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#### Research report

# Pharmacotherapy impacts functional connectivity among affective circuits during response inhibition in pediatric mania

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#### ABSTRACT

*Objective*: The aim of the current study was to determine the influence of implicated affective circuitry disturbance in pediatric bipolar disorder (PBD) on behavioral inhibition. The differential influence of an antipsychotic and an anti-epileptic medication on the functional connectivity across affective and cognitive neural operations in PBD was examined.

Methods: This was a six-week double blind randomized fMRI trial of risperidone plus placebo vs. divalproex plus placebo for patients with mania (n=22;  $13.6\pm2.5$  years). Healthy controls (HC; n=14,  $14.5\pm2.8$  years) were also scanned for normative comparison. Participants performed a response inhibition fMRI task where a motor response, already 'on the way' to execution, had to be voluntarily inhibited on trials where a stop signal was presented. Independent component analysis was used to map functional connectivity across the whole brain.

Results: While there were no behavioral differences between the groups at pre- or post-drug trial, there was significant improvement on manic symptoms in the patient groups. All participants engaged an evaluative affective circuit (EAC: bilateral inferior frontal gyrus, middle frontal gyrus, anterior cingulate cortex (ACC), middle temporal gyrus, insulae, caudate and putamen) and a reactive affective circuit (RAC: bilateral occipital cortex, amygdala, medial frontal gyrus and insula) during task performance. Within the EAC, post-treatment and relative to HC, greater engagement was seen in left insula in risperidone group and left subgenual ACC in divalproex group. Within the RAC, greater baseline amygdala connectivity in patients did not alter with treatment.

Conclusion: EAC and RAC are two key circuits that moderate emotional influence on response inhibition in PBD. Risperidone and divalproex differentially engage the EAC. Limited change in amygdala activity with treatment in all patients indicates a likely trait deficit in PBD.

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#### 1. Introduction

Overcoming the important challenges in understanding how affective abnormalities contribute to disinhibition and impulsivity in pediatric bipolar disorder (PBD) will help discover how interventions can alter these neural operations. To date, numerous studies of pediatric mania have focused on affective processing with or without cognitive challenge which have typically shown under activity in ventrolateral prefrontal cortex (VLPFC), medial PFC (MPFC), dorsolateral PFC (DLPFC) [1–3] and overactivity in anterior cingulate cortex (ACC), amygdala, and striatum [2–7]. In addition, reduced connectivity in PBD was found in an emotional face response

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circuit consisting of the amygdala, posterior cingulate/precuneus, and fusiform/parahippocampal gyrus [8], suggesting further dysfunction at multiple circuitry-wide levels. Similarly, decreased functional connectivity during mania between DLPFC and temporal circuitry has even been found while participants were in a resting state [9]. Further, pharmacological fMRI studies of pediatric mania with risperidone, divalproex and lamotrigine have shown increased activity during cognitive control under emotional challenge in VLPFC, DLPFC, MPFC, subgenual ACC, temporal lobe, and striatum [10-13], but the amygdala remained overactive relative to healthy controls (HC) [13]. Such affective circuitry level disturbance in PBD is likely to influence cognitive function, given our previous findings illustrating a strong interlink between affective and cognitive systems [7,14,15]. Therefore, in the current study, we sought to further examine how affective neural systems commonly involved in PBD influence impulsivity and disinhibition using cognitive paradigms without any affective stimuli [16].

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There have been several fMRI studies of motor inhibition in pediatric mania, including one treatment study. Leibenluft et al. [17] showed that failed inhibition during a Stop signal task in PBD involved decreased activation in right VLPFC and bilateral striatum when compared to healthy controls. In addition, a recent study employing a blocked Go/No-Go task similar to the Stop signal task used in the current study found increased activation in the DLPFC in participants with PBD relative to controls [18]. However, the Go/No-Go task employed in the Singh et al. study involved withholding a prepotent response, rather than interrupting a response that was already on the way to completion, so the results of that study might not be directly comparable with the present study. Recent studies by Passarotti et al. [13,14,16] showed that emotionally linked brain regions such as the VLPFC and pregenual ACC are implicated both in the cognitive control of emotional processing and in behavior inhibition in pediatric mania relative to attention deficit hyperactivity disorder (ADHD). Therefore, a particular challenge in PBD studies is to unravel the relationship between emotional systems and inhibitory control systems in manic states. In addition, understanding the effects of medication treatments on the neural networks involved in response inhibition in PBD would help us understand the intervention effects on the neural function. For example, lamotrigine monotherapy has been shown to enhance underactive MPFC and temporal lobe regions during a response inhibition task, suggesting that mood stabilizers which improve function in affective regions can also influence the brain networks involved in response inhibition [19]. Examining how dysfunction in the neural networks central to affective disturbance in PBD [1–7] impacts motor inhibition will more conclusively link the affective disturbance that is the hallmark of PBD to its behavioral

Informed by the aforementioned fMRI studies of PBD, we have proposed theoretical models of functional networks [20] involving regions of higher cortical evaluation of emotional and behavioral control in the VLPFC, [2,4] emotional evaluation in the MPFC, [21] and executive function of emotion modulation in the DLPFC [1,4,7,21]. Additionally, emotional and cognitive control and modulation is accompanied by greater activity in the ACC in PBD [1,4,7,21] involved in both compensatory error correction and the complex interface of affective and cognitive processing [22]. This collection of brain regions can be considered an evaluative affective circuit (EAC) that is likely to contribute to behavioral disinhibition in PBD by interfering with oversight of behavioral control. Another proposed complementary posterior circuit was an occipito-limbic associative circuit [20] linking the occipital cortex and amygdala, which is activated in response to incidental emotional processing [23,24]. This reactive affective circuit (RAC) contributes to impulsive automatic response tendencies, which are moderated by evaluative MPFC region.

