$$F_\nu \propto \int \frac{\nu^3}{e^x - 1} R \, dR, \quad (2.3.150)$$

but  $x \propto \nu/T \propto \nu R^{3/4}$ , therefore  $R \propto x^{4/3} \nu^{-4/3}$ , which means that (since  $\nu$  is constant in the context of the integral)  $dR \propto x^{1/3} \nu^{-4/3} dx$

$$F_\nu \propto \int \frac{\nu^3 \nu^{-8/3}}{e^x - 1} x^{5/3} dx, \quad (2.3.151)$$

which means that

$$F_\nu \propto \nu^{1/3} \int \frac{x^{5/3}}{e^x - 1} dx. \quad (2.3.152)$$

The integral is approximately one from 0 to  $\infty$ , a number. The  $F \propto \nu^{1/3}$  signature intermediate region is a characteristic of accretion disks.

## Chapter 3

# Neutron Stars

### 3.1 Magneto-rotational evolution

#### 3.1.1 Basic parameters

Their radius is of the order of 10 km, their masses are of the order of  $M_{\odot} \sim 2 \times 10^{33}$  g. They rotate very fast, and they are highly magnetized. These two facts are quite natural, as can be shown by a back-of-the envelope calculation: angular momentum is conserved, so  $I_* \omega_* = I_{NS} \omega_{NS}$ , so considering a Sun-like star we have initially  $R_* \sim 10^{11}$  cm;  $P_* \sim 10$  d.

The moment of inertia is  $(2/5)MR^2$ , so if the star collapses down to NS dimensions while conserving angular momentum we must have

$$M_* R_*^2 \omega_* = M_{NS} R_{NS}^2 \omega_{NS}, \quad (3.1.1)$$

so assuming that the mass is also conserved in the collapse we find

$$\frac{R_{NS}^2}{P_{NS}} = \frac{R_*^2}{P_*}, \quad (3.1.2)$$

which means

$$P_{NS} \sim \underbrace{\left( \frac{10^6 \text{ cm}}{10^{11} \text{ cm}} \right)^2}_{10^{-10}} 10^6 \text{ s} = 10^{-4} \text{ s}. \quad (3.1.3)$$

This is indeed quite unrealistic, but it shows the point quite well. However, these stars cannot rotate too much: the **mass shedding limit** is reached when the centrifugal force on the material on the surface equals the gravitational pull, so in a Newtonian fashion

$$\frac{GM}{R^2} = R\omega^2 \implies \nu = 1836 \text{ Hz} \left( \frac{M}{M_{\odot}} \right)^{1/2} \left( \frac{R}{10^6 \text{ cm}} \right)^{-3/2}, \quad (3.1.4)$$

and millisecond pulsars have been observed.

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Regarding magnetism, because of flux conservation  $B_* R_*^2 = B_{NS} R_{NS}^2$ . How large is  $B_*$  for a typical star? This has a large variability, but typically it is of the order of 100 G. This yields

$$B_{NS} = B_* \left( \frac{R_*}{R_{NS}} \right)^2 \sim 10^{12} \text{ G}. \quad (3.1.5)$$

These fields get close to  $B_{QED} = 4.4 \times 10^{13} \text{ G}$ , at which point quantum relativistic effects start to become relevant, since the cyclotron frequencies of electrons,  $\omega_c = qB/m_e c$ , are such that the energies of the quantized cyclotron orbits are comparable to  $m_e c^2$ .<sup>1</sup>

What we will study will be the magneto-rotational evolution of the NS. What is the topology of the  $\vec{B}$  field? We **do not know**. The magnetic field is “frozen” as the star rotates; we will start out by the first-order contribution: the dipole. A sketch is shown in figure 3.1

This is given by

$$\vec{B}_{\text{dip}} = \underbrace{\frac{B_p}{2} \left( \frac{R_{NS}}{R} \right)^3}_{=|\vec{m}|/2R^3} \times (2 \cos \theta \hat{u}_r + \sin \theta \hat{u}_\theta), \quad (3.1.7)$$

where  $B_p$  is the polar magnetic field. The magnetic moment has magnitude  $m = B_p R_{NS}^3$ .

We can decompose it in cartesian coordinates:  $\vec{m} = (m_x, m_y, m_z)$ ; and similarly in polar coordinates:  $\alpha$  (the angle between  $\vec{m}$ , the magnetic axis, and  $\vec{\Omega}$ , the rotation axis) and  $\psi = \Omega t$  (the azimuthal angle, rotating around  $\vec{\Omega}$ ).

The rotation is much faster than the evolution of the interior structure, so we will have

$$\dot{\vec{m}} = m(-\Omega \sin \alpha \sin \Omega t, \Omega \sin \alpha \cos \Omega t, 0) \quad (3.1.8)$$

$$\ddot{\vec{m}} = m\Omega^2 \sin \alpha (\cos \Omega t, -\sin \Omega t, 0), \quad (3.1.9)$$

therefore the modulus is  $\ddot{m} = m\Omega^2 \sin \alpha$ . The **Larmor formula** for the power lost per unit time gives us

$$P = \frac{2\ddot{m}^2}{3c^3} = \frac{2}{3c^3} m^2 \Omega^4 \sin^2 \alpha. \quad (3.1.10)$$

Where does this energy come from? The star could even be at zero temperature and still emit, the rotational energy  $E_K = I\Omega^2/2$  is instead the reservoir. The order of magnitude for the moment of inertia is roughly  $I = 2MR^2/5 \sim 10^{45} \text{ gcm}^2$ .

The variation of rotational energy will be

$$\dot{E}_K = I\Omega\dot{\Omega} = -P, \quad (3.1.11)$$

---

<sup>1</sup> The threshold is, in SI units, calculated as

$$B_{QED} = \frac{(m_e c)^2}{e\hbar}. \quad (3.1.6)$$

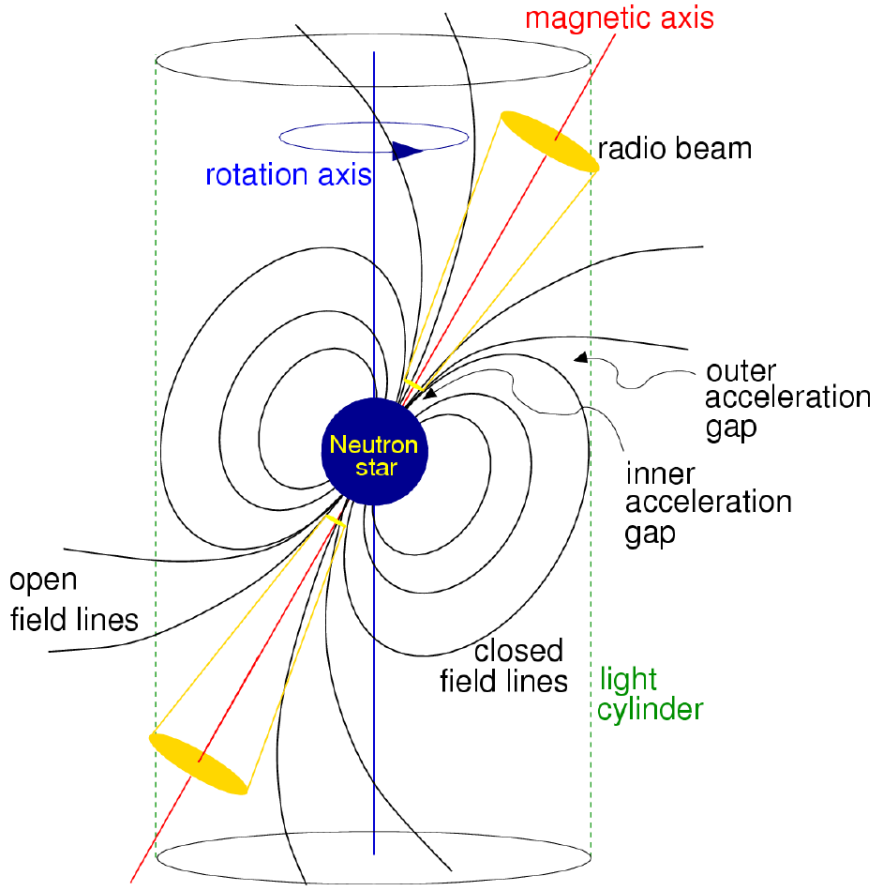


Figure 3.1: Dipolar magnetic field of a Neutron Star.

therefore

$$I\Omega\dot{\Omega} = -\frac{2}{3c^3}B_p^2R_{NS}^6\Omega^4\sin^2\alpha \quad (3.1.12)$$

$$\dot{\Omega} = -\underbrace{\frac{2}{3Ic^3}B_p^2R_{NS}^6\sin^2\alpha}_A\Omega^3, \quad (3.1.13)$$

which tells us that there will be a secular decrease in the rotation rate of the neutron star. This is immediately integrated:

$$\frac{\dot{\Omega}}{\Omega^3} = -A \implies \frac{1}{2\Omega_0^2} = \frac{1}{2\Omega^2} - A(t - t_0). \quad (3.1.14)$$

A useful approximation is  $\Omega_0 \gg \Omega$ , meaning that the NS is quite old — this is the case very quickly immediately for NSs with periods of the order of 1 s. This means that we can neglect  $\Omega_0^{-2}$ , therefore

$$\Omega^{-2} \approx 2At, \quad (3.1.15)$$



which means  $\Omega \propto t^{-1/2}$ . This is known as the spin-down. The period is

$$P^2 = \frac{4\pi^2}{\Omega^2} = \frac{16\pi^2}{3c^3 I} B_p^2 R_{NS}^6 \sin^2 \alpha \times t. \quad (3.1.16)$$

We can also write

$$\frac{dP}{P} = -\frac{d\Omega}{\Omega}, \quad (3.1.17)$$

therefore

$$P\dot{P} = -(4\pi)^2 \frac{\dot{\Omega}}{\Omega^3} = \frac{8\pi^2 B_p^2 R_{NS}^6 \sin^2 \alpha}{3Ic^3}. \quad (3.1.18)$$

In other words,

$$B_p = \sqrt{P\dot{P}} \sqrt{\frac{3Ic^2}{8\pi^2 R_{NS}^6 \sin^2 \alpha}}^{1/2} \approx \frac{6 \times 10^{19} \text{ G}}{\sin \alpha} \sqrt{\frac{P\dot{P}}{1 \text{ s}}}. \quad (3.1.19)$$

This allows us to directly measure  $B_p$ , as long as we have some good estimates for  $R_{NS}$  and  $I$ . Typically,  $P \sim 1 \text{ s}$  and  $\dot{P} \sim 10^{-14} \text{ s/s}$ . This yields  $B_p \sim 10^{12} \text{ G}$ .

