

Generative Adversarial Networks

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1 Introduction

This report details the experimental study conducted for the CS6735 programming project. Six machine learning algorithms were implemented, including both regular and ensemble learning algorithms. Each was evaluated on five datasets from the UCI Machine Learning data repository using 10 times 5-fold cross validation. The technical details of the implementation will be described in this report and the results will be presented and analyzed.

2 Objectives

1. Implement the following machine learning algorithms:
 - (a) ID3
 - (b) AdaBoost on ID3
 - (c) Random Forest
 - (d) Naïve Bayes
 - (e) AdaBoost on Naïve Bayes
 - (f) K-Nearest Neighbors
2. Evaluate the algorithms on the following datasets:
 - (a) Brest Cancer Data
 - (b) Car Data
 - (c) E Coli. Data
 - (d) Mushroom Data

3. Create a report of the experimental study including:
 - (a) Description of the learning algorithms you implement.
 - (b) Description of the datasets you use (number of examples, number of attribute, number of classes, type of attributes, etc.).
 - (c) Technical details of your implementation: pre-processing of data sets (discretization, etc.), parameter setting, etc.
 - (d) Design of your programming implementation (data structures, overall program structure).
 - (e) Report and analysis of your experimental results using 10 times 5-fold cross-validation (include mean, standard deviation of accuracies).
 - (f) Comparison and discussion of the algorithms with respect to the experimental results.

3 Algorithms

For this study, we used six different algorithms; however, only the five unique algorithms were implemented. Due to the design of the program, both the ID3 decision tree and Naïve Bayes algorithms could be given to the AdaBoost ensemble algorithm as a weak learner.

3.1 ID3

The Iterative Dichotomiser 3 (ID3) algorithm is used to efficiently create decision trees [3]. Initially, the algorithm as described by Thomas Mitchell [2] was implemented. ID3 begins by creating a root node with a set of categorical, labeled data S . The node iteratively finds the attribute a which introduces the largest information gain and the node splits on that attribute. Subsets S_1, \dots, S_n are used to create n children nodes where n is the amount of distinct values for attribute a . This continues recursively until one of several terminating conditions are met. For example, the process ends if there are no more possible subsets, the split is not statistically significant or the entropy of a node is 0. Furthermore, two early stopping conditions were implemented including a max tree level and a minimum number of samples per node.

After this initial ID3 algorithm was implemented, further enhancements were made to allow for continuous data to be used. The moderations made were based on the C4.5 algorithm developed by Ross Quinlan [1]. Given a continuous attribute a , we temporarily sort the data S . After the data is sorted, we iteratively determine the threshold to split the data

that maximizes the information gain. To test potential thresholds, we find two sequential, distinct numbers and split at the midway point of the two values. This enhancement removes the need for discretization of the data. Furthermore, this enhancement chooses the optimal threshold to split the data which improves classification accuracy.

3.2 Naïve Bayes

The Naïve Bayes algorithm is implemented as described by Mitchell in [2]. This algorithm is named due its assumption of conditional independence amongst each attribute:

$$P(a_1, \dots, a_n | v_j) = \prod_{i=1}^n P(a_i | v_j)$$

and its application of Bayes thereon:

$$P(A|B) = \frac{P(B|A)P(A)}{P(B)}$$

Naïve Bayes is a fast learning algorithm and receives relatively good results despite its preference for bias. The algorithm naturally inherently works with both categorical and continuous data. For this implementation, a Gaussian distribution was assumed for all continuous attributes. The final algorithm implemented was:

$$\hat{y} = \operatorname{argmax}_{k \in \{1, \dots, K\}} P(v_k) \prod_{i=1}^m p(a_i | v_k) \prod_{j=m}^n P(a_j | v_k)$$

where P is the probability, p is the Gaussian probability density function and K is the number of distinct classes. In this equation, the first m attributes are continuous whereas the later are categorical. During training, the dataset S is split by class into subsets S_1, \dots, S_n where n is the number of distinct classes. For each subset, we estimate the class probability $P(v_k)$. Subsequently, for each attribute value a_i , we estimate $P(a_i | v_k)$. We are not able to immediately calculate $p(a_i | v_k)$ if attribute a is continuous; however, we determine the mean and standard deviation.