The regions within EAC and RAC inter-communicate and are important for task success. We implemented a novel approach to study functional connectivity among specific brain regions within the EAC and RAC networks that influence activity in distal regions within each network during task performance [25]. Independent component analysis (ICA), used in the current study, is a model-free technique that robustly identifies distinct spatiotemporal profiles of distributed brain function that closely correspond to known anatomical neural networks. This approach was used as a means to test hypotheses regarding regional functional connectivity during response inhibition. Although previous studies have documented impairments in specific brain regions underlying response inhibition in PBD [17-19,26], and other studies have documented networks involved in response inhibition in normal adolescents, [27] to date, there have been no direct tests of whether brain regions in the EAC or RAC show disrupted functional connectivity in PBD during demands for behavioral control. Therefore, the first goal of this study was to use functional connectivity to map PBD pathophysiology within the hypothesized EAC and RAC. We predicted that PBD patients would show less functional connectivity within each of these key networks during response inhibition.

A second goal was to link the hypothesized functional connectivity deficits to clinical improvement in response to two different classes of medications known to stabilize affect in PBD. One of the medications, risperidone, an antipsychotic that acts by serotonin dopamine antagonism, [28] is known to reduce manic symptoms in PBD, [29] and improves VLPFC and MPFC activity [12]. We predicted that risperidone would improve EAC functional connectivity and that the treatment-induced reduction in manic symptoms will correlate with the change in EAC connectivity. The other medication, divalproex sodium (divalproex), is an anti-epileptic that modulates intracellular pathways [30] and also serves as a traditional mood stabilizer known to reduce both manic and depressive symptoms [31,32]. Given that a similar anti-epileptic, lamotrigine, led to greater subgenual and MPFC activity in pediatric mania during response inhibition [19], we predicted that divalproex would also improve functional connectivity in EAC and that reduction in both the manic and depressive symptoms will correlate with the change in EAC. With regards to the RAC, previous functional imaging results are equivocal, with persistent increase in amygdala activity, relative to HC, with treatment of mania [13] and decreased activity with reduction in depression within patients [33]. Our findings will inform if functional connectivity in this circuit will be altered by either of the medications. Essentially, given that this is the first study comparing divalproex and risperidone effect on behavior inhibition in pediatric mania, we began with the premise that both medications would have an equal impact on EAC and RAC.

#### 2. Methods

#### 2.1. Design

This was an NIH-funded (1 K23 RR018638-01) six-week outpatient double blind randomized controlled trial (DBRCT) of risperidone plus placebo (that resembled a divalproex capsule) vs. divalproex plus placebo (that resembled a risperidone tablet) for manic and mixed episodes of bipolar disorder. This study was approved by the University of Illinois at Chicago's Institutional Review Board (IRB).

#### 2.2. Sample

Inclusion criteria were a DSM-IV diagnosis of mixed or manic bipolar disorder; 12-18 years old; and medication free or currently clinically unstable on medication, justifying termination of the ineffective regimen (with consent, all subjects were washed out and free of any medication for a week prior to baseline scanning, and four weeks in case of fluoxetine or aripiprazole). Prior exposure to SGAs and antiepileptic medications was acceptable. Exclusion criteria included: active substance abuse; serious medical problems; autism and non-affective psychotic disorders. Participants who had a diagnosis of ADHD preceding the onset of PBD were excluded to reduce the confound of comorbid attentional disorders, relevant specifically in probing response inhibition. Using these criteria, we recruited 44 subjects into the study. After excluding subjects whose data were unusable due to motion artifacts (HC: n=2; risperidone group: n=3; divalproex group: n=3), the final sample included in the analyses consisted of 14 HC, and 22 patients randomized to either risperidone (n=11) or divalproex (n=11). No subjects dropped out of the study. Sample characteristics are summarized in Table 1.

#### 2.3. Assessment and efficacy measures

Each child and their parent or legal guardian were interviewed using the Washington University in St. Louis Kiddie Schedule for Affective Disorders and Schizophrenia (WASH-U-KSADS) supplemented by the episode characterization of bipolar disorder from the KSADS – Present and Lifetime version [34]. Diagnostic interviews were completed by doctoral-level clinicians with established inter-rater reliability. The primary clinical efficacy measure was the Young Mania Rating Scale (YMRS) [35]. The Child Depression Rating Scale-Revised was also administered (CDRS-R) [36]

#### $2.4. \ \ \textit{Study dosing of risperidone and divalproex}$

The mean (standard deviation, SD) risperidone dose at endpoint was  $1.43~(\pm 0.35)~mg/day$  in non-responders and  $1.33~(\pm 0.43)~mg/day$  in responders

 Table 1

 Demographic variables and clinical characteristics.

Variable		DVPX (N = 11) Mean (SD)	RISP ( <i>N</i> = 11)\ Mean (SD)	HC ( <i>N</i> = 14) Mean (SD)	F (2,33), p	RISP vs. DVPX $t(20)$ , $p$
Age in years (Age range: 10–19)		12.09 (2.47)	12.64 (1.69)	14.14 (3.01)	2.29, p = 0.117	0.61, p = 0.552
Estimated IQ <sup>a</sup> (IQ range: 70–139)		96.17 (18.2)	94.67 (9.7)	106.5 (12.0)	2.96, p = 0.07	0.45, p = 0.774
YMRS pre		25.5 (7.6)	27.2 (6.6)	.9 (1.5)	88.54, p < 0.001	0.54, p = 0.595
YMRS post		12.6 (9.3)	11.6 (11.7)	1.1 (1.9)	7.67, p = 0.002	0.22, p = 0.826
YMRS change		12.9 (9.4)	15.5 (9.4)	-0.2(1.8)	16.73, p < 0.001	0.66, p = 0.517
CDRS-R pre		39.6 (15.3)	45.7 (14.9)	19.5 (2.5)	17.04, p < 0.001	0.96, p = 0.348
CDRS-R post		30.0 (7.7)	26.3 (6.8)	18.6 (1.4)	8.93, p = 0.001	1.21, p = 0.242
CDRS-R change		9.6 (11.2)	19.4 (14.1)	.9 (1.5)	5.35, p = 0.01	1.83, $p = 0.083$
Variable	N(%)	N (%)		N (%)	$X^2$ (df = 2), p	Fisher's Exact p
Sex						
Male	9 (82%)	7 (64%)		7 (50%)	2.70, p = 0.259	p = 0.635
Female	2 (18%)	4 (36%)		7 (50%)		
Handedness						
Left	2 (18%)	0 (0%)		0 (0%)	4.83, p = 0.090	p = 0.476
Right	9 (82%)	14 (100%)		14 (100%)		
Race composition						
Caucasian	7 (64%)	7 (64%)		8 (57%)	0.152, p = 0.927	p = 1.0
Other	4 (36%)	4 (36%)		6 (43%)		

