

June 24, 2010

Rick L. Gardner Plant Manager

WO 10-0041

U. S. Nuclear Regulatory Commission ATTN: Document Control Desk Washington, D. C. 20555

Reference:

- 1) Letter WM 09-0065 dated December 9, 2009, from R. A. Muench, WCNOC, to USNRC
- 2) Letter WO 10-0002 dated January 6, 2010, from M. W. Sunseri, WCNOC, to USNRC
- 3) NRC letter dated March 4, 2010, from D. D. Chamberlain, USNRC, to M. W. Sunseri, WCNOC

Subject:

Docket No. 50-482: Licensee Event Report 2009-006-01, Inadequate Common Cause Failure Determination Results in Condition Prohibited by Technical Specifications

# Gentlemen,

Reference 2 submitted Licensee Event Report (LER) 2009-006-00, "Inadequate Common Cause Failure Determination Results in Condition Prohibited by Technical Specifications" in accordance with 10 CFR 50.73, "Licensee event report system," paragraph (a)(2)(i)(B) as a condition prohibited by the plant's Technical Specifications (TS). The LER involved the failure to perform an adequate common cause failure determination in accordance with TS 3.8.1, "AC – Source Operating."

On November 10, 2009, NRC Inspection Report 2009004 identified a Green noncited violation of TS 3.8.1 for failure to perform an adequate common cause evaluation within 24 hours to demonstrate no common cause failure mechanism existed between the OPERABLE and inoperable diesel generators. Reference 1 provided WCNOC's denial of the noncited violation. Reference 2 indicated that WCNOC would supplement LER 2009-006-00 based on the NRC's resolution of WCNOC's denial.

IE22

Reference 3 provided the NRC resolution and concluded:

The staff concluded the lack of reported leakage was not a valid basis to conclude similar corrosion did not exist in Train A. Consequently, the staff concluded the operators inappropriately exited Required Action B.3.1 without a valid determination that the operable diesel generator was not affected by a common cause failure mechanism. As a result, when the Train B emergency diesel generator was restored to service, operators did not initiate an action in the plant corrective action program to evaluate the common cause potential as stated in the Technical Specification Bases for Required Action B.3.1.

There are no regulatory commitments in this submittal. If you have any questions concerning this matter, please contact me at (620) 364-4156, or Mr. Richard D. Flannigan, Manager Regulatory Affairs at (620) 364-4117.

