BroadProject

November 9, 2022

1 Broad Institute Project

1.1 Goals

- Generate a PCA value table for each sample from the call set
- Classify samples with missing ancestry labels
- Visualize PC values for each sample w/ labels for known and predicted classifications

1.1.1 Initial Filtering

- high callrate (95%)
- bi-allelic only (no haplotypes)
- common alleles (allele freq. > 0.01)
- linkage disequilibrium pruned to r2 < 0.1 (allelic independence)
- normalize genotypes after filtering

```
[3]: # import libraries
     import os
     import numpy as np
     import scipy
     import pandas as pd
     import matplotlib as mpl
     import matplotlib.pyplot as plt
     %matplotlib inline
     import seaborn as sns
     sns.set_style('white')
     sns.set_style('ticks')
     sns.set_context('notebook')
     # hail packages
     import hail as hl
     hl.init(min_block_size=128)
     from hail.plot import show
     from pprint import pprint
     import bokeh
     from bokeh.io import output_notebook, show
    hl.plot.output_notebook()
```

2022-04-06 13:26:06 WARN Utils:69 - Your hostname, Jakes-MacBook-Air.local

```
resolves to a loopback address: 127.0.0.1; using 192.168.0.12 instead (on
    interface en0)
    2022-04-06 13:26:06 WARN Utils:69 - Set SPARK LOCAL IP if you need to bind to
    another address
    WARNING: An illegal reflective access operation has occurred
    WARNING: Illegal reflective access by org.apache.spark.unsafe.Platform
    (file:/Users/jakeharris/opt/anaconda3/lib/python3.8/site-
    packages/pyspark/jars/spark-unsafe_2.12-3.1.3.jar) to constructor
    java.nio.DirectByteBuffer(long,int)
    WARNING: Please consider reporting this to the maintainers of
    org.apache.spark.unsafe.Platform
    WARNING: Use --illegal-access=warn to enable warnings of further illegal
    reflective access operations
    WARNING: All illegal access operations will be denied in a future release
    2022-04-06 13:26:07 WARN NativeCodeLoader:60 - Unable to load native-hadoop
    library for your platform... using builtin-java classes where applicable
    Setting default log level to "WARN".
    To adjust logging level use sc.setLogLevel(newLevel). For SparkR, use
    setLogLevel(newLevel).
    2022-04-06 13:26:07 WARN Hail:43 - This Hail JAR was compiled for Spark 3.1.2,
    running with Spark 3.1.3.
      Compatibility is not guaranteed.
    Running on Apache Spark version 3.1.3
    SparkUI available at http://192.168.0.12:4040
    Welcome to
      /_/ /_/_, /_// version 0.2.91-44b441376f9a
    LOGGING: writing to /Users/jakeharris/Desktop/BroadProject/hail-20220406-1326-0.
    2.91-44b441376f9a.log
[4]: # Set working directory
    print('Current wd:', os.getcwd())
    desktop_dir = os.path.join('/Users', 'jakeharris', 'Desktop', 'BroadProject')
    os.chdir(desktop_dir)
    print('New wd:', os.getcwd())
    Current wd: /Users/jakeharris/Desktop/BroadProject
    New wd: /Users/jakeharris/Desktop/BroadProject
```

```
[3]: # Run initial filter w/ VCFtools
         ## minor allele freq. >0.01
         ## bi-allelic only
         ## quality score >5
```

```
## max. allowable missing data < 0.1
     !vcftools --gzvcf acs_mini_project.vcf.bgz --max-missing 0.9 --maf 0.01⊔
     →--min-alleles 2 --max-alleles 2 \
     --minQ 5 --recode --recode-INFO-all --out initial-vcf-filter
    VCFtools - 0.1.16
    (C) Adam Auton and Anthony Marcketta 2009
    Parameters as interpreted:
            --gzvcf acs_mini_project.vcf.bgz
            --recode-INFO-all
            --maf 0.01
            --max-alleles 2
            --min-alleles 2
            --minQ 5
            --max-missing 0.9
            --out initial-vcf-filter
            --recode
    Using zlib version: 1.2.11
    After filtering, kept 1966 out of 1966 Individuals
    Outputting VCF file...
    After filtering, kept 92402 out of a possible 2203614 Sites
    Run Time = 396.00 seconds
[4]: \# LD variant pruning for r2 < 0.1
         ## window size 50
         ## step size 5 variant ct
         ## r2 threshold < 0.1
     !plink --vcf initial-vcf-filter.recode.vcf --indep-pairwise 50 5 0.1
     !plink --vcf initial-vcf-filter.recode.vcf --extract plink.prune.in --make-bed∪
     →--out pruned-plink2
    PLINK v1.90b6.21 64-bit (19 Oct 2020)
                                                    www.cog-genomics.org/plink/1.9/
    (C) 2005-2020 Shaun Purcell, Christopher Chang GNU General Public License v3
    Logging to plink.log.
    Options in effect:
      --indep-pairwise 50 5 0.1
      --vcf initial-vcf-filter.recode.vcf
    8192 MB RAM detected; reserving 4096 MB for main workspace.
    --vcf: plink-temporary.bed + plink-temporary.bim + plink-temporary.fam written.
    92402 variants loaded from .bim file.
    1966 people (0 males, 0 females, 1966 ambiguous) loaded from .fam.
    Ambiguous sex IDs written to plink.nosex .
    Using 1 thread (no multithreaded calculations invoked).
    Before main variant filters, 1966 founders and 0 nonfounders present.
```

