TCSS 343 - Assignment 3

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July 22, 2018

1 UNDERSTAND

In this problem use the Master Theorem to find and prove tight bounds for these recurrences (6 points each).

To solve this problem I used the master theorem taken from CLRS. I used a bit of a stronger statement than what was stated in the theorem but they are equivalent mathematically due to the nature of limits. I will include the theorem for the reader's benefit. To check my work I decided to include what I obtained using the Akra-Bazzi method.

Master Theorem

The Master Theorem applies to recurrences of the following form:

$$T(n) = aT(n/b) + f(n)$$

where $a \ge 1$ and b > 1 are constants and f(n) is an asymptotically positive function.

There are 3 cases:

- 1. If $f(n) = O(n^{\log_b a \epsilon})$ for some constant $\epsilon > 0$, then $T(n) = \Theta(n^{\log_b a})$.
- 2. If $f(n) = \Theta(n^{\log_b a} \log^k n)$ with $k \ge 0$, then $T(n) = \Theta(n^{\log_b a} \log^{k+1} n)$.
- 3. If $f(n) = \Omega(n^{\log_b a + \epsilon})$ with $\epsilon > 0$, and f(n) satisfies the regularity condition, then $T(n) = \Theta(f(n))$. Regularity condition: $af(n/b) \le cf(n)$ for some constant c < 1 and all sufficiently large n.

1.

$$T(n) = \begin{cases} c & \text{if } n < 8\\ 16T(\frac{n}{8}) + n\log n & \text{if } n \ge 8 \end{cases}$$

By Master Method I obtain case 1:

$$\begin{split} \lim_{n \to \infty} \frac{n \log n}{n^{\log_8 16 + \varepsilon}} &= \lim_{n \to \infty} \frac{n \log n}{n^{\frac{4}{3} + \frac{2}{3}}} \\ &= \lim_{n \to \infty} \big(\frac{n}{n}\big) \big(\frac{\log n}{n}\big) \to 0 \\ T(n) &\in \Theta(n^{\frac{4}{3}}) \end{split}$$

By Akra-Bazzi I obtain:

$$16(\frac{1}{8})^p = 1$$
$$p = \frac{4}{3}$$

$$T(n) \in \Theta(n^p(1 + \int_1^n \frac{u \log u}{u^{p+1}} du))$$
$$\in \Theta(-9n + 10n^{\frac{4}{3}} - 3n \log n)$$
$$\in \Theta(n^{\frac{4}{3}})$$

2.

$$T(n) = \begin{cases} c & \text{if } n < 4\\ 8T(\frac{n}{4}) + n\log n & \text{if } n \ge 4 \end{cases}$$

By Master Method I obtain case 2:

$$\lim_{n \to \infty} \frac{n^{\frac{1}{3}}}{n^{\log_8 2 + \varepsilon}} = \lim_{n \to \infty} \frac{n^{\frac{1}{3}}}{n^{\frac{1}{3}}}$$
$$= \lim_{n \to \infty} 1 \to 1$$
$$\in \Theta(n^{\frac{1}{3}} \log n)$$

By Akra-Bazzi I obtain:

$$2(\frac{1}{8})^p = 1$$
$$p = \frac{1}{3}$$

$$T(n) \in \Theta(n^{p}(1 + \int_{1}^{n} \frac{u^{\frac{1}{3}}}{u^{p+1}} du))$$

$$\in \Theta(n^{\frac{1}{3}} + n^{\frac{1}{3}} \log n)$$

$$\in \Theta(n^{\frac{1}{3}} \log n)$$

3.

$$T(n) = \begin{cases} c & \text{if } n < 2\\ 3T(\frac{n}{2}) + 9^n & \text{if } n \ge 2 \end{cases}$$

By Master Method I obtain case 3:

$$\lim_{n \to \infty} \frac{9^n}{n^{\log_3 2 + \varepsilon}} = \lim_{n \to \infty} \frac{9^n}{n^{\frac{\log 2}{\log 3} - (\frac{\log 2}{\log 3} + 1)}}$$
$$= \lim_{n \to \infty} n9^n \to \infty$$
$$\in \Theta(9^n)$$

This recurrence is not well suited for Akra-Bazzi.

