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# 1 Topological Spaces and Continuous Maps

## 1.1 Elementary Topology

Given an inner product on an  $\mathbb{R}$ -vector space  $\langle \cdot, \cdot \rangle$ , one can define a norm  $\|x\| = \sqrt{\langle x, x \rangle}$ . Given a norm, one can define a metric  $d(x, y) = \|x - y\|$ . Given a metric  $d$  on a set  $X$ , one can define open sets in  $X$ :

given  $a \in X$  and  $r > 0$ ,  $B(a, r) := \{x \in X \mid d(x, a) < r\}$ . Then for  $A \subseteq X$ , we say  $A$  is open in  $X$  when  $\forall a \in A \exists r > 0$  such that  $B(a, r) \subseteq A$ . Equivalently, for all  $a \in A$ , there is  $b \in X$ ,  $r > 0$  such that  $a \in B(b, r) \subseteq A$ .

### Remark

The set of open sets on a metric space is called the *metric topology* on  $X$ .

Open sets in a metric space satisfy the following:

1.  $\emptyset$  and  $X$  are open
2. arbitrary unions of open sets are open
3. finite intersections of open sets are open

### Notation

For a set of sets  $S$ , the union of  $S$  is

$$\bigcup S := \{x \mid \exists A \in S, x \in A\} = \bigcup_{A \in S} A$$

In the case that  $S \neq \emptyset$ , the intersection of  $S$  is

$$\bigcap S := \{x \mid \forall A \in S, x \in A\} = \bigcap_{A \in S} A$$

### Note

$\bigcap S$  would contain all elements as the condition  $\forall A \in \emptyset$  would be vacuously satisfied. If we are given a universal set  $X$ , and  $S$  is known to be a set of subsets of  $X$ , then  $\bigcap \emptyset = X$ .

### Definition 1.1.1

Let  $X$  be a set.  $\mathcal{T} \subseteq \mathcal{P}(X)$  is called a *topology* on  $X$  if

1.  $\emptyset, X \in \mathcal{T}$
2. If  $S \subseteq \mathcal{T}$  is nonempty, then  $\bigcup S \in \mathcal{T}$
3. If  $S \subseteq \mathcal{T}$  is nonempty and finite, then  $\bigcap S \in \mathcal{T}$

The elements of  $\mathcal{T}$  are called the open sets of  $X$ . The closed sets are the compliments of the open sets.

**Remark**

To show 3 holds, it suffices to show the intersection of 2 open sets is open (by induction)

**Definition 1.1.2**

If  $X$  is a set, and  $\mathcal{T}$  is a topology on  $X$ , then  $(X, \mathcal{T})$  is called a *topological space*

**Remark**

When  $f : X \rightarrow Y$  is a map between metric spaces,  $f$  is continuous iff  $f^{-1}(V)$  is open in  $X$  for every open set  $V \subseteq Y$ .

**Definition 1.1.3**

For a map  $f : X \rightarrow Y$  between topological spaces, we say that  $f$  is continuous when  $f^{-1}(V)$  is open in  $X$  for every open set  $V \subseteq Y$ .

**Example 1.1.1**

if  $f : A \subseteq \mathbb{R}^n \rightarrow B \subseteq \mathbb{R}^m$  is an elementary function, then  $f$  is continuous.

**Definition 1.1.4**

When  $S, T$  are topologies on  $X$  with  $S \subseteq T$ , we say that  $S$  is coarser than  $T$  and  $T$  is finer than  $S$ . When  $S \subsetneq T$ , we use strictly coarser/finer.

**Example 1.1.2**

$\{\emptyset, X\}$  is a topology on  $X$  called the *trivial topology*

**Example 1.1.3**

$\mathcal{P}(X)$  is a topology on  $X$  called the *discrete topology*

**Example 1.1.4**

When  $X = \emptyset$ ,  $\mathcal{T} \subseteq \mathcal{P}(X) \Rightarrow \mathcal{T} \subseteq \{\emptyset\} \Rightarrow \mathcal{T} = \emptyset \vee \mathcal{T} = \{\emptyset\}$ . Thus the only topology on  $\emptyset$  is  $\{\emptyset\}$ .

