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# 1 Topological Spaces and Continuous Maps

# 1.1 Elementary Topology

Given an inner product on an  $\mathbb{R}$ -vector space  $\langle \cdot, \cdot \rangle$ , one can define a norm  $\|x\| = \sqrt{\langle x, x \rangle}$ . Given a norm, one can define a metric  $d(x,y) = \|x-y\|$ . Given a metric d on a set X, one can define open sets in X:

given  $a \in X$  and r > 0,  $B(a,r) := \{x \in X \mid d(x,a) < r\}$ . Then for  $A \subseteq X$ , we say A is open in X when  $\forall a \in A \exists r > 0$  such that  $B(a,r) \subseteq A$ . Equivalently, for all  $a \in A$ , there is  $b \in X$ , r > 0 such that  $a \in B(b,r) \subseteq A$ .

#### Remark

The set of open sets on a metric space is called the *metric topology* on X.

Open sets in a metric space satisfy the following:

- 1.  $\emptyset$  and X are open
- 2. arbitrary unions of open sets are open
- 3. finite intersections of open sets are open

### **Notation**

For a set of sets S, the union of S is

$$\bigcup S \coloneqq \{x \,|\, \exists A \in S, x \in A\} = \bigcup_{A \in S} A$$

In the case that  $S \neq \emptyset$ , the intersection of S is

$$\bigcap S \coloneqq \{x \,|\, \forall A \in S, x \in A\} = \bigcap_{A \in S} A$$

### Note

 $\bigcap S$  would contain all elements as the condition  $\forall A \in \emptyset$  would be vacuously satisfied. If we are given a universal set X, and S is known to be a set of subsets of X, then  $\bigcap \emptyset = X$ .

### **Definition 1.1.1**

Let *X* be a set.  $\mathcal{T} \subseteq \mathcal{P}(X)$  is called a *topology* on *X* if

- 1.  $\emptyset, X \in \mathcal{T}$
- 2. If  $S \subseteq \mathcal{T}$  is nonempty, then  $| | S \in \mathcal{T}$
- 3. If  $S \subseteq \mathcal{T}$  is nonempty and finite, then  $\bigcap S \in \mathcal{T}$

The elements of  $\mathcal{T}$  are called the open sets of X. The closed sets are the compliments of the open sets.

Elementary Topology

### Remark

To show 3 holds, it suffices to show the intersection of 2 open sets is open (by induction)

#### **Definition 1.1.2**

If X is a set, and  $\mathcal{T}$  is a topology on X, then  $(X,\mathcal{T})$  is called a *topological* space

#### Remark

When  $f: X \to Y$  is a map between metric spaces, f is continuous iff  $f^{-1}(V)$  is open in X for every open set  $V \subseteq Y$ .

#### **Definition 1.1.3**

For a map  $f: X \to Y$  between topological spaces, we say that f is continuous when  $f^{-1}(V)$  is open in X for every open set  $V \subseteq Y$ .

### Example 1.1.1

if  $f:A\subseteq\mathbb{R}^n\longrightarrow B\subseteq\mathbb{R}^m$  is an elementary function, then f is continuous.

#### **Definition 1.1.4**

When S, T are topologies on X with  $S \subseteq T$ , we say that S is coarser than T and T is finer than S. When  $S \subseteq T$ , we use strictly coarser/finer.

### Example 1.1.2

 $\{\emptyset, X\}$  is a topology on X called the *trivial topology* 

### Example 1.1.3

 $\mathcal{P}(X)$  is a topology on X called the *discrete topology* 

### Example 1.1.4

When  $X = \emptyset$ ,  $\mathcal{T} \subseteq \mathcal{P}(X) \Rightarrow \mathcal{T} \subseteq \{\emptyset\} \Rightarrow \mathcal{T} = \emptyset \lor \mathcal{T} = \{\emptyset\}$ . Thus the only topology on  $\emptyset$  is  $\{\emptyset\}$ .

### Example 1.1.5

When  $X = \{a\}$  the only topology is  $\mathcal{T} = \{\emptyset, \{a\}\}$ 

#### Exercise 1.1.1

Find all topologies on the 2 and 3 element sets.

### **Definition 1.1.5**

Let X be a topological space. Let  $A \subseteq X$ .

- 1. The *interior* of A (in X) denoted by  $A^{\circ}$  is the union of all open sets in X which are contained in A.
- 2. The *closure* of A denoted  $\overline{A}$  is the intersection of all closed sets in X which contain A.
- 3. The *boundary* of A, denoted by  $\partial A$ , given by  $\partial A = \overline{A} \setminus A^{\circ}$

#### Note

The set of closed sets in a topological space is closed under arbitrary intersections and under finite unions. In particular  $\emptyset$ , X are closed

### Theorem 1.1.1

Let X be a topological space,  $A \subseteq X$ .

- 1.  $A^{\circ}$  is open, and is the largest open set which is contained in A
- 2.  $\overline{A}$  is closed, and is the smallest closed set which contains A
- 3. A is open iff  $A = A^{\circ}$
- 4. A is closed iff  $A = \overline{A}$
- 5.  $\underline{A}^{\circ \circ} = A^{\circ}$
- 6.  $\overline{A} = \overline{A}$

#### **Definition 1.1.6**

Let X be a topological space, let  $A \subseteq X$ , let  $a \in X$ .

- 1. We say that a is an  $interior\ point$  of A when  $a\in A$  and there is an open set U such that  $a\in U\subseteq A$
- 2. We say that a is a *limit point* of A when for every open set  $U \ni a$  we have  $U \cap (A \setminus \{a\}) \neq \emptyset$ . The set of limit points of A is denoted by A'
- 3. We say that a is a boundary point of A when every open set  $U \ni a$ , we have  $U \cap A \neq \emptyset$  and  $U \cap A^c \neq \emptyset$

### Theorem 1.1.2

Let X be a topological space and let  $A \subseteq X$ .

