

04.01.2023  
Tuesday

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**ADA**

- Analysis & Design of Algorithms  
= DAA - Design and Analysis of Algorithms

**Algorithms** : set of finite instruction to do task.

5 characteristics of Algo:-

- (1) i/p (printing nature)  
0 or more i/p ( )
- (2) o/p (at least one o/p)
- (3) finiteness (no. of instructions should be finite)
- (4) definiteness (instruction should be unambiguous)
- (5) effectiveness (statement should be very basic)

Part of Design Phase

(Software deo 4 cycle)

Analysis

Design  
Coding (program)  
Testing

ex. Add 2 floating pt. no.  
↳ ? How programmer  
knows this?

- (No cycle)

→ Step by step → Feasible sol<sup>1</sup> (constraint solving)

→ Optimal sol<sup>1</sup> (Ginger shortest path)

# 5 methods to design algorithms:-

- (1) Greedy (Prim's, Dijkstra's, Kruskal, ...)
- (2) Dynamic Programming (Job sequencing, deadline, knapsack, ...)
- (3) Divide & Conquer (Quick sort, Merge sort, Binary search, ...)
- (4) Backtracking (N-queen's Problem, puzzle (Maze), Stars on a n × n grid, Hamiltonian, Multiplication, TSP, ...)
- (5) Branch & Bound (Knapsack, Floyd-Warshall (all pair shortest path), ...)

4 Queen (2 sol<sup>n</sup> possible)

1	X		
		X	
			X
	X		

2 4 1 3

No 2 Queens on same row/  
column / diagonal

Floyd Warshall (all pair shortest path)

of Knapsack, LCP (longest common subsequence)

CMM (Chain Matrix Multiplication)

TSP (Traveling Salesman Problem)

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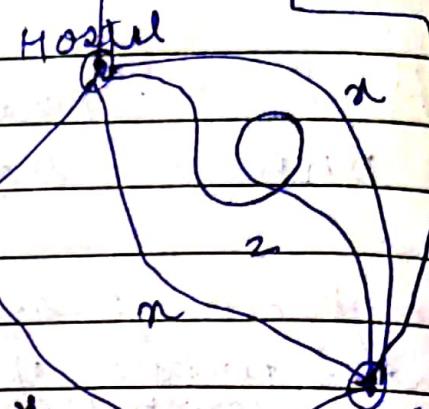
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DP

Greedy  
several "All possible"  
sets "any one"

Spanning Tree  
(No cycle)

Minimum

Spanning Tree



LRC

Takes decision

Branch Bound



at runtime  
(multiple sets)

The branch which does not  
leads to  $SOL^n$  we bound that  
using function

## Algorithms :-

Sequence of instruction unambiguous, precise  
(paper & penii)

to solve the task

Select the ambiguous color

Terminates in a finite amount  
of time.

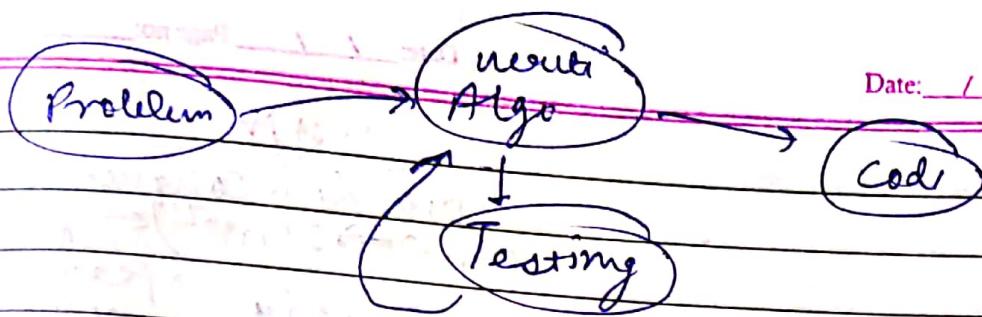
Ambiguous

for legitimate set of i/p set & o/p set

Fundamental subject is to be in each & every

Subject we require Algos.

Set of instructions Classical Algorithms related CS  
Algorithm design techniques → used to model  
design for new



A process  
in industry.

### Analysis

Efficiency  
Space & Time Complexity  
(most)

Efficiency

### Designing

### Time complexity

Big O

(most)

push & pop

operation in Stack

$O(1)$

standard

### Polynomial

$N, N^2, N^3,$   
 $\log N, N^2,$

$N \log N$

### Non Polynomial

$O(2^n)$  exponential

$O(N!)$  grow very fast

Add'ly  
matrices

$= O(N^2)$

Mult'g  
matrices  
 $= O(N^3)$

Not practically feasible.

[ ] [ ]

Hamiltonian cycle,

Combinatorial problems

$n^2$

$2^n$

$n!$

$2^n$

This increase  
very fast

, Non Polynomial.

Solution

① Approximate solution

② Relax steps of algo

$n=16$

$16^2$

$2^{16}$

$= 1024$   
 $\times 2$

Study  
Problems  
Types

Design  
Techniques

Design

④ Divide & Conquer  
Greedy

On the basis of  
chapter

Sorting →  
Graph →

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## Problem types

searching

sorting

Graph Algo  $\rightarrow$  MST, Shortest Path

6<sup>th</sup> year String Processing (very LCS)

Combinatorial problems  $\rightarrow$  TSP

(each city once & min-far)

→ generally exponential TC

Geometric problem (Closest pair, Graph problem)

Numerical problem (grid of numbers)

(Ackermann function)\*

complex

Hamiltonian cycle  $\rightarrow$  TSP

(decision making) Problem

(optimization problem)

$$T(n) = O(n) \rightarrow \text{linear}$$

↳ function of Time complexity on input size as  $\propto$

- ① Periori Analysis :  $O( )$  (or performance analysis)  
 $\hookrightarrow P$  code (No. Tension Programming language, system)
  - ② Posteriori Analysis : (or Performance Measurement)  
 $\hookrightarrow$  after program is made & execute
- Ex. Turnaround of program
- actual statistics

```

Algorithm Max( int a[], int n )
{
    int maximum := a[1]
    for (int i:=2; i <= n; i++)
    {
        if [ a[i] > maximum ] n-1
            maximum := a[i]; i + 0 n-1
    } // reading
    return maximum;
}
    
```

Priori Analysis : How to find

- ① Order of frequency of execution of each statement
- ② Adding the frequency of each statement to get the total no. of programs steps in the algo

$$T(n) = C_n(\text{Add}) \times T_{\text{add}} + C_n(\text{Sub}) \times T_{\text{sub}} + \dots + C_n(\text{Load}) \times T_{\text{load}}$$

$C_n(x)$  = ct of 'n' type operations

$T_n$  = Time taken in <sup>single</sup> operation

It's tedious work

$\therefore$  We count Program step.  
 ex. for (int i=2; i <= n; i++)

①      ②      ③

Program Step = 1

Types of statements

No. of basic small operations Programming steps.

① Declaration stmts

int i, j, k                  0                  0

② simple Assignment stmts

$x = x + a[i]/4 - a[j];$                    $i \leq$                   1

$x = x + \text{Add}(n)$  // Not simple stmts

$PS = 1 + PS(\text{Add}(n))$

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### Types of Statements

PS (Program Step)

#### ③ Conditional Stmt

$\text{if } (<\text{condition}>) \text{ then}$

true      false

$\quad \quad \quad \text{stmt1}$

$1 + PS(\text{stmt1})$

$1 + PS(\text{stmt2})$

$\text{else}$

$\quad \quad \quad \text{stmt2}$

\* cond' is checked  
only once

#### ④ Loops

(a)  $\text{for (int } i=1; i \leq n; i++)$

1st time  $i=1; i \leq n$  1<sup>st</sup> PS

2nd time  $i=i+1; i \leq n$  2<sup>nd</sup> PS

\* for loop runs

$n^{\text{th}}$  time  $i=n; i \leq n$   $n^{\text{th}}$  PS

always run

( $n+1$ )<sup>th</sup> time  $i=n+1; i \leq n$  ( $n+1$ )<sup>th</sup> PS

one time more  
than values of i

(b)  $i=1;$  1 PS

$\text{while } (i \leq n) \{$  1 PS

$n+1$

$i++$  1 PS

}

#### ⑤ Function calls ;

$x = \text{Add}(n)$

// Not a simple assignment

$1 + PS(\text{Add}(n))$

Assignment  
No. of PS required  
to execute Add(n)

#### ⑥ Return statement

(a) return ;

(b) return  $(1 + f(n))$ 

1 PS

return + PS (Q)

No. of program  
steps read by the  
 $f^n f(n)$

## II Count Method

- (i) Global variable count := 0;
- (ii) Encountered a prog. step, increment count appropriately
- (iii) Value of count at the end of algorithm = total no. of prog. steps.

ex sum of elements of an array

int count = 0;

Algorithm Sum (int a[], int n) OPS (Declaration)

    { int sum = 0; count++; // Initialising sum var. of loop

    for (int i=1; i<=n; i++) { count++; // Control part of loop  
         count++; sum = sum + a[i]; // Simple assignment  
     } return (sum); // last + i of control part of for loop (i=n+1)

count of return statement should be above return statement

$$\therefore T(n) = 2n+3$$

ex. Same in recursion

Algorithm RSum (int a[], int n) S  
     { count++; if (n <= 0) { count++; return 0; } // return stat  
     else { count++; // return stat  
             return (RSum(a, n-1) + a[n]); } }

$$\begin{array}{ll} T(n) \rightarrow f_2 & \text{if } n \leq 0 \\ T(n) = f_2 + T(n-1) & \text{if } n > 0 \end{array}$$

$$\begin{aligned} T(n) &= 2 + T(n-1) \\ &= 2 + (2 + T(n-2)) \end{aligned}$$

Recursive Relation solving

$$= 2 + (2 + (2 + T(n-3)))$$

$$= 2 + i + T(n-i) \quad (\text{In general})$$

on the basis of  $n \leq 0$ 

$$P(0) = 2 \quad i=n$$

$$T(n) = 2 + n + T(0)$$

$$\Rightarrow T(n) = 2n + 2$$

### S/e Method (Steps per Execution Method)

	s/e	frequency	total no. of step prog. steps contributed by each stmt
1. $\{$	0	0	0
2.    int sum = 0;	1	1	$1 \times 1 = 1$
3.    for (int i=1; i<=n; i++)	1	$n+1$	$1 \times (n+1) = n+1$
4.    { a[i]++; sum = sum + a[i]; }	1	$n$	$1 \times n = n$
5.    return sum;	1	1	$1 \times 1 = 1$
6. $\}$			
Total no. of PS contr. by each stmt			
$= S/e \times freq$			
$= 2n + 3$			

Recursive

Algorithm Rsum (int a[], int n)

$$\text{if } (n \leq 0)$$

	$n <= 0$	$n > 0$	$n <= 0$	$n > 0$	$S/C \times freq$
Date:					No. of PS
Page no.:					Count, by each stat
1. Algorithm Rsum (int a[], int n)					
2. {					
3. if ( $n <= 0$ )	1	1	1	1	1
4. return 0;	1		1	1	
5. else	0	1	1		
6. return (Rsum(a, n-1) + a[n]);	1+	1	1		$1 + T(n-1)$
7. }	$T(n-1)$				
				2	$2 + T(n-1)$
					$T(n-1)$

$$T(n) = 2 + T(n-1)$$

$$= 2 + (2 + T(n-2))$$

$$= 2 * i + T(n-i) \Rightarrow T(n) = 2 * n + 2$$

## Quick Sort

Also [ Best Case Time Complexity =  $O(n \log n)$  ]

for Best Case Input = Random Array

↳ HP for which algo takes least amt. of time

Worst Case Time complexity =  $O(n^2)$  among all I/Ps

Worst Case Input = sorted / Reverse sorted array

↳ HP  $\rightarrow$  mon. amt. of time

Any random I/P

## Merge Sort

Best == Average == Worst =  $O(n \log n)$

lower bound      upper bound  
of time taken (jmp)

Helps to determine

Lower bound  
algorithm

Comparison Based Sorting AlgoWorst  $\rightarrow O(n^2)$ Best / Avg.  $\rightarrow O(n \log n)$ 

\* Quick sort is the best sorting algo

ASYMPTOTIC NOTATIONS

$T_1(n) = 100n + 20$

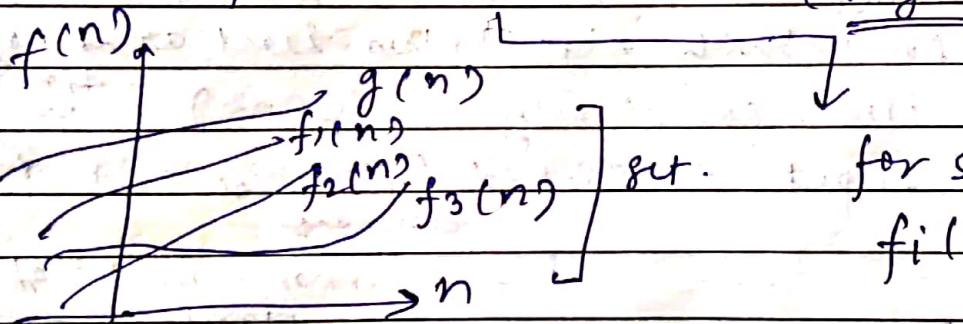
$T_2(n) = 2n^2 + 3$

$n = 1, \dots, k, \dots, n$

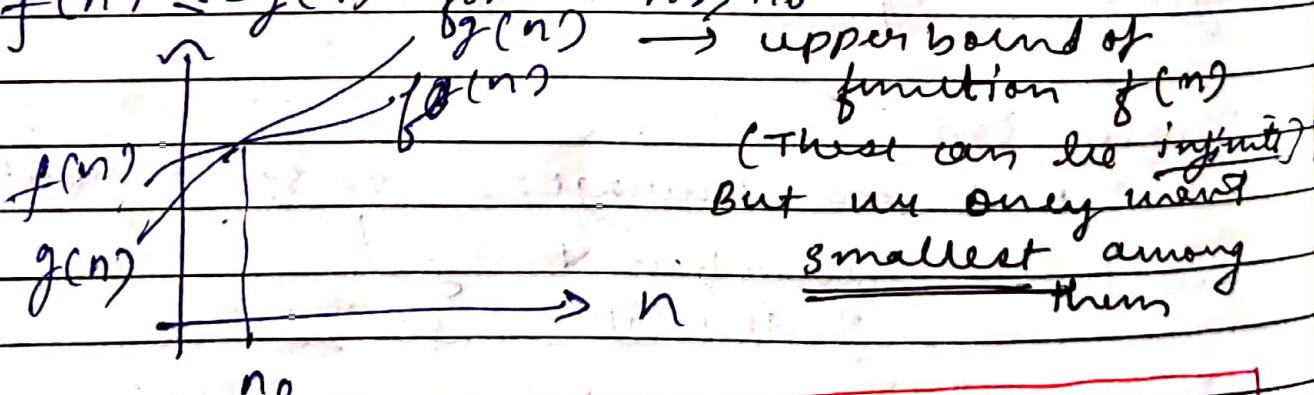
$T_1(n) > T_2(n) \quad T_1(n) < T_2(n)$

$f(n) = O(g(n))$   
 or  $f(n) \in O(g(n))$

all functions  $f_i(n)$  such that  
 its growth rate is less than  
 equal to constant times of  $g(n)$

for  $n \geq n_0$  (sufficiently big)for smaller in. ( $< n_0$ )  
 $f_i(n) \geq g(n)$ 

$f(n) \leq c \cdot g(n) \text{ for all } n \geq n_0$

(There can be infinite)  
But we only want  
smallest among  
them

$O(g(n)) = \{ f(n) \mid f(n) \leq c \cdot g(n) \text{ for all } n \geq n_0 \text{ and } c > 0 \}$

No  $\rightarrow$  can't be fraction  
C  $\rightarrow$  can be fraction  
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## $O(g(n))$ , O-Notation (Upper bound)

A function  $f(n)$  is said to be in  $O(g(n))$  denoted as  $f(n) \in O(g(n))$  if  $g(n)$  is bounded above by some constant multiple of  $g(n)$  for all large  $n$  i.e. if there exists some constant  $c$  and some non-negative integer  $n_0$  such that  $f(n) \leq c \cdot g(n)$  for all  $n \geq n_0$ .

### (Worst Case TC)

$$\text{Q1) } f(n) = 100n + 5$$

$$f(n) = O(n) \quad \text{more meaningful: } f(n) = O(n^2)$$

$$f(n) \leq 105n \text{ for all } n \geq 1$$

$$n=1 \quad f(n) = 105 \leq 105 \checkmark$$

$$n=2 \quad 210 \leq 210 \checkmark$$

But it is correct as per definition

## $\Omega$ -Notation (Lower bound) $\Omega(g(n))$

A function  $f(n)$  is said to be in  $\Omega(g(n))$  denoted as  $f(n) \in \Omega(g(n))$  if  $f(n)$  is bounded below by some constant multiple of  $g(n)$  for all large  $n$  i.e., if there exists some a constant  $c$  and a non-negative integer no. such that  $f(n) \geq c \cdot g(n)$  for all  $n \geq n_0$ .

### (Best Case TC)

$\Omega(g(n)) = \text{set of all functions } f(n) \text{ that have a higher order of growth than } g(n)$

$$T(n) = 100n + 5$$

$$f(n) \geq 10n \text{ for all } n \geq 1$$

NOTE: Do not write constant in  $O(n)$ ,  $\Omega(n)$  or  $\Theta(n)$

$$n=1 \quad f(1) = 100 \cdot 1 + 5 \geq 10 \cdot 1 \checkmark$$

$$n=2 \quad f(2) = 100 \cdot 2 + 5 \geq 10 \cdot 2 \checkmark$$

$\Rightarrow$  constant in definition ( $O(n)$ )

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## $\Theta(g(n))$ , $\Theta$ -Notation

$\hookrightarrow f(n)$  has same order of growth as  $g(n)$   $f(n) \in \Theta(g(n))$

$$f(n) \in \Theta(g(n))$$

iff there exists two constants  $c_1$  and a non-negative constant  $c_2$  integer no. such that

$$\boxed{c_1 g(n) \leq f(n) \leq c_2 g(n) \text{ for all } n \geq n_0}$$

on:

$$f(n) = \frac{1}{2}n(n-1) \quad f(n) \in \Theta(n^2)$$

$$c_1 = \frac{1}{4}, c_2 = 2, g(n) = n^2, n_0 = 1$$

$$\frac{1}{4}(n^2) \leq f(n) \leq 2n^2$$

Implies  $\Rightarrow \Omega(g(n))$

Implies

$$\Theta(g(n))$$

$$f(n) = a_1 n^n + a_2 n^{n-1} + \dots + a_n + a_0$$

$$f(n) = \Theta(n^n)$$

directly  $\Omega(n^n)$  and  $\Theta(n^n)$

(proof in books)

**Small oh- $O$**  (loose upper bound, not tight as  $\Theta$  (Bigoh))

Used to describe upper bound on function  $f(n)$  that is not asymptotically tight.

$$f(n) = 100n + 5 = \Theta(n) \text{ or } \Theta(n^2) \quad \checkmark$$

$$= \Theta(n^2) \quad f(n) \notin O(n) \quad f(n) \in O(n^2)$$

$O(g(n))$  = set of iff there exist two integer  $c$  and non-negative no.  $n_0$

such that  $f(n) < c \cdot g(n)$  [No equality]

**Small ~~w~~ w** (loose lower bound)

$$O:O :: \Omega:w$$

## Complexity Classes

	$O(1)$	$f(n) = c$	<u>Proof</u> $f(n) \leq c \cdot 1 \cdot g(n) \quad O(1)$
①	$O(1)$	$f(n) = c$	push pop in stack.
②	$O(\log n)$	Binary search, search in Tree	
③	$O(n)$	insert, search in list	
④	$O(n \log n)$	Quick sort, mergesort	Time Complexity increases
⑤	$O(n^2)$	Matrix add <sup>n</sup> ,	
⑥	$O(n^3)$	Matrix multiplication	
⑦	$O(2^n)$		
⑧	$O(n!)$	combinatorial	

## # Using limits to compare and rank time complexity

$t(n) \quad g(n)$

$$\lim_{n \rightarrow \infty} \frac{t(n)}{g(n)} = \begin{cases} 0 & t(n) \text{ has a lower order of growth as} \\ & \text{compared to } g(n) \\ c & t(n) \text{ has same order} \\ \infty & t(n) \text{ has higher order} \end{cases} \quad \begin{matrix} t(n) = O(g(n)) \\ t(n) = \Omega(g(n)) \end{matrix}$$

L'Hospital's rule if we can't find otherwise.

⊗ ⊗ ⊗

$$\lim_{n \rightarrow \infty} \frac{t(n)}{g(n)} = \lim_{n \rightarrow \infty} \frac{t'(n)}{g'(n)} \rightarrow \begin{matrix} \text{first derivative} \\ -at \end{matrix}$$

Stirling's formula

$$[n!] \approx \sqrt{2\pi n} \left(\frac{n}{e}\right)^n$$

$\therefore t(n) = \frac{1}{2} n(n-1) \quad g(n) = n^2$

$$\frac{t(n)}{g(n)} = \lim_{n \rightarrow \infty} \frac{\frac{1}{2} n(n-1)}{n^2} = \frac{1}{2} \frac{(n-1)}{n} = \frac{1}{2} \lim_{n \rightarrow \infty} \left(1 - \frac{1}{n}\right) = \frac{1}{2} = c$$

$\therefore t(n) = O(g(n))$

$\therefore t(n) = \Theta(n^2)$

GR(t(n))  
GR(O(g(n)))

order of growth rate

Fundamentals of Algorithms Horowitz and Sahni  
 ↳ common Problem types

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Q)  $t(n) = \log_2 n$      $g(n) = \sqrt{n}$

Sol:  $\lim_{n \rightarrow \infty} \frac{t(n)}{g(n)} = \lim_{n \rightarrow \infty} \frac{\log_2 n}{\sqrt{n}}$

L'Hospital's Rule:

$$\lim_{n \rightarrow \infty} \frac{n^{\frac{1}{2}} \log_2 2}{\frac{1}{2} \sqrt{n}} = \lim_{n \rightarrow \infty} \frac{\log_2 2}{\frac{1}{2} \sqrt{n}} = \lim_{n \rightarrow \infty} \frac{1}{\frac{1}{2} \sqrt{n}} = \lim_{n \rightarrow \infty} \frac{2}{\sqrt{n}} = 0$$

$$t(n) = O(g(n)) \\ = O(\sqrt{n})$$

Q)  $t(n) = n!$      $g(n) = 2^n$

Sol:  $\lim_{n \rightarrow \infty} \frac{n!}{2^n} = \lim_{n \rightarrow \infty} \frac{\sqrt{2\pi n} \left(\frac{n}{e}\right)^n}{2^n}$  (Stirling's formula)

$$= \lim_{n \rightarrow \infty} \frac{\sqrt{2\pi n} \left(\frac{n}{e}\right)^n}{\infty \cdot \infty} = \infty \times \infty \\ = \infty$$

$GR(t(n)) \geq GR(g(n))$

$t(n) = \Omega(g(n))$

Q)  $(n-2)! \cdot 5 \log(n+100)^{10}, \quad 2^{2^n}, \quad 0.001n^4 + 3n^3 + 2$   
 $(\ln n)^2 \cdot \sqrt[3]{n} - 3^n$

↳ natural log      compare       $n \log n \cdot n^{\frac{1}{3}} \log^2 n$

Sol:  $(n-2)! = O(n!)$

$$5 \log(n+100)^{10} = 50 \log(n+100) = O(\log n)$$

$$2^{2^n} = 4^n = O(4^n)$$

$$0.001n^4 + 3n^3 + 2 = O(n^4)$$

$$(\ln n)^2 = O(\log^2 n)$$

$$3\sqrt{n} = O(n^{1/3})$$

$$3^n = O(3^n)$$

$$O(\log n) < O(n^{1/3}) < O(\log^2 n) < O(n^4) < O(3^n) < O(4^n)$$

↳  $O(n!) > (\text{const})^n$

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$$\frac{d}{dn} (a^n) = a^n \log a \quad \frac{d(a^n)}{dn} = n^n (1 + \ln a)$$