**Example 1.1.5**

When  $X = \{a\}$  the only topology is  $\mathcal{T} = \{\emptyset, \{a\}\}$

**Exercise 1.1.1**

Find all topologies on the 2 and 3 element sets.

**Definition 1.1.5**

Let  $X$  be a topological space. Let  $A \subseteq X$ .

1. The *interior* of  $A$  (in  $X$ ) denoted by  $A^\circ$  is the union of all open sets in  $X$  which are contained in  $A$ .
2. The *closure* of  $A$  denoted  $\overline{A}$  is the intersection of all closed sets in  $X$  which contain  $A$ .
3. The *boundary* of  $A$ , denoted by  $\partial A$ , given by  $\partial A = \overline{A} \setminus A^\circ$

**Note**

The set of closed sets in a topological space is closed under arbitrary intersections and under finite unions. In particular  $\emptyset, X$  are closed

**Theorem 1.1.1**

Let  $X$  be a topological space,  $A \subseteq X$ .

1.  $A^\circ$  is open, and is the largest open set which is contained in  $A$
2.  $\overline{A}$  is closed, and is the smallest closed set which contains  $A$
3.  $A$  is open iff  $A = A^\circ$
4.  $A$  is closed iff  $A = \overline{A}$
5.  $A^{\circ\circ} = A^\circ$
6.  $\overline{\overline{A}} = \overline{A}$

**Definition 1.1.6**

Let  $X$  be a topological space, let  $A \subseteq X$ , let  $a \in X$ .

1. We say that  $a$  is an *interior point* of  $A$  when  $a \in A$  and there is an open set  $U$  such that  $a \in U \subseteq A$
2. We say that  $a$  is a *limit point* of  $A$  when for every open set  $U \ni a$  we have  $U \cap (A \setminus \{a\}) \neq \emptyset$ . The set of limit points of  $A$  is denoted by  $A'$
3. We say that  $a$  is a *boundary point* of  $A$  when every open set  $U \ni a$ , we have  $U \cap A \neq \emptyset$  and  $U \cap A^c \neq \emptyset$

**Theorem 1.1.2**

Let  $X$  be a topological space and let  $A \subseteq X$ .

1.  $A^\circ$  is equal to the set of all interior points
2. For  $a \in X$ ,

$$a \in A' \iff a \in \overline{A \setminus \{a\}}$$

3.  $A$  is closed iff  $A' \subseteq A$
4.  $\overline{A} = A \cup A'$
5.  $\overline{A}$  is the disjoint union

$$\overline{A} = A^\circ \sqcup \partial A$$

6.  $\partial A$  is equal to the set of boundary points of  $A$

**1.2 Topological Bases****Theorem 1.2.1**

Let  $X$  be a set. Then the intersection of any set of topologies on  $X$  is also a topology on  $X$ .

**Proof:** Let  $\{\mathcal{T}_\alpha\}_{\alpha \in I}$  be a collection of topologies on  $X$ . Let  $\mathcal{T} = \cap_\alpha \mathcal{T}_\alpha$

1. Since  $X, \emptyset \in \mathcal{T}_\alpha$  for all  $\alpha \in I$ . We have  $X, \emptyset \in \mathcal{T}$
2. Let  $\{U_i\} \subseteq \mathcal{T}$ . For all  $\alpha \in I$ , we have each  $U_i \in \mathcal{T}_\alpha$ . Thus  $\cup_i U_i \in \mathcal{T}_\alpha \implies \cup_i U_i \in \mathcal{T}$  as desired.
3. Let  $U_1, \dots, U_n \in \mathcal{T}$ . Then again for all  $\alpha \in I$ , we have each  $U_i \in \mathcal{T}_\alpha$ . Thus  $\cap_{i=1}^n U_i \in \mathcal{T}_\alpha \implies \cap_{i=1}^n U_i \in \mathcal{T}$

