

**CAMS/EiR/CPR 2024/2025**

# **Analysis and Control of Multi-Robot Systems**

## **Elements of Graph Theory**

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**(Part of the slides by Paolo Robuffo Giordano)**

DIPARTIMENTO DI INGEGNERIA INFORMATICA  
AUTOMATICA E GESTIONALE ANTONIO RUBERTI



# INTRODUCTION TO GRAPHS

# Undirected Graphs

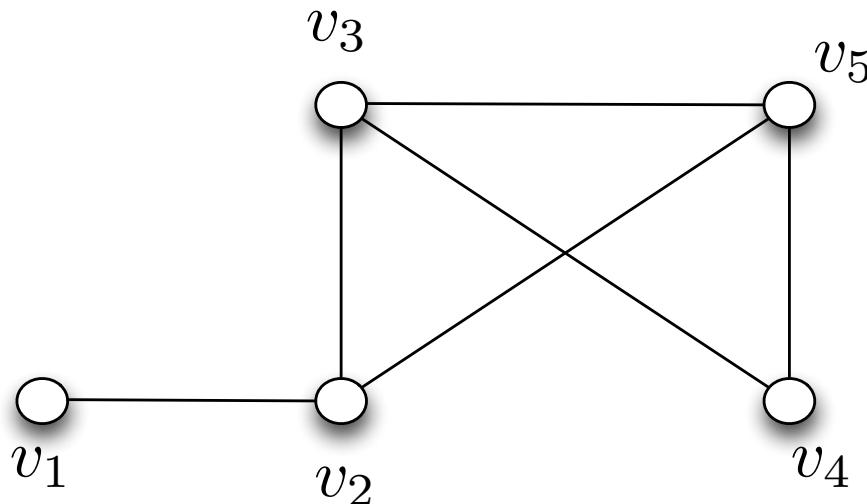
- An **undirected Graph**  $\mathcal{G} = (\mathcal{V}, \mathcal{E})$  is made of a **Vertex Set** (a finite set of elements)

$$\mathcal{V} = \{v_1, \dots, v_N\}$$

and an **Edge Set** (a subset of **unordered pairs** of  $[\mathcal{V}]^2$ , the “2-element subsets” of  $\mathcal{V}$ )

$$[\mathcal{V}]^2 = \{(v_i, v_j)\}, i = 1 \dots N, j = 1 \dots N, i \neq j$$

$$\mathcal{E} \subseteq [\mathcal{V}]^2 \quad (v_i, v_j) \in \mathcal{E} \Rightarrow (v_j, v_i) \in \mathcal{E}$$



$$\mathcal{V} = \{v_1, v_2, v_3, v_4, v_5\}$$

$$\mathcal{E} = \{(v_1, v_2), (v_2, v_3), (v_2, v_5), (v_3, v_5), (v_3, v_4), (v_4, v_5)\}$$

# Directed Graphs

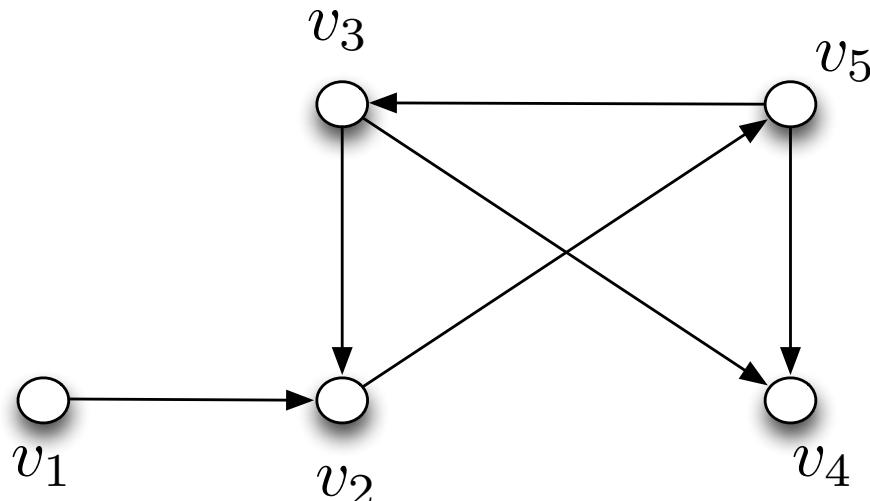
- A **directed Graph**  $\mathcal{D} = (\mathcal{V}, \mathcal{E})$  is made of a **Vertex Set** (a finite set of elements)

$$\mathcal{V} = \{v_1, \dots, v_N\}$$

and an **Edge Set** (a subset of **ordered pairs** of  $[\mathcal{V}]^2$ , the “2-element subsets” of  $\mathcal{V}$ )

$$[\mathcal{V}]^2 = \{(v_i, v_j)\}, i = 1 \dots N, j = 1 \dots N, i \neq j$$

$$\mathcal{E} \subseteq [\mathcal{V}]^2 \quad (v_i, v_j) \in \mathcal{E} \Rightarrow (v_j, v_i) \notin \mathcal{E}$$



$$\mathcal{V} = \{v_1, v_2, v_3, v_4, v_5\}$$

$$\mathcal{E} = \{(v_1, v_2), (v_3, v_2), (v_2, v_5), (v_3, v_4), (v_5, v_3), (v_5, v_4)\}$$

# Definitions

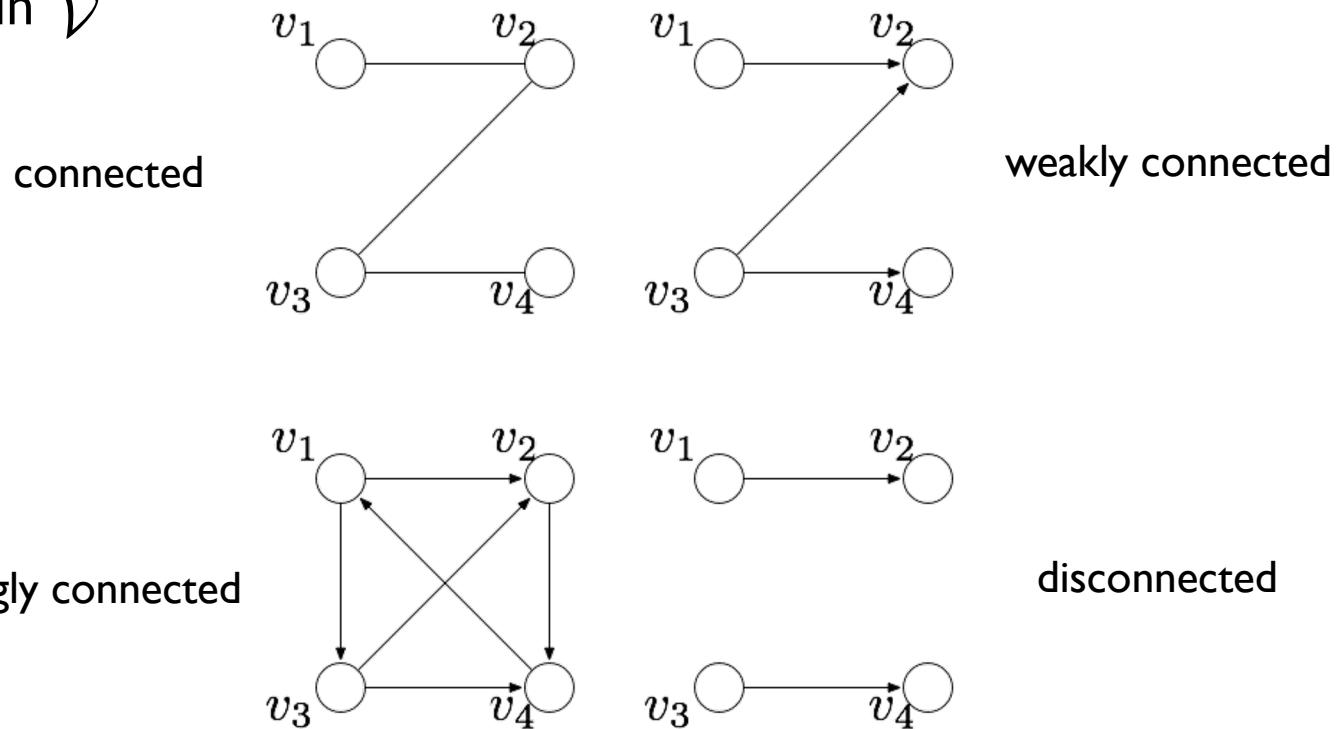
- Node  $v_j$  is said **adjacent (neighbor)** of  $v_i$  if  $(v_j, v_i) \in \mathcal{E}$
- Given a node  $v_i$ , the set  $\mathcal{N}_i$  is the **set of all neighbors** of  $v_i$

$$\mathcal{N}_i = \{v_j \in \mathcal{V} \mid (v_j, v_i) \in \mathcal{E}\}$$

- The **degree** of a node  $v_i$  is  $d_i = |\mathcal{N}_i|$  (undirected graphs)
- The **in-degree** of a node  $v_i$  is  $d_i^{in} = |\mathcal{N}_i|$  (directed graphs)
- A **path** is a sequence of **distinct** vertexes  $v_{i_0} v_{i_1} \dots v_{i_m}$  such that,  $\forall k = 0, \dots, m - 1$  the vertexes  $v_{i_k}$  and  $v_{i_{k+1}}$  are **adjacent (neighbors)**
- If  $v_{i_0} = v_{i_m}$  (special exception), then the path is called a **cycle**
- A cycle with only three vertexes is called a **triangle**

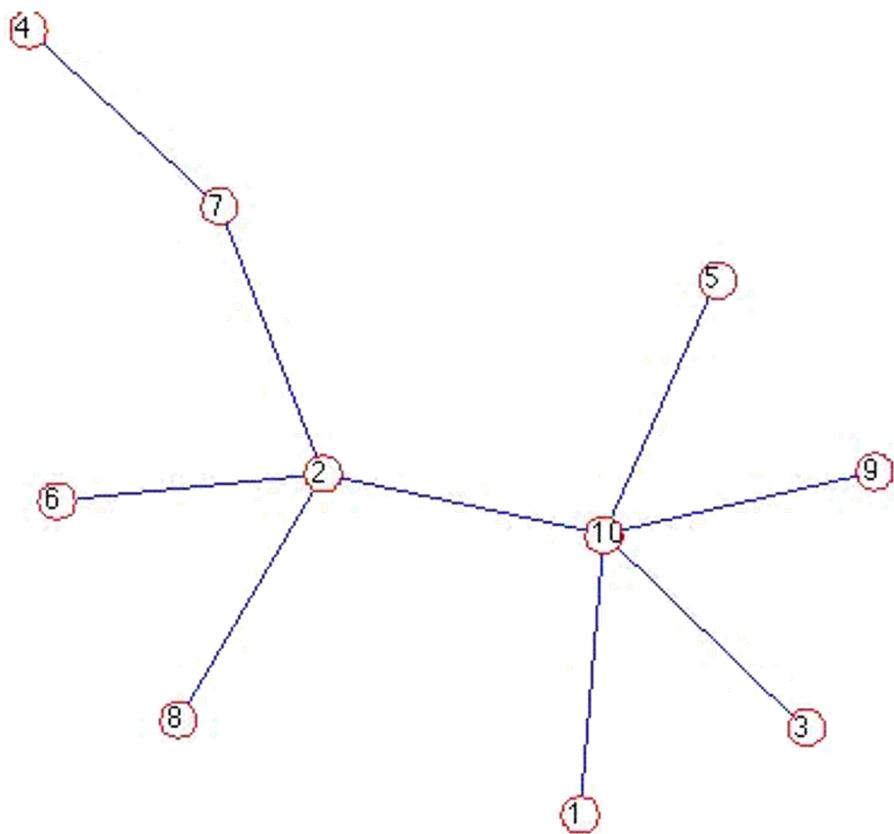
# Definitions

- An **undirected graph** is said **connected** if there exists a **path** joining any two vertexes in  $\mathcal{V}$
- A **directed graph** is said **strongly connected** if there exists a **(directed) path** joining any two vertexes in  $\mathcal{V}$
- A **directed graph** is said **weakly connected** if there exists an **undirected path** joining any two vertexes in  $\mathcal{V}$



# Definitions

- A **tree** is a **connected** graph containing no cycles

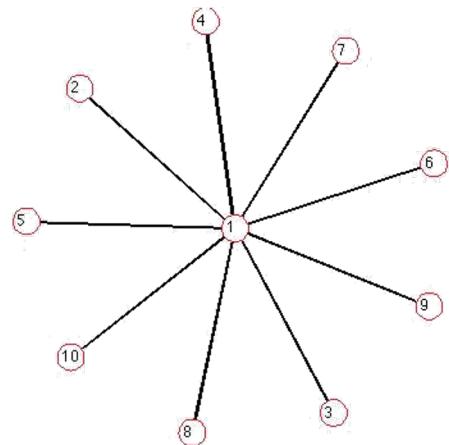


# Definitions

- Other special graphs

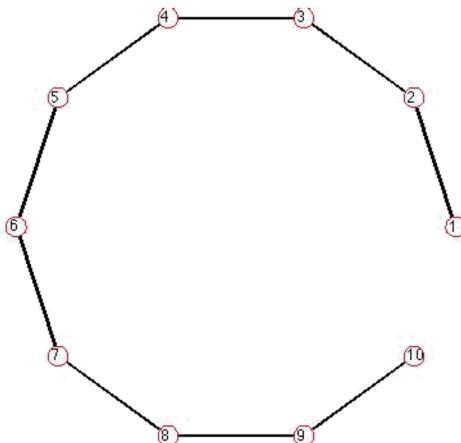
star graph

$S_{10}$



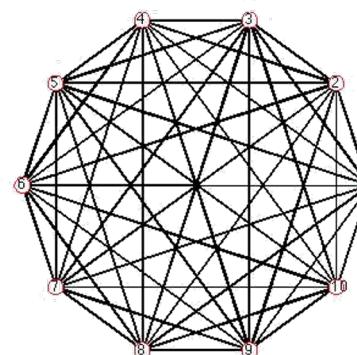
path (line) graph

$P_{10}$



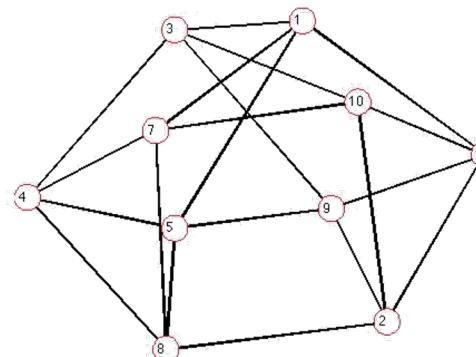
complete graph

$K_{10}$



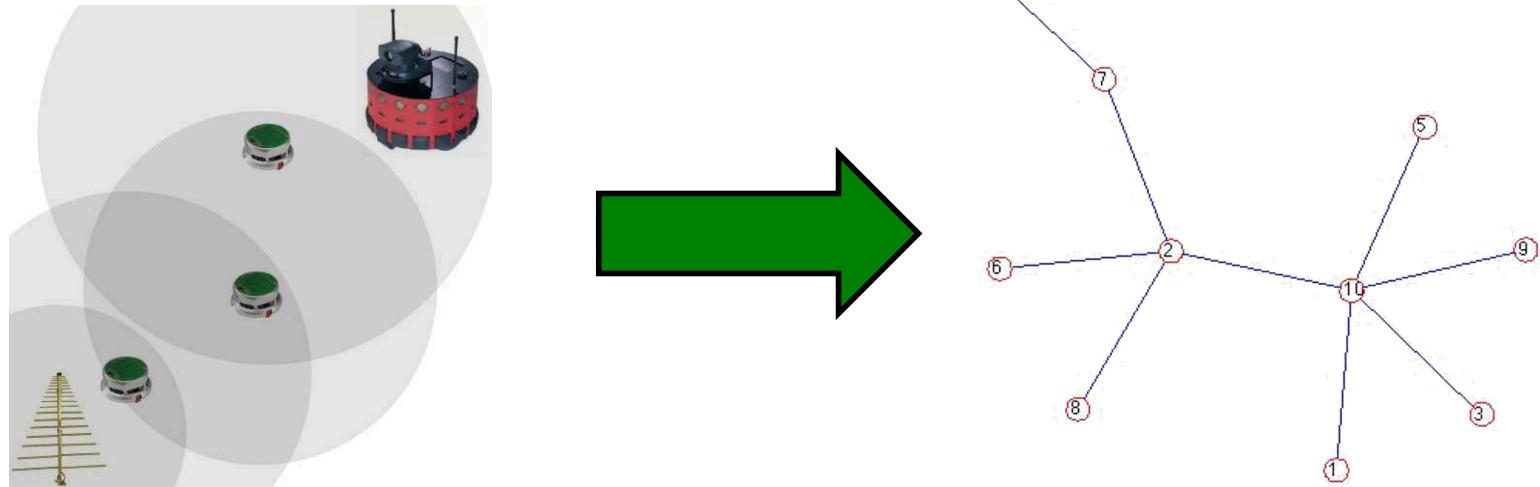
$k$ -regular graph

4-regular



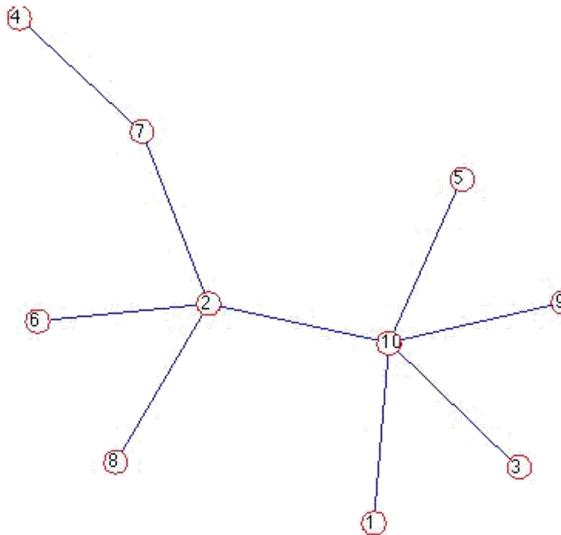
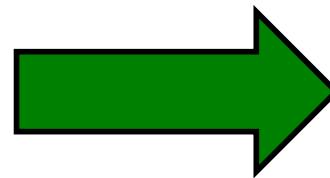
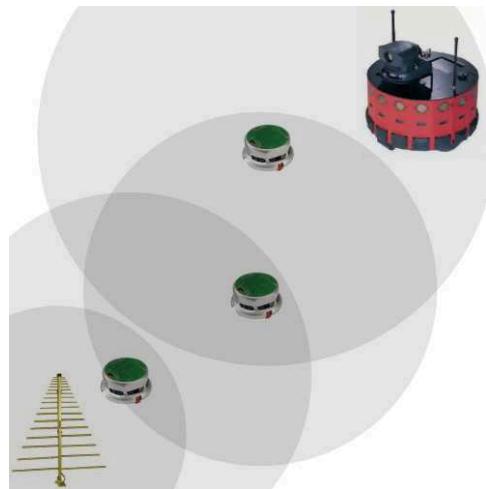
# Why do we need graphs?

