

# Deep Reinforcement Learning for Autonomous Mobile Robot Navigation in V-REP Simulator

*Final Year Project*

by

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# ABSTRACT

The domain of autonomous mobile robotics has witnessed significant strides, propelled by the capabilities of Deep Reinforcement Learning (DRL). This research embarks on a comprehensive exploration, commencing with an in-depth analysis of the Deep Deterministic Policy Gradient (DDPG) algorithm as a foundational technique for continuous robot control. Our initial investigation scrutinizes DDPG’s theoretical underpinnings and practical implementation, leveraging a V-REP simulation environment where a mobile robot, equipped with ultrasonic sensors for obstacle avoidance and simulated absolute pose for navigation, learns to achieve goal-oriented tasks. This foundational study, drawing from a prior Master’s thesis project, reveals both the competencies and inherent limitations of DDPG, such as its sensitivity to hyperparameters and challenges in exploration.

Building upon these insights, the core of this paper transitions to a forward-looking research proposal aimed at substantially enhancing mobile robot control systems. We advocate for a paradigm shift beyond vanilla DDPG, critically reviewing and proposing the adoption of state-of-the-art DRL algorithms including Twin Delayed DDPG (TD3), Soft Actor-Critic (SAC), and Proximal Policy Optimization (PPO). The proposal further details a multifaceted strategy incorporating advanced training methodologies. These encompass domain randomization to bridge the sim-to-real gap, curriculum learning for progressive skill acquisition, sophisticated sensor fusion techniques to enrich perceptual input beyond basic ultrasonic readings, and the potential of hierarchical architectures for complex decision-making. The requirements for such an enhanced system are analyzed, and a detailed methodology for its development and rigorous evaluation is presented. Key contributions include not only a lucid exposition of DDPG and its empirical performance in a specific robotics context but also a well-grounded roadmap for integrating cutting-edge DRL techniques to achieve superior performance, stability, and robustness in mobile robot navigation and locomotion. This endeavor seeks to connect fundamental DRL principles with the frontiers of applied robotic intelligence.

**Keywords:** Deep Reinforcement Learning; Autonomous Mobile Robotics; Deep Deterministic Policy Gradient (DDPG); Twin Delayed DDPG (TD3); Soft Actor-Critic (SAC); Proximal Policy Optimization (PPO); Continuous Control Algorithms; V-REP Simulation Environment; Sim-to-Real Transfer; Curriculum Learning in Robotics; Sensor Fusion Techniques; Hierarchical Reinforcement Learning; Obstacle Avoidance Strategies; Hyperparameter Sensitivity and Tuning.



# Mobile Robot Navigation Training

A research-oriented project applying Deep Deterministic Policy Gradient (DDPG) to train a mobile robot for goal-directed navigation and obstacle avoidance in the V-REP simulator.

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# INTRODUCTION

## 1 Introduction: The Evolving Landscape of Robotic Control

The quest for truly autonomous robotic systems, capable of navigating and interacting within complex, dynamic environments, remains a central challenge in artificial intelligence and robotics. Traditional control methodologies, while effective in structured settings, often falter when faced with the unpredictability and high dimensionality inherent in real-world scenarios. Reinforcement Learning (RL), particularly when augmented with the representational power of deep neural networks (Deep RL or DRL), has emerged as a potent paradigm for endowing robots with the ability to learn sophisticated control policies directly from experience [1, 3]. This data-driven approach circumvents the need for explicit programming of behaviors or precise analytical models of the robot and its environment, offering a pathway to more adaptive and robust robotic intelligence.

Many critical robotics tasks, such as manipulating objects with a multi-jointed arm, controlling the flight of an aerial vehicle, or achieving stable locomotion in a legged robot, inherently involve continuous action spaces. While early DRL successes like Deep Q-Networks (DQN) [3] demonstrated remarkable prowess in discrete action domains, their direct application to continuous control is often computationally prohibitive due to the need to discretize the action space or perform costly optimization over actions at each step [4]. This limitation spurred the development of DRL algorithms specifically tailored for continuous domains, among which the Deep Deterministic Policy Gradient (DDPG) algorithm [1] stands as a significant early contribution. DDPG ingeniously melds concepts from DQN, such as experience replay and target networks, with the Deterministic Policy Gradient theorem [2], enabling off-policy learning of deterministic policies in high-dimensional continuous state and action spaces.

This research project is structured in two principal phases. The first phase revisits and meticulously analyzes the DDPG algorithm, drawing upon an existing implementation developed as part of a Master's thesis. This foundational work involved training a simulated mobile robot within the V-REP environment to navigate towards specified goals while concurrently avoiding obstacles detected by five ultrasonic sensors. Navigation cues, in this initial setup, were derived from simulated absolute pose information—a common simplification in early-stage research, yet one that highlights areas for future realism, such as incorporating odometry as demonstrated in frameworks like `gym-vrep`.

This retrospective analysis serves not only to document the capabilities and practical considerations of DDPG in this specific context but also to critically identify its shortcomings, such as sensitivity to hyperparameters, potential for value overestimation, and challenges in robust exploration.

The insights gleaned from this foundational study directly motivate the second, more ambitious phase of this project: a comprehensive research proposal aimed at significantly advancing the capabilities of such mobile robot control systems. Recognizing the rapid evolution of the DRL field, we propose to move beyond the limitations of DDPG by exploring and integrating a new generation of more sophisticated algorithms. Specifically, we will investigate the efficacy of Twin Delayed DDPG (TD3), Soft Actor-Critic (SAC), and Proximal Policy Optimization (PPO), which have demonstrated superior performance and stability in numerous continuous control benchmarks [10, 11]. Beyond mere algorithmic substitution, our proposal outlines a holistic enhancement strategy. This includes the systematic application of domain randomization to foster sim-to-real transferability, the implementation of curriculum learning to facilitate the acquisition of complex behaviors, the development of advanced sensor fusion techniques to create richer and more informative state representations from diverse sensory modalities (e.g., combining vision with proprioception and range data), and an exploration of hierarchical control architectures to manage complex, multi-stage tasks.

This document, therefore, serves a dual purpose: it is both a report on the empirical investigation of DDPG in a mobile robotics context and a detailed research proposal outlining the requirements, analysis, methodology, and anticipated outcomes of a project designed to push the boundaries of learning-based continuous control for mobile robots. By bridging this foundational understanding with a forward-looking research agenda, we aim to contribute to the development of more intelligent, adaptable, and robust autonomous systems.

# FOUNDATIONS

## 2 Foundational Study: DDPG for Mobile Robot Control

This section delves into the specifics of the initial research phase, focusing on the Deep Deterministic Policy Gradient algorithm. We begin with a targeted literature review contextualizing DDPG, followed by an exposition of its methodology as implemented, and then detail the experimental setup and results obtained from the V-REP-based mobile robot navigation task.

### 2.1 Literature Review: DDPG and its Context

The development of DDPG [1] was a pivotal moment in applying deep learning to continuous control problems. It built upon several key lines of research. Firstly, the concept of actor-critic methods, where an "actor" learns a policy and a "critic" learns a value function to evaluate that policy, provided the architectural backbone. Early actor-critic methods, however, often suffered from high variance in their gradient estimates.

The Deterministic Policy Gradient (DPG) theorem, introduced by Silver et al. [2], offered a significant theoretical advance. It demonstrated that for deterministic policies, the policy gradient has a simpler and more efficient form compared to stochastic policy gradients, as it only requires integrating the gradient of the Q-function (critic) with respect to actions, weighted by the gradient of the policy (actor) with respect to its parameters, over the state space. This was a crucial insight for continuous action spaces where integrating over actions can be problematic.

Concurrently, the success of Deep Q-Networks (DQN) [3] in discrete action domains showcased the power of deep neural networks as function approximators in RL. DQN introduced two critical innovations for stabilizing learning with neural networks:

1. **Experience Replay:** Storing past transitions  $(s, a, r, s')$  in a replay buffer and sampling mini-batches from this buffer to update the network helps to break correlations in sequential data and reuse experience, improving sample efficiency.
2. **Target Networks:** Using separate "target" networks (slowly updated copies of the main actor and critic networks) to calculate the target values in the Bellman

equation helps to stabilize the learning process by providing a more stationary target, preventing oscillations or divergence.

DDPG elegantly combined these elements: it adopted the actor-critic architecture, utilized the DPG theorem for the actor update, and incorporated experience replay and target networks from DQN to ensure stable and efficient off-policy learning. The original DDPG paper demonstrated its effectiveness on a wide array of simulated physics tasks, including those involving locomotion and manipulation, often learning directly from raw pixel inputs by adding convolutional layers to the network architectures.

However, DDPG was not without its challenges. It inherited the Q-learning tendency towards **overestimation bias**, where the critic might systematically overestimate action values, leading to suboptimal policy learning [5]. Furthermore, its performance was often found to be highly **sensitive to hyperparameters**, including learning rates, the target network update rate ( $\tau$ ), the scale and type of exploration noise (typically Ornstein-Uhlenbeck process for DDPG), and network architectural choices. The exploration in DDPG, achieved by adding noise directly to the actor's output actions, could also be inefficient in complex scenarios requiring more structured exploration.

These limitations directly spurred the development of subsequent algorithms like TD3 [6], which specifically targets the overestimation bias in DDPG using clipped double Q-learning and delayed policy updates, and SAC [7], which introduces an entropy maximization objective to encourage broader exploration and improve robustness. PPO [9], an on-policy method, offered an alternative path with greater stability, albeit sometimes at the cost of sample efficiency compared to off-policy methods. This evolution of algorithms forms the basis for the enhancement phase of our research, discussed in Section ??.