□

**Corollary 1.2.2**

When  $X$  is a set and  $\mathcal{S}$  is any set of subsets of  $X$  (that is  $\mathcal{S} \subseteq \mathcal{P}(X)$ ), there is a unique smallest (coarsest) topology  $\mathcal{T}$  on  $X$  which contains  $\mathcal{S}$ . Indeed  $\mathcal{T}$  is the intersection of (the set of) all topologies on  $X$  containing  $\mathcal{S}$ .

This topology  $\mathcal{T}$  is called the topology on  $X$  *generated by*  $\mathcal{S}$

**Definition 1.2.1**

Let  $X$  be a set. A *basis of sets* on  $X$  is a set  $\mathcal{B}$  of subsets of  $X$  (So  $\mathcal{B} \subseteq \mathcal{P}(X)$ ) such that

1.  $\mathcal{B}$  covers  $X$ , that is  $\bigcup \mathcal{B} = X$
2. For every  $C, D \in \mathcal{B}$  and  $a \in C \cap D$ . There is  $B \in \mathcal{B}$  such that  $a \in B \subseteq C \cap D$ .

When  $\mathcal{B}$  is a basis of sets in  $X$  and  $\mathcal{T}$  is the topology on  $X$  generated by  $\mathcal{B}$ , we say that  $\mathcal{B}$  is a *basis for*  $\mathcal{T}$ . The elements in  $\mathcal{B}$  are called *basic open sets* in  $X$ .

**Theorem 1.2.3****Characterization of Open Sets in Terms of Basic Open Sets**

Let  $X$  be a topological space, Let  $\mathcal{B}$  be a basis for the topology on  $X$ .

1. For  $A \subseteq X$ ,  $A$  is open iff for every  $a \in A$ , there is  $B \in \mathcal{B}$  such that  $a \in B \subseteq A$  \*
2. The open sets in  $X$  are the unions of (sets of) elements in  $\mathcal{B}$

Equivalently,

1.  $\mathcal{T} = \{A \subseteq X \mid \forall a \in A, \exists B \in \mathcal{B} \ a \in B \subseteq A\}$
2.  $\mathcal{T} = \{\bigcup C \mid C \subseteq \mathcal{B}\}$

**Proof:** Let  $\mathcal{T}$  be the topology on  $X$  (generated by  $\mathcal{B}$ ). Let  $\mathcal{S}$  be the set of all sets  $A \subseteq X$  with property \* ( $\forall a \in A \exists B \in \mathcal{B} : a \in B \subseteq A$ ). And let  $\mathcal{R}$  be the set of (arbitrary) unions of (sets of) elements in  $\mathcal{B}$ . Recall that  $\mathcal{T}$  is the intersection of the set of all topologies on  $X$  which contain  $\mathcal{B}$ . Note that  $\mathcal{S}$  contains  $\mathcal{B}$  (obviously). Let us show that  $\mathcal{S}$  is a topology on  $X$ . We have  $\emptyset \in \mathcal{S}$  vacuously and  $X \in \mathcal{S}$  because  $\mathcal{B}$  covers  $X$  (given  $a \in X$ , we can choose  $B \in \mathcal{B}$  with  $a \in B$ ). When  $U_k \in \mathcal{S}$  for every  $k \in K$  (where  $K$  is any index set). Let  $a \in \bigcup_k U_k$ . Choose  $\ell \in K$  so that  $a \in U_\ell$ . Since  $U_\ell \in \mathcal{S}$ , we can choose  $B \in \mathcal{B}$  so that  $a \in B \subseteq U_\ell$ . Since  $U_\ell \subseteq \bigcup_k U_k$ , we have  $a \in B \subseteq \bigcup_k U_k$ . Thus  $\bigcup_k U_k$  satisfies \*, hence  $\bigcup_k U_k \in \mathcal{S}$  as required. Suppose  $U, V \in \mathcal{S}$ . Let  $a \in U \cap V$ . Since  $U \in \mathcal{S}$  we can choose  $C \in \mathcal{B}$  with  $a \in C \subseteq U$ . Since  $V \in \mathcal{S}$ , we can choose  $D \in \mathcal{B}$  with  $a \in D \subseteq V$ . Since  $\mathcal{B}$  is a basis,  $C, D \in \mathcal{B}$  and  $a \in C \cap D$ , we can choose  $B \in \mathcal{B}$  with  $a \in B \subseteq C \cap D$ . Then we have