DVPX = Divalproex; RISP = Risperidone; HC = Healthy control; YMRS = Young Mania Rating Scale; CDRS-R = Child Depression Rating Scale-Revised; SES = Socioeconomic status.

a Estimated with Wechsler Abbreviated Scale of Intelligence (WASI; Matrix Reasoning and Vocabulary Subtests).

(defined as improvement  $\geq$ 50% on the YMRS scores). The mean (SD) divalproex dose at endpoint was 863.64 ( $\pm$ 210.54)mg/day in non-responders and 855.14 ( $\pm$ 245.23)mg/day in responders. The mean serum valproic level at end point was 98 µg/mL, and 95% of patients achieved a therapeutic serum valproic level of >75 µg/mL by the 5th day. No titration of medications was allowed after day 7. One subject in the divalproex group received lorazepam as a rescue medication at a dose of 2 mg for severe agitation during the first week of the trial. No other rescue medications or stimulants were used during the trial

## 2.5. fMRI session: response inhibition task (http://www.psych.uic.edu/brain-center/response\_inhibition.html)

The fMRI behavioral paradigm was a block design task in which a motor response, already 'on the way' from planning to execution, had to be voluntarily inhibited when a cue instructing subjects to stop an impending response was presented on some trials [19]. At the beginning of each trial a fixation cross appeared for 850 ms. On Go trials, a target stimulus (a green airplane) was presented for 800 ms. On Stop trials, a Stop signal (a man holding a Stop signal in his hands) replaced the airplane with equal probability 250, 350, or 450 ms after the airplane appeared and subjects had to inhibit their response. The task lasted 6.11 min and consisted of six experimental blocks, three of which were Go blocks (G) and three of which were Stop blocks (S), and there were 7 resting blocks (F) of 10s fixation each. Each experimental block had 30 trials and lasted 49.5 s. The experimental and fixation blocks were pseudo-randomly interspersed as follows: (F) G (F) S (F) S (F) G (F). We adopted this 70/30 proportion of trials in both the Go and Stop blocks (e.g., 70% Stop trials in Stop block and 70% of Go trials in Go block) so that subjects would not habituate to fixed trial presentation within a certain block

#### 2.6. MRI protocols

Gradient-echo echo-planar functional imaging and structural acquisitions were performed with a 3.0 T whole body scanner (Signa, General Electric Medical System, Milwaukee, WI) at the MR Center within the UIC Hospital. To minimize head motion we restricted the participants' head with foam cushions.  $T2^*$ -weighted functional images were acquired with a gradient-echo echo-planar sequence (TR=2500 ms, TE=25 ms, flip angle=90°, FOV  $20\times20\,\text{cm}^2$ ,  $64\times64$  matrix,  $3.125\times3.125\,\text{mm}$  in plane resolution, 5-mm slice thickness, 1-mm gap, 25 slices). Anatomical images were also acquired in the axial plane (three-dimensional spoiled gradient recalled, 1.5 mm thick contiguous axial slices) and were later co-registered with the functional data. The experiment run consisted of 240 time points including a 5 s rest session at the beginning that was collected to allow for T1 effects to stabilize. These initial two images were not included in the analyses

#### 2.7. fMRI image processing and motion correction

FIASCO software (Functional Imaging Analysis Software – Computational Olio) [37] was used to implement 3D motion estimation and correction, removal of slow signal drift, and identification of images with artifacts such as high shot noise or displacement that cannot be readily corrected by motion correction algorithms. We excluded from the analyses individual volumes from the time series if head

displacement from the median head position was greater than 1.5 mm, or if head rotation from the median head position was greater than 0.5°. There were no significant group differences in the number of volumes retained after discarding those with motion artifact. Individual volumes were excluded from analyses if, relative to median head position, head displacement was greater than 1.5 mm or head rotation was greater than 0.5°, T-tests revealed no significant group differences in the number of volumes retained after discarding those with motion artifact. After motion correction and de-trending using FIASCO, the functional images were preprocessed with SPM5 (http://www.fil.ion.ucl.ac.uk/spm/software/spm5/). Slice timing correction was applied to the data to remove signal variation due to slice acquisition temporal onset differences. The first functional image volume of each participant was used to determine parameters for spatial normalization into Montreal Neurological Institute (MNI) standardized space employed in SPM5 using non-linear transformation. The normalization parameters determined for the first functional volume were subsequently applied to all of the 240 functional image volumes for each participant. The normalized functional images were then smoothed with a 12-mm full width at half-maximum Gaussian filter

#### 2.8. Independent component analysis

fMRI time series from all participants for the response inhibition task were analysed using a group ICA algorithm (GIFT v1.3h; http://icatb.sourceforge.net) [38,39]. The fMRI time series data for all participants were concatenated, then subjected to two principal component analysis data reduction stages [38]. The data underwent a final ICA rotation using Infomax that produced 37 maximally independent components [40]. The number of components to estimate was determined using the minimum description length criteria [41]. Using the ICA-derived group solution, data for each participant were then back-reconstructed [42] so that individual participant variability was retained for hypothesis testing. For each component, this back-reconstruction method produced a spatial map representing brain regions within each component "network", and a time course of BOLD signal change across the fMRI paradigm. Group analyses of spatial maps determined differences in degree of regional functional connectivity, while analyses of time-course information allowed us to determine whether or not study groups engaged each network during the fMRI task