Calculating allele frequencies... 101112131415161718192021223242526272829303132 3334353637383940414243444546474849505152535455565758596061626364656667686970717273747576777879808182838485868788899091929394959697989 done. Total genotyping rate is 0.993399. 92402 variants and 1966 people pass filters and QC. Note: No phenotypes present. Pruned 6067 variants from chromosome 1, leaving 4339. Pruned 3046 variants from chromosome 2, leaving 2473. Pruned 2716 variants from chromosome 3, leaving 2185. Pruned 1700 variants from chromosome 4, leaving 1648. Pruned 2092 variants from chromosome 5, leaving 1827. Pruned 3272 variants from chromosome 6, leaving 2212. Pruned 2130 variants from chromosome 7, leaving 1928. Pruned 1389 variants from chromosome 8, leaving 1365. Pruned 2080 variants from chromosome 9, leaving 1745. Pruned 2067 variants from chromosome 10, leaving 1822. Pruned 3555 variants from chromosome 11, leaving 2284. Pruned 2871 variants from chromosome 12, leaving 2063. Pruned 727 variants from chromosome 13, leaving 817. Pruned 1539 variants from chromosome 14, leaving 1244. Pruned 1650 variants from chromosome 15, leaving 1279. Pruned 2171 variants from chromosome 16, leaving 1462. Pruned 3440 variants from chromosome 17, leaving 2218. Pruned 632 variants from chromosome 18, leaving 758. Pruned 4361 variants from chromosome 19, leaving 2783. Pruned 1519 variants from chromosome 20, leaving 1145. Pruned 604 variants from chromosome 21, leaving 550. Pruned 1283 variants from chromosome 22, leaving 934. Pruned 1131 variants from chromosome 23, leaving 1151. Pruned 86 variants from chromosome 26, leaving 42. Pruning complete. 52128 of 92402 variants removed. Marker lists written to plink.prune.in and plink.prune.out . PLINK v1.90b6.21 64-bit (19 Oct 2020) www.cog-genomics.org/plink/1.9/ (C) 2005-2020 Shaun Purcell, Christopher Chang GNU General Public License v3 Logging to pruned-plink2.log. Options in effect: --extract plink.prune.in --make-bed --out pruned-plink2 --vcf initial-vcf-filter.recode.vcf 8192 MB RAM detected; reserving 4096 MB for main workspace. --vcf: pruned-plink2-temporary.bed + pruned-plink2-temporary.bim + pruned-plink2-temporary.fam written. 92402 variants loaded from .bim file. 1966 people (0 males, 0 females, 1966 ambiguous) loaded from .fam. Ambiguous sex IDs written to pruned-plink2.nosex . --extract: 41616 variants remaining.

