

Predicting PLL Power Supply Jitter Using Nonlinear Oscillator Macromodels

Jaijeet Roychowdhury
University of Minnesota

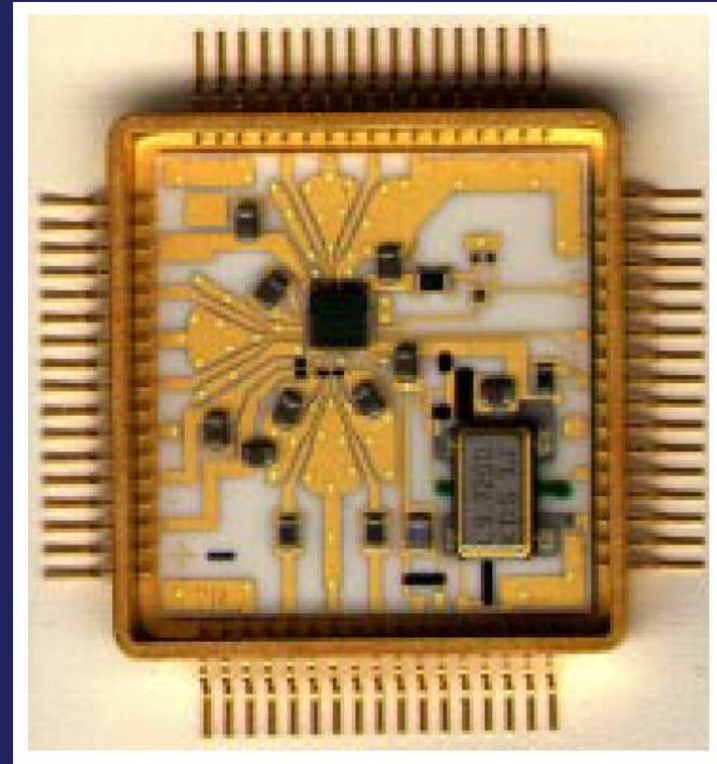
(joint work with Xiaolue Lai)

PLLs: Commodity, High-Margin



Rick Walker, HP/Agilent

1.25Gbps Ethernet xcvr
<< \$10



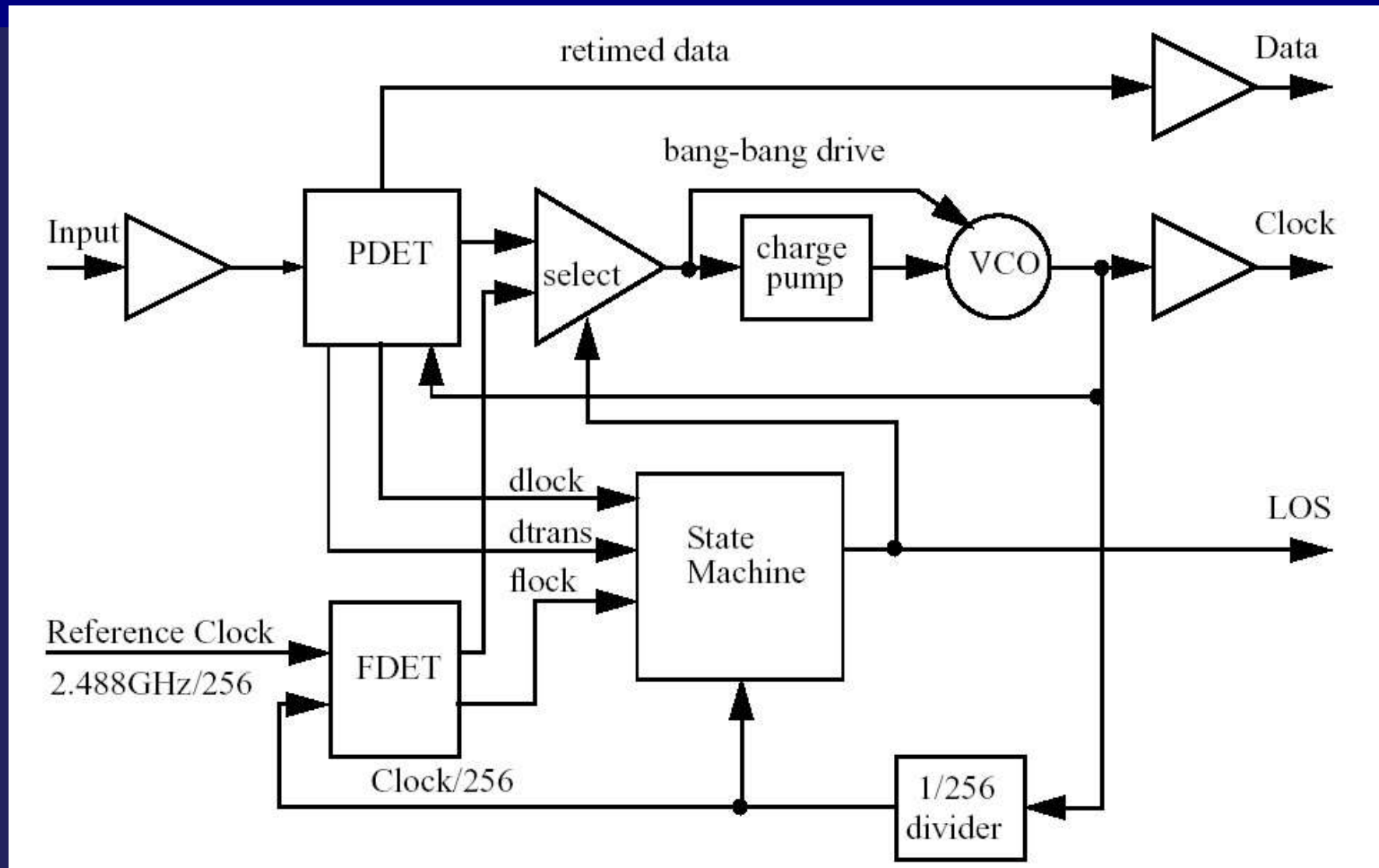
Rick Walker, HP/Agilent

2.44Gbps SONET CDR
\$500

PLL Applications

- * Clock and data recovery (CDR)
 - * synchronization: Costas Loop
 - * applications: communication systems, disk-drive read-channels
- * Frequency synthesizers
 - * integer-N, fractional-N synthesizers
 - * direct digital to GPSK modulation

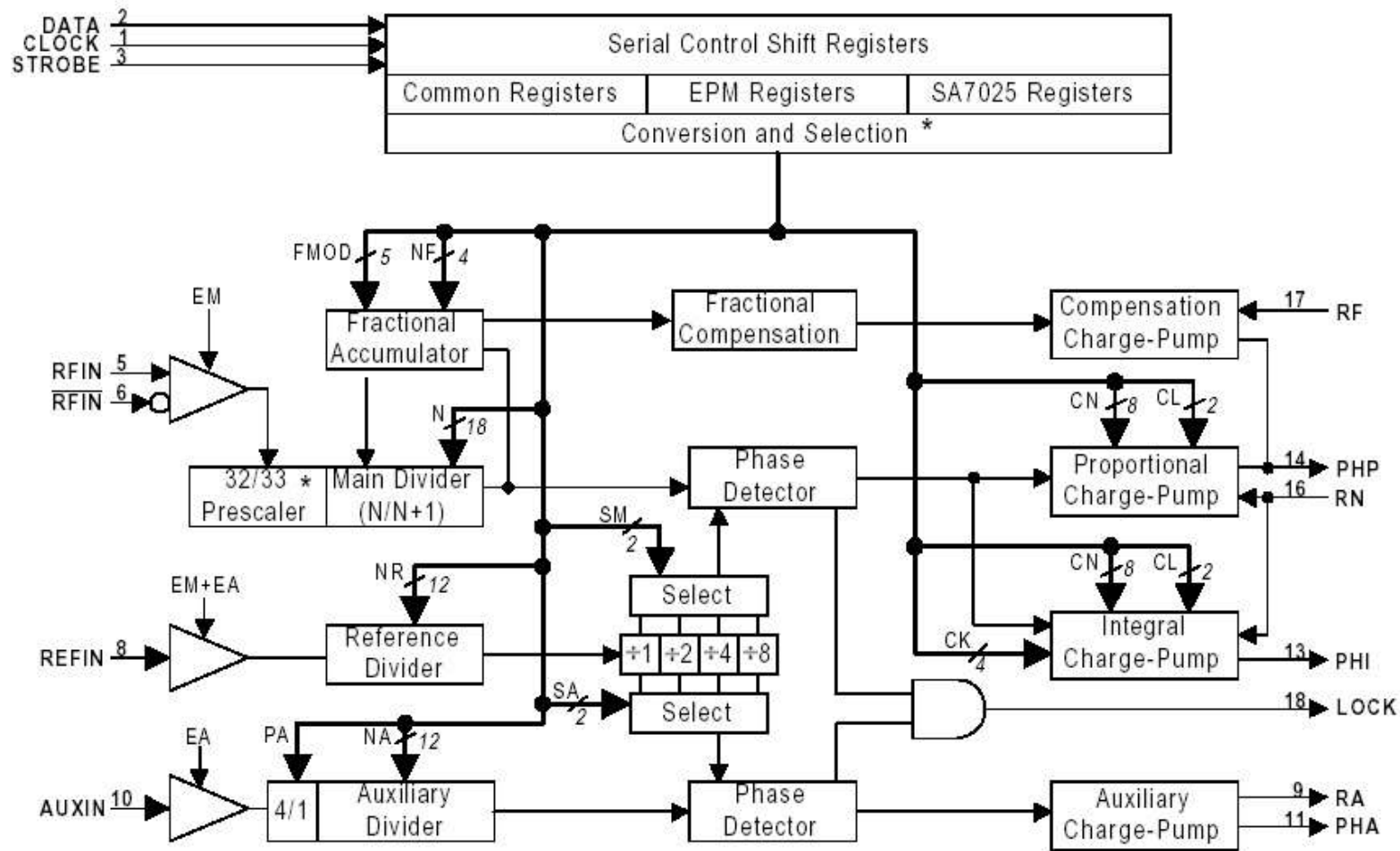
Clock and Data Recovery (CDR) ckt



Mixed-signal PLL: very hard to simulate at ckt level

TI TRF-2050 Fractional-N PLL

Figure 21. Fractional-N PLL - TI Model TRF2050



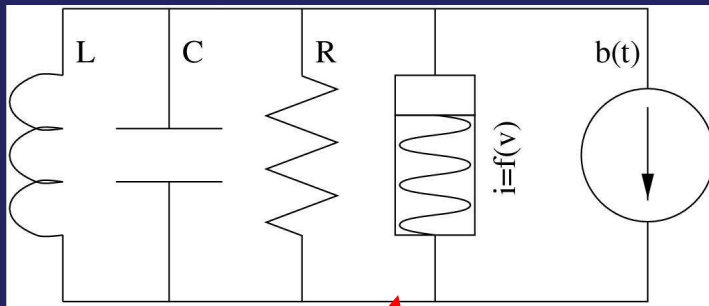
Large; complex; many interconnected functional blocks