- Why are graphs important for multi-robot systems?
- Graphs are extremely powerful tools for **encoding the information/action flow** among the robots



- We (sometimes implicitly) assume that every robot has a **limited ability** to
  - **perceive the environment** with onboard sensors (e.g., other robots)
  - **communicate information** to other robots (via a communication medium)
  - **elaborate information** (gathered from onboard sensors or comm. medium)
  - in general, **plan, act, and influence** the environment (e.g., other robots)

# Why do we need graphs?



- A graph naturally encodes in a **compact way** these limitations
- Many **distinct graphs** can be associated to a group of multiple robots (agents)
- **Sensing graphs**: for each sensor, encode which robots can be locally sensed
- **Communication graphs**: for each communication medium, encode with which robots a comm. link can be established (uni- or bi-directional)
- **Action graphs**: for each control action, encode which robots will be affected
- And so on...

# Decentralization

- The issue of **limited sensing/communication/action abilities** (and, thus, the use of graphs) is closely related to the notion of **decentralization** and **decentralized/distributed sensing/control**
- **Decentralization**: every unit (robot) has
  - limited sensing/communication (**information gathering**)
  - limited computing power (**information processing**)
  - limited available memory (**information storage**)
- For a robot, it (typically) must elaborate the gathered information to run its **local controller** (making use of local computing power and memory)
- The **controller complexity** is bounded by the above limitations
- If the **whole state of all the robots** is needed, the **complexity** (e.g., computing power) increases with the total number of robots
  - May easily become unfeasible because of the above limitations
  - And each robot would need to **know the whole state...**

# Decentralization

- Decentralization: cope with the above limitations by designing **decentralized controllers** (i.e., spreading the complexity across the multiple robots)

- What do we exactly mean by “**decentralized controller**” ?

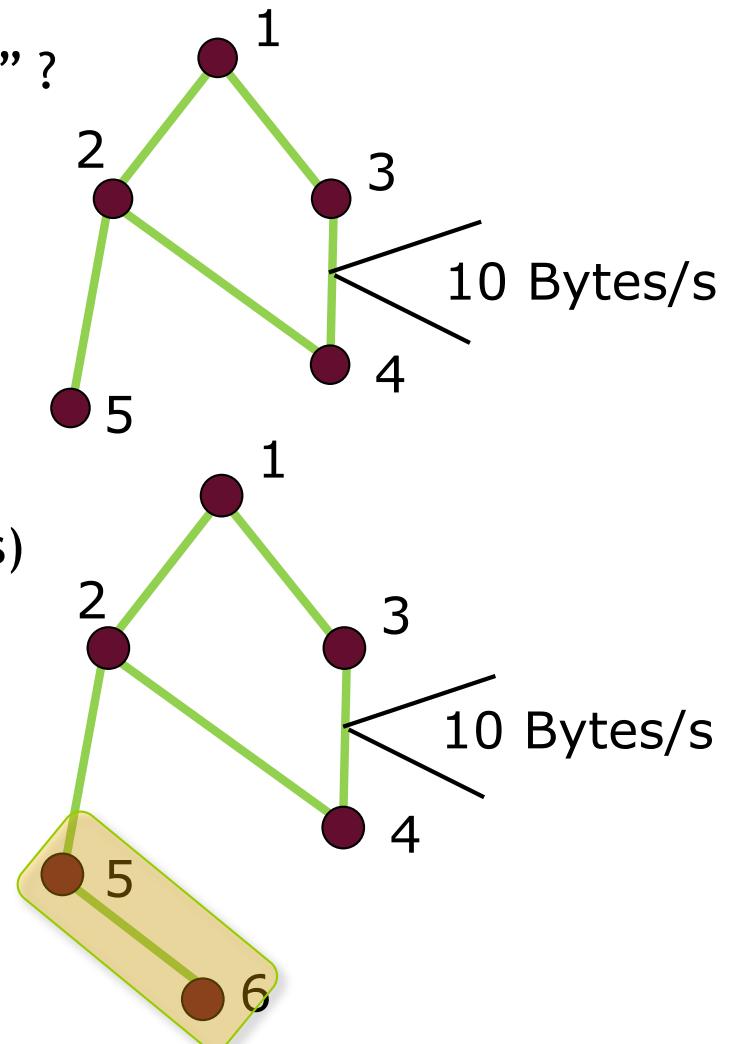
- An example: assume graphs are used to encode the information flow among robots (sensed, communicated, elaborated)

- Decentralization: on each edge, the **size** of the information flow is **constant** (w.r.t. the number of robots)

- Example: adding node 6 **does not increase** the information needed by nodes 1,2,3,4

- Thus, the amount of information grows **linearly** with the number of neighbors

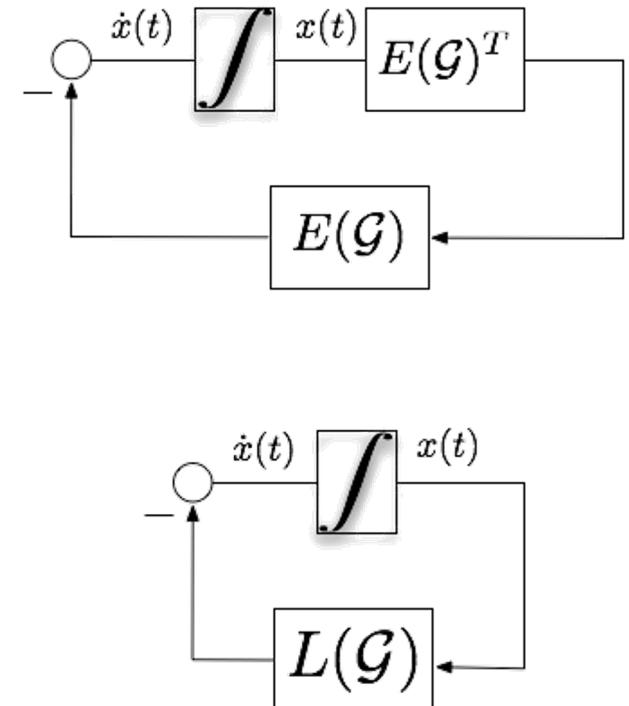
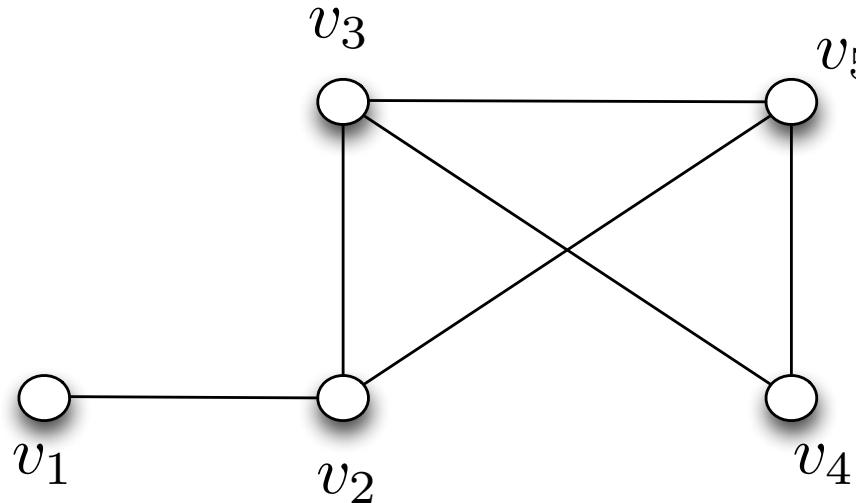
- The same applies to the **used memory** or **computing power** (**constant** per neighbor) 12



# ALGEBRAIC GRAPH THEORY

# Graphs and Matrices

- Several **matrices** can be associated to graphs and....
- ....several **graph properties** can be deduced from the associated matrixes
- Graphs + Matrices = **Algebraic Graph Theory**
- The following Algebraic tools will be **fundamental** for linking **Graph Theory** to the study of **multi-robot systems** (when seen as a collection of dynamical systems)



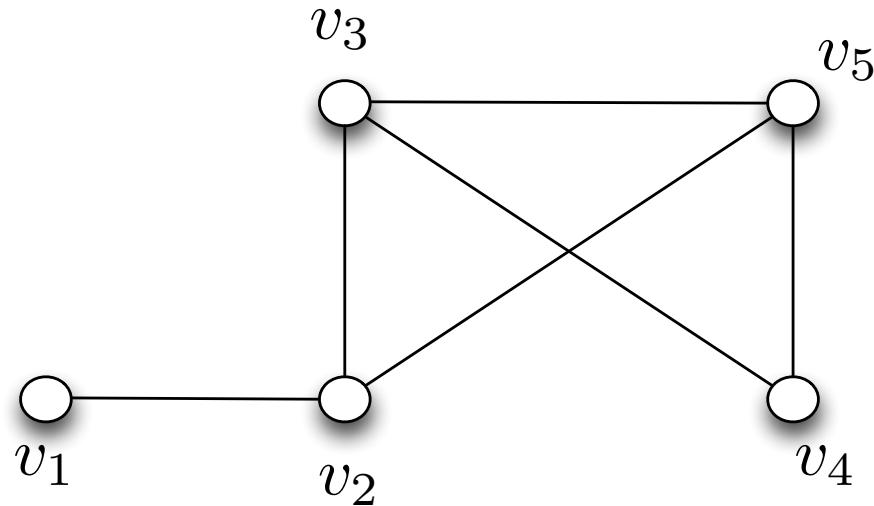
# Adjacency Matrix

- Adjacency Matrix  $A \in \mathbb{R}^{N \times N}$
- **Square** and **symmetric** (only for undirected graphs) matrix
- Defined so that  $A_{ij} = 0$  if  $(v_j, v_i) \notin \mathcal{E}$  and  $A_{ij} = 1$  if  $(v_j, v_i) \in \mathcal{E}$
- Note:  $A_{ii} = 0$  and  $A_{ij} = A_{ji}$ , thus  $A = A^T$
- Note: one can **generalize** to any **positive weight**  $A_{ij} = w_i$ ,  $w_i \geq 0$
- Note: for **directed graphs**, in general  $A_{ij} \neq A_{ji}$  and thus  $A \neq A^T$
- Let  $G$  be graph with  $e$  edges and  $t$  triangles. Then we have:

$$\text{trace}(A^2) = e, \quad \text{trace}(A^3) = t$$

# Adjacency Matrix

- Example

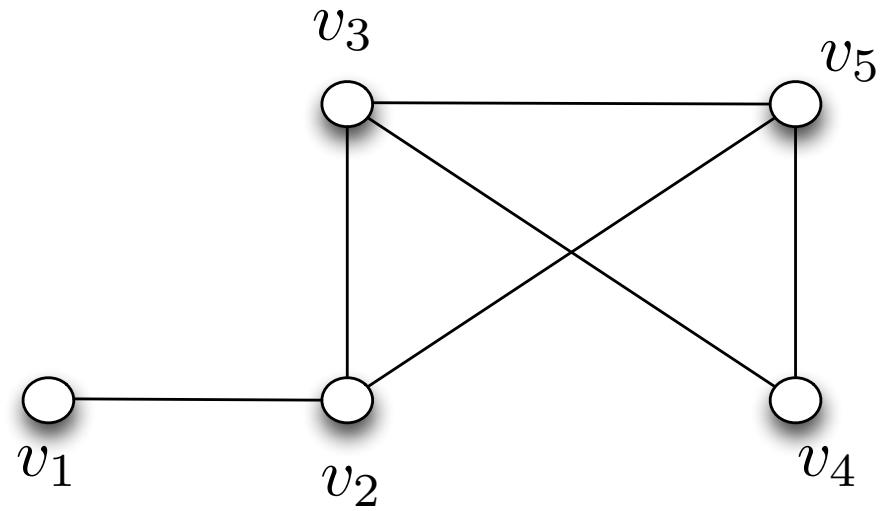


$$A = \begin{bmatrix} 0 & 1 & 0 & 0 & 0 \\ 1 & 0 & 1 & 0 & 1 \\ 0 & 1 & 0 & 1 & 1 \\ 0 & 0 & 1 & 0 & 1 \\ 0 & 1 & 1 & 1 & 0 \end{bmatrix}$$

# Degree Matrix

- Degree matrix  $\Delta \in \mathbb{R}^{N \times N}$
- Diagonal (symmetric) matrix with the **node degrees**  $d_i$  as diagonal elements

$$\Delta = \text{diag}(d_i)$$



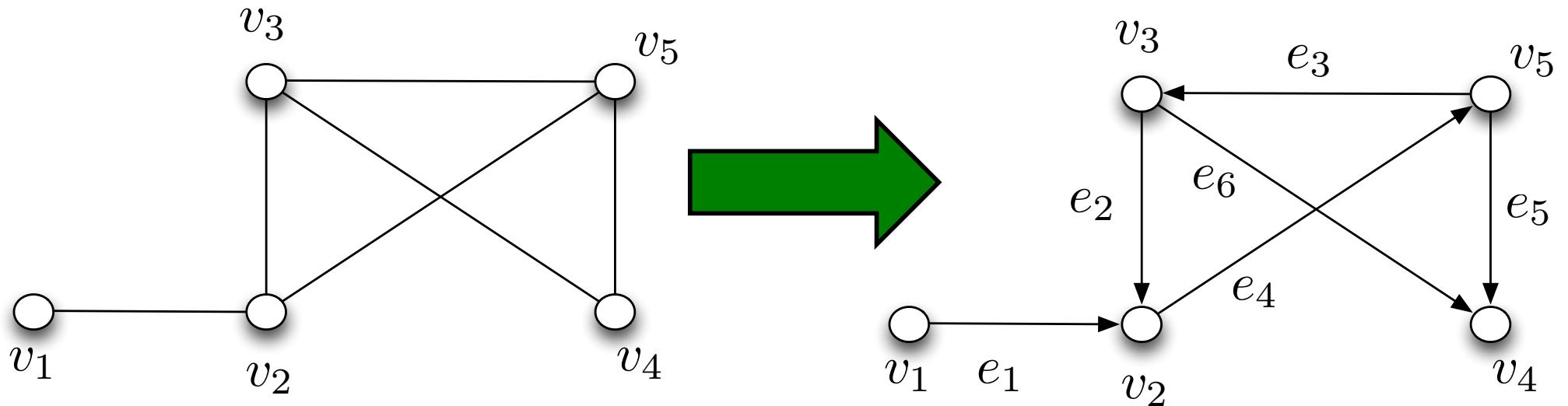
- Alternatively,

$$\Delta = \text{diag} \left( \sum_{j=1}^N A_{ij} \right)$$

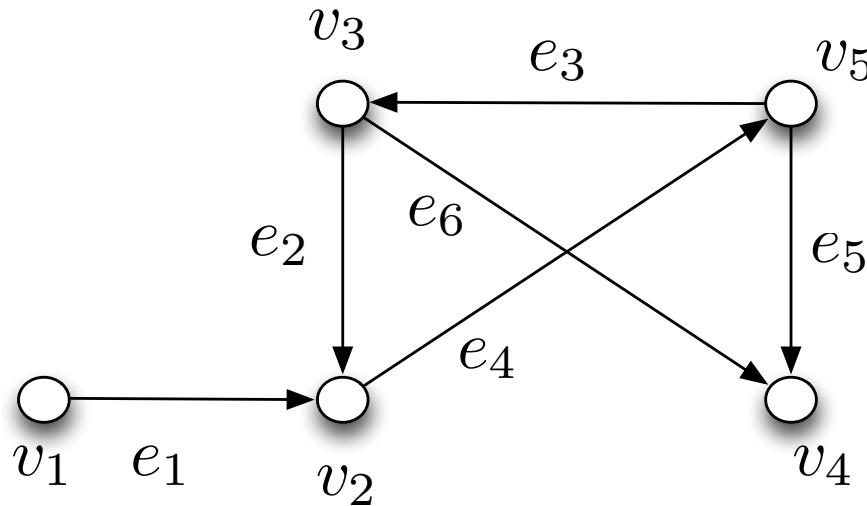
$$\Delta = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & 3 & 0 & 0 & 0 \\ 0 & 0 & 3 & 0 & 0 \\ 0 & 0 & 0 & 2 & 0 \\ 0 & 0 & 0 & 0 & 3 \end{bmatrix}$$

# Incidence Matrix

- Incidence matrix  $E \in \mathbb{R}^{N \times |\mathcal{E}|}$
- Used to encode the **incidence relationship** among edges and vertexes
- Assign an **arbitrary orientation** and an **arbitrary labeling** to the edges



# Incidence Matrix

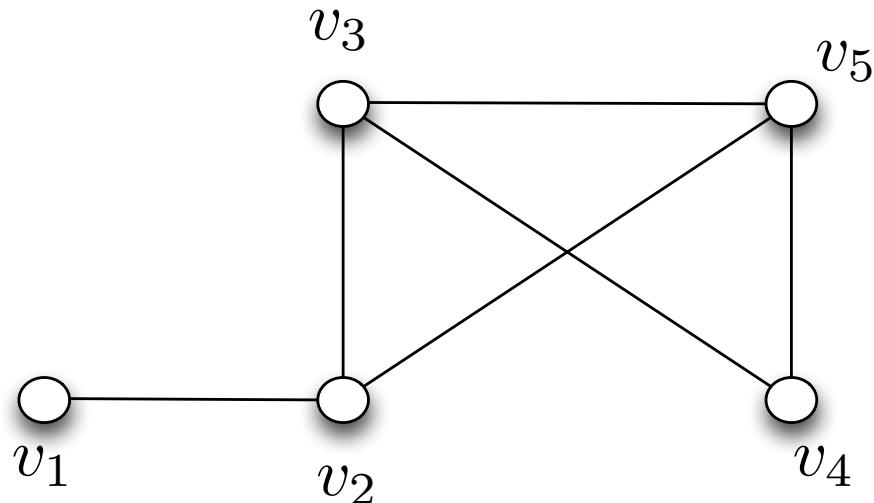


- Let  $E_{ij} = -1$  if vertex  $v_i$  is the **tail** of edge  $e_j$
- Let  $E_{ij} = 1$  if vertex  $v_i$  is the **head** of edge  $e_j$
- Let  $E_{ij} = 0$  otherwise

$$E = \begin{bmatrix} -1 & 0 & 0 & 0 & 0 & 0 \\ 1 & 1 & 0 & -1 & 0 & 0 \\ 0 & -1 & 1 & 0 & 0 & -1 \\ 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & -1 & 1 & -1 & 0 \end{bmatrix}$$

# Laplacian Matrix

- Laplacian matrix  $L \in \mathbb{R}^{N \times N}$
- First definition:  $L = \Delta - A$
- Second definition:  $L = EE^T$
- The two Defs. are equivalent, and the latter does not depend on the particular labeling and orientation chosen for the graph



$$L = \begin{bmatrix} 1 & -1 & 0 & 0 & 0 \\ -1 & 3 & -1 & 0 & -1 \\ 0 & -1 & 3 & -1 & -1 \\ 0 & 0 & -1 & 2 & -1 \\ 0 & -1 & -1 & -1 & 3 \end{bmatrix}$$

# Laplacian Matrix

- $L$  is **symmetric** (from both Defs.)
- $L$  is **positive semi-definite** (from Def. 2)
- $L\mathbf{1} = 0$  where  $\mathbf{1}$  is a vector of all ones
  - this shows that  $L$  is actually positive **semi**-definite as it has a non-trivial null-space
- Being symmetric and positive semi-definite, all its  $N$  eigenvalues  $\lambda_i$  are **real** and **non-negative**
- Order them as  $0 = \lambda_1 \leq \lambda_2 \leq \dots \leq \lambda_N$
- **Property:** the graph  $\mathcal{G}$  is **connected** if and only if  $\lambda_2 > 0$
- The quantity  $\lambda_2$  is referred to as **connectivity eigenvalue** (or Fiedler eigenvalue)
- Obviously,  $\mathbf{1}$  is the eigenvector associated to  $\lambda_1$  and  $\text{rank}(L) = N - 1$  (for **connected** graphs)