The age of the NS can be estimated as  $t \approx P/2\dot{P}$ ; which is typically found to be of the order of  $10^5 \text{ yr}$ .

We wanted to estimate the magnetic field  $B$  and characteristic age  $\tau_c$  of the NS: we have found that  $B_p \propto \sqrt{P\dot{P}}$  and  $\tau_c \propto P/\dot{P}$ .

Now we will define the **braking index**: recall that the evolution of the angular velocity can be written as  $\dot{\Omega} = -k\Omega^3$ . This can be generalized to a law of the type  $\dot{\Omega} = -k\Omega^n$ ; the spin-down due to the emission of GWs is similarly written with  $n = 6$ .

Taking the derivative of this law, we find

$$\ddot{\Omega} = -kn\dot{\Omega}\Omega^{n-1} \quad (3.1.20)$$

$$= \frac{n}{\Omega} \dot{\Omega}(-k\Omega^n) = n \frac{\dot{\Omega}^2}{\Omega} \quad (3.1.21)$$

$$n = \frac{\ddot{\Omega}\Omega}{\dot{\Omega}^2}. \quad (3.1.22)$$

By measuring  $\Omega$ ,  $\dot{\Omega}$  and  $\ddot{\Omega}$  we can estimate  $n$ , which will allow us to find the braking index  $n$ . However, as the order of the derivative increases the difficulty of the measurement increases. The index  $n$  is known for around 10 NSs, and it was measured to be  $n < 3$ , which is evidence against magnetorotational losses as the main cause of the spin-down.

We make a plot, with  $\log P$  on the  $x$  axis and  $\log \dot{P}$  on the  $y$  axis: this is the  $P - \dot{P}$  diagram. We can scatter-plot known NSs in it: see figure 3.2.

Equal- $B_p$  lines and equal- $\tau_c$  lines can be drawn: the first are in the form  $\log P = -\log \dot{P} + k$ , the second are in the form  $\log P = \log \dot{P} + k$ . We can track the motion of NSs through this diagram: they will move down and to the right as they evolve, with a slope of  $2 - n$ , since

$$\frac{d}{dt} \left( \frac{1}{P} \right) \propto -\frac{1}{P^n} \implies \dot{P} \propto P^{2-n}. \quad (3.1.23)$$

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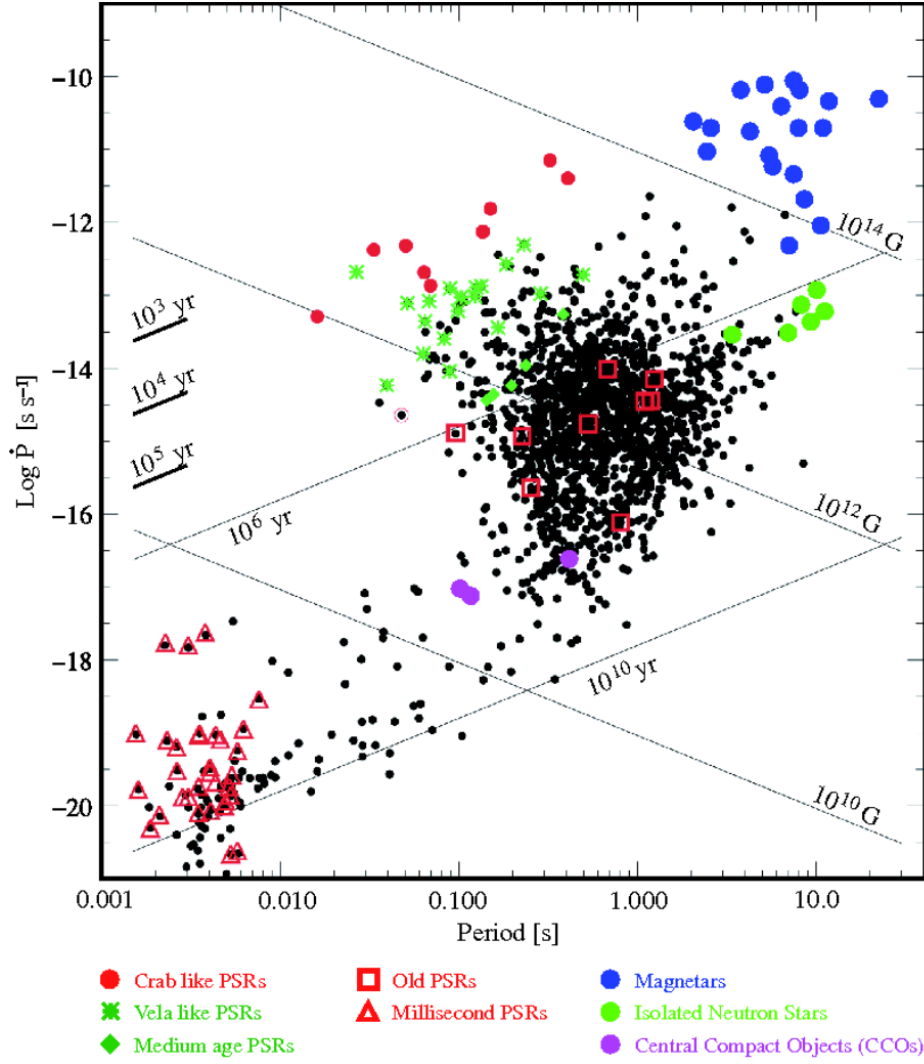


Figure 3.2: An example  $P - \dot{P}$  diagram from Becker, Bernhardt, and Jessner [BBJ13].

### 3.2 Interior structure of NSs

Are they actually made of neutrons? Mostly, yes, but not exclusively.

A free neutron will  $\beta$ -decay:

$$n \rightarrow e^- + p + \bar{\nu} \quad (3.2.1)$$

within around 15 min. Neutrons are also formed through inverse  $\beta$ -decay (also called electron capture):

$$e^- + p \rightarrow n + \nu. \quad (3.2.2)$$

This process, however, is endothermic:  $m_e + m_p < m_n$ . Specifically,  $Q = (m_n - m_p)c^2 \approx 2.54m_e c^2 \approx 1.3 \text{ MeV}$  is the energy the electron must have in order to achieve the reaction.

The thermal energy is definitely not that high inside a NS! In order to describe what goes on, we will make some assumptions:

1. the interior is only made of neutrons, protons and electrons (the *npe* model) (this is not really true, but it will allow us to illustrate the point);
2. the number densities of these species are similar:  $n_e \approx n_p \approx n_n$ .

Matter inside a neutron star is highly degenerate: the energy of each electron is much smaller than the Fermi energy. The Fermi energy can be written as  $E_F \approx m_e c^2 \sqrt{1 + x_F^2}$ , where  $x_F = p_F / m_e c$ , and

$$p_F = \sqrt[3]{\frac{3h^3 n_e}{8\pi}}. \quad (3.2.3)$$

Therefore,

$$x_F = \sqrt[3]{\frac{3h^3 n_e}{8\pi}} \frac{1}{m_e c} \approx 10^{-2} \sqrt[3]{\frac{\rho}{\mu}}. \quad (3.2.4)$$

If we want the electrons to be relativistic, we require  $x_F \gtrsim 1$ , which means  $\rho / \mu_e \gtrsim 10^6 \text{ g/cm}^3$ .

If the Fermi energy is as high as the *Q*-value of the reaction,  $E_F \gtrsim 2.54 m_e c^2$ , then we must have

$$\sqrt{1 + x_F^2} \gtrsim 2.54 \implies x_F \gtrsim 2.3, \quad (3.2.5)$$

which can be solved in terms of the rest mass matter density: we get

$$\rho \gtrsim 1.7 \times 10^7 \text{ g/cm}^3 \times \mu_e, \quad (3.2.6)$$

which is high in absolute terms, but rather low for a NS, since they typically will have densities several orders of magnitude higher.

At these densities, not only electrons, but protons and neutrons are degenerate as well, however these more massive particles are not relativistic. In fact,  $x_F \propto n/m$  holds across particle species: protons and neutrons will have  $x_F^{(p,n)} \sim x_F^{(e)} / 2000$ , since they are  $\sim 2000$  times more massive.

The densities needed for the neutrons and protons to be relativistic might be achieved in the very core of the star, but they are typically not.

So, the electrons are relativistic and the reaction can happen back and forth at equilibrium: this means that we can equate their chemical potentials,

$$\mu_p + \mu_e = \mu_n (+\mu_\nu), \quad (3.2.7)$$

where the neutrinos can be neglected since they generally fly away after a reaction, unable to interact further. Under complete degeneracy we have  $\mu = E_F$ . Then, we can write

$$m_p c^2 \sqrt{1 + x_p^2} + m_e c^2 \sqrt{1 + x_e^2} = m_n c^2 \sqrt{1 + x_n^2}, \quad (3.2.8)$$

but by charge neutrality we must have  $n_e = n_p$ . This is to be complemented with  $n \propto p_F^3$  and  $x = p_F/mc$ : thus,  $x_e/x_p = m_p/m_e$ .

Working through the algebra,<sup>2</sup> we find

$$R_{np} = \frac{n_n}{n_p} = x_n^3 \left[ \frac{4(1+x_n^2)}{x_n^4 + \frac{4Qx_n^3}{m_n} + 4\frac{(Q^2-m_e^2)}{m_n^2}} \right]^{3/2} = \frac{x_n^3}{x_p^3}. \quad (3.2.9)$$

This is plotted on a log-log scale as a function of  $x_n$  in figure 3.3.