A systematic process was used on the 37 independent components to identify those that would be retained for further analysis. The correlation of each component's spatial map with *a priori* probabilistic maps of gray matter, white matter, and cerebral spinal fluid (CSF) within MNI space (templates provided in SPM5) was calculated for all components. After discarding components with an *r*-squared value >0.025 with CSF or white matter, or with low correlation to gray matter that could be an artifact, 28 components were retained. This step primarily identified and excluded obvious signal artifacts (e.g., head motion, cardiac inflow pulsatile motion). We then discarded components not engaged by the fMRI task. To assess task engagement, component time courses were parameterized using multiple regression to provide association coefficients ( $\beta$ -weights) between component time courses and an overall condition model of the response inhibition task (i.e., one condition model of tho both Stop and Go blocks). One sample *t*-tests against zero were carried out on the  $\beta$  weights (pooled across groups) to determine if the evidence for task engagement was greater than zero (i.e., whether or not the network was engaged by the

**Table 2**Influence of pharmacotherapy on response inhibition.

Region of interest	Co-ordinates x, y, z in MNI	Effect size	t-value	p-value
Results in the evaluative affective circ	uit			
HC > PBD at baseline (pre-treatment	:)			
Left insula	-39, -7, 9	0.79	2.29	0.03
Risperidone > HC changes over treat	ment (Post- vs. pre-treatment)			
L insula	-39, -7, 9	1.34	3.22	0.003
R insula	39, -7, 9	0.8	1.9	0.07
L subgenual ACC	<b>−5, 17, −15</b>	0.43	1.03	0.31
R subgenual ACC	6, 17, -14	0.06	0.15	0.88
L inferior frontal gyrus	-34, 24, -18	-0.13	-0.31	0.76
R inferior frontal gyrus	35, 26, -18	-0.26	-0.63	0.53
R medial frontal gyrus	8, 45, 17	-0.57	-1.37	0.18
L medial frontal gyrus	-7, 50, 19	-0.51	-1.22	0.23
Divalproex > HC changes over treatr	nent (Post- vs. pre-treatment)			
L insula	-39, -7, 9	0.77	1.84	0.08
R insula	39, -7, 9	0.63	1.5	0.15
L subgenual ACC	-5, 17, -15	0.83	1.98	0.05
R subgenual ACC	6, 17, -14	0.49	1.17	0.25
L inferior frontal gyrus	-3424 - 18	0.09	0.21	0.84
R inferior frontal gyrus	35, 26, -18	0.04	0.1	0.92
R medial frontal gyrus	8, 45, 17	0.2	0.47	0.64
L medial frontal gyrus	-7, 50, 19	0.07	0.16	0.87
Results in the reactive affective circuit	t			
HC > PBD at baseline (pre-treatment				
Left amygdala	-23, -4, -19	0.36	1.05	0.04
Risperidone > HC changes over treat	ment (Post- vs. pre-treatment)			
L occipital lobe	-20, -84, 2	-0.33	-0.8	0.43
L amygdala	-23, -4, -19	-0.94	2.25	0.03
L parietal lobe	-30, -53, 43	0.08	0.19	0.85
Divalproex > HC changes over treatr	nent (Post- vs. pre-treatment)			
L occipital lobe	-20, -84, 2	0.3	0.72	0.48
R amygdala	23, -4, -19	-0.38	-2.44	0.02
L parietal lobe	-30, -53, 43	0.38	0.9	0.38

L = Left; R = Right; ACC = Anterior cingulate cortex; HC = Healthy controls, PBD = Pediatric bipolar disorder.

task). Only 14 components were significantly (p < 0.05) associated with the overall-condition model and were retained. Estimates were then derived for Stop and Go blocks separately to investigate the effects of task set on brain networks. To identify and visualize which brain regions were significantly engaged in each component, individual participants' spatial maps were entered into an SPM5 voxel-wise one-sample t-test for each component. Component spatial structure was visualized by overlaying these results on axial slices of representative brain anatomy. Significance was evaluated using p < 0.05 family-wise error rate correction for the whole brain [43].

#### 2.9. Clinical effects of treatment and behavioral analyses

Participants' YMRS and CDRS-R values were analysed with  $2\times3$  time (pre-vs. post-trial) by group (risperidone, divalproex, HC) Analyses of variance (ANOVA). Participants' reaction time and accuracy were examined using a series of  $2\times2\times3$  ANOVAs; block (Go vs. Stop) by time (pre- vs. post-trial) by group (risperidone, divalproex, HC). In accordance with signal detection theory, sensitivity (d-prime) and criterion bias (c-bias) measures were computed from participants' hit (correct Go) and false alarm (incorrect Stop) rates. The d-prime score represents participants' ability to adequately detect whether or not a signal is present – in this case, it is a proxy for their ability inhibit or reverse an already in progress response in response to the Stop signal. The c-bias score represents participants' overall tendency to respond, with higher positive values indicating a greater likelihood to respond to any trial. These measures were examined using separate  $2\times2\times3$  ANOVAs: block type (Go vs. Stop) by session (pre- vs. post-trial) by group (risperidone, divalproex, HC)

#### 2.10. Identification of task-engaged networks affected by treatment

Given that the focus of this study was to examine differential changes between medication groups in the engagement of brain circuits during the response inhibition task over the course of treatment, with the HC group serving as a control for practice effects and development, our analyses focused on two networks that showed a significant group by time interaction. ANOVA compared the beta weights between groups in SPSS using group (risperidone, divalproex, HC) as the between-subjects factor, and time (pre vs. post) as the within-subjects factor. Because the Stop blocks and Go blocks likely engaged different, albeit related cognitive processes, separate ANOVAs were conducted for Stop and Go blocks (Table 2).

