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# 1 Topological Spaces and Continuous Maps

## 1.1 Elementary Topology

Given an inner product on an  $\mathbb{R}$ -vector space  $\langle \cdot, \cdot \rangle$ , one can define a norm  $\|x\| = \sqrt{\langle x, x \rangle}$ . Given a norm, one can define a metric  $d(x,y) = \|x-y\|$ . Given a metric d on a set X, one can define open sets in X:

given  $a \in X$  and r > 0,  $B(a,r) := \{x \in X \mid d(x,a) < r\}$ . Then for  $A \subseteq X$ , we say A is open in X when  $\forall a \in A \exists r > 0$  such that  $B(a,r) \subseteq A$ . Equivalently, for all  $a \in A$ , there is  $b \in X$ , r > 0 such that  $a \in B(b,r) \subseteq A$ .

### Remark

The set of open sets on a metric space is called the *metric topology* on X.

Open sets in a metric space satisfy the following:

- 1.  $\emptyset$  and X are open
- 2. arbitrary unions of open sets are open
- 3. finite intersections of open sets are open

## **Notation**

For a set of sets S, the union of S is

$$\bigcup S \coloneqq \{x \,|\, \exists A \in S, x \in A\} = \bigcup_{A \in S} A$$

In the case that  $S \neq \emptyset$ , the intersection of S is

$$\bigcap S \coloneqq \{x \,|\, \forall A \in S, x \in A\} = \bigcap_{A \in S} A$$

## Note

 $\bigcap S$  would contain all elements as the condition  $\forall A \in \emptyset$  would be vacuously satisfied. If we are given a universal set X, and S is known to be a set of subsets of X, then  $\bigcap \emptyset = X$ .

## **Definition 1.1**

Let *X* be a set.  $\mathcal{T} \subseteq \mathcal{P}(X)$  is called a *topology* on *X* if

- 1.  $\emptyset, X \in \mathcal{T}$
- 2. If  $S \subseteq \mathcal{T}$  is nonempty, then  $| | S \in \mathcal{T}$
- 3. If  $S \subseteq \mathcal{T}$  is nonempty and finite, then  $\bigcap S \in \mathcal{T}$

The elements of  $\mathcal{T}$  are called the open sets of X. The closed sets are the compliments of the open sets.

## Remark

To show 3 holds, it suffices to show the intersection of 2 open sets is open (by induction)

### **Definition 1.2**

If X is a set, and  $\mathcal{T}$  is a topology on X, then  $(X,\mathcal{T})$  is called a *topological* space

### Remark

When  $f: X \to Y$  is a map between metric spaces, f is continuous iff  $f^{-1}(V)$  is open in X for every open set  $V \subseteq Y$ .

### **Definition 1.3**

For a map  $f: X \to Y$  between topological spaces, we say that f is continuous when  $f^{-1}(V)$  is open in X for every open set  $V \subseteq Y$ .

## Example 1.1

if  $f:A\subseteq\mathbb{R}^n\longrightarrow B\subseteq\mathbb{R}^m$  is an elementary function, then f is continuous.

### **Definition 1.4**

When S, T are topologies on X with  $S \subseteq T$ , we say that S is coarser than T and T is finer than S. When  $S \subseteq T$ , we use strictly coarser/finer.

### Example 1.2

 $\{\emptyset, X\}$  is a topology on X called the *trivial topology* 

## Example 1.3

 $\mathcal{P}(X)$  is a topology on X called the *discrete topology* 

## Example 1.4

When  $X = \emptyset$ ,  $\mathcal{T} \subseteq \mathcal{P}(X) \Rightarrow \mathcal{T} \subseteq \{\emptyset\} \Rightarrow \mathcal{T} = \emptyset \lor \mathcal{T} = \{\emptyset\}$ . Thus the only topology on  $\emptyset$  is  $\{\emptyset\}$ .

## Example 1.5

When  $X = \{a\}$  the only topology is  $\mathcal{T} = \{\emptyset, \{a\}\}$ 

### Exercise 1.1.1

Find all topologies on the 2 and 3 element sets.

## **Definition 1.5**

Let X be a topological space. Let  $A \subseteq X$ .

- 1. The *interior* of A (in X) denoted by int(A) is the union of all open sets in X which are contained in A.
- 2. The *closure* of A denoted  $\overline{A}$  is the intersection of all closed sets in X which contain A.
- 3. The *boundary* of *A*, denoted by  $\partial A$ , given by  $\partial A = \overline{A} \setminus \operatorname{int}(A)$

### Note

The set of closed sets in a topological space is closed under arbitrary intersections and under finite unions. In particular  $\emptyset$ , X are closed

## Theorem 1.1

Let X be a topological space,  $A \subseteq X$ .

- 1. int(A) is open, and is the largest open set which is contained in A
- 2.  $\overline{A}$  is closed, and is the smallest closed set which contains A
- 3. A is open iff A = int(A)
- 4. A is closed iff  $A = \overline{A}$
- 5. int(int(A)) = int(A)
- 6.  $\overline{A} = \overline{A}$

### **Definition 1.6**

Let X be a topological space, let  $A \subseteq X$ , let  $a \in X$ .

- 1. We say that a is an  $interior\ point$  of A when  $a\in A$  and there is an open set U such that  $a\in U\subseteq A$
- 2. We say that a is a *limit point* of A when for every open set  $U \ni a$  we have  $U \cap (A \setminus \{a\}) \neq \emptyset$ . The set of limit points of A is denoted by A'
- 3. We say that a is a boundary point of A when every open set  $U \ni a$ , we have  $U \cap A \neq \emptyset$  and  $U \cap A^c \neq \emptyset$

## Theorem 1.2

Let *X* be a topological space and let  $A \subseteq X$ .

- 1. int(A) is equal to the set of all interior points
- 2. For  $a \in X$ ,

$$a \in A' \Longleftrightarrow a \in \overline{A \smallsetminus \{a\}}$$

- 3. A is closed iff  $A' \subseteq A$
- 4.  $\overline{A} = A \cup A'$
- 5.  $\overline{A}$  is the disjoint union

$$\overline{A} = \operatorname{int}(A) \sqcup \partial A$$

6.  $\partial A$  is equal to the set of boundary points of A

## 1.2 Topological Bases

### Theorem 1.3

Let X be a set. Then the intersection of any set of topologies on X is also a topology on X.

**Proof:** Let  $\{\mathcal{T}_{\alpha}\}_{\alpha\in I}$  be a collection of topologies on X. Let  $\mathcal{T}=\bigcap_{\alpha}\mathcal{T}_{\alpha}$ 

- 1. Since  $X, \emptyset \in \mathcal{T}_{\alpha}$  for all  $\alpha \in I$ . We have  $X, \emptyset \in \mathcal{T}$
- 2. Let  $\{U_i\} \subseteq \mathcal{T}$ . For all  $\alpha \in I$ , we have each  $U_i \in \mathcal{T}_{\alpha}$ . Thus  $\bigcup_i U_i \in \mathcal{T}_{\alpha} \Longrightarrow \bigcup_i U_i \in \mathcal{T}$  as desired.

3. Let  $U_1,...,U_n\in\mathcal{T}$ . Then again for all  $\alpha\in I$ , we have each  $U_i\in\mathcal{T}_{\alpha}$ . Thus  $\bigcap_{i=1}^n U_i\in\mathcal{T}_{\alpha}\Longrightarrow\bigcap_{i=1}^n U_i\in\mathcal{T}$ 

## **Corollary 1.4**

When X is a set and  $\mathcal S$  is any set of subsets of X (that is  $S\subseteq \mathcal P(X)$ ), there is a unique smallest (coarsest) topology  $\mathcal T$  on X which contains  $\mathcal S$ . Indeed  $\mathcal T$  is the intersection of (the set of) all topologies on X containing  $\mathcal S$ .

This topology  $\mathcal{T}$  is called the topology on X generated by  $\mathcal{S}$ 

### **Definition 1.7**

Let X be a set. A basis of sets on X is a set  $\mathcal{B}$  of subsets of X (So  $\mathcal{B} \subseteq \mathcal{P}(X)$ ) such that

- 1.  $\mathcal{B}$  covers X, that is  $| \mathcal{B} = X$
- 2. For every  $C, D \in \mathcal{B}$  and  $a \in C \cap D$ . There is  $B \in \mathcal{B}$  such that  $a \in B \subseteq C \cap D$ .

When  $\mathcal{B}$  is a basis of sets in X and  $\mathcal{T}$  is the topology on X generated by  $\mathcal{B}$ , we say that  $\mathcal{B}$  is a basis for  $\mathcal{T}$ . The elements in  $\mathcal{B}$  are called basic open sets in X.

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## Theorem 1.5

## Characterization of Open Sets in Terms of Basic Open Sets

Let X be a topological space, Let  $\mathcal{B}$  be a basis for the topology on X.