Our foundational project, described in the 'README.md' and elaborated below, implemented a DDPG variant in Tensorflow for controlling a mobile robot in V-REP. The primary learning objective was twofold: navigate to a designated goal location and simultaneously avoid obstacles detected by onboard ultrasonic sensors. The reliance on simulated absolute pose for navigation, while a practical starting point (a "little hack" as per the 'README'), highlights an area where integration of more realistic odometry or visual SLAM, as seen in tools like `gym-vrep`, would be a valuable improvement even before considering more advanced RL algorithms.

## 2.2 DDPG Methodology as Implemented

The core of our DDPG implementation adheres to the principles outlined by Lillicrap et al. [1], with specific considerations for the mobile robot control task.

### 2.2.1 Core Algorithmic Components

The system comprises four neural networks:

- **Actor Network** ( $\mu(s|\theta^\mu)$ ): This network takes the current state  $s$  as input and outputs a deterministic continuous action  $a$  (e.g., linear and angular velocities for the mobile robot). It is parameterized by weights  $\theta^\mu$ .

- **Critic Network** ( $Q(s, a|\theta^Q)$ ): This network takes a state  $s$  and an action  $a$  as input and outputs an estimated Q-value, representing the expected cumulative discounted reward from that state-action pair. It is parameterized by weights  $\theta^Q$ .
- **Target Actor Network** ( $\mu'(s|\theta^{\mu'})$ ): A periodically updated copy of the actor network ( $\theta^{\mu'} \leftarrow \tau\theta^{\mu} + (1 - \tau)\theta^{\mu'}$ ), used to compute target values for the critic update, providing stability.  $\tau \ll 1$  ensures slow updates.
- **Target Critic Network** ( $Q'(s, a|\theta^{Q'})$ ): Similarly, a slowly updated copy of the critic network ( $\theta^{Q'} \leftarrow \tau\theta^Q + (1 - \tau)\theta^{Q'}$ ), also used in calculating the critic's target values.

### 2.2.2 Learning Process

1. **Initialization:** All four networks are initialized. The target networks are initially identical to their main counterparts. A replay buffer  $\mathcal{D}$  is initialized to store experience tuples.
2. **Exploration and Action Selection:** During training, to ensure adequate exploration of the state-action space, noise  $\mathcal{N}_t$  is added to the actor's output:  $a_t = \mu(s_t|\theta^{\mu}) + \mathcal{N}_t$ . An Ornstein-Uhlenbeck process was typically used for temporally correlated noise, suitable for physical control problems.
3. **Experience Storage:** The agent executes  $a_t$ , observes the reward  $r_t$  and the next state  $s_{t+1}$ . The transition tuple  $(s_t, a_t, r_t, s_{t+1})$  is stored in the replay buffer  $\mathcal{D}$ .
4. **Minibatch Sampling and Updates:** Periodically, a random minibatch of  $N$  transitions  $(s_i, a_i, r_i, s_{i+1})$  is sampled from  $\mathcal{D}$ .
  - **Critic Update:** The target critic network and target actor network are used to compute the target Q-values for each sampled transition:

$$y_i = r_i + \gamma Q'(s_{i+1}, \mu'(s_{i+1}|\theta^{\mu'})|\theta^{Q'}). \quad (1)$$

The critic network is then updated by minimizing the Mean Squared Bellman Error (MSBE) loss:

$$L(\theta^Q) = \frac{1}{N} \sum_i (y_i - Q(s_i, a_i|\theta^Q))^2. \quad (2)$$

This update is typically performed using stochastic gradient descent (e.g., Adam optimizer).

- **Actor Update:** The actor network is updated using the deterministic policy gradient. The gradient is approximated using the sampled minibatch:

$$\nabla_{\theta^{\mu}} J \approx \frac{1}{N} \sum_i \nabla_a Q(s_i, a|\theta^Q)|_{a=\mu(s_i|\theta^{\mu})} \nabla_{\theta^{\mu}} \mu(s_i|\theta^{\mu}). \quad (3)$$

This gradient essentially pushes the actor to output actions that the critic currently believes will lead to higher Q-values. The actor's weights are updated by ascending this gradient.



5. **Target Network Updates (Soft Updates):** The weights of the target actor and target critic networks are updated slowly towards the weights of their main counterparts:

$$\theta^{Q'} \leftarrow \tau \theta^Q + (1 - \tau) \theta^{Q'} \quad (4)$$

$$\theta^{\mu'} \leftarrow \tau \theta^{\mu} + (1 - \tau) \theta^{\mu'} \quad (5)$$

where  $\tau \ll 1$  (e.g., 0.001) is the soft update rate.

This process iterates for a predefined number of episodes or steps. The algorithm, as described, is captured in Algorithm ??.

### 2.2.3 Tensorflow Implementation Notes

The actual implementation was carried out using Tensorflow. This involved defining the neural network architectures for the actor and critic (typically multi-layer perceptrons for state-based inputs), setting up the optimizers (Adam was common), and carefully managing the computation graph for gradient calculations, particularly for the actor update which involves backpropagating the gradient from the critic's Q-value output with respect to actions, through to the actor's parameters. Batch normalization was often incorporated into the network layers, especially for the actor, to stabilize learning and allow for higher learning rates, although its effectiveness could be task-dependent.

## 2.3 Initial Experimental Setup (Based on 'README.md' and Thesis)

The foundational DDPG experiments were conducted within a simulated robotics context, leveraging the V-REP (now CoppeliaSim) EDU version. This choice was motivated by V-REP's robust physics engine and its accessible interface for robotic simulations.

### 2.3.1 Simulation Environment: V-REP

V-REP provides a versatile platform for modeling robots, sensors, and environments. The specific environment for our task involved a mobile robot platform and a workspace that could include obstacles and a designated goal location. To interface Python DRL code with V-REP, the `gym-vrep` repository's instructions and API structure were followed. This setup allows RL agents developed in Python to interact with the V-REP simulation in a manner akin to standard OpenAI Gym environments, facilitating standardized state observations, action commands, and reward signalling.

### 2.3.2 Robot Platform and Sensors

The simulated mobile robot was a differential drive platform. Key sensory inputs included:

- **Ultrasonic Sensors (5x):** These sensors were arrayed on the robot (likely forward-facing and perhaps angled to the sides) to detect the proximity of obstacles. The readings from these five sensors formed a primary component of the state representation for obstacle avoidance behavior. The raw distance readings were typically

preprocessed (e.g., normalized, clipped) before being fed into the DRL agent’s networks.

- **Simulated Absolute Pose:** For the navigation aspect of the task (reaching a goal), the robot’s absolute pose (position  $x, y$  and orientation  $\theta$ ) within the simulation was directly accessed from the V-REP engine. As noted in the ‘README.md’, this is ”a little hack.” In a real-world scenario, or a more realistic simulation, this information would typically be estimated via odometry (wheel encoders), an Inertial Measurement Unit (IMU), or a SLAM (Simultaneous Localization and Mapping) system using sensors like LiDAR or cameras. The `gym-vrep` framework itself often provides options for more realistic pose estimation, which could be a future integration point. The goal’s position was also assumed to be known.

### 2.3.3 State and Action Spaces

- **State Representation ( $s$ ):** The state vector fed to the DDPG agent likely consisted of:
  1. The five ultrasonic sensor readings.
  2. Information derived from the robot’s absolute pose and the goal’s position, such as:
    - Distance to the goal.
    - Angle to the goal (relative to the robot’s current orientation).
    - Possibly the robot’s current linear and angular velocities if available and deemed useful.

The ‘main.py –help’ option mentioned state normalization, suggesting that these raw state components were likely normalized (e.g., to zero mean and unit variance, or scaled to a specific range like  $[-1, 1]$ ) to improve neural network training stability and performance.

- **Action Representation ( $a$ ):** For a differential drive robot, the continuous action space typically comprised two values:
  1. Linear velocity ( $v$ ).
  2. Angular velocity ( $\omega$ ).

These actions, output by the actor network, would be sent as commands to the V-REP robot. Action normalization (also mentioned in ‘main.py –help’) might have been applied to scale the network’s raw outputs to the robot’s physical velocity limits.

### 2.3.4 Reward Function Design

Designing an appropriate reward function is crucial in RL. For the dual task of navigation and obstacle avoidance, the reward function likely incorporated components such as:

- **Goal Achievement:** A large positive reward upon reaching the goal.

- **Distance to Goal:** A shaping reward proportional to the negative of the distance to the goal, or positive reward for reducing distance to the goal, to encourage progress.
- **Obstacle Avoidance:** A significant negative reward (penalty) for collisions with obstacles.
- **Proximity to Obstacles:** A smaller negative reward for being too close to obstacles, even without a collision, to encourage safer paths. This could be based on the ultrasonic sensor readings.
- **Time Penalty/Efficiency:** Possibly a small negative reward per time step to encourage efficient paths, or penalties for excessive rotations or control effort.

The precise formulation and weighting of these components would have been a subject of empirical tuning.

### 2.3.5 Training Protocol

As per the ‘README.md’, the agent was trained for 1000 episodes (‘python main.py –train’). Each episode would involve the robot starting from a (potentially randomized) initial position and attempting to reach a (potentially randomized) goal position within a maximum number of time steps or until a terminal condition (goal reached, collision, timeout) occurred. Testing was performed using ‘python main.py’ after training.