## 2.11. Whole-brain ANOVAS and region-of-interest (ROI) analyses of functional connectivity

As described above, ICA produced a spatial map for each participant  $\times$  fMRI sessions (i.e., pre- vs. post-treatment) that depicted the voxel-wise strength of functional connectivity. These were examined in a series of SPM5 2  $\times$  2 (pre- vs. post-treatment session by group) ANOVA models that contrasted PBD drug effects of divalproex vs. healthy controls, risperidone vs. healthy controls, and finally risperidone vs. divalproex. The defined ROIs from the EAC and RAC networks used two-tailed  $\alpha$ =0.05, corrected for the number of ROIs searched (ROI masks in Supplementary Material).

### 2.12. Correlations of ROI-derived functional connectivity values and clinical indices

To investigate the effect of changes in brain regions on the clinical indices, correlations were conducted within each drug treatment group between the areas that showed significant changes in the ANOVAs and the changes in YMRS and CDRS-R scores. In all, eight correlations were conducted: for the risperidone group, the YMRS and CDRS-R scores were correlated with the connectivity in the left insula after treatment and the *change* in connectivity from pre- to post-treatment, and in the divalproex group, the two clinical indices were each correlated with post and *change* in connectivity in the left BA25, given that those were the areas that changed in each group.

#### 2.13. Correlations of brain and clinical data to behavioral indices

To investigate the effect of changes in brain regions on the participants' behavioral performance, similar correlations were conducted within each drug treatment group between the areas that showed significant changes in the ANOVAs and the changes in sensitivity (d-prime) and response threshold (c-bias) scores. In all, eight additional correlations were conducted: for the risperidone group, the d-prime and c-bias scores were correlated with the connectivity in the left insula after treatment and the *change* in connectivity from pre- to post-treatment, and in the divalproex group, the two behavioral indices were each correlated with post and *change* in connectivity in the left BA25, given that those were the areas that changed in each group. Finally, four correlations between the changes in clinical data and the changes in behavioral data were also conducted, but no significant results were found

#### 3. Results

Sample demographic and clinical data are summarized in Table 1. Comorbid diagnosis included separation anxiety disorder (n=1) and conduct disorder (n=1) in risperidone group, and generalized anxiety disorder (n=1) and a history of substance abuse (n=1) in divalproex group.

#### 3.1. Behavioral results

Patient groups and HC did not differ in reaction time (RT) at baseline or in degree of change with time between groups, and all subjects slowed down with time. Accuracy did not differ between groups in the Go blocks or the Stop blocks and did not change with time

Patient groups and HC did not show any significant differences on the  $2 \times 2 \times 3$  block type by session by group ANOVA for d-prime scores, except for a significant difference between the groups' overall d-prime scores, F(2,30) = 3.59, p = 0.040. Post hoc tests revealed that although the two patient groups did not differ from each other, they both showed significantly less sensitivity overall than the HC. All groups, however, did show increases in sensitivity on the Go blocks - albeit nonsignificantly - and the HC showed an increase on the Stop Blocks, whereas the patients showed slight decreases. In addition, although there was a significant difference in sensitivity between the HC and the two patient groups in the first session, F(2,30) = 5.07, p = 0.013, there was no such difference in the second session, F(2,30) = 1.95, p = 0.160. Thus there is some evidence that the PBD group became slightly better at the task, relative to HC, before and after medication treatment. The criterion bias scores suggest a possible mechanism for these slight increases in sensitivity. All of the participants shifted from a more liberal responding threshold (higher c-bias) in the first session to a more conservative one (lower c-bias) in the second session, F(2,30) = 9.65, p = 0.004, with no other effects of group or block type. Taken together, the behavioral results indicate that both patients' and controls were able to strategically adjust their response criteria in the second session to attempt to improve their performance above the first session, but that HC were slightly more successful than patients in actually doing so. (A table and additional detail that summarizes the behavioral data is available in 'Supplementary Material' at http://www.psych.uic.edu/braincenter/response\_inhibition.html).

#### 3.2. fMRI whole brain analyses results

Only two networks survived our selection process that identified non-artifactual, task-associated components with evidence for significant effects of treatment in the PBD group. The first component (henceforth known as the Evaluative Affective Circuit (EAC)) showed functionally-integrated activity in the bilateral inferior frontal gyrus, middle frontal gyrus, anterior cingulate cortex, middle temporal gyrus, insulae, and striatum (FDR p < 0.001, Fig. 1). The second component (henceforth known as the Reactive Affective Circuit (RAC)) showed functionally-integrated activity in the bilateral occipital lobe, parietal lobe, and amygdala (FDR p < 0.001, Fig. 2). The increase or decrease in activity within the networks signifies their connectivity within the component in all subjects, PBD and HC included, during the task performance.

Next, the change in ROI within the EAC and RAC were examined to determine the change in connectivity among these networks within each patient group in response to risperidone or divalproex, relative to HC. In the EAC, the risperidone group showed greater increase in functional connectivity across treatment, relative to HC, in the left and (t(23) = 3.22, p = 0.003) and the right insula (t(23) = 1.90, p = 0.07) (Table 2, Fig. 3a). Also in the EAC, the

divalproex group showed greater connectivity across treatment, relative to HC, in the left subgenual ACC (BA25) (t(23) = 0.98, p = 0.05), and the left insula (t(23) = 1.84, p = 0.03)(Table 2, Fig. 3b). When compared directly with each other, the risperidone group, relative to the divalproex group, showed a trend toward greater functional connectivity in the left insula ROI (t(20) = 1.91, p = 0.07).