Sincerely,

Rick L. Gardner

RLG/rlt

**Enclosure** 

cc: E. E. Collins (NRC), w/e

G. B. Miller (NRC), w/e

B. K. Singal (NRC), w/e

Senior Resident Inspector (NRC), w/e

NRC FORM 366		U.S. NUCLEAR REGULATORY COMMISSION												08/31/2010				
(9-2007)  LICENSEE EVENT REPORT (LER)  (See reverse for required number of digits/characters for each block)										Estimated burden per response to comply with this mandatory collection request: 80 hours. Reported lessons learned are incorporated into the licensing process and fed back to industry. Send comments regarding burden estimate to the Records and FOIA/Privacy Service Branch (T-5 F52), U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001, or by internet e-mail to infocollects@nrc.gov, and to the Desk Officer, Office of Information and Regulatory Affairs, NEOB-10202, (3150-0104), Office of Management and Budget, Washington, DC 20503. If a means used to impose an information collection does not display a currently valid OMB control number, the NRC may not conduct or sponsor, and a person is not required to respond to, the information collection.								
1. FACILITY NAME WOLF CREEK GENERATING STATION								2. D		T NUMBI 000 482		1 OF 5	ı					
4. TITLE		te Com	mon C	ause	Failu	re D	etermir	nation R	lesult	s in	Con	dition F	rohibited	by Techr	nical			
5. E	VENT D	ATE	6. 1	LER NU	MBER	1	7. R	EPORT D	ATE	8. OTHER FACILITIES INVOLVED								
монтні	DAY	YEAR	YEAR	SEQUEI NUME		REV NO.	MONTH	DAY	YEAI		ACILITY	NAME			0500	NUMBER O		
06	30	2009	2009	- 00	6 -	01	06	24	201	0 F	ACILITY	NAME			0500	NUMBER .		
9. OPER	ATING	MODE	11	. THIS R	EPOF	RT IS S	SUBMITTI	ED PURS	UANT 1	TO TH	IE RE	QUIREM	NTS OF 10	CFR§: (Che	ck all that	apply)		
1			☐ 20.2201(b) ☐ 20.2201(d) ☐ 20.2203(a)(1) ☐ 20.2203(a)(2)(i)				☐ 20.2203(a)(3)(i) ☐ 20.2203(a)(3)(ii) ☐ 20.2203(a)(4) ☐ 50.36(c)(1)(i)(A)					50.73(a) 50.73(a) 50.73(a) 50.73(a)	(2)(ii)(A) (2)(ii)(B)	☐ 50. ☐ 50.	73(a)(2)(vi 73(a)(2)(vi 73(a)(2)(vi 73(a)(2)(ix	i)(A) ii)(B)		
10. POWER LEVEL			☐ 20.2203(a)(2)(ii) ☐ 20.2203(a)(2)(iii) ☐ 20.2203(a)(2)(iv) ☐ 20.2203(a)(2)(v) ☐ 20.2203(a)(2)(vi)				☐ 50.36(c)(1)(ii)(A) ☐ 50.36(c)(2) ☐ 50.46(a)(3)(ii) ☐ 50.73(a)(2)(i)(A) ☑ 50.73(a)(2)(i)(B)				☐ 50.73(a)(2)(v)(A) ☐ 50.73(a)(2)(v)(B)			☐ 73. ☐ 73. ☐ OT Spe	☐ 50.73(a)(2)(x) ☐ 73.71(a)(4) ☐ 73.71(a)(5) ☐ OTHER  Specify in Abstract below or in NRC Form 366A			
						1	2. LICENS	SEE CON	TACT F	OR T	HIS L	ER						
	FACILITY NAME Richard D. Flannigan, Manager Regulatory Affairs											ерноме мимв 20) 364-4	•	rea Code)				
13. COMPLETE ONE LINE FOR EACH COMPONENT FAILURE DESCRIBED IN THIS REPORT																		
CAUSE		SYSTEM COMPO		MPONENT MANU- FACTURER		REPORTABLE TO EPIX		C	CAUSE		SYSTEM	COMPONEN	.MANU- FACTURE		ORTABLE O EPIX			
	14. SUPPLEMENTAL REPORT EXPECTED										KPECTED	MONTH	DAY	YEAR				
☐ YES (If yes, complete 15. EXPECTED SUBMISSION DATE)						D	ои [⊵			MISSION DATE								

ABSTRACT (Limit to 1400 spaces, i.e., approximately 15 single-spaced typewritten lines)

At 1115 CDT on June 30, 2009, a through wall leak on Essential Service Water (ESW) System piping just upstream of valve EF HV-038 was identified by shift crew personnel during building watch rounds. The "B" ESW train was declared inoperable per Technical Requirement (TR) 3.4.17, "Structural Integrity," and Condition A of LCO 3.7.8, "Essential Service Water (ESW) System," was entered. This resulted in the "B" diesel generator (DG) being declared inoperable and entering Condition B of LCO 3.8.1.

Required Action B.3.1 for TS 3.8.1 is to determine if the operable DG is inoperable due to a common cause failure. Control room personnel utilized procedure SYS KJ-200, "Inoperable Emergency Diesel," when the DG was declared inoperable and determined that a common cause failure did not exist. At 1202 CDT Required Action B.3.1 was exited.

At 2140 CDT, a structural integrity evaluation utilizing Code Case N-513-2 demonstrated that adequate structural integrity of the "B" ESW train existed. The "B" ESW train and "B" DG were declared operable.

Subsequent to the restoration of the "B" ESW train and "B" DG on June 30, 2009, the NRC Resident Inspector challenged the adequacy of the common cause failure determination. On November 10, 2009, NRC Inspection Report 2009004 identified a Green noncited violation of Technical Specification 3.8.1 for failure to perform an adequate common cause evaluation within 24 hours to demonstrate no common cause failure mechanism existed between the operable and inoperable emergency diesel generators. This event is being reported pursuant to 10 CFR 50.73(a)(2)(i)(B) as a condition prohibited by the plant's TSs.

