# Text-Independent Speaker Recognition by Trajectory Space Comparison

Yifan GONG, Jean-Paul HATON CRIN/INRIA, BP 239, 54506 Vandoevre, France

#### Abstract

We present the principle of trajectory space comparison for text-independent speaker recognition and some solutions to the space comparison problem based on vector quantization. The comparison of recognition rate of different solutions is reported. Experimental system achieved 99.5% text-independent speaker recognition rate for 23 speakers, using 5 phrases for training and 5 for test. A speaker-independent continuous speech recognition system was built in which this principle is used for speaker adaptation.

## 1 Introduction

Speaker recognition consists in identifying one speaker among a set of speakers using some test utterances, with samples of speeches of each speaker having been given. The criterion is certain variation among speakers. Researchers in the speech processing field have been interested in automatic speaker recognition problem. In [1], pointers to the work references can be found.

There are three sources of speech variations between speak ers: they are the differences in vocal cords and vocal tract shape, in speaking style, and in what speakers choose to say. We are interested in text independent speaker recognition so that only the first source of variations is exploited.

Motivated by the success of word-based vector quantization speech recognition systems, in [2] a system for speaker recognition based on vector quantization codebook has been proposed. During the training phase, the system constructs a codebook by unsupervised classification for each speaker. During the recognition phase, a test utterance is frame-by-frame vector quantization coded using respectively the codebook of each speaker and the recognized speaker is assigned as the one whose codebook has produced the minimum distorsion of the vector quantization coding averaged over the whole test utterance. In a vocabulary-dependent evaluation, i.e. using the same 10-digits vocabulary for training and for testing, a 98% recognition rate for 100 speakers was reported.

However, for the formulation of [2] if the speech parameter subspace relating to speaker i is included in that relating to speaker j a recognition error may take place. In fact, only the subset of vectors in the codebook of j which represent the subspace common to i and j will be used to compute the average coding distorsion. The information in the remaining vectors of the codebook of j will not contribute to the

recognition process. In this case the average coding distorsion will have no significant difference for the two speakers and therefore the recognition result is unreliable.

In this paper we introduce a new principle for speaker recognition, which is based on the *comparison of trajectory space* of speakers. In section-2 we describe the principle of speaker recognition by space comparison and four solutions to the problem of space comparison. In section-3 experimental results of speaker recognition based on our proposal are presented and compared. Finally, in section-4 further work to improve the performance is indicated.

# 2 Principle

Represented in a p-dimensional parametric space  $R^p$ , a running speech signal is a moving point. Due to physical constraints of the sound producing system, for a specific speaker  $i \in \mathcal{S}$  where  $\mathcal{S}$  is a set of speakers, the resulting trajectory of the moving point reaches only a subspace  $E_i \subset R^p$ .

The basic hypotheses of the proposed method are:

H-1 For different speakers, the subspaces are different:

$$\forall i, j \in \mathcal{S} \quad E_i \neq E_j. \tag{1}$$

H-2 In the recognition phase, a speaker reproduces a similar subspace as that produced in the training phase.

$$\forall i \in \mathcal{S} \quad E_i^T \simeq E_i^R \tag{2}$$

where  $E_i^T$  is the parameter subspace reached by the trajectory of speech signal of the speaker i during the training phase, and  $E_i^R$  is that during the recognition phase.

H-1 requires that the parametric space  $R^p$  be suitably chosen so that different speakers produce different subspaces. H-2 requires that the training space of a speaker be reproductible in the recognition phase.

These hypotheses mean that the recognition is dependent on the subspaces formed by a trajectory but not on the way how the trajectory is traced. Therefore inherently this approach is text-independent, i.e. the text of the test phrases is not important to the recognition rate. Under these hypotheses, the speaker recognition problem can be solved by space comparison using the following formula, where  $I_r$  is the recognized speaker:

$$I_r \leftarrow argmax_{i \in \mathcal{S}} \delta(E_i^T, E_i^R) \tag{3}$$

where  $\delta(x,y): R^p \times R^p \to [0,1]$  is a *similarity* measure between the spaces x and y, which gives higher value if two spaces are more similar.