PLL Simulation: Difficulties

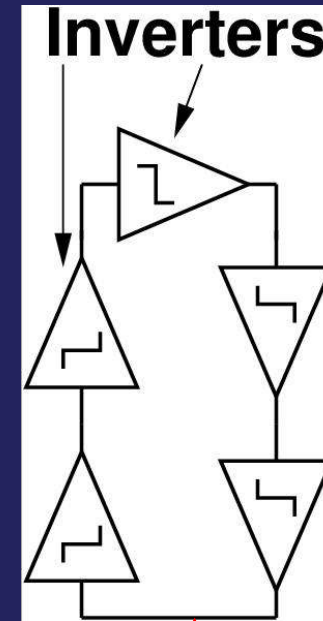
- * SPICE: Inaccurate + extremely time-consuming
 - * biggest single culprit: VCO
 - * 100K cycles of VCO typical for PLL simulation
 - * combination of digital (PFD, dividers) and complicated analog (charge pump, VCO)
 - * noise characterization (jitter) critical and difficult
 - * capture/lock-in/freq-hopping dynamic phenomena
 - * Injection-locking: 1st order PLL
- * Full system with PLLs: very difficult today
 - * Current macromodel-based approaches ad-hoc, manual, cannot capture dynamics, nonlinearity well

Oscillators

- Voltage-controlled oscillators (VCOs) are the central component of PLLs:
 - LC oscillators
 - Ring oscillators



**-ve resistance
LC oscillator**

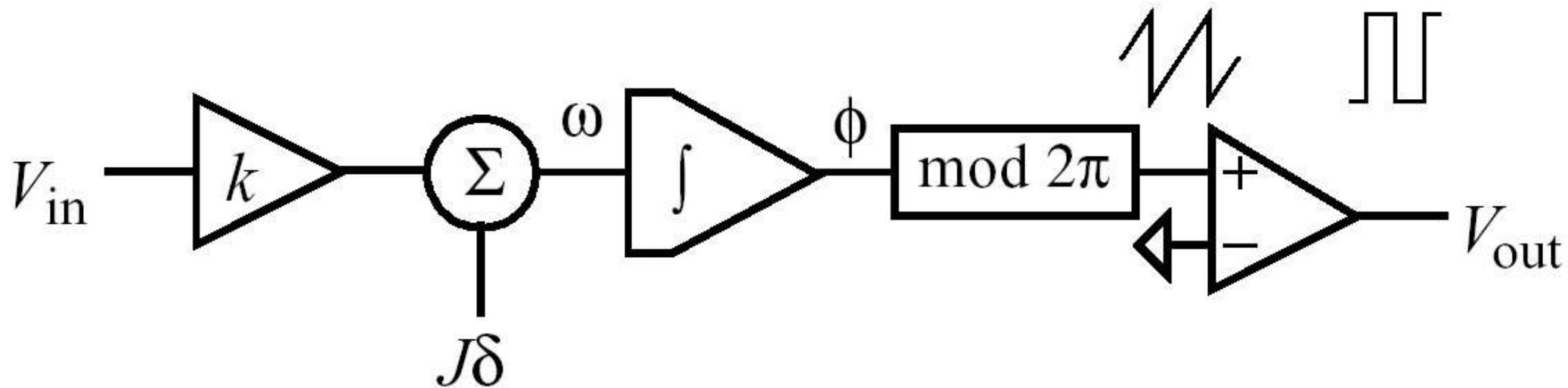


**Ring
oscillator**

Why Oscillators are a Special Simulation Challenge

- **Computation/size/accuracy**: much greater than amps/mixers
- Even 1-transistor oscillators (eg, UHF oscs, >100GHz)
 - long startups, tiny timesteps needed
- On-chip RF: 100s to 1000s of transistors
 - VERY challenging to simulate
- Oscillators feature complex phenomena: **injection locking**
 - oscillator's frequency “locks” to frequency of external input
 - if frequencies close enough, even if input is very small
 - can take extremely long to simulate
 - universal phenomenon: grandfather clocks, fireflies flashing, etc
- Macromodelling (esp phase) offers dramatic speedup
 - Even for 1-transistor oscillator

Linear Macromodelling of VCOs/PLLs



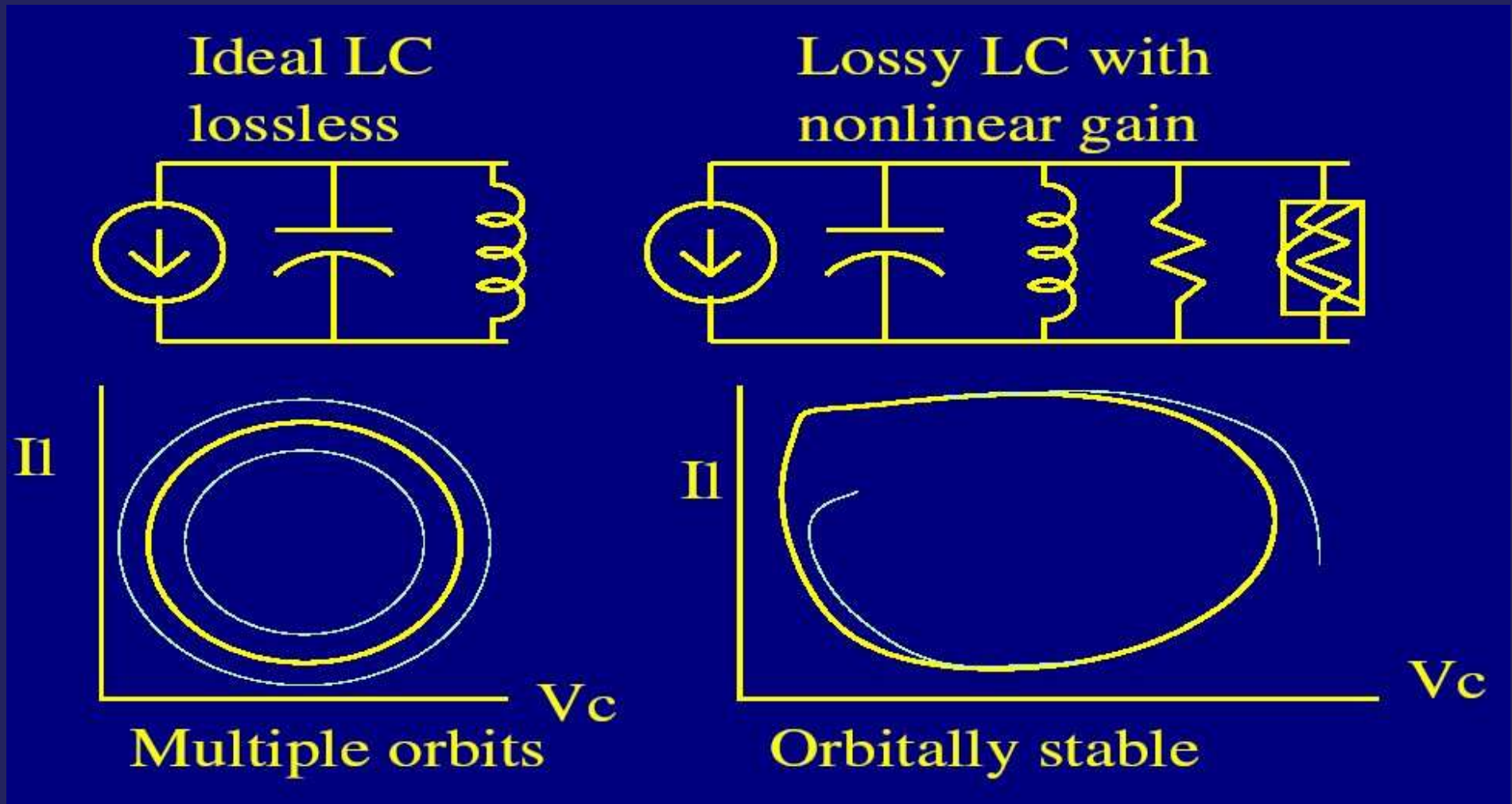
Ken Kundert, Cadence

- Manually generated VCO macromodel [Kundert 02]
- Output VCO phase = integral of input control
- Linear \Rightarrow cannot capture nonlinear phenomena (injection locking, jumps, cycle slipping, etc)
- Good for intuition, hand calculations, noise trends
- Can be grossly wrong for jitter caused by power grid/substrate

Automated Nonlinear Oscillator Macromodelling

- Nonlinear: accurate I/O capture
 - Injection locking, phase noise spectrum, ...
- Automated generation
 - **SPICE in, macromodel out (Verilog-A, MATLAB, SPICE, etc)**
- Small size
 - **Very fast to simulate compared to full SPICE oscillator circuit**