In the RAC, risperidone group showed decreased change in functional connectivity in the left amygdala, relative to HC (t(23) = 2.47, p = 0.01) (Table 2, Fig. 3c). Similarly, the divalproex group showed decreased change in functional connectivity, relative to HC, in the right amygdala (t(23) = 2.44, p = 0.01) (Table 2, Fig. 3d). With regards to occipital cortex, relative to HC, the risperidone group showed an increase in the right middle occipital gyrus (t(23) = 2.96, p = 0.003) and the divalproex group showed an increase in functional connectivity in the right superior occipital gyrus (t(23) = 2.14, p = 0.02).

#### 3.3. Correlations of ROI values and clinical indices

For the risperidone group, there was a significant correlation between the change in YMRS scores and the level of functional connectivity of the insula after treatment (r(9) = -0.629, p = 0.038). For the divalproex group, there was a significant correlation between the change in functional connectivity in the left subgenual ACC between sessions and the decrease in CDRS-R score (r(9) = -0.713, p = 0.014). No other correlations between clinical indices and activity changes in these ROIs were found.

#### 3.4. Correlations of ROI values and behavioral indices

For the risperidone group, there was a significant correlation between the change in participants' response threshold and the level of functional connectivity of the insula after treatment  $(r(9)=0.84,\ p=0.009)$ , as well as a trend toward a correlation between participants' threshold change and the change in left insula connectivity  $(r(9)=-0.690,\ p=0.05)$ . For the divalproex group, none of the correlations were significant.

#### 4. Discussion

There are four key findings in this study. First, the ICA methods found evidence for the two hypothesized functional circuits engaged during response inhibition, the "appraising" EAC (bilateral inferior frontal gyrus, middle frontal gyrus, ACC, middle temporal gyrus, insulae, striatum) and the "automatic" RAC (bilateral occipital lobe, parietal lobe, and amygdala). Second, ROI analysis within the EAC and RAC confirmed our hypothesis that medications altered the functional connectivity. Patients, relative to HC and within EAC, showed greater engagement of left insula with risperidone and left subgenual ACC with divalproex. Third, as predicted, reduction in manic symptoms correlated with increased connectivity of insula within EAC with risperidone. The reduction in depressive symptoms correlated with increased left subgenual engagement with divalproex. Fourth, amygdala functional connectivity did not alter with either of the medications. This could be a trait abnormality in patients where prefrontal effects, rather than subcortical activity changes, are seen during treatment, during motor inhibition. An alternate explanation may be that the amygdala activity reduces with treatment, but relative to HC, it remains high.

Both groups engaged in the behavioral strategy of becoming more conservative in their responses, but were only slightly successful in translating this strategy into improved performance to perform the task in the second session than the patients were, but both groups showed a similar shift in strategy. Thus, these results suggest that as patients' mood symptoms abated with treatment, they were able to employ the same response strategy as HC.

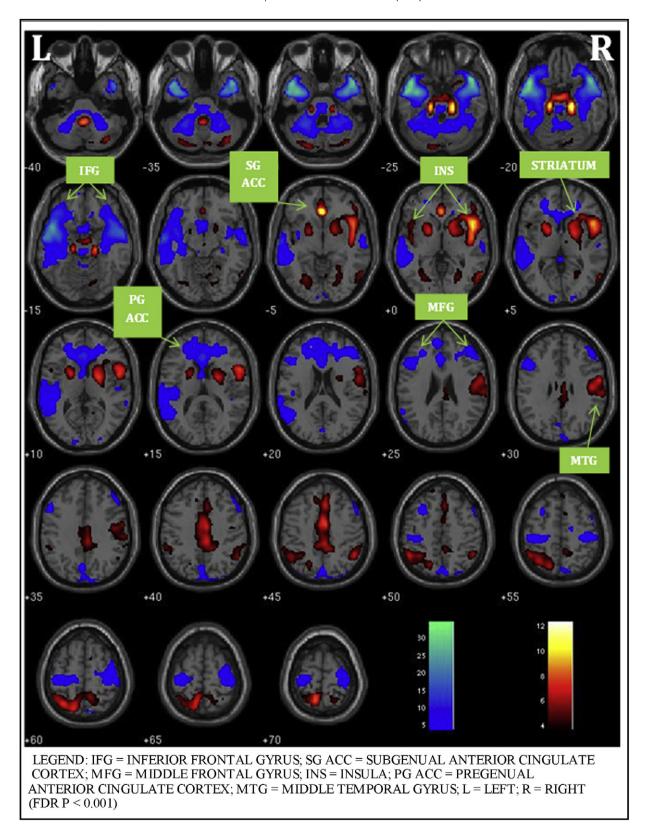


Fig. 1. Evaluative affective circuit during response inhibition.

# 4.1. ICA reveals EAC and RAC engagement in PBD during response inhibition

We were able to map two distinct functional networks, the EAC and the RAC, in PBD and HC. Interestingly, these putative emotional

networks were significantly engaged differently in patients, relative to HC. On tasks where performance might be challenging and elicit emotional reactions to success or failures, demanding the need to modulate responses in the context of performing an arduous task, evaluative higher cortical regions have come into play