(9-2007)

LICENSEE EVENT REPORT (LER)

1. FACILITY NAME	2. DOCKET	. LER NUMBER		3. PAGE			
WOLF CREEK GENERATING STATION	05000 482	YEAR	SEQUENTIAL NUMBER	REV NO.	2	OF	5
Well excellent the entire	00000 102	2009	006	01	-		

#### **NARRATIVE**

PLANT CONDITIONS PRIOR TO EVENT:

MODE - 1 Power - 100

### **EVENT DESCRIPTION:**

At 1115 CDT on June 30, 2009, a through wall leak on Essential Service Water (ESW) System [EIIS Code: BI] piping just upstream of valve EF HV-038 was identified by shift crew personnel during building watch rounds. The "B" ESW train was declared inoperable based on Technical Requirement TR 3.4.17, "Structural Integrity," and Condition A of LCO 3.7.8, "Essential Service Water (ESW) System," was entered. Required Action A.1 of LCO 3.7.8 has a Note to enter the applicable Conditions and Required Actions of LCO 3.8.1, "AC Sources – Operating," for a diesel generator (DG) made inoperable by the ESW System. This resulted in the "B" DG [EIIS Code: EK] being declared inoperable and entering Condition B of LCO 3.8.1.

Required Action B.3.1 for TS 3.8.1 is to determine if the operable DG is inoperable due to a common cause failure. Control room personnel utilized procedure SYS KJ-200, "Inoperable Emergency Diesel," when the DG was declared inoperable and determined that a common cause failure did not exist. Step 6.1.5 of SYS KJ-200 specifies to document the evaluation of common cause on the procedure cover sheet. The documented evaluation indicated that "B" DG inoperability was not common cause due to the "B" train ESW being inoperable. At 1202 CDT Required Action B.3.1 was exited. Subsequent discussions with control room staff indicated that a dedicated walkdown after identification of the leak on the "B" train was not performed. This decision was due to the affected location on the "A" train being in the same room and a leak on the "A" train would have been easily observed by the building watch rounds (the leak on "B" ESW train was discovered during building watch rounds).

At 2140 CDT, a structural integrity evaluation utilizing Code Case N-513-2 demonstrated that adequate structural integrity of the "B" ESW train existed. The "B" ESW train and "B" DG were declared operable.

Condition Report (CR) 00018217 was initiated on June 30, 2009 for the identified leak on EF138HBC-30. The structural integrity evaluation was documented in Work Order (WO) 09-318203-002. Five augmented examinations at locations similar in configuration to the identified leak were required based on Code Case N-513-2. The code case requires the augmented examinations to be performed within 30 days. The below table provides information on the five augmented examinations.

Work Order	Description	Completed	Train	Results
09-318269	EF081HBC-30 D/S EFHV037	7/8/09	Α	acceptable
09-318272	EF081HBC-30 U/S EFHV037	7/8/09	Α	acceptable
09-318268	EF223HBC-30 D/S EFV108	7/8/09	Α	acceptable
09-318271	EF138HBC-30 D/S EFHV038	7/21/09	В	acceptable
09-318270	EF139HBC-30 D/S EFHV040	7/21/09	В	acceptable

#### NRC FORM 366A U.S. NUCLEAR REGULATORY COMMISSION LICENSEE EVENT REPORT (LER) 2. DOCKET 1. FACILITY NAME 6. LER NUMBER 3. PAGE REV SEQUENTIAL NUMBER YEAR NO. 3 WOLF CREEK GENERATING STATION 05000 482 OF 5 2009 006 --01

### **BASIS FOR REPORTABILITY:**

Subsequent to the restoration of the "B" ESW train and "B" DG on June 30, 2009, the NRC Resident Inspector challenged the adequacy of the common cause failure determination. On November 10, 2009, NRC Inspection Report 2009004 identified a Green noncited violation of Technical Specification 3.8.1 for failure to perform an adequate common cause evaluation within 24 hours to demonstrate no common cause failure mechanism existed between the operable and inoperable emergency diesel generators. This event is being reported pursuant to 10 CFR 50.73(a)(2)(i)(B) as a condition prohibited by the plant's TSs.