- 1. For  $A \subseteq X$ , A is open iff for every  $a \in A$ , there is  $B \in \mathcal{B}$  such that  $a \in B \subseteq A^*$
- 2. The open sets in X are the unions of (sets of) elements in  $\mathcal{B}$

Equivalently,

- 1.  $\mathcal{T} = \{A \subseteq X \mid \forall a \in A, \exists B \in \mathcal{B} \ a \in B \subseteq A\}$
- 2.  $\mathcal{T} = \{ | C | C \subseteq \mathcal{B} \}$

**Proof:** Let  $\mathcal{T}$  be the topology on X (generated by  $\mathcal{B}$ ). Let  $\mathcal{S}$  be the set of all sets  $A \subseteq X$  with property  $^*$  ( $\forall a \in A \exists B \in \mathcal{B} : a \in B \subseteq A$ ). And let  $\mathcal{R}$  be the set of (arbitrary) unions of (sets of) elements in  $\mathcal{B}$ . Recall that  $\mathcal{T}$  is the intersection of the set of all topologies on X which contain  $\mathcal{B}$ . Note that  $\mathcal{S}$  contains  $\mathcal{B}$  (obviously). Let us show that  $\mathcal{S}$  is a topology on X. We have  $\emptyset \in \mathcal{S}$  vacuously and  $X \in \mathcal{S}$  because  $\mathcal{B}$  covers X (given  $a \in X$ , we can choose  $B \in \mathcal{B}$  with  $a \in B$ ). When  $U_k \in S$  for every  $k \in K$  (where K is any index set). Let  $a \in \bigcup_k U_k$ . Choose  $\ell \in K$  so that  $a \in U_\ell$ . Since  $U_\ell \in \mathcal{S}$ , we can choose  $B \in \mathcal{B}$  so that  $a \in B \subseteq U_\ell$ . Since  $U_\ell \subseteq \bigcup_k U_k$ , we have  $a \in B \subseteq \bigcup_k U_k$ . Thus  $\bigcup_k U_k$  satisfies \*, hence  $\bigcup_k U_k \in \mathcal{S}$  as required. Suppose  $U, V \in \mathcal{S}$  Let  $a \in U \cap V$ . Since  $U \in \mathcal{S}$  we can choose  $C \in \mathcal{B}$  with  $C \in \mathcal{S}$  we can choose  $C \in \mathcal{B}$  with  $C \in \mathcal{S}$  we can choose  $C \in \mathcal{B}$  with  $C \in \mathcal{S}$  we can choose  $C \in \mathcal{B}$  with  $C \in \mathcal{S}$  we can choose  $C \in \mathcal{B}$  with  $C \in \mathcal{S}$  we can choose  $C \in \mathcal{B}$  with  $C \in \mathcal{C}$  with

$$a \in B \subseteq C \cap D \subseteq U \cap V$$

Thus  $U\cap V$  satisfies \* so that  $U\cap V\in\mathcal{S}$  as required. Thus  $\mathcal{S}$  is a topology on X containing  $\mathcal{B}$ , hence  $\mathcal{T}\subseteq\mathcal{S}$ . Let us show that  $\mathcal{S}\subseteq\mathcal{R}$  let  $U\in\mathcal{S}$ . For each  $a\in U$ , choose  $B_a\in\mathcal{B}$  with  $a\in B_a\subseteq U$ . Then we have

$$U = \bigcup_{a \in U} B_a \in \mathcal{R}$$

Thus  $\mathcal{S} \subseteq \mathcal{R}$ . Finally note that  $\mathcal{R} \subseteq \mathcal{T}$  because if  $U = \bigcup_k B_k$  with  $B_k \in \mathcal{B}$ , then each  $B_k \in \mathcal{T}$ , and  $\mathcal{T}$  is a topology, so

$$U = \bigcup_{k \in K} B_k \in \mathcal{T}$$

Theorem 1.6

## Characterization of a Basis in terms of the Open Sets

Let X be a topological space with topology  $\mathcal T$ . Let  $\mathcal B\subseteq \mathcal T$ . Then  $\mathcal B$  is a basis for  $\mathcal T$  iff  $\forall U\in \mathcal T \forall a\in U\exists B\in \mathcal B\quad a\in B\subseteq U$ . \*

**Proof:** If  $\mathcal{B}$  is a basis for  $\mathcal{T}$ , then \* holds by part 1 of the previous theorem. Suppose \* holds. Let us show that  $\mathcal{B}$  is a basis of sets in X. Note that  $\mathcal{B}$  covers X since, taking U = X in \* we have  $\forall a \in X \exists B \in \mathcal{B} \quad a \in B \subseteq X$ . Also note that given  $C, D \in \mathcal{B}$  and  $a \in C \cap D$ , then by taking  $U = C \cap D$  in \* (noting that  $C, D \in \mathcal{B} \subseteq \mathcal{T}$  so that  $U = C \cap D \in \mathcal{T}$ ) we can choose  $B \in \mathcal{B}$  with  $a \in B \subseteq C \cap D$ . Thus  $\mathcal{B}$  is a basis of sets in X. It remains to show that  $\mathcal{T}$  is the topology generated by  $\mathcal{B}$ . Let  $\mathcal{S}$  be the topology generated by  $\mathcal{B}$ . By part 1 of the previous theorem, S is the set of all unions of

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elements in  $\mathcal{B}$ . Also  $\mathcal{S}$  is the smallest topology which contains  $\mathcal{B}$ . Since  $\mathcal{B} \subseteq \mathcal{T}$  and  $\mathcal{T}$  is a topology, we have  $\mathcal{S} \subseteq \mathcal{T}$ . Also we have  $\mathcal{T} \subseteq \mathcal{S}$  because given  $U \in \mathcal{T}$ , by property \*, for each  $a \in U$ , we can choose  $B_a \in \mathcal{B}$  with  $a \in B_a \subseteq U$ , and then we have  $U = \bigcup_{a \in U} B_a \in \mathcal{S}$  since it is a union of elements in  $\mathcal{B}$ 

## Example 1.6

When X is a metric space, the set  $\mathcal{B}$  of all open balls in X is a basis for the metric topology on X.

### Remark

We can use a basis for testing various topological properties:

When X is a topological space, and  $\mathcal{B}$  is a basis for the topology on X, and  $A\subseteq X$  and  $a\in X$ . Then

$$\begin{split} a &\in \operatorname{int}(A) \Longleftrightarrow \exists B \in \mathcal{B} \text{ with } a \in B \subseteq A \\ a &\in \overline{A} \Longleftrightarrow \forall B \in \mathcal{B} \text{ with } a \in B \quad B \cap A \neq \emptyset \\ a &\in A' \Longleftrightarrow \forall B \in \mathcal{B} \text{ with } a \in B \quad (B \setminus \{a\}) \cap A \neq \emptyset \\ a &\in \partial A \Longleftrightarrow \forall B \in \mathcal{B} \text{ with } a \in B \quad B \cap A \neq \emptyset \text{ and } B \cap (X \setminus A) \neq \emptyset \end{split}$$

### **Definition 1.8**

A topological space X is called *Hausdorff* when for all  $a, b \in X$  with  $a \neq b$ , there exist disjoint open sets U and V in X with  $a \in U$  and  $b \in V$ .

## Example 1.7

Metric spaces are Hausdorff

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## 1.3 Subspaces

## **Definition 1.9**

**Subspace Topology** 

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Let Y be a topological space with topology S, and  $X \subseteq Y$  be a subset. Let

$$\mathcal{T} \coloneqq \{V \cap X \,|\, V \in \mathcal{S}\}$$

Then  $\mathcal{T}$  is a topology on X:

Indeed  $\emptyset \in \mathcal{S}$  so  $\emptyset \cap X = \emptyset \in \mathcal{T}$  and  $Y \in \mathcal{S}$  so  $Y \cap X = X \in \mathcal{T}$ . If K is any index set and  $U_k \in \mathcal{T}$  for each  $k \in K$ , then for each  $k \in K$  we can choose  $V_k \in \mathcal{S}$  such that  $U_k = V_k \cap X$  and then we have

$$\begin{split} \bigcup_{k \in K} U_k &= \bigcup_{k \in K} (V_k \cap X) \\ &= \left( \bigcup_{k \in K} V_k \right) \cap X \in \mathcal{T} \end{split}$$

since  $\bigcup_{k \in K} V_k \in \mathcal{S}$ . Similarly, when K is finite and  $U_k \in \mathcal{T}$  for each  $k \in K$  we have  $\bigcap_{k \in K} U_k \in \mathcal{T}$  The topology  $\mathcal{T}$  on X is called the *subspace topology* on X (inherited from the topology on Y).

### Theorem 1.7

Let Y be a topological space, let  $\mathcal{C}$  be a basis for the topology on Y. Let  $X \subseteq Y$  be a subset. Then the set

$$\mathcal{B} = \{ C \cap X \mid C \in \mathcal{C} \}$$

is a basis for the subspace topology on X.

**Proof:** Exercise

### Theorem 1.8

Let Z be a topological space, let  $Y \subseteq Z$  be a subspace and  $X \subseteq Y$  be a subset. Then the subspace topology on X inherited from Y is equal to the subspace topology on X inherited from Z.

**Proof:** Exercise

## Theorem 1.9

Let Y be a metric space, (using the metric topology) and let  $X \subseteq Y$ . Then the subspace topology on X (inherited from the topology on Y) is equal to the metric topology on X using the metric on X obtained by restricting the metric on Y.

**Proof:** Exercise

Subspaces 8

## 1.4 Continuous Maps

### **Definition 1.10**

Let X, Y be topological spaces.

- 1. For  $f: X \to Y$  and  $a \in X$ , we say that f is *continuous at* a when for every open set  $V \subseteq Y$  with  $f(a) \in V$ , there exists an open set  $U \subseteq X$  with  $a \in U \subseteq f^{-1}(V)$ .
- 2. We say that f is *continuous* (in or on X) when for every open set  $V \subseteq Y$ ,  $f^{-1}(V)$  is open in X.
- 3. A homeomorphism from X to Y is is a bijective map  $f: X \to Y$  such that both f and its inverse  $f^{-1}: Y \to X$  are continuous. We say that X and Y are homeomorphic, and we write  $X \cong Y$ , when there exists a homeomorphism  $f: X \to Y$ . (and we remark that  $f^{-1}: Y \to X$  is also a homeomorphism).

### Theorem 1.10

Constant maps and inclusion maps are continuous.

**Proof:** For  $f: X \to Y$  given by  $f(x) = c \in Y$  for all  $x \in X$ . When V is open in Y,

$$f^{-1}(V) = \begin{cases} X \text{ if } c \in V \\ \emptyset \text{ if } c \not\in V \end{cases}$$

When  $X \subseteq Y$  is a subspace and  $f: X \to Y$  is given by f(x) = x for all  $x \in X$ , when V is open in Y.

$$f^{-1}(V) = \{x \in X \mid f(x) \in V\}$$
  
= \{x \in X \| x \in V\}  
= V \cap X

which is open in X. (when X uses the subspace topology)

### Remark

When Y is a topological space and  $X \subseteq Y$  we shall assume, unless otherwise noted, that X uses the subspace topology.

## Theorem 1.11

## **Equivalent Definitions of Continuity**

Let  $f: X \to Y$  be a map between topological spaces

- 1. f is continuous iff f is continuous at every  $a \in X$
- 2. f is continuous iff for every closed set  $K \subseteq Y$ ,  $f^{-1}(K)$  is closed in X.
- 3. If  $\mathcal{C}$  is a basis for the topology on Y then f is continuous iff for every  $C \in \mathcal{C}$ ,  $f^{-1}(C)$  is open in X.