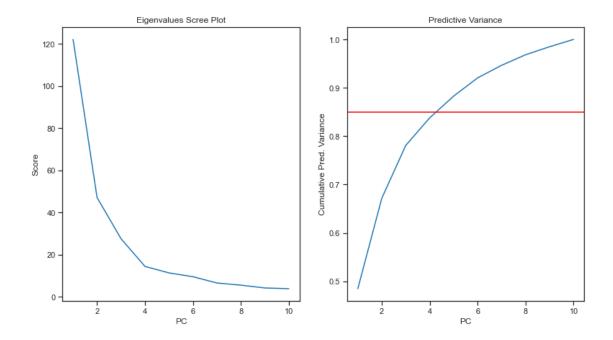
```
Warning: At least 2441 duplicate IDs in --extract file.
   Using 1 thread (no multithreaded calculations invoked).
   Before main variant filters, 1966 founders and 0 nonfounders present.
   Calculating allele frequencies... 1011121314151617181920212223242526272829303132
   33343536373839404142434445464748495051525354555657585960616263646566676869707172
   73747576777879808182838485868788899091929394959697989 done.
   Total genotyping rate is 0.992634.
   41616 variants and 1966 people pass filters and QC.
   Note: No phenotypes present.
   --make-bed to pruned-plink2.bed + pruned-plink2.bim + pruned-plink2.fam ...
   50515253545556575859606162636465666768697071727374757677787980818283848586878889
   90919293949596979899done.
[4]: # import Plink table to hail
    hl.import_plink(bed='pruned-plink2.bed',
                   bim='pruned-plink2.bim',
                   fam='pruned-plink2.fam').write('BroadProject-plink2.mt',
     →overwrite=True)
    mt = hl.read_matrix_table('BroadProject-plink2.mt')
    mt.describe()
    mt.GT.show(5) # first 5 genotype entries
    mt.aggregate_entries(hl.agg.counter(mt.GT.n_alt_alleles())) # count_u
     \rightarrow alt_alleles in GT
   2022-04-06 13:26:17 Hail: INFO: Found 1966 samples in fam file.
   2022-04-06 13:26:17 Hail: INFO: Found 41616 variants in bim file.
   2022-04-06 13:26:28 Hail: INFO: wrote matrix table with 41616 rows and 1966
   columns in 2 partitions to BroadProject-plink2.mt
    ----
   Global fields:
       None
    ______
   Column fields:
       's': str
       'fam_id': str
       'pat_id': str
       'mat_id': str
       'is_female': bool
       'is_case': bool
    _____
   Row fields:
       'locus': locus<GRCh37>
       'alleles': array<str>
       'rsid': str
       'cm_position': float64
```

```
Entry fields:
      'GT': call
   Column key: ['s']
   Row key: ['locus', 'alleles']
   +----+
             +----+
   +----+
             | ["A","G"] | 0/0 | 0/1
   1:894573
                                     | 1/1
                                          | 0/1
   1:898323
             1:898852
             | ["G","A"] | 0/0 | 0/0 | 0/0
   1:908275
   | 1:908749 | ["C","T"] | 0/0 | 0/0 | 0/0
   +----+
   showing top 5 rows
   showing the first 4 of 1966 columns
                                                    (0 + 2) / 2
   [Stage 4:>
[4]: frozendict({0: 67233428, 1: 11148119, 2: 2832868, None: 602641})
[5]: # edit sample_id labels in ancestry.txt file
   anc_df = pd.read_csv('acs_mini_project_labels.txt', header=0, sep='\t')
   for i in range(len(anc_df['sample_id'])):
      anc_df['sample_id'][i] = str(anc_df['sample_id'][i].split('_')[1]) #__
    →remove 'TGG '
   anc_df.to_csv('ancestry_labels_edit.tsv', header=True, index=None, sep='\t',u
    →na_rep='NA')
   # import ancestry labels .txt file as Hail table & join w/ MatrixTable
   anc_table = hl.import_table('ancestry_labels_edit.tsv',
                       key='sample_id',
                       types={'sample_id': hl.tstr, 'ancestry': hl.tstr})
   mt = mt.annotate_cols(anc = anc_table[mt.s].ancestry) # join ancestry labels_
    \hookrightarrow to mt
   mt.anc.show(5)
   pprint(mt.aggregate_cols(hl.agg.counter(mt.anc))) # count ancestry labels
   2022-04-06 13:26:33 Hail: INFO: Reading table without type imputation
    Loading field 'sample_id' as type str (user-supplied)
    Loading field 'ancestry' as type str (user-supplied)
   +----+
   | s | anc |
```

```
+----+
           | str | str
           +----+
           | "1" | NA