# Laplacian Matrix

- Note also that, being  $L$  **symmetric**, it is  $\mathbf{1}^T L = 0$
- Also, being  $L = EE^T$ , it is  $E^T \mathbf{1} = 0$  and  $\text{rank}(E) = N - 1$  (for **connected** graphs)
- Some additional properties (among many....)
- $\text{trace}(L) = 2|\mathcal{E}|$
- Let  $L_i$  be the matrix obtained from the Laplacian  $L$  after **removing** the row and column indexing vertex  $v_i$
- Then  $\det L_i = t(\mathcal{G})$  for any  $v_i$  where  $t(\mathcal{G})$  is the **number of spanning trees** of graph  $\mathcal{G}$

# THE CONSENSUS PROTOCOL

# The Consensus Protocol

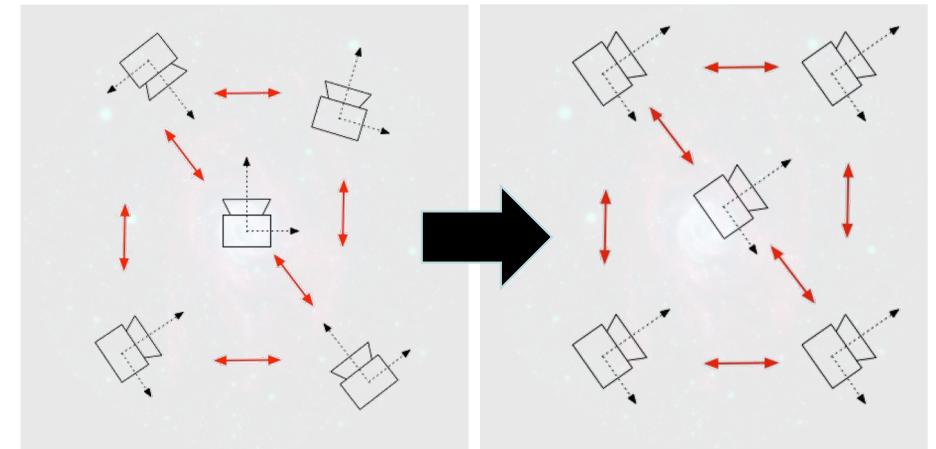
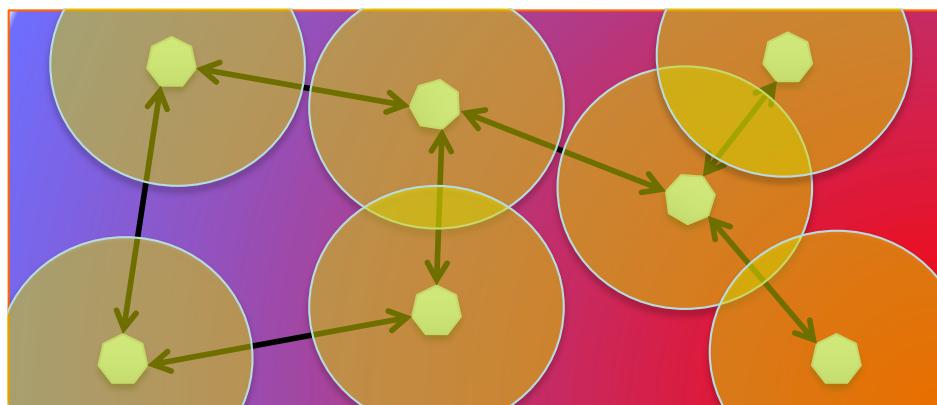
- Let us use the tools introduced so far for studying one of the **most fundamental problem** in multi-robots (and multi-agents) literature

- The Consensus Protocol**

- Formulation of the problem:
  - Consider  $N$  agents with an **internal state**  $x_i \in \mathbb{R}$
  - Consider an internal **dynamics** for the state evolution
    - in our case, **single integrator**  $\dot{x}_i = u_i$
  - Consider an interaction graph  $\mathcal{G}$  having the **agents** as vertexes
- Problem: design the **control inputs**  $u_i$  so that
  - all the states  $x_i$  **agree** on the **same common value**  $\bar{x}$  (**unspecified**)
$$\lim_{t \rightarrow \infty} x_i(t) = \bar{x}, \forall i$$
  - by making use in  $u_i$  of only **relative information** w.r.t. the **neighbors' state** (**relative sensing** and **decentralization**)

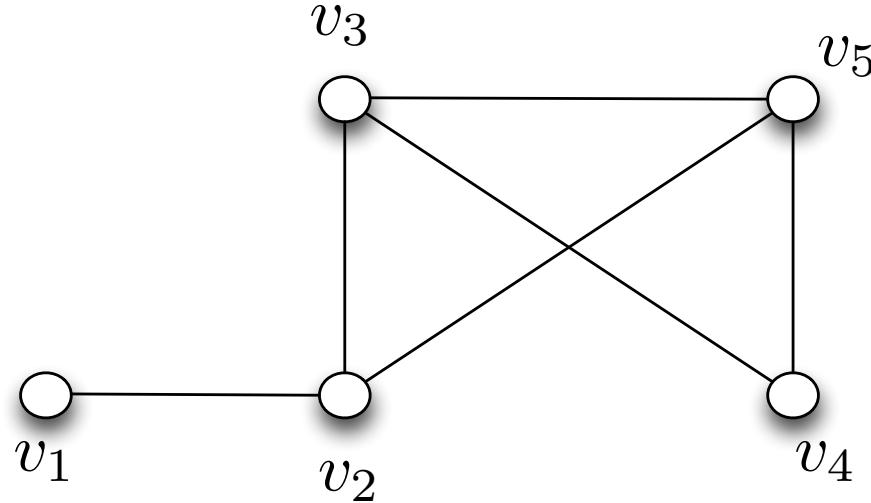
# The Consensus Protocol

- Possible **applications** of the consensus protocol
  - **rendezvous**: meet at a common point (uniform the positions)
  - **alignment**: point in the same direction (uniform the angles)
  - **distributed estimation**: agree on the estimation of some distributed quantity (e.g., average temperature)
  - **synchronization**: agree on the same time (regardless of phase shifts or different rates in the clocks)



# The Consensus Protocol

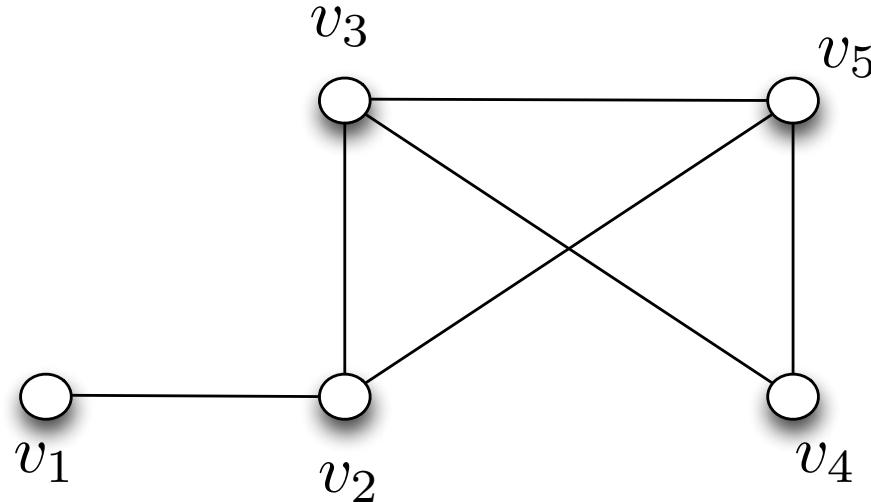
- Take  $N = 5$  agents and the interaction graph  $\mathcal{G}$



- The graph  $\mathcal{G}$  models how **information flows** across the agents
- Design  $u_i = u_i(x_i - x_j) \quad \forall j \in \mathcal{N}_i$
- Example:  $u_1 = u_1(x_1 - x_2)$ ,  $u_2 = u_2(x_1 - x_2, x_2 - x_3, x_2 - x_5)$ , and so on....

# The Consensus Protocol

- Solution: let  $u_i$  be the **sum** of all the **differences** of the neighbors' states w.r.t. the state of agent  $i$



$$u_1 = (x_2 - x_1)$$

$$u_2 = (x_1 - x_2) + (x_3 - x_2) + (x_5 - x_2)$$

$$u_3 = (x_2 - x_3) + (x_4 - x_3) + (x_5 - x_3)$$

$$u_4 = (x_3 - x_4) + (x_5 - x_4)$$

$$u_5 = (x_2 - x_5) + (x_3 - x_5) + (x_4 - x_5)$$

# The Consensus Protocol

- Consensus protocol:

- in **compact form** for agent  $i$    $u_i = \sum_{j \in \mathcal{N}_i} (x_j - x_i)$

- in compact form for **all the agents**  $u = -Lx$

$$u = - \begin{bmatrix} 1 & -1 & 0 & 0 & 0 \\ -1 & 3 & -1 & 0 & -1 \\ 0 & -1 & 3 & -1 & -1 \\ 0 & 0 & -1 & 2 & -1 \\ 0 & -1 & -1 & -1 & 3 \end{bmatrix} x$$

- and when **closing the loop** (recall that  $\dot{x}_i = u_i$ )

$$\dot{x} = -Lx$$

# The Consensus Protocol

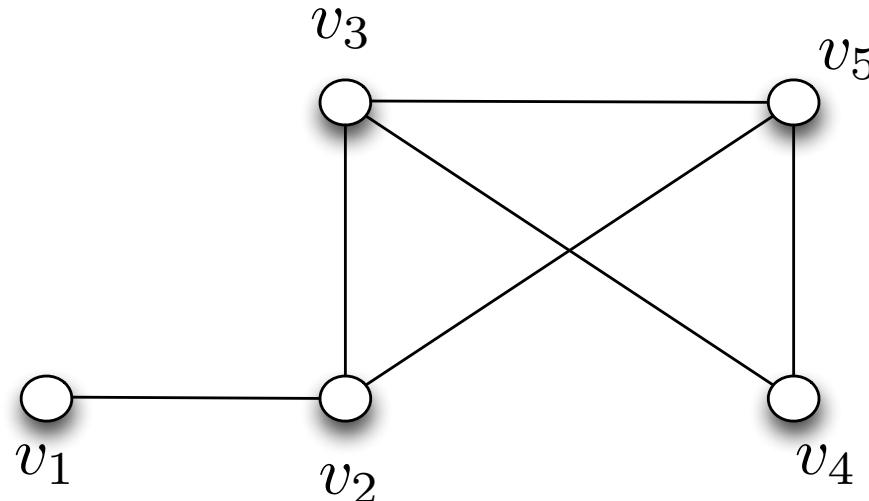
- Problem: under which **conditions** the closed-loop system

$$\dot{x} = -Lx$$

will solve the initial consensus requirement (if at all)?

$$\lim_{t \rightarrow \infty} x_i(t) = \bar{x}, \quad \forall i$$

- Convergence to an (**arbitrary but common**)  $\bar{x}$  is related to the properties of the **Laplacian**  $L$  (the state-transition matrix in the closed-loop dynamics)
- Properties of the **Laplacian**  $L$  are directly related to the **associated graph**  $\mathcal{G}$



# The Consensus Protocol

- Main result: the **consensus protocol converges** if the graph  $\mathcal{G}$  is **connected**
- **First** proof making use of the explicit solution of  $\dot{x} = -Lx$
- Given an initial condition  $x_0$ , the **explicit solution** of the consensus dynamics (time-invariant linear system) is

$$x(t) = e^{-Lt}x_0$$

- Fact 1: a **symmetric** matrix (such as  $L$ ) is always **diagonalizable** by an **orthogonal matrix**  $U$ , i.e., such that  $UU^T = I$
- Therefore,  $L = U\Lambda U^T$  where  $\Lambda = \text{diag}(\lambda_i)$
- Fact 2:  $e^{-U\Lambda U^T t} = Ue^{-\Lambda t}U^T$
- We then get  $x(t) = Ue^{-\Lambda t}U^T x_0$

# The Consensus Protocol

- Rewrite as  $x(t) = u_1 u_1^T e^{-\lambda_1 t} x_0 + \sum_{i=2}^N u_i u_i^T e^{-\lambda_i t} x_0$
- We already know that  $\lambda_1 = 0$  and  $u_1 = \frac{\mathbf{1}}{\sqrt{N}}$
- Thus  $x(t) = \frac{(\mathbf{1}^T x_0) \mathbf{1}}{N} + \sum_{i=2}^N u_i u_i^T e^{-\lambda_i t} x_0$
- If  $\mathcal{G}$  is **connected**, then  $\lambda_2 \neq 0$  and  $\lambda_N \geq \dots \geq \lambda_2 > 0$
- Therefore  $\lim_{t \rightarrow \infty} x(t) = \frac{(\mathbf{1}^T x_0) \mathbf{1}}{N}$
- What is  $\frac{(\mathbf{1}^T x_0) \mathbf{1}}{N}$  ?

# The Consensus Protocol

- The term  $\frac{\mathbf{1}^T x_0}{N}$  is just the **average of the initial state**  $x_0$
- The post-multiplication by  $\mathbf{1}$  in  $\frac{(\mathbf{1}^T x_0)\mathbf{1}}{N}$  **spreads this average** on all the components of  $x$
- $x_i \rightarrow \frac{\mathbf{1}^T x_0}{N}, \quad \forall i$
- Thus, what have we obtained? **All** the agent states  $x_i$  converge towards a **common value**, that is, the **average of the initial state**  $x_0$
- Definition: the **agreement subset**  $\mathcal{A} \subseteq \mathbb{R}^N = \text{span}(\mathbf{1}) = \{x \mid x_i = x_j\}$
- The consensus protocol makes the state  $x(t) \rightarrow \mathcal{A}$

# The Consensus Protocol

- Second proof: exploit Lyapunov Arguments

- Define the Lyapunov candidate  $V(x) = \frac{1}{2}x^T x$

- Its evolution (along the system trajectories) is

$$\dot{V}(x) = x^T \dot{x} = -x^T Lx$$

- Matrix  $L$  is positive semi-definite. Therefore  $\dot{V}(x) \leq 0$

- This shows that the state trajectories are bounded since  $V(x)$  does not increase over time

- To draw additional conclusions, we must resort to LaSalle's Invariance theorem

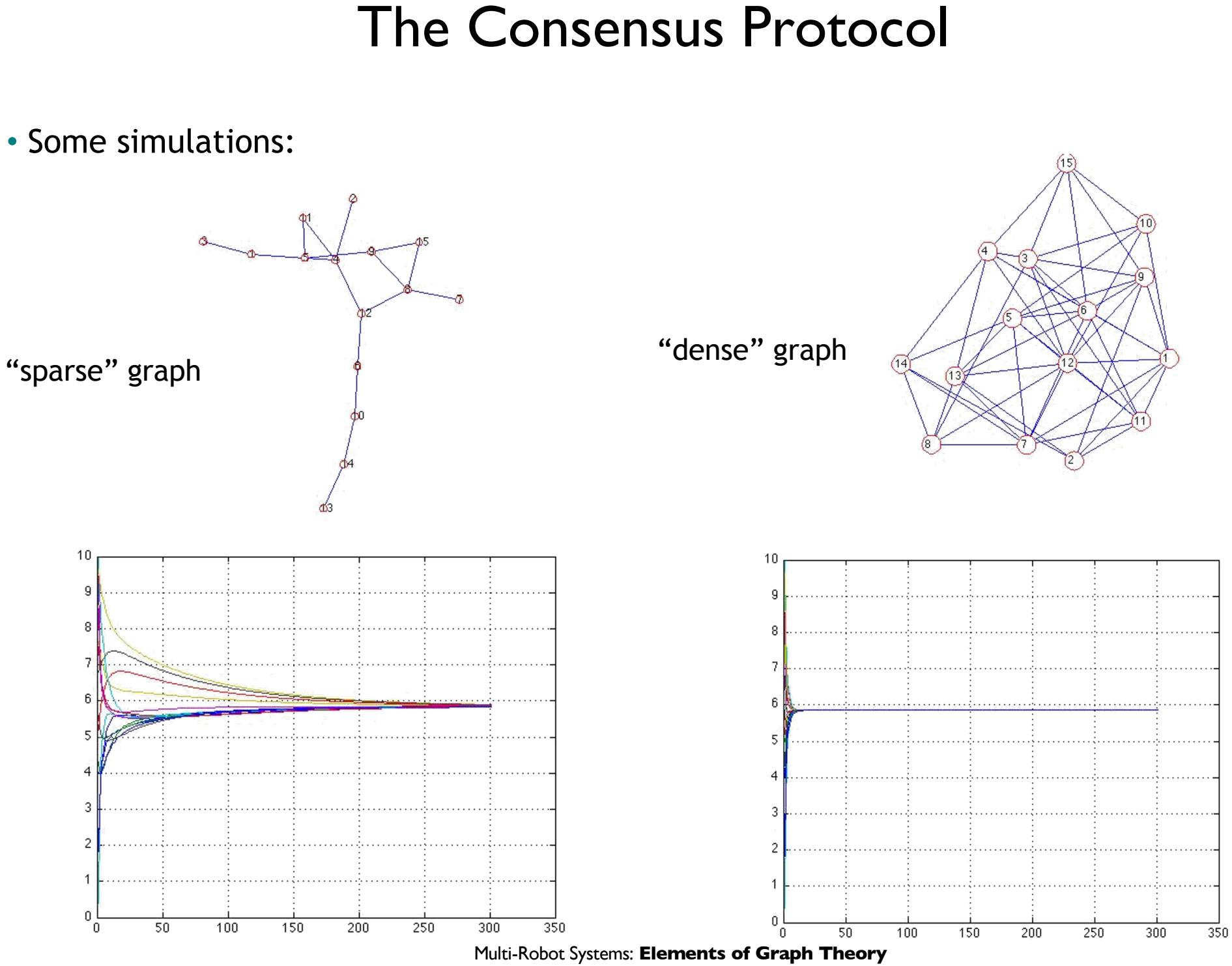
- What is the largest invariant set contained in  $\dot{V}(x) = 0$  ?