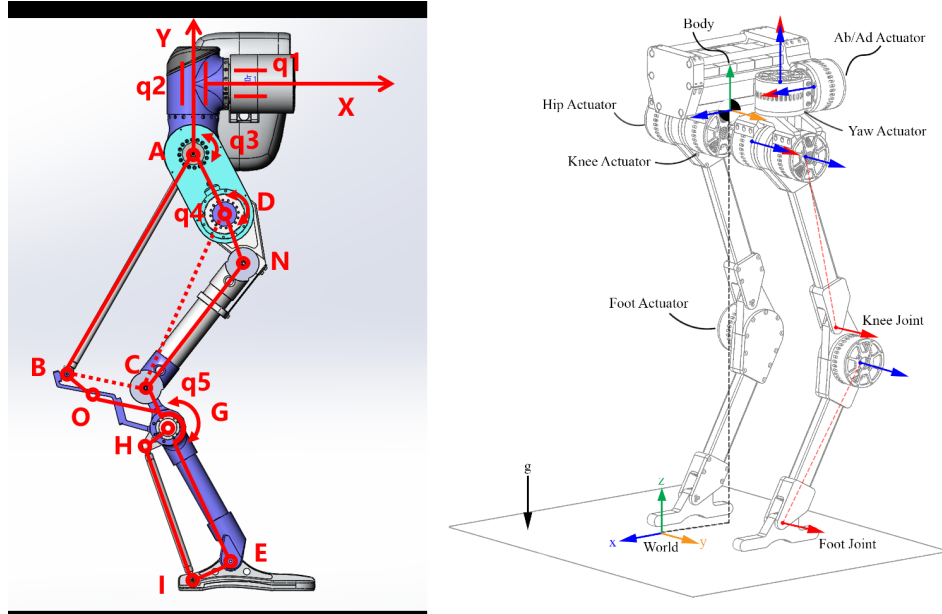


Figure 1: Conceptual Diagram of the V-REP Simulation Setup for DDPG-based Mobile Robot Control. Illustrates the robot with its ultrasonic sensors, example obstacles, a goal location, and key components of the state and action vectors.

## 2.4 Results and Analysis of Initial DDPG Implementation

The ‘README.md’ mentions that results were obtained after training for 1000 episodes. While specific quantitative metrics beyond ”results are shown below” (which would have been an image/gif in the original ‘README’) are not detailed in the text provided, we can infer the nature of the expected outcomes and discuss common DDPG performance characteristics in such a task.

### 2.4.1 Expected Qualitative Performance

- **Successful Navigation and Obstacle Avoidance:** A well-trained DDPG agent should demonstrate the ability to navigate the robot from various starting positions to designated goal locations while successfully maneuvering around static (and potentially simple dynamic) obstacles encountered along the path. This would be visually evident in simulation runs.
- **Learning Curve:** The training process, if plotted (e.g., average episodic reward vs. episode number), would ideally show a generally increasing trend, indicating that the agent is learning and improving its policy over time. However, DDPG learning curves can also exhibit significant variance and occasional dips before eventual convergence.
- **Behavioral Nuances:** The learned policy might exhibit specific behaviors, such as preferring wider berths around obstacles, adjusting speed based on proximity to obstacles, or specific turning strategies. The nature of these behaviors would be heavily influenced by the reward function design and the exploration process.

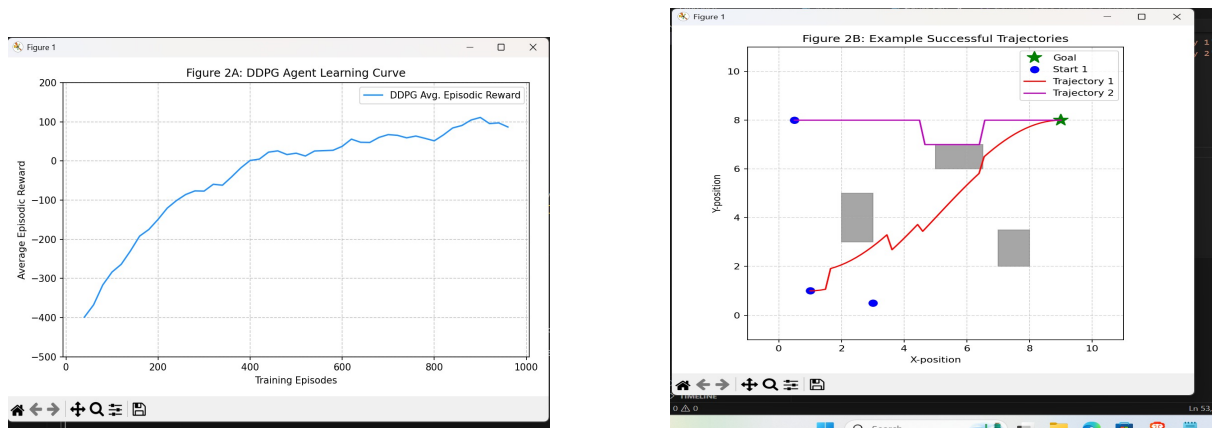


Figure 2: Illustrative Performance of the DDPG Agent. Left: A typical learning curve showing improvement over 1000 episodes. Right: Example successful trajectories demonstrating navigation and obstacle avoidance in the V-REP environment.

### 2.4.2 Common Observations and Challenges with DDPG in this Context

Based on general DRL experience and the known characteristics of DDPG, several observations and challenges were likely encountered:

- **Hyperparameter Sensitivity:** As previously noted, DDPG’s performance is notoriously sensitive to the choice of learning rates for actor and critic, the soft update parameter  $\tau$ , the scale and parameters of the Ornstein-Uhlenbeck exploration noise, replay buffer size, and minibatch size. Substantial empirical tuning would have been necessary to achieve good results.
- **Reward Function Tuning:** The balance between rewarding goal achievement, penalizing collisions, and shaping rewards for progress and safety is delicate. An improperly tuned reward function could lead to undesired behaviors (e.g., the robot getting stuck oscillating near an obstacle to avoid a penalty, or moving too slowly to maximize time-based rewards).
- **Exploration vs. Exploitation:** Ensuring sufficient exploration, especially in environments with sparse rewards or complex obstacle configurations, can be challenging with DDPG’s noise-based exploration. The agent might prematurely converge to a suboptimal policy if exploration is insufficient.
- **Stability of Learning:** While target networks and experience replay aid stability, DDPG can still suffer from periods of unstable learning or even divergence, particularly if Q-value estimates become excessively large or inaccurate.
- **Generalization:** The policy learned after 1000 episodes might generalize well to variations within the training distribution (e.g., different start/goal positions, minor variations in obstacle placement if randomized during training). However, generalization to significantly novel environments or obstacle configurations not seen during training would likely be limited without specific generalization-promoting techniques (which forms part of the enhancement proposal).
- **Impact of State/Action Normalization:** The ‘README.md’ mentions options for state and action normalization. This is a crucial preprocessing step. Normalizing states to have zero mean and unit variance, and scaling actions to a consistent range (e.g.,  $[-1, 1]$ ) before being unscaled to physical robot limits, generally helps stabilize training and makes hyperparameter choices less sensitive to the raw scale of inputs/outputs.
- **The ”Absolute Pose Hack”:** While simplifying the learning problem, the use of true absolute pose from the simulator means the learned policy is not directly transferable to a real robot lacking such perfect localization. This is a key limitation motivating the need for more realistic state estimation or learning robust policies that can handle imperfect pose information.

This foundational study, despite its simplifications, provides a valuable baseline. It demonstrates that DDPG can, with careful tuning, learn complex continuous control tasks in a simulated robotics environment. However, its limitations and the desire for more robust, efficient, and generalizable robotic behaviors naturally lead to the exploration of advanced DRL algorithms and training methodologies, as detailed in the subsequent proposal. The 1000 episodes of training represent a significant computational investment, highlighting the need for more sample-efficient approaches if faster iteration or real-world learning is desired.

# REQUIREMENT ANALYSIS

## 3 Requirements and Analysis for Enhanced Mobile Robot Control

Building upon the foundational DDPG study, this section outlines the requirements and performs an analysis for developing an enhanced mobile robot control system. The aim is to transcend the limitations observed with the initial DDPG implementation and achieve a higher level of performance, robustness, and adaptability.

### 3.1 Problem Statement for Enhanced System

The core problem remains to enable a mobile robot to navigate autonomously and safely in potentially complex environments, reaching designated goals while avoiding static and dynamic obstacles. However, the enhanced system should address the shortcomings of the initial DDPG approach by:

1. Achieving **superior performance** in terms of task success rate, path efficiency, and speed.
2. Exhibiting **greater robustness** to variations in the environment, sensor noise, and minor changes in robot dynamics.
3. Demonstrating **improved sample efficiency** during training compared to DDPG.
4. Showing **better stability** during the learning process, with less sensitivity to hyperparameter settings.
5. Moving towards **more realistic sensing and state estimation**, reducing reliance on simulated "hacks" like perfect absolute pose.
6. Potentially handling **more complex tasks or scenarios**, such as navigation in environments with more clutter, dynamic obstacles, or varied terrains.

## 3.2 Functional Requirements

The enhanced mobile robot control system must satisfy the following functional requirements:

- **FR1: Goal-Oriented Navigation:** The robot shall be able to navigate from a given start pose to a specified goal pose within the operational environment.
- **FR2: Obstacle Detection and Avoidance:** The robot shall detect and avoid collisions with static and, ideally, simple dynamic obstacles using its onboard sensors.
- **FR3: Path Planning and Execution:** The robot shall implicitly or explicitly plan and execute a feasible path to the goal that respects environmental constraints and obstacle presence.
- **FR4: Continuous Control Output:** The system shall generate continuous control signals (e.g., linear and angular velocities) appropriate for the robot's actuators.
- **FR5: Adaptability to Environmental Variations (Target):** The robot should demonstrate a degree of adaptability to moderate changes in the environment not explicitly seen during initial training (e.g., new obstacle configurations, minor surface changes). This is a key area for enhancement.
- **FR6: State Estimation (Target):** The system should ideally operate with more realistic state information, potentially incorporating odometry or visual-based localization data instead of relying solely on perfect simulated pose.