Revised AP, GP & HP

$$\frac{d}{dn} (\log a^n) = \frac{1}{n} \times \frac{1}{\ln a}$$

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## Series Ø, O Notation, Σ Notation

$$① f(n) = 1 + 2 + 3 + \dots + n = \underline{n(n+1)} = O(n^2)$$

$1 + 2 + 3 + \dots + n \leq n + n + n^2 + n + \dots + n$  times

$\leq n \cdot n \leq n^2 \rightarrow g(n) = n^2$

$$= \Theta(O(n^2))$$

$$1 + 2 + \dots + \frac{n}{2} + \dots + n \geq \frac{n}{2} + \dots + n$$

$$\Rightarrow \left( \frac{n(n+1)}{2} - \frac{\frac{n}{2}(\frac{n}{2}+1)}{2} \right) \geq \left( \frac{n+1}{2} \right) \frac{n}{2}$$

$$\left( \frac{n(n+1)}{2} - \frac{n(n+1)}{8} \right) \geq \frac{n^2}{4} + \frac{n}{4}$$

$$\frac{3n(n+1)}{8} \geq \frac{n^2}{4}$$

$$\geq \frac{n^2}{4} \rightarrow g(n)$$

$$② f(n) = \log(n!) \rightarrow \text{Insertion of } n \text{ elements in Heap}$$

$$= \log(n \times (n-1) \times (n-2) \times \dots \times 2 \times 1)$$

$$= \log n + \log(n-1) + \log(n-2) + \dots + \log 2 + \log 1$$

$\leq \log n + \log n + \dots + \log n + \dots$  n times

$$\Rightarrow f(n) \leq n \log n$$

$$\Rightarrow \log(n!) \leq n \log n \rightarrow g(n)$$

$$O(n \log n)$$

$$f(n) = \log(n!)$$

$$= \log n + \log(n-1) + \log(n-2) + \dots + \log\left(\frac{n}{2}\right) + \log\left(\frac{1}{2}\right)$$

$$\geq \log n + \log(n-1) + \dots + \log\left(\frac{n}{2}\right)$$

$$\geq \log\frac{n}{2} + \log\frac{n}{2} + \dots + \log\frac{n}{2} + \dots \frac{n}{2} \text{ terms}$$

$$c_1 g(n) \leq f(n) \leq c_2 g(n)$$

$f(n) = \Theta(g(n))$

$\Delta(g(n)) = O(g(n))$

$$\geq \frac{n}{2} \log \frac{n}{2}$$

$O \rightarrow n^{\text{st}} \text{ QST}$   
 $\Omega \rightarrow \text{Half series } \frac{n}{2} \text{ st order}$

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$$\geq \frac{n}{2} \log n - \frac{n}{2} \log 2$$

$$\geq \frac{n}{2} \log n \quad c = \frac{1}{2} \quad g(n) = n \log n$$

$\underline{\Omega(n \log n)}$

and also

$$f(n) = O(n \log n) \text{ and } f(n) = \Omega(n \log n)$$

$\therefore f(n) = \Theta(n \log n)$

HP

$$\sum_{i=1}^n \frac{1}{i} = O(\log n)$$



Result



Proof:

$$\sum_{i=1}^n \frac{1}{i} = \frac{1}{1} + \frac{1}{2} + \frac{1}{3} + \dots + \frac{1}{n}$$

$$\leq \sum_{i=1}^n [\log(i+1) - \log i]$$

$$\leq \cancel{\log 2 - \log 1} + \cancel{\log 3 - \log 2} + \cancel{\log 4 - \log 3} + \dots + \cancel{\log(n+1) - \log n}$$

$$\frac{1}{n} \leq \log(n+1) - \log(n) \leq \frac{2}{n}$$

$$\Rightarrow f(n) \leq \frac{\log(n+1) - \log(1)}{\log(n)} \quad O(\log n)$$

$$\begin{aligned}\Sigma n &= \frac{n(n+1)}{2} \\ \Sigma n^2 &= \frac{1}{6} n(n+1)(2n+1) \\ \Sigma n^3 &= \left(\frac{1}{2} n^2(n+1)\right)^2\end{aligned}$$

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① for  $i=1$  to  $n$

for  $j=1$  to  $i$

$n = n + 1$

$O(n^2)$

$i=1 \quad j=1$  times

$i=2 \quad j=2$

$i=3 \quad j=3$

$i=n \quad j=n$

$$j = 1 + 2 + 3 + \dots + n = \frac{n(n+1)}{2}$$

② for  $i=1$  to  $n$

for  $j=i$  to  $i$

for  $k=1$  to  $j$

$n = n + 1$

$i=1 \quad j=1 \quad k=1$

$i=2 \quad j=2 \quad k=1+2$

$i=3 \quad j=3 \quad k=1+2+3$

$i=n \quad j=n \quad k=1+2+3+\dots+n$

③  $i_q = q \quad j_q = q \quad k_q = 1+2+\dots+q$

$$\text{Series Sum} = \sum_{q=1}^n k_q = \sum_{q=1}^n q^2 + \sum_{q=1}^n q = \frac{q(q+1)}{2}$$

$$= \frac{1}{2} \left[ \frac{n(n+1)(2n+1)}{6} \right] + \frac{n(n+1)}{4}$$

$$= \frac{1}{12} (n^2+1)(2n+1)$$

neglect as  $n \ll n$

$$= \frac{1}{12} (2n^3 + 2n^2 + n^2 + 1)$$

$$= \frac{2n^3}{12} = \frac{n^3}{6} \therefore O(n^3)$$

$$\begin{aligned}M.2 \\ f(n) &= \sum_{i=1}^n \sum_{j=1}^i j = \sum_{i=1}^n i(i+1) = \sum_{i=1}^n \left( \frac{i^2+i}{2} \right) \\ &= \frac{1}{2} \sum_{i=1}^n i^2 + \frac{1}{2} \sum_{i=1}^n i = \frac{1}{2} \left[ \frac{n(n+1)(2n+1)}{6} \right] + \frac{n(n+1)}{2}\end{aligned}$$

$$= O(n^3)$$

Assume  $n = 2^k$

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③ for  $i = n$  to 1

{  $j = 1$

while ( $j > 1$ )

{  $x = x + 1$ ;

$j = j - 1$  ; }

$i = i / 2$  ; }

( $\frac{1}{2} \times 2^k$ )

$$= 1 + 2 + \dots + 2^{k-1} + 2^k$$

$$= 1 \left( \frac{2^k - 1}{2 - 1} \right)$$

$$= 2^k - 1$$

$$= 2 \cdot 2^k$$

$$= O(n)$$

1st step  $i = 2^k$        $j = 2^k$

2nd step  $i = 2^{k-1}$        $j = 2^{k-1}$

3rd step  $i = 2^{k-2}$        $j = 2^{k-2}$

Outer loop

$$n = 2^k$$

$$\Rightarrow \log_2 n = \log 2^{2k}$$

$n^{th}$  step  $i = 2^{k-k}$        $j = 1$

$\Rightarrow k = \log_{\frac{1}{2}} n$  times run

④ for  $i = n$  to 1

{  $j = 1$

while ( $j > 1$ )

{  $x = x + 1$  ; }

$j = j - 1$  ; }

$i = i / 3$  ; }

Assume  $n = 3^k$

Outer loop run

series

$$1 + 3 + \dots + 3^{k-1} + 3^k$$

$$= \frac{3}{2} \cdot 3^k - \frac{1}{2} = O(3^k)$$

$$= O(n)$$

⑤ Prove  $\sum_{i=0}^k \log\left(\frac{n}{2^i}\right) = O(\log^2 n)$  assume  $n = 2^k$

$$\text{Sol: } n + \frac{n}{2^1} + \frac{n}{2^2} + \dots + \frac{n}{2^k}$$

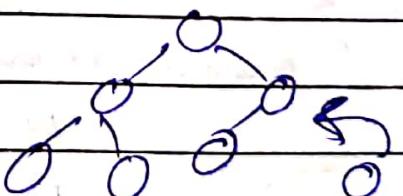
$$= 2^k \cdot n \left( \frac{1}{2^0} + \frac{1}{2^1} + \dots + \frac{1}{2^k} \right) = n \cdot 1 + \left( \frac{1}{2^0} + \frac{1}{2^1} + \dots + \frac{1}{2^k} \right)$$

$$\begin{aligned}
 &= \frac{n}{2^n} (2^{n+1}-1) = n \frac{2^{n+1}}{2^n} - \frac{n}{2^n} \\
 &\quad = \cancel{\frac{n}{2^n}} \\
 &= \log \frac{n}{2^0} + \log \frac{n}{2^1} + \log \frac{n}{2^2} + \dots + \log \frac{n}{2^k} \\
 &= \log \frac{2^n}{2^0} + \log \frac{n}{2^1} + \log \frac{n}{2^2} + \dots + \log \frac{n}{2^k} \\
 &= \log 2^n + \log 2^{k-1} + \log 2^{k-2} + \dots + \log 2^{k-k} \\
 &= k \log 2 + (k-1) \log 2 + (k-2) \log 2 + \dots + 0 \\
 &= k + (k-1) + (k-2) + \dots + 1 + 0 \quad [\text{if } \log_2 2 = 1] \\
 &= \frac{k(k+1)}{2} = O(k^2)
 \end{aligned}$$

$\therefore O(\log^2 n)$  [since  $k = \log n$ ]  
as  $n = 2^k$

Heapsort

Insert in a Heap.

for ( $i = 1$  to  $n$ )    Heapify( $A, item$ )

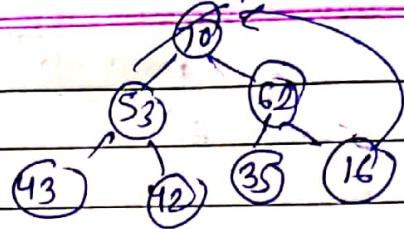
$$\begin{aligned}
 &= \log 1 + \log 2 + \log 3 + \dots + \log(n-1) + \log n \quad \begin{array}{l} \text{max height} \\ \text{Heapify for } \log n \end{array} \\
 &= \log(1 \times 2 \times 3 \times \dots \times (n-1)n) \quad \hookrightarrow \text{height}
 \end{aligned}$$

$$\begin{aligned}
 &= \log(n!) \\
 &= O(n \log n)
 \end{aligned}$$

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= Delete the element from Heap  
 $\log(n) + \log(n-1) + \dots + \log 2 + \log 1$



$$= \log(n \cdot (n-1) \cdot (n-2) \cdots 2 \cdot 1)$$

$$= \log(n!)$$

$$= O(n \log n)$$

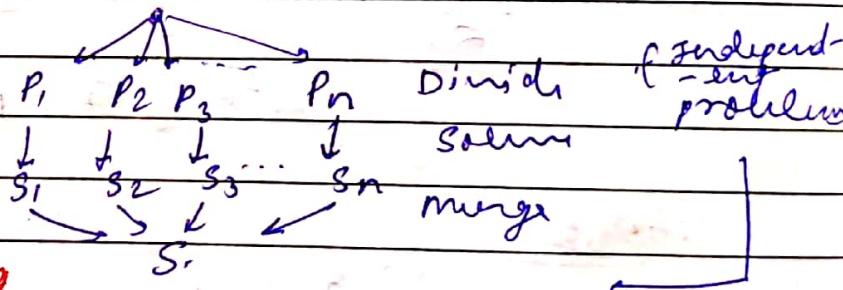
Always delete Root  
& replace with  
key (from  
right.)  
& then reheapify

## Design Technique

① Brute Force

Sort 'n' numbers:  $n! \rightarrow N$   
(All possible solns)

② Divide & Conquer



③ Dynamic Programming

(memoization)

→ Overlapping of problems

(i) Overlapping subproblems

(ii) Optimal substrucutre

④ Greedy Approach

⑤ Backtracking

⑥ Branch & Bound

⑦ Parallel Algorithms

⑧ Approximation Algo.

⑨ Quantum Algo.

⑩ Transform Algos. (Linear programming)

## Divide & Conquer Algo

Algo DAndC(P)

→ set

if small(P) then return S(P);  
else

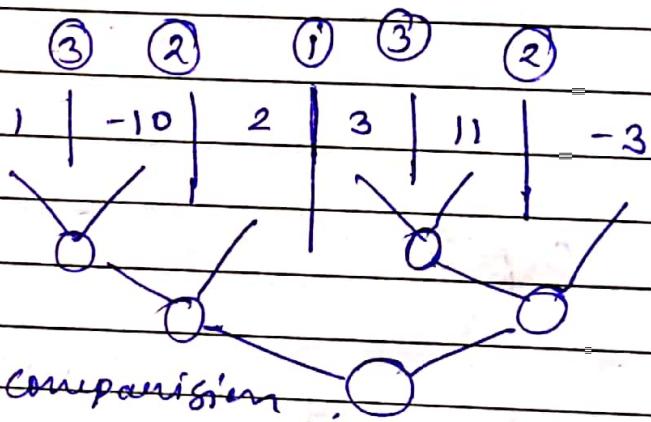
Divide P into smaller subproblems  $P_1, P_2, \dots, P_k$

$k \geq 1$

return combine (DAndC( $P_1$ ), DAndC( $P_2$ ), ...,  
..., DAndC( $P_k$ ));

## MERGE SORT

operations  
Significant comparison



Iteration method

$$T(n) \begin{cases} 0, n=1 \\ T\left(\frac{n}{2}\right) + T\left(\frac{n}{2}\right) + n, n \geq 1 \end{cases}$$

→ comparison

$$T(n) = 2T\left(\frac{n}{2}\right) + n$$

$$= 2 \left[ 2T\left(\frac{n}{2^2}\right) + \frac{n}{2} \right] + n$$

$$= 2^2 T\left(\frac{n}{2^2}\right) + 2n$$

$$= 2^3 T\left(\frac{n}{2^3}\right) + 3n$$

General

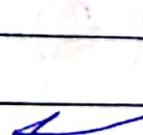
$$= 2^k T\left(\frac{n}{2^k}\right) + kn$$

$O \cdot T(n)$

$$= O + kn$$

$$= n \log_2 n$$

$$n = 2^k \quad \frac{n}{2^k} = 1$$

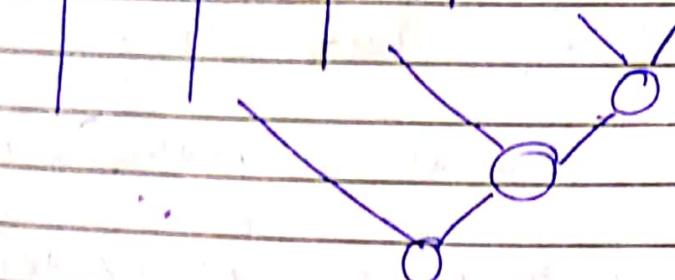


Q

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-to. little change in divide & merge

$a_1 \mid a_2 \mid a_3 \mid a_4 \mid \dots \mid a_n$



$$T(n) = \begin{cases} 0, & n=1 \\ T(1) + T(n-1) + n, & n>1 \end{cases}$$

$O(n^2)$

$$T(n) = \begin{cases} 0, & n=1 \\ T\left(\frac{n}{3}\right) + T\left(\frac{2n}{3}\right) + n, & n>1 \end{cases}$$

$$T(n) = T\left(\frac{n}{3}\right) + T\left(\frac{2n}{3}\right) + n$$

$$= T\left(T\left(\frac{n}{3}\right) + \frac{n}{3}\right) + T\left(\frac{2}{3}\left(\frac{n}{3}\right) + \frac{n}{3}\right) +$$

$$+ \left(T\left(\frac{1}{3}\left(\frac{2n}{3}\right)\right) + T\left(\frac{2}{3}\left(\frac{2n}{3}\right)\right) + \frac{2n}{3}\right) + n$$

$$= T\left(\frac{n}{3^2}\right) + T\left(\frac{2n}{3^2}\right) + T\left(\frac{2n}{3^2}\right) + T\left(\frac{2^2n}{3^2}\right) +$$

$$= T\left(\frac{n}{3^3}\right) + 2T\left(\frac{2n}{3^3}\right) + T\left(\frac{2^2n}{3^3}\right) + n + \frac{n}{3} + \frac{n}{3^2} + \frac{2n}{3} +$$

$O(n \log_3 n)$

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$$\begin{aligned} & + \frac{n}{3} + \frac{2n}{3^2} + \frac{18n}{3^3} + \frac{2n}{3^2} + \frac{n}{3^2} + \frac{2n}{3^2} + \frac{2^2 n}{3^2} \\ & + \frac{n}{3} + \frac{n}{3^2} + \dots ) + \left( \frac{2n}{3} + \frac{2^2 n}{3^2} + \dots \right) \\ T\left(\frac{n}{3}\right) & + \left( \frac{2n}{3^2} \right) \end{aligned}$$

$$\begin{array}{ccc} & \xleftarrow{T(n)} & \\ T\left(\frac{2n}{3}\right) + \frac{2n}{3} & & T\left(\frac{n}{3}\right) + \frac{n}{3} \\ \downarrow & & \downarrow \\ \text{Ans 1} & & \text{Ans 2.} \end{array}$$

$$T(n) = \begin{cases} 0, & n=1 \\ \end{cases}$$

$$T\left(\frac{n}{3}\right) + T\left(\frac{2n}{3}\right) + n, \quad n>1$$

$$\begin{array}{c} \frac{n_2}{n} \\ \boxed{\text{---}} \\ T(n) = T(n_2) + \\ O(1+1+1) \\ n^2 \\ C \end{array}$$

$$\begin{array}{c} \frac{n_2}{n} \quad \frac{n}{n_2} \\ \boxed{\text{---}} \\ T(n) = T(n_2) \\ + T(n_2) \\ + cn \end{array}$$

comp.

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Q)  $T(n) \begin{cases} 1, & n=1 \\ T\left(\frac{n}{2}\right) + 1, & n>1 \end{cases}$

Binary search  
Can be similar  
algo to others

sol:

$$T(n) = T\left(\frac{n}{2}\right) + 1$$
$$= \left(T\left(\frac{n}{2^2}\right) + 1\right) + 1$$

$$= T\left(\frac{n}{2^2}\right) + 2 = T\left(\frac{n}{2^3}\right) + 1 + 2$$
$$= T\left(\frac{n}{2^3}\right) + 3$$
$$\frac{n}{2^k} = 1$$

General

$$T\left(\frac{n}{2^k}\right) \rightarrow k - \Phi$$

$$= 1 + k = 1 + \log_2 n$$

Binary search

$$\mathcal{O}(\log_2 n)$$

Q)  $T(n) \begin{cases} 1, & n=1 \\ 1 + T\left(\frac{2}{3}n\right), & n>1 \end{cases}$

$$T(n) = T\left(\frac{2}{3}n\right) + 1 = T\left(\frac{2^2}{3^2}n\right) + 2$$

$$= T\left(\frac{2^3}{3^3}n\right) + 3$$

General

$$= T\left(\frac{2^k}{3^k}n\right) + k$$

$$= T(1) + k$$

$$= 1 + \log_{\frac{3}{2}} n$$

$$\boxed{\mathcal{O}(n) = \log_{\frac{3}{2}} n}$$

$$\boxed{T(n) = 1 + \log_{\frac{3}{2}} n}$$

$$\frac{2}{3}^k n = 1$$

$$\frac{3}{2}^k$$

$$n = \left(\frac{3}{2}\right)^k$$

$$\log_{\frac{3}{2}} n = k$$

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Wednesday

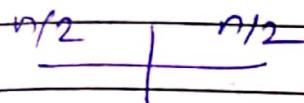
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## RECURRENCE RELATION

$$T(n) = a T(n/b) + f(n)$$

↓                          ↓                          →  
no. of subproblems    size of subproblems    time to divide +  
time to conquer

Divide & Conquer  $\rightarrow$  Merge Sort



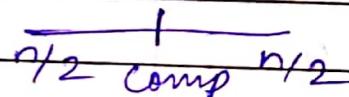
divide =  $O(1)$

merge =  $O(n)$   
(conquer)

$$T(n) = 2T(n/2) + cn$$

$$\therefore f(n) = cn$$

② Binary Search. mid



divide =  $O(1)$

compare =  $O(1)$   
(conquer)

$$T(n) = T(n/2) + c$$

$f(n) = O(1) = c$

\* Iterative substitution method. (Brute Force)

Remember to look for pattern, don't add <sup>constant</sup> terms

$$T(n) = T(n-1) + 2 \quad | \quad T(0) = 2$$

$$= T(n-2) + 2 + 2$$

$$= T(n-3) + 2 + 2 + 2$$

In General,  $T(n) = T(n-i) + 2 \cdot i$

$$\begin{bmatrix} n-i=0 \\ i=n \end{bmatrix} \quad T(n) = T(0) + 2 \cdot n = 2 + 2n = O(n)$$

② Substitution method (Practise) <sup>experience</sup>

Guess a sol<sup>n</sup> for a recurrence rel<sup>n</sup> & use  
try to prove our guess by using mathematical  
Induction

$$T(n) = 2T\left(\frac{n}{2}\right) + an \rightarrow ①$$

Guess:  $T(n) = O(n \log n)$

$$\Rightarrow T(n) \leq cn \log n$$

Let us assume that this holds true for  $n/2$

$$T\left(\frac{n}{2}\right) \leq cn \log \frac{n}{2}$$

put in RHS of ①

$$T(n) \leq 2 \times c \frac{n}{2} \log \frac{n}{2} + an$$

$$\leq cn \log \frac{n}{2} + an$$

$$\leq cn \log n - cn \log 2 + an$$

$$\Rightarrow T(n) \leq cn \log_2 n - cn + an$$

$$\leq cn \log_2 n + (a-c)n$$

$$\leq cn \log_2 n + c'n$$

$\text{c'}$   
no  
 $c$

$$a-c < a$$

$$T(n) \leq cn \log n$$

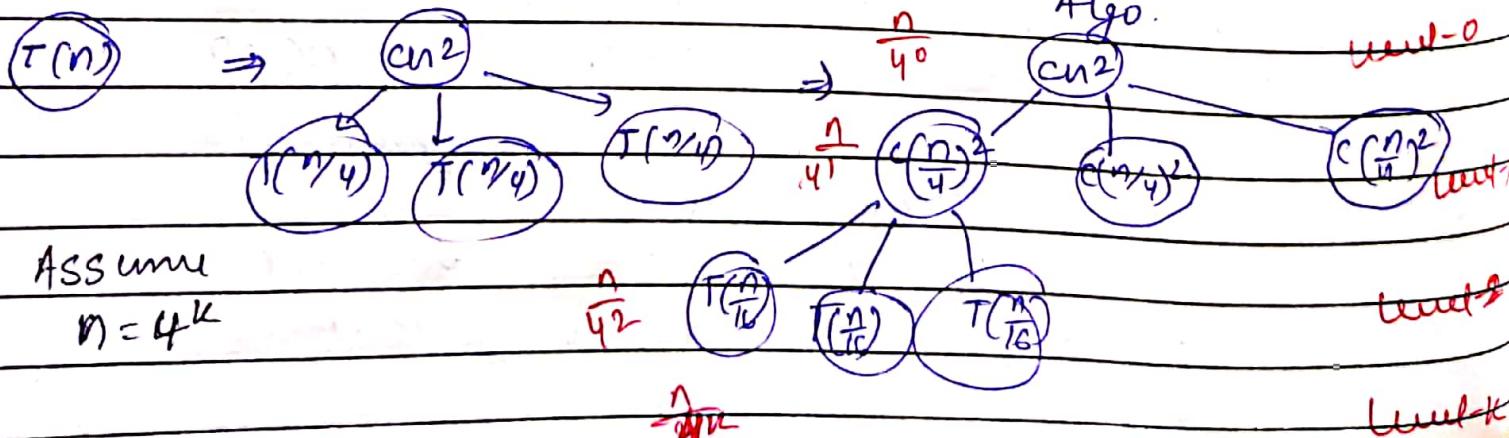
$$[a-c < a]$$

(guess)  
∴ Our assumption  
is correct

$$\underline{T(n) = O(n \log n)}$$

③ Recursion Tree Method :- Mainly used to solve Divide & conquer problems

$$T(n) = 3T\left(\frac{n}{4}\right) + cn^2, T(1) = \Theta(1)$$



per level cost = no. of nodes  $\times$  cost of each node  
at each level.

(in level)

level - 0

$$3^0 \times c \left(\frac{n}{4^0}\right)^2$$

level - 1

$$3^1 \times c \left(\frac{n}{4^1}\right)^2$$

level 2

$$3^2 \times c \left(\frac{n}{4^2}\right)^2$$

level i

$$3^i \times c \left(\frac{n}{4^i}\right)^2 \quad [\text{General level}]$$

Analysis upto  
(k-1)th level

$$3^{k-1} \times c \left(\frac{n}{4^{k-1}}\right)^2$$

Internal  
nodes

k<sup>th</sup> level

$$3^k \times T(1) = 3^k \times a$$

$\hookrightarrow$  No. of nodes per level.