- 1.  $A^{\circ}$  is equal to the set of all interior points
- 2. For  $a \in X$ ,

$$a \in A' \iff a \in \overline{A \setminus \{a\}}$$

- 3. A is closed iff  $A' \subseteq A$
- 4.  $\overline{A} = A \cup A'$
- 5.  $\overline{A}$  is the disjoint union

$$\overline{A} = A^{\circ} \sqcup \partial A$$

6.  $\partial A$  is equal to the set of boundary points of A

## 1.2 Topological Bases

#### Theorem 1.2.1

Let X be a set. Then the intersection of any set of topologies on X is also a topology on X.

**Proof:** Let  $\{\mathcal{T}_{\alpha}\}_{\alpha\in I}$  be a collection of topologies on X. Let  $\mathcal{T}=\bigcap_{\alpha}\mathcal{T}_{\alpha}$ 

- 1. Since  $X, \emptyset \in \mathcal{T}_{\alpha}$  for all  $\alpha \in I$ . We have  $X, \emptyset \in \mathcal{T}$
- 2. Let  $\{U_i\} \subseteq \mathcal{T}$ . For all  $\alpha \in I$ , we have each  $U_i \in \mathcal{T}_{\alpha}$ . Thus  $\bigcup_i U_i \in \mathcal{T}_{\alpha} \Longrightarrow \bigcup_i U_i \in \mathcal{T}$  as desired.

3. Let  $U_1,...,U_n\in\mathcal{T}$ . Then again for all  $\alpha\in I$ , we have each  $U_i\in\mathcal{T}_{\alpha}$ . Thus  $\bigcap_{i=1}^n U_i\in\mathcal{T}_{\alpha}\Longrightarrow\bigcap_{i=1}^n U_i\in\mathcal{T}$ 

### Corollary 1.2.2

When X is a set and  $\mathcal{S}$  is any set of subsets of X (that is  $S \subseteq \mathcal{P}(X)$ ), there is a unique smallest (coarsest) topology  $\mathcal{T}$  on X which contains  $\mathcal{S}$ . Indeed  $\mathcal{T}$  is the intersection of (the set of) all topologies on X containing  $\mathcal{S}$ .

This topology  $\mathcal{T}$  is called the topology on X generated by  $\mathcal{S}$ 

### **Definition 1.2.1**

Let X be a set. A *basis of sets* on X is a set  $\mathcal{B}$  of subsets of X (So  $\mathcal{B} \subseteq \mathcal{P}(X)$ ) such that

- 1.  $\mathcal{B}$  covers X, that is  $\bigcup \mathcal{B} = X$
- 2. For every  $C, D \in \mathcal{B}$  and  $a \in C \cap D$ . There is  $B \in \mathcal{B}$  such that  $a \in B \subseteq C \cap D$ .

When  $\mathcal{B}$  is a basis of sets in X and  $\mathcal{T}$  is the topology on X generated by  $\mathcal{B}$ , we say that  $\mathcal{B}$  is a basis for  $\mathcal{T}$ . The elements in  $\mathcal{B}$  are called basic open sets in X.

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### Theorem 1.2.3

### Characterization of Open Sets in Terms of Basic Open Sets

Let X be a topological space, Let  $\mathcal{B}$  be a basis for the topology on X.

- 1. For  $A \subseteq X$ , A is open iff for every  $a \in A$ , there is  $B \in \mathcal{B}$  such that  $a \in B \subseteq A^*$
- 2. The open sets in X are the unions of (sets of) elements in  $\mathcal{B}$

Equivalently,

- 1.  $\mathcal{T} = \{A \subseteq X \mid \forall a \in A, \exists B \in \mathcal{B} \ a \in B \subseteq A\}$
- 2.  $\mathcal{T} = \{ | C | C \subseteq \mathcal{B} \}$

**Proof:** Let  $\mathcal{T}$  be the topology on X (generated by  $\mathcal{B}$ ). Let  $\mathcal{S}$  be the set of all sets  $A \subseteq X$  with property  $(\forall a \in A \exists B \in \mathcal{B} : a \in B \subseteq A)$ . And let  $\mathcal{R}$  be the set of (arbitrary) unions of (sets of) elements in  $\mathcal{B}$ . Recall that  $\mathcal{T}$  is the intersection of the set of all topologies on X which contain  $\mathcal{B}$ . Note that  $\mathcal{S}$  contains  $\mathcal{B}$  (obviously). Let us show that  $\mathcal{S}$  is a topology on X. We have  $\emptyset \in \mathcal{S}$  vacuously and  $X \in \mathcal{S}$  because  $\mathcal{B}$  covers X (given  $a \in X$ , we can choose  $B \in \mathcal{B}$  with  $a \in B$ ). When  $U_k \in \mathcal{S}$  for every  $k \in K$  (where K is any index set). Let  $a \in \bigcup_k U_k$ . Choose  $\ell \in K$  so that  $a \in U_\ell$ . Since  $U_\ell \in \mathcal{S}$ , we can choose  $B \in \mathcal{B}$  so that  $a \in B \subseteq U_\ell$ . Since  $U_\ell \subseteq \bigcup_k U_k$ , we have  $a \in B \subseteq \bigcup_k U_k$ . Thus  $\bigcup_k U_k$  satisfies \*, hence  $\bigcup_k U_k \in \mathcal{S}$  as required. Suppose  $U, V \in \mathcal{S}$  Let  $a \in U \cap V$ . Since  $U \in \mathcal{S}$  we can choose  $C \in \mathcal{B}$  with  $C \in \mathcal{S}$  we can choose  $C \in \mathcal{B}$  with  $C \in \mathcal{S}$  we can choose  $C \in \mathcal{B}$  with  $C \in \mathcal{S}$  we can choose  $C \in \mathcal{B}$  with  $C \in \mathcal{S}$  we can choose  $C \in \mathcal{B}$  with  $C \in \mathcal{S}$  we can choose  $C \in \mathcal{B}$  with  $C \in \mathcal{S}$  we can choose  $C \in \mathcal{B}$  with  $C \in \mathcal{S}$  we can choose  $C \in \mathcal{B}$  with  $C \in \mathcal{S}$  we can choose  $C \in \mathcal{B}$  with  $C \in \mathcal{C}$  with  $C \in \mathcal{B}$  with  $C \in \mathcal{C}$  with  $C \in \mathcal{C$ 