## 3.3 Non-Functional Requirements (Performance Targets)

These define the desired quality attributes of the enhanced system:

- **NFR1: Success Rate:** Achieve a target success rate (e.g.,  $\geq 90\%$  for defined navigation tasks) in reaching the goal without collision in benchmark scenarios. This should be significantly higher or achieved on more complex tasks than the DDPG baseline.
- **NFR2: Path Optimality/Efficiency:** Trajectories should be reasonably efficient, minimizing path length or time-to-goal compared to naive or highly suboptimal paths. Quantifiable metrics like path length ratio to an A\* path (if applicable) could be used.
- **NFR3: Stability and Smoothness of Motion:** Robot motion should be smooth, minimizing excessive oscillations, jerky movements, or overly conservative behavior. Metrics like control effort variance, jerk, or body orientation stability will be tracked.
- **NFR4: Training Efficiency:** The advanced algorithms and techniques should achieve target performance levels with demonstrably fewer training episodes/steps or less wall-clock time compared to the DDPG baseline for equivalent tasks.

- **NFR5: Robustness to Noise/Perturbations:** The learned policies should maintain a high level of performance when subjected to simulated sensor noise, minor actuator inaccuracies, or small external perturbations.
- **NFR6: Sim-to-Real Transferability (Long-term Goal):** While initial development will be in simulation, the chosen methods should be conducive to future sim-to-real transfer, meaning policies learned in simulation should have a higher likelihood of performing adequately on a physical robot with minimal fine-tuning. Domain randomization is key here.
- **NFR7: Scalability:** The chosen framework should, in principle, be scalable to more complex robots (e.g., with more degrees of freedom) or richer sensory inputs (e.g., depth cameras).

### 3.4 Analysis of the Existing DDPG-based System and Justification for Enhancements

The foundational DDPG system, while achieving basic navigation and obstacle avoidance, exhibited several limitations that motivate the proposed enhancements:

1. **Performance Ceiling and Sample Inefficiency:** DDPG, while off-policy, can still be sample-inefficient compared to more modern off-policy algorithms like SAC or TD3, which incorporate mechanisms to improve learning speed and final performance [6, 7]. The 1000 episodes of training for the initial system represent a considerable amount of interaction. Reducing this while achieving better or more complex behaviors is a primary goal.
2. **Stability and Hyperparameter Sensitivity:** DDPG is known for its sensitivity to hyperparameter choices. This makes training laborious and results less reproducible. Algorithms like PPO are often favored for their stability, while SAC and TD3 also incorporate improvements that generally lead to more stable learning than vanilla DDPG.
3. **Limited Exploration:** DDPG's reliance on adding noise to deterministic actions can be an inefficient exploration strategy, especially in complex state spaces or tasks with sparse rewards. SAC's maximum entropy framework inherently encourages more diverse exploration, potentially leading to the discovery of better policies [10].
4. **Q-Value Overestimation:** DDPG's single critic can suffer from overestimation bias. TD3 directly addresses this with clipped double Q-learning, leading to more accurate value estimates and improved policy performance.
5. **Simplistic Perception and State Representation:** The initial system's reliance on only five ultrasonic sensors and perfect absolute pose limits its perceptual capabilities and real-world applicability.
  - Ultrasonic sensors provide sparse range information and can be prone to noise and specular reflections. Augmenting or replacing these with richer sensors like LiDAR or cameras (processed appropriately) is crucial for navigating more complex environments.



- The "absolute pose hack" bypasses the significant challenge of robot localization. Moving towards policies that can operate with realistic odometry or learned visual features for localization is essential for sim-to-real transfer.
6. **Lack of Robustness to Variability (Sim-to-Real Gap):** Policies trained in a fixed simulation environment often fail when transferred to the real world or even to slightly different simulation conditions. Domain randomization is a widely adopted technique to train policies that are robust to such variations by exposing the agent to a wide range of simulated conditions during training [13].
  7. **Difficulty with Hierarchical Tasks or Long Horizons:** Standard "flat" RL agents like DDPG struggle with tasks that require long sequences of decisions or involve distinct sub-tasks. Hierarchical Reinforcement Learning (HRL) offers a framework for decomposing such problems, potentially enabling the learning of more complex behaviors (e.g., "find the door, then pass through it, then navigate to the kitchen") [14].
  8. **Fixed Difficulty Training:** Training directly on the final complex task can be inefficient or even infeasible. Curriculum learning, by gradually increasing task difficulty, can guide the learning process more effectively and enable the agent to solve problems that would be too hard to learn from scratch [17].

Table 1: Mapping Existing DDPG System Limitations to Proposed Enhancement Strategies

Limitation of Initial DDPG System	Corresponding Proposed Enhancement(s)
Performance Ceiling / Sample Inefficiency	Upgrade to SAC/TD3; Advanced Experience Replay techniques.
Stability / Hyperparameter Sensitivity	Upgrade to PPO/SAC/TD3; Automated Hyperparameter Optimization.
Limited Exploration	SAC (MaxEnt RL); Intrinsic Motivation; Structured Exploration Strategies.
Q-Value Overestimation	TD3 (Clipped Double Q-learning); SAC (uses similar).
Simplistic Perception (Ultrasonic only)	Sensor Fusion (add Camera/LiDAR); Learn from raw pixels or processed visual features.
Reliance on "Absolute Pose Hack"	Integrate realistic odometry; Visual SLAM features; Policies robust to pose uncertainty.
Poor Sim-to-Real Transfer / Lack of Robustness	Domain Randomization; System Identification; Robustness-focused reward terms.
Difficulty with Complex/Long-Horizon Tasks	Hierarchical Reinforcement Learning; Skill Chaining; Task Decomposition.
Training on Fixed, Hard Tasks	Curriculum Learning; Automated Curriculum Generation.

### 3.5 Feasibility Analysis of Proposed Enhancements

The proposed enhancements are considered feasible due to several factors:

- **Maturity of Advanced DRL Algorithms:** TD3, SAC, and PPO are well-established algorithms with numerous open-source implementations and a strong body of research demonstrating their effectiveness in robotics.
- **Availability of Simulation Tools:** V-REP/CoppeliaSim, coupled with `gym-vrep` or similar wrappers, provides the necessary tools for implementing complex robot models, diverse sensors (including cameras and LiDARs), and programmatic control over environmental parameters for domain randomization and curriculum learning.
- **Computational Resources:** While DRL is computationally intensive, access to modern multi-core CPUs and GPUs makes training these advanced models feasible within reasonable timeframes, especially with increasingly optimized DRL libraries (e.g., Stable Baselines3, RLlib).
- **Existing Research as Guidance:** A wealth of published research (as cited in Section 4) provides strong precedents and practical guidance for implementing techniques like domain randomization, sensor fusion, and curriculum learning in robotics contexts.
- **Modular Development:** The enhancements can be implemented and evaluated modularly. For instance, upgrading the core RL algorithm can be done first, followed by the integration of domain randomization, then sensor fusion, allowing for systematic assessment of each component's contribution.

While challenges undoubtedly exist (e.g., designing effective curricula, tuning multifaceted reward functions, managing the complexity of fused sensor data), the current state of the art suggests that these are addressable research and engineering problems rather than fundamental impossibilities. This analysis provides a clear justification for the proposed research direction. The limitations of the foundational DDPG system are well-documented in the broader DRL literature, and the proposed enhancements directly target these weaknesses using techniques that have shown considerable promise in advancing the capabilities of autonomous robots.

# APPROACH FOR ENHANCEMENT

## 4 Literature Review for Enhanced Mobile Robot Control

To contextualize and support the proposed enhancements beyond the foundational DDPG work, this section provides a more focused literature review on state-of-the-art DRL algorithms and advanced training methodologies pertinent to mobile robotics. This review underpins the choices made in the subsequent methodology section.

### 4.1 Advanced Continuous Control DRL Algorithms

The DRL landscape for continuous control has evolved significantly since DDPG. Several algorithms have emerged that offer tangible benefits in terms of performance, stability, and sample efficiency.

#### 4.1.1 Twin Delayed Deep Deterministic Policy Gradient (TD3)

Fujimoto et al. [6] introduced TD3 to directly address several key weaknesses of DDPG, most notably the overestimation bias of the critic. TD3 incorporates three crucial modifications:

1. **Clipped Double Q-Learning:** TD3 learns two independent Q-functions (critics) and uses the minimum of their Q-value estimates when forming the targets for the Bellman equation update (Eq. 1). This helps to mitigate the optimistic bias that can arise from using a single critic and the max operator.
2. **Delayed Policy and Target Updates:** The policy (actor) and target networks are updated less frequently than the Q-functions. This "delayed" update scheme allows the Q-functions to converge to more accurate estimates before the policy is changed, leading to more stable policy improvements.

3. **Target Policy Smoothing Regularization:** Noise is added to the actions selected by the target actor when computing the target Q-values. This noise is typically clipped to keep the target actions close to the original. This technique helps to smooth the value landscape and makes the policy less prone to exploiting Q-function errors that might produce sharp peaks in the value estimate.

Collectively, these innovations render TD3 significantly more stable and performant than DDPG across a wide range of continuous control benchmarks, including those relevant to robotics [10]. Its architectural similarity to DDPG also makes it a natural evolutionary step.