**Proof of 1:** Suppose f is continuous on X. Let  $a \in X$ . Let V be an open set in Y with  $f(a) \in V$ . Let  $U = f^{-1}(V)$ , then  $f^{-1}(V)$  is open, since f is continuous and  $a \in U \subseteq f^{-1}(V)$ . Suppose, conversely, that f is continuous at every  $a \in X$ . Let V be an open set in Y. For each  $a \in f^{-1}(V)$  since f is continuous at a with  $f(a) \in V$ , we can choose an open set  $U_a$  in X with  $a \in U_a \subseteq f^{-1}(V)$ . Then

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$$f^{-1}(V) = \bigcup_{a \in f^{-1}(V)} U_a$$

which is open in X, since it is a union in open sets in X.

### Theorem 1.12

Let  $f:X\to Y, g:Y\to Z$  be continuous maps between topological spaces, then the composite map  $h=g\circ f:X\to Z$  is continuous.

**Proof:** Show that  $h^{-1}(W) = f^{-1}(g^{-1}(W))$ 

### Remark

Homeomorphism of topological spaces behaves like an equivalence relation on the class of all topological spaces. For topological spaces X, Y, Z

- 1.  $X \cong X$  (since  $id_X$  is a homeomorphism a special case of the inclusion map)
- 2. If  $X \cong Y$  then  $Y \cong X$  (when  $f: X \to Y$  is a homeomorphism, so is  $f^{-1}: Y \to X$ )
- 3. If  $X \cong Y \cong Z$  then  $X \cong Z$  (if  $f: X \to Y, g: Y \to Z$  are homeomorphisms then so is  $g \circ f$ )

## Theorem 1.13 Restriction of Domain and Restriction or Expansion of Codomain

Let X, Y, Z be topological spaces. Suppose  $f: X \to Y$  is continuous.

- 1. For any subspace  $A \subseteq X$ , the restriction  $f|_A : A \to Y$  is continuous.
- 2. If  $Y \subseteq Z$  is a subspace then  $f: Y \to Z$  is continuous and if  $B \subseteq Y$  with  $f(X) \subseteq B$ , then  $f: X \to B$  is continuous.

*Proof:* Exercise

## **Lemma 1.14**

Glueing/Pasting Lemma

Let  $f: X \to Y$  be a map between topological spaces

- 1. If  $X = \bigcup_{k \in K} U_k$  where each  $U_k$  is open in X and if each restriction map  $f|_{U_k} : U_k \to Y$  is continuous (where  $U_k$  is using the subspace topology), then f is continuous.
- 2. If  $X=C_1\cup\cdots\cup C_n$  where each  $C_k$  is closed in X, and if each restriction  $f|_{C_k}:C_k\to Y$  is continuous, then f is continuous.

**Proof of 1:** Suppose  $X = \bigcup_{k \in K} U_k$  where each  $U_k$  is open in X and suppose each restriction  $f|_{U_k}$  is continuous. Let  $V \subseteq Y$  be open. Note that

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$$\begin{split} f^{-1}(V) &= \{x \in X \,|\, f(x) \in V\} \\ &= \bigcup_{k \in K} \{x \in U_k \,|\, f(x) \in V\} \\ &= \bigcup_{k \in K} \left\{x \in U_k \,\big|\, f|_{U_k}(x) \in V\right\} \\ &= \bigcup_{k \in K} f|_{U_k}^{-1}(V) \end{split}$$

For each  $k \in K$ , since  $f|_{U_k}$  is continuous, we know that  $f|_{U_k}^{-1}(V)$  is open in  $U_k$ . Since  $U_k$  is using the subspace topology, we can choose an open  $W_k$  in X such that  $f|_{U_k}^{-1}(V) = W_k \cap U_k$ . This is open in X since  $W_k$  and  $U_k$  are both open in X. Since  $f^{-1}(V) = \bigcup_{k \in K} f|_{U_k}^{-1}(V)$  it is a union of open sets in X, so it is open in X. Thus f is continuous.

**Proof of 2:** Exercise. First show that for  $f: X \to Y$ , f is continuous iff  $f^{-1}(C)$  is closed in X for every closed set C in Y. And, show that when  $A \subseteq X \subseteq Y$ , A is closed in X (using the subspace topology from Y) iff  $A = B \cap X$  for some closed set B in Y.

## Example 1.8

The map  $f:\mathbb{R}\to\mathbb{R}$  given by  $f(x)=\left\{egin{array}{l} 2x&x\leq0\\ x^2&x>0 \end{array}
ight.$  is continuous.

## 1.5 Examples of Homeomorphisms

## Example 1.9

The circle

$$\{(x,y) \in \mathbb{R}^2 \mid x^2 + y^2 = 1\}$$

in  $\mathbb{R}^2$  is homeomorphic to the ellipse

$$\left\{ (x,y) \in \mathbb{R}^2 \, \bigg| \, \frac{(x-a)^2}{A^2} + \frac{(y-b)^2}{B^2} = 1 \right\}$$

in  $\mathbb{R}^2$ 

## Example 1.10

 $\mathbb{R}\cong (-1,1)\subseteq \mathbb{R}$ 

## Example 1.11

The standard unit n-sphere in  $\mathbb{R}^{n+1}$  is the set

$$\mathbb{S}^n = \{ x \in \mathbb{R}^{n+1} \, | \|x\| = 1 \}$$

Where p is the north pole

$$p = e_{n+1} = (0, ..., 0, 1) \in \mathbb{S}^n$$

We have  $\mathbb{S}^n \setminus \{p\} \cong \mathbb{R}^n$ 

# 2 Examples of Topological Spaces

### **Definition 2.1**

Let X be a set. We sometimes write  $X_t$  to indicate that X is using the trivial topology  $\mathcal{T}_t = \{\emptyset, X\}$ . We sometimes write  $X_d$  to indicate X is using the discrete topology  $\mathcal{T}_d = \mathcal{P}(X)$ . We sometimes write  $X_c$  to indicate X is using the co-finite topology  $\mathcal{T}_c = \{A \subseteq X \mid A = \emptyset \text{ or } X \setminus A \text{ is finite}\}$ . Note the closed sets in  $X_c$  are exactly the finite ones and X.

## **Definition 2.2**

When X is a metric space, we assume, unless otherwise indicated, that X uses the metric topology. Sometimes, we might write  $X_m$  to indicate that X is using the metric topology  $\mathcal{T}_m$ .

### **Definition 2.3**

When Y is a topological space, and  $X\subseteq Y$ , we assume, unless otherwise indicated, that X uses the subspace topology. Sometimes, we might write  $X_s$  to indicate that X is using the subspace topology  $\mathcal{T}_s$ . When  $X\subseteq \mathbb{R}^n$ , we shall assume, unless otherwise indicated, that X is using  $\mathcal{T}_m=\mathcal{T}_s$ 

## **Definition 2.4**

Let X be a set. A (strict, linear or total) order on X is a binary relation < on X such that

1. For all  $x, y \in X$  exactly one of the following holds:

a. 
$$x < y$$

b. 
$$x = y$$

c. 
$$y < x$$

2. For all  $x, y, z \in X$ , if x < y and y < z then x < z

An *ordered set* is a set X with an order <. When X is an ordered set, we also define  $\leq$ , >,  $\geq$  by stipulating that for all  $x, y \in X$ 

$$x \le y \iff (x < y \lor x = y)$$

$$x > y \Longleftrightarrow y < x$$

$$x \ge y \Longleftrightarrow y \le x$$

### Remark

In an ordered set X we can define an *upper bound*, a *lower bound*, the *supremum*, the *infimum*, the *maximum*, and the *minimum* of a subset  $A \subseteq X$ .

## Example 2.1

Let X be an ordered set and  $A \subseteq X$ ,  $M = \max(A)$  when  $M \in A$  with  $M \ge x$  for all  $x \in A$ . Similarly, m for minimum.

### **Definition 2.5**

When X is an ordered set, we have the following subsets which are called *intervals* in X. For  $a,b\in X$  with a< b we have

$$(a, b) := \{x \in X \mid a < x < b\}$$

$$(a, b] := \{x \in X \mid a < x \le b\}$$

$$[a,b) := \{x \in X \mid a \le x < b\}$$

$$[a,b] := \{x \in X \mid a \le x \le b\}$$

### **Definition 2.6**

Let X be an ordered set. The *order topology* on X is the topology  $\mathcal{T}_o$  which is generated by the basis  $\mathcal{B}_o$  of sets in X which consist of the following intervals:

- (a, b) where  $a, b \in X$ , a < b
- (a, M] where  $M = \max X$  and  $a \in X$  with  $a \neq M$  (in the case that X has a maximum)
- [m,b) where  $m=\min X$  and  $b\in X$  with  $b\neq m$  (in the case that X has a minimum)

We sometimes write  $X_o$  to indicate that X is using the order topology  $\mathcal{T}_o$ 

## Exercise 2.0.1

Verify  $\mathcal{B}_o$  is a basis.

## Example 2.2

$$\mathbb{R} = \mathbb{R}_o = \mathbb{R}_m$$

### **Definition 2.7**

Let X be an ordered set the *lower limit topology* on X is the topology  $\mathcal{T}_{\ell}$  generated by the basis  $\mathcal{B}_{\ell}$  which consists of intervals of the form [a,b) where  $a,b\in X$  with a< b we sometimes write  $X_{\ell}$  to indicate that X is using the lower limit topology.