4.

$$T(n) = \left\{ \begin{array}{cc} c & \text{if } n \leq 1 \\ 3T(\frac{3n}{5}) + n^2 & \text{if } n > 1 \end{array} \right.$$

By Master Method I obtain case 1:

$$\lim_{n \to \infty} \frac{n^2}{n^{\log_{\frac{5}{3}} 3 + \varepsilon}} = \lim_{n \to \infty} \frac{n^2}{n^{\log_{\frac{5}{3}} 3 + (3 - \log_{\frac{5}{3}} 3)}}$$

$$= \lim_{n \to \infty} \frac{n^2}{n^3} \to 0$$

$$\log_{\frac{5}{3}} 3 = 2.15066...$$

$$\in \Theta(n^{2.15066...})$$

By Akra-Bazzi I obtain:

$$3(\frac{3}{5})^p = 1$$

$$p = \log_{\frac{5}{3}} 3$$

$$T(n) \in \Theta(n^p(1 + \int_1^n \frac{u^2}{u^{p+1}} du))$$

$$\in \Theta(7.63746n^{2.15066...} - 6.63746n^2)$$

$$\in \Theta(n^{2.15066...})$$

5.

$$T(n) = \left\{ \begin{array}{cc} c & \text{if } n \leq 1 \\ 3T(\frac{3n}{5}) + n^{2.5} & \text{if } n > 1 \end{array} \right.$$

By Master Method I obtain case 3:

$$\begin{split} \lim_{n \to \infty} \frac{n^{2.5}}{n^{\log_{\frac{5}{3}} 3 + \varepsilon}} &= \lim_{n \to \infty} \frac{n^{2.5}}{n^{\log_{\frac{5}{3}} 3 + 0.1}} \\ &= \lim_{n \to \infty} \frac{n^2.5}{n^{2.25}} \to \infty \\ &= \lim_{n \to \infty} n^{0.25...} \to \infty \\ &\in \Theta(n^{2.5}) \end{split}$$

2 EXPLORE

For the following problems stated as pseudo-code, let $A[\ell \dots r]$ denote the sublist of the integer list A from the ℓ -th to the r-th element inclusive, let $\mathrm{Cubic}(A[1 \dots n])$ denote an algorithm that runs in time $\Theta(n^3)$, and let $\mathrm{Swift}(A[1 \dots n])$ denote an algorithm that runs in time $\Theta(n \log(\log n))$.

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\begin{split} & \text{Three}(A[1\ldots n]) \\ & \quad \text{If } n \leq 1 \text{ Then Return // nothing to do} \\ & \quad \text{Cubic}(A[1\ldots n]) \\ & \quad \text{Three}(A[1\ldots \lfloor \frac{n}{2} \rfloor]) \\ & \quad \text{Three}(A[\lfloor \frac{n}{4} \rfloor + 1 \ldots \lfloor \frac{3n}{4} \rfloor]) \\ & \quad \text{Three}(A[\lfloor \frac{n}{2} \rfloor + 1 \ldots n]) \\ & \quad \text{End Three} \,. \end{split}
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(6 points) 1. State a recurrence that gives the complexity T(n) for algorithm Three.

For this problem I decided to analyze the cost of running each line individually.

$$\begin{array}{l} \operatorname{Three}(A[1\ldots n]) \ \ \operatorname{O(1)} \\ \qquad \operatorname{If} \ n \leq 1 \ \operatorname{Then} \ \operatorname{Return} \ // \ \operatorname{nothing} \ \operatorname{to} \ \operatorname{O(1)} \\ \qquad \operatorname{Cubic}(A[1\ldots n]) \ \ \operatorname{O}(n^3) \\ \qquad \operatorname{Three}(A[1\ldots \lfloor \frac{n}{2}\rfloor]) \ \ \operatorname{T}(\frac{n}{2}) \\ \qquad \operatorname{Three}(A[\lfloor \frac{n}{4}\rfloor + 1\ldots \lfloor \frac{3n}{4}\rfloor]) \ \ \operatorname{T}(\frac{n}{2}) \\ \qquad \operatorname{Three}(A[\lfloor \frac{n}{2}\rfloor + 1\ldots n]) \ \ \operatorname{T}(\frac{n}{2}) \\ \operatorname{End} \ \operatorname{Three}. \ \ \operatorname{O(1)} \end{array}$$