Total cost =  $\sum$  per level cost

$$\begin{aligned} T(n) &= 3^0 \times c \left(\frac{n}{4^0}\right)^2 + 3^1 \times c \left(\frac{n}{4^1}\right)^2 + 3^2 \times c \left(\frac{n}{4^2}\right)^2 + \dots \\ &\quad + 3^{k-1} \times c \left(\frac{n}{4^{k-1}}\right)^2 + 3^k T(1) \\ &= cn^2 \left[ \frac{3^0}{(4^0)^2} + \frac{3^1}{(4^1)^2} + \frac{3^2}{(4^2)^2} + \dots + \frac{3^{k-1}}{(4^{k-1})^2} \right] + 3^k T(1) \\ &= cn^2 \left[ \frac{\frac{3^0}{(4^2)^0}}{(4^2)^1} + \frac{\frac{3^1}{(4^2)^1}}{(4^2)^2} + \frac{\frac{3^2}{(4^2)^2}}{(4^2)^3} + \dots + \frac{\frac{3^{k-1}}{(4^2)^{k-1}}}{(4^2)^k} \right] + 3^k T(1) \\ &= cn^2 \left[ \frac{1}{\left(1 - \left(\frac{3}{16}\right)^k\right)} \right] + 3^k T(1) \quad (181 < 1) \\ &= \frac{cn^2}{\left(\frac{13}{16}\right)} \left(1 - \frac{3^k}{16^k}\right) + 3^k T(1) \end{aligned}$$

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Monday

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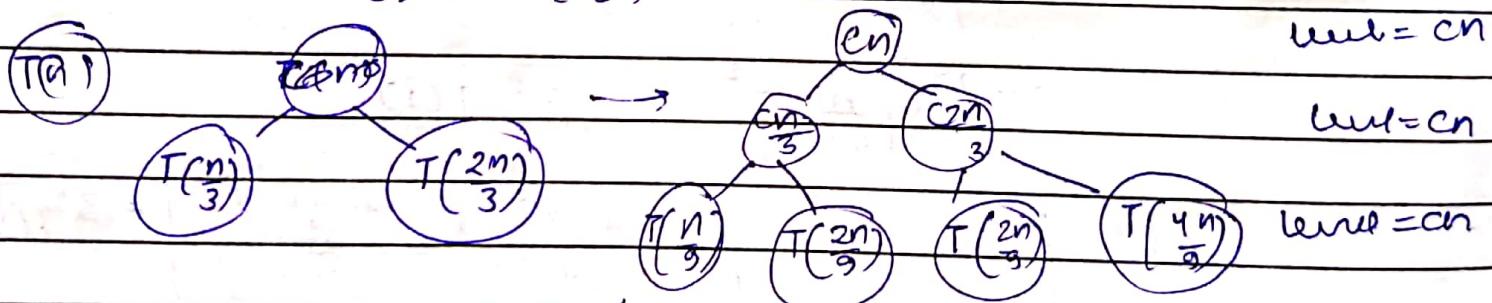
$$\begin{aligned}
 T(n) &= \frac{13}{16} n^2 \left(1 - \frac{n^{\log_4 3}}{n^2}\right) + \frac{8}{16} n^{\log_4 3} \\
 &= c'n^2 - c'n^2 \frac{\log_4 3}{n^2} + n^{\log_4 3} a \\
 &= c'n^2 - c'n^{\log_4 3} + n^{\log_4 3} a \\
 &= c'n^2 + (a - c') n^{\log_4 3} \\
 &= c'n^2 + c'' n^{\log_4 3} \\
 T(n) &\leq c'n^2 \\
 \therefore T(n) &= O(n^2)
 \end{aligned}$$

$k = \log_4 7$   
 $16k = 16$   
 $= n^2$   
 $n^{\log_6 a} = a^{\log_6 n}$  (1) (2)  
 $3^k = 3^{\log_4 n}$   
 $= n^{\log_4 3}$   
 $[\log_4 3 < 1]$   
 $[n^2 > n^{\log_4 3}]$

Sloppiness (Error) in answer  
as  $\left[\frac{n}{4}\right] \rightarrow$  have not considered.

It's easy as there is complete tree.

$$T(n) = T\left(\frac{n}{3}\right) + T\left(\frac{2n}{3}\right) + cn$$



world end soon

Q Approx. in  $S(n)$

$$cn \cdot \log_{3/2} n \quad (\text{although not true})$$

$$\Rightarrow T(n) = O(n \log_{3/2} n)$$

Then we can use Substitution method to verify

$$\begin{aligned}
 &\frac{n}{(\frac{3}{2})^k} \quad R = \log_{3/2} n \\
 &\frac{n}{(\frac{3}{2})^k} \quad k = \log_{3/2} n
 \end{aligned}$$

## MASTER'S THEOREM

$$T(n) = a T\left(\frac{n}{b}\right) + f(n)$$

(When we draw Recursion tree we get 2 terms)

Compare  $f(n) \propto n^{\log_b a}$

Case 1:  $f(n)$  has a lower order of growth than  $n^{\log_b a}$   
by a factor  $n^\epsilon$   $\epsilon > 0$  (polynomially)

$$T(n) = O(n^{\log_b a})$$

Case 2:  $f(n)$  has same order of growth than  $n^{\log_b a}$

$$T(n) = \Theta(n^{\log_b a} \cdot \log_b n)$$

Case 3:  $f(n)$  has higher order of growth than  $n^{\log_b a}$

$$T(n) = O(f(n))$$

check

only in Case 3

by a factor of  
 $n^\epsilon$   $\epsilon > 0$   
(polynomially greater)

→ regularity cond' i.e.,  $a f(n/b) \leq c f(n)$  for some constant  $c > 0$

ex. ①  $T(n) \leq 4 T(n/2) + n$

$$a = 4, b = 2$$

$$f(n) = n$$

$$\Theta(n^{\log_2 4})$$

(polynomially larger)  
Clearly  $f(n) < n^{\log_2 9}$

$$\frac{b^2}{n} = n$$

$$n^{\log_2 4} = n^2$$

$$\therefore T(n) = O(n^2)$$

②  $T(n) = 4 T(n/2) + n^2$

$$f(n) = n^{\log_2 4} = n^2$$

$$\begin{aligned} T(n) &= \Theta(n^2 \log_2 4) \\ &= \Theta(n^2 \log_2 n) \end{aligned}$$

③  $T(n) = 4 T(n/2) + n^3$

$$a = 4, b = 2$$

$$f(n) = n^3$$

$$n^{\log_2 9} = n^{\log_2 4} = n^2$$

$$n^3 > n^2$$

$$T(n) = O(f(n)) = O(n^3)$$

$$a f(n/b) \leq c f(n) \Rightarrow 4(n/2)^3 \leq c n^3 \Rightarrow c \geq \frac{1}{2}$$

Regularity cond'n

$$\text{Q1) } T(n) = 4T(n/2) + n^2 \log n$$

$$a=4, b=2$$

$$f(n) = n^2 \log n$$

$$n^{\log_b a} = n^{\log_2 4} = n^2$$

$f(n) > n^{\log_b a}$  by factor  $\log a$  which is not polynomially greater (logarithmically greater)

$\therefore$  master's theorem can't be applied.

$$\text{Q2) } T(n) = 3T(n/4) + n \log n$$

$$f(n) = n \log n$$

$$a=3, b=4$$

$$n^{\log_b a} = n^{\log_4 3}$$

$$= n^{0.793}$$

$f(n) > n^{\log_b a}$  by the factor  $(n^{1-0.793}) \log n$   
polynomial term.

regularity cond<sup>n</sup>

$$\frac{a}{b} f\left(\frac{n}{b}\right) \leq c f(n)$$

unit even guarantees log n term

$$\Rightarrow 3 \left( \frac{n}{4} \log \left( \frac{n}{4} \right) \right) \leq c n \log n$$

$$n \in c > 0$$

$$\Rightarrow \frac{3}{4} n \log n - \frac{3}{4} n \log 4 \leq c n \log n$$

$$\therefore \boxed{c \geq \frac{3}{4}}$$

$$T(n) = O(f(n))$$

$$= O(n \log n)$$

$$\text{Q3) } T(n) = 2T(\sqrt{n}) + \log n \rightarrow T(n) = \sqrt{n} T(\sqrt{n}) + n$$

$$\text{Sol: Assume } n = 2^m \Rightarrow m = \log_2 n$$

$$T(2^m) = 2T(\sqrt{2^m}) + 2T(2^{m/2}) + m$$

$$\text{let } T(2^m) = S(m)$$

$$S(m) = 2S(m/2) + m$$

$$a=2, b=2$$

$$f(m) = m \quad m^{\log_b a} = m^2 \quad m \log_2 2 = m$$

same order

$$S(m) = O(f(m) \cdot \frac{\log m}{\log b^m}) = O(\cancel{m^2})^2$$

$$\begin{aligned} T(n) &= \Theta(m \log_2 m) & n = 2^m \\ T(2^m) &= \Theta(m \log_2 m) & m = \log_2 n \\ \Rightarrow T(n) &= \Theta(\log_2 n \log_2 \log_2 n) \end{aligned}$$

Q4)  $T(n) = 2T(\sqrt{n}) + n$

Sol: Assume  $n = 2^m \Rightarrow m = \log_2 n$

$$T(2^m) = 2(T^{2^m/2}) + 2^m$$

$$\text{let } T(2^m) = S(m) \quad S(m) = 2S(m/2) + 2^m$$

$$S(m) = 2S(m/2) + 2^m$$

By brutal force:

$$S(m) = 2(S(m/2) + 2^{m/2}) + 2^m$$

$$= (2^2 S(m/2^2) + 2^{m/2} + 2^m)$$

In General

$$S(m) = 2^i S\left(\frac{m}{2^i}\right) + 2^{m/2^i} + 2^{m/2^i} + \dots + 2^{m/2^i}$$

GP

$$= 2^i S\left(\frac{m}{2^i}\right) + (2^i)(k^{1/2^0} + k^{1/2^1} + \dots + k^{1/2^i})$$

$$= \Theta(2^i S\left(\frac{m}{2^i}\right) + k(k))$$

assume

$$m = 2^i$$

Q2  $S(m) = m\left(S\left(\frac{2^i}{2^i}\right)\right) + 2^{i/2^i} + 2^{i/2^i} + \dots + 2^{i/2^i}$

so that base case

$$\begin{aligned} \Rightarrow S(m) &= mS(1) + (2^{2^1} + 2^{2^2} + \dots + 2^{2^{i-1}} + 2^{2^i}) \\ &= mS(1) + (4^1 + 4^2 + \dots + 4^{i-1} + 4^i) \end{aligned}$$

Q5)

$$T(n) = b + T\left(\frac{n}{2}\right) + bn \log n$$

Sol:  $\Rightarrow A = 1 \quad B = \frac{b}{2}$

$$f(n) = bn \log n$$

$$n \log_B A = n \log_{1/2} 1 = n^0 = 1$$

$f(n)$  has higher growth rate,  $n^{\log_B A}$  (Polynomial growth)

$$T(n) = O(n \log n)$$

Master's theorem

Regularity cond'n

$$A f\left(\frac{n}{B}\right) \leq c f(n)$$

$$\Rightarrow \frac{b}{2} n \log \frac{n}{2} \leq c n \log n$$

$$\Rightarrow c > \frac{b}{2}$$

$$\frac{b}{2} n \log \frac{n}{2} \leq c n \log n$$

$$\frac{b}{2} (n \log n - n \log 2) \leq b c n \log n$$

$$\Rightarrow c \geq \frac{1}{2}$$

Iteration Subst<sup>n</sup>

$$T(n) = T\left(\frac{n}{2}\right) + bn \log n$$

$$= \left[ T\left(\frac{n}{2^2}\right) + b \frac{n}{2} \log \frac{n}{2} + bn \log n \right]$$

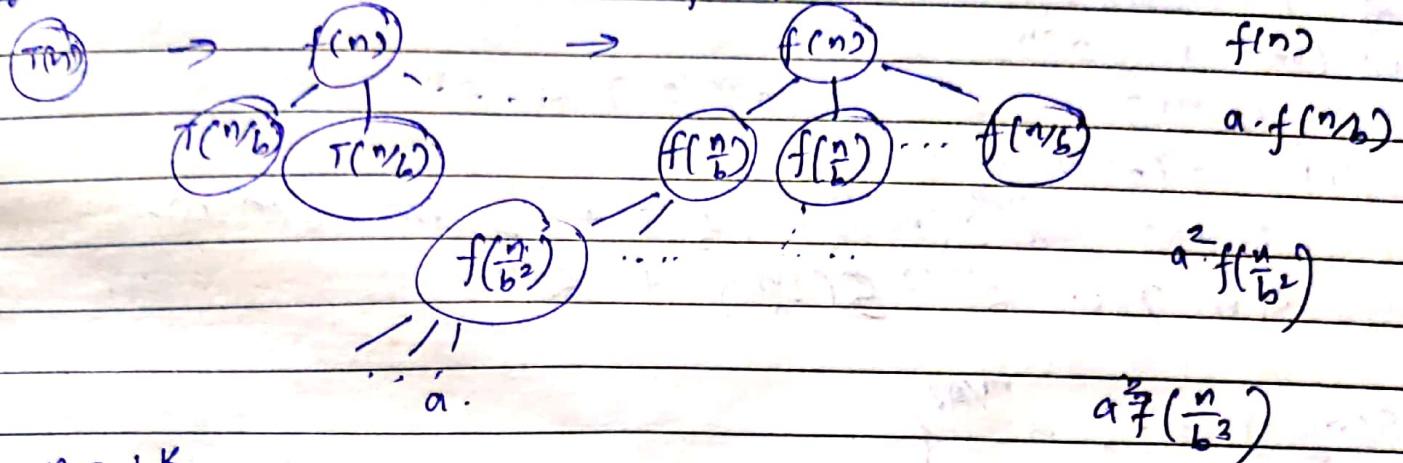
$$= \left( T\left(\frac{n}{2^3}\right) + b \frac{n}{2^3} \log \frac{n}{2^3} + b \frac{n}{2^2} \log \frac{n}{2^2} + bn \log n \right)$$

General  $T\left(\frac{n}{2^k}\right) + b \frac{n}{2^k} \log \frac{n}{2^k} + b \frac{n}{2^k}$

$$n = 2^k$$

$$T(1) + b \frac{2^k}{2^k}$$

Proof:  $T(n) = aT(\frac{n}{b}) + f(n)$



$$n = b^k$$

$$f(n) + a \cdot f(n/b) + a^2 f(n/b^2) + \dots + a^k f(n/b^k) + \dots + f(1)$$

*k levels  
ending*

$$\underbrace{1}_{6} \quad \underbrace{2}_{6} \quad \underbrace{3}_{15} \quad \underbrace{4}_{21} \quad \underbrace{5}_{21} \quad \underbrace{6}_{27}$$

25.03.2023  
Wednesday.

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Q)  $T(n) = \sqrt{n} T(\sqrt{n}) + n$

Sol: Let  $n = 2^m$

$$T(2^m) = 2^{m/2} T(2^{m/2}) + 2^m$$

Let  $T(2^m) = S(m)$

$$S(m) = 2^{m/2} T\left(\frac{m}{2}\right) + 2^m$$

$$S(m) = 2^{m/2} S\left(\frac{m}{2}\right) + 2^m$$

$$S(m) = 2^{m/2}$$

$$T(n) = 2T\left(\frac{n}{2}\right) + 2$$

$$n^{\log_2 2} - n^{\log_2 1} = n$$

$$O(n^2)$$

## Divide & Conquer

Control Abstraction → Flow of algo.

(earlier written by sir) -

$$T(n) = \underbrace{T(n_1) + T(n_2) + T(n_3) + \dots + T(n_k)}_{\text{Time to solve } k \text{ subproblems}} + f(n)$$

Time to divide  
 +  
 Time to combine

### Binary Search

$$T(n) = 1 \cdot T\left(\frac{n}{2}\right) + c$$

$$a = 1$$

$$b = 2$$

$$f(n) = c$$

$$\log_b n^{\log_b a} = n^0 = 1 \quad \text{Same Growth}$$

$$\therefore O(T(n)) = O(\log n \cdot 1) \quad \text{Ratio: } \frac{1}{2}$$

Best case :-  $O(1)$

Worst case & Avg. case:  $O(\log n)$

### Mergesort

310	285	179	652	351	423	861	254	450	520
low = 0				mid = $\left\lfloor \frac{1+10}{2} \right\rfloor = 5$					high = 10
1	2	3	4	5	6	7	8	9	10
$l=1$									

310	285	179	652	351	423	861	254	450	520
$m = \left\lfloor \frac{1+5}{2} \right\rfloor = 3$				$h = 5$					
$m = \frac{1+3}{2} = 2$									

$$m = \frac{1+2}{2} = 1$$

$$\underline{285} \quad \underline{310}$$

$$179 \quad 285 \quad 310$$

$$m = \frac{4+5}{2} = 4$$

a (main Array)

[

]

[

]

b (Auxiliary Array) $\Theta(n)$ 

Comparing + copy &amp; remaining elements

 $b \xrightarrow{\text{copy}} a. \quad O(n)$  $\therefore O(2n)$ 

$$T(n) = 2T\left(\frac{n}{2}\right) + cn. \quad \xrightarrow{\text{splitting + merging}}$$

$$T(n) = a T\left(\frac{n}{b}\right) + f(n) \quad \xrightarrow{\text{Const.}} \quad O(n)$$

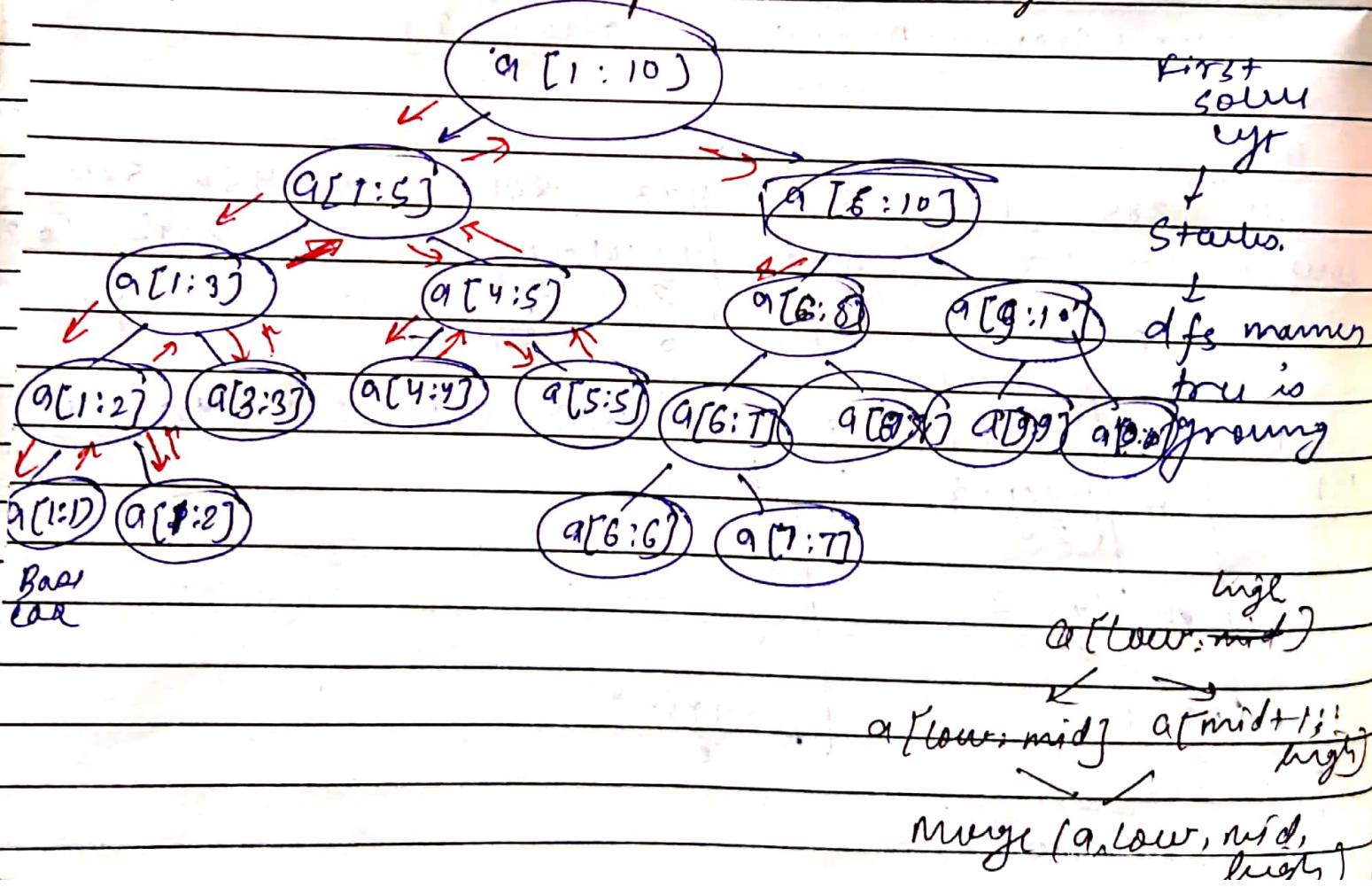
too

$$n^{\log_b a} = n \quad \Rightarrow \quad f(n) = cn$$

$$\therefore T(n) = O(f(n) \cdot n^{\log_b a}) = O(n \log_2 n)$$

BC, WC, AC =  $n \log n$

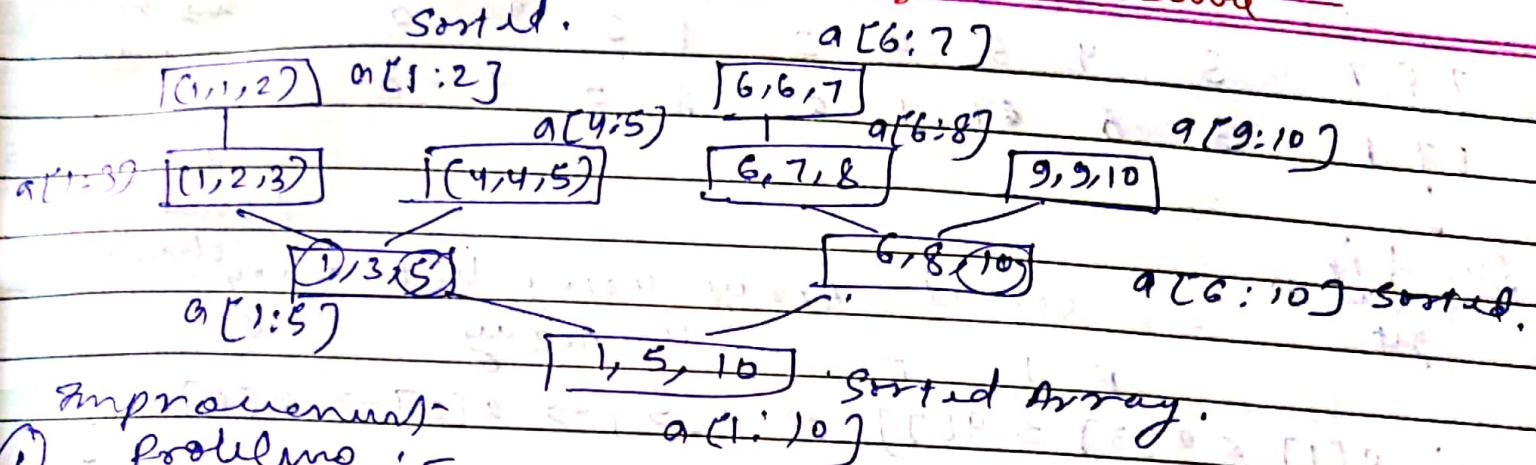
Merge Sort can be represented as MergeSort Tree



30.01.2021  
Monday.

## The Tree Showing Calls of Merge Probable

Sorted.