           | "2" | NA
           | "3" | "eas" |
           | "4" | NA
           | "5" | "eas" |
           +----+
           showing top 5 rows
           frozendict({'afr': 382, 'amr': 155, 'eas': 253, 'fin': 58, 'nfe': 210, 'sas':
           228, None: 680})
[6]: # further QC filters to MatrixTable
            mt = hl.sample_qc(mt, name='sample_qc')
            mt = hl.variant_qc(mt, name='variant_qc')
            mt = mt.filter_cols(mt.sample_qc.call_rate >= 0.95) # callrate >95%
            mt = mt.filter_rows(mt.variant_qc.AF[1] > 0.01) # variants w/ allele freq. >0.
             <br/>
            mt.GT.summarize() # most missing GTs are filtered
                                                                                                                                                                                         (0 + 2) / 2
           [Stage 12:>
           81687300 records.
           - GT (call):
                                       Non-missing: 81085755 (99.26%)
                                                 Missing: 601545 (0.74%)
               Homozygous Reference: 67106816
                                    Heterozygous: 11146395
                     Homozygous Variant: 2832544
                                                    Ploidy: frozendict({2: 81085755})
                                                    Phased: frozendict({False: 81085755})
           1.1.2 PCA
[7]: # run Hardy-Weinberg normalized PCA on mt.GT (PCs=10) to reduce dimensionality
            pca_eigen, pca_scores, _ = hl.hwe_normalized_pca(mt.GT)
            pprint(pca_eigen)
            pca_scores.show(5)
            cumulative_pred = [sum(pca_eigen[0:i])/sum(pca_eigen) for i in range(1,11)]
           2022-04-06 13:26:50 Hail: INFO: hwe_normalize: found 41550 variants after
           filtering out monomorphic sites.
           2022-04-06 13:26:53 Hail: INFO: pca: running PCA with 10 components... + 2) / 2]
           [Stage 58:>
                                                                                                                                                                                         (0 + 2) / 2
           [122.04221312954746,
```

```
47.0634740395591,
     27.502106042250052,
     14.368862825633087,
     11.301665907494064,
     9.499574336481622,
     6.523390529389579,
     5.492829804171036,
     4.160724102151552,
     3.803874125837476]
    +----+
    l s
    str
    | "1"
    l "10"
    | "100" |
    | "1000" |
    | "1001" |
    +----+
    scores
    | array<float64>
    [-1.09e-01, 1.86e-01, 3.79e-03, 4.09e-02, 7.29e-03, 5.93e-02, -5.11e-02, 2.15e-0...]
    | [-1.36e-01,2.19e-01,2.42e-02,2.07e-02,1.53e-02,1.01e-01,-1.37e-02,1.59e-0... |
    [-1.36e-01,1.90e-01,5.92e-03,2.36e-02,2.58e-02,8.97e-02,-3.21e-03,1.20e-0...]
    [4.46e-01,-4.82e-02,1.15e-02,-9.48e-03,-4.91e-02,2.10e-02,-6.49e-03,-5.37...]
    [4.45e-01,-2.58e-02,-6.62e-03,2.64e-03,-1.06e-02,1.91e-02,2.66e-02,-1.06e...]
    showing top 5 rows
[8]: # visualize for choosing num. of PCs
     fig, (ax1, ax2) = plt.subplots(1,2, figsize=(13,7))
     # scree plot
     ax1.plot(range(1,11), pca_eigen)
     ax1.set(xlabel='PC', ylabel='Score')
     ax1.set_title('Eigenvalues Scree Plot') # ~elbows at PC 4
     ax1.plot()
     # cumulative predictive variance
     ax2.plot(range(1,11), cumulative_pred)
     ax2.axhline(0.85, c='r') # ~85% pred. variance at PC 4
     ax2.set(xlabel='PC', ylabel='Cumulative Pred. Variance')
     ax2.set_title('Predictive Variance')
     ax2.plot()
```