$$a \in B \subseteq C \cap D \subseteq U \cap V$$

Thus  $U \cap V$  satisfies \* so that  $U \cap V \in \mathcal{S}$  as required. Thus  $\mathcal{S}$  is a topology on  $X$  containing  $\mathcal{B}$ , hence  $\mathcal{T} \subseteq \mathcal{S}$ . Let us show that  $\mathcal{S} \subseteq \mathcal{R}$  let  $U \in \mathcal{S}$ . For each  $a \in U$ , choose  $B_a \in \mathcal{B}$  with  $a \in B_a \subseteq U$ . Then we have

$$U = \bigcup_{a \in U} B_a \in \mathcal{R}$$

Thus  $\mathcal{S} \subseteq \mathcal{R}$ . Finally note that  $\mathcal{R} \subseteq \mathcal{T}$  because if  $U = \bigcup_k B_k$  with  $B_k \in \mathcal{B}$ , then each  $B_k \in \mathcal{T}$ , and  $\mathcal{T}$  is a topology, so

$$U = \bigcup_{k \in K} B_k \in \mathcal{T}$$

□

**Theorem 1.2.4****Characterization of a Basis in terms of the Open Sets**

Let  $X$  be a topological space with topology  $\mathcal{T}$ . Let  $\mathcal{B} \subseteq \mathcal{T}$ . Then  $\mathcal{B}$  is a basis for  $\mathcal{T}$  iff  $\forall U \in \mathcal{T} \forall a \in U \exists B \in \mathcal{B} \ a \in B \subseteq U$ . \*

**Proof:** If  $\mathcal{B}$  is a basis for  $\mathcal{T}$ , then \* holds by part 1 of the previous theorem. Suppose \* holds. Let us show that  $\mathcal{B}$  is a basis of sets in  $X$ . Note that  $\mathcal{B}$  covers  $X$  since, taking  $U = X$  in \* we have  $\forall a \in X \exists B \in \mathcal{B} \ a \in B \subseteq X$ . Also note that given  $C, D \in \mathcal{B}$  and  $a \in C \cap D$ , then by taking  $U = C \cap D$  in \* (noting that  $C, D \in \mathcal{B} \subseteq \mathcal{T}$  so that  $U = C \cap D \in \mathcal{T}$ ) we can choose  $B \in \mathcal{B}$  with  $a \in B \subseteq C \cap D$ . Thus  $\mathcal{B}$  is a basis of sets in  $X$ . It remains to show that  $\mathcal{T}$  is the topology generated by  $\mathcal{B}$ . Let  $\mathcal{S}$  be the topology generated by  $\mathcal{B}$ . By part 1 of the previous theorem,  $\mathcal{S}$  is the set of all unions of

elements in  $\mathcal{B}$ . Also  $\mathcal{S}$  is the smallest topology which contains  $\mathcal{B}$ . Since  $\mathcal{B} \subseteq \mathcal{T}$  and  $\mathcal{T}$  is a topology, we have  $\mathcal{S} \subseteq \mathcal{T}$ . Also we have  $\mathcal{T} \subseteq \mathcal{S}$  because given  $U \in \mathcal{T}$ , by property \*, for each  $a \in U$ , we can choose  $B_a \in \mathcal{B}$  with  $a \in B_a \subseteq U$ , and then we have  $U = \bigcup_{a \in U} B_a \in \mathcal{S}$  since it is a union of elements in  $\mathcal{B}$   $\square$

### Example 1.2.1

When  $X$  is a metric space, the set  $\mathcal{B}$  of all open balls in  $X$  is a basis for the metric topology on  $X$ .