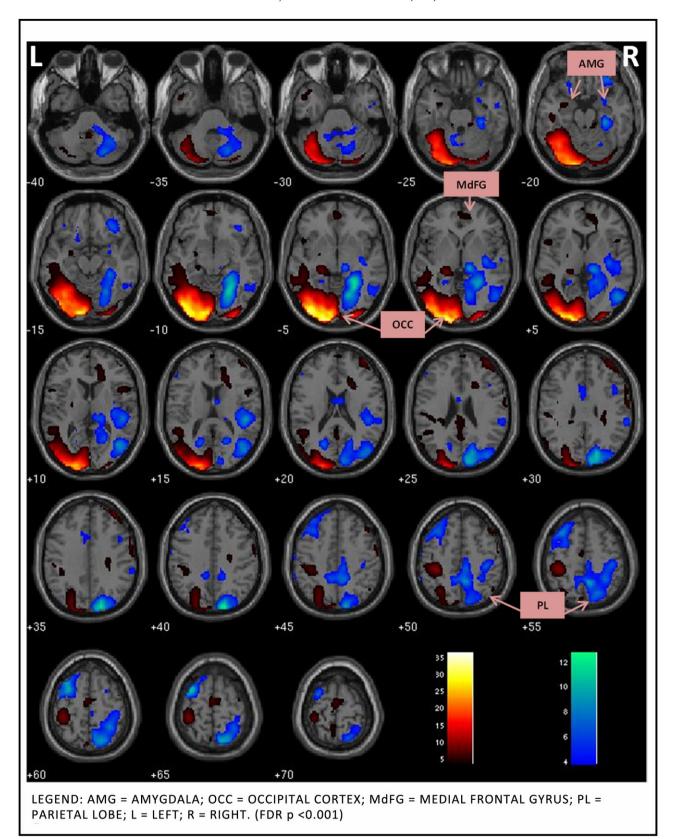


Fig. 2. Reactive affective circuit during response inhibition.

[26]. It is now well established that inferior frontal gyrus engages in affective and response inhibition control, [19,44–46] and has strong connectivity to the "cognitive" middle frontal gyrus [47]. These cortical regions of EAC work in concert with opercular regions

of insula, ACC, and temporo-striatal regions [48] establishing a liaison between affective and cognitive regions of decision control, error correction, and emotional moderation, as in this study. For example, in previous work, the pregenual cingulate-insular

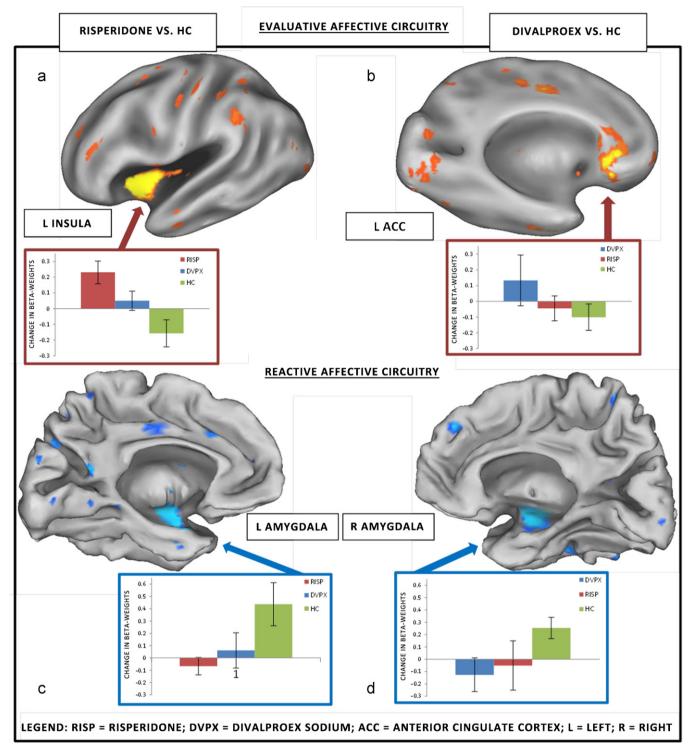


Fig. 3. Region of interest within functional circuits: medication effects over treatment period.

cortex elements of the EAC network described in this study have been linked to cognitive set maintenance [49] and they increase in response to errors during ongoing performance of a similar Go/No-Go response inhibition task [50]. This suggests that impairment of processing due to abnormal integration of insular cortex might disrupt these executive control abilities, with inability to monitor inhibition in the light of manic symptoms. The RAC is a posterior affective circuit that also emerged during response inhibition task performance. The functional connectivity that emerged in RAC is in line with our previous findings in support of direct

connectivity between visual association cortex to the amygdale [51,52] via the occipito-temporal inferior longitudinal fasciculus [23]. Given the high level of frustration associated with having to inhibit responses in this study, amygdala was engaged on the left side [3,4]. The amygdala is found to play a key role in the interaction of emotion and cognition such as emotion's influence on attention and perception [53]. Our findings support the notion of emotional impulsivity [13,14,16,54,55] and this early automatic response is proportional to the attention directed to the stimuli [56] We have yet to establish the sequence of signal pathways [27]

to determine whether EAC activity is preceded by that in RAC or vice versa.

#### 4.2. Medication-specific effects on functional connectivity

While biomarkers of medication outcome and prognosis are an ideal goal, given the preliminary nature and the complexity of our studies, it may be optimal to provide a bio-signature based on a cluster of biological findings that maps any given drug's operation. Also, findings on fMRI studies of drug mechanism must always be interpreted based on the paradigm chosen to probe a specific domain, the illness status, and the class of drug.

#### 4.2.1. Risperidone increases insular engagement within EAC

Our main finding with risperidone is of critical importance as risperidone is often administered to address aggression and negative reactivity within acute mania [29,57-59]. In parallel, insula increased activation while processing negative emotions in healthy humans with increased serotonin neurotransmission [60] as well as in PBD [21,61]. Furthermore, the insula showed hypometabolism during omission errors on an attentional task in euthymic bipolar adults [62], a finding that aligns with structural studies showing decreased grey matter in left insula in patients and their relatives [63]. Risperidone appears to engage this critical region in the EAC to a greater extent, likely by the virtue of its serotonindopamine antagonism, due to greater serotonin HT 1A receptors in the insula [64] modulating emotion and attention during task performance. The increased functional connectivity of insula in the EAC with risperidone treatment and its correlation with change in manic symptoms underscores the mechanism behind the effect of risperidone in mania. However, given that HT 1A receptors are abundantly present in hippocampus and amygdala, these results of insular hyperconnectivity must be interpreted as potentially specific to risperidone and during behavior inhibition. Alternatively, risperidone administered in a larger sample may illustrate a more wide spread and significantly increased engagement of these other regions that are rich in HT 1A receptors.