Improvements:-

① Problems :-

To reduce recursive stack overhead

we can fix mis i/p of mergesort from where we will

② Auxiliary array to store

③ Data change (Records can be large) → merging process

LINK

### LINK ARRAY

Three pointers

q, r, p

To reduce large

seconds swap during  
merge process

q → starting index of 1st sorted

r → n 2nd sorted sublist

p → n of merged sorted list

linkArray

1	2	3	4	5	6	7	8	9
10	30	15	45	25	35	20	40	50

Initialization

q r p

1 2 1

1 3 1

4 5 5

4 5 1

6 7 7

4 9 8

1 2 3 4 5 6 7 8

7 8 7 3 4 5 0 2 8 6 9 0

1 7 1 3 6 8 7 9 0 2 8 5 4 0

Sorted in  
space  $\Theta(n)$   
get

(only array  
change)

$p = 1$  Link Array

$\therefore a[1] \leq a[3] \leq a[7] \rightarrow a[5] \rightarrow a[2] \rightarrow a[6] \rightarrow a[8]$

Link  
array  
 $1 \rightarrow 3 \rightarrow 7 \rightarrow 5 \rightarrow 2 \rightarrow 6 \rightarrow 8$

$a[4]$   
 $a[2]$

and  
end

$10 < 15 < 20 < 25 < 30 < 35 < 40 < 45 < 50$

Advantages :-

- ① ~~overhead~~ Extra space is reduced (Records is replaced by pointers)
- ② Some time is reduced (as copying into original array procedure is removed)

Q) 1 sorted sublists

$$x_1, x_2, x_3, \dots, x_d \rightarrow \text{Not sorted}$$

$$\sum_{i=1}^d x_i = n$$

Merge these sublists in time  $O(n \log d)$

~~get  $\frac{n}{2^k}$~~   $x_1, x_2, x_3, \dots, x_d$

$$(x_1+x_2), (x_3+x_4), \dots, (x_{d-1}+x_d) = n \quad \frac{n}{2^1}$$

$$\underline{\underline{n \log_2 k}}$$

$$\underline{\underline{\sum x_i}} \quad \frac{n}{2^k}$$

⑥  $100 \rightarrow 5028$   
 $n=8$  Stop merge  
Sort  
else Insertion Sort

⑦ Unsorted

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M.2. MinHeap.

constraint  $x_{1 \text{ min}}, x_{2 \text{ min}}, \dots, x_{n \text{ min}}$

$x_1 \geq (x_{1 \text{ min}})$

$x_2 \geq (x_{2 \text{ min}})$

$\vdots$   
 $x_i \geq \dots$

Remove the root/  
reheapify

elements

Bottom up / Top Down

$O(l)$  /  $O(l \log l)$

⑧ Suppose  $x_{3 \text{ min}}$  is the root remove

Fake coin problem (Binary search)

↳ n is odd (find)

↳ n is even



- When we do know coin is heavier or lighter  
Solve fake

lighter &  
heavier  
not known

Explore the use of Ternary Search  
for fake coin problem.

## Ternary Search

2 comparison

$$\frac{1}{n/3} \quad \frac{1}{2n/3}$$

if ( $\frac{1}{3}$ ) {

$$T(n) = T\left(\frac{n}{3}\right) + C_2$$

}

$$T(n) = O(\log_3 n)$$

else {

if ( $\frac{2n}{3}$ ) {

$$T(n) = T\left(\frac{n}{2}\right) + C_1$$

(Binary Search)

else {

Assignment

CIRCLE

Q What is the total no. of  
comp. mech in binary Search

Comp. it with Ternary Search

What is the speedup obtained if we use Ternary Search

## Just ptr. just greater circle]

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### Quick Sort

pivot,  $p$   
 $\leftarrow p \quad \rightarrow p$   
 as(left) as(right)

$p \in$  any element.

→ Inplace Sorting algo  $\rightarrow$  (Not takes extra space)  
 $p \rightarrow$  pivot first element (Hoarle's method)

$i \downarrow i++$

1 2 3 4 5 6 7 8 9 10  $\downarrow p \quad p- -$

65  $\textcircled{70}$  75 80 85 60 55 50  $\textcircled{45}$   $+\infty$   
 $i=2 \quad 70 > 65$   $\uparrow p=9 \quad 45 < 65$

swap Q  
 45  $\textcircled{70}$  80 85 60 55  $\textcircled{50}$  70  $+\infty$   
 $i=3 \quad \uparrow p=8$

45 50  $\textcircled{80}$  85 60  $\textcircled{55}$  75 70  $+\infty$   
 $i=4 \quad p=7$

45 50  $\textcircled{85} 55 \textcircled{85} 60$  80 75 70  $+\infty$  Right ptr.  
 pivot with pivot  
 $i=5 \quad p=6$

65 45 50 55  $\textcircled{60}$  85 80 75 70  $+\infty$  When i crosses  
 $p$   
 $i=6 \quad p=5$  swap p with pivot

60 45 50 55  $\boxed{65}$  85 80 75 70  $+\infty$  Stop the  
 $\leftarrow < 65 \quad \rightarrow > 65$  i ptr. to go beyond

### (n+1) Partitioning

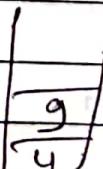
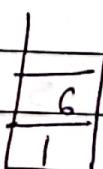
Partitioning =  $O(n)$

Partitioning element is placed at  $k=5$

$A[1:-4] \quad A[6\dots 9]$

use

Stacks to  
store



Upper  
Lower

8, 17, 25, 29, 33, prime & P crosses  $\rightarrow$  All are scanned.  
48, 64, 75

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64 45 50 55  
 $P_i$   $P_p$

### Sorted Array

1 2 3 4 5 6 7 8 9 10  $+ \infty$   
 $n$   $P'_1$   $P'_2$   $P'_3$   $P'_4$   $P'_5$   $P'_6$   $P'_7$   $P'_8$   $P'_9$   $P'_10$   $P'_+ \infty$   
 $i$   $P'_1$   $P'_2$   $P'_3$   $P'_4$   $P'_5$   $P'_6$   $P'_7$   $P'_8$   $P'_9$   $P'_10$   $P'_+ \infty$   
 $P'_1$   $P'_2$   $P'_3$   $P'_4$   $P'_5$   $P'_6$   $P'_7$   $P'_8$   $P'_9$   $P'_10$   $P'_+ \infty$   
 $n-1$  2 3 4 5 6 7 8 9 10

$A[1..n]$

Depth

$A[2..n]$

$A[3..n]$

### Reverse Sorted Array

$\frac{1}{n}$   $O(n^2)$

10 9 8 7 6 5 4 3 2 1  $+ \infty$   
 $n$   $P'_1$   $P'_2$   $P'_3$   $P'_4$   $P'_5$   $P'_6$   $P'_7$   $P'_8$   $P'_9$   $P'_10$   $P'_+ \infty$   
Interchange  $P'_1$   $P'_2$   $P'_3$   $P'_4$   $P'_5$   $P'_6$   $P'_7$   $P'_8$   $P'_9$   $P'_10$   $P'_+ \infty$   
1 9 8 7 6 5 4 3 2 1  $+ \infty$   
1 9 8 7 6 5 4 3 2 1  $+ \infty$

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; -

$O(n^2)$

(A[1 - 10])

A[11 - 09]

A[2 - 9]

A[2 - 8]

depth  
 $= O(n)$

int Partition (Type a[], int m, int p)  
//

{ Type - v = a[m], int i = m, j = p  
do {

    do i++;  
    while (a[i] < v),                          until we find  
    do j--;  
    while (a[j] > v),                          smaller

    if (i < j)

        Interchange (a[i] & a[j]),

}

    while (i < j);

// Interchange v and a[j]

\* a[m] = a[j];      a[j] = v;

return j;

{

S void Quicksort (int p, int q)

//  $a[1 \dots n]$   $a[n+1] = \infty$

S if ( $p < q$ ) // if there are more than 1 element in the array

// Partition the array

int j = Partition ( $a, p, q+1$ );

// j is the position of partitioning element.

// Solve the subproblems

Quicksort ( $p, j-1$ );

Quicksort ( $j+1, q$ );

{ }

$\xrightarrow{\quad K \quad}$   
 $\xleftarrow{\quad n-K \quad}$

### Avg. Case Analysis

equally likely for all

$$C_{\text{Avg}}(n) = (n+1) + \sum_{k=1}^n P(k) [C_{\text{Avg}}(k-1) + C_{\text{Avg}}(n-k)]$$

Time to Partition

$$\Rightarrow C_{\text{Avg}}(n) = n+1 + \frac{1}{n} \sum_{k=1}^n [C_A(k-1) + C_A(n-k)]$$

$$\Rightarrow C_A(n) = n+1 + \frac{1}{n} (C_A(0) + C_A(n-1) + C_A(1) + C_A(n-2) + \dots + C_A(n-2) + C_A(1) + C_A(n-1) + C_A(0))$$

$$\Rightarrow C_A(n) = n+1 + \frac{2}{n} (C_A(0) + C_A(1) + C_A(2) + \dots + C_A(n-1))$$

Multiply both sides by  $n$ :

$$n C_A(n) = n(n+1) + 2 \{ C_A(0) + C_A(1) + C_A(2) + \dots + C_A(n-1) \}$$

Repeating (I) for  $C_A(n-2)$

④

$$(n-1)C_A(n-1) = (n-1)n + 2[C_A(1) + \dots + C_A(n-2)] \rightarrow \textcircled{I}$$

$\textcircled{II} - \textcircled{I}:$

$$\therefore C_A(n) = (n-1)C_A(n-1) = n(n+1) - n(n-1) + 2C_A(n-1)$$

$$\Rightarrow nC_A(n) = n\{n+1 - (n-1)\} + (2+n-1)C_A(n-1)$$

$$\Rightarrow nC_A(n) = 2n + (n+1)C_A(n-1) \rightarrow \textcircled{III}$$

Divide  $\textcircled{III}$  by  $n(n+1)$

$$\frac{C_A(n)}{n+1} = \frac{2}{n+1} + \frac{C_A(n-1)}{n} \quad \text{[no of comp.]} \quad \left[ \frac{C_A(n-1)}{n} = \frac{2}{n-1} + \frac{C_A(n-2)}{n-1} \right]$$

$$+ 1 \leftarrow \frac{C_A(n-2)}{n-1} + 2 \rightarrow \frac{2}{n} + \frac{2}{n+1}$$

$$= \frac{C_A(n-3)}{n-2} + \frac{2}{n-1} + \frac{2}{n} + \frac{2}{n+1}$$

$$[C_A(0) = C_A(1) = 0 \text{ (Base case)}]$$

$$= C_A(n-1) + \frac{2}{2} + \dots + \frac{2}{n-1} + \frac{2}{n} + \frac{2}{n+1}$$

$$= \frac{C_A(1)}{2} + \frac{2}{3} + \frac{2}{4} + \dots + \frac{2}{n} + \frac{2}{n+1}$$

$$= 0 + 2 \left( \frac{1}{2} + \frac{1}{3} + \dots + \frac{1}{n} + \frac{1}{n+1} \right)$$

$$\sum_{i=3}^n \frac{1}{i} \leq \sum_{i=2}^n \frac{1}{i} \quad \sum_{i=2}^n \frac{1}{i} = \int_{n=2}^{n=n} \frac{1}{x} dx = [\log n]_2^n \\ = [\log n - \log e]$$

$$\frac{C_A(n)}{n+1} = \frac{0}{2} + 2 [\log n - \log e]$$

$$\therefore C_A(n) = O(n \log_e n)$$

$$\text{or } C_A(n) = O(n \log_2 n)$$

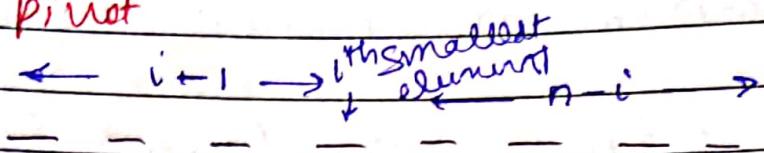
$$c = C_0 \log_e 2$$

2 2 2 2 all elements equal  
then too worst case  $O(n^2)$

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## Improvements of Quick Sort:-

① Random pivot



$$i = \text{random}(1, n)$$

Interchange  $a[1] \leftrightarrow a[i]$

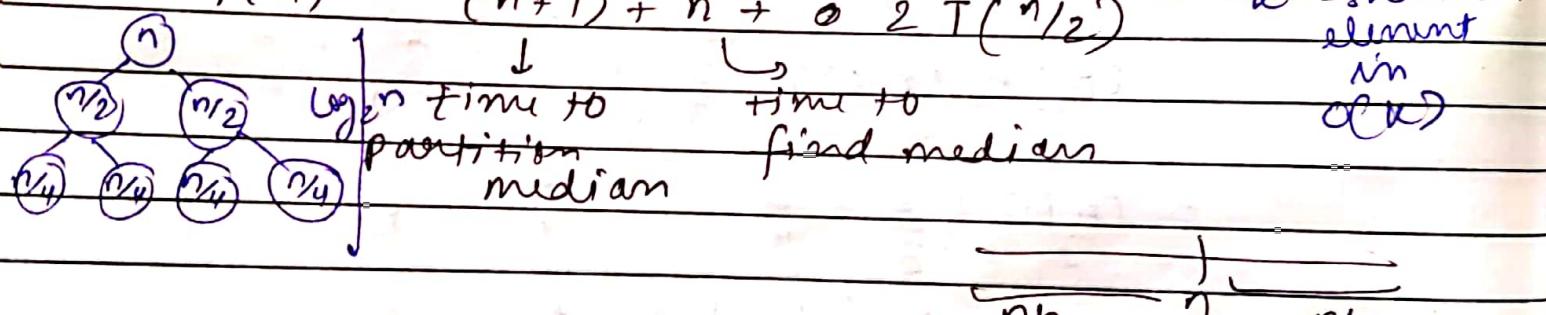
Proceed with Normal Quick Sort

Same:

$$C(n) \oplus = (n+1) + \sum_{k=1}^n P(k) [C(i-1) + C(n-i)]$$

② Pick up the median of the list as the pivot element  $\rightarrow O(n)$   $\rightarrow \frac{n}{2}$  th smallest element

$$T(n) = (n+1) + n + 2 T(\frac{n}{2})$$



$$\Rightarrow T(n) = 2n + T(n/2)$$

$O(n \log n)$

③ Pick up pivot as median of 3 low, middle & high element (Median of 3) turned

low      mid      high

$\hookrightarrow$  Median =  $O(1)$

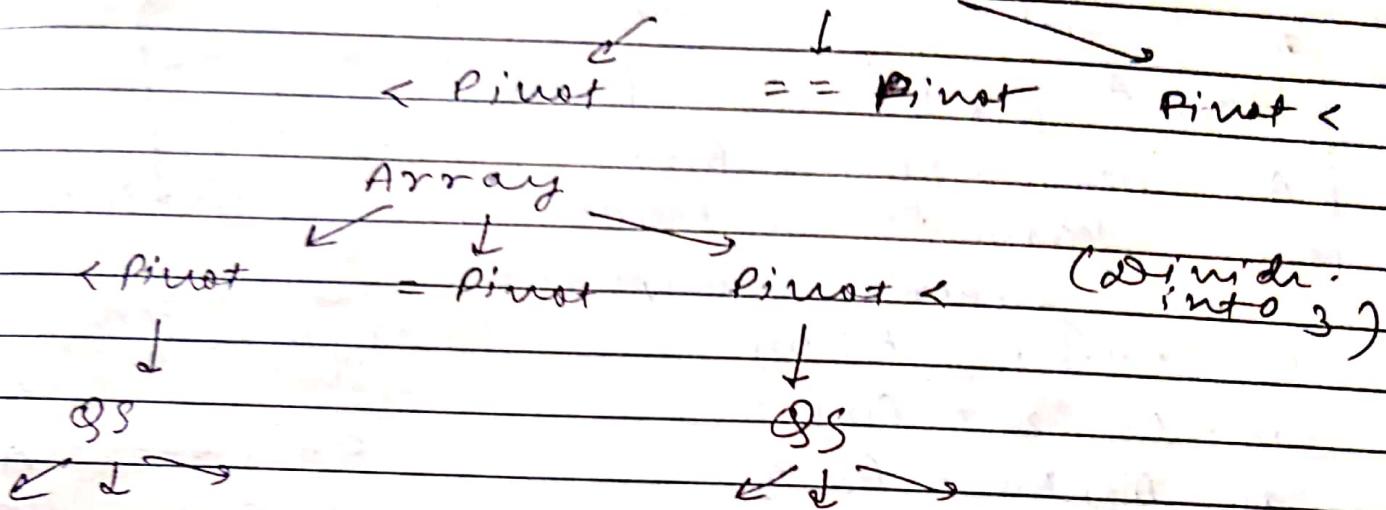
Not necessarily median

$T(n/2)$  not always.

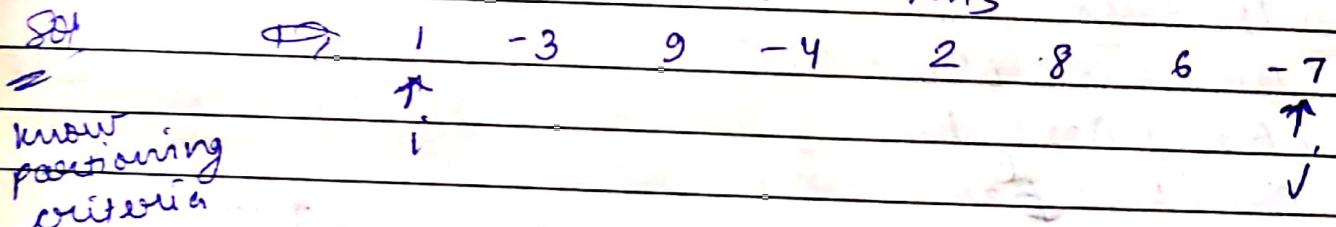
Skewed Trees can be reduced but can't guarantee.

## Improvement in Partition Algo :-

(Repetition of element 2)



Q) Get -ve on LHS & +ve on RHS

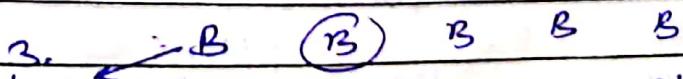
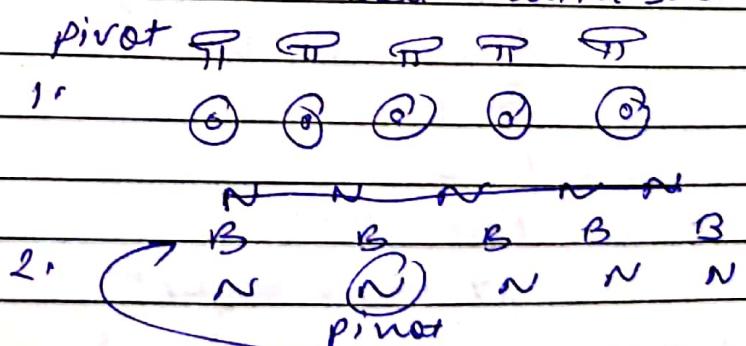


Q) Nuts & Bolts problem

~~Sol:~~ Alternatively apply partition in both

→ You can't match nut with nut & bolt with bolt

$O(n \log_2 n)$



only left side  
check [ This  
bolt is for  
nut in fig]

## STRASSEN'S MATRIX MULTIPLICATION

$$\begin{array}{c}
 \begin{array}{c} A \ n \times n \\ \begin{array}{|c|c|} \hline a_{11} & a_{12} \\ a_{21} & a_{22} \\ a_{n1} & a_{n2} \\ \hline \end{array} \end{array}
 \quad
 \begin{array}{c} B \ n \times n \\ \begin{array}{|c|c|} \hline b_{11} & b_{12} \\ b_{21} & b_{22} \\ b_{n1} & b_{n2} \\ \hline \end{array} \end{array}
 \quad
 \begin{array}{c} C \ n \times n \\ \begin{array}{|c|c|} \hline c_{11} & c_{12} \\ c_{21} & c_{22} \\ c_{n1} & c_{n2} \\ \hline \end{array} \end{array}
 \end{array}$$

matrix multiplication.

$$C_{11} = A_{11} \cdot B_{11} + A_{12} B_{21}$$

$$T(n) = 8 T(n/2) + cn^2$$

add n

$$C_{12} = A_{11} \cdot B_{12} + A_{12} B_{22}$$

$$a=8, b=2, f(n)=cn^2$$

$$C_{21} = A_{21} \cdot B_{11} + A_{22} B_{21}$$

$$a=8, b=2, f(n)=cn^2$$

$$C_{22} = A_{21} B_{12} + A_{22} B_{22}$$

$$n \log_b a = n \log_2 8 = n^3$$

Strassen formula

$$P = (A_{11} + A_{22}) (B_{11} + B_{22})$$

NO Proof  
only formula

$$Q = (A_{21} + A_{22}) - B_{11}$$

$$R = A_{11} (B_{12} - B_{22})$$

$$S = A_{22} (B_{21} - B_{11})$$

$$T = (A_{11} + A_{12}) B_{22}$$

$$U = (A_{21} - A_{11}) (B_{11} + B_{12})$$

$$V = (A_{12} - A_{22}) (B_{21} + B_{22})$$

$$C_{11} = P + S - T + V$$

$$C_{12} = R + T$$

$$C_{21} = Q + S$$

$$C_{22} = P + R - Q + U$$

$$S \uparrow \begin{bmatrix} 11 & 12 \\ 21 & 22 \end{bmatrix} \downarrow$$

$$\overbrace{\qquad\qquad\qquad}^Q$$

$$B_{11}, B_{12}, B_{21}, B_{22}$$

$$7 \text{ matrix mult} \rightarrow 18 \text{ matrix add} \rightarrow T(n) = 7 \left( \frac{n}{2} \right)^2 + 18 cn^2$$

$$a=7, b=2, f(n)=cn^2$$

$$n \log_b a = n \log_2 7 = n^{2.81}$$

$$\cancel{O(\log)} \quad O(n^{\log_2 7}) \text{ or } O(n^{2.81})$$

2, 3, 20

If not in powers of 2 then  
append row of 0's & column of 0's  
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$$\begin{array}{c}
 \text{A}_{11} \quad \text{A}_{12} \quad \text{B}_{11} \quad \text{B}_{12} \\
 \left[ \begin{array}{cc|cc} 1 & 2 & 1 & 2 \\ 2 & 0 & 1 & 2 \\ 1 & 0 & 2 & 1 \\ 2 & 1 & 0 & 1 \end{array} \right] = \left[ \begin{array}{cc|cc} 1 & 2 & -1 & 0 \\ 2 & 1 & 0 & 1 \\ -1 & 2 & 0 & 2 \\ 0 & 1 & 1 & 2 \end{array} \right]
 \end{array}$$

$$P = \left( \left[ \begin{array}{cc} 1 & 2 \\ 2 & 0 \end{array} \right] + \left[ \begin{array}{cc} 2 & 1 \\ 0 & 1 \end{array} \right] \right) \left( \left[ \begin{array}{cc} 1 & 2 \\ 2 & 1 \end{array} \right] + \left[ \begin{array}{cc} 0 & 2 \\ 1 & 2 \end{array} \right] \right)$$

$$= \left[ \begin{array}{c|c} 3 & 3 \\ 2 & 1 \end{array} \right] \left[ \begin{array}{c|c} 1 & 4 \\ 3 & 3 \end{array} \right] \quad \begin{matrix} \text{after singe} \\ \text{division} \end{matrix}$$

$$a_{11} = 3, a_{12} = 3, a_{21} = 2, a_{22} = 1, b_{11} = 1, b_{12} = 4, b_{21} = 3, b_{22} = 3$$

$$P' = (3+1)(1+3) = 16$$

$$T' = (3+3) \cdot 3 = 18$$

$$q' = (2+1) \cdot (1) = 3$$

$$u' = \cancel{-2} - 1 \cdot \cancel{5} = \cancel{-5} - 5$$

$$r' = (3) (1) = 3$$

$$v' = (2) \cdot (6) = \cancel{12} 12$$

$$s' = (1) \cdot (-2) = 2$$

$$c_{11} = 12 \quad c_{12} = 21 \quad c_{21} = 5 \quad c_{22} = \cancel{2} 11$$

$$P = \left[ \begin{array}{cc} 12 & 21 \\ 5 & 11 \end{array} \right] \rightarrow \textcircled{1}$$

$$Q = \left( \left[ \begin{array}{cc} 1 & 0 \\ 2 & 1 \end{array} \right] + \left[ \begin{array}{cc} 2 & 1 \\ 0 & 1 \end{array} \right] \right) \cdot \left[ \begin{array}{cc} 1 & 2 \\ 2 & 1 \end{array} \right] = \left[ \begin{array}{c|c} 3 & 1 \\ 2 & 2 \end{array} \right] \cdot \left[ \begin{array}{c|c} 1 & 2 \\ 2 & 1 \end{array} \right]$$

a<sub>11</sub>

## LONG INTEGER MULTIPLICATION

$x, y$

n digit integers

$$x = a \cdot 2^{n/2} + b \quad y = c \cdot 2^{n/2} + d$$

$$x \cdot y = (a \cdot 2^{n/2} + b) \cdot (c \cdot 2^{n/2} + d)$$

$$= [a \cdot c] 2^n + [b \cdot c] 2^{n/2} + [ad] 2^{n/2} + [bd]$$

$$\Rightarrow [(bc+ad) 2^{n/2}] = (a+b)(c+d) - ac - bd$$

$$T(n) = 3 T\left(\frac{n}{2}\right) + cn$$

$$T(n) = 4 T\left(\frac{n}{2}\right) + cn$$

↳ 4 multiply

3 recursion  
steps

base-2

for addition

$$T(n) = 3 \left[ 3 T\left(\frac{n}{2^2}\right) + \frac{cn}{2} \right] + cn$$

$$= 3^2 T\left(\frac{n}{2^2}\right) + \left(\frac{3}{2}\right) cn + cn$$

$$= 3^2 \left[ 3 T\left(\frac{n}{2^3}\right) + \frac{cn}{2^2} \right] + \left(\frac{3}{2}\right) cn + cn$$

$$= 3^3 T\left(\frac{n}{2^3}\right) + \frac{3^2}{2^2} cn + \frac{3}{2} cn + cn$$

In General

$$T(n) = 3^k T\left(\frac{n}{2^k}\right) + \left\{ \frac{3^{k-1}}{2^{k-1}} cn + \dots + \frac{3}{2} cn + cn \right\}$$

where

$$n = 2^k$$

$$= 3^k T(1) + cn \left( 1 + \frac{3}{2} + \dots + \frac{3^{k-1}}{2^{k-1}} \right)$$

$$\rightarrow \log_2 n = k$$

$$+ cn \left[ \left( \frac{3}{2} \right)^{k-1} - 1 \right]$$

$$= 3^k (T(1)) + \left[ \left( \frac{3}{2} \right)^k - 1 \right] 2 cn$$

$$= 3^{\log_2 n} a + \left\{ \left( \frac{3}{2} \right)^{\log_2 n} - 1 \right\} 2 cn$$

$$= n^{\log_2 3} a + (n^{\log_2 3/2} - 1) 2 cn$$

$$\text{Master's Theorem } T(n) = 3T\left(\frac{n}{2}\right) + cn$$

Date: \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
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$$= n \log_2 3 a + \frac{1}{n} \log_2^{3/2} 2 cn - 2cn$$

$$= n \log_2^3 a + 2n \log_2^{3/2} cn - 2cn$$

$$= n \log_2^3 a + 2cn \log_2^3 \cancel{a} - 2cn$$

$$= (a + c) + (a + 2c)n \log_2^3 - 2cn$$

$$TC = \underline{\underline{O(n \log_2^3)}} = O(n^{1.55})$$

NOTE:

① Decreasing # of multiplication on the expense of  
# of Add^n

can be done by dividing no. into powers of  
base 10

$$xy = (a_{10^{n/2}} + b) \cdot (c_{10^{n/2}} + d)$$

=

merge

Quick sort using link array.

```
int mergesort (low, high)
{
    if (low == high)
        return low;
    else if (low < high)
        {
            mid = (low + high) / 2;
```

q = mergesort (low, mid);

r = mergesort (mid+1, high);

return merge (q, r);

}

}

```

S int merge (q, r)
S {
    S int i, j, k;
    S i = q, j = r, k = 0;
    S while (i != 0 && j != 0) do // until both lists
    S {
        S if (A[i] ≤ A[j]) // find
        S {
            S link [k] = i; // add a new
            S link [i] = k; // key to
            S i = link [i]; // list
        }
        S else
        S {
            S link [k] = j; // add a new
            S link [j] = k; // key to
            S j = link [j]; // list
        }
    }
    S } // end while
    S if (i == 0) // first list exhausted
    S {
        S link [k] = j;
    }
    S else
    S {
        S link [k] = i;
    }
    S return link [0];
}

```

0	1	2	3	4	5	6	7	8		
p	q	r	50	10	25	30	15	70	35	55
2	5	8	0	3	4	1	7	0	8	6
i	j	2	0	3	4	1	7	0	8	6

$\downarrow$   
 $10 < 15$   
 $3 > 7$   
 $5 > 15$

$k=0$

0	1	2	3	4	5
---	---	---	---	---	---

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$25 < 35$   
i j

4 7 | 1 0 5 4 1 3 0 8 6  $k=3$

$30 < 35$  0 5 4 1 3 0 8 6  $k=4$

1 7 0 5 4 1 3 0 8 6  $k=7$   
 $50 > 35$

1 8 0 5 4 7 3 0 1 6  $k=1$   
 $50 < 55$

end of i ∴ with  $[k] = 10$  j

1	2	3	4	5	6	7	8
8	5	4	7	3	0	1	6

(1) (2) (3) (4) (5) (6) (7) (8)

~~+10 -15~~

50 10 25 30 15 70 35 55

$10 < 15 < 25 < 30 < 35 < 50 < 55 < 70$  sorted

Division:

i=1 j=2

1	2	
0	1	2

9

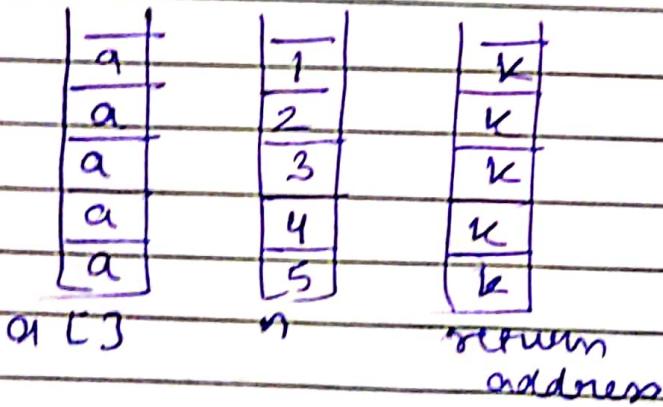
## SPACE COMPLEXITY

SOL

```

Algorithm Rsum (int a[], int n)
{
    if (n ≤ 0)
        return 0;
    else
        return (Rsum (a, n-1) + a[n]);
}

```

→ ~~Stack~~ SC =

13.02.2023  
Monday

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## GREEDY :

n i/p's

↳ subset of inputs - problem constraints

↳ feasible solution

↳ optimal solution

↳ maximizes/minimizes

objective function

## Greedy Technique

↳ moves in state

↳ take one i/p at a time

↳ add it to ans vector (if optimal)  
(else reject)

## Procedure Greedy (A, n)

// A[1:n] contains n i/p's

solution  $\leftarrow \emptyset$

for  $i \leftarrow 1$  to  $n$  do

$x \leftarrow \text{select}(A)$

if Feasible(solution,  $x$ )

then solution  $\leftarrow \text{union}(\text{solution}, x)$

end if

repeat

return(solution)

end Greedy.

## Knapsack (Fractional)

n objects

$w = \{w_1, w_2, \dots, w_n\}$        $P = (p_1, p_2, \dots, p_n)$

Knapsack - M

$x = (x_1, x_2, \dots, x_n)$  each  $0 \leq x_i \leq 1$   
↳ fixed size solution vector

Optimal Sol<sup>n</sup>

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maximize  $\sum_{i=1}^n P_i x_i$  (objective function)

subject to the constraint  $\sum_{i=1}^n w_i x_i \leq M$  (problem constraint)

[In 0/1 knapsack,

feasible sol<sup>n</sup>

it is variable size col<sup>n</sup> vector]

length of  $x = 1 \text{ to } n$

each  $x_i \in \{0, 1\}$

$1 \leq i \leq n$ ,  $i, 4, 7$

or fixed length  $=$

each  $x_i \in \{0, 1\}$

$$x = \{1, 0, 0, 1, 0, 0, 1\}$$

$n = 3$

$M = 20$

$$(P_1, P_2, P_3) = (25, 24, 15)$$

$$(W_1, W_2, W_3) = (18, 15, 10)$$

$x_1, x_2, x_3$

$$\sum w_i x_i$$

$$\sum P_i x_i$$

max.

$$\frac{1}{2}, \frac{1}{3}, \frac{1}{4}$$

$$16.5$$

$$24.25$$

$$(25)$$

$$\frac{24}{15}$$

$$\frac{15}{10}$$

$$x_1 = 1, x_2 = \frac{2}{3}, x_3 = 0$$

$$20$$

$$28.2$$

$$(18)$$

$$\frac{24}{15}$$

$$\frac{15}{10}$$

$$\frac{15}{10}$$

$$x_1 = 0, x_2 = \frac{2}{3}, x_3 = 1$$

$$20$$

$$31$$

$$(25)$$

$$= 1.388$$

$$= 2.6$$

$$= 1.5$$

$$x_1 = 0, x_2 = 1, x_3 = \frac{1}{2}$$

$$20$$

$$31.5$$

$$(25)$$

$$\frac{24}{15}$$

$$\frac{15}{10}$$

$$\frac{25}{18}$$

$$x_1 = 0, x_2 = 1, x_3 = 1$$

$$20$$

$$31.5$$

$$(25)$$

$$\frac{24}{15}$$

$$\frac{15}{10}$$

$$\frac{25}{18}$$

$$\sum w_i x_i = \frac{1}{2} \times 18 + \frac{1}{3} \times 15 + \frac{1}{4} \times 10$$

$$= 9 + 5 + 2.5 = 16.5$$

$$\frac{P_1}{w_1} = \frac{25}{18}, \frac{P_2}{w_2} = \frac{24}{15}, \frac{P_3}{w_3} = \frac{15}{10}$$

$$\frac{24}{15} > \frac{25}{18} > \frac{15}{10}$$

$$31.5$$

$$\sum P_i x_i = \frac{1}{2} \times 25 + \frac{1}{3} \times 24 + \frac{1}{4} \times 15 = 12.5 + 8 + 3.75 = 24.25$$

① Greedy on profit.

$P = 25, W = 18$  (Weight used)  
(Profit earned)

Remaining capacity =  $20 - 18 = 2$

$$P = 25 + \frac{2}{15} \times 24 = 25 + 3.2 = 28.2$$

$$W = 18 + \frac{2}{15} \times 15 = 20$$

## (2) Greedy on Weight

Profit earned =  $15 \times 1 = 15$

3rd object

Wt. used  $W = 10$

Rem. cap. = ~~20~~  $20 - 10 = 10$   $x_2 = \frac{10}{15}$

$W = 10 + \frac{10}{15} \times 15 = 20$

$P = 15 + \frac{10}{15} \times 24 = 15 + 16 = 31$

(3) Greedy on ~~in the order of~~  $P_i/W_i$ Select objects in the ~~in the~~ following order of  $P_i/W_i$ :

$$\frac{P_1}{W_1} = \frac{25}{18}, \quad \frac{P_2}{W_2} = \frac{24}{15}, \quad \frac{P_3}{W_3} = \frac{15}{10} \quad \left\{ O(n^2) \right.$$

$$= 1.388 \quad = 1.6 \quad = 1.5$$

Sort.  $P_2 \quad P_3 \quad P_1 \quad ] O(n \log n)$   
 $1.6 \quad 1.5 \quad 1.388$

$x_2 = 1$

$P = 24 \times 1 = 24 \quad W = 15 \times 1 = 15$

Rem. cap. =  $20 - 15 = 5$

$x_3 = \frac{5}{10} = \frac{1}{2} \quad P = 24 + \frac{1}{2} \times 15 = 24 + 7.5 = 31.5$

$W = 15 + \frac{1}{2} \times 10 = 20 \quad \text{Rem. capacity} = 0 \quad x_1 = 0$

$x_1 = 0 \quad x_2 = 1 \quad x_3 = 1/2$

Optimal Menger Partition

$(x_1, x_2, x_3, \dots, x_n)$

$x_1 - n \geq (x_1 + x_2) \rightarrow O(n+m)$

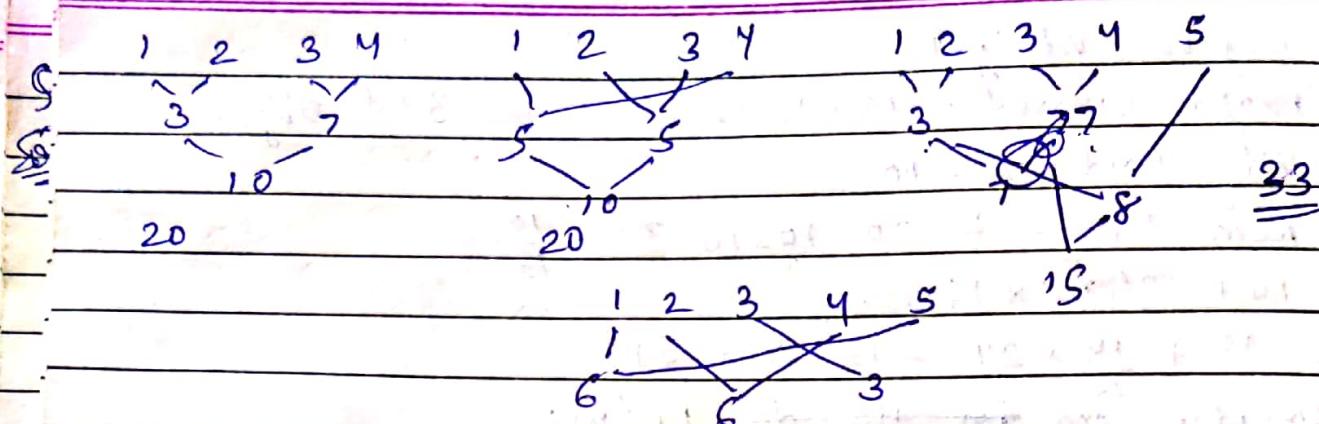
$30 \quad 20 \quad 10 \quad 30 \quad 20 \quad 10$

$\begin{cases} 50 \\ 60 \end{cases}$

$30 + 60 = 90$

$\begin{cases} 30 \\ 60 \end{cases}$

$30' + 20' = 30 + 60 = 90$



**Objectif fn:**

To minimize the no. of records movements

**Greedy criterion:**

Select the 2 smallest size files at each step for merging

$$F_1, F_2, F_3, F_4, F_5 = (20, 30, 10, 5, 30)$$

$$\text{sorted order } (F_4, F_3, F_1, F_2, F_5) = (5, 10, 20, 30, 30)$$

$$\text{Merge } F_4 \& F_3 = 21 + 12 = 15 \quad \text{Total No. of record movements} = 15$$

$$\text{sorted order } (F_1, F_2, F_3, F_5) = (5, 20, 30, 30)$$

$$\text{Merge } F_1 \& F_2 = 22 + 12 = 35 \quad \text{Total No. of record movements} = 35$$

$$\text{sorted order } (F_2, F_3, F_5) = (30, 30, 35) = 15 + 35 = 50$$

$$\text{Merge } F_2 \& F_3 = 23 + 12 = 60 \quad \text{Total No. of record movements} = 30 + 35 = 65$$

$$\text{sorted order } (F_3, F_5) = (60, 35) = 35 + 60 = 95$$

$$\text{Merge } F_3 \& F_5 = 24 + 12 = 36 \quad \text{Total No. of record movements} = 35 + 60 = 95$$

**Weighted  
correct  
calculation**

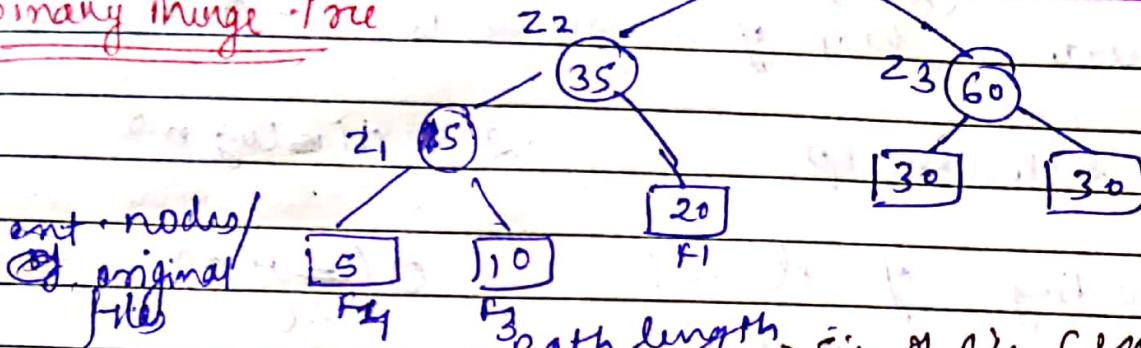
Total =  $\sum$  elements in array

Min. Possibility

13.02.2022  
Binary Merge Tree

95 Date: / / Page no.:

### Binary Merge Tree



ent. nodes/  
original  
file

$$\begin{aligned} \text{Total no. of } &= (3 \times 5) + 3 \times 10 + 2 \times 20 + 2 \times 30 + 2 \times 30 \\ \text{Record movements} &= 15 + 30 + 40 + 60 + 60 \\ &= 205 \end{aligned}$$

Weighted Path length =  $\sum_{i=1}^n d_i n_i$

Path length of nodes from root  $\rightarrow$  sum of file.

Binary merge Tree.

Procedure ~~TREE~~ TREE (L, n)

// L is a list of n single node binary tree  
for  $i \leftarrow 1$  to  $n$  do       $O(n)$   
    Call ~~get node (T)~~ // return new node in variable T  
    L Child (T)  $\leftarrow$  least (L);      { constant time  $O(1)$ }  
    R Child (T)  $\leftarrow$  least (L);      { time  $O(\log n)$ }  
    Weight (T)  $\leftarrow$  weight (L Child (T)) + weight (R Child (T));  
    Call Insert (L, T) // Inserting node T in sorted list  $\rightarrow O(n)$   
repeat       $O(n \log n)$

return (least (L));       $O(n^2)$

end Tree

if we have list.

→ stored as list

→ stored as (min) heap

$O(n \log n)$

- (min)
- ① Create a heap of  $n$  elements  $O(n \log n) / O(n)$
  - ② 2 deletion op  $2 * O(\log n)$
  - ③ Adding of element  $O(\log n)$

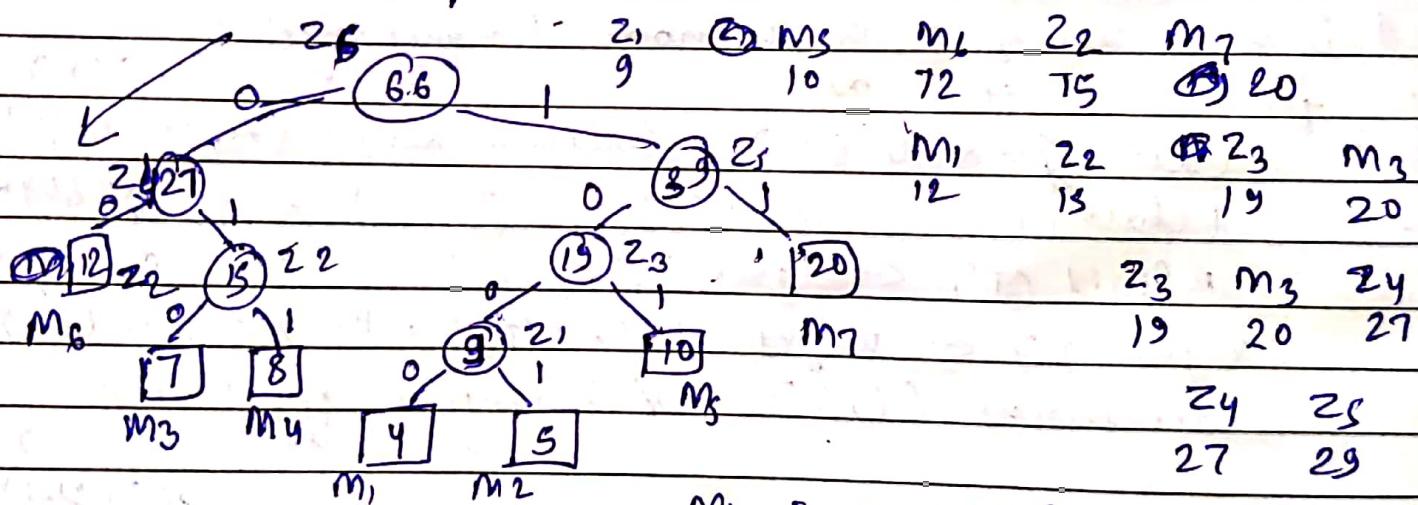
### Huffman Codes (prefix coded)

$m_1, m_2, \dots, m_n \rightarrow$  message

$f_1, f_2, \dots, f_n \rightarrow$  frequency of messages

$m_1$	$m_2$	$m_3$	$m_4$	$m_5$	$m_6$	$m_7$
4	5	7	8	10	12	20

$m_1$	$m_2$	$m_3$	$m_4$	$m_5$	$m_6$	$m_7$
4	5	7	8	10	12	20



Start from  
root to  
message  
node

combine  
change  
of message

$m_1 =$	$1000$	$f_1 = 4$
$m_2 =$	$1001$	$f_2 = 5$
$m_3 =$	<del>1010</del>	$f_3 = 7$
$m_4 =$	$011$	$f_4 = 8$
$m_5 =$	$101$	$f_5 = 10$
$m_6 =$	$00$	$f_6 = 12$
$m_7 =$	$11$	$f_7 = 20$

Aug. no. of bits = Total bits  
No. of chars.

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In naive method: Code length = fixed to 4-bit for each of these messages

$M_1$	$M_2$	$M_3$	$M_4$	$M_5$	$M_6$	$M_7$	$M_8$	$M_9$	$M_{10}$	$\rightarrow 7 \times 4$	$= 28$ bits
4	2	2	4	3	3	3	3	3	3	$\rightarrow 21$	$\underline{\underline{bits}}$

$$\% \text{ Change in bits} = \frac{28 - 22}{28} \times 100 \\ = \frac{6}{28} \times 100$$

### Decoding

1000 00 11 1001 11 010 000 011  
m<sub>1</sub> m<sub>2</sub> m<sub>3</sub> m<sub>4</sub> m<sub>5</sub> m<sub>6</sub> m<sub>7</sub>

Start from root  
go right  
or left  
each way

There is no prefix code of any other

C. No confusion]

NOTE: ① None of the node is the prefix of the other nodes.

Confusion

101 10 y (present)  
end end ? among

that no HC knows

② Less frequent messages are away from root.

③ Find min. weighted external path length.

$$\sum \frac{m_i f_i}{\sum f_i} = \text{avg. no. of bits used.}$$

Find length encoding

014.02.2023  
Wednesday,

e-<sup>v+</sup> edge from other edges to make spanning tree  
Date: / / Page no: e-(v-1)

## MINIMUM SPANNING TREE

G: Connected subgraph  $G'$  such that it has no cycles - Spanning tree.

Minimum Spanning Tree: - Spanning Tree that has least cost Tree.

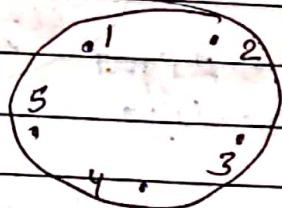
Cost of MST: sum of weights of edges of the tree.