Which gives me the following recurrece relation:

$$T(n) = \begin{cases} c & \text{if } n \le 1\\ 3T(\frac{n}{2}) + n^3 & \text{if } n > 1 \end{cases}$$

(6 points) 2. Find the tight complexity of algorithm Three.

By Master Theorem I obtain case 3:

$$\lim_{n \to \infty} \frac{n^3}{n^{\log 3 + \varepsilon}} = \lim_{n \to \infty} \frac{n^3}{n^{\log 3 + (2 - \log 3)}}$$

$$= \lim_{n \to \infty} \frac{n^3}{n^2}$$

$$= \lim_{n \to \infty} n \to \infty$$

$$T(n) \in \Theta(n^3)$$

By Akra-Bazzi I obtain:

$$3(\frac{1}{2})^p = 1$$
$$p = \log_2 3$$

$$T(n) \in \Theta(n^p(1 + \int_1^n \frac{u^3}{u^{p+1}} du))$$

$$\in \Theta(0.293305n^{1.58496} + 0.706695n^3)$$

$$\in \Theta(n^3)$$

$$\begin{array}{c} \operatorname{One}(A[1\ldots n]) \\ \qquad \text{If } n\leq 1 \text{ Then Return // nothing to do} \\ \operatorname{Swift}(A[1\ldots n]) \\ \qquad \operatorname{One}(A[1\ldots \lfloor \frac{n}{2}\rfloor]) \\ \text{End One.} \end{array}$$

(6 points) 3. State a recurrence that gives the complexity T(n) for algorithm One.

$$\begin{array}{l} \operatorname{One}(A[1\ldots n])\operatorname{O}(1) \\ \qquad \qquad \operatorname{If} \ n \leq 1 \ \operatorname{Then} \ \operatorname{Return} \ // \ \operatorname{nothing} \ \operatorname{to} \ \operatorname{do} \ \operatorname{O}(1) \\ \qquad \qquad \operatorname{Swift}(A[1\ldots n])\operatorname{O}(n\log\log n) \\ \qquad \qquad \operatorname{One}(A[1\ldots \left\lfloor \frac{n}{2} \right\rfloor]) \ \operatorname{T}(\frac{n}{2}) \\ \operatorname{End} \ \operatorname{One}. \end{array}$$

Which gives me the following recurrece relation:

$$T(n) = \begin{cases} c & \text{if } n \le 1\\ T(\frac{n}{2}) + n \log \log n & \text{if } n > 1 \end{cases}$$

(12 points) 4. Find the tight complexity of algorithm One.

$$\begin{split} \lim_{n \to \infty} \frac{n \log \log n}{n^{\log 1 + \varepsilon}} &= \lim_{n \to \infty} \frac{n \log \log n}{n^{0 + \gamma}} \\ \gamma &= 0.577216 \\ &= \lim_{n \to \infty} n^{0.422784} \log \log n \to \infty \\ T(n) &\in \Theta(n \log \log n) \end{split}$$

By Akra-Bazzi I obtain:

$$\left(\frac{1}{2}\right)^p = 1$$
$$p = 0$$

As for computing this integral, it is non-elementary. It involes computing the Li(u) which I've looked up and it defintely grows slower than any of the functions we normally use.

It's worth noting that we don't need to work about that, Akra-Bazzi tells us that if p < k then we get case 3 of the master theorem.

$$T(n) \in \Theta(n^p(1 + \int_1^n \frac{u \log \log u}{u^{p+1}} du))$$

$$\in \Theta(1 + \gamma - i\pi + n \log \log n - Li(n))$$

$$\in \Theta(n \log \log n)$$

3 PROGRAMMING

Quicksort runs in it's worst case when it chooses the greatest or smallest element for each successive pivot. That recurrence relation can be written in the form of

$$T(n) = \begin{cases} c & \text{if } n < 1\\ T(n-1) + O(n) & \text{if } n \ge 1 \end{cases}$$

We've solved this recurrence relation plenty times before and the solution will just be stated as $\Theta(n^2)$. Now in practice was this what I obtained? By in large, on the 50 or so times I ran this program, this is what I obtained as a upper bound.