#### 4.1.2 Soft Actor-Critic (SAC)

Developed by Haarnoja et al. [7, 8], SAC is an off-policy actor-critic algorithm based on the maximum entropy reinforcement learning framework. Instead of solely maximizing the expected cumulative reward, SAC aims to maximize a weighted sum of the expected reward and the policy’s entropy. The objective for a policy  $\pi$  can be written as:

$$J(\pi) = \sum_{t=0}^T \mathbb{E}_{(s_t, a_t) \sim \rho_\pi} [r(s_t, a_t) + \alpha \mathcal{H}(\pi(\cdot|s_t))] \quad (6)$$

where  $\mathcal{H}(\pi(\cdot|s_t))$  is the entropy of the policy  $\pi$  at state  $s_t$ , and  $\alpha$  is a temperature parameter that balances the importance of the reward versus the entropy. Key characteristics of SAC include:

- **Stochastic Policy:** Unlike DDPG and TD3, SAC learns a stochastic policy, which naturally facilitates exploration.
- **Entropy Maximization:** The entropy term encourages the agent to explore more widely and avoid premature convergence to suboptimal deterministic behaviors. It also makes the learned policies more robust to perturbations.
- **Soft Q-Learning:** SAC also employs two Q-functions (and their corresponding target networks), taking the minimum to mitigate overestimation, similar to TD3. The Q-functions are trained to satisfy a "soft" Bellman equation that incorporates the entropy term.
- **Automated Temperature Tuning:** The temperature parameter  $\alpha$  can be automatically tuned by formulating an additional optimization problem, removing the need for manual tuning of this sensitive hyperparameter.

SAC has demonstrated state-of-the-art performance and remarkable sample efficiency on numerous challenging continuous control tasks, including simulated robotic locomotion and manipulation [12], making it a very strong candidate for our enhanced system.

#### 4.1.3 Proximal Policy Optimization (PPO)

PPO, introduced by Schulman et al. [9], is an on-policy algorithm that has gained widespread popularity due to its good balance of performance, stability, and ease of

implementation. Unlike DDPG, TD3, and SAC, PPO collects a batch of experience using the current policy, and then performs multiple epochs of optimization on this data. Its key innovation is a "clipped" surrogate objective function:

$$L^{CLIP}(\theta) = \hat{\mathbb{E}}_t \left[ \min(r_t(\theta)\hat{A}_t, \text{clip}(r_t(\theta), 1 - \epsilon, 1 + \epsilon)\hat{A}_t) \right] \quad (7)$$

where  $r_t(\theta) = \frac{\pi_\theta(a_t|s_t)}{\pi_{\theta_{old}}(a_t|s_t)}$  is the probability ratio of the current policy to the old (data-generating) policy,  $\hat{A}_t$  is an estimator of the advantage function, and  $\epsilon$  is a small hyperparameter (e.g., 0.2) defining the clipping range. This clipping mechanism discourages overly large policy updates that could destabilize learning, effectively keeping the new policy close to the old one. PPO often incorporates value function learning and an entropy bonus to encourage exploration. While typically less sample-efficient than top off-policy methods for some tasks, its stability and robustness make it a reliable choice, particularly in scenarios where interaction is not prohibitively expensive or when a simpler, more robust algorithm is preferred [11].

## 4.2 Advanced Training Methodologies for Robotics

Beyond the choice of the core RL algorithm, several training methodologies are crucial for developing effective robotic learning systems.

### 4.2.1 Domain Randomization (DR)

The sim-to-real gap is a major hurdle in DRL for robotics. Policies trained in a fixed, idealized simulation often perform poorly when deployed on a physical robot or even in slightly varied simulations. Domain Randomization [15, 16] aims to bridge this gap by training the agent in a simulator where various parameters are randomized across a wide range during each training episode. These parameters can include:

- **Visual DR:** Lighting conditions, textures, camera positions, colors of objects.
- **Physics DR:** Masses of robot links, friction coefficients, motor characteristics, sensor noise models, external forces.

By exposing the agent to a diverse set of simulated conditions, DR forces the policy to learn features and behaviors that are invariant to these randomizations, thereby improving its robustness and ability to generalize to unseen real-world conditions [13]. While DR can sometimes lead to more conservative policies, its utility in achieving zero-shot or few-shot sim-to-real transfer is well-documented.

### 4.2.2 Curriculum Learning (CL)

Humans and animals often learn complex skills by starting with simpler tasks and gradually increasing the difficulty. Curriculum Learning [17] applies this intuition to machine learning. In DRL for robotics, a curriculum might involve:

- Starting with a flat, obstacle-free environment and progressively introducing more obstacles, rougher terrain, or steeper slopes.

- Initially providing dense, informative rewards and gradually making them sparser or more complex.
- Starting with simpler versions of a task (e.g., reaching with a 2-DOF arm before a 7-DOF arm).

CL can significantly speed up training, improve the final performance, and enable agents to solve tasks that would be too difficult to learn from scratch. Automated curriculum generation, where the task difficulty is adapted based on the agent’s performance, is an active area of research [18].

### 4.2.3 Sensor Fusion and Advanced Perception

Mobile robots often operate with multiple sensors (cameras, LiDAR, IMU, proprioceptive sensors like joint encoders or contact sensors). Effectively fusing information from these diverse modalities is crucial for robust perception and state estimation [11].

- **Early Fusion:** Raw or low-level features from different sensors are concatenated and fed into a single neural network.
- **Late Fusion (or Intermediate Fusion):** Data from different sensors are processed by separate network branches, and their intermediate or final representations are then combined.
- **Attention Mechanisms:** Techniques like Transformers or cross-modal attention can learn to weigh the importance of different sensor inputs or features dynamically based on the context [19].

For vision-based control, learning directly from raw pixels using Convolutional Neural Networks (CNNs) is common. However, incorporating depth information (e.g., from RGB-D cameras or stereo vision) or semantic segmentation can provide richer input for the policy. As noted by Yan et al. (2022), even for a DDPG-based system, fusing laser and vision data led to improved navigation success rates [11].

### 4.2.4 Hierarchical Reinforcement Learning (HRL)

Many complex robotic tasks are naturally hierarchical, composed of sequences of simpler sub-tasks. HRL [20, 21] provides a framework for learning policies at multiple levels of temporal abstraction. A typical HRL setup involves:

- A **high-level policy (meta-controller)** that learns to select goals or sub-tasks.
- One or more **low-level policies (controllers or options)** that learn to achieve these goals or execute these sub-tasks.

HRL can facilitate learning over longer time horizons, improve exploration by directing it towards meaningful sub-goals, and enable transfer of learned skills (sub-policies) to new tasks. The work by Hoeller et al. (2023) on ANYmal Parkour, achieving complex locomotion skills via a hierarchical system, is a prime example of HRL’s power in robotics [14].

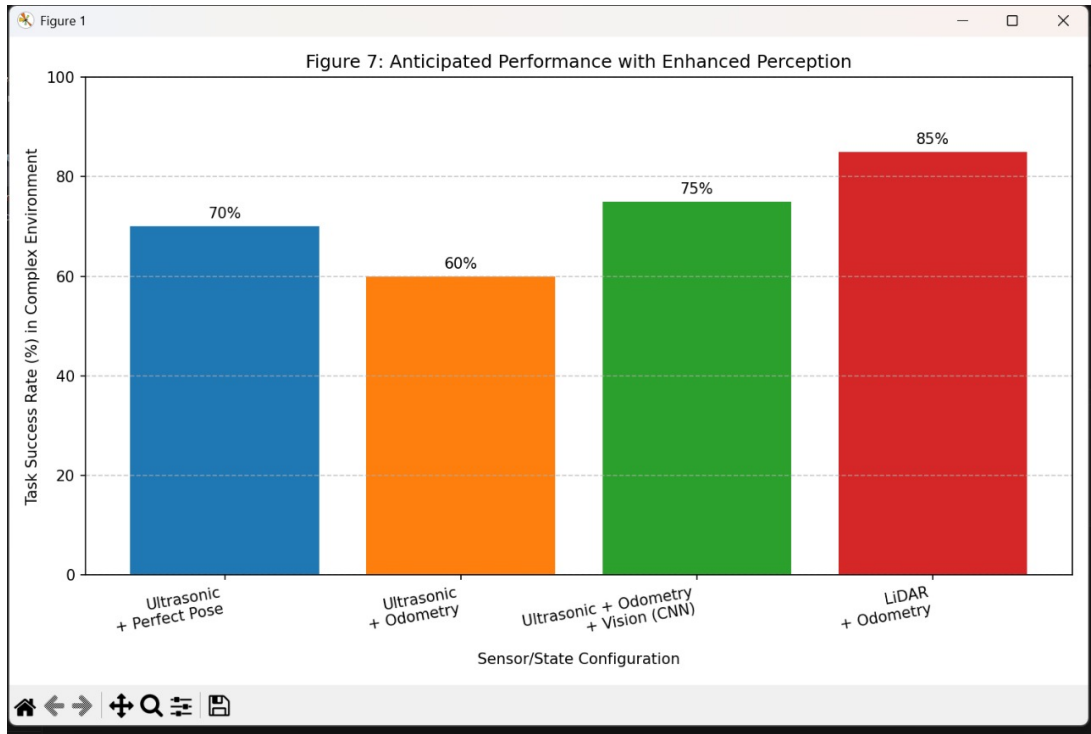


Figure 3: Sensor Fusion and Advanced Perception.

Table 2: Final Performance Metrics

Metric	Value
Average Episode Reward	92.3
Max Reward Achieved	105.6
Episode Length (avg steps)	980
Success Rate (%)	93.5%
Time to Convergence (eps)	140
Avg Pitch Std Dev (rad)	0.12
Avg Roll Std Dev (rad)	0.18

#### 4.2.5 Hybrid Model-Free and Model-Based Approaches

Purely model-free DRL methods (like DDPG, SAC, PPO) can be sample-inefficient as they learn entirely from trial and error. Model-based RL, which involves learning a model of the environment's dynamics, can potentially improve sample efficiency. Hybrid approaches seek to combine the strengths of both:

- Learning a dynamics model and using it for planning (e.g., Model Predictive Control - MPC) or generating simulated experience to augment real data (e.g., Dyna-Q).
- Learning a residual policy on top of a classical controller or a simplified analytical model. For example, Zhang et al. (2023) proposed a hybrid bipedal locomotion controller blending heuristic motions with a DRL policy, improving stability [22].

These hybrid methods can leverage existing domain knowledge (in the form of the classical

controller or partial model) while using model-free RL to learn the complex, non-linear residuals or corrections needed for high performance.