“Ideal” vs Orbitally Stable Oscillators



Nonlinearity cannot be ignored – fundamental to oscillator operation

Quantifying Oscillator Response

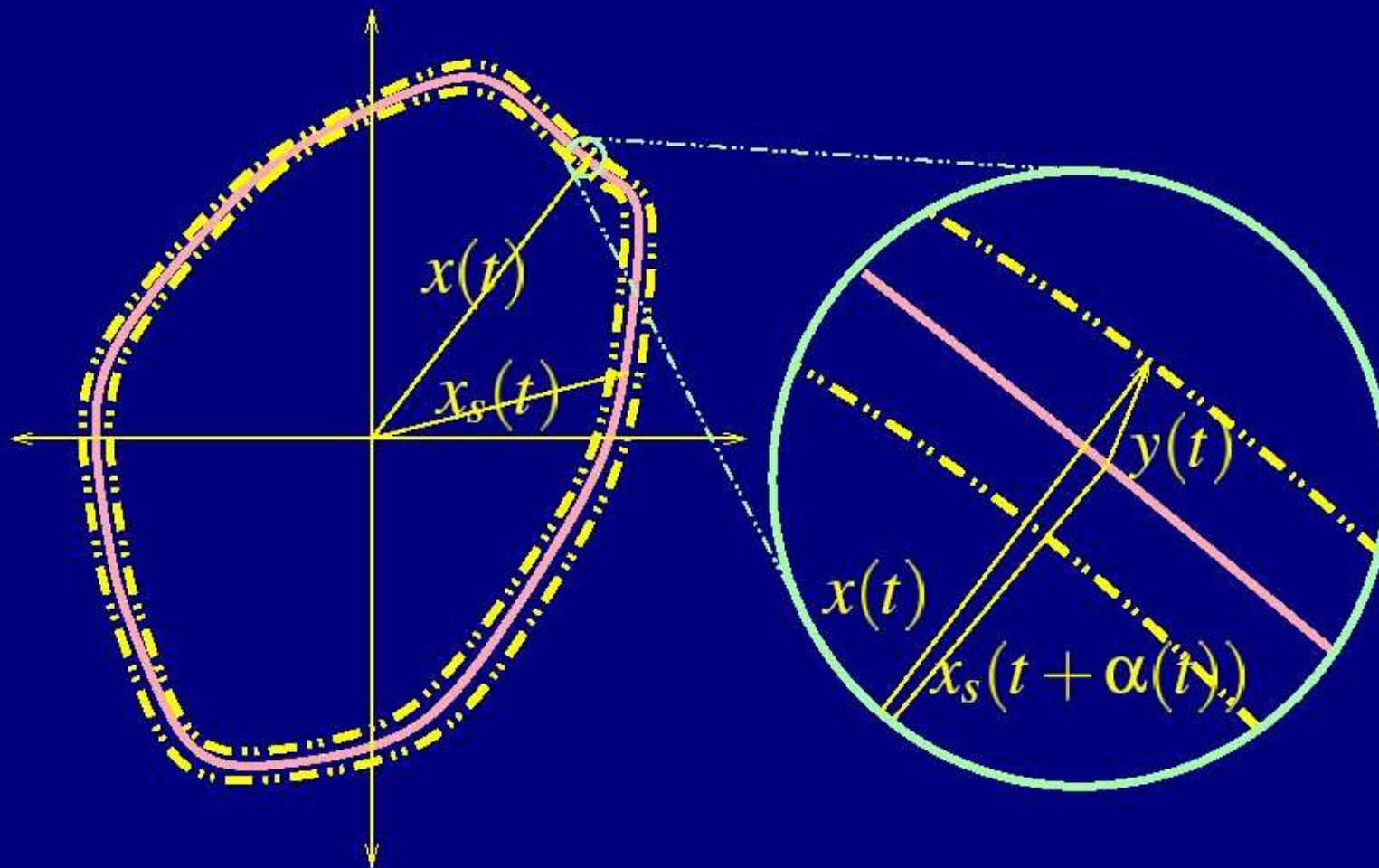
How does the oscillator (VCO) respond to “inputs”?

$$\dot{x}(t) = f(x) + \underbrace{b(t)}_{\text{input perturbation}}$$

- No perturbation \Rightarrow perfect periodic solution $x_s(t)$
- Small $b(t)$ perturbation:

$$x(t) = x_s\left(t + \underbrace{\alpha(t)}_{\text{growing phase error}}\right) + \underbrace{y(t)}_{\text{small}}$$

Oscillator: Response to “Inputs”



- Phase error $\alpha(t)$ shifts track increasingly along limit cycle
- $y(t)$ creates deviations from limit cycle that remain small

Nonlinear Differential Equation for Phase

$$\dot{\alpha}(t) = v_1(t + \alpha(t)) \cdot b(t)$$

- *Scalar, nonlinear ODE* governs $\alpha(t)$
- $v_1(\cdot)$ is the *Perturbation Projection Vector (PPV)*
- *Projection* of noise perturbation onto PPV determines phase error growth
- PPV is *not obviously related* to anything!
 - periodic Floquet eigenvector of time-varying (linearized) adjoint system
 - PPV can be found from *purely LPTV analysis*
- But: periodicity of PPV makes α equation nonlinear

[Demir Mehrotra Roychowdhury 97, 01]

The Perturbation Projection Vector

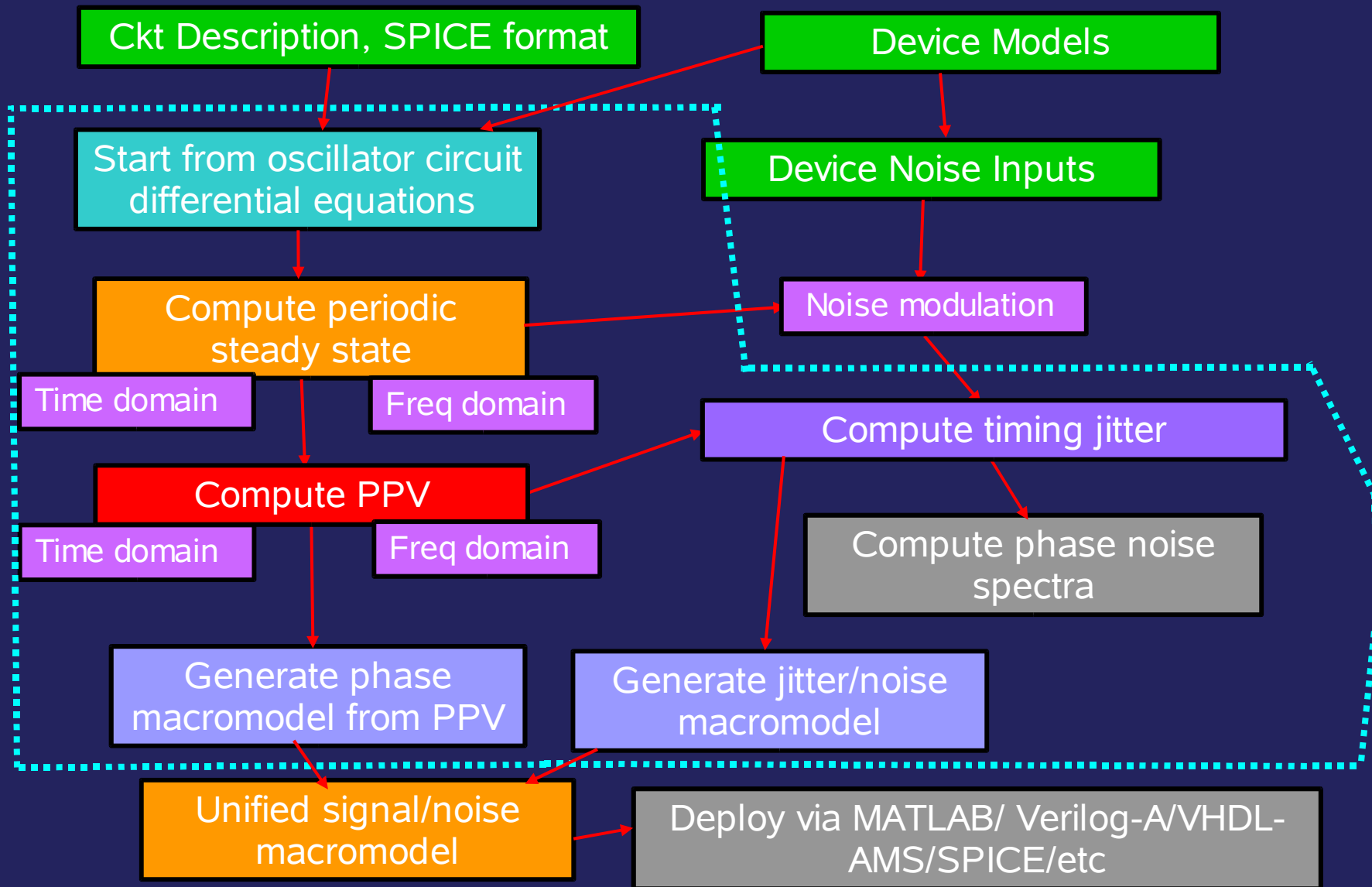
- * $v_1(t)$: “transfer function” relating “input” to oscillator phase response
 - * termed the PPV: Perturbation Projection Vector
 - * procedure for calculating the PPV is not obvious
 - * but computationally efficient
- * In general, PPV does NOT equal the tangent vector of the phase plane plot
 - * ie, not equal to the “ISF” [Hajimiri 98]

Computing the PPV

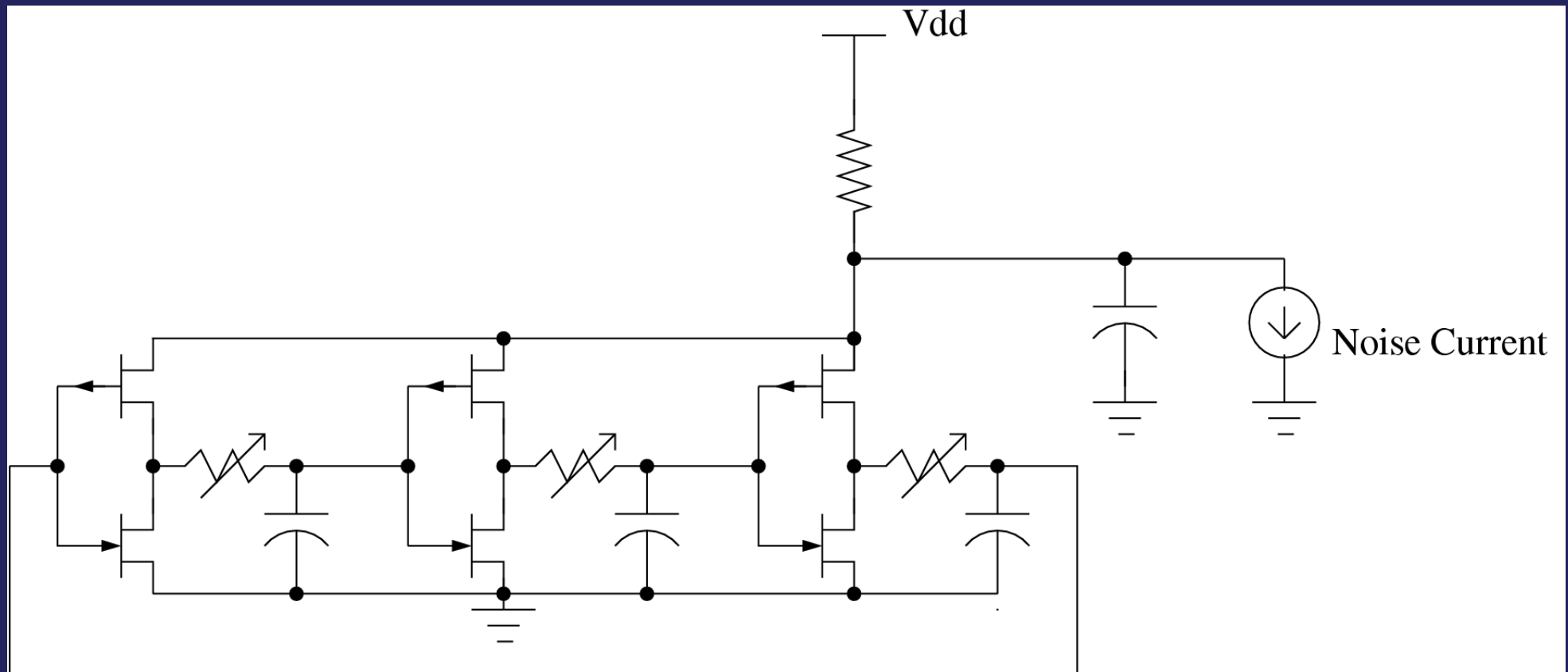
- * PPV can be computed efficiently from oscillator steady-state quantities
 - * first: find the periodic steady-state of oscillator
 - * using, eg, HB, shooting, etc.
 - * then obtain the same $G(t)$ and $C(t)$ used in LTV reduction
 - * form a large block matrix A from “samples” of $G(t)$ and $C(t)$
 - * perform one single linear matrix solution with A
 - * can be performed efficiently for large oscillators