$$a \in B \subseteq C \cap D \subseteq U \cap V$$

Thus  $U\cap V$  satisfies \* so that  $U\cap V\in\mathcal{S}$  as required. Thus  $\mathcal{S}$  is a topology on X containing  $\mathcal{B}$ , hence  $\mathcal{T}\subseteq\mathcal{S}$ . Let us show that  $\mathcal{S}\subseteq\mathcal{R}$  let  $U\in\mathcal{S}$ . For each  $a\in U$ , choose  $B_a\in\mathcal{B}$  with  $a\in B_a\subseteq U$ . Then we have

$$U = \bigcup_{a \in U} B_a \in \mathcal{R}$$

Thus  $\mathcal{S} \subseteq \mathcal{R}$ . Finally note that  $\mathcal{R} \subseteq \mathcal{T}$  because if  $U = \bigcup_k B_k$  with  $B_k \in \mathcal{B}$ , then each  $B_k \in \mathcal{T}$ , and  $\mathcal{T}$  is a topology, so

$$U = \bigcup_{k \in K} B_k \in \mathcal{T}$$

### Theorem 1.2.4

### Characterization of a Basis in terms of the Open Sets

Let X be a topological space with topology  $\mathcal{T}$ . Let  $\mathcal{B} \subseteq \mathcal{T}$ . Then  $\mathcal{B}$  is a basis for  $\mathcal{T}$  iff  $\forall U \in \mathcal{T} \forall a \in U \exists B \in \mathcal{B} \quad a \in B \subseteq U$ . \*

**Proof:** If  $\mathcal{B}$  is a basis for  $\mathcal{T}$ , then \* holds by part 1 of the previous theorem. Suppose \* holds. Let us show that  $\mathcal{B}$  is a basis of sets in X. Note that  $\mathcal{B}$  covers X since, taking U = X in \* we have  $\forall a \in X \exists B \in \mathcal{B} \quad a \in B \subseteq X$ . Also note that given  $C, D \in \mathcal{B}$  and  $a \in C \cap D$ , then by taking  $U = C \cap D$  in \* (noting that  $C, D \in \mathcal{B} \subseteq \mathcal{T}$  so that  $U = C \cap D \in \mathcal{T}$ ) we can choose  $B \in \mathcal{B}$  with  $a \in B \subseteq C \cap D$ . Thus  $\mathcal{B}$  is a basis of sets in X. It remains to show that  $\mathcal{T}$  is the topology generated by  $\mathcal{B}$ . Let  $\mathcal{S}$  be the topology generated by  $\mathcal{B}$ . By part 1 of the previous theorem, S is the set of all unions of

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elements in  $\mathcal{B}$ . Also  $\mathcal{S}$  is the smallest topology which contains  $\mathcal{B}$ . Since  $\mathcal{B} \subseteq \mathcal{T}$  and  $\mathcal{T}$  is a topology, we have  $\mathcal{S} \subseteq \mathcal{T}$ . Also we have  $\mathcal{T} \subseteq \mathcal{S}$  because given  $U \in \mathcal{T}$ , by property \*, for each  $a \in U$ , we can choose  $B_a \in \mathcal{B}$  with  $a \in B_a \subseteq U$ , and then we have  $U = \bigcup_{a \in U} B_a \in \mathcal{S}$  since it is a union of elements in  $\mathcal{B}$ 

### Example 1.2.1

When X is a metric space, the set  $\mathcal{B}$  of all open balls in X is a basis for the metric topology on X.

#### Remark

We can use a basis for testing various topological properties:

When X is a topological space, and  $\mathcal{B}$  is a basis for the topology on X, and  $A\subseteq X$  and  $a\in X$ . Then

$$a \in A^{\circ} \iff \exists B \in \mathcal{B} \text{ with } a \in B \subseteq A$$

$$a \in \overline{A} \iff \forall B \in \mathcal{B} \text{ with } a \in B \quad B \cap A \neq \emptyset$$

$$a \in A' \iff \forall B \in \mathcal{B} \text{ with } a \in B \quad (B \setminus \{a\}) \cap A \neq \emptyset$$

$$a \in \partial A \iff \forall B \in \mathcal{B} \text{ with } a \in B \quad B \cap A \neq \emptyset \text{ and } B \cap (X \setminus A) \neq \emptyset$$

### **Definition 1.2.2**

A topological space X is called *Hausdorff* when for all  $a,b\in X$  with  $a\neq b$ , there exist disjoint open sets U and V in X with  $a\in U$  and  $b\in V$ .

### Example 1.2.2

Metric spaces are Hausdorff

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### 1.3 Subspaces

### **Definition 1.3.1**

**Subspace Topology** 

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Let Y be a topological space with topology S, and  $X \subseteq Y$  be a subset. Let

$$\mathcal{T} \coloneqq \{ V \cap X \,|\, V \in \mathcal{S} \}$$

Then  $\mathcal{T}$  is a topology on X:

Indeed  $\emptyset \in \mathcal{S}$  so  $\emptyset \cap X = \emptyset \in \mathcal{T}$  and  $Y \in \mathcal{S}$  so  $Y \cap X = X \in \mathcal{T}$ . If K is any index set and  $U_k \in \mathcal{T}$  for each  $k \in K$ , then for each  $k \in K$  we can choose  $V_k \in \mathcal{S}$  such that  $U_k = V_k \cap X$  and then we have

$$\begin{split} \bigcup_{k \in K} U_k &= \bigcup_{k \in K} (V_k \cap X) \\ &= \left( \bigcup_{k \in K} V_k \right) \cap X \in \mathcal{T} \end{split}$$

since  $\bigcup_{k \in K} V_k \in \mathcal{S}$ . Similarly, when K is finite and  $U_k \in \mathcal{T}$  for each  $k \in K$  we have  $\bigcap_{k \in K} U_k \in \mathcal{T}$  The topology  $\mathcal{T}$  on X is called the *subspace topology* on X (inherited from the topology on Y).