The density is given by

$$\rho = m_e n_e + m_n n_n + m_p n_p \approx m(n_p + n_n) \quad (3.2.10) \quad \text{We define } m = m_n \approx m_p.$$

$$\approx m \frac{8\pi}{3} \left( \frac{mc}{h} \right)^3 [x_p^3 + x_n^3] \quad (3.2.11)$$

$$= m \frac{8\pi}{3} \left( \frac{mc}{h} \right)^3 x_n^3 \left[ \frac{1}{R_{np}(x_n)} + 1 \right]. \quad (3.2.12)$$

This yields a parametric expression for  $R_{np}$  in terms of  $\rho$ ; if  $\rho$  is very small then  $n_n \ll n_p$ , approaching  $n_n \sim 0$ , around  $\rho \approx 10^{12} \text{ g/cm}^3$  there is a maximum of  $R_{np} \approx 10^4$ , and it seems to approach  $R_{np} \sim 10$  asymptotically. It is shown in figure 3.4.

Taking the limit of the expression for  $\rho$  as  $n_n \rightarrow 0$  we find

$$\rho \approx \frac{m8\pi}{3} \left( \frac{mc}{h} \right)^3 \left[ \frac{Q^2 - m_e^2}{m^2} \right]^{3/2} \quad (3.2.13)$$

$$\approx \frac{8\pi m}{3} \left( \frac{c}{h} \sqrt{Q^2 - m_e^2} \right)^3. \quad (3.2.14)$$

This is around  $1.2 \times 10^7 \text{ g/cm}^3$ . It is expected, since below this density there will be no neutrons anymore. If we plot  $\rho(x_n)$ , we see a plateau until about  $x_n \sim 10^{-2}$ , after which we start to see  $\rho \propto x_n^3$ .

We defined the neutron-to-proton ratio  $R_{np} = n_n/n_p$ , and found

$$R_{np} = x_n^3 \left[ \frac{4(1+x_n^2)}{x_n^4 + 4Qx_n^2/m_n + 4(Q^2 - m_e^2)/m_n^2} \right]^{3/2}. \quad (3.2.15)$$

In the  $x_n \gg 1$  limit, this is roughly a constant:  $R_{np} \sim 4^{3/2} = 8$ .

Add part about internal structure?

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<sup>2</sup> The passages to follow are: eliminate  $x_e$  in the previous equation with the relation  $x_e/x_p = m_p/m_e$ , approximate  $\sqrt{1+x^2}$  as  $x$  for electrons and as  $1+x^2/2$  for protons and neutrons (thus assuming that they are nonrelativistic); solve for  $x_n/x_p$ .

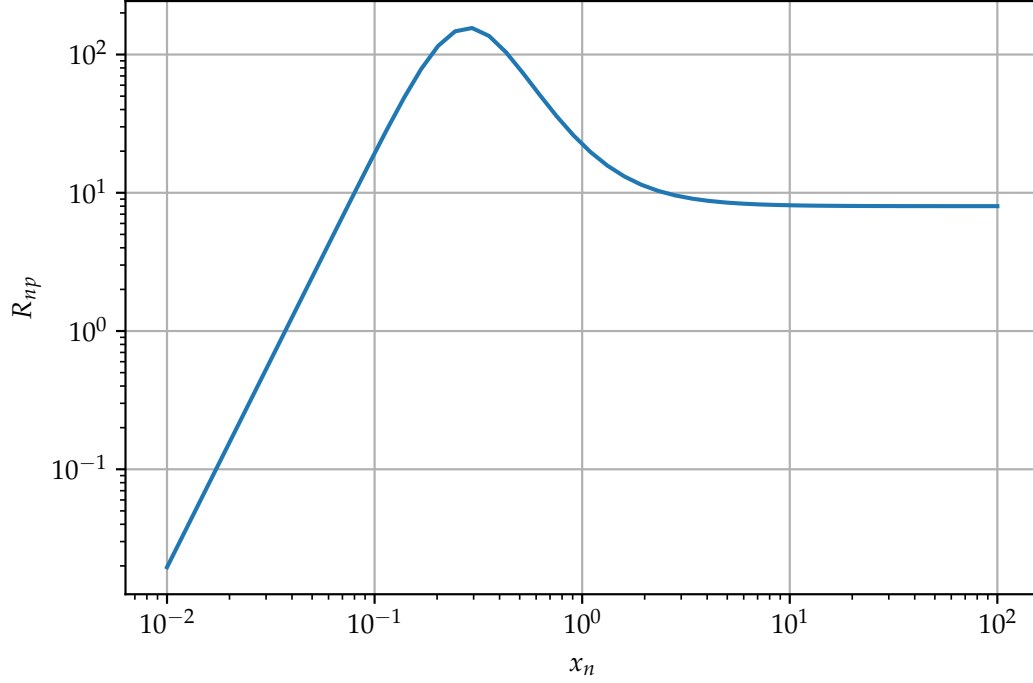


Figure 3.3: Neutron-proton ratio as a function of  $x_n$ .

### 3.2.1 The URCA and MURCA processes

The NS typically forms in a Core-Collapse Supernova event, thus starting with temperatures of the order of  $10^{11}$  K, and then cools (we know this since the NSs we have observed are typically much colder than that). Let us explore how this cooling happens.

At  $T > 10^{10}$  K, we will have to account for both photon and neutrino luminosity ( $L_\gamma$  and  $L_\nu$  respectively), as well as heating ( $H$ ): the change in internal (thermal) energy will look like

$$\frac{dE_{\text{th}}}{dt} = H - L_\gamma - L_\nu. \quad (3.2.16)$$

We also know that a change in energy can be related to a change in temperature in terms of the heat capacity  $c_V$ ,

$$\frac{dE_{\text{th}}}{dt} = c_V \frac{dT}{dt}, \quad (3.2.17)$$

therefore the differential equation for the temperature reads

$$c_V \frac{dT}{dt} = H - L_\nu - L_\gamma. \quad (3.2.18)$$

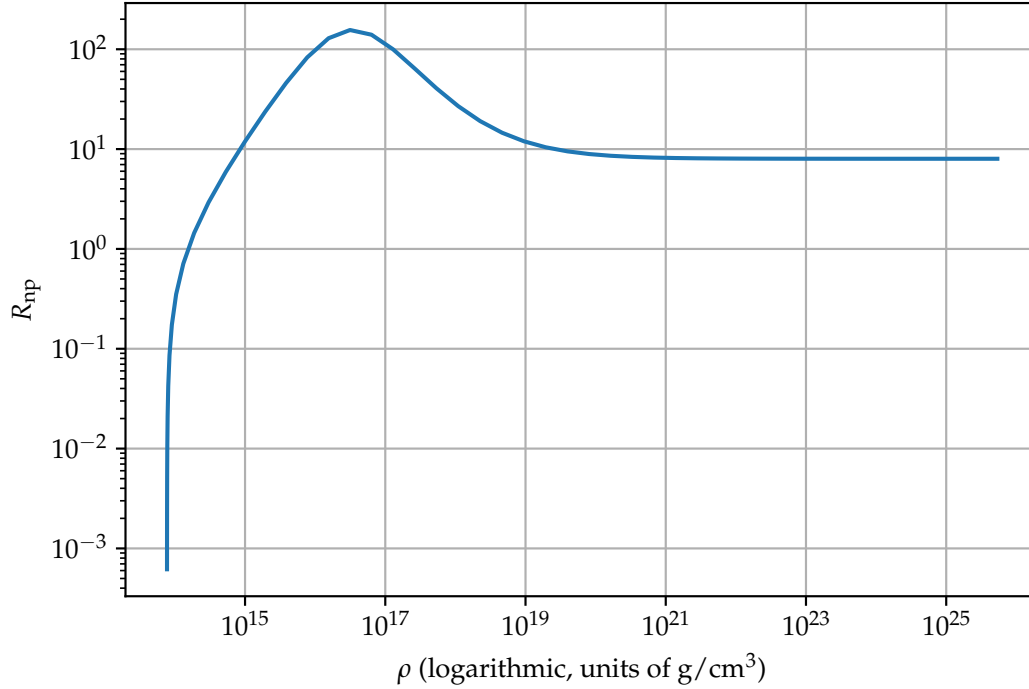


Figure 3.4: Neutron-proton ratio as a function of the density  $\rho$  — note the asymptote at  $\rho \sim 10^{12} \text{ g/cm}^3$ , the lowest possible attainable density with neutrons, protons and electrons in equilibrium.

We have the two processes of neutron decay and neutron formation (electron capture): let us write them in a rather generic form, in terms of a lepton  $\ell$  (typically an electron, but it could also be a muon), its corresponding neutrino  $\nu_\ell$ , and a nuclide  $(Z, A)$ , where  $Z$  is the proton count while  $A$  is the total mass number (protons plus neutrons):

$$(Z, A) + \ell \rightarrow (Z - 1, A) + \nu_\ell \quad (Z, A) \rightarrow (Z + 1, A) + \ell + \bar{\nu}_\ell. \quad (3.2.19)$$

These constitute the direct URCA process. URCA was a famous Casino in Rio in the fifties, and the name was proposed by George Gamow, who liked gambling: the idea is that if these two processes were to occur at equilibrium then energy would be lost by a NS “as fast as money is lost at URCA” — this is known as a “fast” neutrino-producing process.

We will see why, but first let us calculate a **condition for the URCA process to occur**. By momentum conservation, neglecting the momentum of the neutrino,<sup>3</sup> we will have  $\vec{p}_n = \vec{p}_p + \vec{p}_e$ ; however all three species are degenerate, therefore this equality must also hold for the Fermi momenta.

<sup>3</sup> It is indeed negligible since this is all happening in a degenerate gas of neutrons, protons and electrons: therefore, their momenta must be very high since the low-momentum states are saturated; on the other hands neutrinos quickly escape, so their number density is very low, they are not degenerate.