[Demir Roychowdhury TCAD 03]

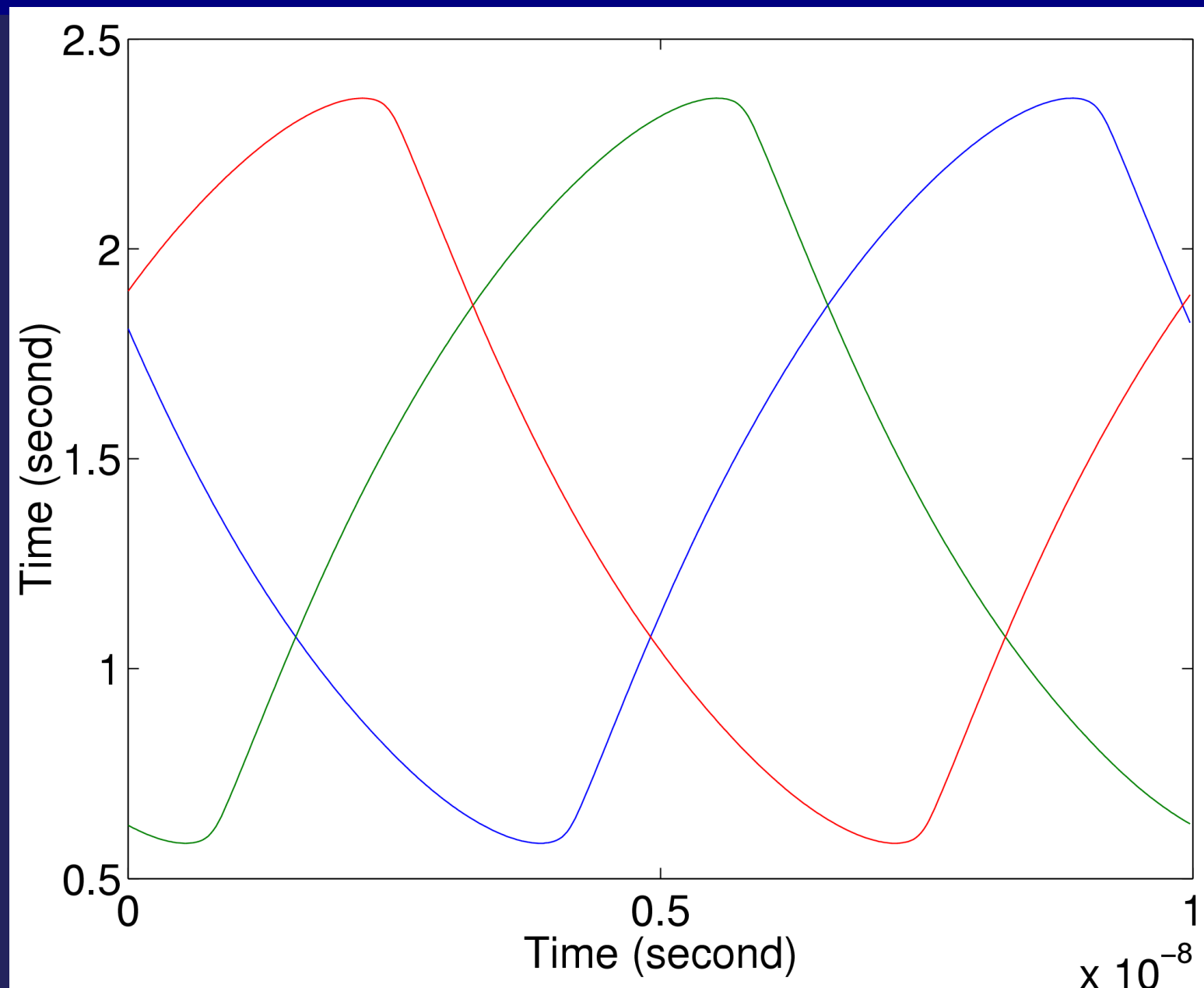
Oscillator Macromodelling Steps



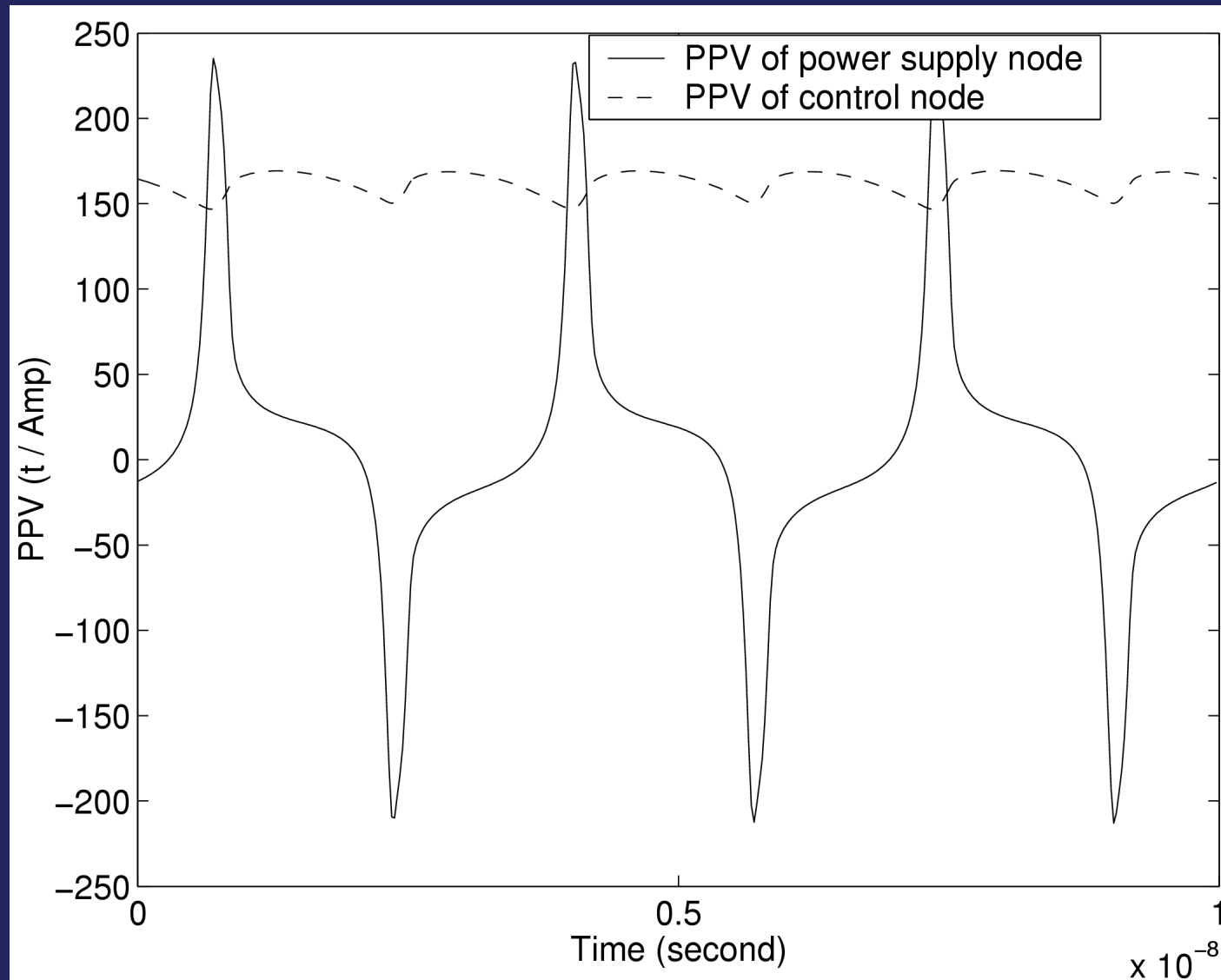
Example: Ring-Oscillator based VCO



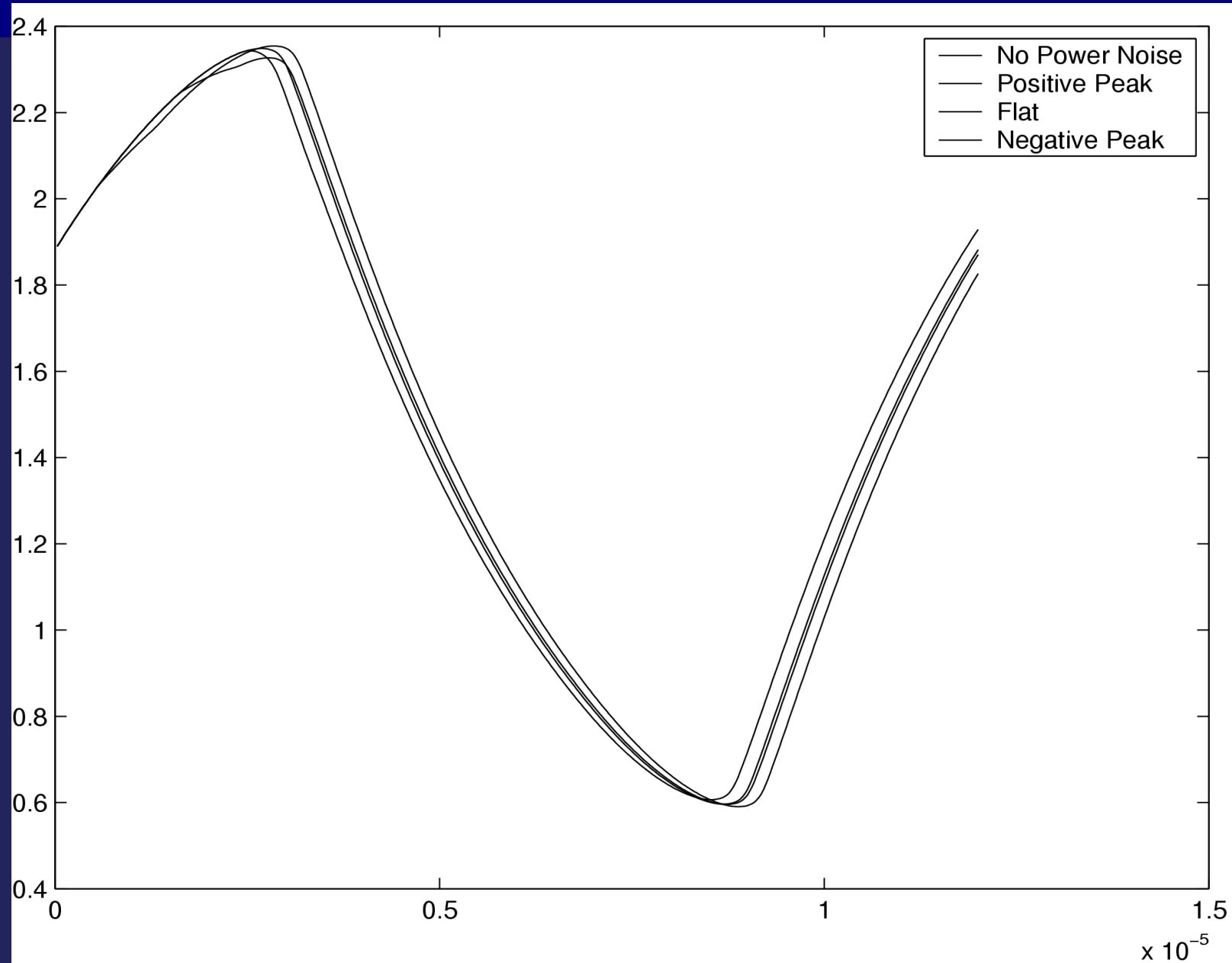
Ring Oscillator VCO: Steady State Oscillation



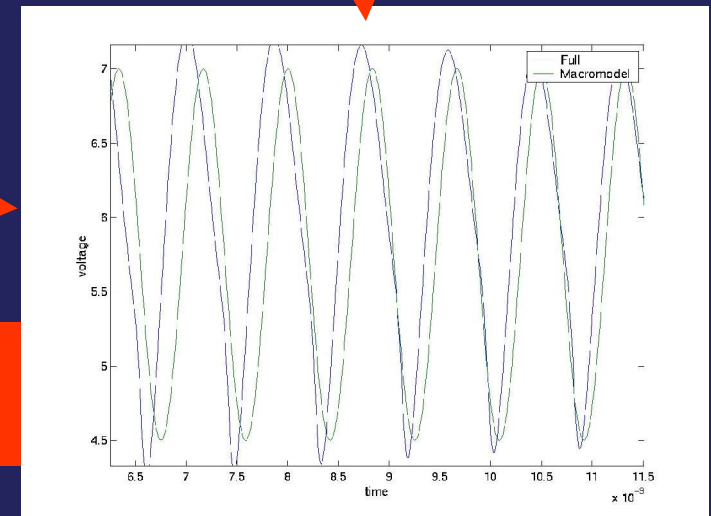
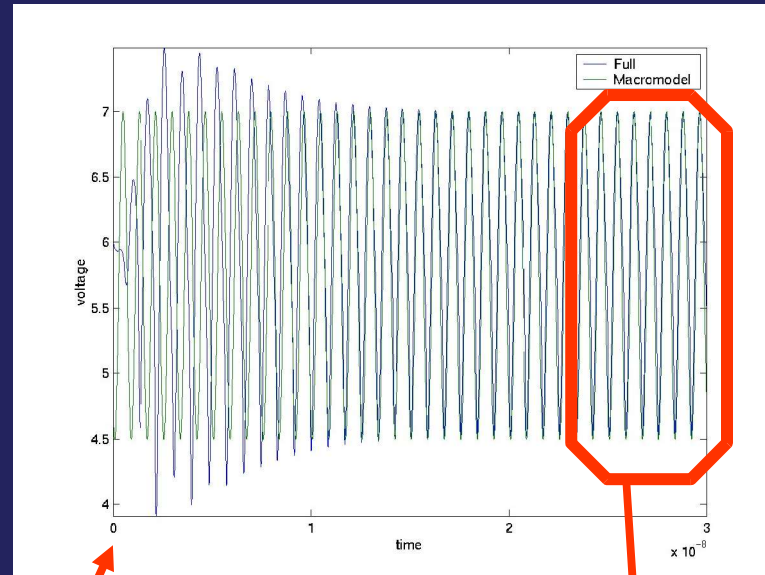
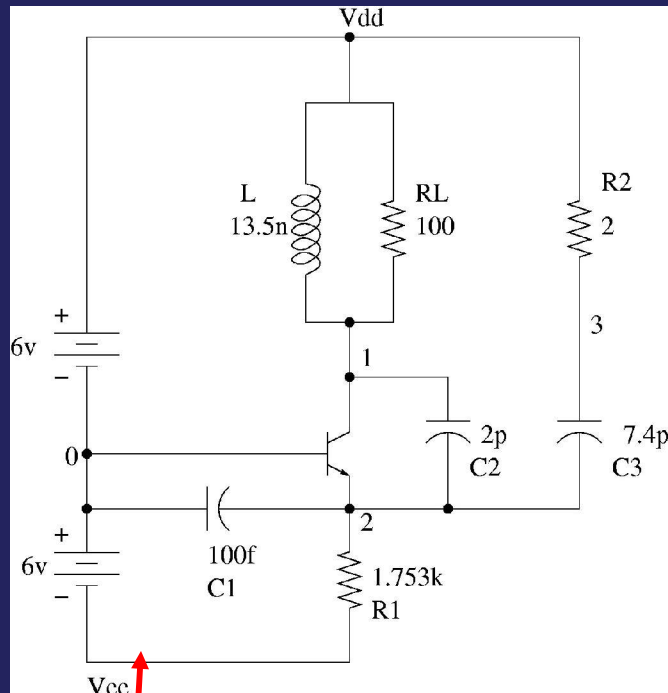
VCO Perturbation Projection Vectors: Control Node and Power Supply Components