Speaker recognition by trajectory space comparison implies therefore to solve two problems: subspace representation and comparison.

Since modeling parametrically p-dimensional arbitrary spaces with associated relevant similarity is a difficult task. We use non-parametric space representation. In order to represent the subspaces, we vector-quantify subspaces of all speakers, i.e.: for each speaker i a codebook  $C_i$  is constructed using training phrases. Subspaces are thus represented using a limited number of centroids stored in the codebooks.

In the following we describe four proposals for computing similarity between spaces, which are based on vector quantization. The input speech signal is converted into some parameter space, resulting in the sequence of L vectors:

$$s_1, s_2, ...s_t, ...s_L$$

The  $c^{th}$  centroid of the codebook of the speaker i is denoted by  $C_i^c$ , which is a vector with the same dimension as  $\{s_t\}$ . The number of codes of the codebook  $C_i$  is  $M_i$ . The similarity of the vector x to the vector y is l(x, y).

Using vector quantization, in the recognition phase a test utterance is compared to each of all codebooks  $C_i$ ,  $\forall i \in \mathcal{S}$ , each giving a space similarity measure  $S_i$ . The final speaker is assigned as the one who gives best space similarity:

$$I_r \leftarrow argmax_{\forall i \in \mathcal{S}} S_i. \tag{4}$$

In the following we give algorithms of the four techniques with no intention of doing implementation optimization. All variables and all elements in a array are to be treated as having been initialized to zero.

### Algorithm-1

Algorithm-1 (Fig-1) performs vector quantization for each test speech signal frame. After each coding, it cumulates the coding similarity of the best mathed code in the codebook. The resulting space similarity is the average of mean coding similarity of all codes. The mean coding similarity of a code is the cumulated coding similarity divided by the number of times that code has been used for coding the signal. This algorithm is characterized by coding, individual code averaging on similarity and code similarity averaging and thus is called CODE-ICAVE-CAVE.

```
 \begin{aligned} & \text{for } t = 1 \text{ to } L \text{ do} \\ & \quad c \leftarrow argmax_{p=1}^{M_i} l(s_t, C_i^c) \\ & \quad s \leftarrow \max_{p=1}^{M_i} l(s_t, C_i^p) \\ & \quad CodeSimilarity_c \leftarrow CodeSimilarity_c + s \\ & \quad CodeCounter_c \leftarrow CodeCounter_c + 1 \\ & \quad \text{done} \\ & \quad S_i \leftarrow \frac{1}{M_i} \sum_{1 \leq c \leq M_i} \frac{CodeSimilarity_c}{CodeCounter_c} \end{aligned}
```

Figure 1: Algorithm CODE-ICAVE-CAVE

#### Algorithm-2

In performing vector quantization for each test speech signal frame, Algorithm-2 (Fig-2) updates the best similarity resulted from coding, for each code in the codebook. The resulting space similarity is the average of best similarities for all codes. Characterized by coding, individual code maximizing on similarity and code similarity averaging, this algorithm is called CODE-ICMAX-CAVE.

```
\begin{array}{l} \text{for } t = 1 \text{ to } L \text{ do} \\ c \leftarrow argmax_{e=1}^{M_i} l(s_t, C_i^c) \\ s \leftarrow \max_{p=1}^{M_i} l(s_t, C_i^p) \\ MaxCodeSimilarity_c \leftarrow \text{Max}(MaxCodeSimilarity_c, s) \\ \text{done} \\ S_i \leftarrow \frac{1}{M_c} \sum_{1 < c < M_i} MaxCodeSimilarity_c \end{array}
```

Figure 2: Algorithm CODE-ICMAX-CAVE

#### Algorithm-3

Algorithm-3 (Fig-3) computes first the best coding similarity for each code, for all test frames and all codes. It then gives the average best coding similarity as result. The average is performed over all codes. This algorithm performs individual code maximizing on similarity and averaging on code similarity, and is therefore called ICMAX-CAVE.