### Theorem 1.3.1

Let Y be a topological space, let  $\mathcal{C}$  be a basis for the topology on Y. Let  $X \subseteq Y$  be a subset. Then the set

$$\mathcal{B} = \{ C \cap X \, | \, C \in \mathcal{C} \}$$

is a basis for the subspace topology on X.

**Proof:** Exercise

#### Theorem 1.3.2

Let Z be a topological space, let  $Y \subseteq Z$  be a subspace and  $X \subseteq Y$  be a subset. Then the subspace topology on X inherited from Y is equal to the subspace topology on X inherited from Z.

**Proof:** Exercise

### Theorem 1.3.3

Let Y be a metric space, (using the metric topology) and let  $X \subseteq Y$ . Then the subspace topology on X (inherited from the topology on Y) is equal to the metric topology on X using the metric on X obtained by restricting the metric on Y.

**Proof:** Exercise

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## 1.4 Continuous Maps

### **Definition 1.4.1**

Let X, Y be topological spaces.

- 1. For  $f: X \to Y$  and  $a \in X$ , we say that f is *continuous at* a when for every open set  $V \subseteq Y$  with  $f(a) \in V$ , there exists an open set  $U \subseteq X$  with  $a \in U \subseteq f^{-1}(V)$ .
- 2. We say that f is *continuous* (in or on X) when for every open set  $V \subseteq Y$ ,  $f^{-1}(V)$  is open in X.
- 3. A homeomorphism from X to Y is is a bijective map  $f: X \to Y$  such that both f and its inverse  $f^{-1}: Y \to X$  are continuous. We say that X and Y are homeomorphic, and we write  $X \cong Y$ , when there exists a homeomorphism  $f: X \to Y$ . (and we remark that  $f^{-1}: Y \to X$  is also a homeomorphism).

### Theorem 1.4.1

Constant maps and inclusion maps are continuous.

**Proof:** For  $f: X \to Y$  given by  $f(x) = c \in Y$  for all  $x \in X$ . When V is open in Y,

$$f^{-1}(V) = \begin{cases} X \text{ if } c \in V \\ \emptyset \text{ if } c \not\in V \end{cases}$$

When  $X \subseteq Y$  is a subspace and  $f: X \to Y$  is given by f(x) = x for all  $x \in X$ , when V is open in Y.

$$f^{-1}(V) = \{x \in X \mid f(x) \in V\}$$
$$= \{x \in X \mid x \in V\}$$
$$= V \cap X$$

which is open in X. (when X uses the subspace topology)

#### Remark

When Y is a topological space and  $X \subseteq Y$  we shall assume, unless otherwise noted, that X uses the subspace topology.

### Theorem 1.4.2

### **Equivalent Definitions of Continuity**

Let  $f: X \to Y$  be a map between topological spaces

- 1. f is continuous iff f is continuous at every  $a \in X$
- 2. f is continuous iff for every closed set  $K \subseteq Y$ ,  $f^{-1}(K)$  is closed in X.
- 3. If  $\mathcal{C}$  is a basis for the topology on Y then f is continuous iff for every  $C \in \mathcal{C}$ ,  $f^{-1}(C)$  is open in X.

**Proof of 1:** Suppose f is continuous on X. Let  $a \in X$ . Let V be an open set in Y with  $f(a) \in V$ . Let  $U = f^{-1}(V)$ , then  $f^{-1}(V)$  is open, since f is continuous and  $a \in U \subseteq f^{-1}(V)$ . Suppose, conversely, that f is continuous at every  $a \in X$ . Let V be an open set in Y. For each  $a \in f^{-1}(V)$  since f is continuous at a with  $f(a) \in V$ , we can choose an open set  $U_a$  in X with  $a \in U_a \subseteq f^{-1}(V)$ . Then

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$$f^{-1}(V)=\bigcup_{a\in f^{-1}(V)}U_a$$

which is open in X, since it is a union in open sets in X.

### Theorem 1.4.3

Let  $f:X\to Y, g:Y\to Z$  be continuous maps between topological spaces, then the composite map  $h=g\circ f:X\to Z$  is continuous.

**Proof:** Show that  $h^{-1}(W) = f^{-1}(g^{-1}(W))$ 

### Remark

Homeomorphism of topological spaces behaves like an equivalence relation on the class of all topological spaces. For topological spaces X, Y, Z

- 1.  $X \cong X$  (since  $id_X$  is a homeomorphism a special case of the inclusion map)
- 2. If  $X \cong Y$  then  $Y \cong X$  (when  $f: X \to Y$  is a homeomorphism, so is  $f^{-1}: Y \to X$ )
- 3. If  $X\cong Y\cong Z$  then  $X\cong Z$  (if  $f:X\to Y,g:Y\to Z$  are homeomorphisms then so is  $g\circ f$ )

### Theorem 1.4.4 Restriction of Domain and Restriction or Expansion of Codomain

Let X, Y, Z be topological spaces. Suppose  $f: X \to Y$  is continuous.

- 1. For any subspace  $A \subseteq X$ , the restriction  $f|_A : A \to Y$  is continuous.
- 2. If  $Y \subseteq Z$  is a subspace then  $f: Y \to Z$  is continuous and if  $B \subseteq Y$  with  $f(X) \subseteq B$ , then  $f: X \to B$  is continuous.

**Proof:** Exercise

### Lemma 1.4.5

Glueing/Pasting Lemma

Let  $f: X \to Y$  be a map between topological spaces

- 1. If  $X = \bigcup_{k \in K} U_k$  where each  $U_k$  is open in X and if each restriction map  $f|_{U_k} : U_k \to y$  is continuous (where  $U_k$  is using the subspace topology), then f is continuous.
- 2. If  $X = C_1 \cup \cdots \cup C_n$  where each  $C_k$  is closed in X, and if each restriction  $f|_{C_k} : C_k \to Y$  is continuous, then f is continuous.