I was able to completely get rid of stackoverflows by implementing XORSwap, which is my favourite way to reduce the size of the stack. This is not the best swaping without a temporary variable routine in Java, arithmetic swap performs better due to compiler optimizations(arithmetic operators are used more often than bitwise ones so the compiler writers have written better optimizations for airthmetic) but it avoids overflows and leads to more desirable behavior generally for this reason.

As for the space complexity I'm going to be honest and I couldn't make heads or tails of the space complexity of this algorithm from what I wrote. I think consulting the literature is important when this happens so here we go:

"For Quicksort, the combination of end- recursion removal and a policy of processing the smaller of the two subfiles first turns out to ensure that the stack need only contain room for about, lg N entries, since each entry on the stack after the top one must represent a subfile less than half the size of the previous entry." Robert Sedgwick's Algorithms

There two possible correctors to this question of space complexity. $\log n$ and n so which is it? Sedgwick seems to tell me it's $\log n$ which makes sense but if this true, why is that by implementing XORSwap I removed stackoverflows? Well let me consult James Aspnes lecture notes from a Computational Complexity Theory at Yale:

"There is no overhead in space complexity (except possibly dealing with the issue of a non-writable input tape with multiple heads), but the time complexity of a computation can easily go from T to $O(T^2)$.

(chapter 3 page 14 http://www.cs.yale.edu/homes/aspnes/classes/468/notes.pdf) What this telling me is that space complexity is less fickle and due to the nature of how information is stored it really does matter what the constant is. By reducing the number of swaps by a 1/3 ten times in my program I considerably dropped the space quicksort was using on the stack. But in both cases it was $\Theta(\log n)$ but inorder to run it I needed to worry about that constant.

The excution times and the code will be included below. It's worth noting here for the reader that I did implement XORShift to generate better random numbers. I think this is a best practice. Just from eyeballing it, before included it I was getting numbers that seemed a little too good. The runningtimes are more in line with what I expect with a more normally distributed set of numbers.