### Note

on  $\mathbb{R}$ ,  $\mathcal{T}_{\ell}$  is not equal to  $\mathcal{T}_m$ . Note that when  $a, b \in \mathbb{R}$  with a < b,

$$(a,b) = \bigcup_{n=m}^{\infty} \left[ a + \frac{1}{n}, b \right)$$
 where  $\frac{1}{m} < b - a$ 

which is open in  $\mathbb{R}_{\ell}$ . So we have  $\mathcal{T}_o \subseteq \mathcal{T}_{\ell}$ 

## Example 2.3

Let  $X=(0,1)\cup\{2\}\subseteq\mathbb{R}$ . Note that  $\mathcal{T}_o\neq\mathcal{T}_m=\mathcal{T}_s$  on X. (Where X uses the standard order inherited from  $\mathbb{R}$ ). For example  $\{2\}$  is open in  $X_m$ . But is not open in  $X_o$  because any open set in  $X_o$  which contains 2, must contain a basic open set B with B0. So it must contain a set of the form

$$B = (a,2]_X = (a,1) \cup \{2\}$$
 where  $a \in (0,1)$ 

So they include elements other than 2

## Example 2.4

When X is an ordered set, the *dictionary* (or *lexicographic*) order on  $X^2$  is given by

$$(a,b) < (c,d) \iff (a=c \text{ and } b < d) \text{ or } a < c$$

Note that on  $\mathbb{R}^2$ , the order topology  $\mathcal{T}_o$  is not equal to the standard metric topology  $\mathcal{T}_m$ 

# 2.1 Products of Topological Spaces

### **Definition 2.8**

Let X, Y be sets, then the Cartesian product of X and Y is

$$X \times Y = \{(x,y) \mid x \in X, y \in Y\}$$

## **Definition 2.9**

Let K be a non-empty index set and let  $X_k$  be a set for each  $k \in K$ . Then the Cartesian product of the (indexed set of) sets  $X_k$ ,  $k \in K$ 

$$\prod_{k \in K} X_k = \left\{ x : K \to \bigcup_{k \in K} X_k \, \middle| \, x(k) \in X_k \text{ for all } k \in K \right\}$$

and we write x(k) as  $x_k$ . In the case that  $K = \{1, ..., n\}$  we write

$$\prod_{k \in K} X_k = \prod_{k=1}^n X_k = X_1 \times \dots \times X_n$$

In the case that  $K = \mathbb{Z}^+$  we write

$$\prod_{k \in K} X_k = \prod_{k=1}^\infty X_k = X_1 \times X_2 \times \cdots$$

In the case that  $K = \{1, ..., n\}$  and  $X_k = X$  for all  $k \in K$ , we also write

$$\prod_{k \in K} X_k = \prod_{k \in K} X = \underbrace{X \times X \times \cdots \times X}_{n \text{ times}} = X^n$$

In the case that  $K = \mathbb{Z}^+$ , and  $X_k = X$  for all  $k \in K$ , we also write

$$\prod_{k \in K} X_k = \prod_{k=1}^\infty = X \times X \times \dots = X^\omega$$

In the case that *X* is a vector space, we write

$$X^{\infty} = \{x = (x_1, x_2...) \in X^{\omega} \, | \, x_k = 0 \text{ for all but finitely many } k \in \mathbb{Z}^+ \}$$

In this case  $X^{\infty}$  and  $X^{\omega}$  are both vector spaces.

When  $X_k$  is a set for each  $k \in K$ , for each  $\ell \in K$  we have the projection map

$$p_\ell: \prod_{k\in K} X_k \to X_\ell$$

given by  $p_\ell(x)=x_\ell=x(\ell)$ . For any set Y, a function  $f:Y\to\prod_{k\in K}X_k$  determines, and is determined by, its component functions

$$f_{\ell}: Y \to X_{\ell}$$

where  $f_\ell = p_\ell \circ f$  so  $f_\ell(y) = f(y)_\ell = f(y)(\ell)$ 

## **Definition 2.10**

When  $X_k$  is a topological space for each  $k \in K$ , there are two commonly used topologies on  $\prod_{k \in K} X_k$ .

1. The box topology on  $\prod_{k\in K} X_k$  is the topology generated by the basis of sets of the form

$$\prod_{k \in K} U_k \subseteq \prod_{k \in K} X_k$$

Where each  $U_k$  is open in  $X_k$ 

2. The *product topology* on  $\prod_{k \in K} X_k$  is the topology generated by the basis of sets consisting of the sets of the form  $\prod_{k \in K} U_k$  where each  $U_k$  is open in  $X_k$  with  $U_k = X_k$  for all but finitely many  $k \in K$ .

## Note

The above two proposed bases are indeed bases of sets because

$$\left(\prod_{k\in K}U_k\right)\cap\left(\prod_{k\in K}V_k\right)=\prod_{k\in K}(U_k\cap V_k)$$

Also note that when K is finite, these two topologies are equal. When K is infinite, the box topology is finer than the product topology.

### Theorem 2.1

Let  $\mathcal{B}_k$  be a basis for  $X_k$  for each  $k \in K$ . Then the set of sets of the form

$$\prod_{k \in K} B_k \text{ where } B_k \in \mathcal{B}_k \text{ for all } k \in K$$

is a basis for the box topology on  $\prod_{k \in K} X_k$ , and the set of sets of the form

$$\prod_{k \in K} B_k$$
 where  $B_k \in \mathcal{B}_k \cup \{X_k\}$  for all  $k \in K$ 

with  $B_k = X_k$  for all but finitely many  $k \in K$  is a basis for the product topology on  $\prod_{k \in K} X_k$ .

**Proof:** Exercise

### Theorem 2.2

For each  $k \in K$ , let  $X_k$  be a subspace of  $Y_k$  (using the subspace topology). Then the box topology on  $\prod_{k \in K} X_k$  is equal to the subspace topology on  $\prod_{k \in K} X_k$  as a subspace of  $\prod_{k \in K} Y_k$  using the box topology, and the product topology on  $\prod_{k \in K} X_k$  is equal to the subspace topology on  $\prod_{k \in K} X_k$  as a subspace of  $\prod_{k \in K} Y_k$  using the product topology.

### Theorem 2.3

Let Y be a topological space, and let  $X_k$  be a topological space for each  $k \in K$ , and let  $f: Y \to \prod_{k \in K} X_k$ . Then when  $\prod_{k \in K} X_k$  uses the product topology, f is continuous if and only if each component map  $f_\ell: Y \to X_\ell$  is continuous.

**Proof:** Suppose that f is continuous, then (using either the box or product topologies on  $\prod_{k \in K} X_k$ ) each projection map  $p_\ell : \prod_{k \in K} X_k \to X_\ell$  is continuous because when  $U \subseteq X_\ell$  is open,

$$\begin{split} p_\ell^{-1}(U) &= \left\{ x \in \prod_{k \in K} X_k \,\middle|\, x_\ell = p_\ell(x) \in U \right\} \\ &= \prod_{k \in K} U_k \end{split}$$

where

$$U_k = \begin{cases} U & \text{if } k = \ell \\ X_k & \text{if } k \neq \ell \end{cases}$$

which is open in  $\prod_{k \in K} X_k$  (using either the box or product topology) It follows that each component function  $f_\ell$  is continuous because

$$f_{\ell} = p_{\ell} \circ f$$

Suppose, conversely, that each component map

$$f=p_{\ell}\circ f:Y\to \prod_{k\in K}X_k$$

is continuous, and that  $\prod_{k\in K} X_k$  is using the product topology. To show that f is continuous, it suffices to show that  $f^{-1}(B)$  is open in Y for every basic open set B in  $\prod_{k\in K} X_k$ . Let B be a basic open set (for the product topology) on  $\prod_{k\in K} X_k$ . Say  $B=\prod_{k\in K} U_k$  where each  $U_k$  is open in  $X_k$  with  $U_k=X_k$  for all but finitely many indices  $k\in K$ . Let  $L\subseteq K$  be the finite set of all indices  $k\in K$  for which  $U_k\neq X_k$ . We have

$$\begin{split} f^{-1}(B) &= \left\{ y \in Y \,\middle|\, f(y) \in \prod_{k \in K} U_k \right\} \\ &= \left\{ y \in Y \,\middle|\, f_k(y) = f(y)_k \in U_k \text{ for all } k \in K \right\} \\ &= \left\{ y \in Y \,\middle|\, f_k(y) \in U_k \text{ for all } k \in L \right\} \\ &= \bigcap_{k \in L} f_k^{-1}(U_k) \end{split}$$

Which is open in Y since it is a finite intersection of open sets in Y (with  $f_k^{-1}(U_k)$ ) is open in Y because  $U_k$  is open in  $X_k$  and  $f_k:Y\to X_k$  is continuous.

## Remark

$$\mathbb{R}^\infty \subseteq \ell_1 \subseteq \ell_p \subseteq \ell_q \subseteq \ell_\infty \subseteq \mathbb{R}^\omega$$

for  $1 \le p \le q \le \infty$ . Recall that these norms induce different topologies.

Question: do any of the *p*-norms induce the box or product topology on  $\mathbb{R}^{\infty} \subseteq \mathbb{R}^{\omega}$ ? Question: is there a norm or metric on  $\mathbb{R}^{\omega}$  which induces the box or product topology?

## Remark

Also, we have the p-norms on  $\mathbb{R}^n$ . They all give the same topology on  $\mathbb{R}^n$ . More generally, when X is a finite dimensional vector space, all norms on X induce the same topology on X. When  $L:X\to Y$  is a linear map between normed linear spaces, L is continuous iff  $\|L\|_{\mathrm{op}}<\infty$  iff  $L\left(\overline{B_X}(0,1)\right)$  is bounded in Y. And when X is finite dimensional,  $\overline{B_X}(0,1)$  is compact and  $L\left(\overline{B_X}(0,1)\right)$  is bounded, so L is continuous. In particular, when X is finite dimensional and  $\|\cdot\|_1, \|\cdot\|_2$  are two norms on X,

$$\operatorname{id}_X: (X, \|\cdot\|_1) \longrightarrow (X, \|\cdot\|_2)$$

is continuous, and it is equal to its own inverse which is continuous, so  $\mathrm{id}_X$  is a homeomorphism, so for a set  $U\subseteq X, U$  is open in  $(X,\|\cdot\|_1)$  if and only if U is open in  $(X,\|\cdot\|_2)$ . Consequently, every finite dimensional vector space X has a standard topology. (Pick a basis  $\{u_1,...,u_n\}$ , define

$$\left\langle \sum x_k u_k, \sum y_k u_k \right\rangle = \sum x_k y_k = x \cdot y$$

So the map  $L: X \to \mathbb{R}^n$  given by

$$L\left(\sum x_k u_k\right) = \sum x_k e_k = x$$

is an inner product space isomorphism.) Then use the inner product to define a norm, a metric, and a topology. The resulting topology doesn't depend on the choice of basis.