**Proof of 1:** Suppose  $X = \bigcup_{k \in K} U_k$  where each  $U_k$  is open in X and suppose each restriction  $f|_{U_k}$  is continuous. Let  $V \subseteq Y$  be open. Note that

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$$\begin{split} f^{-1}(V) &= \{x \in X \,|\, f(x) \in V\} \\ &= \bigcup_{k \in K} \{x \in U_k \,|\, f(x) \in V\} \\ &= \bigcup_{k \in K} \left\{x \in U_k \,\big|\, f|_{U_k}(x) \in V\right\} \\ &= \bigcup_{k \in K} f|_{U_k}^{-1}(V) \end{split}$$

For each  $k \in K$ , since  $f|_{U_k}$  is continuous, we know that  $f|_{U_k}^{-1}(V)$  is open in  $U_k$ . Since  $U_k$  is using the subspace topology, we can choose an open  $W_k$  in X such that  $f|_{U_k}^{-1}(V) = W_k \cap U_k$ . This is open in X since  $W_k$  and  $U_k$  are both open in X. Since  $f^{-1}(V) = \bigcup_{k \in K} f|_{U_k}^{-1}(V)$  it is a union of open sets in X, so it is open in X. Thus f is continuous.

**Proof of 2:** Exercise. First show that for  $f: X \to Y$ , f is continuous iff  $f^{-1}(C)$  is closed in X for every closed set C in Y. And, show that when  $A \subseteq X \subseteq Y$ , A is closed in X (using the subspace topology from Y) iff  $A = B \cap X$  for some closed set B in Y.

### Example 1.4.1

The map  $f:\mathbb{R}\to\mathbb{R}$  given by  $f(x)=\left\{egin{array}{l} 2x&x\leq0\\ x^2&x>0 \end{array}
ight.$  is continuous.

# 1.5 Examples of Homeomorphisms

### Example 1.5.1

The circle

$$\{(x,y) \in \mathbb{R}^2 \mid x^2 + y^2 = 1\}$$

in  $\mathbb{R}^2$  is homeomorphic to the ellipse

$$\left\{ (x,y) \in \mathbb{R}^2 \, \bigg| \, \frac{(x-a)^2}{A^2} + \frac{(y-b)^2}{B^2} = 1 \right\}$$

in  $\mathbb{R}^2$ 

#### Example 1.5.2

 $\mathbb{R}\cong (-1,1)\subseteq \mathbb{R}$ 

### Example 1.5.3

The standard unit n-sphere in  $\mathbb{R}^{n+1}$  is the set

$$\mathbb{S}^n = \{ x \in \mathbb{R}^{n+1} \, | \|x\| = 1 \}$$

Where p is the north pole

$$p = e_{n+1} = (0, ..., 0, 1) \in \mathbb{S}^n$$

We have  $\mathbb{S}^n \setminus \{p\} \cong \mathbb{R}^n$ 

# 2 Examples of Topological Spaces

#### **Definition 2.0.1**

Let X be a set. We sometimes write  $X_t$  to indicate that X is using the trivial topology  $\mathcal{T}_t = \{\emptyset, X\}$ . We sometimes write  $X_d$  to indicate X is using the discrete topology  $\mathcal{T}_d = \mathcal{P}(X)$ . We sometimes write  $X_c$  to indicate X is using the co-finite topology  $\mathcal{T}_c = \{A \subseteq X \mid A = \emptyset \text{ or } X \setminus A \text{ is finite}\}$ . Note the closed sets in  $X_c$  are exactly the finite ones and X.

### **Definition 2.0.2**

When X is a metric space, we assume, unless otherwise indicated, that X uses the metric topology. Sometimes, we might write  $X_m$  to indicate that X is using the metric topology  $\mathcal{T}_m$ .

#### **Definition 2.0.3**

When Y is a topological space, and  $X\subseteq Y$ , we assume, unless otherwise indicated, that X uses the subspace topology. Sometimes, we might write  $X_s$  to indicate that X is using the subspace topology  $\mathcal{T}_s$ . When  $X\subseteq \mathbb{R}^n$ , we shall assume, unless otherwise indicated, that X is using  $\mathcal{T}_m=\mathcal{T}_s$ 

#### **Definition 2.0.4**

Let X be a set. A (strict, linear or total) order on X is a binary relation < on X such that

1. For all  $x, y \in X$  exactly one of the following holds:

a. 
$$x < y$$

b. 
$$x = y$$

c. 
$$y < x$$

2. For all  $x, y, z \in X$ , if x < y and y < z then x < z

An *ordered set* is a set X with an order <. When X is an ordered set, we also define  $\le$ , >,  $\ge$  by stipulating that for all  $x, y \in X$ 

$$x \le y \iff (x < y \lor x = y)$$

$$x > y \Longleftrightarrow y < x$$

$$x \ge y \Longleftrightarrow y \le x$$

#### Remark

In an ordered set X we can define an *upper bound*, a *lower bound*, the *supremum*, the *infimum*, the *maximum*, and the *minimum* of a subset  $A \subseteq X$ .

### Example 2.0.1

Let X be an ordered set and  $A \subseteq X$ ,  $M = \max(A)$  when  $M \in A$  with  $M \ge x$  for all  $x \in A$ . Similarly, m for minimum.

#### **Definition 2.0.5**

When X is an ordered set, we have the following subsets which are called *intervals* in X. For  $a, b \in X$  with a < b we have

$$(a, b) := \{x \in X \mid a < x < b\}$$

$$(a, b] := \{x \in X \mid a < x \le b\}$$

$$[a,b) := \{x \in X \mid a \le x < b\}$$

$$[a,b] := \{x \in X \mid a \le x \le b\}$$

### **Definition 2.0.6**

Let X be an ordered set. The *order topology* on X is the topology  $\mathcal{T}_o$  which is generated by the basis  $\mathcal{B}_o$  of sets in X which consist of the following intervals:

- (a, b) where  $a, b \in X$ , a < b
- (a, M] where  $M = \max X$  and  $a \in X$  with  $a \neq M$  (in the case that X has a maximum)
- [m,b) where  $m=\min X$  and  $b\in X$  with  $b\neq m$  (in the case that X has a minimum)

We sometimes write  $X_o$  to indicate that X is using the order topology  $\mathcal{T}_o$ 

### Exercise 2.0.1

Verify  $\mathcal{B}_o$  is a basis.