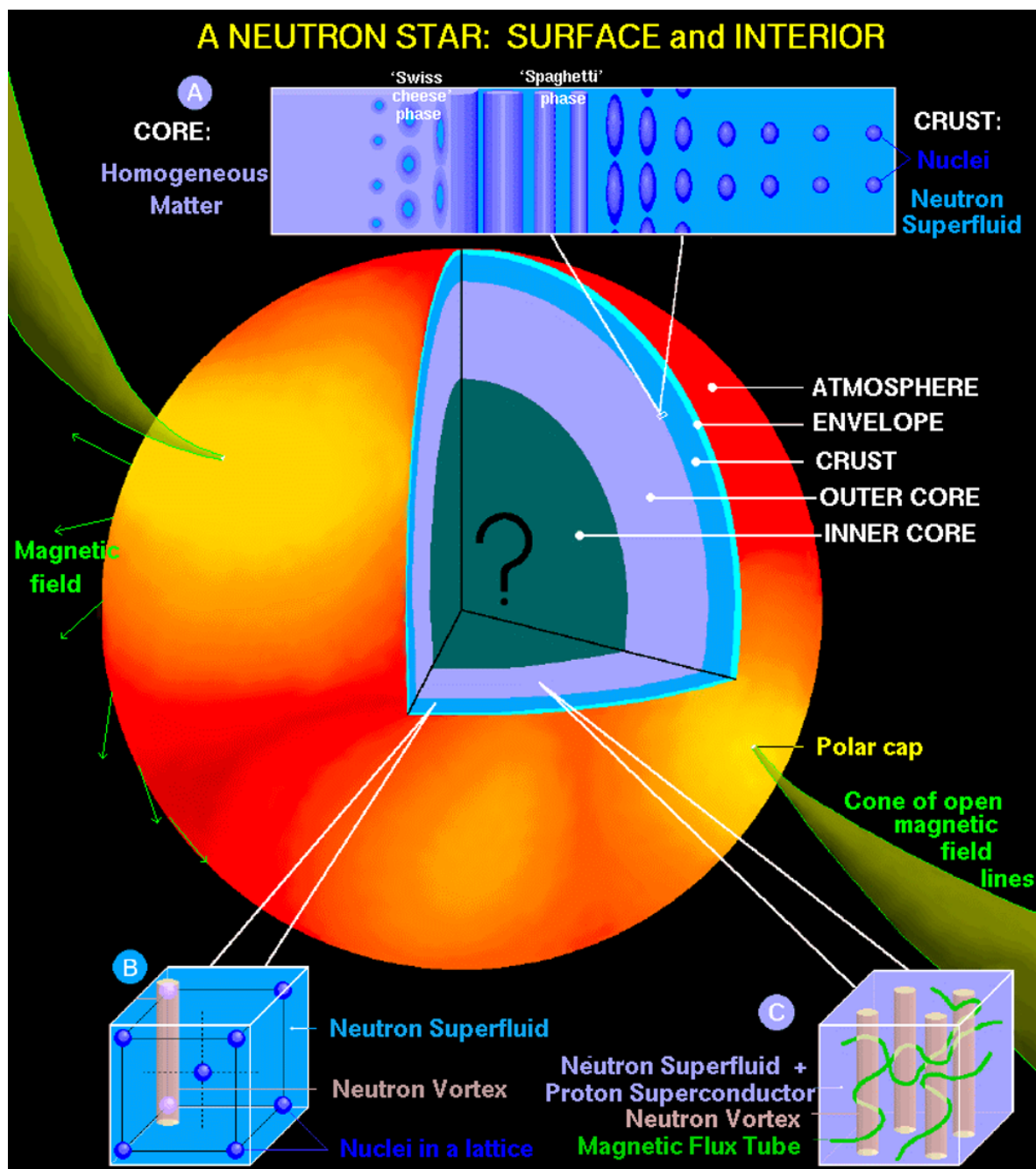


Figure 3.5: Stolen image.

By the triangle inequality we know that  $p_{Fn} \leq p_{Fp} + p_{Fe}$ , and by charge neutrality we also know that  $n_e = n_p$ , therefore  $p_{Fe} = p_{Fp}$ , therefore  $p_{Fn} \leq 2p_{Fp}$ .

Finally, this yields  $n_n \leq 8n_p$ , or  $R_{np} \leq 8$ . Therefore,

$$\frac{n_p}{n_n + n_p} \geq \frac{1}{9}. \quad (3.2.20)$$

As we have seen when we have calculated  $R_{np}(x_n)$ , this can be achieved for very small densities, so  $x_n \lesssim 0.1$ , or in the limit of very large  $x_n$ . Qualitatively speaking, if the density is too large there is **Pauli blocking** of the reaction — the momentum “spots” in which the products would land are already filled.

So, we need a process to allow for cooling in the higher-density case. We could have exotic particles like hyperons or kaons; however there is no direct evidence for them, so first we should look to second order processes, which include a bystander, like

$$n + n \rightarrow e^- + p + \bar{\nu} + n, \quad (3.2.21)$$

which is called the  $n$ -channel, and similarly we have the  $p$ -channel, in which the bystander is a proton. This is the Modified URCA process, or MURCA. This is slow.

The neutrino emissivities can be shown to look like

$$\epsilon_v^{\text{URCA}} \approx 10^{27} R(\rho, T) \left( \frac{T}{10^9 \text{ K}} \right)^6 \text{ erg cm}^{-3} \text{ s}^{-1} \quad (3.2.22)$$

$$\epsilon_v^{\text{MURCA}} \approx 10^{21} R(\rho, T) \left( \frac{T}{10^9 \text{ K}} \right)^8 \text{ erg cm}^{-3} \text{ s}^{-1}, \quad (3.2.23)$$

where  $R$  is a slowly-changing function of  $\rho$  and  $T$ ; the total neutrino luminosity (at constant temperature) is found by integrating the emissivities over the volume,

$$L_\nu = \int \epsilon_\nu dV. \quad (3.2.24)$$

With this, we find that the neutrino luminosity for slow processes (MURCA) is  $L_\nu^s = N^s T^8$ , while  $L_\nu^f = N^f T^6$ , where  $N^s$  and  $N^f$  are two constants (with dimensions) whose approximate expressions are given before.



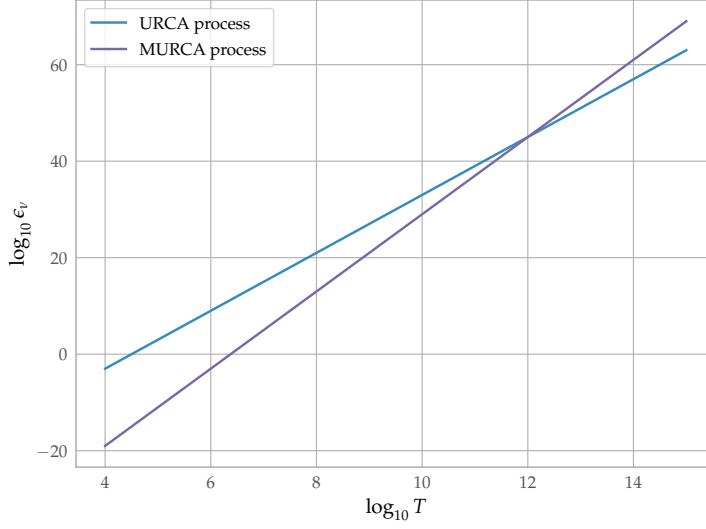


Figure 3.6: URCA and MURCA emissivities.

On the other hand, the photon luminosity can be approximated through blackbody radiation:

$$L_\gamma = 4\pi R^2 \sigma T_e^4, \quad (3.2.25)$$

where  $T_e$  is the surface temperature, and it is different from  $T$  (typically much lower than it). This is due to the presence of an envelope around the neutron star, which emits the bulk of the radiation.

Typically, we can take  $T = \text{const}$  inside the core, and  $T_e \propto T^{1/2+\alpha}$ , with  $\alpha \ll 1$ , so  $T_e \sim \sqrt{T}$ .

This then tells us that  $L_\gamma = ST^{2+4\alpha}$ . Also, we can say that for a degenerate system  $c_V \approx cT$  for some constant  $c$ .<sup>4</sup> Substituting this in, the cooling law reads

$$cT \frac{dT}{dt} = -L_\nu - L_\gamma, \quad (3.2.26)$$

and as we will see  $L_\nu \gg L_\gamma$ , therefore if MURCA dominates over URCA and photons we have

$$cT \frac{dT}{dt} = -N^s T^8, \quad (3.2.27)$$

---

<sup>4</sup> The heat capacity is defined as the derivative of the internal energy with respect to temperature. For a classical gas it would be  $c_V \propto Nk_B$  with some constant accounting for the degrees of freedom; for a degenerate gas it is much lower since increasing the temperature only shifts the “edge” of the distribution, near the Fermi energy.

The full calculation may be done or approximated, but let us give a qualitative argument. As the temperature increases from  $T = 0$ , a fraction of the electrons of the order  $k_B T / E_F$  gets its temperature increased by  $k_B T$ . Therefore,  $\Delta U \propto T^2$ , which means that  $c_V \propto T$ . In the classical case, on the other hand, *all* the gas particles get their energies increased, meaning that  $\Delta U \propto T$ , so  $c_V \propto T^0$ .

which yields

$$\frac{dT}{T^7} = -\frac{N^s}{c} dt \quad (3.2.28)$$

$$\frac{1}{6} \left( \frac{1}{T^6} - \frac{1}{T_{\text{in}}^6} \right) = \frac{N^s}{c} (t - t_{\text{in}}). \quad (3.2.29)$$

If  $T_{\text{in}} \gg T$  (which is realistic with SN formation scenarios) we will have

$$\frac{c}{6N^s} t^{-1} = T^6 \implies T \propto t^{-1/6}. \quad (3.2.30)$$

We can make a very similar calculation for the URCA process: this yields  $T \propto t^{-1/4}$ , a steeper decrease.

The fast process timescale looks like

$$\tau_v^f = \frac{c}{4N^f T^4} = 4 \left( \frac{c_{30}}{10N_{0.62}^s T_9^4} \right) \text{s}, \quad (3.2.31)$$

which is much shorter than the corresponding slow timescale

$$\tau_v^s = \frac{c}{6N^s T^6} = 6 \left( \frac{c_{30}}{6N_{30}^s T_9^4} \right) \text{months}. \quad (3.2.32)$$

We have discussed the temperature dependence for URCA and MURCA neutrino-driven cooling of NSs, as well as radiative photon cooling.