#### Algorithm-4

As fourth algorithm we implemented the method described in [2] which uses also vector quantization. Algorithm-4 (Fig-4) computes the cumulated best vector quantization similar-

```
\begin{aligned} &\text{for } t = 1 \text{ to } L \text{ do} \\ &\text{for } c = 1 \text{ to } M_i \text{ do} \\ &s \leftarrow \mathbf{l}(s_t, C_i^c) \\ &MaxCodeSimi_c \leftarrow \text{Max}(MaxCodeSimi_c, s) \\ &\text{done} \\ &\text{done} \\ &S_i \leftarrow \frac{1}{M_i} \sum_{1 \leq c \leq M_i} MaxCodeSimi_c \end{aligned}
```

Figure 3: Algorithm ICMAX-CAVE

ity during test frame coding, and averages this cumulated value over the number of test frames. Due to the optimization procedure some centroids in the codebook which yield low similarity may not be taken into account in the space similarity  $S_i$ . Consequently information in that codebook may not be fully exploited. The principle of this algorithm is coding and averaging similarities over time and is called CODE-TAVE.

$$S_i \leftarrow \frac{1}{L} \sum_{t=1}^{L} \max_{p=1}^{M_i} l(s_t, C_i^p)$$

Figure 4: Algorithm CODE-TAVE

For speaker recognition, all these four algorithms require  $L \times M_i$  times of vector similarity evaluations and thus are of the same algorithmic complexity.

# 3 Experimental Results

The idea of space comparison for speaker recognition was evaluated on a speech corpus of 23 speakers, 7 females and 16 males, arbitrarily selected from the laboratory staffs. Each speaker was asked to utter 15 different sentences in 3 repetitions. These sentences were extracted from a speech data base designed for speaker recognition [3]. No attempt was made in phrase selection so that parameter difference between speakers might be increased. Sentences in each repetition, numbered 1, 2, ...15, are organized into 3 training-testing groups, as described in Table-1. This arrangement assures that in each test group the text of sentences used in training and testing are different. In all tests, vector quantization codebook was trained from training sentences only. Totally 207 (23speakers × 3groups × 3repetitions) tests were carried out.

Test Group	Training	Recognition
$G_1$	1-5	6-10
$G_2$	6-10	11-15
$G_3$	11-15	1-5

Table 1: Each repetition was divided into 3 group of sentences for the evaluation

The speech signal was sampled at  $16 \mathrm{kHz}$  and pre-empha -sized using the filter

$$H(z) = 1. - 0.94z^{-1}.$$

A 25.6ms Hamming window is used to perform short time analysis [4], resulting in a parameter vector sequence. The time interval between two successive analysis windows was 10ms. The vector quantization codebook training algorithm used is the LBG algorithm proposed in [5].

We performed a preliminary comparative tests on parameter space, similarity measures, dimension of space, and number of codes. We observed that:

- Linear prediction coding coefficients (lpcc) with Itakura likelihood ratio and lpcc-derived lifted cepstral coefficients [6] with Euclidean distance gave similar result.
- 16 coefficients gave good result.
- Codebook size of 128 performed slightly better than codebook size of 64.

Based on these observations, we used  $16^{th}$  autocorrelation linear prediction coding [4] as parameter space. The vector-to-vector similarity measure is based on the Itakura likelihood ratio [7], converted into the range of (0,1) using  $y=\frac{1}{\pi}$ .

Tab-2 reports the evaluation results of 207 tests using the four algorithms presented in section-2. Within this table, in the form  $n(a_1/a_2/a_3)$ ,  $a_i$  is the number of errors for the  $i^{th}$  group and n is the number of errors for the 3 groups of test for a given repetition. M is the codebook size. For M=128 only one repetition was evaluated while for M=64 three repetitions were evaluated.

We obtained a text-independent speaker recognition rate of 99.5% using  $16^{th}$  lpcc space, 64 codes, 207 tests, and 5 training sentences (about 10 seconds) for each speaker and 5 for test.

An example of values of  $S_i$  in Eq-4 computed by the Algorithm-3 (ICMAX-CAVE) is given in Tab-3 and Tab-4, in which there is no recognition error.