## 2.2 Quotient Spaces

### **Definition 2.11**

Let X be a set. Let  $\sim$  be an equivalence relation on X. For  $a \in X$ , the equivalence class of a is

$$[a] = \{ x \in X \mid a \sim x \}$$

Recall distinct equivalence classes are disjoint, and X is the disjoint union of distinct equivalence classes. The set of all equivalence classes is denoted by  $X/\sim$ , is called the quotient set of X by  $\sim$ .

$$X/{\sim} = \{[a] \,|\, a \in X\}$$

The map  $q: X \to X/\sim$  given by  $x \mapsto [x]$  is called the quotient map.

## **Definition 2.12**

When X is a topological space, the *quotient topology* on  $X/\sim$  is the topology obtained by stipulating that for  $V \subseteq X/\sim$ , V is open in  $X/\sim$  if and only if  $q^{-1}(V)$  is open in X.

### Note

When  $V \subseteq X/\sim$  so V is a set of equivalence classes.

$$\begin{split} q^{-1}(V) &= \{x \in X \,|\, q(x) \in V\} \\ &= \{x \in X \,|\, [x] \in V\} \\ &= \bigcup_{[x] \in V} [x] \\ &= \bigcup_{[x] \in V} [x] \end{split}$$

### Remark

For sets X and Y,

1. When Y is a topological space and  $X \subseteq Y$  is a subset, the subspace topology is the coarsest topology on X for which the inclusion map  $i: X \to Y$  is continuous

$$i^{-1}(V) = \{x \in X \, | \, i(x) \in V\} = \{x \in X \, | \, x \in V\} = V \cap X$$

2. When X and Y are both topological spaces, the product topology on  $X \times Y$  is the coarsest topology for which the two projection maps  $p_X: X \times Y \to X, p_Y: X \times Y \to Y$  are both continuous

$$p_X^{-1}(U) = U \times Y \quad p_Y^{-1}(V) = V \times X$$

3. When X is a topological space and  $\sim$  an equivalence relation on X, the quotient topology on  $X/\sim$  is the finest topology on  $X/\sim$  for which the quotient map  $q:X\to X/\sim$  is continuous

## Note

Let X be a set and  $\sim$  an equivalence relation on X. Note that any function  $g: X/\sim \to Y$  (where Y is any set) determines and is determined by a function  $f: X \to Y$  which is constant on equivalence classes (meaning that for  $x_1, x_2 \in X$  if  $x_1 \sim x_2$  then  $f(x_1) = f(x_2)$ ) with g given by g([x]) = f(x) and with f given by  $f = g \circ q$ . So f(x) = g(q(x)) = g([x])

### Theorem 2.4

Let X, Y be topological spaces. Let  $\sim$  be an equivalence relation on X. Let  $f: X/\sim \to Y$ . Let  $g: X \to Y$  be the map given by g(x) = f([x]), that is  $g = f \circ q$ . Then f is continuous if and only if g is continuous.

**Proof:** If f is continuous, then g is continuous because  $g = f \circ q$  which is the composite of two continuous maps. Suppose that g is continuous. Let  $V \subseteq Y$ , be open. We need to show that  $f^{-1}(V)$  is open in  $X/\sim$ . By definition of the quotient topology

$$f^{-1}(V)$$
 is open in  $X/\sim \iff q^{-1}(f^{-1}(V))$  is open in X

But

$$q^{-1}(f^{-1}(V)) = (f \circ q)^{-1}(V) = g^{-1}(V)$$

Which is open in X since g is continuous.

## **Definition 2.13**

For a group G and a set X, a *group action* of G on X is a function  $*: G \times X \to X$ , where we write \*(a, x) as a \* x or ax, such that

- 1. When  $e \in G$  is the identity element we have e \* x = x for all  $x \in X$ .
- 2. For all  $a, b \in G$  and all  $x \in X$ , we have

$$a*(b*x) = \underbrace{(ab)}_{\text{group op}} *x$$

We say that G acts on X (by using the group action).

## Remark

A group action of G on X determines and is determined by a group homomorphism  $\rho: G \to \operatorname{Perm}(X)$  where  $\rho(a)(x) = a * x$  (the homomorphism  $\rho$  is called a *representation* of G)

### Remark

Given an action of G on X, we can define an equivalence relation on X by

$$x \sim y \iff y = a * x \text{ for some } a \in G.$$

In this case, the equivalence class of x is called the *orbit of* x (we might write [x] as Orb(x)) and we write the quotient  $X/\sim$  as X/G. So

$$X/G = \{[x] \mid x \in X\}$$
$$= \{ \operatorname{Orb}(x) \mid x \in X \}$$

## Example 2.5

For  $\mathbb{S}^1=\left\{u\in\mathbb{R}^2\left|\|u\|=1\right\}\right\}$ , we have  $\mathbb{S}^1\times\mathbb{R}\cong\mathbb{R}^2\setminus\{0\}$ . Define

$$f: \mathbb{S}^1 \times \mathbb{R} \longrightarrow \mathbb{R}^2 \setminus \{0\}$$
$$(u, t) \longmapsto e^t u$$

and define

$$g: \mathbb{R}^2 \setminus \{0\} \longrightarrow \mathbb{S}^1 \times \mathbb{R}$$
$$x \longmapsto \left(\frac{x}{\|x\|}, \ln \|x\|\right)$$

These maps are continuous (they are elementary functions) and they are inverses of each other.

## Example 2.6

 $\mathbb{S}^1$  acts on  $\mathbb{R}^2 = \mathbb{C}$  by complex multiplication. For  $a \in \mathbb{R}^2 = \mathbb{C}$ ,

$$Orb(a) = [a] = \{ua \mid u \in \mathbb{S}^1\}$$

which is equal to the circle centered at 0 of radius ||a|| (with  $[0] = \{0\}$ ). Show that  $\mathbb{R}^2/\mathbb{S}^1 \cong [0, \infty) \subseteq \mathbb{R}$  we define

$$f: \mathbb{R}^2/\mathbb{S}^1 \longrightarrow [0, \infty)$$
$$[x] \longmapsto \|x\|$$

and define

$$\begin{split} h:[0,\infty) &\longrightarrow \mathbb{R}^2/\mathbb{S}^1 \\ r &\longmapsto [r] = [(r,0)] = \big\{ re^{i\theta} \mid \theta \in \mathbb{R} \big\} \end{split}$$

Note that f is continuous because for the map  $g:\mathbb{R}^2\to [0,\infty)\subseteq\mathbb{R}$  given by  $g(x)=\|x\|$ . We have  $g=f\circ q$ . Since g is continuous, it follows that f is continuous. Also h is continuous because  $h=q\circ i$  where  $i:[0,\infty)\to\mathbb{R}^2$  is the inclusion map i(r)=(r,0). Finally, note that f and h are inverses.

## Example 2.7

 $\mathbb{R}^+ = (0, \infty)$  acts on  $\mathbb{R}^2$  be multiplication that is by t \* x = tx. The orbits are for  $o \neq x \in \mathbb{R}^2$ ,  $[x] = \{tx \mid 0 < t \in \mathbb{R}\}$  which is the (open) ray from 0 through x and  $[0] = \{0\}$ . Each of the rays [x] for  $0 \neq x \in \mathbb{R}^2$  intersects a unique point on  $\mathbb{S}^1$ . Which gives a fairly natural bijective map

$$\begin{split} f: \mathbb{R}^2/\mathbb{R}^+ &\longrightarrow \mathbb{S}^1 \cup \{0\} \\ [x] &\longmapsto \begin{cases} \frac{x}{\|x\|} \text{ if } 0 \neq x \in \mathbb{R}^2 \\ 0 \text{ if } x = 0 \in \mathbb{R}^2 \end{cases} \end{split}$$

The inverse  $g:\mathbb{S}^1\cup\{0\}\to\mathbb{R}^2/\mathbb{R}^+$  is given by  $u\mapsto [u]$ . Note that g is continuous  $(g=q\circ i)$  where i is the inclusion map  $i:\mathbb{S}^1\cup\{0\}\to\mathbb{R}^2$ . But f is not continuous, for example the set  $\{0\}$  is open in  $\mathbb{S}^1\cup\{0\}$  (it is an open ball) but  $f^{-1}(\{0\})=\{[0]\}\subseteq\mathbb{R}^2/\mathbb{R}^+$  and  $q^{-1}(\{[0]\})=\{0\}$  is not open in  $\mathbb{R}^2$ . In fact,  $\mathbb{R}^2/\mathbb{R}^+\ncong\mathbb{S}^1\cup\{0\}$ . One way to show this is to note that  $\mathbb{S}^1\cup\{0\}$  has a singleton which is open  $(\{0\})$ , but  $\mathbb{R}^2/\mathbb{R}^+$  has no singleton which is open.

## Remark

 $\mathbb{R}^2/\mathbb{R}^+$  is not Hausdorff, so it is not metrizable (there is no metric we can define on  $\mathbb{R}^2/\mathbb{R}^+$  for which that quotient topology is equal to the metric topology)

## Example 2.8

 $\mathbb{Z}$  acts by addition on  $\mathbb{R}$  (by n\*x=x+n). The orbits are the sets  $[x]=\{x+n\,|\,n\in\mathbb{Z}\}=x+\mathbb{Z}$  Show that  $\mathbb{R}/\mathbb{Z}\cong\mathbb{S}^1$ . Define

$$f: \mathbb{R}/\mathbb{Z} \longrightarrow \mathbb{S}^1$$
$$[t] \longmapsto e^{i2\pi t}$$

(and note that when [s] = [t] say s = t + n where  $n \in \mathbb{Z}$  we have

$$e^{i2\pi s}=e^{i2\pi(t+n)}=e^{i2\pi t}$$

) Note that f is continuous because the map  $f:\mathbb{R}\to\mathbb{S}^1$  given by  $g(t)=e^{i2\pi t}$  is continuous with  $g=f\circ q$ . The inverse map

$$h: \mathbb{S}^1 \longrightarrow \mathbb{R}/\mathbb{Z}$$
$$e^{i\theta} \longmapsto \left[\frac{\theta}{2\pi}\right]$$