Let us see when (slow) neutrino cooling and photon cooling have equal magnitude:

$$L_v^s = L_\gamma \implies N^s T^8 = s T^{2+4\alpha}, \quad (3.2.33)$$

which yields (neglecting  $\alpha$ ) a transition temperature of

$$T_{\text{trans}}^s = \left( \frac{s}{N^s} \right)^{1/6} \sim 10^8 \text{ K}; \quad (3.2.34)$$

and we can do a similar thing for fast neutrino cooling: here

$$T_{\text{trans}}^f = \left( \frac{s}{N^f} \right)^{1/4} \sim 10^6 \text{ K}, \quad (3.2.35)$$

which means that the transition time is of the order of  $10^4 \div 10^6$  yr.

This means that we can make a rough plot of  $\log T$  against  $\log t$  for the slow-cooling case; in the first phase slow cooling dominates and the temperature decreases quickly; then photon cooling takes over and the cooling accelerates.

In the fast-cooling case the cooling is faster initially, and the photon-cooling phase is reached earlier.

Real cooling curves can be computed in a much more sophisticated way.

**Fast cooling is not supported by observations, since we observe old NSs.**

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### 3.2.2 Neutron star spectra

The most common observational manifestation of NSs are radio pulsars. We know about around 2500 of those. The thermal emission from the surface is not the only one.

At the beginning of the 90s the first satellite observing the soft X-rays (ROSAT) between 0.1 keV and 10 keV discovered NS sources which emit X-rays but *not* radio waves. We know of 7 of those, the “magnificent seven”. Their temperature is of the order of the hundreds of eV, and their spectrum looks to be purely thermal.

New satellites like CHANDRA and XMM-Newton can give us spectra like  $\log F$  as a function of  $\log E$ .

The first thing one may try to fit is a blackbody:

$$F_{BB} = A \frac{E^3}{e^{E/k_B T} - 1}. \quad (3.2.36)$$

The parameter  $A$  is proportional to  $R^2/D^2$ , where  $R$  is the radius of the NS while  $D$  is the distance from it, since the total flux is proportional to  $L/4\pi D^2$ , and  $L \propto R^2 T^4$ .

There are reasons to suspect that the surface of a cooling NS is not homogeneous. Energy is transferred to the envelope from the interior mostly through electron conduction. We can make a good model for the crust in the plane-parallel approximation, since its depth is  $L \sim 100$  m, while the radius of the NS is  $R \sim 10$  km. So, we approximate it as a slab.

The  $\hat{z}$  axis is vertical from the surface, and the magnetic field  $\vec{B}$  will generally not be aligned with it: we denote as  $\phi$  the angle between it and the  $\hat{x}$  axis — taking  $\hat{x}$ ,  $\hat{z}$  and  $\vec{B}$  as coplanar.

Heat flux is usually described by Fourier’s law:

$$\vec{q} = -k \vec{\nabla} T, \quad (3.2.37)$$

where the scalar  $k$  is called the thermal conductivity. It being a scalar means that conduction is in principle isotropic — the medium can conduct in any direction equally. This is *not* the case if there is a magnetic field: electrons move along field lines in a much easier way than across them.

Therefore, we expect  $k_{\parallel} \gg k_{\perp}$ , where parallel and perpendicular are referred to the direction of  $\vec{B}$ . The conductivity we need is a tensorial one: the law is written as usual, however  $k$  is now a tensor.

We define a “primed” system of reference in which the  $\hat{x}$  axis is parallel to  $\vec{B}$ : here, (in two dimensions since there is rotational symmetry around the  $\vec{B}$  axis as far as conduction is concerned)

$$k' = \begin{bmatrix} k_{\parallel} & 0 \\ 0 & k_{\perp} \end{bmatrix}, \quad (3.2.38)$$

and the law reads

$$q_i = -k_{ij} \frac{\partial T}{\partial x_j}. \quad (3.2.39)$$

The conductivity tensor will transform with the Jacobian of the rotation:

$$k_{ij} = \frac{\partial x_i}{\partial x'_k} \frac{\partial x_j}{\partial x'_\ell} k'_{k\ell}, \quad (3.2.40)$$

where, in terms of the angle  $\phi$  between  $\vec{B}$  and the surface at that point,

$$\frac{\partial x_i}{\partial x'_k} = \begin{bmatrix} \cos \phi & \sin \phi \\ -\sin \phi & \cos \phi \end{bmatrix}. \quad (3.2.41)$$

Therefore, the components of the tensor in the unprimed frame are

$$k_{ij} = \begin{bmatrix} k_{\parallel} \cos^2 \phi + k_{\perp} \sin^2 \phi & (k_{\parallel} - k_{\perp}) \sin \phi \cos \phi \\ (k_{\parallel} - k_{\perp}) \sin \phi \cos \phi & k_{\parallel} \sin^2 \phi + k_{\perp} \cos^2 \phi \end{bmatrix}, \quad (3.2.42)$$

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which will be used in the law

$$q_i = - \sum_j k_{ij} \frac{\partial T}{\partial x_j}. \quad (3.2.43)$$

Since we are interested in the observable emission, let us consider  $q_z = q_2$ , which will be given by

$$q_z = -k_{21} \frac{\partial T}{\partial x} - k_{22} \frac{\partial T}{\partial z}, \quad (3.2.44)$$

and we know that this will correspond to blackbody emission:  $q_z = \sigma T^4$ .

Now, as rough estimates, if  $L$  is the depth of the crust and  $R$  is the radius of the NS, we can take  $\partial T / \partial z \approx (T_s - T_c) / L$ , while  $\partial T / \partial x \approx -T_s / R$ .

We know that  $T_s \ll T_c$ , and  $L \ll R$ : then,

$$\left| \frac{\partial T}{\partial z} \right| \approx \left| -\frac{T_0}{L} \right| \gg \frac{\partial T}{\partial x}. \quad (3.2.45)$$

So, taking only the most significant term we get

$$\sigma T^4 = -k_{22} \frac{\partial T}{\partial z} = -\left(k_{\parallel} \sin^2 \phi + k_{\perp} \cos^2 \phi\right) \frac{\partial T}{\partial z} \quad (3.2.46)$$

$$= \underbrace{-k_{\parallel} \frac{\partial T}{\partial z}}_{\sigma T_P^4} \left( \sin^2 \phi + \frac{k_{\perp}}{k_{\parallel}} \cos^2 \phi \right), \quad (3.2.47)$$

where we defined the new temperature  $T_P$ , since on dimensional grounds that term was a thermal emissivity. If we take  $\phi = \pi/2$  we get the temperature at the pole: therefore,  $T_P$  is the polar temperature.

As long as  $k_{\perp} \ll k_{\parallel}$ , we get  $\sigma T^4 \approx \sigma T_P^4 \sin^2 \phi$ . We can also define the angle  $\Theta$ , between  $\vec{B}$  and the radial direction, through  $\phi = \pi/2 - \Theta$ .

This then tells us that  $T = T_p \sqrt{|\cos \Theta|}$ . The dipolar magnetic field is given by

$$\vec{B} = \frac{B_p}{2} \left( \frac{R}{r} \right)^3 (2 \cos \theta \hat{e}_r + \sin \theta \hat{e}_\theta), \quad (3.2.48)$$

so on the surface it will read

$$\vec{B}(r = R) = \frac{B_p}{2} (2 \cos \theta \hat{e}_r + \sin \theta \hat{e}_\theta). \quad (3.2.49)$$

The cosine of  $\Theta$ , the angle between the  $\vec{B}$  field and the radial direction, is

$$\cos \Theta = \frac{\vec{B} \cdot \hat{e}_r}{|\vec{B}|} = \frac{B_r}{B} = \frac{B_p}{2} \frac{2 \cos \theta}{B}, \quad (3.2.50)$$

so, taking geometric considerations into account, we get

$$\cos \Theta = \frac{2 \cos \theta}{\sqrt{1 + 3 \cos^2 \theta}}. \quad (3.2.51)$$

Therefore, the temperature monotonically decreases going from the pole to the equator. At the equator it is not really zero, but it is an order of magnitude lower than the polar temperature. A plot of the approximated formula  $T/T_p \sim \sqrt{|\cos \Theta|}$  is shown in figure ??.

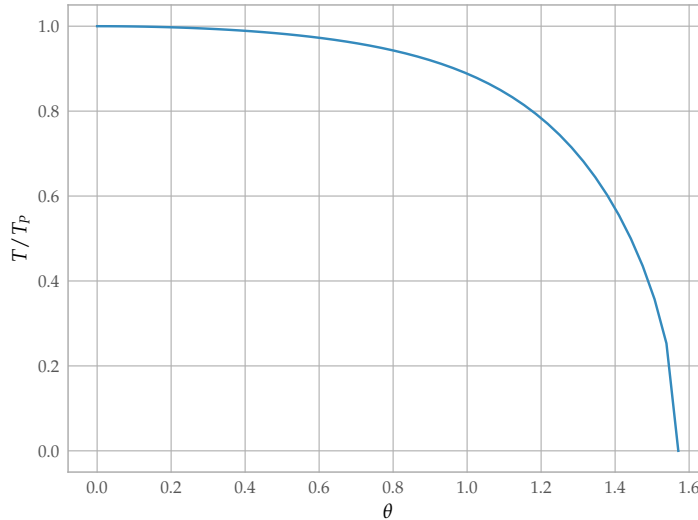


Figure 3.7: Temperature as a function of  $\theta$ . On the left we have the pole, on the right the equator; in reality the temperature will not drop to zero at  $\theta = \pi/2$ , instead the conduction along  $x$  will become relevant.

This has an important observational implication: the emission from the NS is not a single blackbody, but instead a superposition of a blackbody for each latitude.

What we have done so far applies to the dipolar approximation, but nothing prevents higher order moments from being relevant.

We also need to account for relativistic effects:

1. the emitted radiation is redshifted by a factor

$$\frac{\nu_\infty}{\nu} = \sqrt{1 - \frac{2GM}{c^2 R}}, \quad (3.2.52)$$

which typically comes out to  $\Delta\nu/\nu \sim 0.2$ . This is reflected in a lower effective black-body temperature.