# 4 Conclusion

We proposed a new principle for text-independent speaker recognition based on trajectory space comparison. We implemented a set of algorithms computing similarity between parameter spaces using vector quantization. The comparison of experimental speaker recognition results is given.

In our test condition, Algorithm-3 (ICMAX-CAVE) obtained a text-independent speaker recognition rate of 99.5% using 64 codes. The proposed method has given significantly better recognition rate than that of [2].

It is essential that the centroid of codes not used in coding a test utterance be also taken into account in speaker recognition decision making in order to improve recognition rate.

Present single speaker continuous speech recognition systems give very good precision. If speakers could be recognized, or their acoustically most similar speakers could be identified, and if the references of basic recognition units of a certain number of speakers are available, then such systems could be turned into speaker-independent systems with reliable results. Using the result of this work, we have built a speaker-independent continuous speech understanding system [8] in which phoneme reference are dynamically changed to the acoustically most similar speaker's reference.

Further test is needed in order to evaluate the effect of training utterance length, test utterance length, number of codes and number of speakers on the recognition rate.

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4.35	0.48	2.42	3.86	error (%)
6	1	2	8	total (M=64)
5(3/1/1)	(0/0/0) 0	2(0/0/2)	2(0/0/2)	3 (M=64)
3 (1/1/1	(0/0/0) 0	(0/0/0) 0	3(1/2/0)	2 (M=64)
1(1/0/0)	1 (0/1/0)	3(1/0/2)	3 (1/1/1)	1 (M=64)
0/0/0	(0/0/0) 0	$(0/0/0) \ 0$		1 (M=128)
CODE-TA	ICMAX-CAVE	CODE-ICAVE-CAVE CODE-ICMAX-CAVE ICMAX-CAVE CODE-TA	CODE-ICAVE-CAVE	Methode:

(VE) (0) (1) (1)

Table 2: Speaker recognition results for four methods. Number of tests: 207 (23 speakers  $\times$  3 groups  $\times$  3 repetitions)