### Remark

We can use a basis for testing various topological properties:

When  $X$  is a topological space, and  $\mathcal{B}$  is a basis for the topology on  $X$ , and  $A \subseteq X$  and  $a \in X$ . Then

$$a \in A^\circ \iff \exists B \in \mathcal{B} \text{ with } a \in B \subseteq A$$

$$a \in \overline{A} \iff \forall B \in \mathcal{B} \text{ with } a \in B \quad B \cap A \neq \emptyset$$

$$a \in A' \iff \forall B \in \mathcal{B} \text{ with } a \in B \quad (B \setminus \{a\}) \cap A \neq \emptyset$$

$$a \in \partial A \iff \forall B \in \mathcal{B} \text{ with } a \in B \quad B \cap A \neq \emptyset \text{ and } B \cap (X \setminus A) \neq \emptyset$$

### Definition 1.2.2

A topological space  $X$  is called *Hausdorff* when for all  $a, b \in X$  with  $a \neq b$ , there exist disjoint open sets  $U$  and  $V$  in  $X$  with  $a \in U$  and  $b \in V$ .

### Example 1.2.2

Metric spaces are Hausdorff

### 1.3 Subspaces

#### Definition 1.3.1

#### Subspace Topology

Let  $Y$  be a topological space with topology  $\mathcal{S}$ , and  $X \subseteq Y$  be a subset. Let

$$\mathcal{T} := \{V \cap X \mid V \in \mathcal{S}\}$$

Then  $\mathcal{T}$  is a topology on  $X$ :

Indeed  $\emptyset \in \mathcal{S}$  so  $\emptyset \cap X = \emptyset \in \mathcal{T}$  and  $Y \in \mathcal{S}$  so  $Y \cap X = X \in \mathcal{T}$ . If  $K$  is any index set and  $U_k \in \mathcal{T}$  for each  $k \in K$ , then for each  $k \in K$  we can choose  $V_k \in \mathcal{S}$  such that  $U_k = V_k \cap X$  and then we have

$$\begin{aligned} \bigcup_{k \in K} U_k &= \bigcup_{k \in K} (V_k \cap X) \\ &= \left( \bigcup_{k \in K} V_k \right) \cap X \in \mathcal{T} \end{aligned}$$

since  $\bigcup_{k \in K} V_k \in \mathcal{S}$ . Similarly, when  $K$  is finite and  $U_k \in \mathcal{T}$  for each  $k \in K$  we have  $\bigcap_{k \in K} U_k \in \mathcal{T}$ . The topology  $\mathcal{T}$  on  $X$  is called the *subspace topology* on  $X$  (inherited from the topology on  $Y$ ).

#### Theorem 1.3.1

Let  $Y$  be a topological space, let  $\mathcal{C}$  be a basis for the topology on  $Y$ . Let  $X \subseteq Y$  be a subset. Then the set

$$\mathcal{B} = \{C \cap X \mid C \in \mathcal{C}\}$$

is a basis for the subspace topology on  $X$ .

**Proof:** Exercise □

#### Theorem 1.3.2

Let  $Z$  be a topological space, let  $Y \subseteq Z$  be a subspace and  $X \subseteq Y$  be a subset. Then the subspace topology on  $X$  inherited from  $Y$  is equal to the subspace topology on  $X$  inherited from  $Z$ .

**Proof:** Exercise □

#### Theorem 1.3.3

Let  $Y$  be a metric space, (using the metric topology) and let  $X \subseteq Y$ . Then the subspace topology on  $X$  (inherited from the topology on  $Y$ ) is equal to the metric topology on  $X$  using the metric on  $X$  obtained by restricting the metric on  $Y$ .

**Proof:** Exercise □