Prims

MST      Graph  
 $u$        $v$

LCE  
(Least Cost Edge)

$\text{near}(1) = 3$



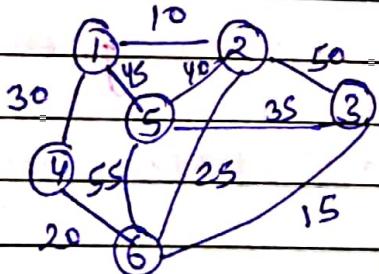
$$(1,2) = 2$$

$$(1,3) = 1$$

$$(1,4) = 3$$

"Subgraph at each step in Prims is a tree"

Q) Prims



(1)

$$\text{near}(4) = 6$$

$$\text{cost}(4, \text{near}(4)) = 20 \quad \text{near}$$

$$\text{near}(3) = 6$$

$$\text{cost}(3, \text{near}(3)) = 15$$

Kruskal's

Subgraph at each step may be a forest

collection of trees

(all not connected)

Greedy on cost

-> Greedy Technique



cost

10

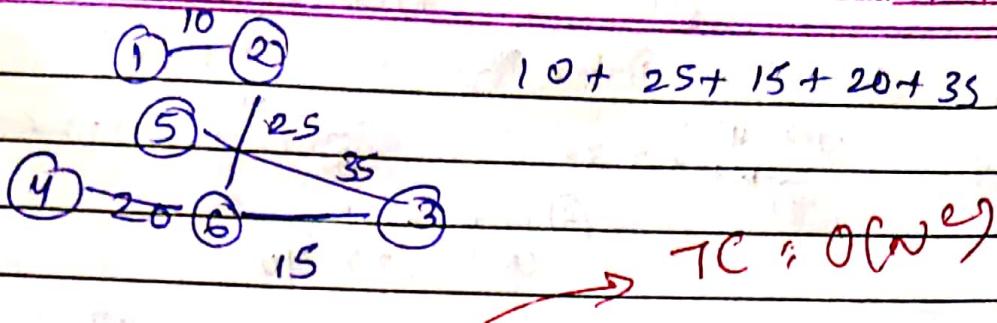
at each step select one vertex inside tree & one inside graph

To get least cost again & again as soon as we add vertex

Total no. of Spanning trees =  $n^{n-2}$

for distinct wts.  
of edges  
there must be unique  
MS if it exists

Date: / / Page no:



Procedure PRIM ( $E$ , cost,  $n$ ,  $T$ )

11

real cost( $n, n$ ), mincost;

int Near( $n$ ), i, j, k, l, n, T(1 :  $n-1, 2$ )

$(k, l) \leftarrow$  edge with min cost  $\rightarrow$  selecting min. edge

$T(1,1), T(1,2) \leftarrow (k, l)$

for  $i \leftarrow 1$  to  $n$  do

if  $\text{cost}(i, l) < \text{cost}(i, k)$  then  $\text{Near}(i) \leftarrow l$   
else  $\text{Near}(i) \leftarrow k$

repeat

$\text{Near}(k) \leftarrow \text{Near}(l) \leftarrow 0$

part of

for  $i \leftarrow 2$  to  $n-1$  do

$/ / n-1$  times

let  $j$  be an iden such that  $\text{Near}(j) \neq 0$

and  $\text{cost}(j, \text{near}(j))$  is minimum

$\rightarrow O(n)$   
to list

0)  $(T(i,1), T(i,2)) \leftarrow (j, \text{near}(j))$

$\text{mincost} + \leftarrow \text{cost}(j, \text{near}(j))$

Overall  
 $O(n^2)$

$\text{Near}(j) \leftarrow 0$ ;

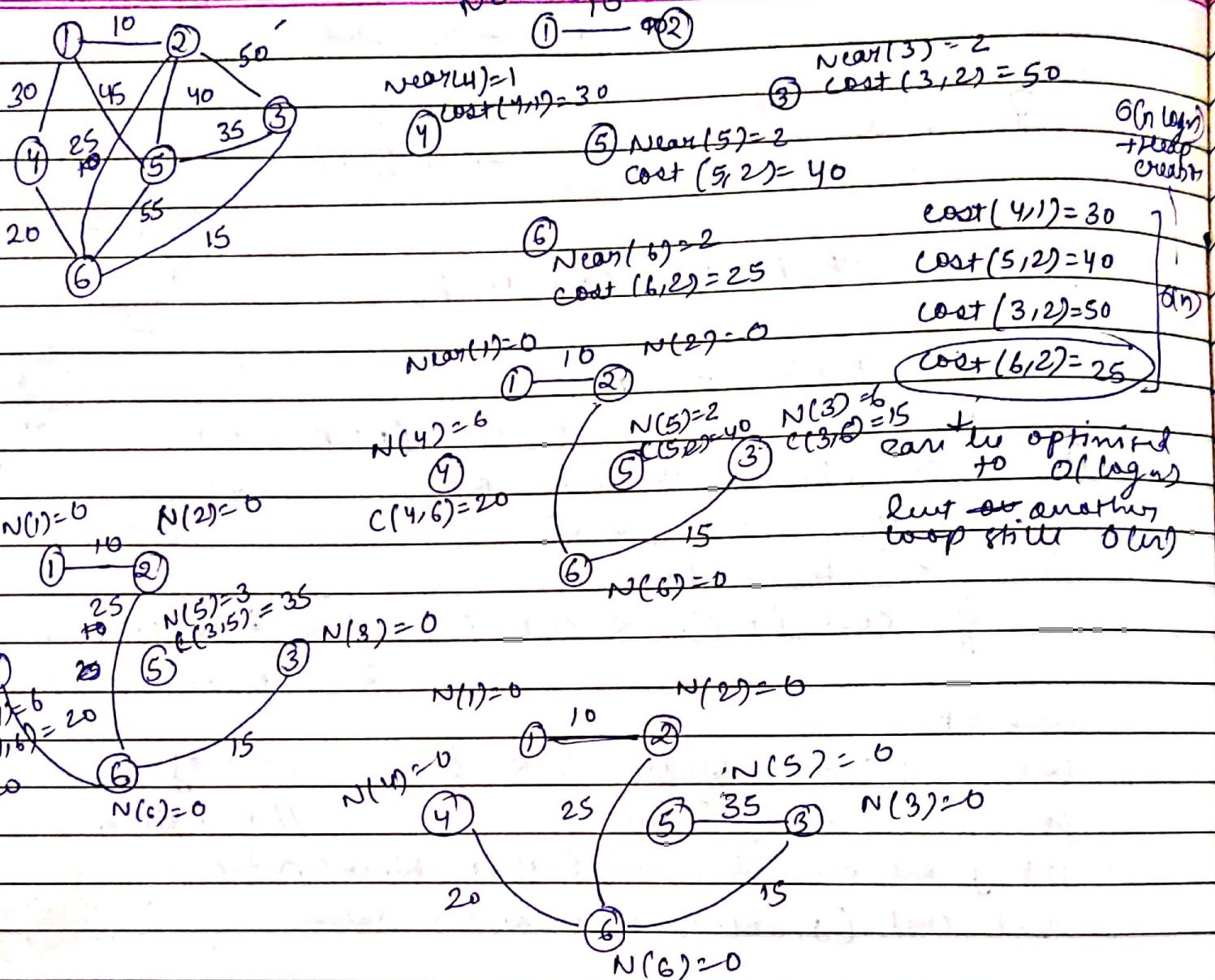
for  $k \leftarrow 1$  to  $n$  do  $\rightarrow O(n)$

if  $\text{Near}(k) \neq 0$  &  $\text{cost}(k, \text{near}(k)) > \text{cost}(k, j)$   
then  $\text{Near}(k) = j$ ;

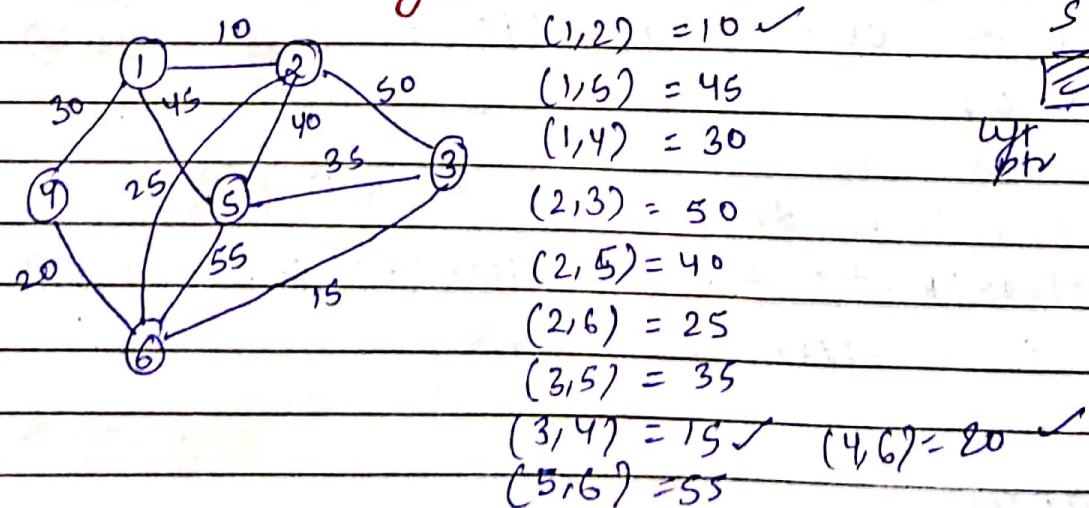
repeat

repeat

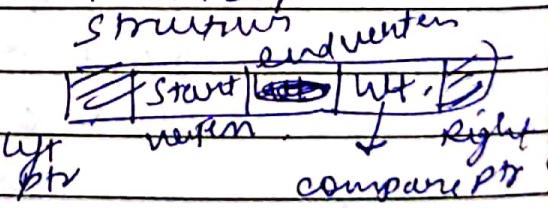
end end PRIM



### Kruskal's Algo



### min Heap



Diffr. b/w Primos & Kerenals  $\rightarrow$  starting vertex will never get disconnected graph  
 Date: / / Page no: \_\_\_\_\_

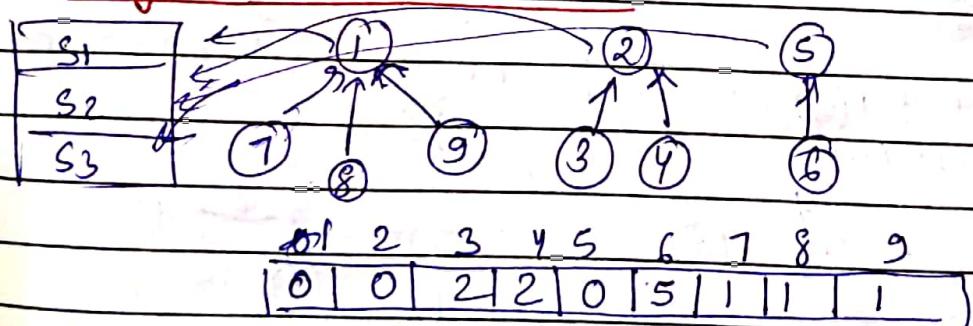
If not in same set  $\rightarrow$  include else reject that edge  
 Select min. weight vert.

$$S_1(1, 2) \quad \text{①} \xrightarrow{1^0} \text{②}$$

$$S_2(3, 4, \dots, 6) \quad S_2(\frac{3}{2}, 4, \dots, 6) \quad \text{④} \xrightarrow{2^0} \text{⑤} \quad \text{③} \xrightarrow{1^0} \text{⑦}$$

$$S_3(8, 5)$$

## Disjoint set structure



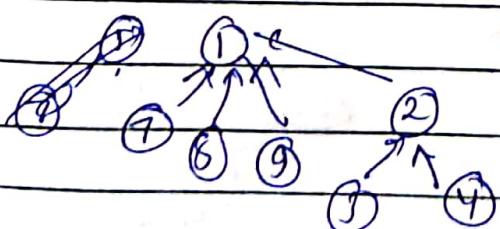
U(1...n)

S1, S2, ..., Sm

- Tree Representation  
- Union

- Find

$S_1 \cup S_2 \rightarrow$  make any one as child of other.

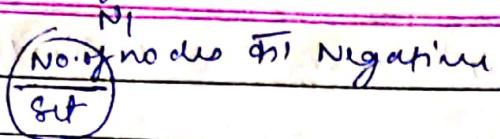


1	2	3	4	5	6	7	8	9
0	1	2	2	0	5	1	1	1

## Weighted Union

n vertices complete graph has  $\frac{n(n-1)}{2}$  edges

Date: / / Page no: \_\_\_\_\_



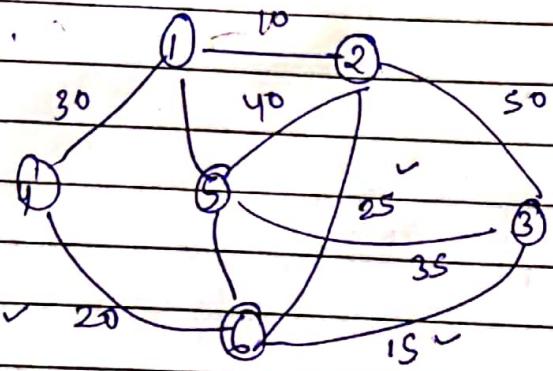
$N_1 \times N_2$

To maintain  $O(1 \log n)$

else  $S_{11} \times S_{12}$   $\rightarrow$   $S_{11} \times S_{12}$

(Simple Union)  $\rightarrow$   $S_{11} \times S_{12}$

numberless as claimed



$\Rightarrow$   $S_1, S_2, S_3, S_4, S_5, S_6$

Take min. wt. (1,2)

Find (1) =  $S_1$

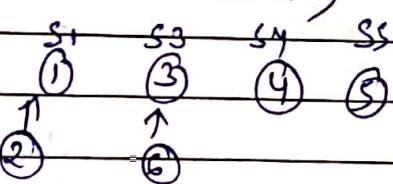
Find (2) =  $S_2$

] diff.

(1)  $\rightarrow$  (2) include.

$$(3/6) \quad f(3) = 3 \neq f(6) = 6$$

$\therefore$  include.



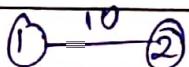
$$(2) (1,4) = 30$$

$f(1) = S_1 \neq f(4) = S_4$   
NOT include

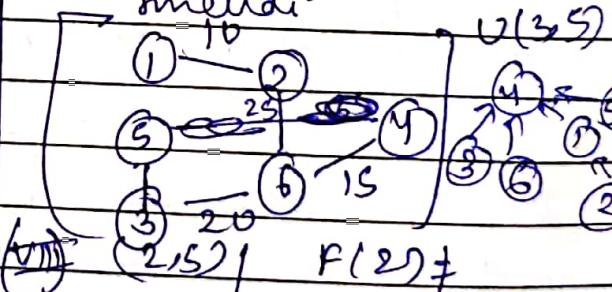
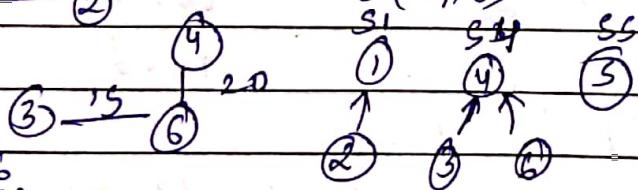
$$(4) (3,5) = 35$$

$f(3) = S_4 \neq f(5) = S_5$   
include

$$(4/6) = 20 \quad f(4) = S_4 \neq f(6) = S_3$$

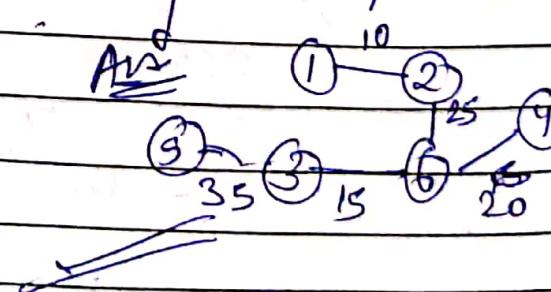
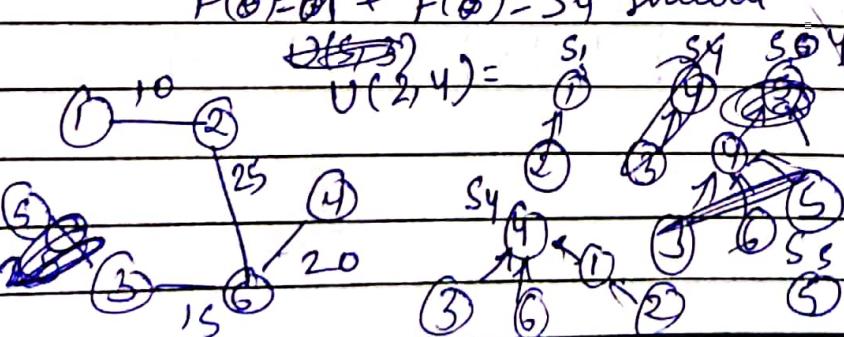


$U(3,6)$



$$(2/6) = 25 \quad f(2) = S_1 \neq f(6) = S_4 \text{ include}$$

$$U(2,4) = S_1, S_4$$



21/02/2023  
Tuesday

cost matrix  
Data structure MST

Date: 1/1 Page no: cost of MST

Procedure Kruskal (E, cost, n, T, mincost)

|| Disjoint

set structure

$$e = E \cdot \text{size}(D)$$

real mincost, Cost (1:n, 1:n)

int Parent (1:n), T (1:n-1, 2), n, j, k; , bottom up

Construct a heap out of edge cost  $O(e)$  APPR.

Parent  $\leftarrow -1$  // each vertex is in a different set  $O(n)$

current  $\leftarrow \text{mincost} \leftarrow 0;$

while  $i < n-1$ , and heap not empty do

$O(\log e)$  { delete a minimum mincost edge  $(u, v)$  from the heap and reheapify;

$O(\log n)$  j  $\leftarrow \text{find}(u)$ ; k  $\leftarrow \text{find}(v)$ ;

used weighted by  $(i \neq k)$  then  $i++$ ;

Union  $(T(i, 1), T(i, 2)) \leftarrow (u, v);$

$O(n)$  mincost  $\leftarrow \text{mincost} + \text{cost}(u, v);$

call Union (j, k);

endif

repeat;

if  $i \neq (n-1)$  then point ("no spanning tree")

endif

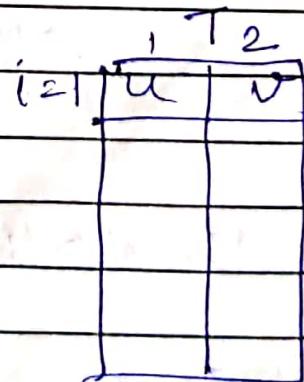
return;

end Kruskal.

$$E = \{(1, 2), \dots\}$$

$(n-1) \log e, O(n-1) \log n$

$O(n \log e)$



Time complexity :  $O(|V| \log |E|)$

No. of vertices      No. of edges

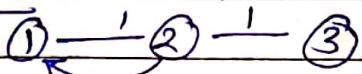
22.02.2023  
Wednesday

Assumption  
Date: / / Page no: / /  
Directed tree  
edge  
a  
b

## Single Source Shortest Path Algorithm

It takes time  $O(n^2)$  but only one is fined.

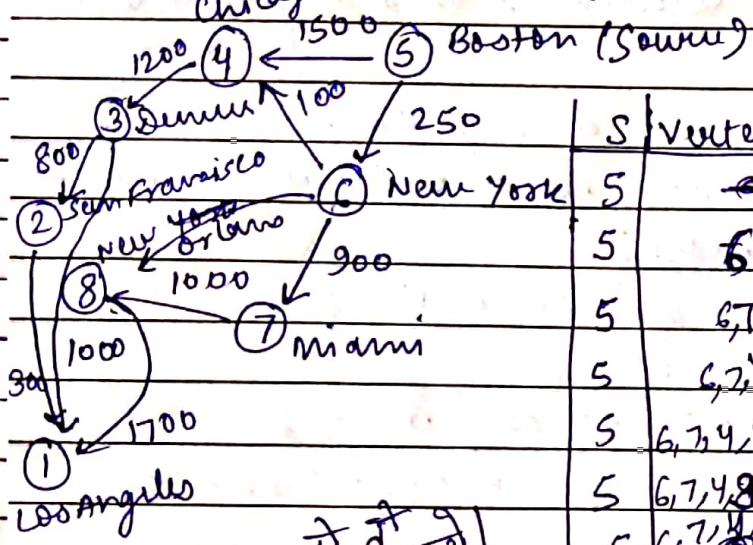
Limitation: Will not work for -ve cycle. (-ve weight cycle, not always)



$\rightarrow$  path length would decrease in this cycle

Will work for -ve edge but not for -ve cycle.

Chicago



	1	2	3	4	5	6	7	8
1	0	$\infty$	$\infty$	$\infty$	1500	0	250	$\infty$
2	1200	0	$\infty$	$\infty$	1250	0	250	1150
3	800	1000	0	$\infty$	1250	0	250	1150
4	1500	250	100	0	1250	0	250	1150
5	1200	1500	250	100	0	250	1150	1650
6	1200	1500	250	100	1250	0	250	1150
7	1200	1500	250	100	1250	0	250	1150
8	1200	1500	250	100	1250	0	250	1150

Adjacency matrix

Adjacency list

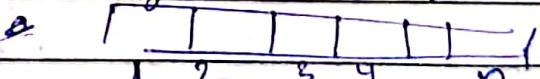
iterate all vertices.

Procedure ShortestPath ( $V, Cost, Dist, n$ )

1. . .

src vertex

Value of the shortest path



Boolean  $s(1:n)$   $\rightarrow$  visited



not intended

$\rightarrow 0 \& 1$

intended

real  $Cost(1:n, 1:n)$ ,  $DIST(1:n)$

int  $u, v, n, \text{num}, i, w$ ;

for  $i \leftarrow 1$  to  $n$  do // initialize  $S$

$S(i) \leftarrow 0$ ,  $\text{Dist}(i) \leftarrow \text{cost}(v, i)$

repeat

$\mathcal{O}(n)$   $S(v) \leftarrow 1$ ;  $\text{Dist}(v) \leftarrow 0$ ; // put vertex  $v$  in set  $S$

\* for  $\text{num} \leftarrow 2$  to  $n-1$  do // determine  $n-1$  paths to  $v$ .

$\mathcal{O}(n)$  choose  $u$  such that  $\text{Dist}(u) = \min \{\text{Dist}(w)\}$   
if in  
list and  $S(w) = 0$

$S(u) \leftarrow 1$  // put vertex  $u$  in set  $S$   $\emptyset$  (visisted)

for all  $w$  with  $S(w) = 0$  do // update distances

$\mathcal{O}(n)$   $\text{Dist}(w) \leftarrow \min \{\text{DIST}(w), \text{DIST}(u) + \text{cost}(u, w)\}$

repeat

\* repeat

end shortest paths.

$\mathcal{O}(n^2)$

↳ Adjacency matrix

$\mathcal{O}(n^2)$

$\mathcal{O}(n^2) \rightarrow$  Adjacency list

but we reduce its time complexity

$\mathcal{O}(e)$ ,  $e$ , no. of edges

in this min heap.

↳ time complexity does not change.

How to get the 'shortest path'?

Parent Array

2	4	6	5	6
---	---	---	---	---

↳ update when we update dist-array.

## Optimal Storage of Program $\rightarrow$ Tape.

$p_1, \dots, p_n \rightarrow$  programs

$l_1, \dots, l_n \rightarrow$  length of programs

magnetic tape of length  $L$  is given.

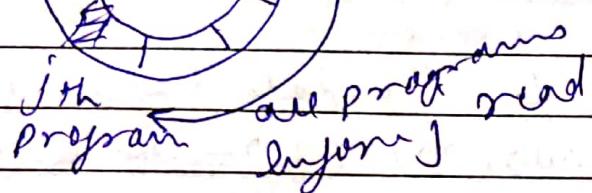
$(\sum_{i=1}^n l_i \leq L) \rightarrow$  we can store.