[8]: []



2022-04-06 13:28:22 Hail: INFO: Ordering unsorted dataset with network shuffle 2022-04-06 13:28:22 Hail: INFO: Ordering unsorted dataset with network shuffle

[9]:	sample_id	ancestry	PC1	PC2	PC3	PC4	PC5	\
0	1	<na></na>	-0.108950	0.185796	0.003794	0.040872	0.007293	
1	2	<na></na>	-0.099430	0.167728	-0.008247	0.049278	-0.003240	
2	3	eas	-0.162769	-0.180516	-0.166356	0.031430	0.077520	
3	4	<na></na>	-0.164679	-0.174732	-0.161684	0.037865	0.070457	
4	5	eas	-0.162195	-0.183088	-0.167374	0.045454	0.086184	
	PC6	PC7	PC8	PC9	PC10			

```
0 0.059343 -0.051051 0.021510 -0.004269 0.023797
1 0.037837 -0.047196 0.011902 -0.005860 0.026438
2 0.051509 -0.060998 0.021161 0.007530 0.017163
3 0.052421 -0.063559 0.009929 0.020001 0.000998
4 0.049309 -0.054672 0.003709 0.012089 0.014168
```

1.1.3 Classification

- Supervised machine learning based on known ancestry labels and PCA values
- K Nearest Neighbor algorithm
 - relatively simple