# The Consensus Protocol

- What is the set  $\dot{V}(x) = x^T Lx = 0$  ?
- It is the **null-space** of  $L$  (remember  $L$  is symmetric)
- If the graph  $\mathcal{G}$  is **connected**, we know that this null-space is just  $\mathcal{A}$
- Therefore,  $x(t) \rightarrow \mathcal{A} = \text{span}(\mathbf{1})$
- Another remark: consider the **scalar quantity**  $\mathbf{1}^T x$ . What is its time evolution under the consensus protocol?
  - $\mathbf{1}^T \dot{x} = -\mathbf{1}^T Lx = 0$
  - Therefore,  $\mathbf{1}^T x$  represents a **constant of motion** of the closed-loop system
  - $\mathbf{1}^T x(t) \equiv \mathbf{1}^T x_0 = \text{const}$ . The **centroid of the states** never changes over time

# The Consensus Protocol

- Some simulations:

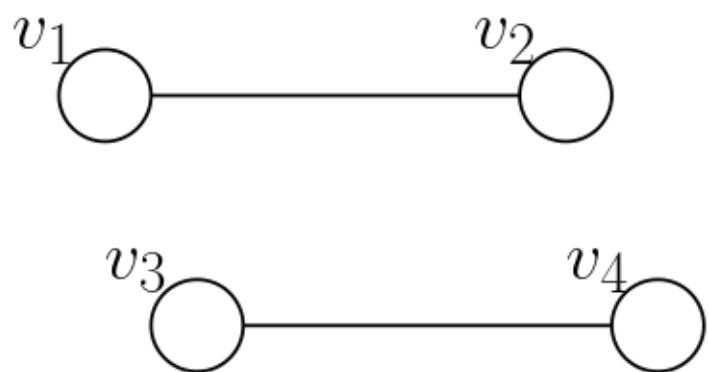


# The Consensus Protocol

- What dictates the **rate of convergence** of the consensus protocol?
  - **Sparse** graph -> **slow** convergence
  - **Dense** graph -> **fast** convergence
- Rate of convergence is directly related to the value of  $\lambda_2$  (i.e., to the degree of connectivity of the graph)
  - From  $x(t) = \frac{(\mathbf{1}^T x_0) \mathbf{1}}{N} + \sum_{i=2}^N u_i u_i^T e^{-\lambda_i t} x_0$
  - The value of  $\lambda_2$  (**smallest eigenvalue** in the sum) dictates the **rate of the asymptotic decay** of the sum of exponential functions
  - If  $\lambda_2$  is **large**, the exponential sum will decay **faster**
  - Therefore: the **more connected** the graph, the **faster** the consensus convergence

# The Consensus Protocol

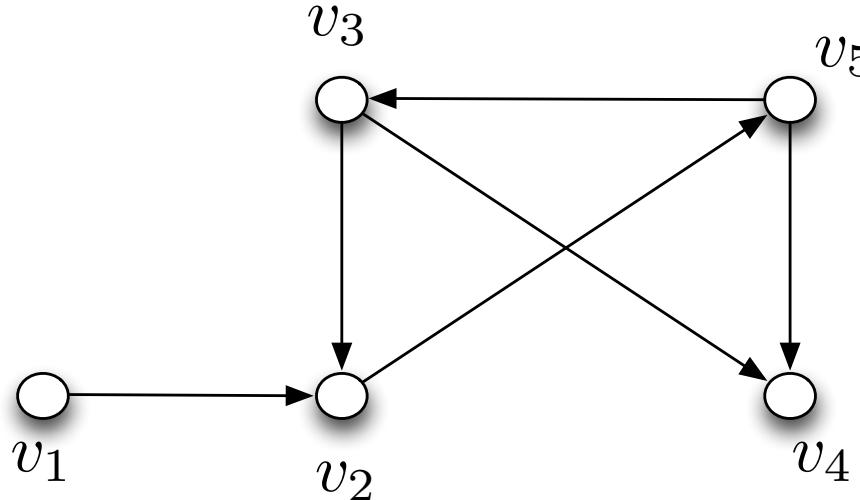
- What if the graph is not connected?
- The **consensus** is achieved on each **connected component** of the graph.
- In fact, the Laplacian in this case is a block-diagonal matrix, whose blocks correspond to the Laplacian matrices of the connected sub-graphs.
- Example:



$$L = \begin{bmatrix} 1 & -1 & 0 & 0 \\ -1 & 1 & 0 & 0 \\ 0 & 0 & 1 & -1 \\ 0 & 0 & -1 & 1 \end{bmatrix}$$

# The Consensus Protocol

- Let us now consider the case of **directed graphs**

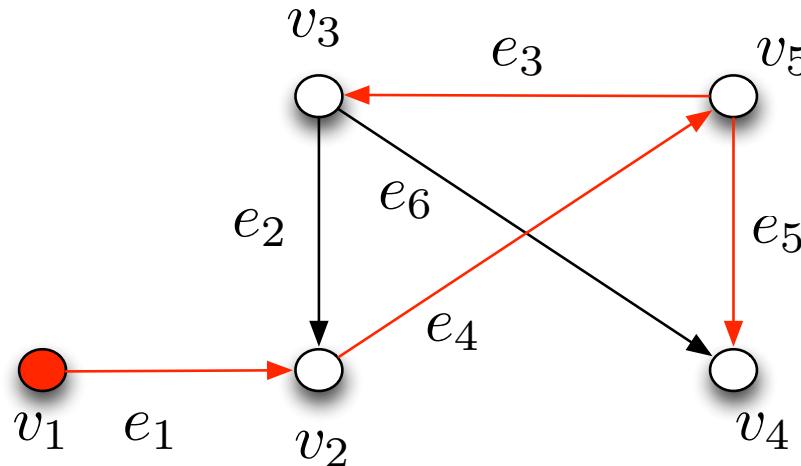


- How does the consensus machinery apply to this case?
- First “big” difference: the graph Laplacian  $L$  is **not symmetric** any more

$$L = \begin{bmatrix} 0 & 0 & 0 & 0 & 0 \\ -1 & 2 & -1 & 0 & 0 \\ 0 & 0 & 1 & 0 & -1 \\ 0 & 0 & -1 & 2 & -1 \\ 0 & -1 & 0 & 0 & 1 \end{bmatrix}$$

# The Consensus Protocol

- How does now the system  $\dot{x} = -Lx$  evolve in this situation?
- We still have  $L\mathbf{1} = 0$  but **in general**  $\mathbf{1}^T L \neq 0$
- **Fact 1:**  $\text{rank}(L) = N - 1$  if and only if the graph contains a **rooted out-branching**
- A **rooted out-branching** is a directed graph such that
  - it contains **no cycles**
  - it has a vertex (**root**) with a **directed path** to **all** the other vertexes



- If  $\text{rank}(L) = N - 1$  then **1** is the **only vector** spanning its right null-space

# The Consensus Protocol

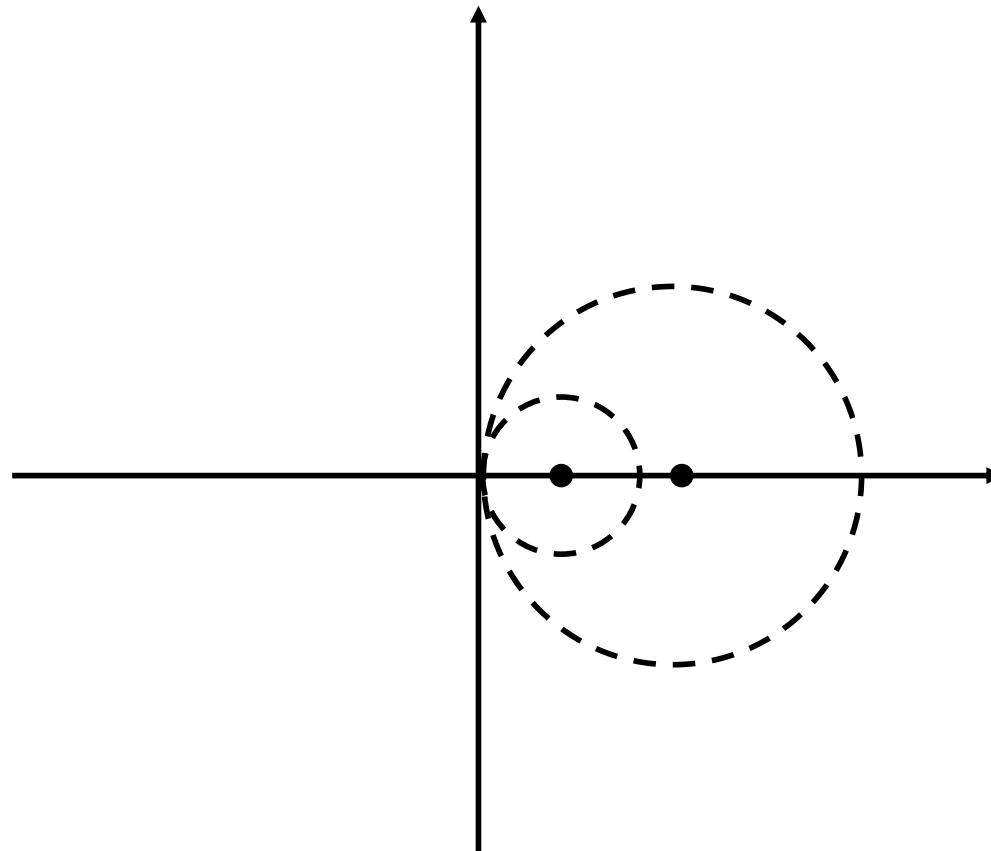
- Fact 2 (application of Gersgorin's Theorem): a Laplacian matrix for directed graphs has all the eigenvalues with **non-negative real part** (and they cannot be imaginary pairs)
- **Gersgorin's Theorem:** Let  $M = \{m_{ij}\} \in \mathbb{R}^{n \times n}$  be an arbitrary matrix. Then its eigenvalues are located in

$$\bigcup_{i=1}^n \left\{ z \in \mathbb{C} : |z - m_{ii}| \leq \sum_{j \neq i} |m_{ij}| \right\}$$

- **Idea of the proof of Fact 2:** Observing that  $l_{ii} = d_{\text{in}}(v_i)$  and using the fact that the sum over the rows is 0, based on Gersgorin's theorem, the spectrum of the Laplacian matrix is such that

$$\sigma(L) \subset \bigcup_{i=1}^n \{z \in \mathbb{C} : |z - d_{\text{in}}(v_i)| \leq d_{\text{in}}(v_i)\}$$

implying that all eigenvalues must have non-negative real part and cannot lie on the imaginary axis



# The Consensus Protocol

- If  $\text{rank}(L) = N - 1$  then  $\mathbf{1}$  is the **only vector** spanning its right null-space
- Exploiting Fact 2: a Laplacian matrix for directed graphs has all the eigenvalues with **non-negative real part** (and they cannot be imaginary pairs) :

$$\Re(\lambda_i) \geq 0$$

- Exploiting Fact 1, it must be  $\lambda_1 = 0$  and  $0 < \Re(\lambda_2) \leq \dots \leq \Re(\lambda_N)$
- Then, we can follow an argument equivalent to the undirected graph case
- Let  $L = P J(\Lambda) P^{-1}$  be the **Jordan decomposition** of  $L$

with  $J(\Lambda) = \begin{bmatrix} 0 & 0 & \dots & 0 \\ 0 & J(\lambda_2) & \dots & 0 \\ \vdots & \vdots & \vdots & \vdots \\ 0 & \dots & 0 & J(\lambda_N) \end{bmatrix}$

# The Consensus Protocol

- Expanding into the **explicit solution** of  $\dot{x} = -Lx$  we get

$$x(t) = e^{-Lt}x_0 = (p_1 q_1^T)x_0 + \left( \sum_{i=2}^{\bar{N}} P_i (e^{-J(\lambda_i)t}) Q_i \right) x_0$$

where  $p_1$  and  $q_1$  are the **right** and **left** eigenvector associated to  $\lambda_1 = 0$  ( $p_1 = \mathbf{1}$  as we already know)

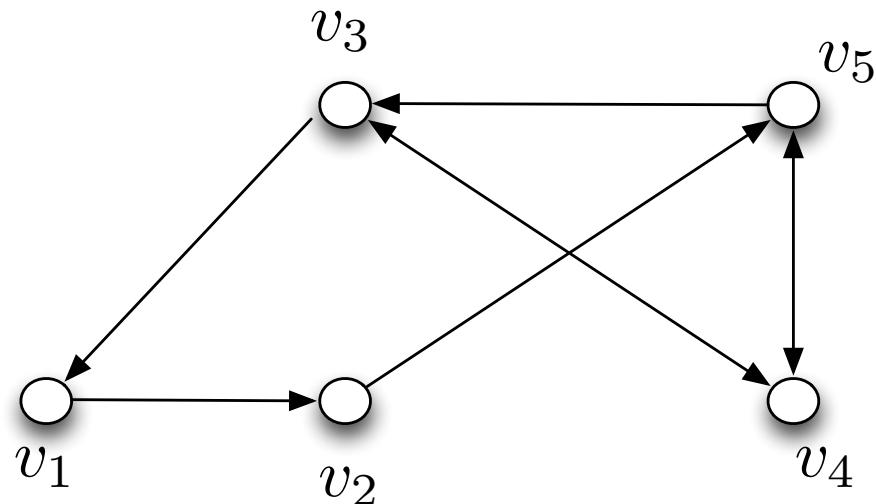
- Since  $0 < \Re(\lambda_2) \leq \dots \leq \Re(\lambda_N)$  we then obtain (normalizing  $q_1^T \mathbf{1} = 1$ )

$$\lim_{t \rightarrow \infty} x(t) = (q_1^T x_0) p_1 = (q_1^T x_0) \mathbf{1}$$

- Note that in general  $q_1 \notin \text{span}(\mathbf{1})$
- For instance, for **our example** it is  $q_1 = \text{span}([1 \ 0 \ 0 \ 0 \ 0]^T)$
- In general, the consensus **will not converge** to the average of the initial condition

# The Consensus Protocol

- Is it possible to have  $q_1 \in \text{span}(\mathbf{1})$  also for the **directed graph** case?
- This would allow for  $\lim_{t \rightarrow \infty} x(t) = \frac{(\mathbf{1}^T x_0)\mathbf{1}}{N}$  also in this case
- Definition: a directed graph is called **balanced** if, for every vertex, the **in-degree** equals the **out-degree**
- Example



$$L = \begin{bmatrix} 1 & 0 & -1 & 0 & 0 \\ -1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 2 & -1 & -1 \\ 0 & 0 & -1 & 2 & -1 \\ 0 & -1 & 0 & -1 & 2 \end{bmatrix}$$

# The Consensus Protocol

- For a **balanced directed graph**, it is  $\mathbf{1}^T L = 0$  (in addition to  $L\mathbf{1} = 0$ )
- Thus, assuming existence of a **rooted out-branching** (as before), we have

$$\lim_{t \rightarrow \infty} x(t) = \frac{(\mathbf{1}^T x_0)\mathbf{1}}{N}$$

analogously to the **undirected graph** case

# The Consensus Protocol

- To conclude, we draw some **additional remarks** on the consensus machinery
- It is straightforward to modify the consensus protocol in order to take into account suitable gains

$$u_i = k_i(t) \sum_{j \in \mathcal{N}_i} (x_j - x_i)$$

with  $k_i(t) > 0$

- It is possible to generalize to a **stochastic settings** (agreement over **Markov chains**)
- It is possible to consider **time-varying topologies** for the graph  $\mathcal{G}$
- In this case,  $\mathcal{G} = (\mathcal{V}, \mathcal{E}(t))$  and  $u_i = \sum_{j \in \mathcal{N}_i(t)} (x_j - x_i)$
- This case is **highly relevant** whenever ability to establish an edge depends on the **state** of the robots (e.g., **maximum range** for communication or **occlusion** of visibility)

# The Consensus Protocol

- Considering a **time-varying topology** induces a **time-varying** closed-loop linear system  $\dot{x} = -L(t)x$ , in particular may lead to a **switching dynamics**
- One can still prove convergence given some **looser properties** of the underlying graph structure (~ the graph maintains some form of global connectivity across the switchings)
- It is possible to consider **more complex linear or nonlinear dynamics** in place of  $\dot{x} = u_i$ 
  - for example **second-order** systems, general Lagrangian (mechanical) systems
  - but also unicycle-like (nonholonomic)
- It is possible to consider **time delays** and/or **asynchronous communication** in the information exchange (along edges)

# The Consensus Protocol

- Consider the example of “simple” second-order systems, namely double integrators

$$\ddot{x}_i = u_i \iff \begin{cases} \dot{p}_i = w_i \\ \dot{v}_i = u_i \end{cases} \quad p_i = x_i, w_i = \dot{x}_i \quad i = 1, \dots, N$$

- What does *consensus* mean for the systems above? What are the conditions for achieving an agreement among the states  $z_i = [p_i \ w_i]^\top$ ?
- The answer to the first question depends on the **output function** being considered. In this example, two main options:

- Consensus behaviour #1:** the agents converge to the same constant *position*. This corresponds to the output function

$$y_i = C_1 z_i = [1 \ 0] z_i$$

- Consensus behaviour #2:** the agents achieve the same constant *velocity* and travel all together

$$y_i = C_2 z_i = [1 \ 1] z_i$$

- The answer to the second question is instead quite simple: **connectivity!**

# The Consensus Protocol

- Let us address the case of the **consensus behaviour #1**

- The “total system” is characterized by the states

$$p = [p_1 \ \cdots \ p_N]^\top, \quad w = [w_1 \ \cdots \ w_N]^\top, \quad z = [p^\top \ w^\top]^\top$$

- The “total input” is given by  $u = [u_1 \ \cdots \ u_N]^\top$

- The overall dynamics reads as

$$\dot{z} = Az + Bu, \quad A = \begin{bmatrix} 0_{N \times N} & I_{N \times N} \\ 0_{N \times N} & 0_{N \times N} \end{bmatrix} \quad B = \begin{bmatrix} 0_{N \times N} \\ I_{N \times N} \end{bmatrix}$$

- The consensus law is given by

$$u = -Lp - \kappa w, \quad \kappa > 0$$

- where the first term is responsible for “agreeing” on the same position, whereas the second term forces the velocities to vanish

# The Consensus Protocol

- The closed-loop dynamics becomes

$$\dot{z} = A_{\text{cl}}z, \quad A_{\text{cl}} = \begin{bmatrix} 0_{N \times N} & I_{N \times N} \\ -L & -\kappa I_{N \times N} \end{bmatrix}$$

- Since the blocks in  $A_{\text{cl}}$  commute, eigenvalues  $\mu_i$  are the  $2N$  roots of

$$\det(\mu(\kappa + \mu)I_{N \times N} + L) = \prod_{i=1}^N (\mu(\kappa + \mu) + \lambda_i) \quad \{\lambda_i\}_{i=1}^N = \sigma(L)$$

- If the graph is connected  $\mu_1 = 0$  is a simple eigenvalue for the closed-loop matrix, whereas all other eigenvalues have negative real part
- The right and left eigenvectors associated to  $\mu_1 = 0$  are, respectively