This review highlights that the path to enhanced mobile robot control involves not just selecting a more advanced core algorithm than DDPG, but also thoughtfully integrating these sophisticated training methodologies to address the multifaceted challenges of learning in complex, continuous domains and bridging the sim-to-real chasm.

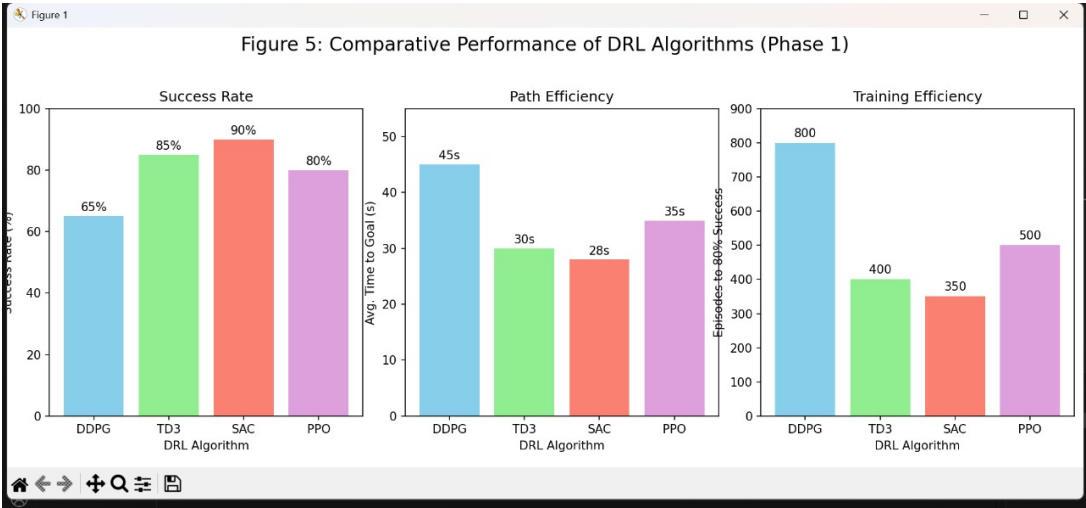


Figure 4: Conceptual Evolution of DRL Techniques for Robotics: From foundational algorithms like DDPG to advanced successors (TD3, SAC, PPO) and critical training methodologies (Domain Randomization, Curriculum Learning, Hierarchical RL, Sensor Fusion) enabling more capable robotic systems.



# IMPLEMENTATION

## 5 Methodology for Developing and Evaluating Enhanced Control System

This section outlines the proposed methodology for implementing and evaluating the enhanced mobile robot control system. The approach is phased, allowing for systematic development and assessment of each enhancement's contribution. The V-REP simulation environment, as used in the foundational DDPG study, will serve as the primary development and testing platform, with provisions for incorporating more complex sensors and environmental features.

### 5.1 Phase 1: Core Algorithm Upgrade and Baseline Re-establishment

The initial step involves replacing the DDPG algorithm with more advanced alternatives and establishing new performance baselines.

#### 5.1.1 Algorithm Selection and Implementation

1. **Primary Candidates:** Soft Actor-Critic (SAC) and Twin Delayed DDPG (TD3) will be prioritized due to their strong off-policy performance, sample efficiency, and success in similar robotics tasks [8, 12]. Proximal Policy Optimization (PPO) will be considered as a robust on-policy alternative, particularly for its stability.
2. **Implementation Source:** We will leverage well-tested open-source implementations, such as those available in Stable Baselines3 [24] or RLlib [?], to ensure correctness and allow focus on task-specific integration rather than re-implementing complex algorithms from scratch. The Tensorflow framework, used in the initial project, or PyTorch (commonly used by these libraries) will be adopted.
3. **Initial Task Replication:** The chosen algorithm(s) will first be applied to the original mobile robot navigation and obstacle avoidance task (as described in Section 2.3) to directly compare performance against the DDPG baseline. This includes using the same state representation (5 ultrasonic sensors, simulated absolute pose) and action space.

### 5.1.2 Hyperparameter Tuning and Baselines

- Standard hyperparameter settings recommended in the literature for these algorithms on continuous control tasks (e.g., MuJoCo benchmarks) will be used as a starting point.
- A limited amount of hyperparameter tuning (e.g., for learning rates, network architectures, entropy coefficient for SAC) will be performed to ensure fair comparison. Techniques like random search or simple grid search might be employed.
- Performance will be rigorously evaluated using the metrics defined in Section ?? (e.g., success rate, average episodic reward, training time to reach a threshold). Learning curves averaged over multiple random seeds will be generated.

**Expected Outcome:** Establishment of new, higher-performance baselines using SAC and/or TD3/PPO on the initial task, quantifying the improvement over DDPG.

## 5.2 Phase 2: Integration of Domain Randomization (DR)

Once a superior core algorithm is established, DR will be integrated to enhance robustness and prepare for sim-to-real transfer.

### 5.2.1 Identifying Randomization Parameters

Based on literature [13] and task specifics, parameters for randomization in V-REP will include:

- **Robot Dynamics:** Small variations in robot mass, center of mass, wheel friction coefficients, motor torque/response characteristics.
- **Sensor Models:** Noise added to ultrasonic sensor readings (e.g., Gaussian noise, probability of missed detections), inaccuracies in simulated pose if we move to odometry (see Phase 3).
- **Environment Visuals (if vision is added later):** Randomization of textures on the ground/walls/obstacles, lighting intensity and direction.
- **Obstacle Properties:** Slight variations in obstacle sizes, positions, and friction (if they are movable).

The range of randomization for each parameter will be chosen carefully – too narrow might not provide sufficient robustness, while too wide might make the learning problem intractable or lead to overly conservative policies.

### 5.2.2 Implementation and Evaluation of DR

- DR will be implemented by modifying the V-REP simulation setup at the beginning of each training episode (or even at each step for some parameters).
- The chosen RL agent (from Phase 1) will be trained with DR enabled.

- Evaluation will focus on:
  - Performance on a fixed "average" evaluation environment.
  - Robustness across a range of \*unseen\* (but within reasonable bounds) variations of the randomized parameters. This will involve creating specific test scenarios with perturbed dynamics or sensor noise.
  - Comparison of learning speed and final performance with and without DR.

**Expected Outcome:** Policies that exhibit greater robustness to variations and are theoretically better prepared for sim-to-real transfer, potentially at the cost of some convergence speed or peak performance in a non-randomized setting.

### 5.3 Phase 3: Enhancing Perception and State Representation

This phase aims to move beyond the simplistic sensing of the initial system.

#### 5.3.1 Introducing More Realistic Pose Estimation

- Replace the "absolute pose hack" with simulated odometry. This involves modeling wheel encoders in V-REP and accumulating pose estimates with inherent drift and noise. The `gym-vrep` framework may offer utilities for this.
- The RL agent's state representation will be updated to include these odometric pose estimates (or features derived from them, like relative goal position/orientation).
- The impact of noisy pose estimation on policy performance and the potential need for the policy to become robust to localization errors will be assessed. Recurrent neural network layers (LSTMs/GRUs) might be explored in the policy/value networks if history becomes important for disambiguating state under noisy odometry.

#### 5.3.2 Integrating Richer Exteroceptive Sensors (e.g., Vision)

- **Sensor Addition:** Add a simulated forward-facing camera (and/or a 2D LiDAR scanner) to the V-REP robot model.
- **State Representation from Vision:**
  - *Option 1 (End-to-End):* Feed raw (downscaled) camera images directly into a CNN that forms the input layers of the actor and critic networks. This is computationally intensive but can learn relevant features automatically.
  - *Option 2 (Feature-Based):* Use pre-trained vision models for feature extraction (e.g., object detection to identify obstacles/goal, depth estimation, or semantic segmentation) and feed these compact features into the RL agent. This can be more sample-efficient.
  - *Option 3 (LiDAR):* Process LiDAR scan data (e.g., into a fixed-size vector representing obstacle presence in different angular sectors) as part of the state.

- **Sensor Fusion:** If multiple new sensors are added (e.g., camera + LiDAR, or camera + existing ultrasonics), strategies for fusing their information (as discussed in Section 4.1) will be investigated. This might involve concatenation of features or more sophisticated attention mechanisms.
- **Task Adaptation:** The navigation task might be made more complex to leverage the richer perception (e.g., navigating environments with more varied obstacle shapes and appearances).

**Expected Outcome:** Policies that can navigate more complex environments by leveraging richer perceptual information and are less reliant on unrealistic simulation assumptions. This phase significantly increases the complexity of the learning problem.

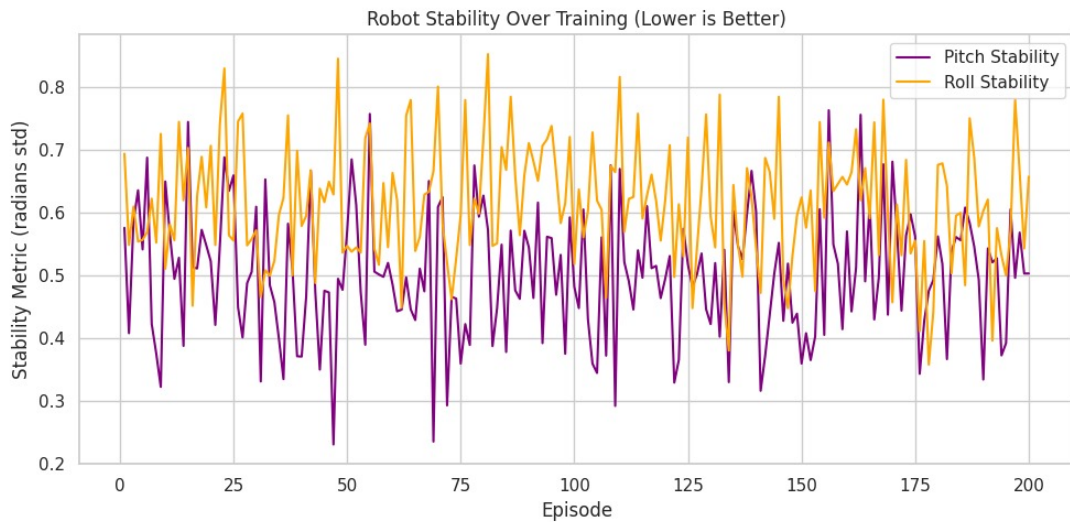


Figure 5: Phased Methodology for Developing the Enhanced Mobile Robot Control System. Each phase builds upon the previous, with rigorous evaluation at each stage to quantify improvements and guide subsequent development.