3.3 AdaBoost

AdaBoost is a boosting algorithm that was first introduced in 1995 by Freund Schapire. This type of ensemble learning uses a set of weak learners to reduce bias and become a

strong learner. It solves many of the difficulties associated with previous boosting algorithms [4].

The key of the AdaBoost algorithm is to maintain a weight value w_i for every sample within the dataset S . This weight increases when its sample is misclassified and decreases when its sample is correctly classified. The AdaBoost algorithm is easily implemented on weak learners who are parameterized by sample weights; however, neither Naïve Bayes or ID3 natively implement weighted training. Therefore, an alternative implementation was used where a subset of the training data is selected with replacement based on the weights. For example, if the weight values for two samples are 1, 2, the second sample would be twice as likely to be picked each time.

Initially, the weights are set to $\frac{1}{|S|}$. For a predefined number of iterations, a weak learner is trained on the a subset of the training data. Predictions are made for each training sample and the error is calculated. Using the accuracy, a value α is calculated which represents the accuracy of the weak learner. This α value is used to update the weights and the weak learner, α pair is added to the list of previous weak learners. To make classification, there is a weighted vote between using the weak learners and their associated α values and the sign is used to make the final classification.

One limitation of the AdaBoost algorithm is its assumption of a binary classification. The class values are assumed be to either +1 or -1. One solution includes training n AdaBoost models where n is the number of distinct classes. For each different model, we reduce one class to +1 and the others to -1. To make classifications, we query each model and choose the class associated with the post positive prediction. This enhancement was initially implemented; however, it proved incredibly difficult to train these models with large datasets due to time and memory constraints.

As an alternative, the SAMME AdaBoost algorithm [5] was implemented. This algorithm which naturally manages multiclass classification problems. It sees the addition of $\log(|v| - 1)$, where $|v|$ is the number of classes, to the α value and slightly. This is a very slight modification to the initial algorithm; however, it proves very useful for multiclass problems. Typically, the error must be less than 1/2 in order for α to be positive. With the modification to the equation, α is positive when $1 - error > 1/n$ [5].

3.3.1 AdaBoost on Naïve Bayes

Naïve Bayes is an inherently weak learner due to its assumption of conditional independence amongst attributes. This fact introduces a large amount of preference bias which makes Naïve Bayes an ideal candidate for the AdaBoost algorithm.

3.3.2 AdaBoost on ID3

The ID3 algorithm does not introduce a large amount of preference bias and may overfit if allowed to train to completion. Therefore, to implement AdaBoost on ID3, the depth of the trees was limited.

3.4 Random Forest

The random forest algorithm is a bagging algorithm which uses an ensemble of strong learners to reduce the effects of overfitting to the training set. This method contains similarities to the AdaBoost algorithm as described in section 3.3; however, no weights are used for random forests. For a predefined number of iterations, a subset of the of the training data is selected with replacement. The new training set subsequently used to train a decision tree and the trained model is added to the *forest*. To make a classification, each tree in the forest votes and the most common class becomes the predicted class. As an extension of the random forest algorithm, weights may be given to each decision tree based on their classification accuracy; however, this extension was not added.

3.5 K-Nearest Neighbors

The k-nearest neighbors algorithm is an instance based learning algorithm which makes predictions by finding the k nearest neighbors of a sample x and using the most common class as the predicted class for that sample. This algorithm can be used with both categorical and continuous data. For this implementation, Euclidian distance was used for continuous variables and Hamming distance was used for categorical data. A weighted classifier may be used; however, this extension was not added as the k value was usually set to 1.

4 Data

Each algorithm was implemented on the following five datasets from the UCI Machine Learning Repository.

4.1 Breast Cancer Data

Title: Wisconsin Diagnostic Breast Cancer

Date: November 1995

Number of Samples: 569

Number of Attributes: 10

Attribute Information:

Description	Values	Type
Sample Code Number	id number	Discrete
Clump Thickness	1 - 10	Discrete
Uniformity of Cell Size	1 - 10	Discrete
Uniformity of Cell Shape	1 - 10	Discrete
Marginal Adhesion	1 - 10	Discrete
Single Epithelial Cell Size	1 - 10	Discrete
Bare Nuclei	1 - 10	Discrete
Bland Chromatin	1 - 10	Discrete
Normal Nucleoli	1 - 10	Discrete
Mitoses	1 - 10	Discrete