Ring Oscillator VCO: Shifts due to Supply Noise



Capturing Injection Locking in a Colpitts (LC) Oscillator



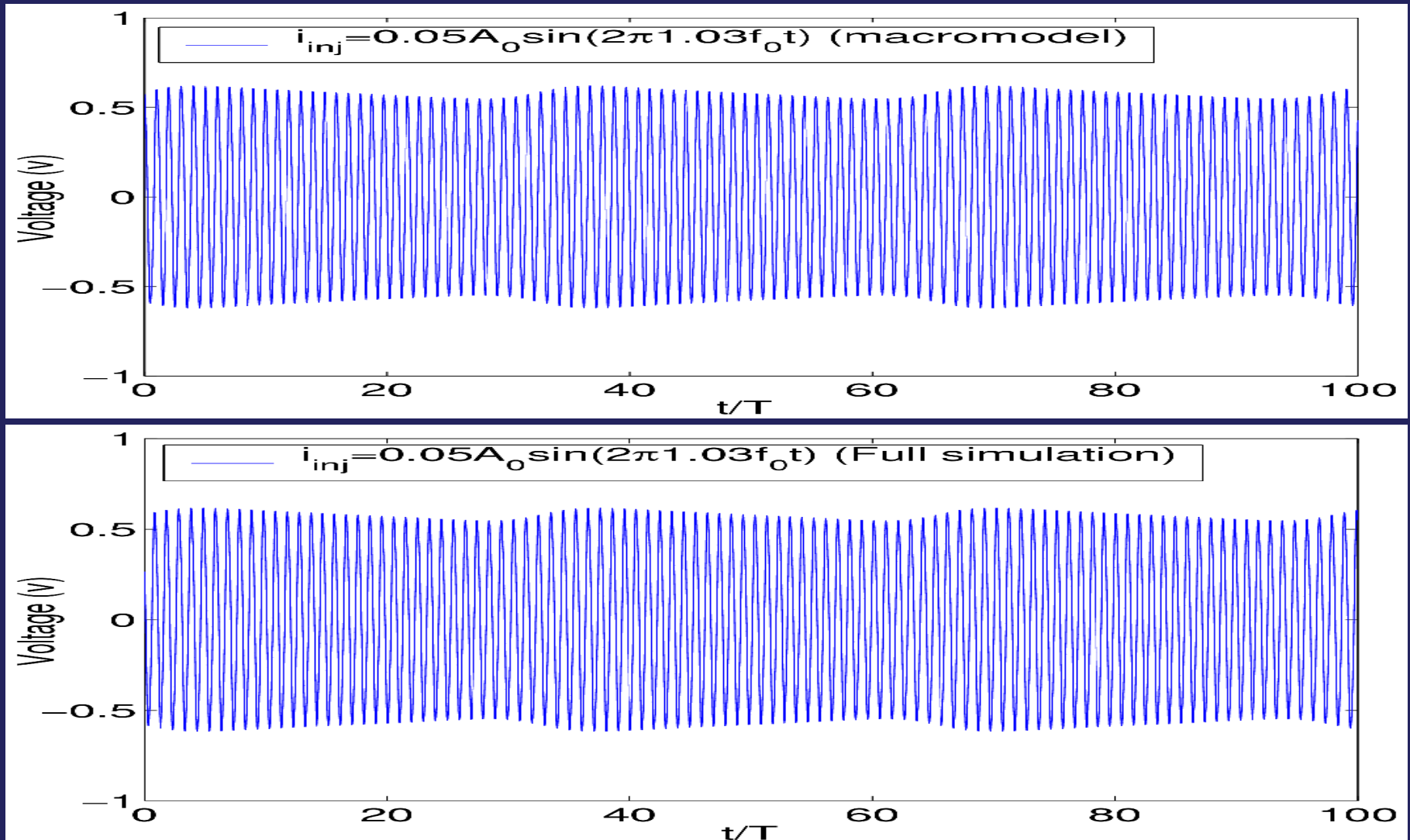
**Colpitts
oscillator**

**Full simulation
vs macromodel**

- 89x speedup over original

Capturing Amplitude Changes

- nonlinear phase + amplitude components (via LTV reduction)*

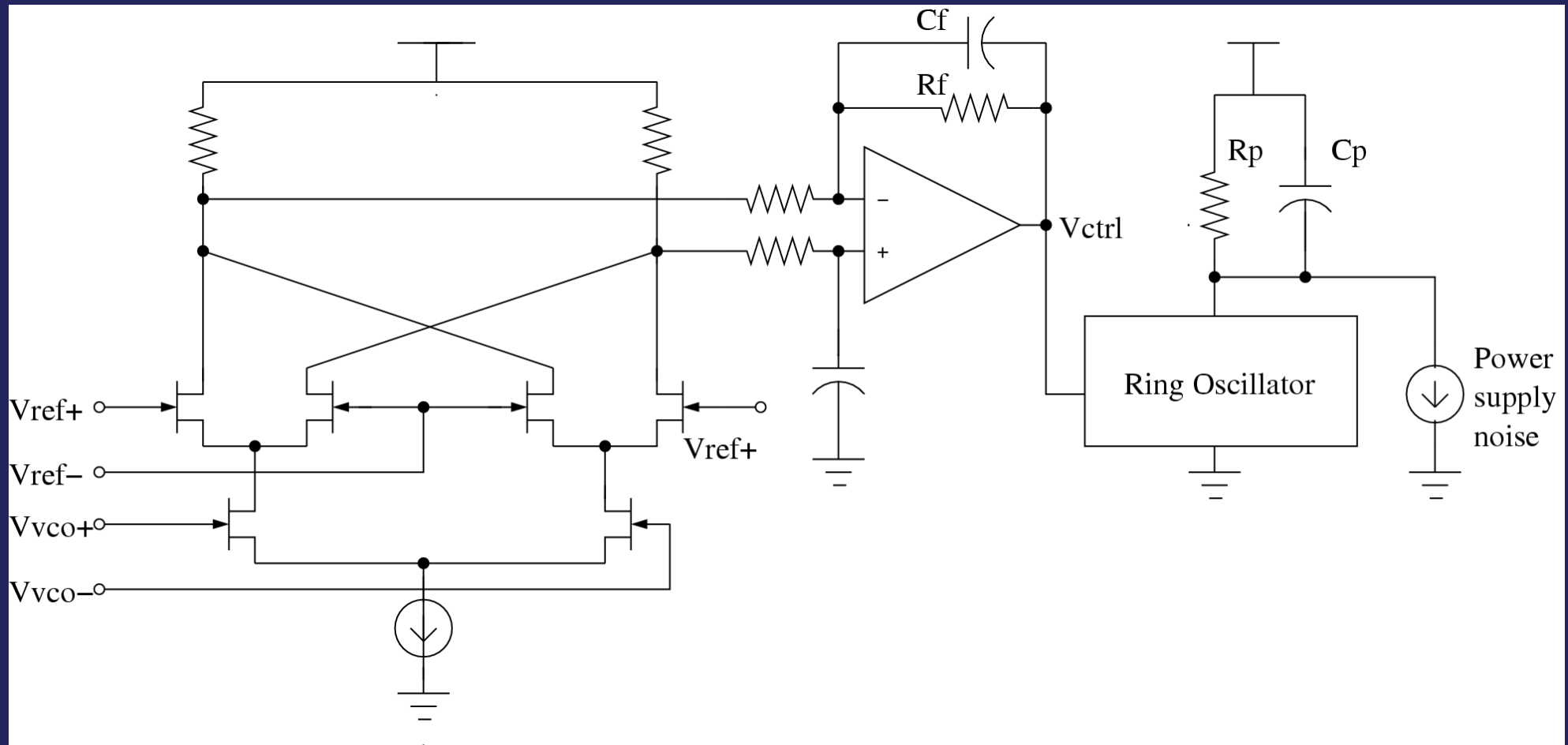


System Simulation with VCO

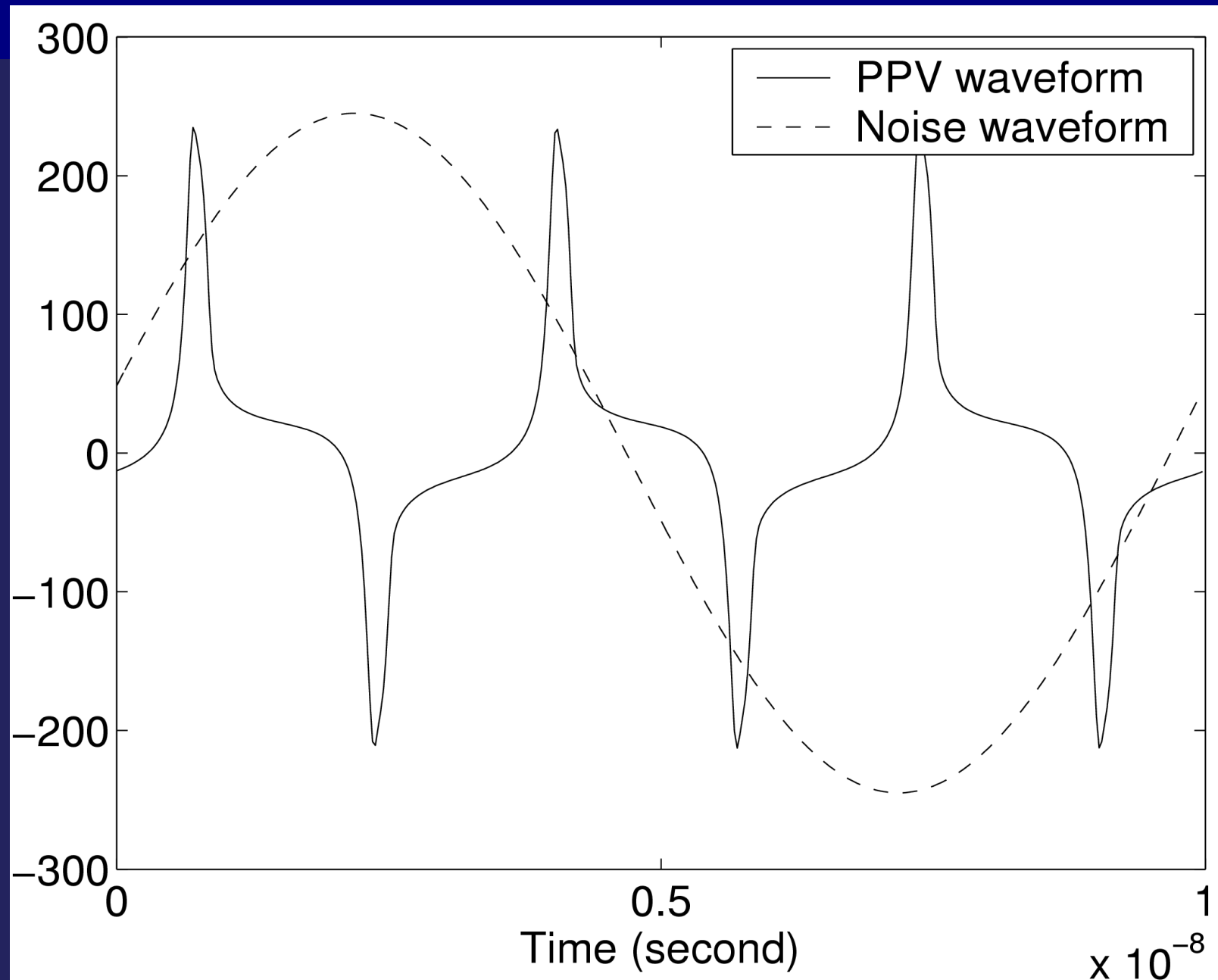
Macromodels: PLLs