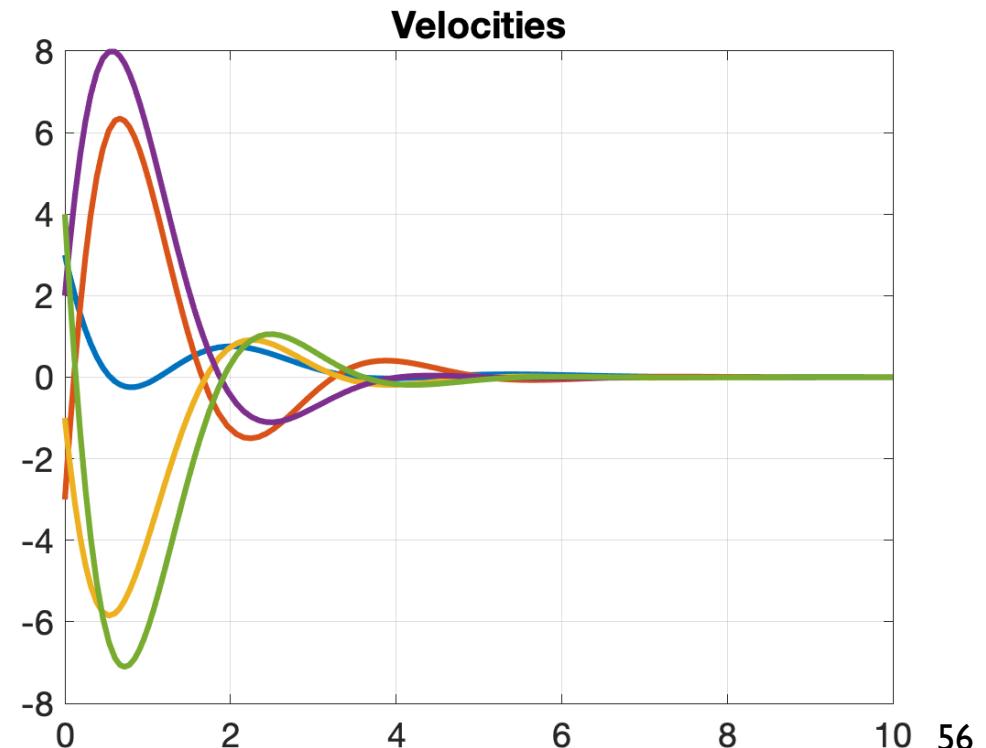
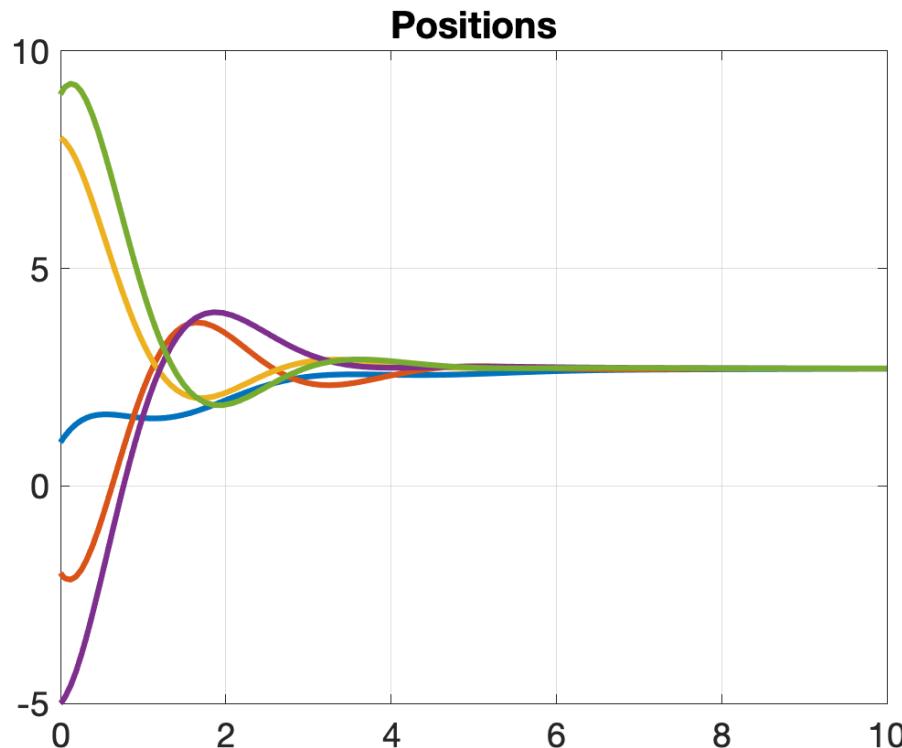
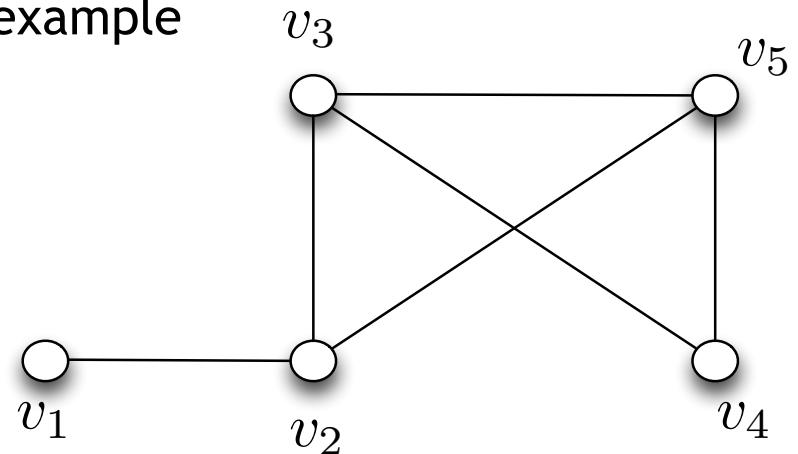
$$s_1 = [\mathbf{1}^\top \quad 0_{1 \times N}]^\top \quad q_1 = \frac{1}{\kappa N} [\kappa \mathbf{1}^\top \quad \mathbf{1}^\top]^\top$$

- The consensus behaviour is achieved, with steady state given by

$$z \rightarrow (q_1^\top z_0)s_1$$

# The Consensus Protocol

- Let us illustrate this with our usual working example
- Select the gain  $\kappa = 2$

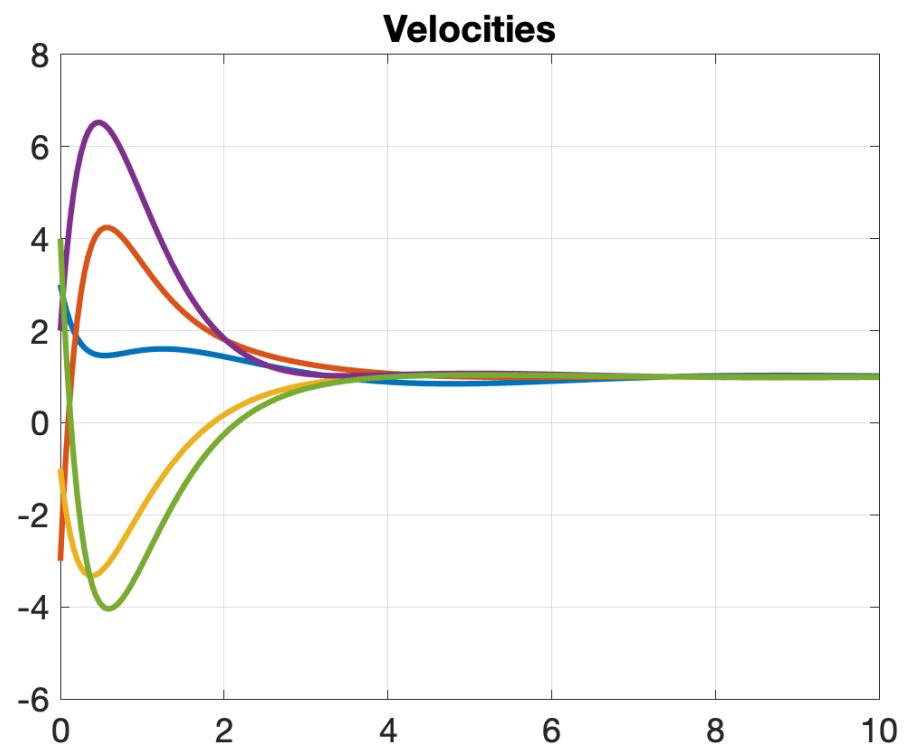
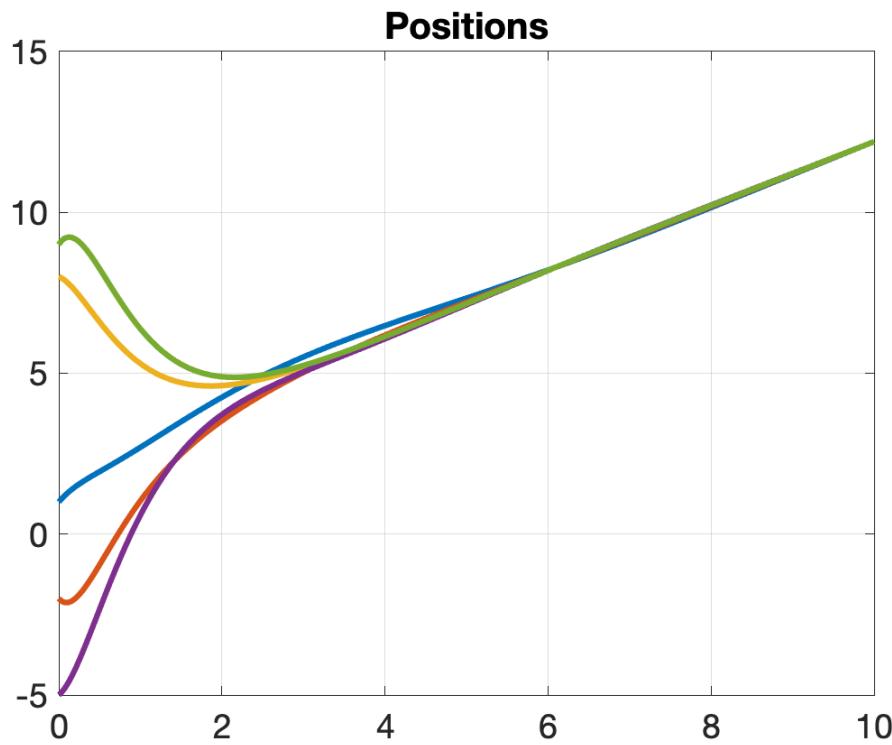
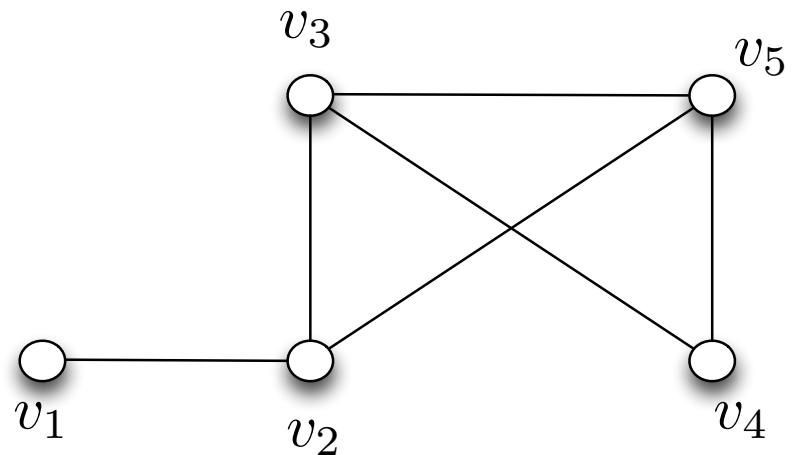


# The Consensus Protocol

- Illustration of consensus behaviour #2

- The control law is of the form

$$u = -Lp - Lw$$



# The Consensus Protocol

- Summarizing: consider  $N$  agents modelled as a second order systems of the form

$$\ddot{x}_i = u_i \quad x_i \in \mathbb{R} \quad i = 1, \dots, N$$

- The consensus problem #1 consists in making  $x_i \rightarrow x_c$  and  $\dot{x}_i \rightarrow 0$  for all  $i \leq N$ , for a non-a-priori-given set-point  $x_c$ .

- Design a **proportional derivative consensus protocol** of the form

$$u_i = -d_i \dot{x}_i + p_i \sum_{j \in \mathcal{N}_i} a_{ij} (x_i - x_j)$$

- Under this control, the consensus is reached when

$$\lim_{t \rightarrow \infty} [x_i(t) - x_j(t)] = 0 \quad \lim_{t \rightarrow \infty} \dot{x}_i = 0 \quad \forall i, j \leq N$$

- provided that:

- the damping  $d_i > 0$
- the interconnection strength  $p_i > 0$
- the graph is connected (or contains a spanning tree in the case of directed topology)

# The consensus problem: non-holonomic vehicles

- Autonomous vehicles can be modelled as points in the plane  $(x, y)$  with an orientation  $\theta$ , a forward velocity  $v$  and an angular velocity  $\omega$ .
- They are subject to a non-holonomic constraint (**the pure rolling constraint**)

$$\dot{x} \sin(\theta) - \dot{y} \cos(\theta) = 0$$

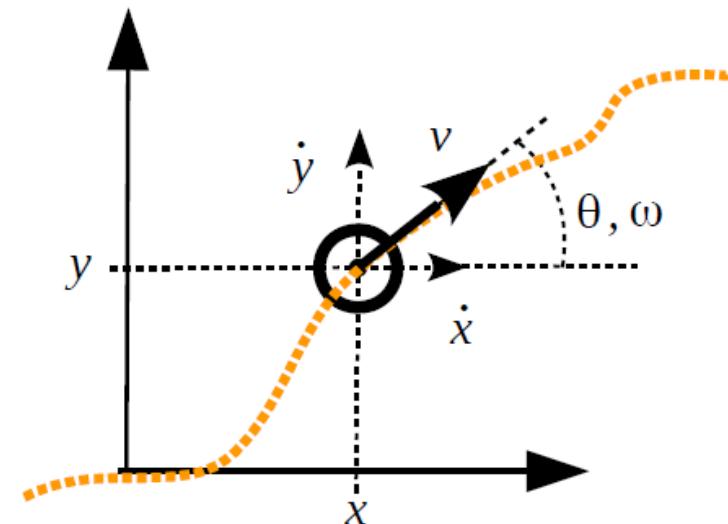
- These second-order non-holonomic systems are described by

• **kinematics**

$$\begin{cases} \dot{x} &= \cos(\theta)v \\ \dot{y} &= \sin(\theta)v \\ \dot{\theta} &= \omega \end{cases}$$

• **dynamics**

$$\begin{cases} \dot{v} &= u_v \\ \dot{\omega} &= u_\omega \end{cases}$$



# The consensus problem: non-holonomic vehicles

- Second-order non-holonomic systems consist in two **coupled** double integrators

- **linear motion**  $\begin{cases} \dot{z} = \varphi(\theta)v \\ \dot{v} = u_v \end{cases}$   $z = \begin{bmatrix} x \\ y \end{bmatrix}$   $\varphi = \begin{bmatrix} \cos(\theta) \\ \sin(\theta) \end{bmatrix}$

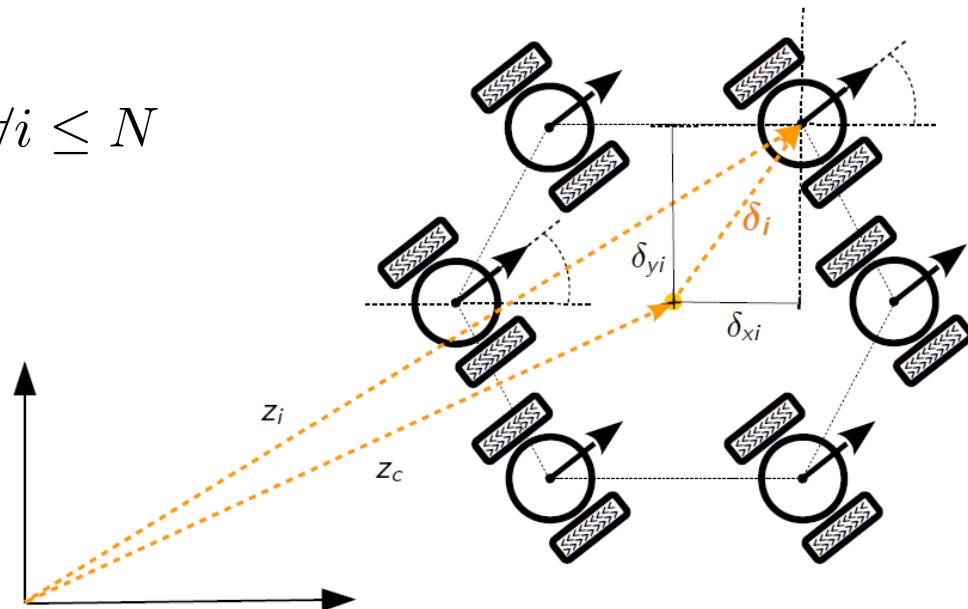
- **angular motion**  $\begin{cases} \dot{\theta} = \omega \\ \dot{\omega} = u_\omega \end{cases}$

$$\Sigma_v : \begin{cases} \dot{\bar{z}}_i = \varphi_i(\theta_i)v_i \\ \dot{v}_i = u_{vi} \end{cases}$$
$$\Sigma_\omega : \begin{cases} \dot{\theta}_i = \omega_i \\ \dot{\omega}_i = u_{\omega i} \end{cases}$$

# The consensus problem: non-holonomic vehicles

- **Problem:** design a distributed controller such that the  $N$  robots converge to a predefined pattern  $z_c \in \mathbb{R}^2$  and assume the same orientation  $\theta_c \in \mathbb{R}$

$$\lim_{t \rightarrow \infty} \begin{bmatrix} \bar{z}_i(t) \\ \theta_i(t) \end{bmatrix} = \begin{bmatrix} z_c \\ \theta_c \end{bmatrix} \quad \forall i \leq N$$

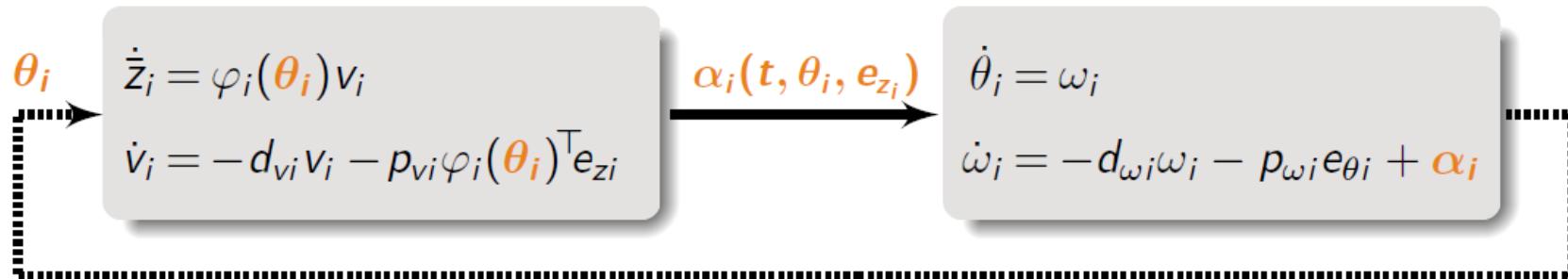


- For each robot we define

- $\bar{z}_i = z_i - \delta_i$  as the cartesian position of the  $i$ th robot in the pattern
- $z_i = [x_i, y_i]^T$  as the cartesian position of the  $i$ th robot
- $\delta_i = [\delta_{xi}, \delta_{yi}]^T$  as position of the  $i$ th robot relative to the “barycenter”