(-0.13615261077238494, 0.22742118703088465,

- label unknown samples based on distance in PCA dimensions
- more accurate than Decision Tree
- works with multiclass unlike SVM
- consider other methods if using larger dataset due to computational burden

```
[10]: # classification of unknown ancestry labels using KNN
      from sklearn.neighbors import KNeighborsClassifier
      from sklearn import metrics, preprocessing
      from sklearn.preprocessing import StandardScaler
      from sklearn.model_selection import train_test_split
      # split df based on known/unknown ancestry
      known df = df[~df['ancestry'].isna()]
      known_df['predicted'] = False # col. indicating anc. labels are already known
      nan df = df[df['ancestry'].isna()]
      nan df['predicted'] = True
      # create instance of LabelEncoder to make numeric labels for ancestry
      le = preprocessing.LabelEncoder()
      anc_le = le.fit_transform(known_df['ancestry']) # 6 anc. labels
      lab = le.inverse_transform(anc_le)
      # create features list of PCs 1-4
      pc_features = list(zip(known_df['PC1'], known_df['PC2'], known_df['PC3'],__

→known_df['PC4']))
      pprint(pc_features[:3])
     [(-0.16276923591903478,
       -0.18051633198345443,
       -0.16635592774861477,
       0.03143020705319569),
      (-0.16219522188037347,
       -0.18308768876730674,
       -0.1673736731102984,
       0.04545390510526709),
```

```
0.022659940859158748,
       0.012263961985858836)]
     /var/folders/3v/3dcdttlj2xdgr3jcgn0mdt040000gn/T/ipykernel_1441/3704569124.py:9:
     SettingWithCopyWarning:
     A value is trying to be set on a copy of a slice from a DataFrame.
     Try using .loc[row_indexer,col_indexer] = value instead
     See the caveats in the documentation: https://pandas.pydata.org/pandas-
     docs/stable/user guide/indexing.html#returning-a-view-versus-a-copy
       known_df['predicted'] = False # col. indicating anc. labels are already known
     /var/folders/3v/3dcdttlj2xdgr3jcgn0mdt040000gn/T/ipykernel_1441/3704569124.py:11
     : SettingWithCopyWarning:
     A value is trying to be set on a copy of a slice from a DataFrame.
     Try using .loc[row_indexer,col_indexer] = value instead
     See the caveats in the documentation: https://pandas.pydata.org/pandas-
     docs/stable/user_guide/indexing.html#returning-a-view-versus-a-copy
       nan_df['predicted'] = True
[11]: | # train/test data for supervised learning (80% train/20% test split)
      X train, X test, y train, y test = train_test_split(pc_features, anc_le,_
      →test_size=0.2, random_state=4)
      # run multiple KNN classifications to check for optimal n_neighbors
      acc_scores = {}
      for k in range(1,25):
          classifier = KNeighborsClassifier(n_neighbors=k)
          classifier.fit(X_train, y_train)
          y_pred = classifier.predict(X_test)
          acc_scores[k] = metrics.accuracy_score(y_test, y_pred)
      pprint(acc_scores)
      # plot of accuracy of k-neighbors -> k=3 is good choice because not even num,
      \rightarrow high accuracy
      plt.plot(range(1,25), acc_scores.values())
      plt.xlabel('n_neighbors')
      plt.ylabel('Accuracy')
     {1: 0.9883720930232558,
      2: 0.9883720930232558,
      3: 0.9844961240310077,
      4: 0.9806201550387597,
      5: 0.9767441860465116,
      6: 0.9806201550387597,
      7: 0.9767441860465116,
      8: 0.9806201550387597,
      9: 0.9767441860465116,
```

```
10: 0.9767441860465116,

11: 0.9728682170542635,

12: 0.9728682170542635,

13: 0.9728682170542635,

14: 0.9728682170542635,

15: 0.9728682170542635,

16: 0.9728682170542635,

17: 0.9728682170542635,

18: 0.9728682170542635,

19: 0.9728682170542635,

20: 0.9728682170542635,

20: 0.9728682170542635,

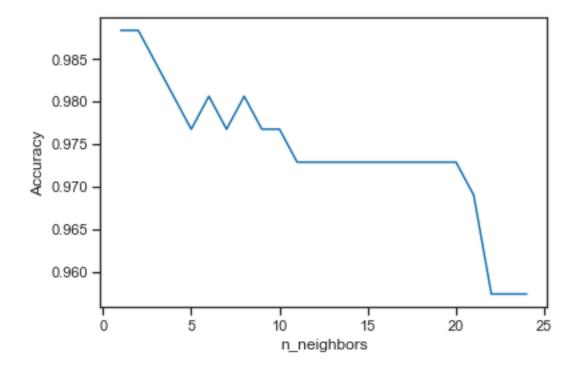
20: 0.9728682170542635,

21: 0.9689922480620154,

22: 0.9573643410852714,

23: 0.9573643410852714,
```

[11]: Text(0, 0.5, 'Accuracy')



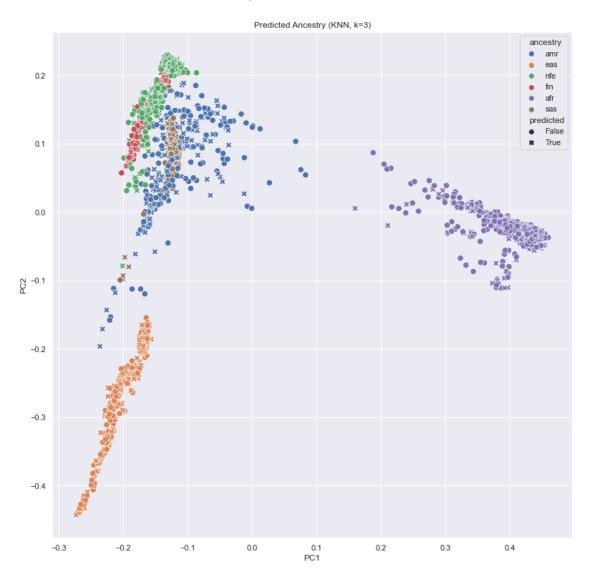
```
[12]: # classify unknown ancestry samples in nan_df using kNN model
classifier = KNeighborsClassifier(n_neighbors=3)
classifier.fit(X_train, y_train)
anc_classes = {0: 'afr', 1: 'amr', 2: 'eas', 3: 'fin', 4: 'nfe', 5: 'sas'}
X_unknown = list(zip(nan_df['PC1'], nan_df['PC2'], nan_df['PC3'],
→nan_df['PC4'])) # PC values
```