- * Use nonlinear phase macromodel of VCO in phase macromodel for PLL [Lai/Roychowdhury 04]
 - * PPV “extracted” by algorithm
 - * amplitude components could also be used
- * Replace other components (PFD, LPF, divider) also with macromodels if necessary
 - * often, PFD and LPF small: keep full SPICE ckt
 - * divider macromodel => simple scaling of phase
- * Single VCO macromodel to capture desired and undesired influences

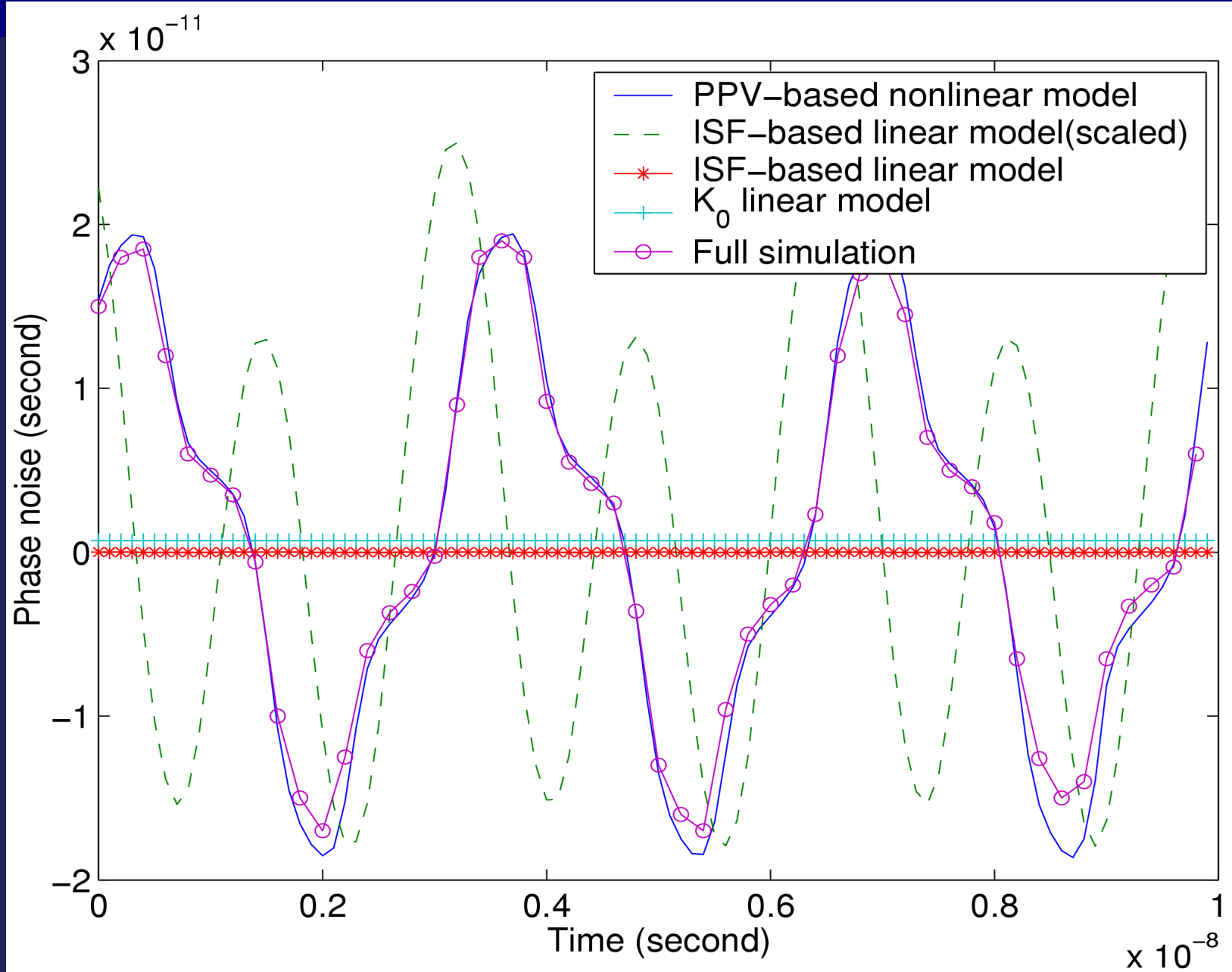
Simple Ring-Oscillator-based Phase-Locked Loop