2. The luminosity at infinity is multiplied by a factor  $(1 - 2GM/c^2 R)$  compared to the one at the surface, because of the relativistic correction to the radius ( $R = R_\infty \sqrt{1 - 2GM/c^2 R}$ ), which factors into the surface area.
3. The light rays' paths will be curved by the gravitational effect of the NS. Consider the point of emission of a photon on the surface, and denote as  $\alpha$  the angle between the radial direction at that point and the direction of emission; further, denote as  $\theta$  the angle between the radial direction and our line of sight. Then, it is a good approximation to say that the photon reaches us when [Bel02]

$$1 - \cos \alpha = (1 - \cos \theta) \left( 1 - \frac{2GM}{c^2 R} \right). \quad (3.2.53)$$

This means that we see more than half of the surface of the NS. The terminator lies at

$$\cos \theta_{\text{terminator}} = \left( \frac{Rc^2}{2GM} - 1 \right)^{-1}, \quad (3.2.54)$$

which, for example, yields  $112^\circ$  for  $M = 1.4M_\odot$  and  $R = 15 \text{ km}$ . The effect this has is to allow us to continuously see the two magnetic hot spots (at the magnetic poles) even if the magnetic and rotation axes are misaligned.

### 3.3 Accretion onto Neutron Stars

We will need some characteristic lengths of the accreting system.

We start by introducing the **light-cylinder radius**  $R_{LC}$ . The star is rotating at angular velocity  $\Omega$ , and in its vicinity (up to the distance we define to be  $R_{LC}$ ) the magnetic field lines are corotating rigidly. We can calculate this radius by imposing that the motion of the field lines (or rather, of the particles which are forced to move along them) be subluminal: the threshold is found by  $v_\phi = R_{LC}\Omega = c$ , so  $R_{LC} = c/\Omega$ .

This is on the order of

$$R_{LC} = \frac{cP}{2\pi} \approx 5 \times 10^9 \text{ cm} \frac{P}{1 \text{ s}}. \quad (3.3.1)$$

After this length, the magnetic field lines must disconnect.

The **Alfvén radius** is the one at which the magnetic pressure equals the ram pressure; we will give a rough estimate by comparing energy densities:<sup>5</sup>

$$\frac{B^2}{8\pi} = \frac{1}{2}\rho v^2, \quad (3.3.2)$$

and we can approximate the radius at which this occurs by using Bondi flow, therefore assuming spherical symmetry. This is not realistic, but it turns out to give a reasonable answer. It gives us

$$4\pi r^2 \rho v = \dot{M}, \quad (3.3.3)$$

therefore  $v = \sqrt{GM/r}$ , and  $\rho = \dot{M}/4\pi r^2 v$ , so

$$\frac{B^2}{8\pi} = \frac{1}{2} \frac{\dot{M} v^2}{4\pi r^2 v} = \frac{1}{2} \frac{\dot{M}}{4\pi r^2} \sqrt{\frac{GM}{r}}, \quad (3.3.4)$$

and let us consider  $B \approx (B_p/2)(R/r)^3$ . This yields

$$\frac{B_p^2 R^6}{\sqrt{GM\dot{M}}} = r^6 r^{-2} r^{-1/2} = r^{7/2}, \quad (3.3.5)$$

therefore

$$r_A = \left( \frac{B_p^2 R^6}{\sqrt{GM\dot{M}}} \right)^{2/7} \quad (3.3.6)$$

$$\approx 3 \times 10^8 \text{ cm} \times \left( \frac{B_p}{10^{12} \text{ G}} \right)^{4/7} \left( \frac{R}{10^6 \text{ cm}} \right)^{18/7} \left( \frac{M}{M_\odot} \right)^{1/7} \left( \frac{\dot{M}}{10^{17} \text{ g/s}} \right)^{-2/7}. \quad (3.3.7)$$

Now, since  $B^2 \propto r^{-6}$  while  $\rho v^2 \propto r^{-5/2}$ , for radii smaller than  $r_A$  we have dominance of the magnetic pressure. An interesting fact to note is that for this calculation using the dipolar field only is fully justified, since higher order multipoles decay even faster as the radius increases.

Typically,  $r_A < R_{LC}$ , which justifies the use of the dipolar field in our treatment of  $B$ -dominated accretion.

We seek an analytic expression for the field lines of the dipolar field:

$$\vec{B}_{\text{dip}} = \frac{B_p}{2} \left( \frac{R}{r} \right)^3 (2 \cos \theta \hat{e}_r + \sin \theta \hat{e}_\theta). \quad (3.3.8)$$

The field lines are defined by the differential equation

$$\frac{dr}{r d\theta} = \frac{B_r}{B_\theta} = \frac{2 \cos \theta (R/r)^3}{\sin \theta (R/r)^3} = \frac{2}{\tan \theta} \quad (3.3.9)$$

<sup>5</sup> This is conservative, we are considering ramp pressure as stronger than it really is: the pressure for the magnetic field will be of the same order of magnitude of its density (in natural units), while the same can be said for the matter only if it is relativistic.

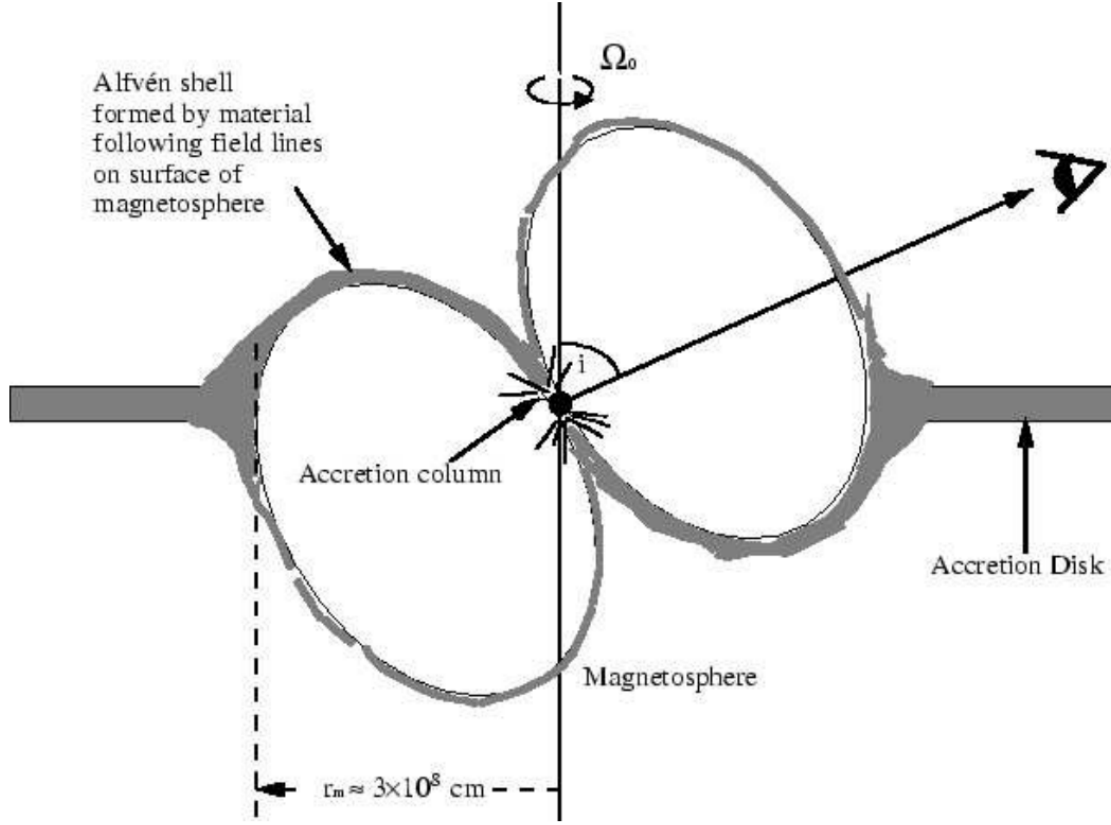


Figure 3.8: A rough sketch of the geometry of accretion onto a NS.

$$\frac{dr}{r} = \frac{2 \cos \theta d\theta}{\sin \theta} \quad (3.3.10)$$

$$d \log r = 2 d \log |\sin \theta| \quad (3.3.11)$$

$$\log r = \log |\sin \theta|^2 + C \quad (3.3.12)$$

$$r = C \sin^2 \theta. \quad (3.3.13)$$

We can draw these lines up to the Alfvén radius: the lines reaching that far will reach some point close to the magnetic pole. Matter outside this extremal line will be forced to move along field lines. This means that a lot of the matter will be funneled into a small area near the magnetic poles, as shown in figure 3.8. We can compute the size of this area by

$$\frac{\sin^2(\pi/2)}{r_A} = \frac{\sin^2 \theta_C}{R} \quad (3.3.14)$$

$$\sin^2 \theta_C = \frac{R}{r_A} \sim \frac{10 \text{ km}}{3000 \text{ km}} \approx 3 \times 10^{-3}. \quad (3.3.15)$$

Then, we can compute the critical area  $A_C$ : approximating it as a plane disk, we get



$A_C = \pi R^2 \sin^2 \theta_C$ , while the total area of the NS is  $A = 4\pi R^2$ : the ratio is then

$$\frac{A_C}{A} = \frac{\sin^2 \theta_C}{4} \sim 10^{-3}. \quad (3.3.16)$$

The accretion disk will not be truncated at the surface of the NS, but instead at the Alfvén radius: the matter will be in its thin disk and then be diverted to the poles. These regions will reach very high temperatures, and they will source X-ray emission.