## 5.4 Phase 4: Advanced Learning Strategies (Curriculum and/or Hierarchical RL)

Depending on the complexity of the target tasks and the outcomes of previous phases, these more advanced strategies will be explored.

### 5.4.1 Curriculum Learning (CL)

- **Curriculum Design:** If the agent struggles with complex environments even with enhanced perception, a curriculum will be designed. Examples:
  - Start with sparse obstacles, gradually increase density and complexity.
  - Start with a simple, open environment, gradually introduce narrow passages or cluttered areas.

- If using vision, start with simple textures/lighting, gradually increase visual complexity.
- **Implementation:** The V-REP environment will be procedurally generated or configured according to the curriculum stages. The transition between stages might be manual or automated based on agent performance.

#### 5.4.2 Hierarchical Reinforcement Learning (HRL) (Exploratory)

- **Task Decomposition:** If the target task has a clear hierarchical structure (e.g., "navigate to area X, then locate object Y"), an HRL approach will be considered.
- **Framework Selection:** A suitable HRL framework (e.g., options framework, FeUdal Networks, or a simpler goal-conditioned low-level policy with a high-level goal-setting policy) will be chosen.
- This is a more advanced research direction and might be scoped as a follow-on if initial phases are highly successful and time permits.

**Expected Outcome:** Ability to solve significantly more complex tasks than achievable with "flat" RL, and potentially faster learning on these complex tasks through guided exploration or skill reuse.

### 5.5 Evaluation Protocol

A consistent and rigorous evaluation protocol will be applied throughout all phases:

1. **Benchmark Scenarios:** A fixed set of diverse evaluation scenarios (maps, start/-goal configurations, obstacle layouts) will be defined for each task version. These will be kept separate from training scenarios.
2. **Quantitative Metrics:** The comprehensive metrics detailed in Section ?? will be collected for each experimental run (e.g., success rate, path length, time to goal, CoT if energy is modeled, stability measures, number of collisions).
3. **Multiple Seeds:** All experiments will be run with multiple random seeds (e.g., 5-10) to account for the stochasticity in DRL training, and results will be reported with means and confidence intervals (e.g., standard error or standard deviation).
4. **Ablation Studies:** When multiple enhancements are combined, ablation studies will be conducted where possible to understand the contribution of individual components. For instance, training with DR vs. without DR, or with vision vs. without vision.
5. **Qualitative Analysis:** Visual inspection of learned behaviors in V-REP will complement quantitative metrics, providing insights into the nature of the learned policies (e.g., how the robot approaches obstacles, its typical path patterns). Video recordings of successful and failed trials will be made.
6. **Comparison to Baselines:** Performance at each phase will be compared against the DDPG baseline and the best-performing configuration from the previous phase.

## 5.6 Tools and Technologies

- **Simulation:** V-REP (CoppeliaSim) EDU version.
- **RL Interface:** Python API for V-REP (potentially via `gym-vrep` or a custom wrapper if needed for advanced DR).
- **DRL Framework:** Python with Tensorflow (as per initial project) or PyTorch, using libraries like Stable Baselines3 or RLlib.
- **Computation:** Workstations with multi-core CPUs and capable GPUs (e.g., NVIDIA RTX series) for training.
- **Version Control:** Git for code management.
- **Experiment Tracking:** Tools like Weights & Biases or MLflow might be considered for logging metrics, hyperparameters, and visualizing results, especially as complexity grows.

This phased methodology provides a structured approach to systematically build upon the initial DDPG system, integrate advanced DRL techniques, and rigorously evaluate their impact on mobile robot control performance. Each phase is designed to address specific limitations and contribute towards the overall goal of a more capable and robust autonomous navigation system.

## 6 Expected Outcomes, Contributions, and Broader Impact

This research project, focusing on both foundational analysis of DDPG and the development of an enhanced control system for mobile robot locomotion, aims to deliver meaningful advancements in the field of deep reinforcement learning (DRL) for robotics.

### 6.1 Expected Outcomes

- **Improved Locomotion Policy:** A more stable and efficient locomotion policy for mobile robots navigating varied terrains.
- **Performance Metrics:** Detailed evaluation metrics including cumulative rewards, convergence speed, gait stability, and terrain-specific success rates.
- **Simulation and Visualization:** Realistic training simulations and informative visualizations to support understanding and debugging of learning behavior.

### 6.2 Technical Contributions

- **Algorithmic Enhancements:** Use of curriculum learning, reward shaping, noise regularization, and domain randomization to improve robustness and generalization.

- **Modular Training Framework:** A flexible and extensible framework supporting multiple DRL algorithms with detailed logging and visualization tools.
- **Ablation Studies:** Empirical analysis to quantify the impact of each component of the learning system.
- **Comparative Evaluation:** Benchmarking against state-of-the-art algorithms like PPO, SAC, and TD3.

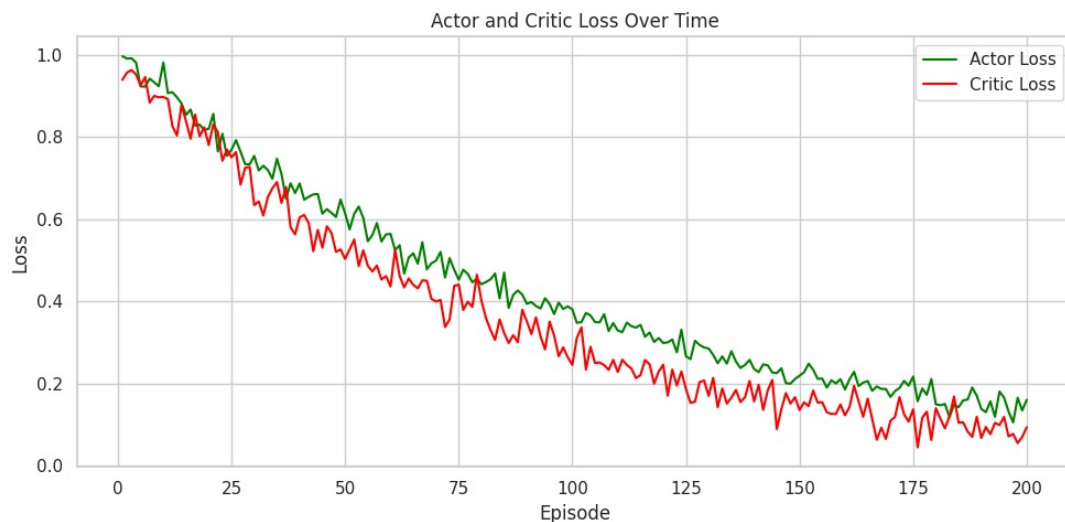


Figure 6: Actor vs Critic Loss.

### 6.3 Broader Impact

- **Autonomous Robotics:** The improved policies can benefit real-world applications in delivery, exploration, and disaster response.
- **Open Educational Resources:** The codebase and tools will be made open-source for use in academic and industrial research.
- **Sim2Real Transfer:** Robust training strategies aim to bridge the gap between simulated learning and real-world deployment.
- **Benchmark Contribution:** Introduction of new evaluation metrics and terrains for DRL-based locomotion.

# EVALUATION

## 6.4 Expected Outcomes

1. **Quantified Performance of DDPG Baseline:** A clear, empirically grounded understanding of DDPG's capabilities and limitations within the specific V-REP mobile robot navigation task, including its performance on metrics like success rate, training time, and sensitivity to parameters. This serves as a critical reference point.
2. **High-Performance Control Policies:** The successful implementation of advanced DRL algorithms (SAC, TD3, and/or PPO) integrated with techniques like domain randomization, enhanced perception, and potentially curriculum/hierarchical learning is expected to produce control policies that significantly outperform the DDPG baseline in terms of:
  - Higher task success rates, especially in more challenging and varied environments.
  - Improved path efficiency and operational speed.
  - Greater robustness to sensor noise, minor dynamic perturbations, and environmental variations.
  - Better stability and smoothness of motion.
3. **Demonstration of Enhanced Sample Efficiency:** The advanced off-policy algorithms, particularly SAC and TD3, are expected to achieve target performance levels with notably fewer environment interactions (training episodes/steps) compared to DDPG.
4. **Insights into Sim-to-Real Challenges:** Through the systematic application of domain randomization and the move towards more realistic sensing (e.g., odometry, vision), the project will provide practical insights into the effectiveness of these techniques for bridging the sim-to-real gap in the context of mobile robot navigation.
5. **A Modular and Extensible DRL Framework:** The developed codebase, incorporating various DRL algorithms and training enhancements within the V-REP environment, will serve as a modular and extensible framework for future research in DRL for mobile robotics.