### Example 2.0.2

$$\mathbb{R} = \mathbb{R}_o = \mathbb{R}_m$$

#### **Definition 2.0.7**

Let X be an ordered set the *lower limit topology* on X is the topology  $\mathcal{T}_{\ell}$  generated by the basis  $\mathcal{B}_{\ell}$  which consists of intervals of the form [a,b) where  $a,b\in X$  with a< b we sometimes write  $X_{\ell}$  to indicate that X is using the lower limit topology.

#### Note

on  $\mathbb{R}$ ,  $\mathcal{T}_{\ell}$  is not equal to  $\mathcal{T}_m$ . Note that when  $a, b \in \mathbb{R}$  with a < b,

$$(a,b) = \bigcup_{n=m}^{\infty} \left[ a + \frac{1}{n}, b \right)$$
 where  $\frac{1}{m} < b - a$ 

which is open in  $\mathbb{R}_{\ell}$ . So we have  $\mathcal{T}_o \subseteq \mathcal{T}_{\ell}$ 

### Example 2.0.3

Let  $X=(0,1)\cup\{2\}\subseteq\mathbb{R}$ . Note that  $\mathcal{T}_o\neq\mathcal{T}_m=\mathcal{T}_s$  on X. (Where X uses the standard order inherited from  $\mathbb{R}$ ). For example  $\{2\}$  is open in  $X_m$ . But is not open in  $X_o$  because any open set in  $X_o$  which contains 2, must contain a basic open set B with B0. So it must contain a set of the form

$$B = (a, 2]_X = (a, 1) \cup \{2\}$$
 where  $a \in (0, 1)$ 

So they include elements other than 2

### Example 2.0.4

When X is an ordered set, the *dictionary* (or *lexicographic*) order on  $X^2$  is given by

$$(a,b) < (c,d) \Longleftrightarrow (a=c \text{ and } b < d) \text{ or } a < c$$

Note that on  $\mathbb{R}^2$ , the order topology  $\mathcal{T}_o$  is not equal to the standard metric topology  $\mathcal{T}_m$ 

# 2.1 Products of Topological Spaces

#### **Definition 2.1.1**

Let X, Y be sets, then the Cartesian product of X and Y is

$$X \times Y = \{(x, y) \mid x \in X, y \in Y\}$$

### **Definition 2.1.2**

Let K be a non-empty index set and let  $X_k$  be a set for each  $k \in K$ . Then the Cartesian product of the (indexed set of) sets  $X_k$ ,  $k \in K$ 

$$\prod_{k \in K} X_k = \left\{ x : K \to \bigcup_{k \in K} X_k \, \middle| \, x(k) \in X_k \text{ for all } k \in K \right\}$$

and we write x(k) as  $x_k$ . In the case that  $K = \{1, ..., n\}$  we write

$$\prod_{k \in K} X_k = \prod_{k=1}^n X_k = X_1 \times \dots \times X_n$$

In the case that  $K = \mathbb{Z}^+$  we write

$$\prod_{k \in K} X_k = \prod_{k=1}^\infty X_k = X_1 \times X_2 \times \cdots$$

In the case that  $K = \{1, ..., n\}$  and  $X_k = X$  for all  $k \in K$ , we also write

$$\prod_{k \in K} X_k = \prod_{k \in K} X = \underbrace{X \times X \cdots \times X}_{n \text{ times}} = X^n$$

In the case that  $K = \mathbb{Z}^+$ , and  $X_k = X$  for all  $k \in K$ , we also write

$$\prod_{k \in K} X_k = \prod_{k=1}^\infty = X \times X \times \dots = X^\omega$$

In the case that *X* is a vector space, we write

$$X^{\infty} = \{x = (x_1, x_2...) \in X^{\omega} \, | \, x_k = 0 \text{ for all but finitely many } k \in \mathbb{Z}^+ \}$$

In this case  $X^{\infty}$  and  $X^{\omega}$  are both vector spaces.

When  $X_k$  is a set for each  $k \in K$ , for each  $\ell \in K$  we have the projection map

$$p_\ell: \prod_{k\in K} X_k \to x_\ell$$

given by  $p_\ell(x)=x_\ell=x(\ell)$ . For any set Y, a function  $f:Y\to\prod_{k\in K}X_k$  determines, and is determined by, its component functions

$$f_{\ell}: Y \to X_{\ell}$$

where  $f_\ell = p_\ell \circ f$  so  $f_\ell(y) = f(y)_\ell = f(y)(\ell)$ 

### **Definition 2.1.3**

When  $X_k$  is a topological space for each  $k \in K$ , there are two commonly used topologies on  $\prod_{k \in K} X_k$ .

1. The box topology on  $\prod_{k\in K} X_k$  is the topology generated by the basis of sets of the form

$$\prod_{k \in K} U_k \subseteq \prod_{k \in K} X_k$$

Where each  $U_k$  is open in  $X_k$ 

2. The *product topology* on  $\prod_{k \in K} X_k$  is the topology generated by the basis of sets consisting of the sets of the form  $\prod_{k \in K} U_k$  where each  $U_k$  is open in  $X_k$  with  $U_k = X_k$  for all but finitely many  $k \in K$ .

### Note

The above two proposed bases are indeed bases of sets because

$$\left(\prod_{k\in K}U_k\right)\cap\left(\prod_{k\in K}V_k\right)=\prod_{k\in K}(U_k\cap V_k)$$

Also note that when K is finite, these two topologies are equal. When K is infinite, the box topology is finer than the product topology.

### Theorem 2.1.1

Let  $\mathcal{B}_k$  be a basis for  $X_k$  for each  $k \in K$ . Then the set of sets of the form

$$\prod_{k \in K} B_k \text{ where } B_k \in \mathcal{B}_k \text{ for all } k \in K$$

is a basis for the box topology on  $\prod_{k \in K} X_k$ , and the set of sets of the form

$$\prod_{k \in K} B_k$$
 where  $B_k \in \mathcal{B}_k \cup \{X_k\}$  for all  $k \in K$ 

with  $B_k = X_k$  for all but finitely many  $k \in K$  is a basis for the product topology on  $\prod_{k \in K} X_k$ .