$I = \{i_1, i_2, \dots, i_k, \dots, i_n\}$

Read program  $i_j$

Retrieval time  $t_j = \sum_{k=1}^n l_{i_k}$

program stored at  $i$



$j$ th program all programs read If all the programs are retrieved equally often

then the mean retrieval time  $= \frac{1}{n} \sum_{j=1}^n t_j$

$$\text{or } D(I) = \sum_{j=1}^n \sum_{k=1}^n l_{i_k} \quad (\text{MRT})$$

probability of retrieving all programs

$P_{ij}$  is equal in the problem

Minimize the MRT

$$n=3, l_1, l_2, l_3 = (5, 10, 3) \quad L=20$$

ordering  $I$   $D(I)$

$$1, 2, 3 \quad (5) + (5+10) + (5+10+3) = 38$$

$$1, 3, 2 \quad (5) + (5+3) + (5+3+10) = 31$$

$$[2, 1, 3] \quad (10) + (10+5) + (10+5+3) = 43 \quad \text{maximum}$$

$$2, 3, 1 \quad (10) + (10+3) + (10+5+3) = 41$$

$$[3, 1, 2] \quad 3 + (3+5) + \dots = 29 \quad \text{minimum}$$

$$3, 2, 1 \quad 3 + (3+10) + \dots = 34$$

Observation:

order

Non-decreasing

(Increasing order)  
for min. time of retrieval

For maximizing: Order

Proof: If  $l_1 \leq l_2 \leq l_3 \leq \dots \leq l_n$  then the ordering  
 $l_1 = j \quad 1 \leq j \leq n$

minimize

$$\sum_{k=1}^n \sum_{j=1}^{l_k} l_{ij}$$

Proof: Let  $I = i_1, i_2, \dots$  be any permutation of index set  $\{1, 2, \dots, n\}$  then  $D(I) = \sum_{k=1}^n \sum_{j=1}^{l_k} l_{ij} =$

Observe in your example

$$\sum_{k=1}^n (n-k+1) l_{ik}$$

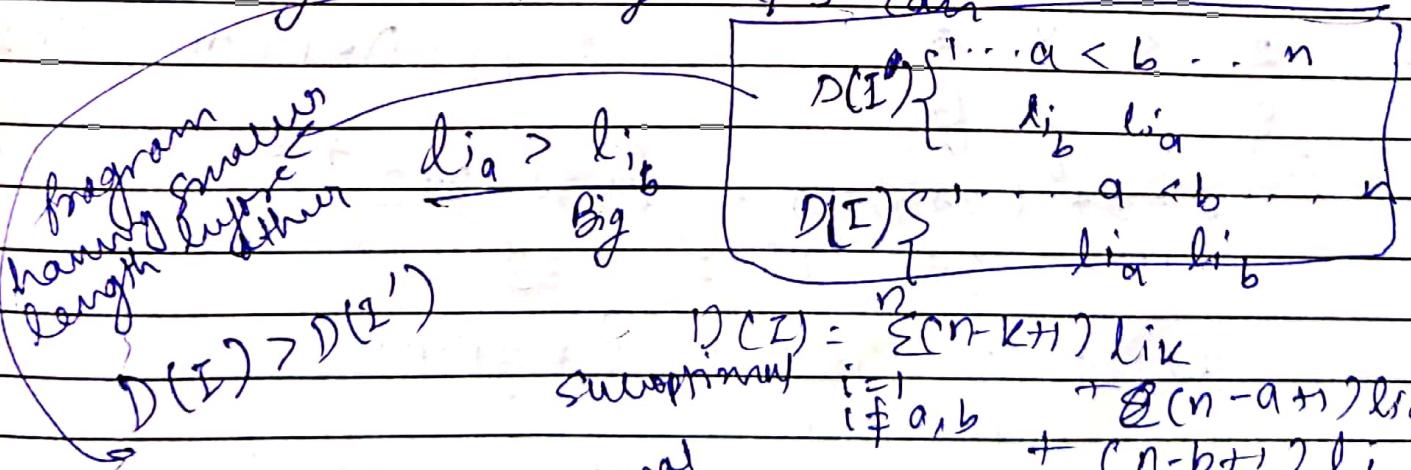
If there exists a  $a & b$  such that  $a < b$  and  $l_{ia} > l_{ib}$  then interchanging  $i_a \leftrightarrow i_b$  results in permutation  $I'$

$$D(I') = \sum_{k, k \neq a, b} (n-k+1) l_{ik} + (n-a+1) l_{ib} + (n-b+1) l_{ia}$$

Subtracting  $D(I')$  from  $D(I)$

$$\begin{aligned} D(I) - D(I') &= (n-a+1)(l_{ia} - l_{ib}) + (n-b+1)(l_{ib} - l_{ia}) \\ &= (b-a)(l_{ia} - l_{ib}) > 0 \end{aligned}$$

Hence no permutation which is in non decreasing order of  $l_i$ 's can



$$D(I) = \sum_{i=1}^n (n-k+1) l_{ik}$$

$$\text{subtracting } \begin{cases} i \neq a, b \\ i = a, b \end{cases} + 8(n-a+1) l_{ia}$$

$$+ (n-b+1) l_{ib}$$

$$\text{Object. fn } \begin{cases} \text{is less} \\ \text{optimal} \end{cases} \quad D(I') = \sum_{i=1}^n (n-k+1) l_{ik} + (n-a+1) l_{ib} + (n-b+1) l_{ia}$$

Assign

① What will be the change in storage strategy if programs are to stored on multiple tapes -

$$Eli > L$$

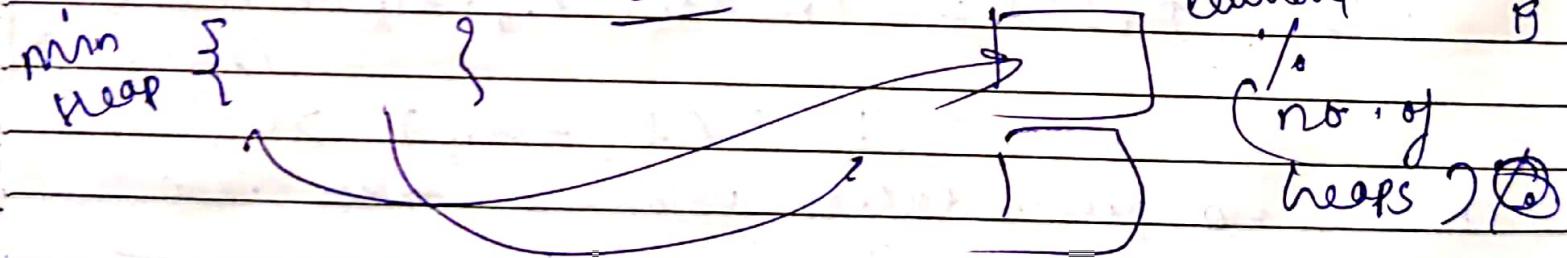
② What is the storage strategy if the programs being stored are retrieved at diff. freq. that is each program  $P_i$  we have a frequency  $f_i$  associated with it.

{ length, freq., freq/length }  $\rightarrow$  greedy criteria,

$$1 \rightarrow 1 \quad 2 \rightarrow 2 \quad 3 \rightarrow 3$$

$$\textcircled{1} \quad \boxed{12|3} \quad 2 + (2+3) = 7 \quad \boxed{2|34} \quad 2 + (2+4) = 8$$

$$\boxed{4|5} \quad 4 + (4+5) = 13 \quad \boxed{3|5} \quad 3 + (3+5) = 11$$



$$\textcircled{2} \quad \begin{matrix} 2 & 3 & 4 \\ 5 & 1 & 2 \end{matrix} \quad 2 + (2+3) \times 1 + 0(2+3+4) \times 2$$

$$\frac{10}{2} \quad \frac{3}{5} \quad \frac{8}{1} \quad 2 \times 5 + (2+3) \times 1 + (6+3+4) \times 2 + 0(2+3+4+5)$$

$$10 + 5 + 18 = 33$$

$$3 \times 1 + (3+4) \times 2 + (6+3+4) \times 5 \\ = 3 + 14 +$$

$$2 \times 5 + (2+4) \times 2 + (2+3+4) \times 1 \\ = 10 + 12 + 9 = 31$$

④ Find  $\sum f_i S_i$

$$\begin{array}{l} \text{freq more} \\ \text{of assignment} \end{array}$$

$$1 \times 3 + 2 \times 2$$

$$= 3 + 4$$

first value

value  
of  
first

$$1 \times 2 + 2 \times 3$$

27.02.2023  
Monday

Date: / / Page no: \_\_\_\_\_

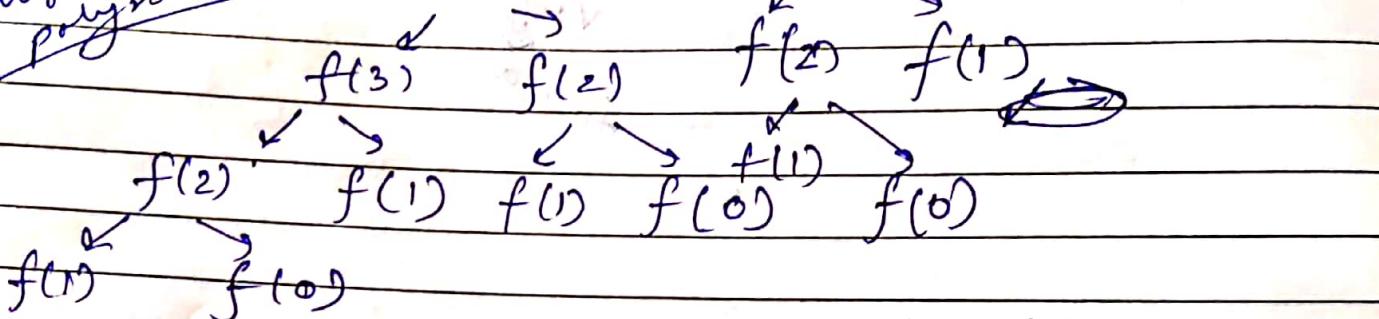
## DYNAMIC PROGRAMMING

Nature of Subproblem = Overlapping Recursion

Generally  $f(n)$

Time Complexity.

Polynomial.



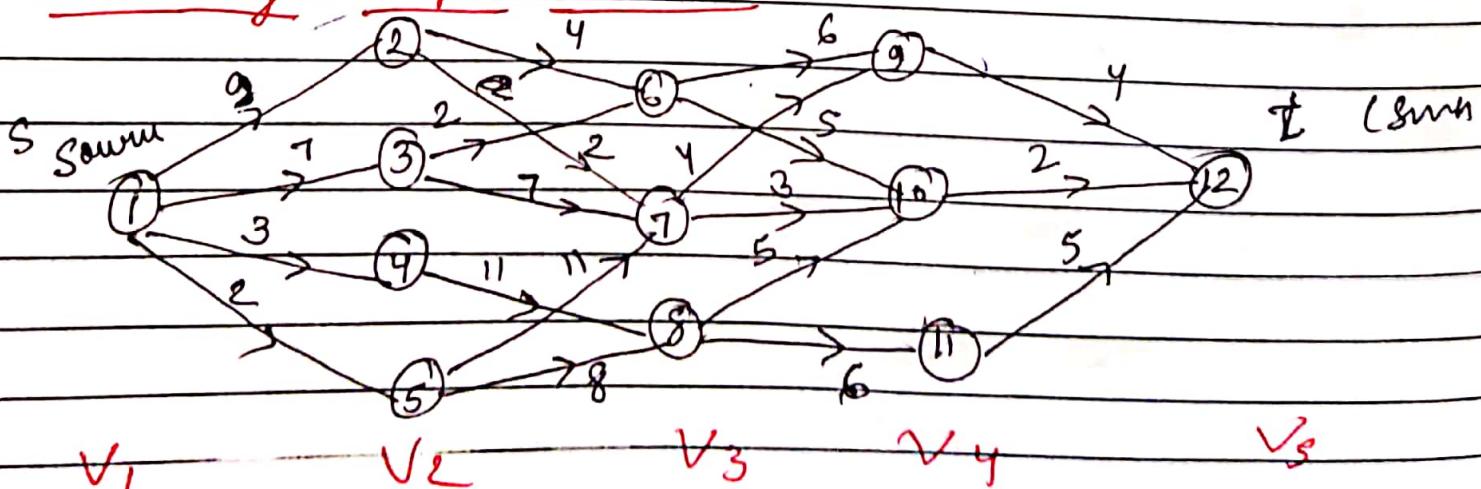
### Optimal Substructure

Solution to

multiple subproblem memoiz(dp, -1),  
most - in optimal      f2of(dp)

```
int dp[n];
if (n == 0)
    return 1;
if (n == 1)
    return 1;
if (dp[n] != -1)
    return dp[n];
return dp[n] = f(n-1) + f(n-2);
O(n)
```

### Multi-stage Graph Problem



DP & DPC  
 Similarity :- Subproblem solving  
 Dissimilarity :- No overlapping subproblem

Date: / / Page no. \_\_\_\_\_

$V_i \rightarrow$  Stage i

Travel from Stage i to Stage n  
 to reach Stage n

K Stages

K-2 decisions

(1st & last stage from K)  
 which option (here)  
 city to take at  
 each city

Forward Approach

$$C(K-1, t) = \min \{ \text{possible stages} \}$$

$$C(K-2, t) = \min \{ \dots \}$$

$$\text{cost } C(4, 9) = C(9, 12) = 4$$

$$\text{cost } C(4, 10) = C(10, 12) = 2$$

$$\text{cost } C(4, 11) = C(11, 12) = 5$$

cost (stage, vertex)

cost of stage  
 if we take  
 a vertex

$C(i, j)$

$$\text{cost } C(3, 6) = \min \{ C(6, 9) + \text{cost } C(9, 12), C(6, 10) + \text{cost } C(10, 12), C(6, 11) + \text{cost } C(11, 12) \}$$

$$\min \{ 6 + 4, 5 + 2 \} = 7 \quad \text{Stage}$$

$$D(3, 6) = 10$$

$$\text{cost } C(3, 7) = \min \{ C(7, 9) + \text{cost } C(9, 12), C(7, 10) + \text{cost } C(10, 12), C(7, 11) + \text{cost } C(11, 12) \}$$

$$\min \{ 4 + 4, 3 + 2 \} = 5 \quad D(3, 7) = 10$$

$$\text{cost } C(8, 10) = \min \{ C(8, 10) + \text{cost } C(10, 12), C(8, 11) + \text{cost } C(11, 12) \}$$

$$\min \{ 7, 11 \} = 7 \quad D(3, 8) = 10$$

$$\text{cost } C(2, 2) = \min \{ C(2, 4) + \text{cost } C(4, 7), C(2, 5) + \text{cost } C(5, 7) \} = 7 \quad D(2, 2) = 7$$

$$\text{cost}(2,3) = \min \{ \text{cost}(3,6) + C(3,6), \text{cost}(3,7) + C(3,7) \}$$

$$= \min (7+2, 8+7) = 9 \quad D(2,3) = 6$$

$$\text{cost}(2,4) = \min \{ \text{cost}(3,8) + C(4,8) \} = 7+1 = 8$$

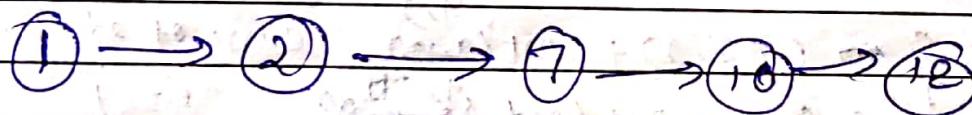
$$\text{cost}(2,5) = \min \{ \text{cost}(3,7) + C(5,7), \text{cost}(3,8) + C(5,8) \}$$

$$= (5+1) + 8 = 15 \quad D(2,5) = 8$$

$$\text{cost}(1,5) = \min \{ \text{cost}(2,2) + C(1,2), \text{cost}(2,3) + C(1,3), \text{cost}(2,4) + C(1,4), \text{cost}(2,5) + C(1,5) \}$$

$$= 7+9 = 16 \quad 9+7 = 16 \quad 18+3 = 21 \quad 15+2 = 17$$

$$D(1,5) = 2$$



### Backward Approach

From  
sink  
many  
paths  
shortest  
→ 5 → 6 →  
10 → 12

$$\text{cost}(2,i) = \text{cost}(s,i) = C(1,i)$$

shortest path  
from vertex  $i$  in  
Stage 2 to source  
vertex in stages

$$\text{cost}(2,2) = C(1,2) = 9 \quad D(2,2) = 1$$

$$\text{cost}(2,3) = C(1,3) = 7 \quad D(2,3) = 1$$

$$\text{cost}(2,4) = C(1,4) = 3 \quad D(2,4) = 1$$

$$\text{cost}(2,5) = C(1,5) = 2 \quad D(2,5) = 1$$

$$\text{cost}(3,6) = \min \{ C(2,6) + \text{cost}(2,2),$$

$$= \min \{ 9 + 9, 2 + 7 \} = \cancel{9} \quad D(3,6) = 3$$

$$\begin{aligned} \text{cost}(3,7) &= \min \{ C(3,7) + \text{cost}(2,3), \\ &\quad C(5,7) + \text{cost}(2,5), \\ &\quad C(2,7) + \text{cost}(2,2) \} \Rightarrow \\ &= \min \{ 7+7, 11+2, 2+9 \} = 11 \quad D(3,7) = \frac{11}{2} \end{aligned}$$

$$\text{cost}(3,8) = \min \left( \underset{4}{11} + \underset{5}{3}, \underset{5}{8} + \underset{2}{2} \right) = 10 \quad D(3,8) = 5$$

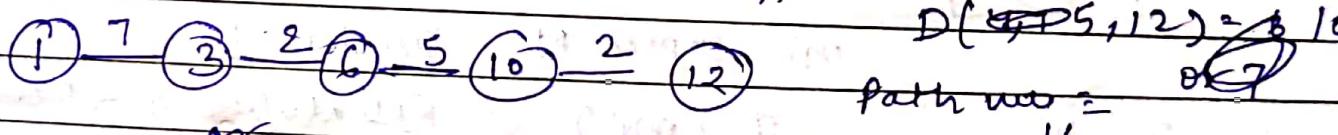
&

$$\text{cost}(4,9) = \min (6+9, \underset{7}{5}+11) = 15 \quad D(4,9) = 6$$

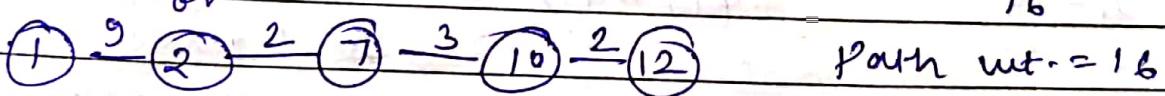
$$\text{cost}(4,10) = \min (6+9, \underset{6}{5}+11, \underset{7}{3}+11, \underset{8}{5}+10) = 14, 14 \quad D(4,10) = 6$$

$$\text{cost}(4,11) = \min (6+10) = 16 \quad D(4,11) = 8$$

$$\text{cost}(5,12) = \min (9+15, \underset{10}{2}+14, \underset{11}{5}+16) = 16 \quad D(5,12) = 16$$



Path wt. = 16



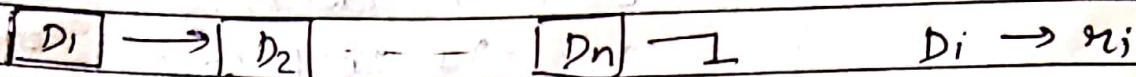
Path wt. = 16

28/02/23  
Tuesday

Date: / / Page no: \_\_\_\_\_

## Reliability Design Problem

Get max<sup>m</sup> reliability  
under the constraint of cost



Reliability of  $D_i = r_i$

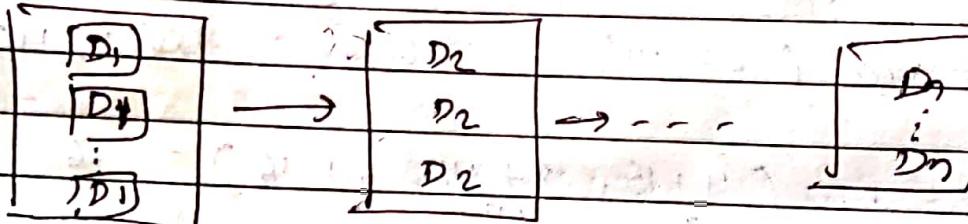
Reliability of circuit  $= \phi = \prod_{i=1}^n r_i$

Ques Let  $r_i = 0.99$

for  $n=4$  devices  $\phi = 0.96$

$n=10$   $\phi = 0.904$

$\phi \downarrow$  as  $n \uparrow$  see



$m_i$  copies of Device  $D_i$

Let  $D_i = r_i$   $\phi_i(m_i)$  = Reliability of Device  $i$

$D_i = c_i$  Cost of Device  $i$   $\phi_i(m_i)$  = Reliability of having  $m_i$  copies

Probability that all  $m_i$  copies will fail =  $(1 - r_i)^{m_i}$

∴ Probability that atleast one of them will work  $\phi_i(m_i) = 1 - (1 - r_i)^{m_i}$

$$\Rightarrow \phi_i(m_i) = 1 - (1 - r_i)^{m_i}$$

$$\phi = \prod_{i=1}^n \phi_i(m_i) = \prod_{i=1}^n \left(1 - (1 - r_i)^{m_i}\right)$$

Minimize  $\phi$  subject to the constraint that  
 $\sum_{i=1}^m c_i \leq C$  such that  $m_i \geq 1$

For each device we can compute the upper limit  $u_i$

$$u_i = \frac{c - \sum_{i=1}^n c_i + 1}{c_i} \leq m_i \leq u_i$$

at least

extra.

$$n=3 \quad c=105$$

$$c_1 = 30$$

$$c_2 = 15$$

$$c_3 = 20$$

$$m_1 = 0.9$$

$$m_2 = 0.8$$

$$m_3 = 0.5$$

$$u_1 = \left\lfloor \frac{105 - (30+15+20)}{30} \right\rfloor + 1 = \left\lfloor \frac{40}{30} \right\rfloor + 1 = 1 + 1 = 2 \quad (\text{min.})$$

$$u_2 = \left\lfloor \frac{105 - (30+15+20)}{15} \right\rfloor + 1 = \left\lfloor \frac{40}{15} \right\rfloor + 1 = 2 + 1 = 3 \quad (\text{min.})$$

$$u_3 = \frac{40+1}{20} = 2 + 1 = 3 \quad (\text{min.})$$

Enumerate set  $\rightarrow$  Reliability of Device set  
 $S_1^0 = \{(1, 0.9)\}$   $\rightarrow$  cost of Device set  
 unknown

$$S_1^1 = \{(0.9, 30)\}$$
  $\rightarrow$  no device set  $\rightarrow$  multiplying factor  $\downarrow$   $\rightarrow$  started with 1
   
~~1 → no device set~~  $\rightarrow$  as multiplication
   
~~1 → no device set~~  $\rightarrow$  Add in power
   
 $S_1^1 = \{(0.9, 30)\}$   $\rightarrow$  ~~no device set~~  $\rightarrow$  all the previous
   
 $\rightarrow$  No. of copies
   
 $\rightarrow$  ~~no device set~~  $\rightarrow$  of Stage-1

$$\Phi_1(m_1=1) = 1 - (1-0.9)^1 = 0.9$$

$$S_2^1 = \{(0.99, 60)\}$$

$$\Phi_1(m_1=2) = 1 - (1-0.9)^2 = 0.99$$

$$S_1^1 = S_1^0 \cup S_2^1 = \{(0.9, 30), (0.99, 60)\}$$

$$\Phi_2(m_2=1) = 1 - (1-0.08)^1 = 0.8 \quad , \quad c_2 = 15$$

$$S_1^2 = \{(1D_1 + 1D_2, 2D_1 + D_2), (0.99 \times 0.8, 60 + 15), (0.99 \times 0.8, 60 + 15), (0.99 \times 0.8, 75)\}$$

$$2D_2 \quad m_2=2 \quad \Phi_2(m_2=2) = 1 - (1-0.8)^2 = 1 - (0.2)^2 = 1 - 0.04 = 0.96$$

$$S_2^2 = \{ (0.9 \times 0.96, 30 + 2 \times 15), (0.99 \times 0.96, 60 + 30) \} \\ = \{ (0.864, 60), (0.9504, 90) \}$$

$$S_3^2 = \{ (0.9 \times 0.99, 30 + 45), (-0.8045) \} \rightarrow \text{Not a feasible solution.} \\ \Phi_2(m_2=3) = 1 - (1-0.8)^3 = 1 - 0.008 = 0.992 \quad \text{cost remaining} = 105 - 90 = 15 \leq 20 \\ D_3 \text{ can't be } \therefore \text{thus}$$

Stage 2 completed Crucial incomplete.

$$S^2 = \{ (0.72, 45), (0.792, 75), (0.864, 60), (0.8928, 75) \}$$

$$\Phi_3(m_3=1) = 1 - (1-0.5)^1 = 0.5 \quad C_3 = 20$$

$$t_1 \quad t_2$$

$$t_1$$

$$t_2$$

R = Reliability

$$t_1 \cdot R < t_2 \cdot R$$

$$\Delta \Delta t \cdot c > t_2 \cdot c$$

C = cost

∴  $t_2$  is dominant we can remove,

$$S_1^3 = \{ (0.72 \times 0.5, 45+20), (0.864 \times 0.5, 60+20), (0.8928 \times 0.5, 75+20) \} \\ \Phi_3(m_3=1) = 1 - (1-0.5)^1 = 0.5 \quad C_3 = 20$$

$$S_1^3 = \{ (0.36, 65), (0.432, 80), (0.4464, 95) \}$$

$$S_2^3 = \{ (0.72 \times 0.75, 45+40), (0.864 \times 0.75, 60+40), (0.8928 \times 0.75, 75+40) \} \\ \Phi_3(m_3=2) = 1 - (1-0.5)^2 = 1 - 0.25 = 0.75 \quad 2C_3 = 40$$

$$= \{ (0.54, 85), (0.648, 100), (0.621, 105) \}$$

$$\Phi_3(m_3=3) = 1 - (1-0.5)^3 = (1-0.5)^3 = 1 - 0.125 = 0.875$$

$$S_3^3 = \{ (0.72 \times 0.875, 45+60), (0.68, 105) \} \quad \text{new cost needed}$$

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$$S^3 = \{ (0.36, 65), (0.432, 80), (0.446, 95), \\ (0.54, 85), (0.648, 100), (0.68, 105) \}$$

marked  
 Dominating in  
 last 3

$$R = 0.648 \quad C = 100 =$$

## TRAVELLING SALESPERSON PROBLEM



Hamiltonian cycle  
+ min. wt.

$$g(i, V - \{i\}) = \min \{c_{ik} + g(k, V - \{i, k\}) \}$$

to fin ✓

$2 \leq k \leq n$

choices

Path starting at , going through  
all vertices , ending at .