File	am	aq	as	bz	cf	. св	df	fsc	gaf	gh	gm	File	gyf	jfm	jg	jlc	jmp	jph	mcp	ms	пс	of	ot	SIB
am	0.835	0.751	0.737	0.761	0.774	0.778	0.750	0.750	0.760	0.746	0.766	am	0.741	0.773	0.765	0.811	0.741	0.783	0.740	0.766	0.727	0.721	0.727	0.744
aq	0.730	0.826	0.738	0.768	0.744	0.753	0.777	0.810	0.744	0.774	0.760	aq	0.749	0.734	0.800	0.725	0.760	0.751	0.739	0.791	0.756	0.764	0.746	0.738
as	0.767	0.803	0.852	0.800	0.808	0.777	0.795	0.813	0.806	0.782	0.783	as	0.790	0.761	0.831	0.747	0.767	0.766	0.795	0.790	0.786	0.800	0.798	0.798
bz	0.785	0.786	0.763	0.858	0.763	0.795	0.785	0.820	0.768	0.756	0.810	bz	0.770	0.758	0.806	0.770	0.774	0.788	0.741	0.804	0.729	0.764	0.743	0.754
cf	0.765	0.735	0.768	0.736	0.876	0.758	0.748	0.755	0.796	0.705	0.771	cf	0.725	0.748	0.771	0.722	0.713	0.733	0.793	0.736	0.777	0.786	0.797	0.824
cs	0.789	0.776	0.744	0.790	0.771	0.838	0.801	0.787	0.747	0.760	0.782	cs	0.747	0.778	0.770	0.773	0.769	0.770	0.753	0.791	0.743	0.773	0.732	0.747
df	0.773	0.792	0.792	0.787	0.799	0.793	0.851	0.796	0.800	0.790	0.806	df	0.782	0.799	0.810	0.768	0.777	0.788	0.785	0.808	0.787	0.806	0.791	0.775
fsc	0.776	0.803	0.788	0.812	0.787	0.796	0.808	0.858	0.781	0.766	0.798	fsc	0.798	0.767	0.823	0.769	0.769	0.802	0.764	0.804	0.766	0.780	0.772	0.777
gaf	0.771	0.754	0.762	0.747	0.823	0.742	0.771	0.754	0.865	0.729	0.769	gaf	0.741	0.769	0.765	0.735	0.740	0.756	0.806	0.755	0.767	0.793	0.808	0.804
gh	0.778	0.807	0.802	0.801	0.796	0.784	0.814	0.815	0.794	0.868	0.791	gh	0.808	0.778	0.821	0.789	0.807	0.787	0.777	0.819	0.778	0.782	0.771	0.781
gm	0.767	0.786	0.772	0.785	0.767	0.779	0.785	0.792	0.776	0.765	0.835	gm	0.775	0.767	0.787	0.734	0.782	0.762	0.760	0.783	0.745	0.778	0.763	0.758
gyf	0.771	0.793	0.790	0.789	0.776	0.764	0.780	0.800	0.789	0.798	0.796	gyf	0.859	0.752	0.812	0.758	0.789	0.806	0.760	0.808	0.752	0.774	0.770	0.756
jfm	0.768	0.719	0.692	0.741	0.743	0.772	0.746	0.719	0.720	0.703	0.757	jfm	0.697	0.821	0.720	0.771	0.717	0.745	0.728	0.754	0.713	0.721	0.702	0.729
jg	0.737	0.791	0.772	0.774	0.757	0.736	0.777	0.821	0.770	0.750	0.769	jg	0.769	0.730	0.853	0.726	0.755	0.762	0.748	0.773	0.750	0.754	0.768	0.753
jle	0.781	0.730	0.697	0.733	0.740	0.752	0.728	0.729	0.708	0.731	0.733	ilc	0.730	0.749	0.746	0.845	0.719	0.773	0.692	0.760	0.708	0.677	0.687	0.700
jmp	0.771	0.789	0.783	0.797	0.767	0.768	0.775	0.795	0.777	0.791	0.778	jmp	0.784	0.763	0.799	0.766	0.831	0.767	0.764	0.798	0.758	0.767	0.753	0.764
jph	0.788	0.743	0.706	0.762	0.762	0.763	0.760	0.752	0.747	0.717	0.760	jph	0.734	0.753	0.762	0.789	0.717	0.841	0.749	0.774	0.731	0.705	0.700	0.713
mcp	0.744	0.737	0.750	0.726	0.797	0.722	0.737	0.745	0.797	0.732	0.736	шсь	0.729	0.732	0.750	0.717	0.728	0.729	0.838	0.735	0.781	0.789	0.783	0.789
ms	0.759	0.768	0.717	0.784	0.736	0.762	0.760	0.779	0.727	0.765	0.783	ms	0.744	0.733	0.771	0.757	0.761	0.769	0.722	0.836	0.725	0.726	0.719	0.710
nc	0.759	0.766	0.766	0.759	0.818	0.759	0.775	0.780	0.798	0.745	0.783	nc	0.747	0.761	0.777	0.736	0.739	0.743	0.803	0.780	0.850	0.796	0.788	0.797
of	0.722	0.758	0.755	0.733	0.810	0.726	0.768	0.763	0.814	0.737	0.745	of	0.744	0.737	0.764	0.707	0.733	0.737	0.813	0.754	0.792	0.855	0.813	0.798
ot	0.722	0.740	0.754	0.738	0.793	0.715	0.737	0.728	0.773	0.672	0.731	ot	0.723	0.729	0.757	0.688	0.702	0.703	0.764	0.709	0.755	0.774	0.831	0.776
sm	0.736	0.756	0.771	0.747	0.833	0.747	0.773	0.789	0.807	0.744	0.758	sm	0.737	0.743	0.777	0.730	0.744	0.740	0.804	0.771	0.795	0.821	0.811	0.852

Table 3: speaker recognition results for 23 speakers,  $G_2$ , second repetition, (ICMAX-CAVE algorithm, lpcc space, part-I)

Table 4: speaker recognition results for 23 speakers,  $G_2$ , second repetition, (ICMAX-CAVE algorithm, lpcc space, part-II)