To see that h is continuous, we can express h in Cartesian coordinates. We remark that there is an angle map

$$\theta: \mathbb{R}^2 \setminus \{0\} \longrightarrow [0, 2\pi)$$
 
$$(x, y) \longmapsto \begin{cases} \arccos \frac{x}{\sqrt{x^2 + y^2}} & \text{if } y > 0 \text{ or } (y = 0 \text{ and } x \neq 0) \\ 2\pi - \arccos \frac{x}{\sqrt{x^2 + y^2}} & \text{if } y < 0 \text{ or } (y = 0 \text{ and } x < 0) \end{cases}$$

This map is not continuous along the positive x-axis. In Cartesian coordinates,  $h: \mathbb{S}^1 \to \mathbb{R}/\mathbb{Z}$  is given by

$$h(x,y) = \begin{cases} \left[\frac{1}{2\pi}\arccos(x)\right] & \text{if } y \ge 0\\ \left[1 - \frac{1}{2\pi}\arccos(x)\right] & \text{if } y \le 0 \end{cases}$$

that is by

$$h(x,y) = \begin{cases} h_1(x,y) & \text{if } (x,y) \in A \\ h_2(x,y) & \text{if } (x,y) \in B \end{cases}$$

Where

$$A = \left\{ (x, y) \in \mathbb{S}^1 \mid y \ge 0 \right\}$$

$$B=\left\{ (x,y)\in \mathbb{S}^1 \, \middle| \, y\leq 0 \right\}$$

and

$$h_1(x,y) = \frac{1}{2\pi} \arccos x$$
 
$$h_2(x,y) = 1 - \frac{1}{2\pi} \arccos x$$

# 3 Connected, Path-Connected and Compact Spaces

### **Definition 3.1**

Let X be a topological space. For subsets  $A, B \subseteq X$ , we say that A and B separate X when  $A \neq \emptyset$ ,  $B \neq \emptyset$ ,  $A \cap B = \emptyset$  and  $A \cup B = X$ . We say that X is disconnected when there exist (nonempty disjoint) open sets  $U, V \subseteq X$  which separate X. Otherwise, we say that X is connected.

## **Proposition 3.1**

*X* is connected if and only if the only clopen sets are *X* and  $\emptyset$ .

**Proof:** If X is disconnected, we can find open sets  $U, V \subseteq X$  which separate X then the sets  $\emptyset, U, V, X$  are clopen. On the other hand, if  $\emptyset \neq U \subsetneq X$  with both U both open and closed in X, then U and  $V = X \setminus U$  are open sets in X which separate X.

## Exercise 3.0.1

When X is a metric space and  $A \subseteq X$  is a subspace, then A is connected if and only if there do not exist open sets U, V in X such that  $U \cap A \neq \emptyset$ ,  $V \cap A \neq \emptyset$ ,  $U \cap V = \emptyset$  and  $A \subseteq U \cup V$ .

## Example 3.1

The connected sets in  $\mathbb{R}$  are the intervals (including  $\emptyset$ ,  $\{a\}$ ,  $\mathbb{R}$ )

## Example 3.2

The (non-empty) connected subsets of  $\mathbb Q$  are the singletons (by using the density of the irrationals)

### Theorem 3.2

If  $f: X \to Y$  is a continuous map between topological spaces, and if X is connected, then f(X) is connected.

**Proof:** Suppose X is connected and  $f: X \to Y$  is continuous. By restricting the codomain, the map  $f: X \to f(X)$  is also continuous. Suppose, for a contradiction that f(X) is disconnected. Let U, V be open sets in f(X) which separate f(X). Then  $f^{-1}(U)$  and  $f^{-1}(V)$  are open sets in X which separate X, so that X is disconnected, giving the desired contradiction.

## Lemma 3.3

Let X be a subspace of Y. Suppose Y is disconnected. Let U, V be open sets in Y that separate Y. If X is connected, then  $X \subseteq U$  or  $X \subseteq V$ .

**Proof:** Suppose  $X \nsubseteq U$  and  $X \nsubseteq V$ . Since  $U \cup V = Y$ , it follows that  $X \cap U \neq \emptyset$  and  $X \cap V \neq \emptyset$ . And these two sets are open sets in X which separate X.

## Theorem 3.4

Let  $X=\bigcup_{k\in K}A_k$  where each subspace  $A_k$  is connected. With  $\bigcap_kA_k\neq\emptyset$ . Then X is connected.

**Proof:** Suppose, for a contradiction, that X is disconnected. Let U, V be open sets in X which separate X. Let  $p \in \bigcap_{k \in K} A_k \subseteq X = U \cup V$ . Either  $p \in U$  or  $p \in V$  (but not both) say  $p \in U$ . For each index k, since  $A_k$  is connected either  $A_k \subseteq U$  or  $A_k \subseteq V$  and since  $p \in A_k, p \notin V$ , we must have  $A_k \subseteq U$ . Since  $A_k \subseteq U$  for every  $k \in K$ , we have  $X = \bigcup_{k \in K} A_k \subseteq U$ . This is not possible since U and V separate X.

### Theorem 3.5

The product of two connected spaces is connected.

**Proof:** Let X and Y be connected spaces. Suppose both X and Y are nonempty (since if either one was,  $\emptyset$  is connected). Choose  $a \in X$  and  $b \in Y$  so  $(a,b) \in X \times Y$ . Since  $X \times \{b\} \cong X$  and X is connected, it follows that  $X \times \{b\}$  is connected. For each  $x \in X$ , since  $\{x\} \times Y \cong Y$  and Y is connected, it follows that  $\{x\} \times Y$  is connected. Since  $X \times \{b\}$  and  $\{x\} \times Y$  are connected and  $(X \times \{b\}) \cap (\{x\} \times Y) \neq \emptyset$  (since (x,b) is in both), it follows from the previous theorem that the set  $A_x = (X \times \{b\}) \cup (\{x\} \times Y)$  is connected. Since each  $A_x$  is connected and  $\bigcap_{x \in X} A_x \neq \emptyset$  (indeed (a,b) is in the intersection) it follows that  $\bigcup_{x \in X} A_x = X \times Y$  is connected.

### Lemma 3.6

Let X be a subspace of Y. Let U,V be subsets of X which separate X (not necessarily open). Then U is open in X if and only if  $U \cap \overline{V} = \emptyset$ . Symmetrically, V is open in X if and only if  $V \cap \overline{U} = \emptyset$  where  $\overline{U} = \operatorname{Cl}_V(U), \overline{V} = \operatorname{Cl}_V(V)$ 

### Theorem 3.7

Let X be a topological space, let A, B be subspaces with  $A \subseteq B \subseteq \overline{A}$ . If A is connected, then so is B. In particular, if A is connected, then so is  $\overline{A}$ .

**Proof:** Suppose A is connected. Suppose for a contradiction that B is not connected. Let  $U, V \subseteq B$  be open sets in B which separate B. Since A is connected and U, V are open sets in B, which separate B, by previous lemma, either  $A \subseteq U$  or  $A \subseteq V$ . Say  $A \subseteq U$ . Since  $A \subseteq U$  we have  $\overline{A} \subseteq \overline{U}$  so that  $B \subseteq \overline{A} \subseteq \overline{U}$ . By the previous lemma,  $V \cap \overline{U} = \emptyset$  hence  $V \cap B = \emptyset$ , but  $V \subseteq B$  so  $V = \emptyset$  which contradicts the fact that U and V separate B.

### Theorem 3.8

Let  $X_k$  be a connected topological space for each  $k \in K$ . Then  $\prod X_k$  is connected using the product topology.

## **Definition 3.2**

When X is a topological space, and  $A \subseteq X$ , we say that A is *dense* in X when  $\overline{A} = X$ . Note that

$$\overline{A} = X \Leftrightarrow \text{the only closed set } K \subseteq X \text{ with } A \subseteq K \text{ is } K = X$$
  $\Leftrightarrow \text{the only open set } U \subseteq X \text{ with } A \cap U = \emptyset \text{ is } U = \emptyset$   $\Leftrightarrow \text{for every nonempty open set } U \subseteq X \text{ we have } A \cap U \neq \emptyset$ 

When  $\mathcal{B}$  is a basis for the topology on X, verify that  $\overline{A} = X$  if and only if for all  $\emptyset \neq B \in \mathcal{B}$  we have  $A \cap B \neq \emptyset$ .

## Example 3.3

 $\mathbb{R}^\omega = \prod_{k=1}^\infty \mathbb{R}$  using the box topology is <u>not</u> connected. Indeed verify that the sets

$$\begin{split} U &= \{x \in \mathbb{R}^\omega \,|\, \|x\|_\infty < \infty \} \\ &= \text{the set of all bounded sequences in } \mathbb{R} \end{split}$$

and

$$V = \{x \in \mathbb{R}^{\omega} \mid ||x||_{\infty} = \infty\}$$
  
= the set of all unbounded sequences in  $\mathbb{R}$ 

are open in  $\mathbb{R}^{\omega}$  (with the box topology) and they cover  $\mathbb{R}^{\omega}$ .

# 3.1 Connected Components

## **Definition 3.3**

Let X be a topological space. Define a binary relation  $\sim$  on X by stipulating that for  $a,b\in X$ 

 $a \sim b \Longleftrightarrow$  there exists a connected subspace  $A \subseteq X$  with  $a,b \in A$ 

Note that  $\sim$  is an equivalence relation. Indeed  $a \sim a$  since  $\{a\}$  is connected. If  $a \sim b$  then obviously  $b \sim a$ . If  $a \sim b$  and  $b \sim c$  then we can choose connected subspaces  $A, B \subseteq X$  with  $a, b \in A, b, c \in B$ , then by a previous lemma, since  $b \in A \cap B$ , we have  $A \cup B$  is connected, and  $a, c \in A \cup B$ , so that  $a \sim c$ . The equivalence classes in X under  $\sim$  are called the *connected components* of X. (Note that the connected components are disjoint and they cover X).

## Theorem 3.9

Let X be a topological space. The connected components of X are the maximal connected subspaces of X. Indeed, each connected component of X is connected, and every non-empty connected subspace of X is contained inside exactly one of the connected components.

Proof:

### 3.2 Path-Connectedness

## **Definition 3.4**

Let X be a topological space. For  $a, b \in X$ , a (continuous) path from a to b in X is a continuous map  $\alpha : [0,1] \subseteq \mathbb{R} \to X$  with  $\alpha(0) = a$  and  $\alpha(1) = b$ . We say that X is path connected when for every  $a, b \in X$  there exists a path from a to b in X.