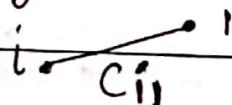
shortest path  
starting at vertex  $k$ .  
going through remaining  
 $(n-2)$  vertices

& returning at vertex 1.

$$g(i, S) = \min_{j \in S} \{ c_{ij} + g(j, S - \{i, j\}) \}$$

shortest path starting at vertex  $i$  going through vertex  
in set  $S$  and ending at vertex  $i$ .

$$g(i, \emptyset) = c_{ii}$$

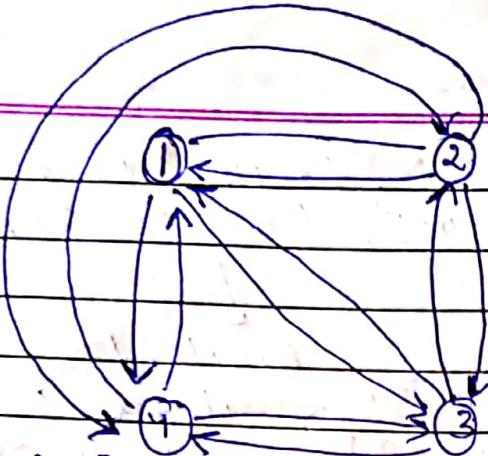


$$|S|=0 \quad \text{size of set} = 0$$

Brute force

$$TC = O(n!)$$

$n-1$  elements  
 $(n-1)!$  comb.



$$n=4$$

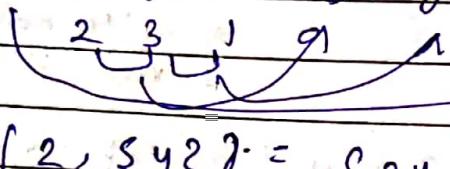
	1	2	3	4
1	0	10	15	20
2	5	0	9	10
3	6	13	0	12
4	8	8	9	0

Stage-0

$$g(2, \emptyset) = C_{21} = 5 \quad g(3, \emptyset) = C_{31} = 6 \quad g(4, \emptyset) = 8$$

Stage-1

$$g(2, \{3\}) = C_{23} + g(3, \emptyset) = 9 + 6 = 15$$



Starting at 2 going through 3 ending at 1

$$g(2, \{4\}) = C_{24} + g(4, \emptyset) = 10 + 8 = 18$$

$2 \rightarrow 4 \rightarrow 1$

$$g(3, \{2\}) = C_{32} + g(2, \emptyset) = 13 + 5 = 18$$

$3 \rightarrow 2 \rightarrow 1$

$$g(3, \{4\}) = C_{34} + g(4, \emptyset) = 12 + 8 = 20$$

$3 \rightarrow 4 \rightarrow 1$

$$g(4, \{2\}) = C_{42} + g(2, \emptyset) = 8 + 5 = 13$$

$4 \rightarrow 2 \rightarrow 1$

$$g(4, \{3\}) = C_{43} + g(3, \emptyset) = 9 + 6 = 15$$

$4 \rightarrow 3 \rightarrow 1$

Stage-2

With 2 immediate vertices.  $\leftarrow$  No min. ( ) comparison is required till now.

$$g(2, \{3, 4\}) = \min ($$

$2 \rightarrow 3 \rightarrow 4 \rightarrow 1$

$$ij \quad j \quad S(i, j)$$

$$C_{23} + g(3, \{4\}),$$

$$(24 + g(4, \{3\}))$$

$$= \min (9 + 20, 10 + 15)$$

$$J(2, \{3, 4\}) = 4 = 25$$

Already  
Maxim computed

$J(2, \{3, 4\}) = 4$   
Backward  
traversing

When starting at 2 it  
will get shortest path  
through 4

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$$g(3, \{2, 4\}) = \min (c_{32} + g(2, \{4\}), c_{34} + g(4, \{2\}))$$

$$\text{or } 3 \rightarrow 2 \rightarrow 4 \rightarrow 1 \rightarrow 2 \rightarrow 3 \rightarrow 1 = \min (13 + 18, 12 + 13) = 25$$

$$J(3, \{2, 4\}) = 4$$

$$g(4, \{2, 3\}) = \min (c_{42} + g(2, \{3\}), c_{43} + g(3, \{2\}))$$

$$J(4, \{2, 3\}) = 23$$

Rewriting such results  
Overlapping subproblem  
- terms

Stage-3

$$g(1, \{2, 3, 4\}) = \min \{ \begin{aligned} & c_{12} + g(2, \{3, 4\}), \\ & c_{13} + g(3, \{2, 4\}), \\ & c_{14} + g(4, \{2, 3\}) \end{aligned} \} = \min \{ \begin{aligned} & 10 + 25, \\ & 15 + 25, \\ & 20 + 23 \end{aligned} \} = \min \{ 35, 40, 43 \}$$

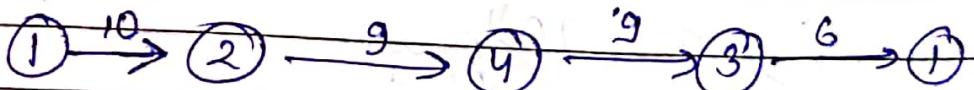
cost matrix, overlapping subproblem.

Stored " dp

$$J(\{1, 2, 3, 4\}) = 2$$

= 35

$$J(3, \emptyset)$$



Re-traversing

Chances order

$$J(\{1, 2, 3, 4\}) = 2$$

$$J(2, \{3, 4\}) = 4$$

$$J(4, \{3\}) = 3$$

$$10 + 9 + 9 + 6 =$$

Brute force

$$g(i, S) \quad 2 \leq i \leq n-1$$

$$TC = O(n!)$$

Combinatorial problem

2, 3, 4,

$0 \leq \text{size of } S \leq n-2$

$$(n-1) \Phi, 1, 2, \dots, n-2$$

$$g(i, S)$$

↓

$$\begin{matrix} 2, 3, 4 \\ (n-1) \end{matrix}$$

$\binom{n-2}{k}$  vertices

$k = \text{size of set } S$

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For each  $(n-1)$  vertices we need to construct set of  $k$  size where total no. of elements that can be in set  $S = \underline{n-2}$

No. of sets computed

$$\sum_{k=0}^{n-2} \binom{n-2}{k}$$

$$= (n-1)2^{n-2}$$

we find  $i \Delta i$

removing  $(n-2)$  element

for set size  $= k$

we need  $k$  comparison  $K$  paths.

$$\sum_{k=0}^{n-2} ((k)(n-1) \binom{n-2}{k}) = n^2 \cdot 2^n$$

$k$  Comparisons ↓  
No. of sets,

No. of evaluations of size set

Comparisons needed to evaluate for set of size  $k$ .

Time Complexity:  $O(n^2 \cdot 2^n)$

$(TC)_{DP} < (TC)_{\text{brute force}}$

Repeated calls  
 $n^2$   
 $(n-1)^2$  recursive calls  
depth first search

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DP

## O/I Knapsack Problem

overlapping  $\rightarrow$  subproblems  
Substructure  $\rightarrow$  Substructure  
-CP

Given  $n_1, n_2, \dots, n_n$

Element  $n_i$  has a wt. of  $w_i$  and profit  $p_i$   
Sack capacity  $M$

Determine the subset of items to be placed in the knapsack  
in order to maximize the profit.

	$n_1$	$n_2$	$n_3$		$n_1$	$n_2$	$\dots$	$n_n$	
$w$	10	20	30		0	0	0	1	
$p$	20	20	35		0	0	0	0	$\{2^n\}$

greedy works

but not for all cases.  $T(n) = 2T(n-1) + 1$

Bruite force.

Case 1 :  $n_n$  (taken)

$\Rightarrow n_1, \dots, n_{n-1}, M-w_n, P=p_n$

Case 2 :  $n_n$  (not taken)

$\Rightarrow n_1, \dots, n_{n-1}$

Overlapping subproblems.

Optimal Substructure

$\therefore$  DP soln will work.

Recurrence :-

$$\text{Profit } f^n \quad P(i, c) = \begin{cases} 0 & , \text{ if } i=0 \text{ or } c \leq 0 \\ \max(P(i-1, c), P(i-1, c-w_i) + p_i) & , \text{ if } w_i \leq c \end{cases}$$

Start

$$P(n, M)$$

$P(i-1, c)$ , otherwise

return 0 at 1

Base Case:  
Left Node  
Elements over  
or capacity

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(3)	$x_i$	$w_i$	$p_i$
	1	3	4
	2	5	6
1	3	5	5
	4	1	3
	5	4	5

$$M = 10$$

Data Structure  
use ET 21/21 & 1

C mind open

2D array

BST

$$2n^2 \sim O(n^2)$$

$$3n^2 + 4n \sim O(n^2)$$

Better as better  
coefficients

i\m	0	1	2	3	4	5	6	7	8	9	10
0	0	0	0	0	0	0	0	0	0	0	0
1	0	0	4	4	4	4	4	4	4	4	4
2	0	0	0	4	4	6	6	6	10	10	10
3	0	0	0	4	4	6	6	6	10	10	11
4	0	3	3	4	7	7	9	9	10	13	13
5	0	3	3	4	7	8	9	9	12	13	14

$\therefore$  Top Down

Bottom-up is  
better than top  
down.

$$\min(0, 10 - 3) \\ = (0, 7)$$

$$[1][1], [1][1-5]$$

$$[2][5]$$

$$\begin{aligned} & [2][6] \xrightarrow{\leq} [1][5] \xrightarrow{\leq} [1][5-5] \\ & \quad \swarrow \quad \searrow \quad \swarrow \quad \searrow \\ & (3,1) \quad (2,4) \quad (3,5) \quad (1,1)+6 \quad 4 \quad (2,8) \quad (1,3)+6 \\ & \quad \swarrow \quad \searrow \quad \swarrow \quad \searrow \quad \swarrow \quad \searrow \\ & (2,6) \quad (2,1) + p_3 \quad 6 \quad (1,0) + p_3 \quad 0 + 5 \quad 4 \quad (1,3) + 6 \\ & \quad \swarrow \quad \searrow \quad \swarrow \quad \searrow \quad \swarrow \quad \searrow \\ & (3,6) \quad (2,5) \quad 6 \quad 0 + 5 \quad 5 \quad 4 + 6 \end{aligned}$$

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## BACKTRACKING

Solution vector  $x_1, x_2, \dots, x_m$

↳ constraint / Bounding / criterion function  $P(x_1, x_2, \dots, x_n)$

$$m = m_1 \times m_2 \times \dots \times m_n$$

$$P(x_1, x_2, \dots, x_i)$$

We need to check  
'm' vectors

Follows,  
criterion function  
"true."

Does not  
follow  
criterion  
function  
false."

$$x_1, \dots, x_n$$

Backtrack

NO

Other choice  
 $x_i$

If there  
is  $x_i$ 's  
choice

$$P(x_1, x_2, \dots, x_{i-1})$$

Continue

constraints

Explicit  
constraint

$$x_i \geq 0 \quad S_i = \{ \text{all } x_i \}$$

$$x_i = 0/1 \quad S_i = \{0, 1\}$$

$$S_i = [0, 1]$$

Implicit  
constraint

- how  $x_i$  relates  
to each other

↳  
each  $x_i$  is distinct

what values  $x_i$  can take.

N-Queen Problem

(Decision problem)

8 Queen Problem

1 2 3 4 5 6 7 8

1							
2							
3							
4							
5							
6							
7							
8							

no two queens

should be  
on same row,

same column

& on same  
diagonalq<sub>1</sub>, q<sub>2</sub>, q<sub>3</sub>, q<sub>4</sub>, ..., q<sub>8</sub>row<sub>1</sub> row<sub>2</sub>row<sub>8</sub>

Sol' meter -

8 tuple (q<sub>1</sub>, q<sub>2</sub>, ..., q<sub>8</sub>)  
each q<sub>i</sub> = the col. no. on which  
1<sup>th</sup> queen is placed in i<sup>th</sup> rownot on same row  
(by this)Explicit constraint       $1 \leq q_i \leq 8$       8 tuplesImplicit constraint each q<sub>i</sub> should be distinct  $\rightarrow$  ①  
- Permutation of numbers (1, ..., 8) 8! tuples

(redundant)

1			
2	Q <sub>1</sub>		
3		Q <sub>2</sub>	
4			Q <sub>3</sub>

$q_i = (i, j)$

$q_k = (k, l)$

$Q_2 = (2, 3) \quad Q_3 = (3, 4)$

$i - j = 2 - 3 = -1$   
 $j - k = 3 - 4 = -1$

$i, j \quad k, l$   
 $Q_2 = (3, 4) \quad Q_3 = (4, 3)$

$i - k = 3 - 4 = -1$

$j - l = 4 - 3 = 1$

cond<sup>n</sup>

$|i - k| \neq |j - l| \rightarrow$  ②

More reduction of tuples

- Travelling Salesman  $\rightarrow$  optimization problem  $\xrightarrow{\text{min. cost}}$
- Hamiltonian path  $\rightarrow$  decision problem  $\xrightarrow{\text{no. nodes}}$
- N Queen problem  $\rightarrow$  decision problem  $\xrightarrow{\text{output}}$

4 Queen Problem

$x_{i1} \rightarrow$  choices for  $x_{ij}$

In brute force each parent

will have  $N$  nodes

but here it will reduce.

due to implicit constraint.

$x_1, x_2, x_3, x_4$

1 2 3 4

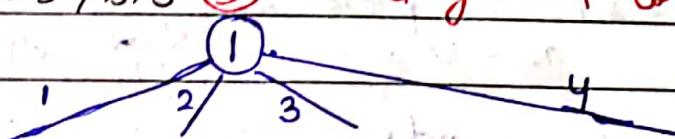
1			
2			
3			
4			

Stage based tree

(I)  $\rightarrow$  distinct column violation

(earlier to inform DFS/BFS (II)  $\rightarrow$  diagonal cond<sup>n</sup> & violation.)

$x_1 =$



DFS: AS soon as a new child C of current E-node R is generated, C becomes the new E-node and exploration of R is suspended  
 $\hookrightarrow$  live node

list of live nodes is stored in stack

Backtrack :- DFS + bounding functions to kill non-promising node

A tree can have atmost 1 E-node at an instance but multiple live node.

Node No.  $\rightarrow$  choice No.

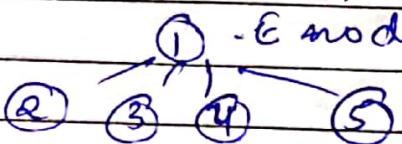
Solution's state :- That contains all the variables but not necessarily answer state  
 that follow all explicit constraint

## Types of Nodes in Stage based tree exploration

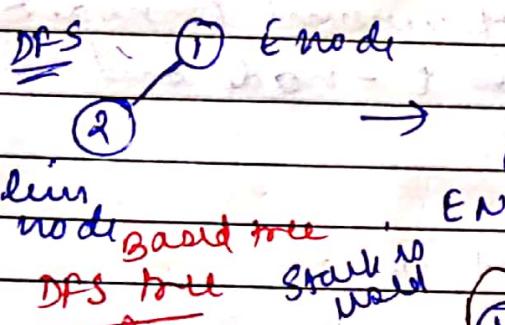
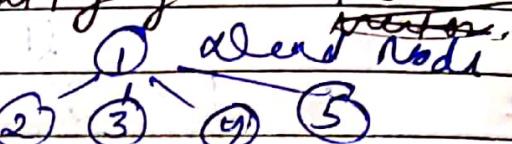
E-node → A node whose node is generated currently

lin node → A node which is generated but their children are not generated  
generated but ch.

Dead Node → When there all the nodes are generated  
or not a possibility of solving answer.



lin node



EN

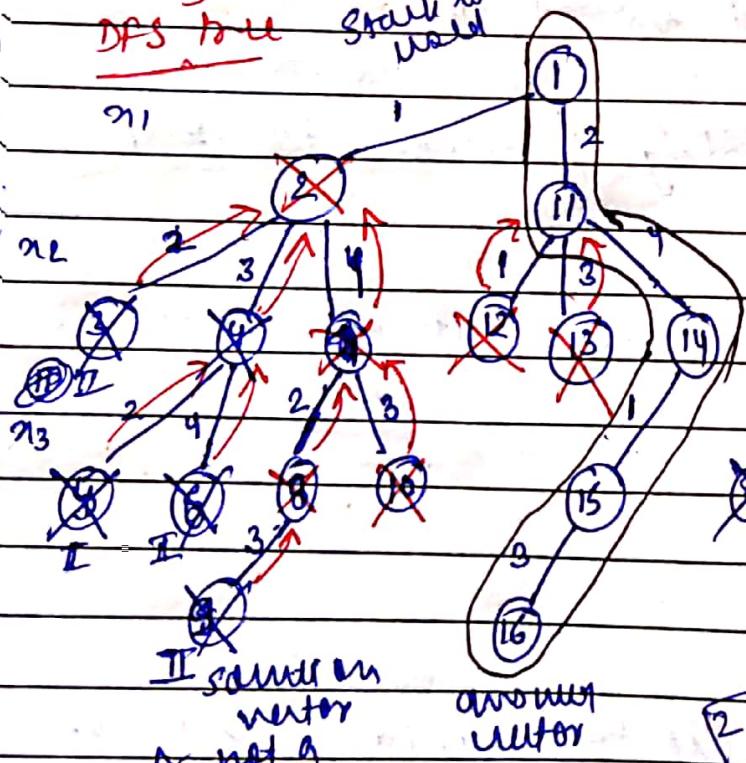
LN

dead node

LN

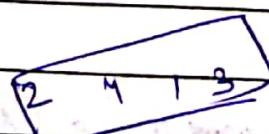
queue is null

BFS stage Based tree

II solution vector  
not a

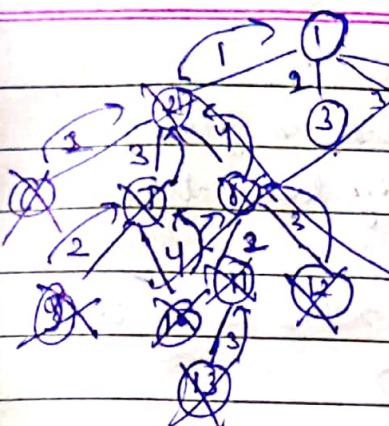
answer

vector Depth wise until



List of live nodes are stored as  
Stack  $\Rightarrow$  DFS

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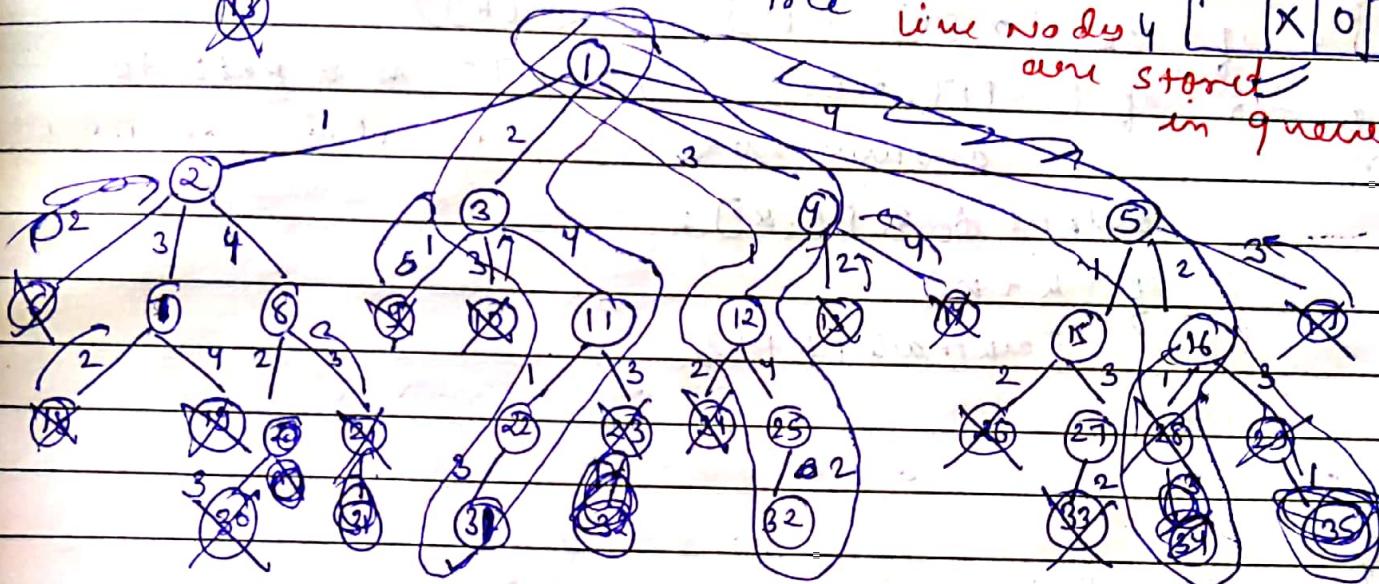


$\Delta$  BFS Stage Based

Tree

live nodes are stored

in queues.



Ano-

2, 4, 1, 3

3, 1, 4, 2

4, 2, 1, 3

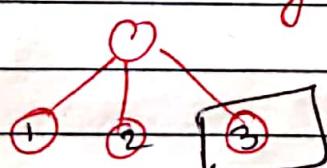
$\Delta$  Branch and Bound  $\rightarrow$  BFS Stage Based tree.

level by level ~~start & 1~~

$\hookrightarrow$  use Bounding fn to kill not desired nodes

D-Search

work by back-to-back Stack in live nodes  
level wise



1 | 2 | 3

Stack

This node is explored first

(not until visit them its children)

// call it mirror DFS

6 void Backtrack (int k)

//  $x[1 \dots n]$  is the solution vector and is global

//  $x[0 \dots k-1]$  values of size in vector have already been chosen

6 //  $T[x[1] \dots x[k-1]]$

{ for each  $x[k]$  such that  $x[k] \in T(x[1] \dots x[k-1])$

{ if  $B_k(x[1], x[2], \dots, x[k])$

Bounding function { if ( $x[1], x[2], \dots, x[k]$ ) is a path to answer node

output  $x[1:k];$

if ( $k = n$ )

Backtrack ( $k+1$ );

}

}

}

Bounding fn // Checker function

↳ if simple : write here

↳ if complex : write as a separate fn

Backtracking algo : uses bounding fn + backtrack logic

## NQueens Algo

void NQueens (int k, int n)

{ //  $x[1 \dots n] \rightarrow$

for (int i=1; i<=n; i++)

{ if (Place (k, i)) // Bounding function

{  $\exists x[k] = i;$

if ( $k == n$ )

{ output  $x[1:n];$  }

i/p  $\rightarrow$  n an chessboard  
o/p  $\rightarrow$   
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else

NQueens(k+1, n);

5

?

?

bool place(k, i)

// Returns true

11

11

for (j=1; j < k; j++)  
if ( $n[i][j] == i$ ) || ( $abs(n[i][j] - i) == abs(j - k)$ )  
// two queens on same column or same diagonal  
return false;

return true; // renders when for loop ends

?

Same column  
 $n[i][j] = i$

previous

~~2 2 0 1 0 0 2 0 0~~ 2 0 1 0 0 2 0 0

Queen  $\Rightarrow$  column value  $\downarrow$  ~~0 2 0 1 0 2~~

then return false

row col  
 $j^{th}$  Queen  $j \quad n[i][j]$   
 $k^{th}$  Queen  $k \quad n[i][k]$   
 $\therefore abs(d_2) == abs(d_1)$   
if (equal) on diagonal  
 $\therefore$  return false

- Q) Find all possible answer states. Ans: Draw complete Stage Based Tree  
Q) Find whether any soln exists.  
Draw Tree until we find first ans.

# GRAPH COLORING PROBLEM

(1) decision version

(2) optimisation version.

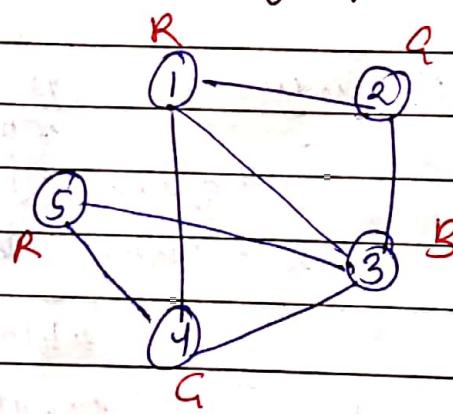
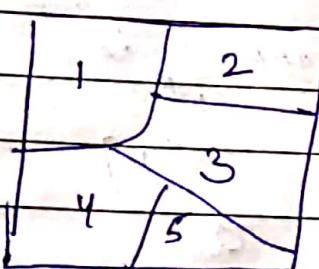
(1) m-colorability decision problem. (input : m)  
 (chromatic numbers)

Possible configuration for given m

(2) m-colorability optimisation problem  
 $m = ?$  need to be found. min. m such that  
 we can color graph such that no two vertices  
 are have same color

## Application

Planar graph  
 When we need to convert map into graph.



(1) Draw areas as nodes

(2) Add edges which having sharing neighbours

(3) Check adjacency list of each node before making decision of color such that no two vertices gets same color

**NOTE:-**

Minimum colors required to draw configuration graph with no neighbouring vertices with same color in a 2D planar graph (drawn on paper) = 4

MP17  $\rightarrow$  color for  $\cup^{11}$  nodes

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