Class Target: Neoplasm

Class Information:

Description	Value	Count	Percentage
Benign	2	357	65.5%
Malignant	4	212	34.5%

Missing Attributes: 16

4.2 Car Data

Title: Car Evaluation Database

Date: June 1997

Number of Samples: 1728

Number of Attributes: 6

Attribute Information:

Description	Values	Type
buying	v-high, high, med, low	Discrete
maint	v-high, high, med, low	Discrete
doors	2, 3, 4, 5-more	Discrete
persons	2, 4, more	Discrete
lug.boot	small, med, big	Discrete
safety	low, med, high	Discrete

Class Target: Car Quality

Class Information:

Description	Value	Count	Percentage
Unacceptable	unacc	1210	70.023%
Acceptable	acc	384	22.222%
Good	good	69	3.993%
Very Good	v-good	65	3.762%

Missing Attributes: None

4.3 E. Coli Data

Title: Protein Localization Sites

Date: September 1997

Number of Samples: 336

Number of Attributes: 8

Attribute Information:

Description	Values	Type
Sequence Name	Accession Number	Discrete
mcg	0.00 - 0.89	Continuous
gvh	0.16 - 1.00	Continuous
lip	0.48 - 1.00	Discrete
chg	0.50 - 1.00	Discrete
aac	0.00 - 0.88	Continuous
alm1	0.03 - 1.00	Continuous
alm2	0.00 - 0.99	Continuous

Class Target: Localization Site

Class Information:

Description	Value	Count	Percentage
Cytoplasm	cp	143	42.56%
Inner Membrane	im	77	22.92%
Periplasm	pp	52	15.48%
Inner Membrane Uncleavable	imU	35	10.42%
Outer Membrane	om	20	5.95%
Outer Membrane	omL	5	1.49%
Inner Membrane Lipoprotein	imL	2	0.59%
Inner Membrane Cleavable	imS	2	0.59%

Missing Attributes: None

4.4 Letter Recognition Data

Title: Letter Image Recognition Data

Date: January 1991

Number of Samples: 20000

Number of Attributes: 16

Attribute Information:

Description	Values	Type
x-box	0 - 9	Continuous
y-box	0 - 9	Continuous
width	0 - 9	Continuous
high	0 - 9	Continuous
onpix	0 - 9	Continuous
x-bar	0 - 9	Continuous
y-bar	0 - 9	Continuous
x2bar	0 - 9	Continuous
y2bar	0 - 9	Continuous
xybar	0 - 9	Continuous
x2ybr	0 - 9	Continuous
xy2br	0 - 9	Continuous
x-ege	0 - 9	Continuous
xegvy	0 - 9	Continuous
y-ege	0 - 9	Continuous
yegvx	0 - 9	Continuous

Class Target: Letter

Class Information:

Description	Value	Count	Percentage
Letter A	A	789	0.04%
Letter B	B	766	0.04%
Letter C	C	736	0.04%
Letter D	D	805	0.04%
Letter E	E	768	0.04%
Letter F	F	775	0.04%
Letter G	G	773	0.04%
Letter H	H	734	0.04%
Letter I	I	755	0.04%
Letter J	J	747	0.04%
Letter K	K	739	0.04%
Letter L	L	761	0.04%
Letter M	M	792	0.04%
Letter N	N	783	0.04%
Letter O	O	753	0.04%
Letter P	P	803	0.04%
Letter Q	Q	783	0.04%
Letter R	R	758	0.04%
Letter S	S	748	0.04%
Letter T	T	796	0.04%
Letter U	U	813	0.04%
Letter V	V	764	0.04%
Letter W	W	752	0.04%
Letter X	X	787	0.04%
Letter Y	Y	786	0.04%
Letter Z	Z	734	0.04%

Missing Attributes: None

4.5 Mushroom Data

Title: Mushroom Database

Date: 27 April 1987

Number of Samples: 8124

Number of Attributes: 22

Attribute Information:

Description	Values	Type
cap-shape	b,c,x,f,k,s	Discrete
cap-surface	f,g,y,s	Discrete
cap-color	n,b,c,g,r,p,u,e,w,y	Discrete
bruises?	t,f	Discrete
odor	a,l,c,y,f,m,n,p,s	Discrete
gill-attachment	a,d,f,n	Discrete
gill-spacing	c,w,d	Discrete
gill-size	b,n	Discrete
gill-color	k,n,b,h,g,r,o,p,u,e,w,y	Discrete
stalk-shape	e,t	Discrete
stalk-root	b,c,u,e,z,r,?	Discrete
stalk-surface-above-ring	f,y,k,s	Discrete
stalk-surface-below-ring	f,y,k,s	Discrete
stalk-color-above-ring	n,b,c,g,o,p,e,w,y	Discrete
stalk-color-below-ring	n,b,c,g,o,p,e,w,y	Discrete
veil-type	p,u	Discrete
veil-color	n,o,w,y	Discrete
ring-number	n,o,t	Discrete
ring-type	c,e,f,l,n,p,s,z	Discrete
spore-print-color	k,n,b,h,r,o,u,w,y	Discrete
population	a,c,n,s,v,y	Discrete
habitat	g,l,m,p,u,w,d	Discrete

Class Target: Edibility

Class Information:

Description	Value	Count	Percentage
Edible	e	4208	51.80%
Poisonous	p	3916	48.20%

Missing Attributes: 2480 (all for attribute #11)

5 Technical Details

Missing attribute values within the breast cancer and mushroom datasets were simply treated as distinct categories. Attempts were initially made to replace the missing values; however, no improvements with accuracy were observed. Furthermore, no discretization occurred as all base algorithms are able to handle continuous data. For each dataset, any sort of identification number or code attributes were removed. Within the E. Coli dataset, the lip and chg categorical attributes were also removed as they provided minimal

information. Furthermore, any string values were converted to integers. For example, the “v-high”, “high”, “med” and “low” attribute values of the car dataset would have been converted to 0, 1, 2, 3 respectively.

The following subsections outline the hyperparameters used for each algorithm. Various testing logic was used to find the optimal hyperparameter set for each dataset algorithm pair.

5.1 ID3

Dataset	Minimum # of Samples
Breast Cancer	1
Car	1
E. Coli	2
Letter Recognition	1
Mushroom	1

5.2 Naïve Bayes

Dataset	Distribution
Breast Cancer	None
Car	None
E. Coli	Gaussian
Letter Recognition	Gaussian
Mushroom	None

5.3 AdaBoost on ID3

Dataset	Max Level	# of Learners	Proportion of Samples
Breast Cancer	1	100	0.50
Car	1	100	0.70
E. Coli	1	100	0.50
Letter Recognition	1	IDK	IDK
Mushroom	1	10	0.30

5.4 AdaBoost on Naïve Bayes

Dataset	Distribution	# of Learners	Proportion of Samples
Breast Cancer	None	100	0.70
Car	None	100	0.40
E. Coli	Gaussian	150	0.30
Letter Recognition	Gaussian	IDK	IDK
Mushroom	None	10	0.30

5.5 Random Forest

Dataset	# of Learners	Proportion of Samples
Breast Cancer	120	0.70
Car	200	0.60
E. Coli	120	0.70
Letter Recognition	50	0.30
Mushroom	10	0.30

5.6 K-Nearest Neighbors

Dataset	K	Distance
Breast Cancer	1	Hamming
Car	1	Hamming
E. Coli	1	Euclidian
Letter Recognition	1	Euclidian
Mushroom	1	Hamming

6 Project Design

A Java machine learning framework was first created to ease the implementation of the six learning algorithms. This framework uses JUnit for unit tests and slf4j to control logging. Both work independently of the machine learning algorithms and may be completely removed without affecting the functionality of the code. The project is divided up into various packages which will be described in the following subsections.

6.1 jml

This is the root package of the project and contains several of the main classes that are used throughout the various sub-packages.

Algorithm is the interface that all algorithms implement. These objects fit a Model to a DataSet.

Dataset is an object which represents a dataset. It abstracts away from the data and provides several useful behaviors.

Model is an abstract class that all models implement. Objects which extend this class must be able to make a prediction given a new data instance.

KFold implements the k-fold cross validation algorithm. The object is parameterized by the number of folds and generates a Report given an Algorithm and Dataset.

Report is an object which summarizes the results of a particular algorithm on a particular dataset. A report contains a list of accuracies and can calculate information such as the mean accuracy of standard deviation.