# The consensus problem: non-holonomic vehicles

- Both systems, the linear motion and the angular motion, are essentially double integrators, hence a **PD-like consensus controller** can be designed.
- The angular position  $\theta$  may get stuck at unwanted equilibria. We endow the angular motion controller with a “**perturbing**” term  $\alpha_i$ , which must be persistently exciting.
- The overall closed-loop system dynamics is the following:



$$e_{zi} = \sum_{j \in \mathcal{N}_i} a_{ij} (\bar{z}_i - \bar{z}_j)$$

$$\alpha_i = \psi(t)\varphi_i(\theta_i)^{\perp T} e_{zi}$$

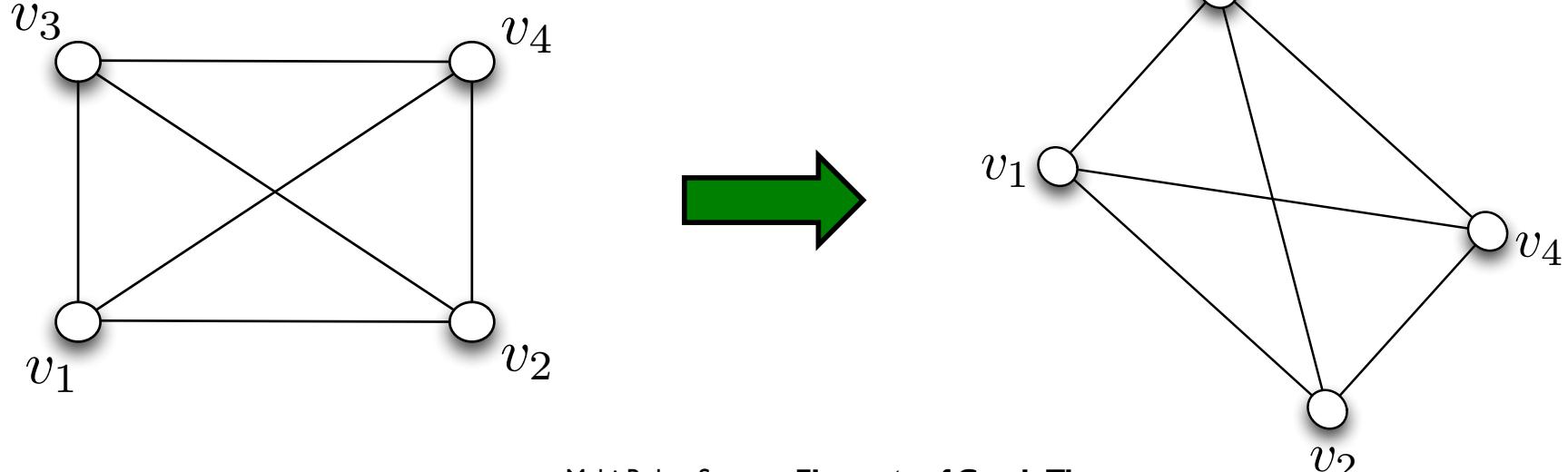
$$e_{\theta i} = \sum_{j \in \mathcal{N}_i} a_{ij} (\theta_i - \theta_j)$$

$$\varphi_i(\theta_i)^{\perp T} \varphi_i(\theta_i) = 0$$

# GRAPH RIGIDITY

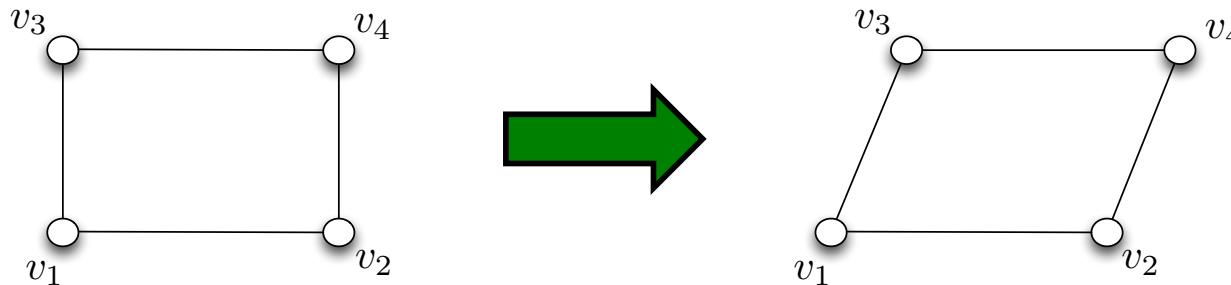
# Rigidity of Structures

- Given  $N$  agents and  $M \leq N(N - 1)/2$  pair-wise **geometrical constraints** (edges), do the constraints univocally determine the shape (spatial arrangement) of the agents ?
- Consider the case of **distance constraints** for planar agents: each edge in the graph imposes a **desired distance** to the incident pair
- If  $M = N(N - 1)/2$  (complete graph), then the shape is univocally determined (up to a rototranslation on the plane). The agents behave as a **planar rigid body**

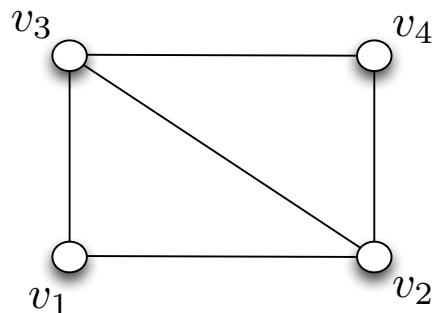


# Rigidity of Structures

- If  $M < N(N - 1)/2$  (not the complete graph) the situation is less clear



- With these 4 edges the shape is not preserved: multiple non-congruent realizations meeting the 4 pair-wise distance constraints



- With these 5 edges the shape is instead preserved up to a rototranslation on the plane

# Rigidity of Structures

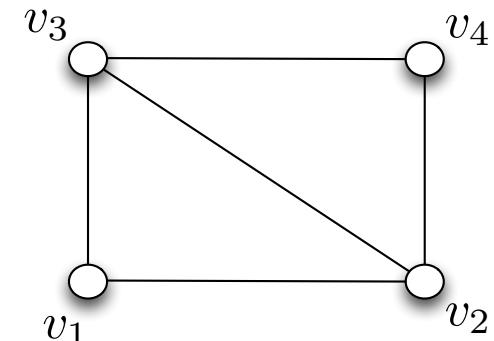
- Graph rigidity: how to characterize the “**flexibility**” of multi-agents bound to **pairwise geometric constraints**
- Needed tools: graph theory + geometry + linear algebra
- Loosely speaking: a “framework” (graph + agent poses) is **rigid** if the only **allowed motions** satisfying the constraints are those of the **complete graph**
- Complete graph:  $N(N - 1)/2$  edges, and thus need to measure/control/enforce  $N(N - 1)/2$  constraints (the complexity is  $O(N^2)$ )
- However, framework rigidity is often possible with only a  $O(N)$  set of constraints: in the previous case a minimum of  $2N - 3$  (properly placed) edges would be sufficient

	$N(N - 1)/2$	$2N - 3$
$N = 3$	3	3
$N = 4$	6	5
$N = 10$	45	17

• Comparison:

# Why rigidity matters

- If a framework is **rigid** then:
  - **Formation control** can be solved by regulating the pair-wise **geometrical constraints** to their **desired values**
    - Each agent pair controls the value of its own constraint (e.g., the distance)
    - This is enough for ensuring that the desired global shape is realized
    - And... no need to control **all** the possible pair-wise constraints (i.e., no need of a complete graph)
  - **Relative localization** can be univocally solved from the **measured value** of the constraints
    - Only one solution for the formation shape consistent with the pair-wise geometric constraints
    - Each agent can only be at **one specific location** (w.r.t. a frame attached with the formation)



# Definitions

- **Bar-and-joint framework:** let  $\mathcal{G} = (\mathcal{V}, \mathcal{E})$  be a graph and  $p : \mathcal{V} \rightarrow \mathbb{R}^d$  a function mapping each **vertex** to a **point** in  $\mathbb{R}^d$
- Just the usual graph structure + a “position” associated to each node
- One could also consider mappings to **full “poses”**  $p : \mathcal{V} \rightarrow SE(d)$
- For each edge  $(i, j) \in \mathcal{E}$  consider a **constraint function**  $g_{ij}(p_i, p_j)$
- In most (but not all) cases, the constraint only depends on the **relative positions/poses**  $g_{ij}(p_i - p_j)$
- Example: in case of distances, one can take  $g_{ij}(p_i - p_j) = \|p_i - p_j\|^2$
- Let then  $g_{\mathcal{G}} = \{\dots g_{ij} \dots\} : \mathbb{R}^{Nd} \rightarrow \mathbb{R}^{|\mathcal{E}|}$  be the **cumulative** constraint function over all the edges in  $\mathcal{G}$

# Definitions

- A framework is **rigid** (w.r.t. the chosen constraint function) if there exists a neighborhood  $\mathcal{U} \subset \mathbb{R}^{Nd}$  of  $p$  such that

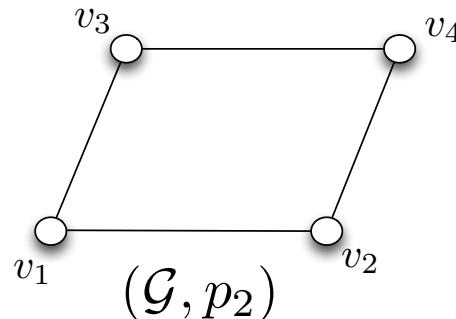
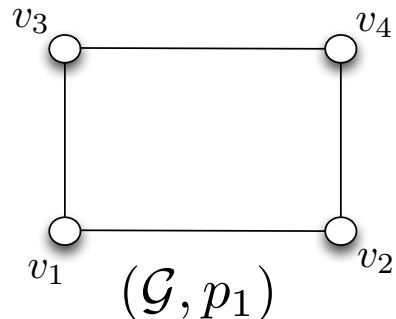
$$g_{\mathcal{G}}^{-1}(g_{\mathcal{G}}(p)) \cap \mathcal{U} = g_K^{-1}(g_K(p)) \cap \mathcal{U}$$

where  $K_N$  is the **complete graph**

- In short: a framework  $\mathcal{G} = (\mathcal{V}, \mathcal{E})$  is **rigid** if the only **allowed motions** preserving the constraints are those of the **complete graph**
  - i.e., removing some edges w.r.t.  $K_N$  “does not matter” for maintaining the shape
  - the value of the constraints for the “missing edges” w.r.t.  $K_N$  is univocally determined (and it is what one would have had with  $\mathcal{G} = K_N$ )
- **Framework equivalency:** two frameworks  $(\mathcal{G}, p_1)$  and  $(\mathcal{G}, p_2)$  are **equivalent** if  $g_{\mathcal{G}}(p_1) = g_{\mathcal{G}}(p_2)$  (the constraints are satisfied over all the edges in  $\mathcal{E}$ )
- **Framework congruency:** two frameworks  $(\mathcal{G}, p_1)$  and  $(\mathcal{G}, p_2)$  are **congruent** if  $g_K(p_1) = g_K(p_2)$  (the constraints are satisfied over **all the possible edges**, *assuming tacitly that the constraints are uniform over the edges*)

# Definitions

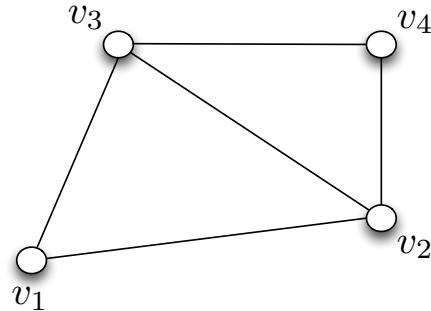
- Alternative definition of **rigidity**: a framework  $(\mathcal{G}, p_1)$  is **rigid** if all the frameworks  $(\mathcal{G}, p_2)$ ,  $p_2 \in \mathcal{U}(p_1)$ , which are **equivalent** to  $(\mathcal{G}, p_1)$  are also **congruent** to  $(\mathcal{G}, p_1)$
- In all the above, a framework is **globally rigid** if  $\mathcal{U} = \mathbb{R}^{Nd}$
- Finally, a framework is **minimally rigid** if the removal of any edge yields a **non-rigid** framework
- Now some examples:



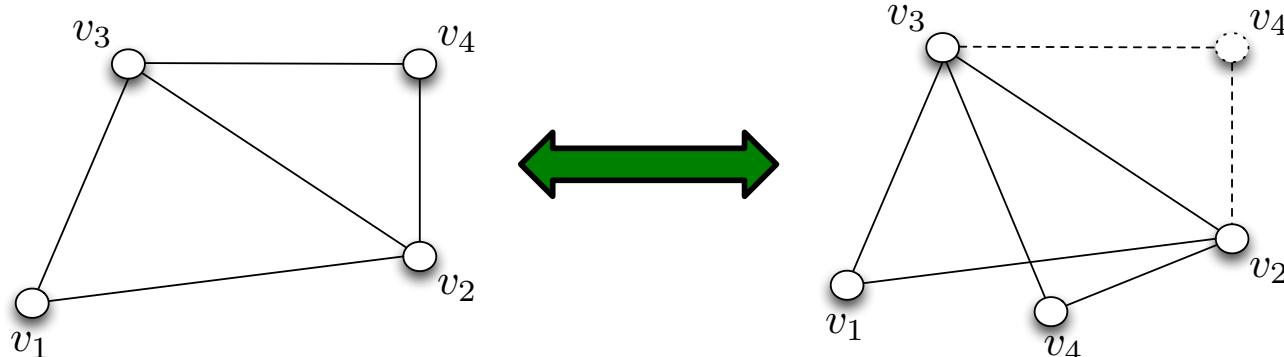
$(\mathcal{G}, p_1)$  is **not rigid** because one can find a framework  $(\mathcal{G}, p_2)$  which is **equivalent** but **not congruent**: the constraints are met **over the edges** of  $\mathcal{G}$  but not over **all the possible edges** in  $K_N$

# Definitions

- This framework is **minimally rigid**: by removing any edge, one gets a non-rigid framework



- However, the framework is **not globally rigid**: these two frameworks are equivalent but **not congruent**



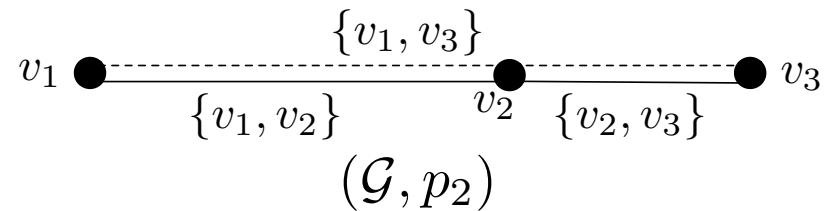
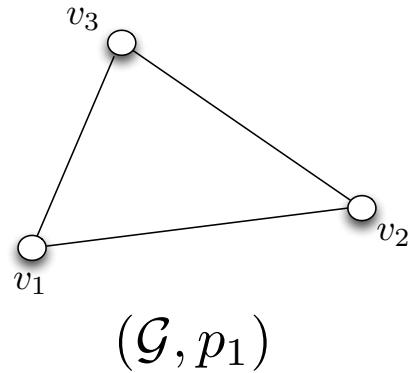
- Note that no “smooth” motions could take the first framework to the second one. Indeed the two frameworks are (locally) rigid. Two “**isolated**” solutions exist for the given distance constraints

# Infinitesimal Rigidity

- **Infinitesimal rigidity:** study the flexibility of a framework under **instantaneous motions** of its nodes
  - Assume a smooth time dependence  $p = p(t)$ : what are the instantaneous motions of  $p(t)$  which preserve the constraints  $g_{\mathcal{G}}(p(t)) = \text{const}$  ?
  - $g_{\mathcal{G}}(p(t)) = \text{const} \implies \dot{g}_{\mathcal{G}}(p(t)) = 0$  and using the chain rule
- $$\dot{g}_{\mathcal{G}}(p(t)) = 0 \implies \frac{\partial g_{\mathcal{G}}(p)}{\partial p} \dot{p} = R_{\mathcal{G}}(p) \dot{p} = 0$$
- Matrix  $R_{\mathcal{G}}(p) \in \mathbb{R}^{|\mathcal{E}| \times Nd}$  is known as the **rigidity matrix**
  - The **infinitesimal motions** consistent with the constraints are then  $\dot{p} \in \ker(R_{\mathcal{G}}(p))$
  - A framework is **infinitesimally rigid** if  $\ker(R_{\mathcal{G}}(p)) = \ker(R_K(p))$  or, equivalently,  $\text{rank}(R_{\mathcal{G}}(p)) = \text{rank}(R_K(p))$
  - Usual definition involving the complete graph  $K_N$

# Infinitesimal Rigidity

- Infinitesimal rigidity implies rigidity, but the **converse** is not always true
- Indeed, the rigidity matrix can **lose rank** because of “**non-generic**” agent positions that involve **special alignments**



- $(\mathcal{G}, p_1)$  is **infinitesimally rigid**, and therefore **rigid**. However,  $(\mathcal{G}, p_2)$  is **not infinitesimally rigid**, but it is **rigid** (same set of constraints over the edges)
  - the problem is the alignment of agents  $v_1$ ,  $v_2$ ,  $v_3$  which causes the rigidity matrix to (point-wise) lose rank. Any perturbation of this alignment would allow to regain infinitesimal rigidity
- A point  $\bar{p}$  is a **regular point** if  $\text{rank}(R_{\mathcal{G}}(\bar{p})) = \max_p \text{rank}(R_{\mathcal{G}}(p))$
- Infinitesimal rigidity = rigidity +  $\bar{p}$  is a **regular point** ( $\sim$  no special alignments)

# Infinitesimal Rigidity

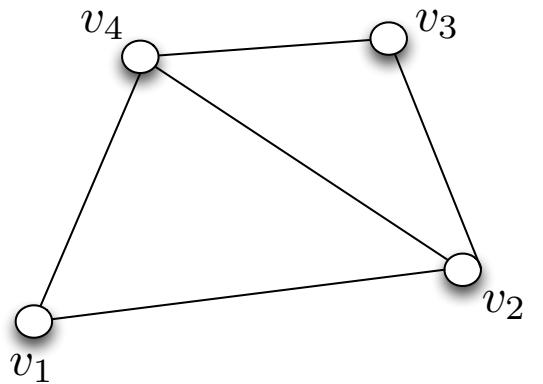
- The **Rigidity matrix** is a fundamental tool for **control** and **estimation** purposes
  - It establishes a link between **agent motion** and **constraint variations**
  - Its null-space  $\ker(R_{\mathcal{G}}(p))$  describes all the motions preserving the constraints
  - Rigidity of a framework is equivalent to a **rank condition** on  $R_{\mathcal{G}}(p)$ . This allows to exploit spectral tools (e.g., eigenvalues, singular values) for checking or enforcing rigidity)
- The rank condition allows to also determine the **minimum number of edges** in a graph  $\mathcal{G}$  for being rigid
- Let  $\text{rank}(R_{K_N}(p)) = r < Nd$ . A framework is rigid if  $\text{rank}(R_{\mathcal{G}}(p)) = \text{rank}(R_{K_N}(p))$
- Since  $R_{\mathcal{G}}(p) \in \mathbb{R}^{|\mathcal{E}| \times Nd}$ , this implies presence of at least  $|\mathcal{E}| = r$  in the edge set of  $\mathcal{G}$ 
  - However, not any collection of  $|\mathcal{E}| = r$  edges would be good ! One needs the **“right ones”**