## Theorem 3.10

Every path-connected space is connected.

**Proof:** Suppose X is path-connected. Suppose, for a contradiction, that X is not connected. Choose open sets  $U, V \subseteq X$  which separate X. Choose  $a \in U$  and  $b \in V$ . Since X is path-connected we can choose a path  $\alpha : [0,1] \subseteq \mathbb{R} \to X$  with  $\alpha(0) = a \ \alpha(1) = b$ . Then the sets  $\alpha^{-1}(U)$  and  $\alpha^{-1}(V)$  are open and separate [0,1], contradiction.

### Theorem 3.11

The image of a path connected space under a continuous map is path connected. In particular, for topological spaces X and Y. If  $X \cong Y$ , then X is path connected if and only if Y is path connected.

**Proof:** Let  $f: X \to Y$  be continuous and suppose X is path connected. Let  $c, d \in f(X)$ . Choose  $a, b \in X$  with f(a) = c, f(b) = d. Since X is path connected, we can choose a path  $\alpha$  in X from a to b. Then  $\beta = f \circ \alpha$  is path in Y from c to d.

### Note

Convex sets are path connected (in normed linear spaces). More generally, the image of a convex set (in a normed linear spaces) under a continuous map is path connected, hence connected.

## Example 3.4

 $A=\{x\in\mathbb{R}^2\ |\ 1\leq \|x\|\leq 2\}$  is the image of  $[1,2]\times[0,2\pi]$  under the polar coordinates map  $p:\mathbb{R}^2\to\mathbb{R}^2$  given by  $p(r,\theta)=(r\cos\theta,r\sin\theta)$  and thus path connected. (Using the fact that rectangles (also balls) are convex and hence connected).

## **Proposition 3.12**

Using the product topology, a product of path-connected spaces is path connected.

**Proof:** Let  $X_k$  be path connected for each  $k \in K$ . Let  $a, b \in \prod X_k$ . For each  $k \in K$ , choose a path  $\alpha_k$  in  $X_k$  from  $a_k$  to  $b_k$ . Then the map  $\alpha : [0,1] \to \prod X_k$  given by

$$\alpha(t)(k) = \alpha(t)_k = \alpha_k(t)$$

is a (continuous) path in  $\prod X_k$  from a to b.

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## Remark

Using the box topology, this isn't true.

### **Definition 3.5**

Let X be a topological space. Define a binary relation  $\sim$  on X by stipulating that for  $a, b \in X$ 

$$a \sim b \iff$$
 there exists a path in X from a to b

Note that this is an equivalence relation on X, indeed for  $a, b, c \in X$ :

- 1.  $a \sim a$  since the constant path  $\kappa_a$  is a path from a to a in X.
- 2. If  $a \sim b$  then there is a path  $\alpha$  from a to b. Then  $\beta(t) = \alpha(1-t)$
- 3. If  $a \sim b$  and  $b \sim c$  with paths  $\alpha, \beta$  then  $\gamma : [0, 1] \to X$  given by

$$\gamma(t) = \begin{cases} \alpha(2t) & \text{for } 0 \le t \le \frac{1}{2} \\ \beta(2t-1) & \text{for } \frac{1}{2} \le t \le 1 \end{cases}$$

is a (continuous) path in X from a to c (by the glueing lemma).

The equivalence classes in X under  $\sim$  are called the *path components of* X

### Theorem 3.13

Let X be a topological space. The path components of X are the maximal path connected subspaces of X. Indeed, each path component of X is path connected, and every path connected subspace of X is contained in exactly one of the path components of X.

**Proof:** path components are path connected by the definition of  $\sim$ . Let A be any path connected subspace of X. Let P,Q be any path components for which  $A\cap P\neq\emptyset$  and  $A\cap Q\neq\emptyset$ . Choose  $p\in A\cap P$  and  $q\in A\cap Q$ . Since  $p,q\in A$  and A is path connected, we have  $p\sim q$  and hence P=[p]=[q]=Q since the path components cover X and A intersects with a unique path component P, we have  $A\subseteq P$ .

### Note

In a topological space X, since each connected subspace of X is contained in a unique connected component of X, and since each path component of X is path connected, hence connected, it follows that each connected component of X is a (disjoint) union of some of the path components of X.

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## Example 3.5

Let  $A=\left\{\left(x,\sin\frac{1}{x}\right)\mid o< x\leq \frac{1}{\pi}\right\}$ . Let  $B=\left\{(0,y)\mid -1\leq y\leq 1\right\}$ . Let  $X=A\cup B$ . We see that  $\overline{A}=A\cup B=X$ . Note that A is path connected because it is the image of the convex set  $\left(0,\frac{1}{\pi}\right]$  under the continuous map  $g:\left(0,\frac{1}{p}\right)\to\mathbb{R}^2$  given by  $g(x)=\left(s,\sin\frac{1}{x}\right)$ . Also B is convex hence path connected. Note that X is connected since it is the closure of a connected set A. We claim that X is not path connected, indeed there is no path in X from a point in A to a point in B. Since A and B are path connected with  $\left(\frac{1}{\pi},0\right)\in A$  and  $(0,0)\in B$ , it suffices to show that there is no path in  $X=A\cup B$  from  $\left(\frac{1}{\pi},0\right)$  to (0,0). Suppose for a contradiction that there is such a path  $\alpha:[0,1]\to A\cup B$  from  $\left(\frac{1}{\pi},0\right)$  to (0,0) in  $X=A\cup B$ . Note that the map  $\alpha:[0,1]\to\mathbb{R}^2$  is continuous, say  $\alpha$  is given by  $\alpha(t)=(x(t),y(t))$  where  $x,y:[0,1]\to\mathbb{R}$  are both continuous with  $(x(t),y(t))\in X=A\cup B$  for all  $t\in[0,1]$  and with  $x(0)=\frac{1}{\pi},x(1)=0,y(0)=y(1)=0$ . Also recall that when  $(x,y)\in X=A\cup B$  with x>0 we have  $(x,y)\in A$  so that  $y=\sin\frac{1}{x}$ . Since  $x:[0,1]\to\mathbb{R}$  is continuous with  $x(0)=\frac{1}{\pi}$  and x(1)=0. By IVT, we can choose  $0< t_1 < t_2 < \cdots < 1$  so that  $x(t_n)=\frac{2}{(2n+1)\pi}$  and hence  $y(t_n)=\sin\frac{1}{x(t_n)}=\sin\frac{(2n+1)\pi}{2}=(-1)^n$ . Since  $(t_n)_{n\geq 1}$  is increasing and bounded above (by 1) it converges with  $\lim_{n\to\infty} t_n=s=\sup\{t_n\mid n\in\mathbb{N}\}\le 1$  and we have  $0< t_n< s\le 1$  for all  $n\in\mathbb{N}$ . Since  $t_n\to s$  and since  $\alpha$  is continuous at s, we have

$$(x(s),y(s)) = \alpha(s) = \lim_{n \to \infty} \alpha(t_n) = \left(\lim_{n \to \infty} x(t_n), \lim_{n \to \infty} y(t_n)\right)$$

so we have

$$\lim_{n\to\infty}x(t_n)=\lim_{n\to\infty}\frac{2}{(2n+1)\pi}=0$$

but

$$\lim_{n\to\infty}y(t_n)=\lim_{n\to\infty}{(-1)^n}$$

which does not exist. In conclusion,  $X = A \cup B$  is connected, but not path connected. Since X is connected, it only has one connected component, namely X. Since X is not path connected, it has at least 2 path components so, since A and B are path connected with  $X = A \cup B$ , A and B are the two path components of X.

# 3.3 Compactness

### **Definition 3.6**

Let X be a topological space. For a set  $\mathcal{S}$  of subsets of X, we say that  $\mathcal{S}$  covers X or that  $\mathcal{S}$  is a cover of X when  $X = \bigcup \mathcal{S}$ . When  $\mathcal{S}$  is a cover of X, a subcover is a subset  $\mathcal{R} \subseteq \mathcal{S}$  such that  $X = \bigcup \mathcal{R}$ . An open cover of X is a set of open sets which covers X. We say that X is compact when every open cover of X has a finite subcover.

## Theorem 3.14

The image of a compact space under a continuous map is compact.

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**Proof:** Let  $f: X \to Y$  be a map between topological spaces. Suppose that X is compact and f is continuous. Note the map  $f: X \to f(X)$  (by restricting codomain) is continuous. We claim that f(X) is compact. Let  $\mathcal T$  be an open cover of f(X). Let  $\mathcal S = \{f^{-1}(V) \mid V \in \mathcal T\}$ . Then  $\mathcal S$  is an open cover of X. Since X is compact,  $\mathcal S$  has a finite subcover,  $V_1, ..., V_n \in \mathcal T$  so that  $X = \bigcup_{k=1}^n f^{-1}(V_k)$ . Then  $f(X) = \bigcup_{k=1}^n V_k$  so that  $\{V_1, ..., V_n\}$  is a finite subcover of  $\mathcal T$ . Thus f(X) is compact, as claimed.

Theorem 3.15 Heine-Borel

For  $A \subseteq \mathbb{R}^n$ , A is compact iff A is closed and bounded.

### **Definition 3.7**

Let X be a subspace of Y. For a set  $\mathcal{T}$  of subsets of Y, we say  $\mathcal{T}$  covers X in Y or  $\mathcal{T}$  is a cover of X in Y, when  $X \subseteq \bigcup \mathcal{T}$ . When  $\mathcal{T}$  is a cover of X in Y, a subcover of  $\mathcal{T}$  (of X in Y) is a subset  $\mathcal{R} \subseteq \mathcal{T}$  such that  $X \subseteq \bigcup \mathcal{R}$ . An open cover of X in Y is a set  $\mathcal{T}$  of open sets in Y with  $X \subseteq \bigcup \mathcal{T}$ . We say that X is compact in Y when every open cover of X in Y has a finite subcover (of X in Y).

### Theorem 3.16

Let X be a subspace of Y. Then X is compact (in itself) iff X is compact in Y.