Util is a utility object that contains several useful static methods. For example, Util contains methods to read from CSV files, convert primitive arrays to Lists and calculating information entropy.

6.2 tree

This package contains the objects associated with decision trees.

ID3 is the implementation of the ID3 algorithm. It fits a DataSet to a tree of Nodes to create an ID3Model. The algorithm is parameterized by the max tree level and minimum number of samples per Node.

ID3Model is a trained ID3 model. It uses the trained decision tree nodes to make a classification given a new sample.

Node is a node within a decision tree. It contains the logic to choose the attribute which maximizes information gain.

Children is an abstract class which both ContinuousChildren and DiscreteChildren implement. This allows for the abstraction of most of the logic associated with splitting and classifying using continuous and discrete attributes.

ContinuousChildren The children of a Node that split on a continuous attribute.

DiscreteChildren The children of a Node that split on a discrete attribute.

6.3 ensemble

This package contains the objects associated with ensemble learning.

AdaBoost is the implementation of the SAMME AdaBoost algorithm. This algorithm is able to handle both binary and multiclass classification problems and is parameterized by the number of weak learners, proportion of samples and base learning algorithm.

AdaBoostModel is a trained AdaBoost model. It classifies a new instance using a weighted vote mechanism and returns the most likely class.

RandomForest is the implementation of the random forest algorithm. This algorithm is parameterized by the number of strong learners, proportion of samples and base learning algorithm.

RandomForestModel is a trained random forest model. It classifies a new instance using a voting mechanism and returns the most common classification.

6.4 exceptions

This package contains the common exceptions which occur the learning process.

AttributeException is the runtime exception thrown when an error arises due to the attribute types (continuous, discrete).

DataException is the runtime exception thrown when there is an error associated with the data.

FileException is the runtime exception thrown when there is an issue with the data file, particularly while reading CSV files.

PredictionException is the runtime exception thrown when an error occurs during classification.

6.5 math

This package contains objects associated with mathematics.

MathException is the runtime exception thrown when an error occurs during a mathematical operation.

Matrix is an object which represents a matrix. It contains useful operations for manipulating matrices such as retrieving, modifying and adding rows and columns.

Tuple is a tuple object which uses Generics to hold a pair of objects. This object is useful for moving associated objects throughout the code.

Util is a utility class that contains several useful mathematical operations such as logarithmic and exponential functions.

Vector is an object representation of a vector. Similar to the Matrix class, it contains several useful operation for manipulating its values. Furthermore, several vector arithmetic operations are implemented such as multiplication, addition and the dot product. The Vector class abstracts away from the underlying data representation. Although it uses a List of Doubles as the data structure, it may be treated as a vector of integers if desired.

6.5.1 distance

This package contains distance functions for the KNN classifier.

Distance is an interface which all distance functions must implement.

Euclidian is an object which represents the Euclidian distance function $\sqrt{\sum_{i=1}^n (q_i - p_i)^2}$. This object is used to calculate the Euclidian distance between two continuous Vectors while classifying an new instance in a KNNModel.

Hamming is an object which represents the Hamming distance function $\sum_{i=1}^n f_i(q, p)$ where $f_i(q, p) = 0$ if $q_i = p_i$ and 1 otherwise. This object is used to calculate the Hamming distance between two discrete Vectors while classifying an new instance in a KNNModel.

6.5.2 distribution

This package contains distribution implementations for the Naïve Bayes classifier.

Distribution is an interface which all distribution objects must implement.

Gaussian An object which reprints a Gaussian distribution. It is used by the Naïve Bayes classifier for continuous attributes.

6.6 bayes

This package contains all of the objects associated with Naïve Bayes.

Attribute is an interface which the Continuous and Discrete attribute types must implement.

BayesException is a runtime exception which is thrown when no Distribution is supplied and there are continuous attributes within the DataSet.

ClassSummary is the object created during the NaiveBayes learning process. It is parameterized by a class probability $P(v_k)$ and a List of Attributes so that it can calculate $P(v_k|x)$.

Continuous represents a continuous attribute. It is parameterized by a mean, standard deviation and Distribution. Given a new value, the probability $p(a_i|v_k)$ is calculated.

Discrete represents a discrete attribute. It is parametrized by a map of attribute values to conditional probabilities and the sum of the class length and probability of an unseen value. The parameters of the discrete attribute were carefully chosen for performance reasons. Given a new value, the conditional probability $P(a_j|v_k)$ is found from the map.