**Proof:** Exercise

#### Theorem 2.1.2

For each  $k \in K$ , let  $X_k$  be a subspace of  $Y_k$  (using the subspace topology). Then the box topology on  $\prod_{k \in K} X_k$  is equal to the subspace topology on  $\prod_{k \in K} X_k$  as a subspace of  $\prod_{k \in K} Y_k$  using the box topology, and the product topology on  $\prod_{k \in K} X_k$  is equal to the subspace topology on  $\prod_{k \in K} X_k$  as a subspace of  $\prod_{k \in K} Y_k$  using the product topology.

#### Theorem 2.1.3

Let Y be a topological space, and let  $X_k$  be a topological space for each  $k \in K$ , and let  $f: Y \to \prod_{k \in K} X_k$ . Then when  $\prod_{k \in K} X_k$  uses the product topology, f is continuous if and only if each component map  $f_\ell: Y \to X_\ell$  is continuous.

**Proof:** Suppose that f is continuous, then (using either the box or product topologies on  $\prod_{k \in K} X_k$ ) each projection map  $p_\ell : \prod_{k \in K} X_k \to X_\ell$  is continuous because when  $U \subseteq X_\ell$  is open,

$$\begin{split} p_\ell^{-1}(U) &= \left\{ x \in \prod_{k \in K} X_k \,\middle|\, x_\ell = p_\ell(x) \in U \right\} \\ &= \prod_{k \in K} U_k \end{split}$$

where

$$U_k = \begin{cases} U & \text{if } k = \ell \\ X_k & \text{if } k \neq \ell \end{cases}$$

which is open in  $\prod_{k \in K} X_k$  (using either the box or product topology) It follows that each component function  $f_\ell$  is continuous because

$$f_{\ell} = p_{\ell} \circ f$$

Suppose, conversely, that each component map

$$f=p_{\ell}\circ f:Y\to \prod_{k\in K}X_k$$

is continuous, and that  $\prod_{k\in K} X_k$  is using the product topology. To show that f is continuous, it suffices to show that  $f^{-1}(B)$  is open in Y for every basic open set B in  $\prod_{k\in K} X_k$ . Let B be a basic open set (for the product topology) on  $\prod_{k\in K} X_k$ . Say  $B=\prod_{k\in K} U_k$  where each  $U_k$  is open in  $X_k$  with  $U_k=X_k$  for all but finitely many indices  $k\in K$ . Let  $L\subseteq K$  be the finite set of all indices  $k\in K$  for which  $U_k\neq X_k$ . We have

$$\begin{split} f^{-1}(B) &= \left\{ y \in Y \,\middle|\, f(y) \in \prod_{k \in K} U_k \right\} \\ &= \left\{ y \in Y \,\middle|\, f_k(y) = f(y)_k \in U_k \text{ for all } k \in K \right\} \\ &= \left\{ y \in Y \,\middle|\, f_k(y) \in U_k \text{ for all } k \in L \right\} \\ &= \bigcap_{k \in L} f_k^{-1}(U_k) \end{split}$$

Which is open in Y since it is a finite intersection of open sets in Y (with  $f_k^{-1}(U_k)$ ) is open in Y because  $U_k$  is open in  $X_k$  and  $f_k:Y\to X_k$  is continuous.

### Remark

$$\mathbb{R}^\infty \subseteq \ell_1 \subseteq \ell_p \subseteq \ell_q \subseteq \ell_\subseteq \mathbb{R}^\omega$$

for  $1 \le p \le q \le \infty$ . Recall that these norms induce different topologies.

Question: do any of the *p*-norms induce the box or product topology on  $\mathbb{R}^{\infty} \subseteq \mathbb{R}^{\omega}$ ? Question: is there a norm or metric on  $\mathbb{R}^{\omega}$  which induces the box or product topology?

### Remark

Also, we have the p-norms on  $\mathbb{R}^n$ . They all give the same topology on  $\mathbb{R}^n$ . More generally, when X is a finite dimensional vector space, all norms on X induce the same topology on X. When  $L: X \to Y$  is a linear map between normed linear spaces, L is continuous iff  $\|L\|_{\operatorname{op}} < \infty$  iff  $L\left(\overline{B_X(0,1)}\right)$  is bounded in Y. And when X is finite dimensional,  $\overline{B_X(0,1)}$  is compact and  $L\left(\overline{B_X(0,1)}\right)$  is bounded, so L is continuous. In particular, when X is finite dimensional and  $\|\cdot\|_1, \|\cdot\|_2$  are two norms on X,

$$\operatorname{id}_X: (X, \|\cdot\|_1) \longrightarrow (X, \|\cdot\|_2)$$

is continuous, and it is equal to its own inverse which is continuous, so  $\mathrm{id}_X$  is a homeomorphism, so for a set  $U\subseteq X, U$  is open in  $(X,\|\cdot\|_1)$  if and only if U is open in  $(X,\|\cdot\|_2)$ . Consequently, every finite dimensional vector space X has a standard topology. (pick a basis  $\{u_1,...,u_n\}$ ), define

$$\left\langle \sum x_k u_k, \sum y_k u_k \right\rangle = \sum x_k y_k = x \cdot y$$

So the map  $L: X \to \mathbb{R}^n$  given by

$$L\left(\sum x_k u_k\right) = \sum x_k e_k = x$$

is an inner product space isomorphism). Then use the inner product to define a norm, a metric, and a topology. The resulting topology doesn't depend on the choice of basis.

# 2.2 Quotient Spaces

#### **Definition 2.2.1**

Let X be a set. Let  $\sim$  be an equivalence relation on X. For  $a \in X$ , the equivalence class of a is

$$[a] = \{ x \in X \mid a \sim x \}$$

Recall distinct equivalence classes are disjoint, and X is the disjoint union of distinct equivalence classes. The set of all equivalence classes is denoted by  $X/\sim$ , is called the quotient set of X by  $\sim$ .

$$X/\!\!\sim = \{[a] \,|\, a \in X\}$$

The map  $q: X \to X/\sim$  given by  $x \mapsto [x]$  is called the quotient map.