# 4.2.2. Divalproex increases subgenual ACC engagement within FAC

Within the EAC, left subgenual ACC showed greater functional connectivity in divalproex patients, relative to HC. This finding is consistent with the underactive subgenual ACC in untreated patients with adult bipolar disorder while performing an attentional task [65]. This key region modulates autonomic responses and neurotransmission in animals [66]. Indeed, stimulation of subgenual ACC ameliorated symptoms in adult depression [67] and perfusion was normalized with treatment in the same region [68,69]. Subgenual ACC also exhibited decreased grey matter in adult mood disorders where there was reduction in glia [65,70]. Further, we were able to show that subgenual ACC engagement significantly correlated with reduced depressive symptoms in the current study, although we failed to show such correlation with manic symptoms. Finally, while divalproex engaged subgenual ACC greater than HC, risperidone did not differ from divalproex when we compared the two patient groups. These results are in line with our findings from a previous study of risperidone illustrating increased subgenual ACC activity during a cognitive control task performed under emotional challenge [12]. An alternate explanation for this lack of difference on direct comparison between the two medications may be due to the fact that the brain circuitry engagement may be specific to a mood state than a specific medication. The brain functional signature may be more specific to a manic episode vs. a depressive episode or a euthymic state

# 4.2.3. Risperidone and divalproex fail to dampen amygdala connectivity within RAC

The amygdala showed significantly increased functional connectivity within RAC, at baseline that failed to change with treatment. There is consistent evidence from functional studies in PBD showing increased amygdala activity [4,33] that remained hyperactive, relative to HC, regardless of treatment for mania [13] and during euthymic illness state [2,7,21]. In addition to functional neuroimaging abnormalities in the PFC and amygdala, structural neuroimaging studies indicate smaller amygdala volumes in PBD patients relative to HC, [71-74] which contrasts with adult studies that report larger [75,76] or normal [77,78] amygdala volumes. Larger amygdala in adult studies [75,76] [79,80] has in fact been hypothesized to result from hypertrophy due to chronic and excessive activation in manic patients [80]. While findings of altered size do not necessarily imply intrinsic primary abnormalities in the amygdala, they may correlate with functional abnormalities in the amygdala such as increased connectivity in response to the emotional and cognitive challenges posed by the task (e.g. frustration, effortful inhibition of responses), regardless of dysfunction in PFC input to the amygdala. Given the central role that the amygdala plays in arousal and emotional reactivity [81-83] and its role in the emotion-cognition interface [53], it is meaningful that amygdala did not alter its task-engagement following treatment with either medication in PBD as was seen in controls. The change in amygdala connectivity found in HC might be the result of the increase in automaticity due to task familiarity in the RAC and decreased need to use the EAC

#### 4.3. Clinical symptoms influence response inhibition

The novel findings are that both risperidone and divalproex groups showed greater connectivity in two distinct regions in EAC, relative to HC, and that both of these regions correlated significantly and differentially with the reduction in manic and depressive symptoms, respectively. The increased engagement of insula in the EAC after treatment with risperidone illustrates the alterations in neural connectivity underlying the reduction in manic symptoms. The increase in the functional connectivity in subgenual ACC provides insight into the mechanisms of the traditional mood stabilizer divalproex, which additionally addresses depressive symptoms [84] and subsyndromal depressive symptoms [85] that commonly coexist with pediatric mania. Depressive symptoms paired with expending attentional resources during response inhibition task performance would lead to "emotional impulsivity" in PBD, [16] especially because cognitive conflicts require effortful processing and, therefore, can be aversive and evoke negative emotions [86]. If the patients were dealing with mood symptoms, they could have interfered with their ability to implement a more conservative response strategy similar to that found by the HC. Thus, our study is significant in exhibiting the effects of risperidone and divalproex during motor inhibition, with significant reductions in manic and depressive mood symptoms and engagement of the EAC through insula and subgenual ACC, respectively, to moderate patients' emotional impulsivity.

A major strength of this study is that the ICA method is a datadriven (i.e. model-blind) technique to measure dynamic changes in brain network circuits working in concert, rather than isolated changes in activity. A weakness of this study is the relatively small sample size, although couched in a strong study design. The use of a block design for the task also did not permit us to compare the networks that were engaged for Stop vs. Go trials or correct vs. incorrect trials, unlike some previous studies [17,27]. However, given that that the study is based on a model of the neural circuits that takes into account overall performance during the response inhibition task, the fact that the study was a block design is less relevant. Moreover, given that we found comparable levels of performance between patients and HCs, we avoided the potential confound that large differences in task difficulty between groups caused the neural changes we observed. We were able to compare two types of medications in an unmedicated manic patient sample, using monotherapy, with a normative HC sample for comparison. It is compelling to see that previous findings can now be incorporated into more sophisticated circuit-level models that serve as potential bio-signatures of treatment outcome. These results are beginning to inform why clinicians would need to combine two classes of medications because they exhibit complementary mechanisms of action within specific functional neural circuits.

#### 5. Conclusion

Behavioral response inhibition in pediatric mania engages two functionally connected circuits, the EAC and the RAC. Risperidone works by engaging insula to a greater degree than HC, and divalproex works by engaging subgenual ACC relative to HC within the EAC. Reduction in manic symptoms for the risperidone group and depressive symptoms in the divalproex group distinctly showed correlations with change in the functional connectivity of EAC. However, amygdala activity did not alter with treatment within RAC with either risperidone or divalproex, suggesting a probable trait of dysfunctional emotional reactivity in PBD, relative to HC. These patterns of activity contribute to the development of biosignatures of treatment response in PBD.

#### Disclosure

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#### Appendix A. Supplementary data

Supplementary data associated with this article can be found, in the online version, at doi:10.1016/j.bbr.2011.10.003.

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