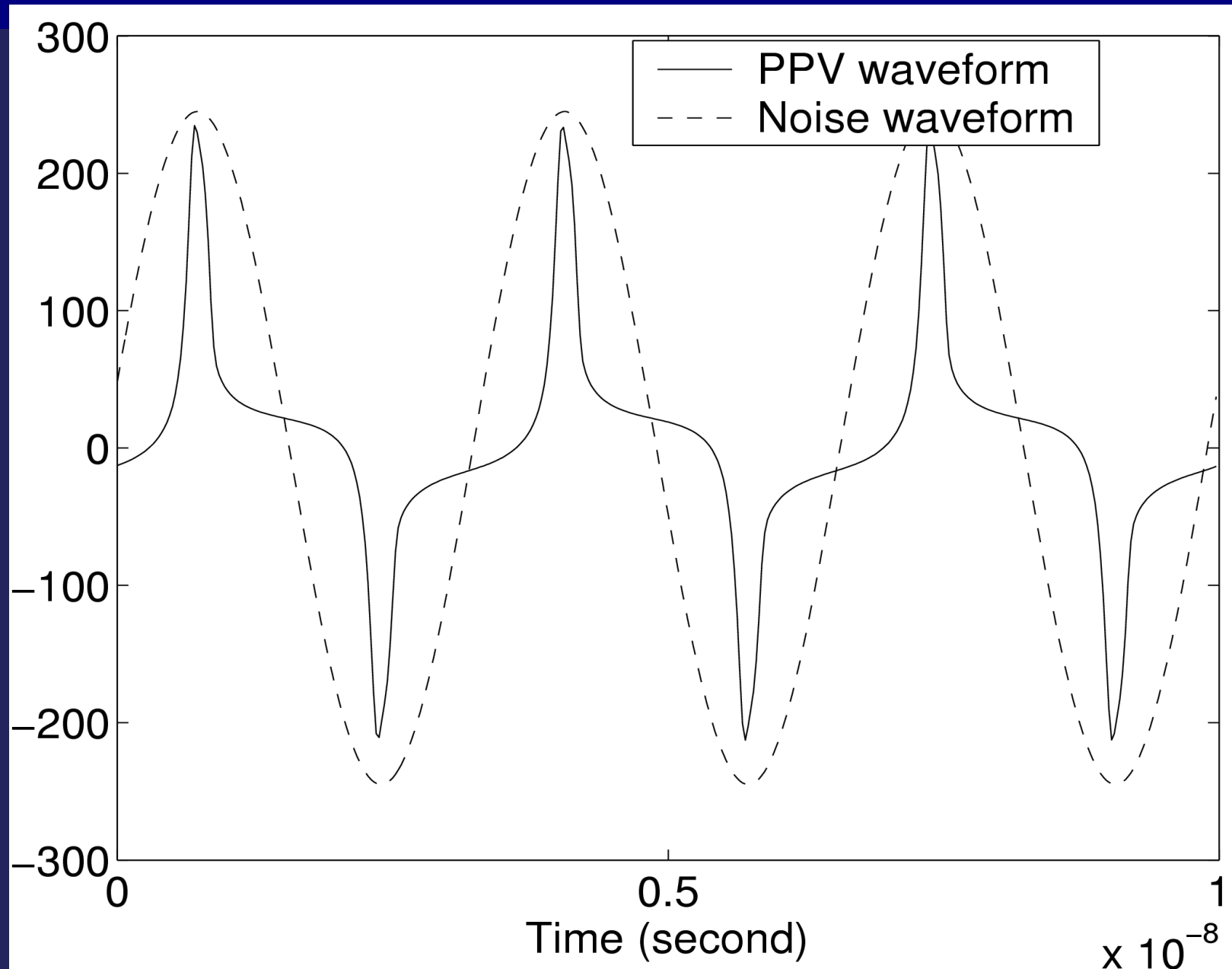
Expected Impact of 1st harmonic Supply Noise



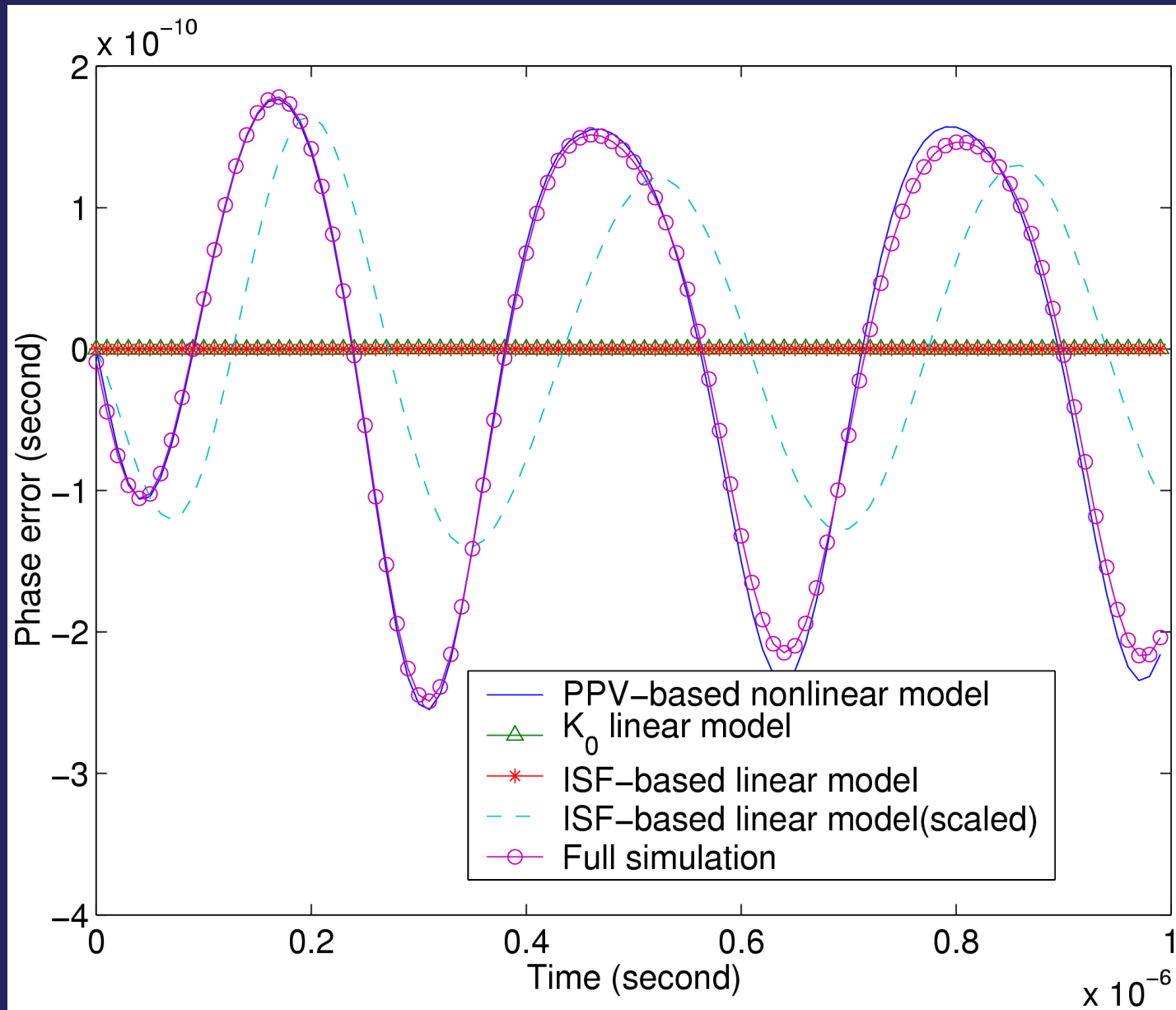
PLL Phase Response to Periodic Supply Noise