# Infinitesimal Rigidity

- For **distance constraints** in  $\mathbb{R}^2$  the complete graph allows **3 collective motions**: 2 translations on the plane + 1 rotation (those of a rigid body on the plane)
- Therefore, for a rigid graph,  $\dim \ker(R_{\mathcal{G}}(p)) = 3$  and  $\text{rank}(R_{\mathcal{G}}(p)) = 2N - 3$
- One needs at least  $2N - 3$  edges (connecting the “correct” agent pairs)
  - Note the **linearity** w.r.t.  $N$  (instead of  $O(N^2)$  as in the complete graph)
- Similar arguments hold for embeddings in  $\mathbb{R}^3$ ,  $SE(2)$  and  $SE(3)$

# Infinitesimal Rigidity

- Let us consider this graph
- What is the associated **rigidity matrix** ?
- Start with the constraint function  $g(p) =$
- Being  $R_G(p) = \frac{\partial g_G(p)}{\partial p}$  one obtains



$$\begin{bmatrix} \|p_1 - p_2\|^2 \\ \|p_1 - p_4\|^2 \\ \|p_2 - p_3\|^2 \\ \|p_2 - p_4\|^2 \\ \|p_3 - p_4\|^2 \end{bmatrix}$$

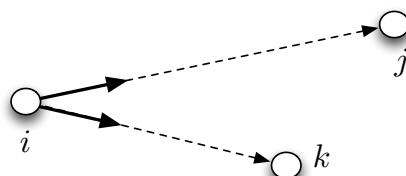
$$R_G(p) = \boxed{\begin{bmatrix} p_1^T - p_2^T & p_2^T - p_1^T & 0 & 0 \\ p_1^T - p_4^T & 0 & 0 & p_4^T - p_1^T \\ 0 & p_2^T - p_3^T & p_3^T - p_2^T & 0 \\ 0 & p_2^T - p_4^T & 0 & p_4^T - p_2^T \\ 0 & 0 & p_3^T - p_4^T & p_4^T - p_3^T \end{bmatrix}}$$

# Infinitesimal Rigidity

- At **generic** positions (i.e., without “special” alignments), one has  $\text{rank}(R_{\mathcal{G}}(p)) = 5 = 2N - 3$  (the framework is **rigid**)
- What is a basis for the (3-dimensional)  $\ker(R_{\mathcal{G}}(p))$  ?
- Two vectors can be identified as  $n_{1,2} = \mathbf{1}_N \otimes I_2$ : these represent the **two planar translations** along the x and y directions
- A third vector can be identified as  $n_3 = (I_N \otimes S)(p - \mathbf{1}_N \otimes p^*)$  with  $S = \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}$  and  $p^*$  an arbitrary point on the plane
- This represents a **collective rotation** around the “pivot point”  $p^*$
- Proof: the k-th element of  $R_{\mathcal{G}}(p)n_{1,2}$  is just  $(p_i^T - p_j^T) - (p_i^T - p_j^T) = 0$
- the k-th element of  $R_{\mathcal{G}}(p)n_3$  is  $(p_i^T - p_j^T)S(p_i - p^*) - (p_i^T - p_j^T)S(p_j - p^*) = p_j^T Sp_i + p_i^T Sp_j = 0$  since  $S = -S^T$

# Infinitesimal Rigidity

- Note: any other **linear combination** of the three vectors  $(n_1, n_2, n_3)$  would also be a valid solution for  $\ker(R_G(p))$
- However, the set  $(n_1, n_2, n_3)$  has a clear **geometrical interpretation**
  - by, e.g., setting  $\dot{p} = \alpha_1 n_1 + \alpha_2 n_2 + \alpha_3 n_3$  one could steer the whole formation by **individually** actuating the three dofs: 2D translation and rotation around  $p^*$
- By embedding in  $\mathbb{R}^3$  one obtains  $\dim \ker(R_G(p)) = 6$  for a rigid graph
  - The constraint-preserving motions are the 3 translations and 3 rotations around an arbitrary  $p^*$  (the motions of a **rigid body in 3D space**)
- Note: so far we have dealt with distance constraints. However, another very popular application of rigidity theory is in the case of **bearing constraints**
- Bearing vector: unit vector (direction) from one agent to another
  - Interesting because it is what can be measured from, e.g., perspective cameras

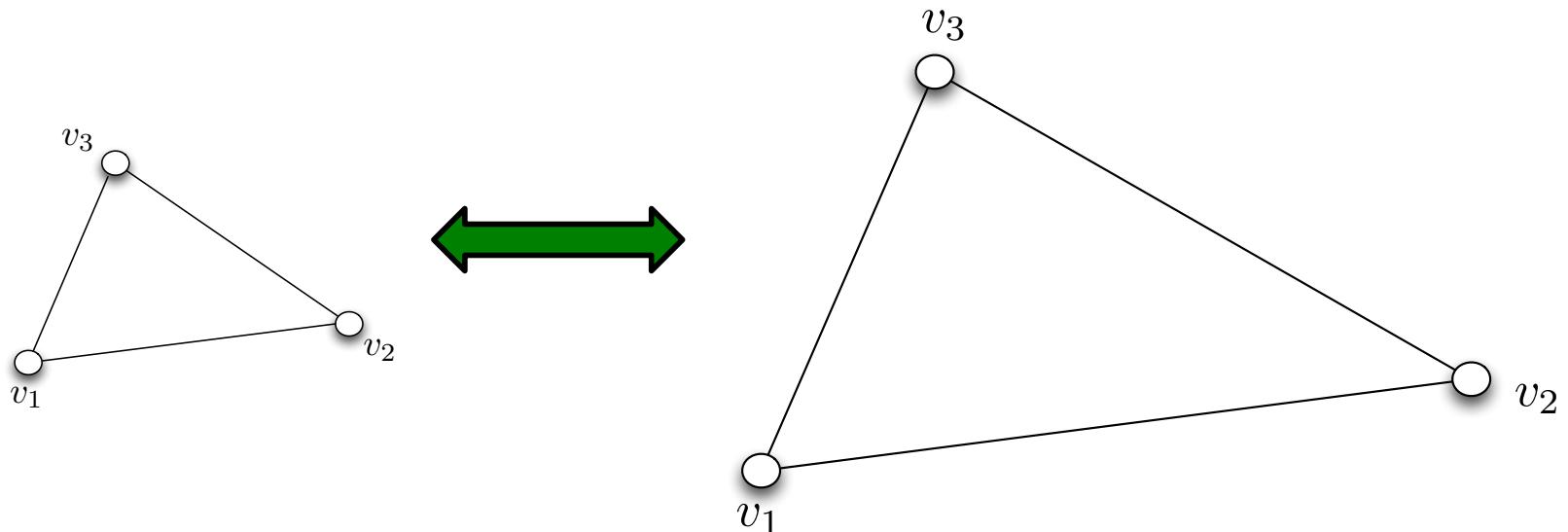


# Bearing Rigidity

- Bearing constraint: keep a **desired bearing vector** (i.e., a “set of angles”) w.r.t. neighboring pairs
  - Note: the distance constraint is a **scalar constraint** in any dimension
  - The bearing constraint is a  $(n - 1)$ -dimensional constraint in  $\mathbb{R}^n$  (more stringent constraint)
- Examples of relative bearings:
  - **Absolute bearing:**  $\beta_{ij} = \frac{p_j - p_i}{\|p_j - p_i\|} \in \mathbb{S}^{n-1}$  pointing vector expressed in a common frame
  - **Body-frame bearing:**  $\beta_{ij} = R^i \frac{p_j - p_i}{\|p_j - p_i\|} \in \mathbb{S}^{n-1}$  pointing vector expressed in the local frame of agent  $i$
- The analysis becomes slightly more complex than for the distance case. However, the same general reasoning applies

# Bearing Rigidity

- For instance, in case of absolute bearings  $\beta_{ij} = \frac{p_j - p_i}{\|p_j - p_i\|} \in \mathbb{S}^{n-1}$  one talks about “parallel rigidity”
- The only allowed motions of the complete graph  $K_3$  on the plane are the usual **2D translations** and an **expansion/retraction** (but no rotation!)



- Thus, in  $\mathbb{R}^2$  one has  $\text{rank}(R_G(p)) = 2N - 3$ . **Same rank** as for the previous distance constraints, but **different kernel !!** (in particular,  $n_3$  is different)
- When dealing with bearing constraints, the scale is never fixed. Not surprising since we are constraining “relative angles” between pairs of agents

# Rigidity-based control and localization

- We will quickly review why rigidity (and, in particular, the **rigidity matrix**) are important for **formation control** and **localization**
- We will consider the case of **distance constraints** (however, similar ideas apply for the bearing case)
- Assume that we want to stabilize the pose  $p \in \mathbb{R}^{Nd}$  of  $N$  agents to a pose **congruent** with a **desired**  $p_d$ 
  - in other words, we only care about the **final shape**, and not of where the shape will be placed on the plane
- Neighboring agent pairs can only sense the constraint value  $g_{ij}(p)$  (i.e., they can only measure their **relative distance**)
- Let  $g_d = g_{\mathcal{G}}(p_d)$  be the **constraint value at the desired pose**: find a feedback controller which zeros the “constraint error”  $g_d - g_{\mathcal{G}}(p)$
- If the framework is rigid, we are guaranteed that  $g_d = g_{\mathcal{G}}(p)$  implies **congruency** with the desired  $p_d$

# Rigidity-based control and localization

- Define the usual **scalar error function**  $e = \frac{1}{2} \|g_d - g_{\mathcal{G}}(p)\|^2 = \frac{1}{2} \sum_{(i,j) \in \mathcal{E}} (g_{ij}(p_d) - g_{ij}(p))^2$ 
  - sum over the edges of the squared constraint violations
- What is  $\frac{\partial e}{\partial p}$  ?  $\frac{\partial e}{\partial p} = -(g_d^T - g_{\mathcal{G}}^T(p)) \frac{\partial g_{\mathcal{G}}(p)}{\partial p} = -(g_d^T - g_{\mathcal{G}}^T(p)) R_{\mathcal{G}}(p)$
- The error function can be minimized by following its negative gradient, i.e.,

$$\dot{p} = R_{\mathcal{G}}^T(p)(g_d - g_{\mathcal{G}}(p)) \quad (\blacksquare)$$

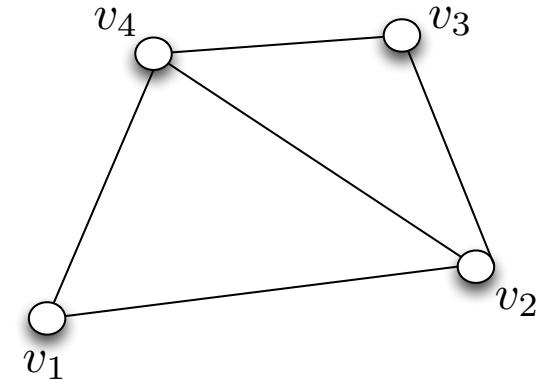
- This is a nice result because  $(\blacksquare)$  is inherently decentralized. This is because of the **decentralized structure** of the rigidity matrix  $R_{\mathcal{G}}(p)$
- Indeed, the explicit expression of  $(\blacksquare)$  for the  $i$ -th agent is  $\dot{p}_i = - \sum_{j \in \mathcal{N}_i} (\|e_{ij}\|^2 - d_{ij}^2) e_{ij}$  where  $e_{ij} = p_j - p_i$  and  $d_{ij} = \|p_{j,d} - p_{i,d}\|$
- Additional feature: the **centroid**  $p^o = \frac{1}{N} \sum p_i$  is invariant under  $(\blacksquare)$ , i.e.,  $\dot{p}^o = 0$

# Rigidity-based control and localization

- Recall the example

$$R_{\mathcal{G}}(p) = \begin{bmatrix} p_1^T - p_2^T & p_2^T - p_1^T & 0 & 0 \\ p_1^T - p_4^T & 0 & p_3^T - p_2^T & p_4^T - p_1^T \\ 0 & p_2^T - p_3^T & 0 & p_4^T - p_2^T \\ 0 & p_2^T - p_4^T & 0 & p_4^T - p_3^T \\ 0 & 0 & p_3^T - p_4^T & p_4^T - p_3^T \end{bmatrix}$$

Agent 3



- The  $i$ -th column of  $R_{\mathcal{G}}(p)$  (associated to agent  $i$ ) only depends on  $p_i$  and  $p_j$ ,  $j \in \mathcal{N}_i$
- The rigidity matrix has a **decentralized structure**
- Conceptually analogous results can be obtained for the bearing-rigidity case

# Rigidity-based control and localization

- A similar reasoning can be applied to the (dual) **localization** problem
- Assume  $N$  agents can measure a set of **relative distances** according to some **measurement graph**  $\mathcal{G}$
- Is it possible to univocally **localize** the agent positions from the measured distances ?  
Localize = find correct agent positions in some “**common frame**”
- Assume  $(\mathcal{G}, p)$  is a **rigid framework** and let  $\hat{p}$  an estimation of the agent positions
- Because of the framework rigidity, if  $\hat{p}$  agrees with the measurements, i.e., if  $g_{\mathcal{G}}(\hat{p}) = g_{\mathcal{G}}(p)$ , then  $\hat{p}$  can only be a **rigid rototranslation** of the real  $p$
- Therefore,  $\hat{p}$  represents a **correct localization** of the agents in “some frame” (which can be different from the frame where  $p$  is expressed!). However:
  - all the agents will obtain an estimation of their position w.r.t. a unique **common frame**
  - and, this is achieved by only exploiting **measured distances** !

# Rigidity-based control and localization

- The localization problem can be solved as before: define  $e = \frac{1}{2} \|g_{\mathcal{G}}(p) - g_{\mathcal{G}}(\hat{p})\|^2$ . Note that we now consider  $p = \text{const}$  and minimize w.r.t.  $\hat{p}$

- One has  $\frac{\partial e}{\partial \hat{p}} = -(g_{\mathcal{G}}^T(p) - g_{\mathcal{G}}^T(\hat{p}))R_{\mathcal{G}}(\hat{p})$ . Therefore, an update law for  $\hat{p}$  is

$$\dot{\hat{p}} = R_{\mathcal{G}}^T(\hat{p})(g_{\mathcal{G}}(p) - g_{\mathcal{G}}(\hat{p}))$$

- As before, **decentralized structure**....
- It is also possible to enforce **additional constraints** on the estimated positions  $\hat{p}$  for fixing the final roto-translation ambiguity
- For instance, one could add **constraints to fix the origin** of the underlying common frame by fixing the estimated position of one of the agents
- If, for instance, one sets  $\hat{p}_1 = 0$ , then all the remaining  $\hat{p}_i$  will represent **relative positions** w.r.t. the position of agent 1
  - This essentially removes the translational ambiguity in  $\ker(R_{\mathcal{G}})$

# Rigidity-based control and localization

- Similarly, one could **fix the orientation** of the common frame by fixing the direction of one of its edges connecting two agents
- For instance, one can enforce  $\hat{p}_1 - \hat{p}_k = p_1 - p_k$  with  $k \in \mathcal{N}_1$ . Force  $\hat{p}_k$  to lie on the direction of the real  $p_1 - p_k$
- This removes the last **rotational ambiguity** in  $\ker(R_{\mathcal{G}})$
- All these constraints can be embedded in a **single cost function**

$$e = \frac{1}{2} \|g_{\mathcal{G}}(p) - g_{\mathcal{G}}(\hat{p})\|^2 + \frac{1}{2} \|\hat{p}_1\|^2 + \frac{1}{2} \|p_1 - p_k - (\hat{p}_1 - \hat{p}_k)\|^2$$

which leads to the **update law**

$$\dot{p}_i = - \sum_{j \in \mathcal{N}_i} (\|\hat{p}_i - \hat{p}_j\|^2 - d_{ij}^2)(\hat{p}_i - \hat{p}_j) - \delta_{i1}\hat{p}_1 - \delta_{ik}(\hat{p}_1 - \hat{p}_k - (p_i - p_k))$$

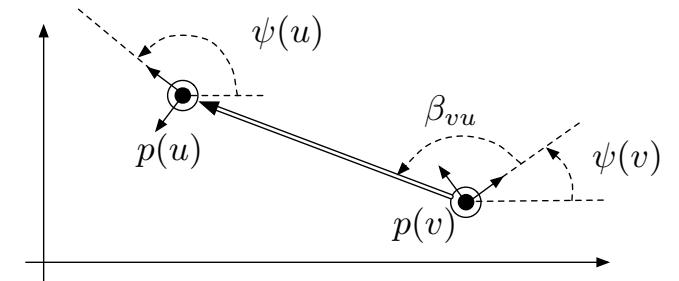
where  $\delta_{ij}$  is the Kroenecker delta

- This law is, again, decentralized

# Rigidity-based control and localization

- Consider the case of **body-frame bearings**  $\beta_{ij} = R^i \frac{p_j - p_i}{\|p_j - p_i\|} \in \mathbb{S}^{n-1}$

- Set of relative angles expressed in the local frame of sensing agents
  - What one can retrieve from **onboard cameras**
  - Note:** we now consider **directed measurements** and, thus, a **directed graph**
  - For the complete graph  $K_N$  one has  $|\mathcal{E}| = N(N - 1)$



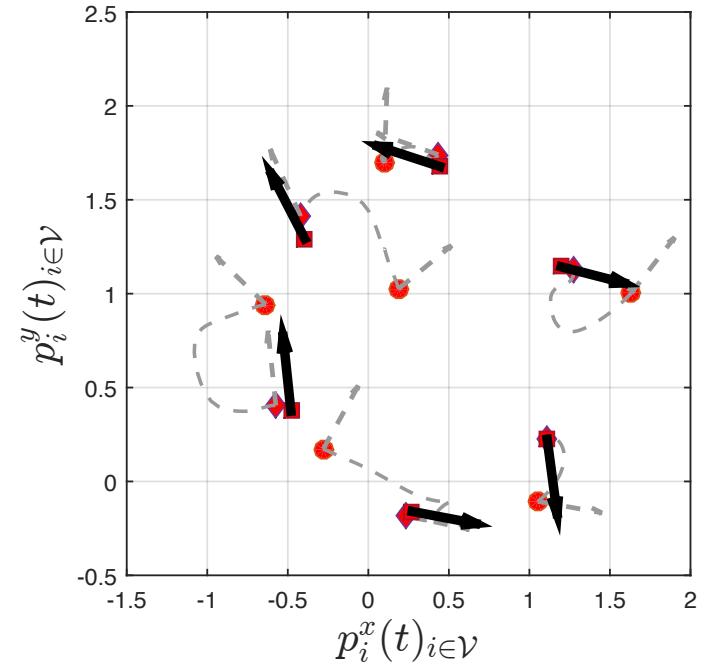
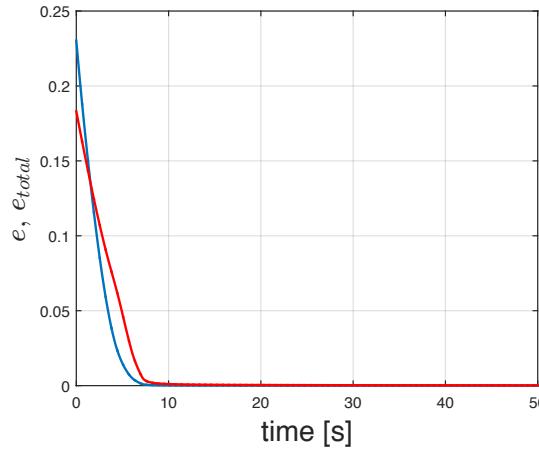
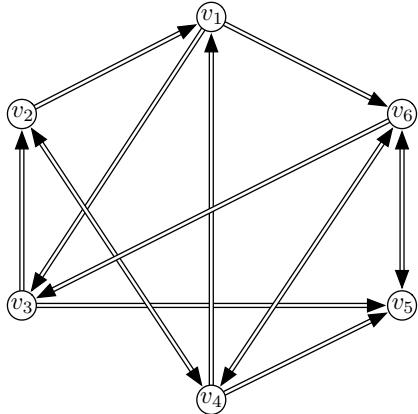
- We consider a planar problem in which the vertexes of graph  $\mathcal{G}$  are mapped to a **pose**  $(p_i, \psi_i) \in SE(2)$
- Each node consists of a **position** on the plane and an **orientation** w.r.t. some global frame
  - The configuration space  $(p_1, \psi_1, \dots, p_N, \psi_N)$  has then dimension  $3N$
- The associated **bearing-rigidity matrix** will then have dimensions  $R_{\mathcal{G}} \in \mathbb{R}^{|\mathcal{E}| \times 3N}$