### **Definition 2.2.2**

When X is a topological space, the *quotient topology* on  $X/\sim$  is the topology obtained by stipulating that for  $V \subseteq X/\sim$ , V is open in  $X/\sim$  if and only if  $q^{-1}(V)$  is open in X.

### Note

When  $V \subseteq X/\sim$  so V is a set of equivalence classes.

$$q^{-1}(V) = \{x \in X \mid q(x) \in V\}$$

$$= \{x \in X \mid [x] \in V\}$$

$$= \bigcup_{[x] \in V} [x]$$

$$= \bigcup V$$

#### Remark

For sets X and Y,

1. When Y is a topological space and  $X \subseteq Y$  is a subset, the subspace topology is the coarsest topology on X for which the inclusion map  $i: X \to Y$  is continuous

$$i^{-1}(V) = \{x \in X \, | \, i(x) \in V\} = \{x \in X \, | \, x \in V\} = V \cap X$$

2. When X and Y are both topological spaces, the product topology on  $X \times Y$  is the coarsest topology for which the two projection maps  $p_X: X \times Y \to X, p_Y: X \times Y \to Y$  are both continuous

$$p_X^{-1}(U) = U \times Y \quad p_Y^{-1}(V) = V \times X$$

3. When X is a topological space and  $\sim$  an equivalence relation on X, the quotient topology on  $X/\sim$  is the finest topology on  $X/\sim$  for which the quotient map  $q:X\to X/\sim$  is continuous

### Note

Let X be a set and  $\sim$  an equivalence relation on X. Note that any function  $g: X/\sim \to Y$  (where Y is any set) determines and is determined by a function  $f: X \to Y$  which is constant on equivalence classes (meaning that for  $x_1, x_2 \in X$  if  $x_1 \sim x_2$  then  $f(x_1) = f(x_2)$ ) with g given by g([x]) = f(x) and with f given by  $f = g \circ q$ . So f(x) = g(q(x)) = g([x])

### Theorem 2.2.1

Let X, Y be a topological spaces. Let  $\sim$  be an equivalence relation on X. Let  $f: X/\sim \to Y$ . Let  $g: X \to Y$  be the map given by g(x) = f([x]), that is  $g = f \circ q$ . Then f is continuous if and only if g is continuous.

**Proof:** If f is continuous, then g is continuous because  $g=f\circ q$  which is the composite of two continuous maps. Suppose that g is continuous. Let  $V\subseteq Y$ , be open. We need to show that  $f^{-1}(V)$  is open in  $X/\sim$ . By definition of the quotient topology

$$f^{-1}(V)$$
 is open in  $X/\sim \iff q^{-1}(f^{-1}(V))$  is open in X

But

$$q^{-1}(f^{-1}(V)) = (f \circ q)^{-1}(V) = g^{-1}(V)$$

Which is open in X since g is continuous.

### **Definition 2.2.3**

For a group G and a set X, a *group action* of G on X is a function  $*: G \times X \to X$ , where we write \*(a, x) as a \* x or ax, such that

- 1. When  $e \in G$  is the identity element we have e \* x = x for all  $x \in X$ .
- 2. For all  $a, b \in G$  and all  $x \in X$ , we have

$$a*(b*x) = \underbrace{(ab)}_{\text{group op}} *x$$

We say that G acts on X (by using the group action).

### Remark

A group action of G on X determines and is determined by a group homomorphism  $\rho: G \to \operatorname{Perm}(X)$  where  $\rho(a)(x) = a * x$  (the homomorphism  $\rho$  is called a *representation* of G)

#### Remark

Given an action of G on X, we can define an equivalence relation on X by

$$x \sim y \iff y = a * x \text{ for some } a \in G.$$

In this case, the equivalence class of x is called the *orbit of* x (we might write [x] as Orb(x)) and we write the quotient  $X/\sim as X/G$ . So

$$X/G = \{[x] \mid x \in X\}$$
$$= \{ \operatorname{Orb}(x) \mid x \in X \}$$

## Example 2.2.1

For  $\mathbb{S}^1=\left\{u\in\mathbb{R}^2\left|\|u\|=1\right\}\right\}$ , we have  $\mathbb{S}^1\times\mathbb{R}\cong\mathbb{R}^2\setminus\{0\}$ . Define

$$f: \mathbb{S}^1 \times \mathbb{R} \longrightarrow \mathbb{R}^2 \setminus \{0\}$$
$$(u, t) \longmapsto e^t u$$

and define

$$g: \mathbb{R}^2 \setminus \{0\} \longrightarrow \mathbb{S}^1 \times \mathbb{R}$$
$$x \longmapsto \left(\frac{x}{\|x\|}, \ln \|x\|\right)$$

These maps are continuous (they are elementary functions) and they are inverses of each other.

### Example 2.2.2

 $\mathbb{S}^1$  acts on  $\mathbb{R}^2 = \mathbb{C}$  by complex multiplication. For  $a \in \mathbb{R}^2 = \mathbb{C}$ ,

$$Orb(a) = [a] = \{ua \mid u \in \mathbb{S}^1\}$$

which is equal to the circle centered at 0 of radius ||a|| (with  $[0] = \{0\}$ ). Show that  $\mathbb{R}^2/\mathbb{S}^1 \cong [0, \infty) \subseteq \mathbb{R}$  we define

$$f: \mathbb{R}^2/\mathbb{S}^1 \longrightarrow [0, \infty)$$
$$[x] \longmapsto \|x\|$$

and define

$$\begin{split} h:[0,\infty) &\longrightarrow \mathbb{R}^2/\mathbb{S}^1 \\ r &\longmapsto [r] = [(r,0)] = \big\{ re^{i\theta} \mid \theta \in \mathbb{R} \big\} \end{split}$$

Note that f is continuous because for the map  $g:\mathbb{R}^2\to [0,\infty)\subseteq\mathbb{R}$  given by  $g(x)=\|x\|$ . We have  $g=f\circ q$ . Since g is continuous, it follows that f is continuous. Also h is continuous because  $h=q\circ i$  where  $i:[0,\infty)\longrightarrow\mathbb{R}^2$  is the inclusion map i(r)=(r,0). Finally, note that f and h are inverses.