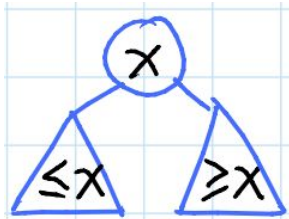


# Lec6\_AVL trees, AVL sort

## Binary Search Trees (BSTs)

- Rooted binary tree
- Each node has ( key / left pointer / right pointer / parent pointer )
- BST property



- Height of node = length ( # edges ) of the longest downward path to a leaf
- \* For convenience height of Null ptr from leaves : -1

## The importance of being balanced

- Functions (insert, delete, min, max, next-larger, next-smaller, etc) from BST in  $O(h)$   
h : height of tree
- balanced BST maintains  $h = O(\lg(n))$

## AVL trees

- Properties
  - For every node, require heights of left & right children to differ by at most  $\pm 1$
  - Treat NIL tree as height -1
  - Each node stores its **height ( Data Structure Augmentation )**
- Balance
  - Worst when every node differs by 1

Let  $N_h = (\min) \# \text{ nodes in height-}h \text{ AVL tree}$

Approach 1)

$$\Rightarrow N(h) = N(h-1) + N(h-2) + 1$$

$$\Rightarrow N(h) > 2N(h-2)$$

$$\Rightarrow N(h) > 2^{(h/2)}$$

$$\Rightarrow h < 2 \lg(N(h))$$

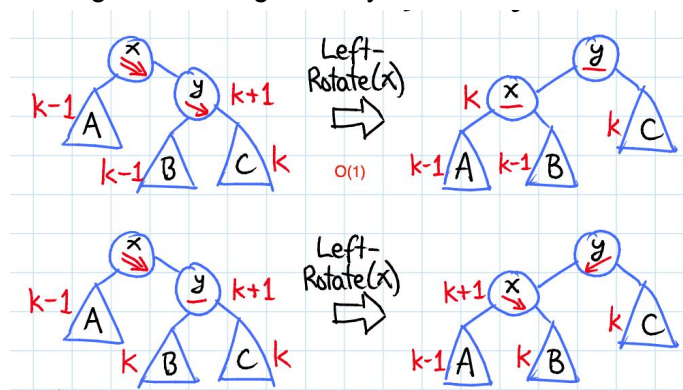
Approach 2)

$$\Rightarrow N(h) > F(h)$$

$$\Rightarrow h < 1.440 \lg(n)$$

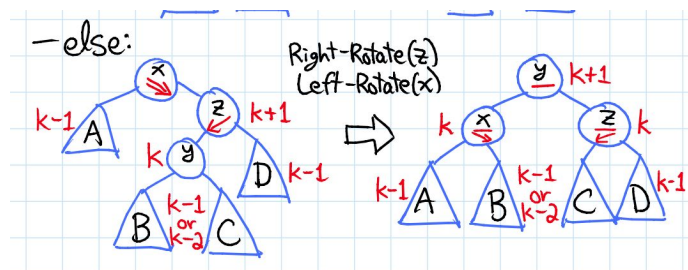
- Insert
  - Steps
    - Insert as in simple BST
    - Work your way up tree, restoring AVL property (and updating heights as you go)
  - Balancing
    - Condition
      1. Suppose  $x$  is lowest node violating AVL
      2. Assume  $x$  is right heavy (left case symmetric)
      3. If  $x$ 's right child is right-heavy or balanced
    - First case
 

If  $x$ 's right child is right heavy or balanced :



■ Second Case

Else :



- Then continue up to  $x$ 's grand parent, great grand parent ...

## AVL Sort

- Insert each item into AVL tree :  $\Theta(n \lg(n))$
- In-order transversal :  $\Theta(n)$

## Balanced Search Trees

1. AVL trees

2. B-trees / 2-3-4- trees
3. BB[a] trees
4. Red-black trees
5. Splay trees [A]
6. Skip lists [R]
7. Scapegoat trees [A]
8. Treaps [R]

R = use random numbers to make decisions fast with high probability

A = “amortized” : adding up costs for several operations => fast on average