# Bearing-based localization and control

- For the complete graph  $K_N$  there exist **4 allowed motions**:
  - 2D translation
  - expansion/contraction
  - coordinated rotation about a pivot point  $p^*$
- Therefore, for an **infinitesimally rigid framework** one has  $\text{rank}(R_{\mathcal{G}}) = 3N - 4$ 
  - Need of at least  $3N - 4$  edges in the edge set  $\mathcal{E}$  for being bearing-rigid
- Let us consider the case of formation control and of localization
- Note that, because of the structure of  $\ker(R_{\mathcal{G}})$  these two problems can only be solved up to a **global roto-translation** and **scaling** on the plane

# Bearing-based localization and control

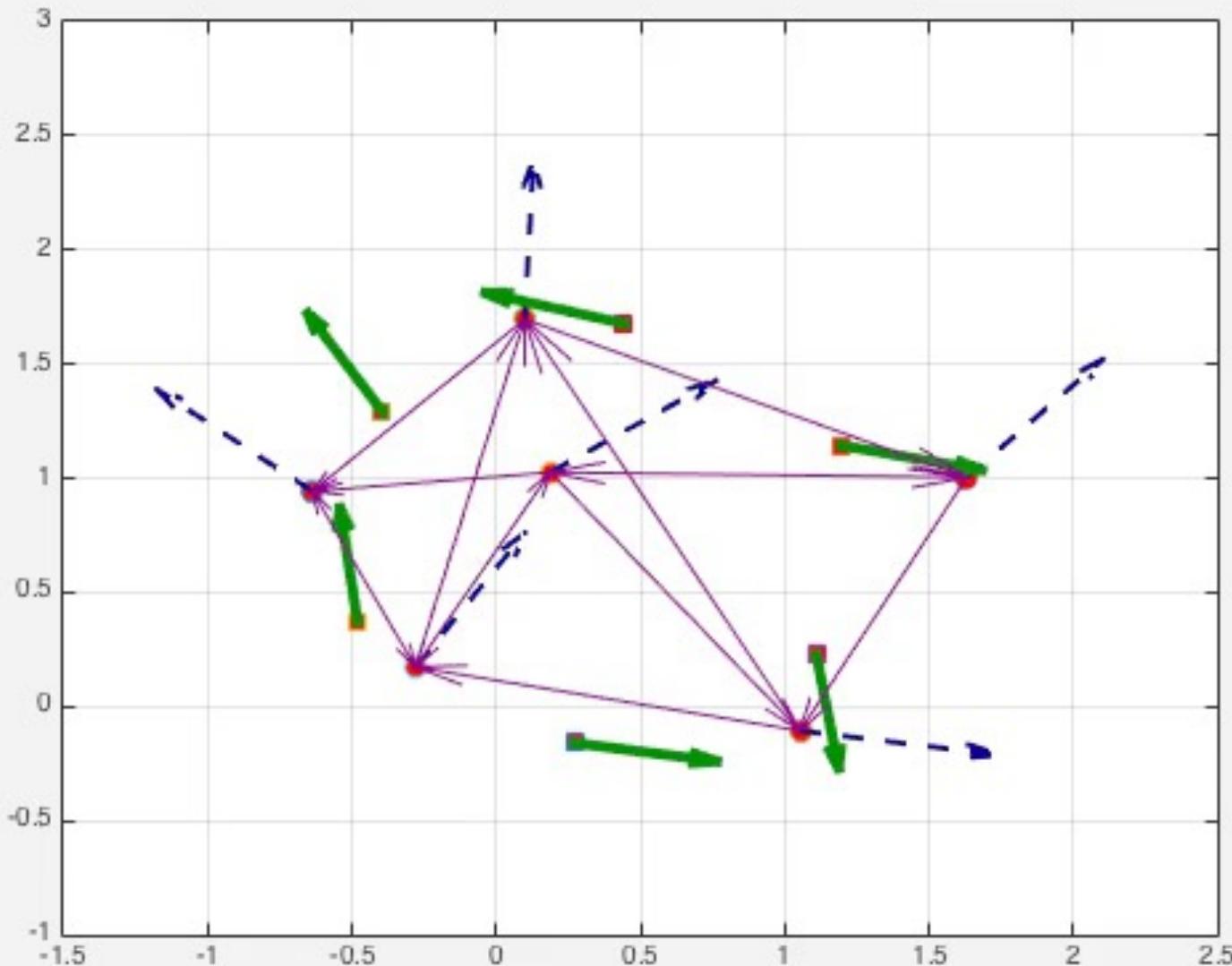
- Let us consider the case of bearing formation control



- $N = 6$  agents and  $|\mathcal{E}| = 14$  edges (bearing measurements/constraints)
- The framework is **minimally infinitesimally rigid**
- The “usual” **gradient controller** steers the formation to a configuration congruent with the desired one

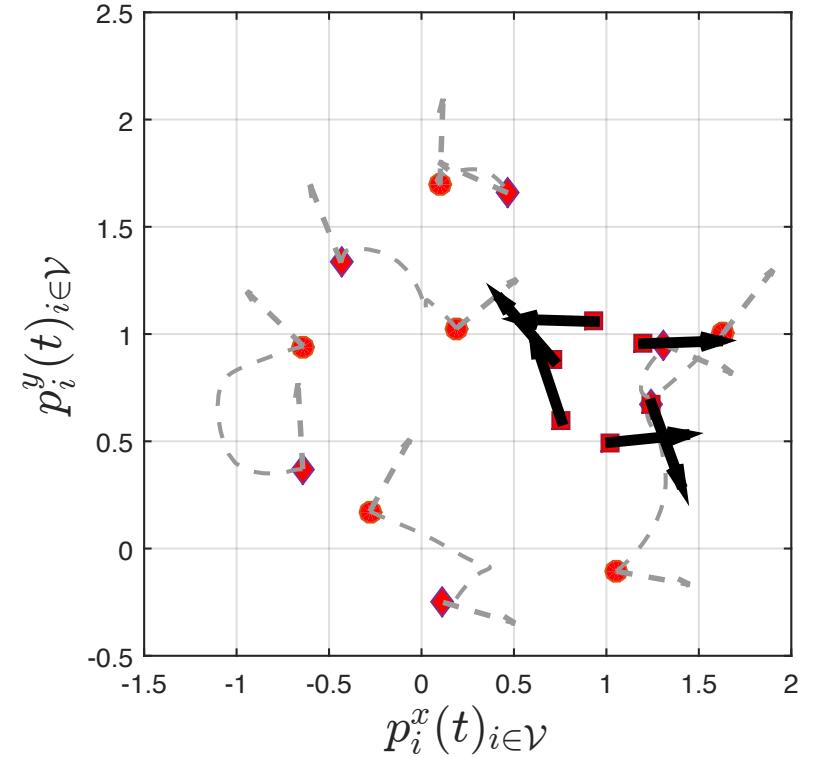
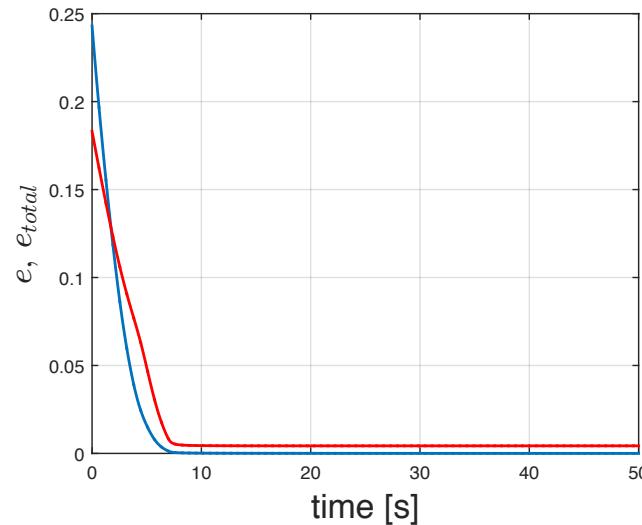
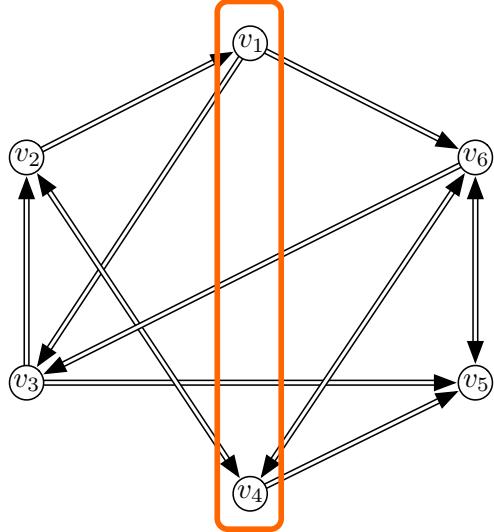
- Features of the controller: the **centroid**  $\bar{p} = \frac{1}{N} \sum_{i=1}^N p_i$  and “**scale**”  $\bar{s}_p = \frac{1}{N} \sqrt{\sum_{i=1}^N \|p_i - \bar{p}\|^2}$  are invariant

# Bearing-based localization and control



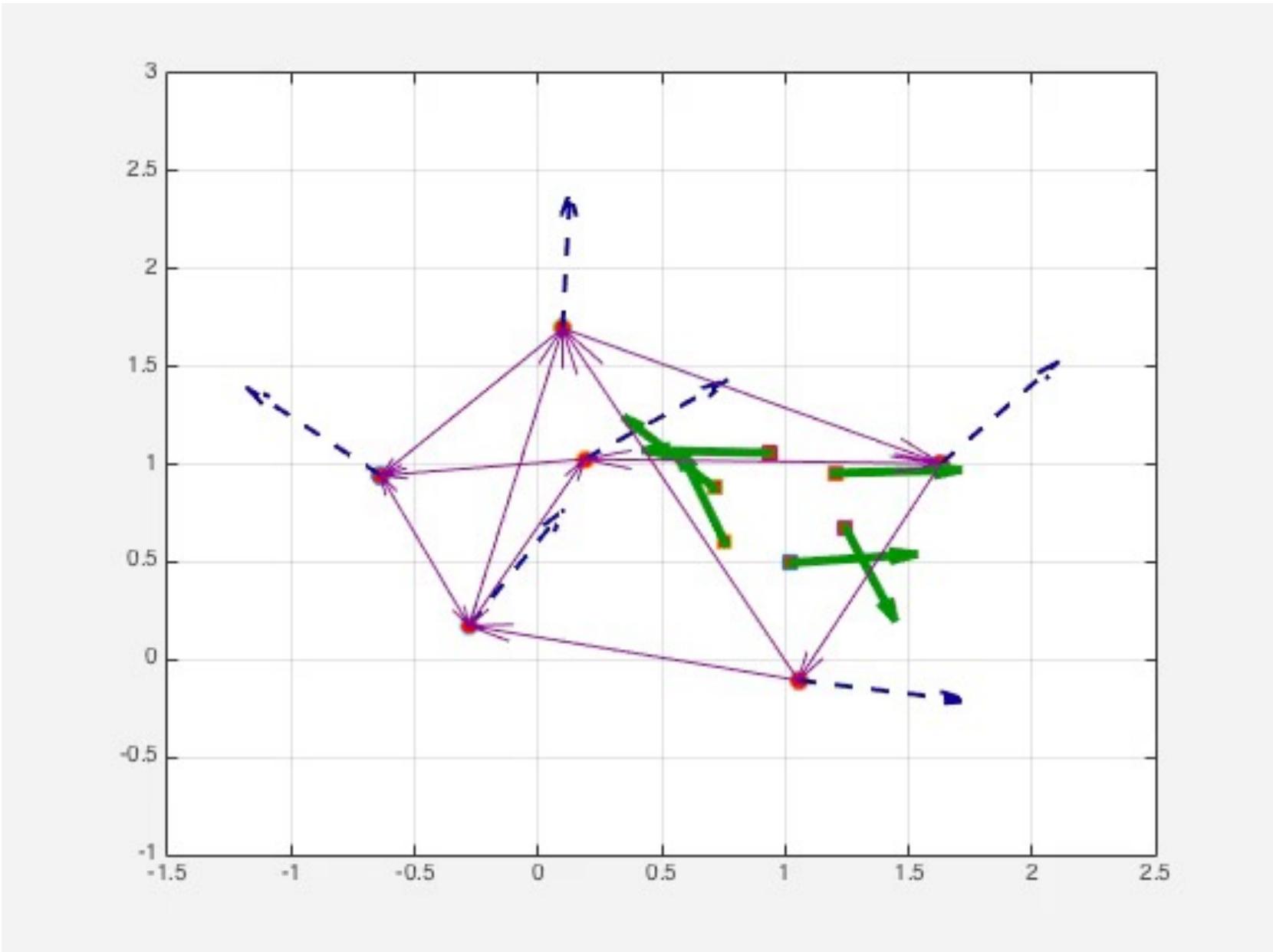
# Bearing-based localization and control

- By removing one edge (edge (4, 1)) bearing rigidity is lost



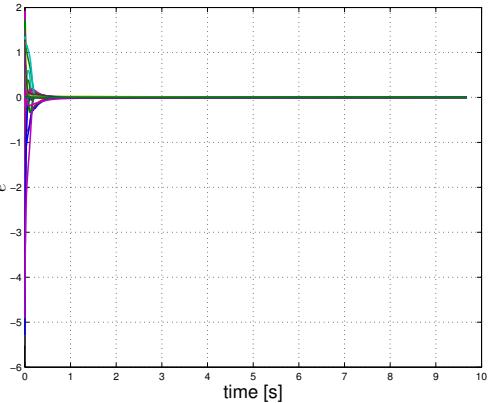
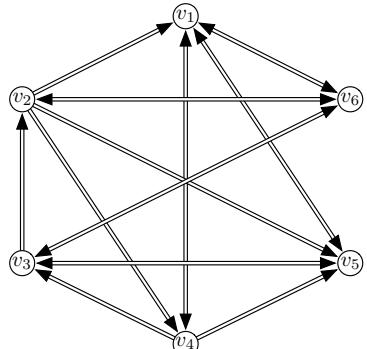
- The agents converge to a formation **equivalent but not congruent** with the desired one

# Bearing-based localization and control

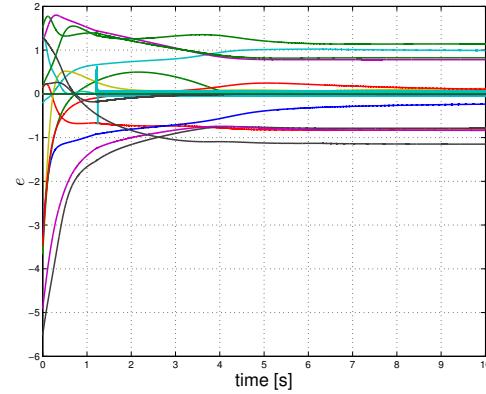
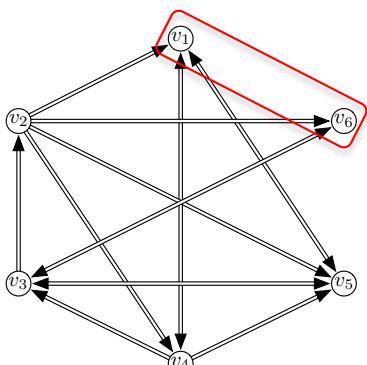
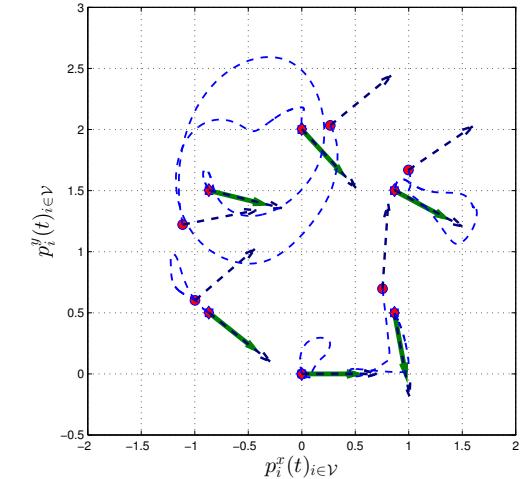
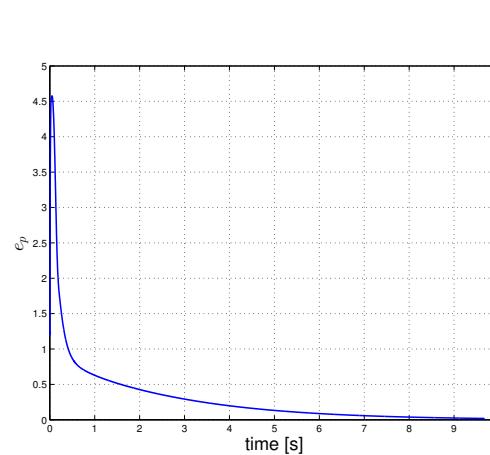


# An example of bearing-based localization

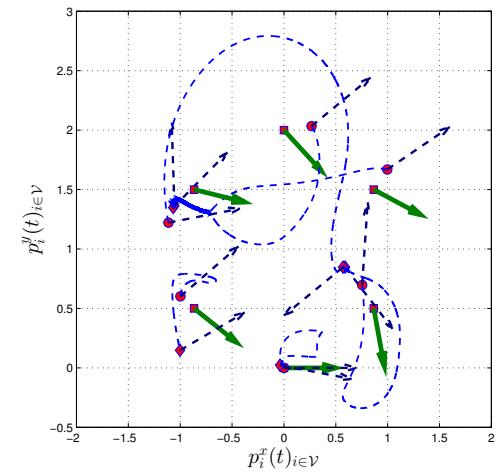
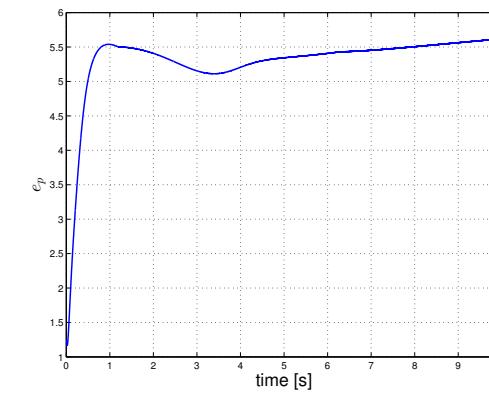
- Similar results for the **localization** case



Rigid framework



Non-rigid framework



# An example of bearing-based localization

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