We expect there to be **accretion columns**: we can write the continuity equation as

$$4\pi R^2 f v \rho = \dot{M}, \quad (3.3.17)$$

where  $f$  is a parameter denoting the fraction of area encompassed by the columns (if we evaluate it at the surface of the star it will be the value  $A_C/A \approx 10^{-3}$  we mentioned earlier); the velocity is approximately  $v \sim v_{\text{ff}} = \sqrt{GM/R} = \sqrt{R_S/2R} \sim c/2$ . Then, using  $\rho \approx m_p n$ , we can express

$$n \sim 10^{16} \text{ cm}^{-3} \dot{M}_{16}^{-1} f^{-1}. \quad (3.3.18)$$

The mean free path is  $\lambda_D \sim 1/n$  is then on the order of  $\lambda_D \sim 5 \times 10^{11} \text{ cm} \dot{M}_{16}^{-1} f$ , much greater than the radius of the NS.

Therefore, it is **very difficult for a standing shock to form**, since the particles will not collide for a long distance. We compare to the NS radius since we expect the shocks to form near the NS.

A collisionless shock, on the other hand, can form with  $\lambda_{\text{shock}} \ll \lambda_D$ . So, only this kind of shock can form.

The accretion luminosity is given by  $GM/R_{\text{NS}}$ , since the accreting matter stops upon arrival:

$$L_{\text{acc}} = \eta \dot{M} c^2 = \frac{2GM}{2R_{\text{NS}} c^2} \dot{M} c^2 \quad (3.3.19)$$

$$= \frac{R_S}{R_{\text{NS}}} \frac{\dot{M} c^2}{2} \approx 10^{37} \text{ erg/s}. \quad (3.3.20)$$

This is much smaller than the Eddington limit: one thing we do not need to worry about is the prevalence of radiation pressure.

There is a redistribution of matter from the poles to the equator, since because of the strong gravity it is very hard to make the NS into a significantly deformed ellipsoid.

### 3.3.1 No shock accretion

If there is no shock, the velocity of the infalling gas will be very high

$$v \sim v_{\text{free-fall}} = \sqrt{\frac{2GM}{R}} \sim 0.5c, \quad (3.3.21)$$

while if there is a collisionless shock the velocity will be much lower:  $v \ll c_s$ .

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The matter, with particles of mass  $m_1$  (typically  $m_1 = m_p$ ) accretes with a velocity  $u$  onto a plasma, which we assume to be composed of constituents with masses  $m_2$  (typically  $m_2 = m_e$ ) and  $m_1$ . We assume that the typical kinetic energy of an accreting particle is much larger than the thermal energy of the plasma on the surface:

$$\frac{1}{2}m_1u^2 \gg \frac{3}{2}k_B T = \frac{1}{2}m_2v_2^2. \quad (3.3.22)$$

The typical **deflection timescale** for the infalling particles will look like

$$t_D = \frac{v^2}{\frac{d(\Delta v)^2}{dt}}, \quad (3.3.23)$$

(we take the square in order to have a positive quantity) which can be calculated to be

$$t_D = \frac{m_1^2 u^3}{8\pi n e_1^2 e_2^2 \log \Lambda}, \quad (3.3.24)$$

where  $e_i$  are the charges of the particles, while  $\log \Lambda$  is known as the Coulomb logarithm. It is typically of the order  $\log \Lambda \sim 15 \div 20$ , and it is given by

$$\log \Lambda = \log \left( \frac{\chi_{\max}}{\chi_{\min}} \right), \quad (3.3.25)$$

where the  $\chi$ s are the maximum and minimum deflection angles in a collision, respectively.

This is the typical time required in order to isotropize an initially anisotropic velocity distribution — it need not become compatible with the thermal velocity distribution. For that, we have a new **energy exchange timescale**:

$$t_E = \frac{E^2}{\frac{d(\Delta E)^2}{dt}} \quad (3.3.26)$$

$$= \underbrace{\frac{m_1^2 u^3}{8\pi n e_1^2 e_2^2 \log \Lambda}}_{t_D} \underbrace{\frac{m_2 u^2}{2k_B T}}_{\gg 1}. \quad (3.3.27)$$

This is the time we need to wait for in order to reach a Maxwell-Boltzmann-like distribution.

The last timescale we will introduce is the **slowing-down** timescale: the typical time needed for an incoming particle to become stationary with respect to the Neutron Star,

$$t_S = \frac{u}{\frac{du}{dt}} = \underbrace{\frac{m_1^2 u^3}{8\pi n e_1^2 e_2^2 \log \Lambda}}_{t_D} \underbrace{\frac{m_2}{m_1 + m_2}}_{\sim 1/2000}. \quad (3.3.28)$$

The slowing down is *mostly* due to interactions between the incoming protons ( $m_1$ ) and the plasma electrons ( $m_2$ ).

The result we get is then  $t_S \ll t_D \ll t_E$  typically: first the particles slow down, then they isotropize, then they transfer their energies.

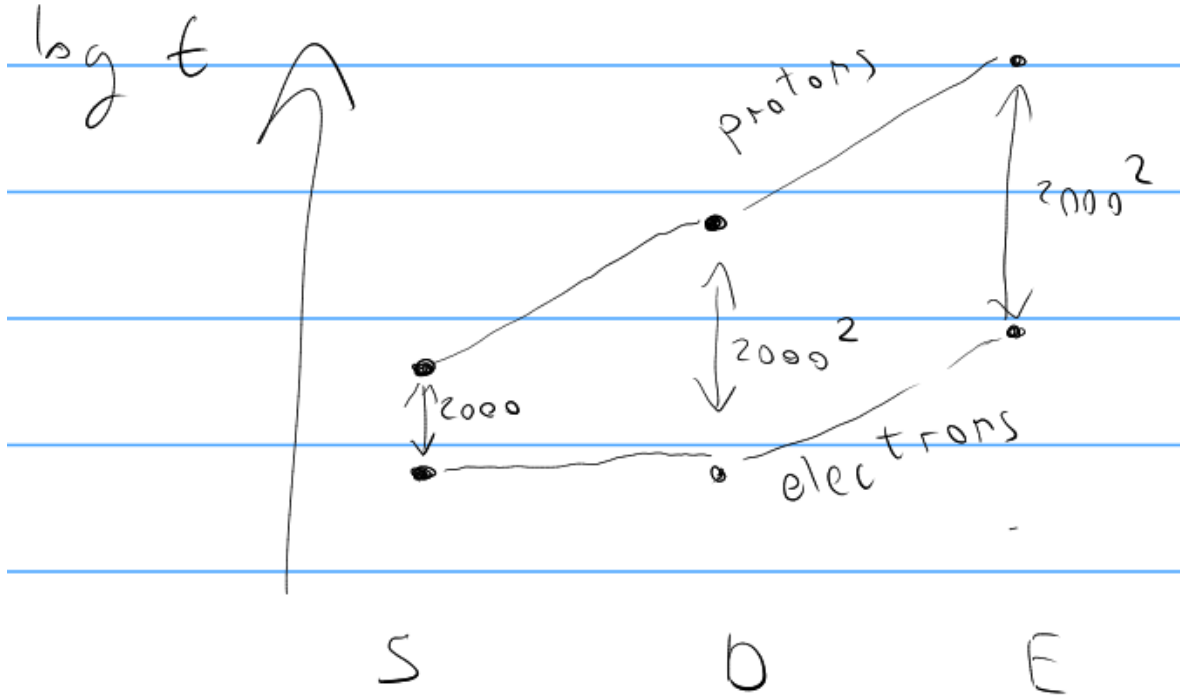


Figure 3.9: A very bad figure to show how the timescales look.

What happens, instead, if we take  $m_1$  to be the *electron* mass? Then, the stopping timescale will have contribution both from interactions with the plasma electrons and protons — the contributions are  $m_e/(m_e + m_e) = 1/2$  and  $m_p/(m_p + m_e) \approx 1$  respectively, so they are both relevant. In this case, then,  $t_S \approx t_D \ll t_E$ . A sketch of this is shown in figure 3.9.

The stopping length will typically be  $\lambda_S \sim ut_S$ , and the path will look like a random walk. We can write this in terms of the kinetic energy of the proton:  $E_{kp} = m_p u^2/2$ , so

$$\lambda_S = \frac{E_{kp}^2}{2\pi n e^4 \log \Lambda} \frac{m_e}{m_p} = \frac{E_{kp}^2}{2\pi \rho e^4 \log \Lambda} m_e. \quad (3.3.29)$$

The stopping column density is defined as  $y_0 = \rho \lambda_S$ , which resembles the definition for the optical depth (but without the absorption coefficient). Dimensionally, it is a mass over a length squared.

The plasma will start to emit photons as it is heated by the accretion, and it is interesting to compare the typical free path of a photon to  $\lambda_S$ . The main source of opacity will be scattering; the Thompson cross-section is given by

$$\sigma_T = \frac{8\pi}{3} \frac{e^4}{m_e^2 c^4}. \quad (3.3.30)$$

The mean free path for photons looks like  $\lambda_{ph} = 1/(n\sigma_T)$ . The ratio  $\lambda_S/\lambda_{ph}$  is then

$$\frac{\lambda_S}{\lambda_{ph}} = \frac{m_p}{m_e} \frac{1}{3 \log \Lambda} \left( \frac{u}{c} \right)^4 ; \quad (3.3.31)$$

in order for the accreting material to “penetrate” the plasma and heat it from inside, as opposed to losing all of its energy on the surface, we need to ask  $\lambda_S > \lambda_{ph}$ , and this is equivalent to  $u/c \gtrsim 0.4$ .

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If we consider accretion onto a NS we must account for the fact that the  $B$  field shapes the trajectories of the particles, making them not straight lines anymore.

The typical stopping length is  $y_0 \approx \rho \lambda_s \sim 50 \text{ gcm}^{-2}$  typically. The magnetic field of a NS is typically strong enough to even curve the trajectories of protons, which become helixes. This means that  $\lambda_s$  decreases: the distance travelled between interactions is shorter, therefore  $y_0$  also decreases, reaching  $30 \div 40 \text{ gcm}^{-2}$  typically.

The thing we want to calculate is the **flux escaping the column**: how much energy per unit area and unit time is escaping? This will dictate the luminosity.

The column depth is defined as

$$y(z) = \int_z^\infty \rho(z') dz' \leq y_0 , \quad (3.3.32)$$

where  $z$  is the vertical axis along the accretion column (which is parallel to the local normal to the surface).