**Proof:** Suppose X is compact (in X) let  $\mathcal{T}$  be an open cover of X in Y. Let  $\mathcal{S} = \{V \cap X \mid V \in \mathcal{T}\}$ . Note that  $\mathcal{S}$  is an open cover of X. Since X is compact in itself, we can choose  $V_1, ..., V_n \in \mathcal{T}$  such that  $X = \bigcup_{k=1}^n (V_k \cap X) = \bigcup_{k=1}^n V_k \cap X$ . Then  $X \subseteq \bigcup_{k=1}^n V_k$  so that  $\{V_1, ..., V_n\}$  is a finite subcover of  $\mathcal{T}$  (for X in Y). Suppose, conversely, that X is compact in Y. Let  $\mathcal{S}$  be an open cover of X (in X). For each  $U \in \mathcal{S}$  we can choose  $V_U$  open in Y such that  $U = V_U \cap X$ . Then  $\mathcal{T} = \{V_U \mid U \in \mathcal{S}\}$  is an open cover of X in Y. Since X is compact in Y, we can choose  $U_1, ..., U_n \in \mathcal{S}$  such that  $X \subseteq \bigcup_{k=1}^n V_{U_k}$ . Then

$$X = \bigcup_{k=1}^{n} V_{U_k} \cap X = \bigcup_{k=1}^{n} \left( V_{U_k} \cap X \right) = \bigcup_{k=1}^{n} U_k$$

so that  $\{U_1, ..., U_n\}$  is a finite subcover of  $\mathcal{S}$  (of X in X).

### Remark

When X is a subspace of a metric space Y (but not in general when X is a subspace of a topological space Y), we have an analogous result for the connectedness of X in Y: X is connected in Y when there do not exist open sets U, V in Y which separate X in Y, meaning that  $U \cap X \neq \emptyset, V \cap X \neq \emptyset, U \cap V = \emptyset, X \subseteq U \cup V$ . Verify that (when Y is a metric space) X is connected (in itself) iff X is connected in Y.

### Theorem 3.17

Every closed subspace of a compact topological space is compact.

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**Proof:** Let X be a subspace of Y. Suppose Y is compact (in Y) and that X is closed in Y. Let  $\mathcal{S}$  be an open cover of X in Y. Since X is closed in Y,  $X^c = Y \setminus X$  is open in Y. Note that  $\mathcal{S} \cup \{X^c\}$  is an open cover of Y. Since Y is compact, we can choose a finite subcover of  $\mathcal{S} \cup \{X^c\}$  so we can choose a finite subset  $\mathcal{R} \subseteq \mathcal{S}$  such that  $\mathcal{R} \cup \{X^c\}$  covers Y. Then  $\mathcal{R}$  is a finite subcover of  $\mathcal{S}$  (of X in Y).

### Theorem 3.18

Every compact subspace of a Hausdorff space is closed.

**Proof:** Let  $X\subseteq Y$  be a subspace. Suppose that X is compact and Y is Hausdorff. We shall show  $X^c=Y\setminus X$  is open in Y. Let  $b\in X^c$ . For each  $a\in X$ , since Y is Hausdorff we can choose disjoint open sets  $U_a$  and  $V_a$  in Y with  $A\in U_a$  and  $A\in V_a$ . Note that  $A\in V_a$  is an open cover of A in A is compact, we can choose A in A such that  $A\subseteq U$  is an open cover of A in A is compact, we can choose A in A such that  $A\subseteq U$  is compact, we can choose A in A such that  $A\subseteq U$  and A is closed. A is closed.

### Theorem 3.19

Let  $f: X \to Y$  be a map between topological spaces. Suppose f is continuous and bijective. Suppose is X is compact and Y is Hausdorff. Then  $f^{-1}: Y \to X$  is continuous so that f is a homeomorphism.

**Proof:** Let  $g = f^{-1}: Y \to X$ . To show that g is continuous, we show that  $g^{-1}(K)$  is closed in Y for every closed set K in X. Let K be a closed set in X. Note that since  $g = f^{-1}$  we have  $g^{-1}(K) = f(K)$ . Since K is closed in X and X is compact, K is compact. Since K is continuous, K is compact. Since K is a closed subspace of the Hausdorff space K is closed in K.

## Example 3.6

- 1. Recall that  $\mathbb{R} \cong (0,1), \mathbb{S}^1 \times \mathbb{R} \cong \mathbb{R}^2 \setminus \{0\}, \mathbb{R}/\mathbb{Z} \cong \mathbb{S}^1$ ,
- 2.  $\mathbb{R} \ncong [0,1]$  since [0,1] is compact but  $\mathbb{R}$  is not.
- 3.  $\mathbb{R} \ncong [0,1)$  since  $[0,1) \setminus \{0\}$  is connected but one cannot remove any point from  $\mathbb{R}$  and remain connected.
- 4. No two of  $\mathbb{R}, \mathbb{R}^2, \mathbb{S}^1, \mathbb{S}^2$  are homeomorphic. Since  $\mathbb{R}, \mathbb{R}^2$  are not compact, but  $\mathbb{S}^1, \mathbb{S}^2$  are.  $\mathbb{R}$  and  $\mathbb{R}^2$  are not homeomorphic since one can remove a point from  $\mathbb{R}^1$  and disconnect it but you cannot do that with  $\mathbb{R}^2$ .  $\mathbb{S}^1 \ncong \mathbb{S}^2$  since  $\mathbb{S}^1 \setminus \{p\} \cong \mathbb{R}$  and  $\mathbb{S}^2 \setminus \{p\} \cong \mathbb{R}^2$ .

## Theorem 3.20

Let X be a topological space. Then X is compact if and only if X has the following property which we call the *finite intersection property on closed sets*: For every set  $\mathcal{T}$  of closed subsets of X, if every finite subset of  $\mathcal{T}$  has nonempty intersection, then  $\bigcap \mathcal{T}$  is non empty.

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## **Definition 3.8**

A partially ordered set is a set X with a partial order  $\leq$  such that  $\forall x, y, z \in X$ 

- 1.  $x \leq x$
- 2. If  $x \leq y$  and  $y \leq x$  then x = y
- 3. If  $x \le y$  and  $y \le z$  then  $x \le z$

### **Definition 3.9**

A *chain* in X is a subset  $C \subseteq X$  such that for all  $x, y \in C$  we have  $x \leq y$  or  $y \leq x$ 

Lemma 3.21 Zorn's Lemma

Let X be a partially ordered set. If every chain in X has an upper bound in X, then X has a maximal element  $(\exists a \in X \not\exists x \in X \ a < x)$ 

Theorem 3.22 Tychanoff's Theorem

The product of a set of compact spaces is compact, using the product topology.

# 4 Countability and Separation Axioms

### **Definition 4.1**

Let X be a topological space.

- 1. We say that X is *first countable* when for each  $a \in X$ , there exists a countable set  $\mathcal{B}$  of open sets in X such that for every open  $U \ni a$  there exists  $B \in \mathcal{B}$  such that  $a \in B \subseteq U$ .
- 2. We say that X is *second countable* when there exists a countable basis  $\mathcal{B}$  for the topology on X. We say that *Lindelöff* when every open cover of X has a countable subcover.
- 3. We say that X is *separable* when there exists a countable dense subset of X ( $A \subseteq X$  such that  $\overline{A} = X$ ).

### Theorem 4.1

- 1. Every metric space is first-countable.
- 2. For every metric space X, X is second countable if and only if X is Lindelöff if and only if X is separable.

### Theorem 4.2

Let *X* be a topological space. If *X* is second countable then

- 1. *X* is first countable
- 2. X is Lindelöff
- 3. *X* is separable

**Proof:** Suppose X is second countable and  $\mathcal{B}$  a countable basis for the topology on X. It is clear that X is first countable (take  $\mathcal{B}_a = \mathcal{B}$ ). To show X is Lindelöff, let  $\mathcal{S}$  be an open cover of X. For each  $a \in X$ , choose  $U_a \in \mathcal{S}$  with  $a \in U_a$ , then choose  $B_a \in \mathcal{B}$  with  $a \in B_a \subseteq U_a$ . Then  $\{B_a \mid a \in X\}$  is a countable open cover since  $\{B_a \mid a \in X\} \subseteq \mathcal{B}$ . Choose  $a_1, \ldots$  in X such that  $\{B_a \mid a \in X\} = \{B_{a_1}, B_{a_2}, \ldots\}$ . Then  $\{U_{a_1}, \ldots\}$  is a countable subcover of  $\mathcal{S}$ . To show X is separable, write  $\mathcal{B} = \{B_1, B_2, \ldots\}$ . For each  $k \geq 1$ , choose  $a_k \in B_k$ . Then  $\{a_1, a_2, \ldots\}$  is dense in X since  $a_k \in A \cap B_k$  for each  $k \geq 1$  so that  $A \cap B_k \neq \emptyset$ .

## Example 4.1

Here are some examples to show that when X is not second countable, the other three properties do not imply one another

	first countable	Lindelöff	separable	second countable
$\mathbb{R}_\ell$	✓	✓	✓	×
$I_o^2$	✓	$\checkmark$ (compact)	×	×
$\Gamma$	✓	×	✓	×
$\mathbb{R}_{\mathrm{cf}}$	×	$\checkmark$ (compact)	✓	×
$\mathbb{R}_{\mathrm{cc}}$	×	✓	×	×
$\overline{\mathbb{R}_d}$	✓	×	×	×

Note  $\mathbb{R}_{\ell}$  is  $\mathbb{R}$  with the lower limit topology.  $I_o^2$  denotes the topological space with underlying set  $I^2 = [0, 1]^2$  and using the dictionary order topology.  $\Gamma$  is the Moore plane.  $\mathbb{R}_{cf}$  is R with the cofinite topology.  $\mathbb{R}_{cc}$  is  $\mathbb{R}$  with the co-countable topology.

## Theorem 4.3

- 1. Every subspace of a first countable space is first countable.
- 2. Every subspace of a second countable space is second countable.

### Theorem 4.4

- 1. The product of any two first countable spaces is first countable.
- 2. The product of any two second countable spaces is second countable.
- 3. The product of any separable countable spaces is separable.

### Note

A subspace of a Lindelöff space need not be Lindelöff. A subspace of a separable space need not be separable. The product of two Lindelöff spaces need not be Lindelöff.