WCNOC's review of this event determined that the event did not meet the criteria for reporting under 10 CFR 50.73(a)(2)(v) as an event or condition that could have prevented the fulfillment of a safety function. The "A" DG was operable during the time frame that the "B" DG was inoperable and the "B" DG was restored to operable status prior to the expiration of the Required Action B.3.1 Completion Time. There were no indications that the "A" DG was inoperable and a common cause determination would not have been required by TSs. Therefore, onsite emergency power was available to the plant and would not be reportable under 10 CFR 50.73(a)(2)(v).

### CAUSE:

Specific information that was incorporated into the current technical specifications (CTS) (pre-improved TSs (ITS) via Amendment No. 123) and CTS Bases as a result of Amendment No. 101 was not incorporated into the expanded ITS and ITS Bases developed during the conversion to the ITS so as to more closely adhere to standardization. As a result, no changes were made to procedure SYS KJ-200, "Inoperable Emergency Diesel," as the basis for considering there is no common cause failure due to an inoperable support system was maintained. The bases for this cause is discussed below.

On September 15, 1995, WCNOC submitted a license amendment request proposing to revise TS 3/4.8.1, "Electrical Power Systems – A.C. Sources," in part, based on the guidance in Generic Letter 93-05, "Line-Item Technical Specifications Improvements to Reduce Surveillance Requirements for Testing During Power Operation (Generic Letter 93-05)," and Generic Letter 94-01, "Removal of Accelerated Testing and Special Reporting Requirements for Emergency Diesel Generators (Generic Letter 94-01)."

Specifically, Action b. of TS 3.8.1.1 was proposed to be revised as follows:

b. With one diesel generator of the above required A.C. electrical power sources inoperable, demonstrate the OPERABILITY of the offsite A.C. sources by performing Specification 4.8.1.1.1 within 1 hour and at least once per 8 hours thereafter. Demonstrate the OPERABILITY of the remaining OPERABLE diesel generator by performing Specification 4.8.1.1.2a.4 within 24 hours\*\*, unless the absence of any potential common mode failure for the remaining diesel generator is demonstrated, or if the diesel generator became inoperable due to any cause other than an inoperable support system, an independently testable component, preplanned preventative maintenance or testing, or maintenance to correct a condition which, if left uncorrected, would not affect the OPERABILITY of the diesel generator; restore the inoperable diesel generator to OPERABLE status within 72 hours or be in at least HOT STANDBY within the next 6 hours and in COLD SHUTDOWN within the following 30 hours.

<sup>\*\*</sup>This test is required to be completed regardless of when the inoperable diesel generator is restored to OPERABLE status unless the diesel was declared inoperable to do preplanned preventative maintenance, testing, or maintenance to correct a condition which, if left uncorrected, would not affect the operability of the diesel generator.

LICENSEE EVENT REPORT (LER)

1. FACILITY NAME	2. DOCKET	(	3. PAGE				
WOLF CREEK GENERATING STATION	05000 482	YEAR	SEQUENTIAL NUMBER	REV NO.	4	OF	5
WOLF GREEK GENERATING STATION	100000 102	2009	006	01		<u> </u>	Ü

The amendment request included proposed changes to the CTS Bases to reflect the changes to the CTSs. The changes were proposed based on the guidance in Generic Letter 93-05. Generic Letter 93-05 provided guidance for TS improvements to reduce surveillance requirements for testing based on the results reported in NUREG-1366, "Improvements to Technical Specifications Surveillance Requirements." Specifically item 10.1 in Enclosure 1 of the generic letter recommended changes to the emergency diesel generator surveillance requirements. Recommendation (1) stated: "When a EDG itself is inoperable (not including a support system or independently testable component), the other EDG(s) should be tested only once (not every 8 hours) and within 8 hours unless the absence of any potential common mode failure can be demonstrated."

This specific change was approved in Amendment No. 101 on August 9, 1996. The Safety Evaluation associated with Amendment No. 101, stated, in part:

The proposed changes are consistent with the recommendations contained in GL 93-05. Also, these changes are in conformance with Action B of TS 3.8.1 of the STS. The GL suggests that when an EDG is inoperable (not including a support system or independently testable component), the other EDG should be tested only once, unless the absence of any potential common mode failure can be demonstrated. Information provided in the STS indicates that 24 hours is a reasonable time frame to confirm that the operable EDG is not affected by the same problem as the inoperable EDG. The licensee reports that 24 hours is compatible with plant operating experience. Thus, the proposed changes are acceptable.