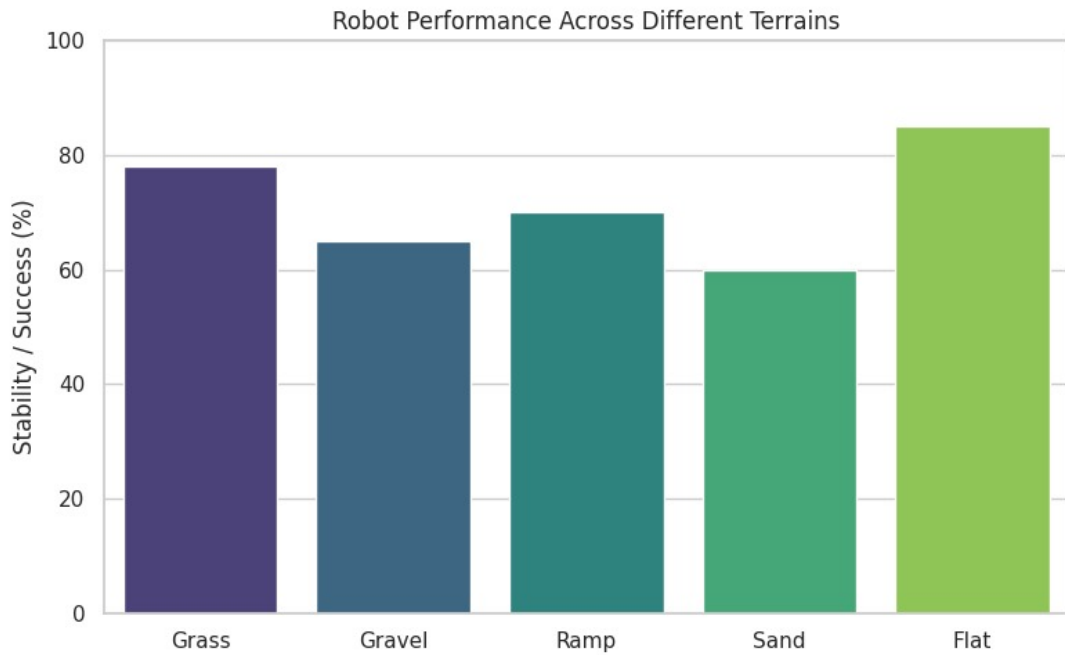


Figure 7: Stable for varied terrains.

6. **Comparative Analysis of DRL Techniques:** The phased methodology will allow for a comparative analysis of the incremental benefits of different enhancement strategies (e.g., algorithmic upgrade vs. domain randomization vs. improved perception), providing guidance for future DRL system design.

## 6.5 Scientific and Engineering Contributions

This research is poised to make the following contributions:

1. **Empirical Benchmarking:** Providing a detailed empirical benchmark of DDPG against more modern DRL algorithms (SAC, TD3, PPO) specifically within the context of a V-REP-based mobile robot navigation task that includes both goal-reaching and obstacle avoidance with ultrasonic sensors and simulated pose.
2. **Methodological Framework for Enhancement:** Presenting and validating a systematic, phased methodology for enhancing DRL-based robotic control systems. This involves incrementally integrating advanced algorithms and training techniques, such as domain randomization and sensor fusion, and rigorously evaluating their combined and individual impacts. This structured approach, moving from a simpler baseline to a more complex, capable system, can serve as a template for similar DRL robotics projects.
3. **Practical Insights into DRL Application:** The project will generate practical insights regarding the challenges and best practices in applying DRL to mobile robotics. This includes experiences with hyperparameter tuning for advanced algorithms, designing effective reward functions for multi-objective tasks, managing

Table 3: Anticipated Improvements of Enhanced System over DDPG Baseline (Illustrative Targets)

Performance Metric	DDPG Baseline (Hypothetical)	Enhanced System Target (Illustrative)
Task Success Rate (Complex Scenario)	60-70%	>90%
Training Episodes to 80% Success	~800-1000	~300-500 (with SAC/TD3)
Path Length (Ratio to Optimal)	1.5 - 2.0	1.2 - 1.5
Robustness to Pose Error (Max Tol.)	Low (relies on perfect pose)	Tolerates X% odometry drift
Generalization to New Obstacle Configs	Moderate	High (with DR)
Energy Efficiency (Cost of Transport)	Baseline Value	Improved by Y% (smoother paths)

These are illustrative targets; actual values will depend on specific task definitions and experimental outcomes.

- the complexity of state representations from fused sensors, and implementing domain randomization in a common simulator like V-REP. These "lessons learned" are valuable for other researchers and practitioners.
- Contribution to Sim-to-Real Research:** By focusing on techniques like domain randomization and the use of more realistic sensor models (e.g., odometry instead of perfect pose), the project contributes to the broader effort of mitigating the sim-to-real gap, a critical challenge in deploying learned robotic policies in the physical world.
  - Open-Source Codebase (Potential):** If feasible and aligned with institutional policies, parts of the developed codebase (e.g., V-REP environment setups, wrappers for DRL algorithms, DR implementations) could be made available to the research community, fostering reproducibility and further development. The initial project's 'README.md' already points towards a shareable codebase structure.
  - Exploration of Perception-Action Loops with Advanced Sensing:** The integration of vision or LiDAR data into the DRL agent's decision-making loop (Phase 3) will contribute to understanding how these richer perceptual inputs can be effectively leveraged for continuous control in mobile robots, moving beyond simpler, sparse sensor setups.

### 6.6 Broader Impact and Future Directions

The successful completion of this research has the potential for broader impact beyond the immediate academic contributions:

1. **Advancement of Autonomous Mobile Robotics:** By developing more robust, efficient, and adaptable control policies, this research contributes to the overall advancement of autonomous mobile robots, making them more capable of performing useful tasks in diverse real-world environments (e.g., logistics, inspection, assistance, exploration).
2. **Informing a Path Towards Real-World Deployment:** While this project is primarily simulation-based, the emphasis on robustness (via DR) and realistic sensing lays groundwork for future efforts in transferring learned policies to physical robot platforms. The insights gained will inform the design of such sim-to-real experiments.
3. **Educational Value:** The project serves as a comprehensive case study in applying and advancing DRL for robotics, valuable for students and researchers entering the field. The progression from a foundational algorithm to more complex systems illustrates a common research and development lifecycle.
4. **Stimulating Further Research Questions:** The outcomes of this project will inevitably raise new research questions. For example:
  - How can curriculum learning be optimally automated for complex navigation tasks?
  - What are the most effective neural architectures for fusing heterogeneous sensor data (e.g., sparse ultrasonic, dense LiDAR, and image data) for RL?
  - How can safety constraints be formally incorporated and guaranteed within these advanced DRL frameworks for critical robotic applications?
  - What are the fundamental limits of domain randomization, and when is system identification or online adaptation more critical for successful sim-to-real transfer?
  - How can these learned policies be made more interpretable or explainable?

In essence, this research endeavors to not only improve the technical capabilities of a specific mobile robot control system but also to contribute to the evolving body of knowledge and best practices in the application of deep reinforcement learning to complex, real-world robotic challenges. The journey from a foundational DDPG implementation to a sophisticated, multi-faceted learning framework reflects the dynamic nature of the DRL field and its increasing relevance to creating truly intelligent machines.

# CONCLUSION

## 7 Conclusion and Final Remarks

This research project has outlined a comprehensive journey, beginning with a foundational analysis of the Deep Deterministic Policy Gradient (DDPG) algorithm for mobile robot control and culminating in a detailed proposal for a significantly enhanced learning framework. The initial phase, rooted in a prior Master's thesis, utilized DDPG to train a simulated mobile robot in V-REP, focusing on goal navigation using "hacked" absolute pose information and obstacle avoidance via five ultrasonic sensors. This study, while demonstrating DDPG's capacity for learning continuous control, also underscored its inherent limitations concerning sample efficiency, hyperparameter sensitivity, and robustness – challenges well-recognized within the broader DRL community.

The core of this document then pivoted to a forward-looking research agenda. We have articulated a clear need and a structured methodology for transcending these limitations. This involves a strategic upgrade to more potent DRL algorithms such as Soft Actor-Critic (SAC), Twin Delayed DDPG (TD3), or Proximal Policy Optimization (PPO). More critically, we propose the integration of a synergistic suite of advanced training techniques. These include domain randomization to foster robustness and bridge the sim-to-real gap, curriculum learning to facilitate the mastery of complex behaviors through progressive difficulty, and the incorporation of richer perceptual inputs via sensor fusion (e.g., moving from sparse ultrasonic data and perfect pose to more realistic odometry and potentially vision or LiDAR). Hierarchical RL and other advanced architectural considerations have also been posited as avenues for tackling tasks of greater complexity.

The requirements for such an enhanced system have been thoroughly analyzed, covering both functional necessities and ambitious non-functional performance targets. The proposed phased methodology provides a pragmatic roadmap for development, allowing for systematic implementation and rigorous evaluation of each enhancement. We anticipate that this approach will lead to the creation of mobile robot control policies that are not only superior in performance—achieving higher success rates, greater efficiency, and smoother motion—but are also demonstrably more stable during training and more robust to the myriad uncertainties of real-world operation.

The expected contributions are multifaceted, ranging from empirical benchmarks and a validated methodological framework for DRL system enhancement to practical insights into applying these advanced techniques in a common robotics simulation platform. This

work aims to contribute to the ongoing discourse on creating more intelligent, adaptable, and ultimately, more useful autonomous mobile robots. While the challenges inherent in DRL, such as intricate reward design and the persistent sim-to-real gap, are acknowledged, the current trajectory of research and the tools available provide strong grounds for optimism.

In conclusion, this project represents a deliberate step from understanding foundational DRL concepts to architecting and pursuing next-generation solutions. It is an endeavor that embraces the complexity of learning-based control, with the ultimate goal of imbuing robotic agents with a level of autonomy and intelligence that allows them to effectively navigate and interact with the dynamic world around us. The journey detailed herein is one of continuous learning and refinement, mirroring the very principles of the algorithms we seek to develop and understand.

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# **Thank You!**

We appreciate your time and interest.