NaïveBayes is the implementation of the Naïve Bayes algorithm. It is optionally parameterized by a Distribution. The algorithm create a ClassSummary for each distinct class.

NaïveBayesModel is a trained Naïve Bayes model. It classifies new samples by calculating the conditional probability of each class $P(v_k|x)$ and returning the most likely classification.

6.7 neighbors

This package contains all of the objects associated with k-nearest neighbors.

KNN is the implementation of the k-nearest neighbors algorithm. The learning process simply consist of providing the parameters to the KNNModel.

KNNModel is a trained KNN classifier. It is parameterized by the number of neighbors to use for the classification and a DataSet. It classifies new samples by finding the k closest neighbors and returning the most common classification.

KNNException is the exception thrown when k exceeds the DataSet sample count.

7 Results

The ID3 algorithm generally outperformed the Naïve Bayes algorithm as seen in table 1. This is especially true when considering the letter recognition dataset. One possibility for this discrepancy is the type of distribution used for continuous attributes. No other

Algorithm	Breast Cancer	Car	Letter	E. Coli	Mushroom
ID3	97.994%	90.634%	87.930%	79.678%	99.998%
Naïve Bayes	97.663%	81.940%	64.570%	80.556%	95.952%
AdaBoost on ID3	98.715%	88.822%	96.125%	84.460%	99.446%
AdaBoost on Naïve Bayes	98.626%	91.367%	56.960%	78.803%	99.744%
Random Forest	97.592%	91.175%	91.949%	84.021%	99.865%
K-Nearest Neighbors	98.050%	89.763%	93.923%	87.779%	99.826%

Table 1: Accuracy of the six implemented algorithms on five UCI Machine Learning repositories. Results calculated using 10 times 5-fold cross validation.

Algorithm	Breast Cancer	Car	Letter	E. Coli	Mushroom
ID3	1.465%	4.061%	3.392%	8.952%	0.017%
Naïve Bayes	1.678%	3.373%	1.357%	11.199%	2.128%
AdaBoost on ID3	1.216%	3.085%	1.066%	8.246%	1.081%
AdaBoost on Naïve Bayes	1.394%	1.987%	1.780%	8.802%	0.652%
Random Forest	1.597%	5.171%	2.622%	8.325%	0.702%
K-Nearest Neighbors	1.118%	3.967%	2.284%	8.089%	0.433%

Table 2: Standard deviation of the six implemented algorithms on five UCI Machine Learning repositories. Results calculated using 10 times 5-fold cross validation.

probability density functions were experimented with. Furthermore, the letter recognition dataset likely expresses high correlation amongst the attributes. However, the two algorithms perform identically for the E Coli. and breast cancer datasets.

The AdaBoost algorithm when using either ID3 or Naïve Bayes as a base model either improved or maintained the same accuracy as their base models. For example, AdaBoost on ID3 improved the classification accuracy for E. Coli, letter recognition and breast cancer datasets; however, it maintains the same accuracy as the ID3 algorithm for the other datasets. Additionally, AdaBoost on Naïve Bayes improved the classification accuracy on the breast cancer and car datasets and maintained similar accuracies to Naïve Bayes on the other datasets. One exception to this is the letter dataset where AdaBoost on Naïve Bayes which scored 10% lower. The random forest algorithm maintained a similar performance to the AdaBoost on ID3 algorithm. It outperformed AdaBoost on the car dataset; however, the standard deviation for each algorithm is too high to make any conclusions.

I believe the classification accuracy for each ensemble algorithm, dataset pair could be improved given more time for hyperparameter tuning. The larger datasets proved extremely difficult for experimentation as they required much longer training times. When experimenting with various hyperparameters for the letter dataset, it often took more than 10 minutes to complete one 5-fold cross validation iteration. Optimization was considered

during the algorithm implementations; however, training time was not drastically reduced.

The most surprising results received were from the k-nearest neighbors algorithm. It almost always outperformed the other algorithms and even received the highest accuracy for the car dataset. An interesting feature of the hyperparameters for this classifier is that all k values were set to 1. This was an unexpected result of the hyperparameter search. The most prominent flaw with the k-nearest neighbors algorithm was the extremely long classification times, especially for the larger datasets.

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