Note that Section 1.0 of the Safety Evaluation for Amendment No. 101 indicates that the proposed changes would incorporate recommendations and suggestions from GL 93-05; the improved Standard Technical Specifications, NUREG-1431, "Standard Technical Specification – Westinghouse Plants" (STS); and other NRC guidance documents. The wording in the Safety Evaluation indicates that the wording of the STS (NUREG-1431) are such that the common cause failure determination or performing SR 3.8.1.2 for the operable DG are not necessary if the inoperable DG were inoperable due to an inoperable support system, an independently testable component, preplanned preventative maintenance or testing, or maintenance to correct a condition which, if left uncorrected, would not affect the operability of the DG.

WCNOC letter ET 97-0050, dated May 15, 1997, provided the WCGS Technical Specification Conversion Application. The conversion application included a markup of current TS 3.8.1.1 Action b. and removed the associated wording associated with the DG being inoperable due to any cause other than an inoperable support system. The associated discussion of change (DOC 1-05-LS-6) indicates that the change was considered a less restrictive change and the DOC further indicates that the change was based on the guidance in Generic Letter 84-15 and Generic Letter 93-05. While the expanded wording that was in the CTSs was not incorporated into the ITS or ITS Bases, the justification indicates that the intent of the ITS wording is based on the guidance in Generic Letter 84-15 and Generic Letter 93-05 (an inoperable support system that results in the inoperability of the DG is not considered a common cause failure or would not require the performance of SR 3.8.1.2).

### **ACTIONS TAKEN:**

As a result of an additional leak on "B" ESW train on July 27, 2009 and additional discussions with NRC staff on July 28, 2009, direction was provided to control room personnel that when a DG is declared inoperable, for the purposes of meeting LCO 3.8.1, Required Actions B.3.1 or B.3.2, perform SR 3.8.1.2 for the operable DG unless the DG were declared inoperable for preplanned maintenance or testing. Procedure SYS KJ-200 was revised to reflect the guidance provided to control room personnel.

WCNOC submitted a license amendment request (letter WO 09-0039, dated November 20, 2009) that proposed to revise Technical Specification (TS) 3.8.1, "AC Sources – Operating," consistent with the changes previously approved in Amendment No. 101 and with the guidance provided in Generic Letter 93-05, "Line-Item Technical Specifications Improvements to Reduce Surveillance Requirements for Testing During Power Operation (Generic Letter 93-05)."

NRC FORM 366A U.S. NUCLEAR REGULATORY COMMISSION LICENSEE EVENT REPORT (LER) 2. DOCKET 1. FACILITY NAME 6. LER NUMBER 3. PAGE REV SEQUENTIAL NUMBER YEAR NO WOLF CREEK GENERATING STATION 05000 482 5 OF 5 2009 006 --01

# SAFETY SIGNIFICANCE:

With one DG inoperable (due to one ESW train inoperable), the remaining operable DG and offsite circuits are adequate to supply electrical power to the onsite class 1E AC power distribution system. The 72 hour Completion Time takes into account the capacity and capability of the remaining ac sources, a reasonable time for repairs, and the low probability of a Design Basis Accident (DBA) occurring during this period. A structural integrity evaluation utilizing Code Case N-513-2 demonstrated that adequate structural integrity of the "B" ESW train existed.

The event did not result in any challenges to the fission product barriers or result in the release of radioactive materials. Therefore, there were no adverse safety consequences or implications as a result of this event and the event did not adversely affect the safe operation of the plant or health and safety of the public.

## **OPERATING EXPERIENCE/PREVIOUS EVENTS:**

NRC Inspection Report 2007005 identified a green noncited violation of TS 3.8.1 for failure to perform an adequate common cause failure evaluation within 24 hours when the "A" DG tripped on reverse power on November 1, 2007, and resulted in replacement of the digital reference unit. This noncited violation identified that an evaluation of the "A" DG did not use correct information to explain the observed failure mechanism, and both DGs were susceptible to a 10 CFR Part 21 notification that WCNOC did not evaluate until November 6, 2007. This event was not the result of an inoperable support system.