Let us also define  $\Gamma_{\text{coul}}$ , the heat released per unit time and volume by Coulomb collisions. We assume that this is uniform in the stopping region — it does not depend on  $z$  — and that it is zero elsewhere: if we are in a steady state, in which the heat introduced by  $\Gamma_{\text{coul}}$  is balanced by radiative losses (mostly due free-free emission and Compton scattering of electrons), then the following holds:

$$\Gamma_{\text{coul}} = \begin{cases} \frac{L_{\text{acc}} \rho}{A y_0} & y \leq y_0 \\ 0 & y > y_0 , \end{cases} \quad (3.3.33)$$

where, as usual,  $L_{\text{acc}} \approx \eta \dot{M} c^2$ , while  $A$  is the cross-sectional area of the accretion region. Since  $y_0/\rho = \lambda_s$ , the expression just amounts to a normalized luminosity per unit volume.

We should also consider magnetic effects on the cross-section: the main one is a resonance at the cyclotron energy

$$E_c = \hbar \frac{eB}{m_e} \approx \frac{B}{10^{12} \text{ G}} \times 11.6 \text{ keV} . \quad (3.3.34)$$

It is a fact that the stopping layer the optical depth is quite large, — this is mostly due to electron scattering, which dominates among the radiative processes. Therefore, we can use the diffusion approximation to relate the radiative flux to the radiative energy density  $U_{\text{rad}} = a T_{\text{rad}}^4$ .<sup>6</sup>

$$F_{\text{rad}} = -\frac{c}{3} \frac{dU_{\text{rad}}}{d\tau} . \quad (3.3.35)$$

---

<sup>6</sup> We are not actually assuming that the radiation is distributed as a blackbody: we are using the relation  $U_{\text{rad}} = a T_{\text{rad}}^4$  as a *definition* for the parameter  $T_{\text{rad}}$ , which is the equivalent temperature that a blackbody spectrum with the same energy density would be described by.

Since scattering dominates, the differential optical depth element is

$$d\tau = \frac{\sigma_T}{m_p} dy. \quad (3.3.36)$$

In the diffusion equation we can write a simple expression for the radiative flux at a height  $y$  if we assume that it is equal to all the heat released by collisions up to that height:

$$F_{\text{rad}}(y) = \int_{y_0}^y \Gamma_{\text{coul}} dz = \frac{L_{\text{acc}} \rho}{A y_0} (y - y_0), \quad (3.3.37)$$

from which we can integrate in order to calculate the energy density: expressing everything as a function of  $y$  we have

$$-\frac{c}{3} \frac{dU_{\text{rad}}}{dy} = F_{\text{rad}} = \frac{\sigma_T}{m_p} \frac{L_{\text{acc}} \rho}{A y_0} (y - y_0). \quad (3.3.38)$$

The flux changes linearly, so the energy density will change quadratically. Once we compute  $U_{\text{rad}}$  we can solve the energy equation — Compton cooling depends on  $U_{\text{rad}}$  — and calculate the electron temperature  $T_e$ , which in general will be different from the “fictional”  $T_{\text{rad}}$ .

In order to compute the pressure we make the assumption that the electrons form an ideal gas in *hydrostatic equilibrium*: then

$$P(y) = \frac{k_B \rho T_e}{\mu m_p} = \begin{cases} \frac{GM}{R^2} y + \frac{\rho_0 v^2}{y_0} y & 0 < y \leq y_0 \\ \frac{GM}{R^2} y + \rho_0 v^2 & y > y_0. \end{cases} \quad (3.3.39)$$

The term  $\rho_0 v^2 (y/y_0)$  describes the *ram pressure* of the gas.

### 3.3.2 Shocks

If the accretion rate is high enough (typically we need to require  $\dot{M} \gtrsim 2 \times 10^{16} \text{ g/s}$ ) then protons are stopped above the photosphere and a shock forms. The flow is cool and supersonic above the shock; hot and subsonic below the shock.

Let us start with a toy model for a 1D shock: we consider a fluid with a pressure  $P$ , velocity  $v$  and density  $\rho$  moving in one dimension, along the  $x$  axis. We assume that at  $x = 0$  there is a shock; we label quantities pertaining to  $x < 0$  with a “1”, and ones for  $x > 0$  with a “2”. The continuity equation tells us

$$\frac{d}{dx}(\rho v) = 0 \implies \rho_1 v_1 = \rho_2 v_2 \stackrel{\text{def}}{=} J. \quad (3.3.40)$$

The Euler equation tells us that

$$\rho v \frac{dv}{dx} + \frac{dP}{dx} = f_x \implies \frac{d}{dx} (P + \rho v^2) = f_x, \quad (3.3.41)$$

Using the continuity equation,  
 $\rho v \partial v = \partial(\rho v^2) - v \partial(\rho v) = \partial(\rho v^2).$

where  $f_x$  is the force per unit volume. This equation can be integrated in a small region around the shock:  $[-dx, dx]$ . In reality the shock is not infinitesimal, but it can be typically

approximated as such since it is very small compared to other characteristic length scales. The integral of  $f_x$  is of the order of  $2f_x dx$ , which is vanishingly small:<sup>7</sup> therefore, we find

$$P_1 + \rho_1 v_1^2 = P_2 + \rho_2 v_2^2 \stackrel{\text{def}}{=} I. \quad (3.3.42)$$

The energy equation, under the assumption that the shock be **adiabatic** (no heat is conducted across it) reads, in terms of the internal energy  $\epsilon$ :

$$\frac{d}{dx} \left( v \left( \frac{\rho v^2}{2} + \rho \epsilon + P \right) \right) = f_x v, \quad (3.3.43)$$

which can be simplified if we consider an ideal monoatomic gas: with these assumptions we can use the equations  $\rho \epsilon = \frac{3}{2} n k_B T$  and  $P = n k_B T = \frac{2}{3} \rho \epsilon$ , therefore the energy equation becomes

$$\frac{d}{dx} \left[ \rho v \left( \frac{v^2}{2} + \frac{5}{2} \frac{P}{\rho} \right) \right] = f_x v \quad (3.3.44)$$

$$\rho v \frac{d}{dx} \left[ \frac{v^2}{2} + \frac{5}{2} \frac{P}{\rho} \right] = f_x v. \quad (3.3.45) \quad \text{Continuity equation.}$$

We integrate this across the shock as well: this yields

$$\frac{1}{2} v_1^2 + \frac{5}{2} P_1 = \frac{1}{2} v_2^2 + \frac{5}{2} P_2 \stackrel{\text{def}}{=} E. \quad (3.3.46)$$

These three conservation equations for  $J$ ,  $I$  and  $E$  are known as the **Rankine-Hugoniot** equations.

Since the flow is adiabatic we have the relation  $P = K \rho^\gamma$ : then, the adiabatic sound speed is given by

$$c_s^2 = \left. \frac{dP}{d\rho} \right|_{\text{adiabatic}} = \gamma K \rho^{\gamma-1} = \frac{5}{3} \frac{P}{\rho}. \quad (3.3.47)$$

We can get some interesting quantities in terms of the Rankine-Hugoniot invariants: the first is

$$\frac{I}{Jv} = \frac{P}{\rho v^2} + 1 = \frac{3}{5M^2} + 1, \quad (3.3.48)$$

where  $M = v/c_s$  is the Mach number of the flow.

Also, the energy invariant can be written as

$$E = \frac{v^2}{2} + \frac{5}{2} \left( \frac{Iv}{J} - v^2 \right) \quad (3.3.49)$$

---

<sup>7</sup> We make the assumption that  $f_x$  does not diverge, that is, we assume that there are no impulsive forces.

$$v^2 - \frac{5I}{4J}v + \frac{E}{2} = 0. \quad (3.3.50)$$

The two roots of this equation,  $v_{1,2}$ , correspond to the flow speed up- and downstream of the shock.

I believe this can be justified by saying that this might well not be the case and the up and downstream are both regulated by the same velocity, only then we would not have a shock but just a regular point in the flow.

The sum of the two roots of a quadratic equation  $\alpha v^2 + \beta v + \gamma = 0$  is given by  $v_1 + v_2 = -\beta/2\alpha$ , therefore

$$v_1 + v_2 = \frac{5I}{4J}. \quad (3.3.51)$$

Dividing through by  $v_1$  and using the relation for the Mach number we found before, we get

$$1 + \frac{v_2}{v_1} = \frac{5}{4} \frac{I}{Jv_1} = \frac{5}{4} \left( \frac{3}{5M_1^2} + 1 \right). \quad (3.3.52)$$

If we suppose that  $x < 0$  is a hypersonic region, so that  $M_1 \gg 1$  (we have a **strong shock**), we can neglect the  $M_1^{-2}$  term and see that

$$1 + \frac{v_2}{v_1} \approx \frac{5}{4} \implies 4v_2 = v_1. \quad (3.3.53)$$

With the continuity equation and the energy equation we can see that this also means  $\rho_2 = 4\rho_1$  and  $P_2 = \frac{3}{4}\rho_1 v_1^2$ . The temperature in the shock region can be calculated from the ideal gas law:

$$T_2 = \frac{\mu m_p P_2}{k_B \rho_2} = \frac{3}{16} \frac{\mu m_p}{k_B} v_1^2. \quad (3.3.54)$$

In the case of column accretion, typically the velocity will be of the order of the free-fall velocity:  $v_1 \sim \sqrt{2GM/R}$ , and the temperature below the shock will then be of the order

$$T_2 \sim 4 \times 10^{11} \text{ K} \times \left( \frac{M}{M_\odot} \right) \left( \frac{R}{10^6 \text{ cm}} \right)^{-1}. \quad (3.3.55)$$

An important fact to note is that the shock is collisionless, so the electron and proton temperatures are not equalized: because of this, in the previous expression we must replace  $m_p$  with  $m_e$  if we are looking for the electron temperature, and we find a value  $\sim 2000$  times smaller. In a collisionless shock, the mediators of the interaction are photons, as opposed to particle-particle collisions.

We expect to see very hard radiation coming from the protons in the accretion region as long as this region is optically thin; if it is thick and radiation pressure dominates over gas pressure the picture changes: in the latter case the electron temperature is even lower than what this model provides, and the radiation becomes near-thermal.

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