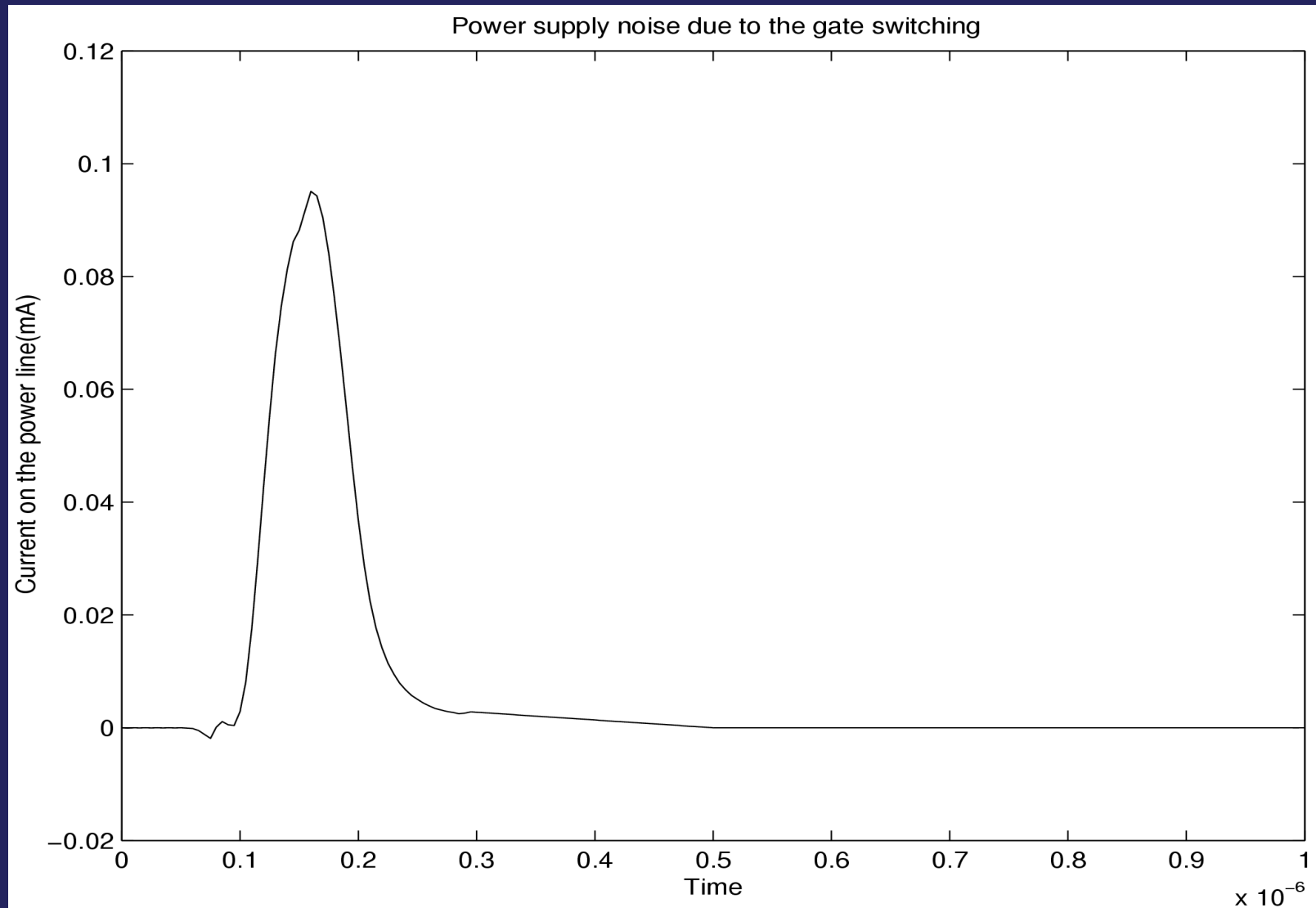
Expected Impact of 3rd Harmonic Supply Noise



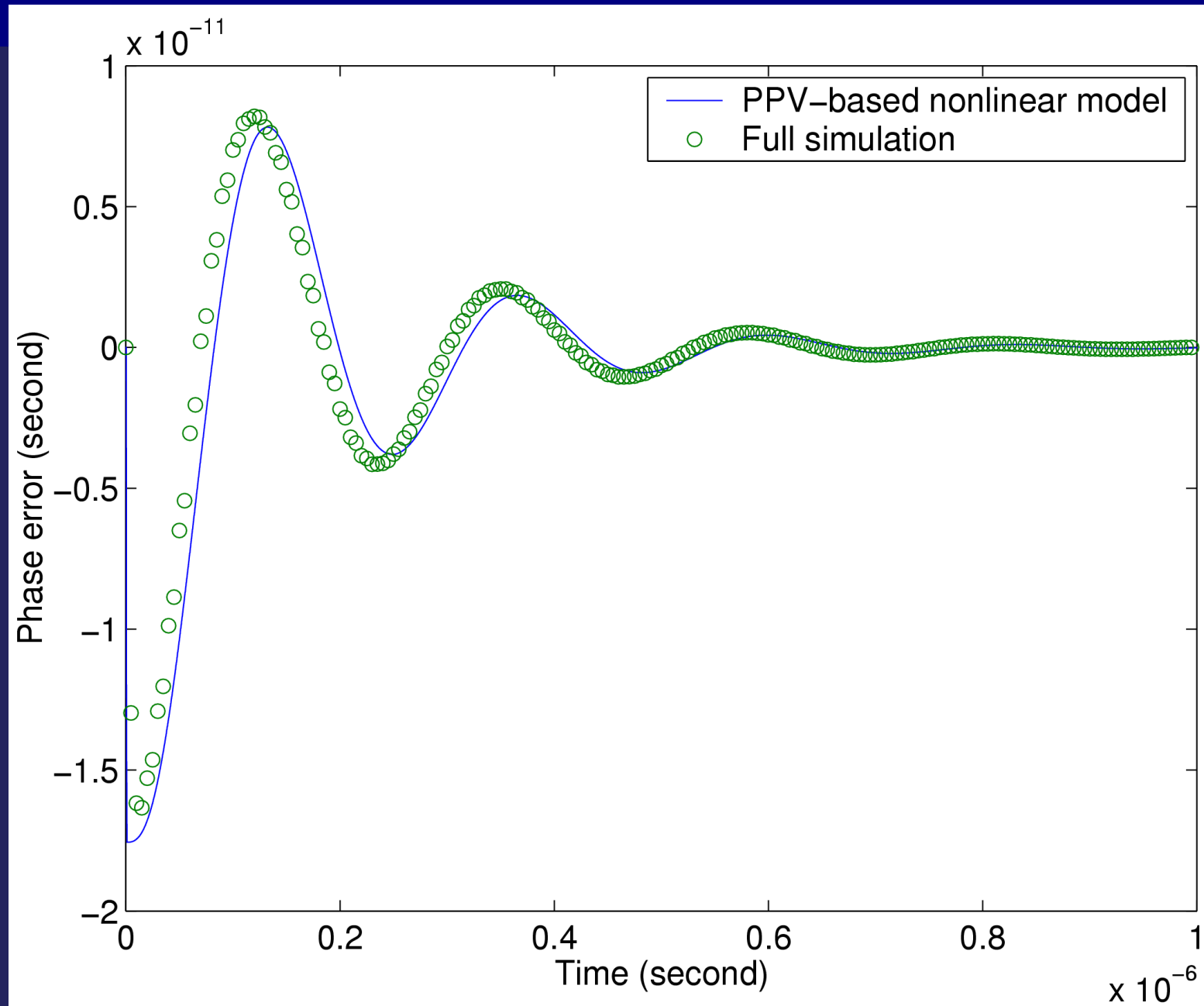
3rd Harmonic Supply Noise: Phase Macromodel vs Full PLL simulation



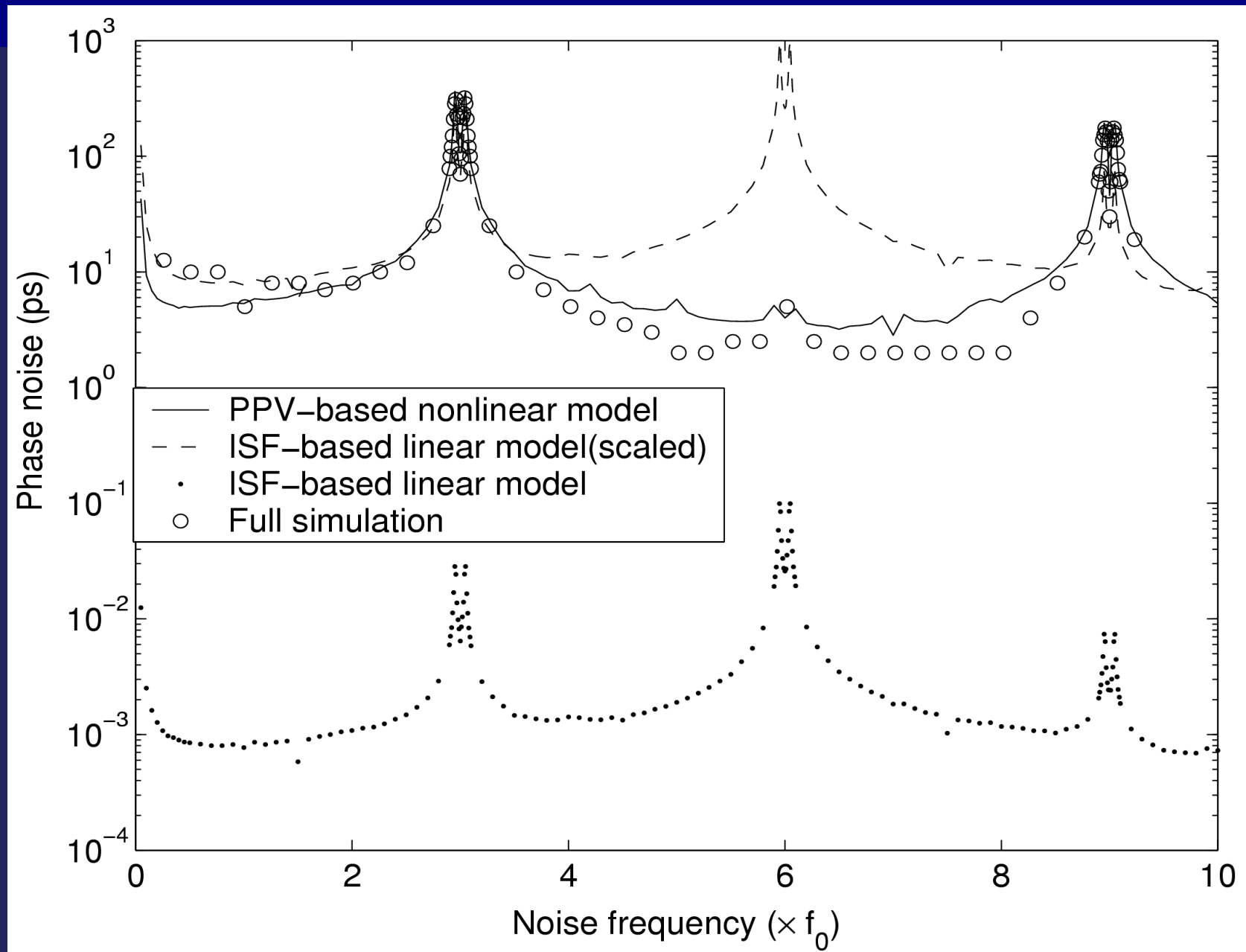
Power Supply Spike due to Single Switching



Phase Response of PLL to Supply Noise Spike



Sinusoidal Supply Noise: Effect on PLL Phase



Conclusion

- Nonlinear macromodel based PLL simulation
 - any type of VCO: LC/ring/relaxation/etc (and “multi-physics”: lasers, etc)
 - Fast: orders of magnitude speedup over original
 - Capable of capturing: **supply noise induced jitter**, injection locking like effects, unlocked sidebands, phase jumps, cycle slipping, phase noise, etc..
- Purely analytical uses
 - * **Early design formulae**
 - * **Design insight directly from PPV**
 - * Analytical formulae for locking, noise, ...