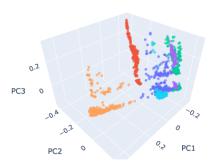
```
anc_predict = classifier.predict(X_unknown) # list of pred. anc_class.keys()
     # predict ancestries, add to nan_df
     anc_list = []
     for i in range(len(anc_predict)):
         anc_list.append(anc_classes[anc_predict[i]])
     nan_df['ancestry'] = anc_list
     nan_df.head()
     /var/folders/3v/3dcdttlj2xdgr3jcgn0mdt040000gn/T/ipykernel_1441/2179299177.py:12
     : SettingWithCopyWarning:
     A value is trying to be set on a copy of a slice from a DataFrame.
     Try using .loc[row_indexer,col_indexer] = value instead
     See the caveats in the documentation: https://pandas.pydata.org/pandas-
     docs/stable/user_guide/indexing.html#returning-a-view-versus-a-copy
       nan_df['ancestry'] = anc_list
[12]:
         sample_id ancestry
                                  PC1
                                           PC2
                                                     PC3
                                                               PC4
                                                                        PC5
                        amr -0.108950 0.185796 0.003794 0.040872
                                                                   0.007293
                 1
                        amr -0.099430  0.167728 -0.008247  0.049278 -0.003240
     1
     3
                 4
                        eas -0.164679 -0.174732 -0.161684 0.037865 0.070457
     5
                 6
                        nfe -0.182688  0.153385  0.286218  0.016470  0.214450
                        nfe -0.133551 0.205124 0.028771 0.019358 0.013979
     10
                11
              PC6
                        PC7
                                  PC8
                                           PC9
                                                    PC10 predicted
         0.059343 -0.051051 0.021510 -0.004269
                                                0.023797
                                                               True
     1
         0.037837 -0.047196 0.011902 -0.005860
                                                0.026438
                                                               True
     3
         0.052421 -0.063559 0.009929 0.020001 0.000998
                                                               True
     5 -0.178499 -0.067623 0.083320 -0.028691 -0.064241
                                                               True
     10 0.105923 -0.013851 0.002123 0.000936 -0.032794
                                                               True
[13]: # concat known_df w/ nan_df, save to .csv
     final_df = pd.concat([known_df, nan_df])
     final_df = final_df.sort_values(by=['sample_id']).reset_index(drop=True)
     final_df.to_csv('BroadProject_PCvalues.csv') # save to .csv
     final_df.head()
                                          PC2
                                                    PC3
                                                              PC4
[13]:
        sample id ancestry
                                 PC1
                                                                        PC5
                1
                       amr -0.108950 0.185796 0.003794
     0
                                                        0.040872 0.007293
     1
                       amr -0.099430 0.167728 -0.008247 0.049278 -0.003240
     2
                3
                       eas -0.162769 -0.180516 -0.166356 0.031430 0.077520
     3
                4
                       eas -0.164679 -0.174732 -0.161684 0.037865 0.070457
                5
                       PC6
                       PC7
                                 PC8
                                          PC9
                                                   PC10 predicted
     0 0.059343 -0.051051 0.021510 -0.004269
                                                              True
                                               0.023797
     1 0.037837 -0.047196 0.011902 -0.005860 0.026438
                                                              True
```

```
2 0.051509 -0.060998 0.021161 0.007530 0.017163 False
3 0.052421 -0.063559 0.009929 0.020001 0.000998 True
4 0.049309 -0.054672 0.003709 0.012089 0.014168 False
```

1.1.4 Visualization

[14]: [Text(0.5, 1.0, 'Predicted Ancestry (KNN, k=3)')]





amreasnfefin