Command	Prompt		Ŀ		×
 N	====== piv	sorted?	safe?	 time?	ĺ
1000					
1000		sorted	unsafe	0.000	
1000	mid	unsorted	unsafe	0.001	
1000		sorted			
1000	init	unsorted		0.001	
1000				0.001	
		unsorted			
		sorted	safe =======	0.000 ======	
10000	init	unsorted	unsafe	0.009	
10000	init	sorted	unsafe	0.002	
10000	mid	unsorted	unsafe	0.005	
10000	mid	sorted	unsafe	 0.003	
10000					
10000			safe ======	0.003	
10000		unsorted	safe	0.005	
10000	mid	sorted	safe	0.002	
100000	init			0.031	
100000	init	sorted	unsafe	0.030	
		unsorted			
				=======	
100000	mid	sorted 	=======	0.033 ======	
100000	init	unsorted		0.034	
100000	init	sorted	safe	0.029	
100000	mid	unsorted	safe	0.034	
100000	mid	sorted	safe	0.023	
1000000	init	unsorted	unsafe	0.277	
		sorted			
1000000	mid	unsorted	unsafe	0.430 	
1000000		sorted	unsafe	0.385	
1000000	init	unsorted	safe	0.283	
1000000	init	sorted			
1000000		unsorted		1.094	
		unsorted			
		sorted			
10000000	mid	unsorted		6.424	
10000000	mid	sorted	unsafe	4.164	
10000000	init	unsorted	safe	5.128	
10000000	init	sorted	safe	3.742	
		unsorted	safe	4.429	
	mid	sorted	safe ======		
			tHub\qsort		

```
private static String first = "init";
private static String inst = "sorted";
private static String usorted = "unsorted";
private static String usorted = "sorted";
private static String usore = "sorted";
private static Integer[] sid A;
private static Integer[] sid A;
private static Integer[] sid A;
private static String usore = "sorted";
private static Integer[] sid A;
private static String usore = "sorted";
private static string us
```

```
public static void qsort_init(Integer[] a) {
    qsort_init(a, 0, a.length - 1);
        qsort_init(a, p + 1, r);
public static int piv_init(Integer[] a, int 1, int r) {
    Integer p = a[1];
    while (i < j) {
        while (p.compareTo(a[i]) > 0 && i < r) {
            if (i > r) break;
        while (p.compareTo(a[j]) < 0) j--;
        if (i >= j) break;
            swap(a, i, j);
            j--;
    swap(a, 1, j);
private static void sf_qsort_init(Integer[] a) {
    sf_qsort_init(a, 0, a.length - 1);
private static void sf_qsort_init(Integer[] a, int 1, int r) {
    while (r > 1) {
        int p = piv_init(a, l, r);
        if (p - 1 \leftarrow r - p) {
            sf_qsort_init(a, 1, p - 1);
            sf_qsort_init(a, p + 1, r);
```

```
public static void qsort_mid(Integer[] a) {
    qsort_mid(a, 0, a.length - 1);
public static void qsort_mid(Integer[] a, int 1, int r) {
         int p = piv_mid(a, 1, r);
qsort_mid(a, 1, p - 1);
         qsort_mid(a, p + 1, r);
public static int piv_mid(Integer[] a, int 1, int r) {
    Integer p = a[1 + (r - 1) >> 1];
    swap(a, m, 1);
while (i <= j) {
    while (p.compareTo(a[i]) > 0) {
         while (p.compareTo(a[j]) < 0) --j;
         if (i <= j) {
             swap(a, i, j);
         swap(a, 1, j);
    } else if (i == j) {
   swap(a, l, i - 1);
    return j;
private static void sf_qsort_mid(Integer[] a) {
    sf_qsort_mid(a, 0, a.length - 1);
```

```
sb = new StringBuilder();
fill = "| %-9d | %-5s | %-9s | %-7s | %-7.3f |%n";
     sb.append(String.format("|====
     sb.append(String.format(" | N
                                              | piv | sorted? | safe? | time? |%n"));
     System.out.print(sb.toString());
private static void writeRow(int size, String piv, String sort, String sf, double tm) {
    fill = "| %-9d | %-5s | %-9s | %-7s | %-7.3f |%n";
    String s = String.format(fill, size, piv, sort, sf, tm);
    System.out.print(s.toString());
                                              --|-----|%n", brdSize);
    String s = String.format("|===
    System.out.print(s.toString());
private static void shakeUrn(Integer[] a) {
    for (int i = 0; i < a.length; i++) a[i] = (int)XORShift128plus();</pre>
private static double test_qsort_init_unsorted() {
   startTime = System.currentTimeMillis();
    qsort_init(init_A);
    return (System.currentTimeMillis() - startTime) / 1000.0;
    startTime = System.currentTimeMillis();
    qsort_mid(mid_A);
    return (System.currentTimeMillis() - startTime) / 1000.0;
private static double test_qsort_init_sorted() {
    startTime = System.currentTimeMillis();
qsort_init(init_A);
    return (System.currentTimeMillis() - startTime) / 1000.0;
```

```
private static double test_qsort_mid_sorted() {
    startTime = System.currentTimeMillis();
    qsort_mid(mid_A);
    return (System.currentTimeMillis() - startTime) / 1000.0;
    startTime = System.currentTimeMillis();
    sf_qsort_init(init_A);
    return (System.currentTimeMillis() - startTime) / 1000.0;
private static double test_sf_qsort_mid_unsorted() {
    startTime = System.currentTimeMillis();
    sf_qsort_mid(mid_A);
    return (System.currentTimeMillis() - startTime) / 1000.0;
private static double testsf_qsortSorted() {
    startTime = System.currentTimeMillis();
    sf_qsort_init(init_A);
    return (System.currentTimeMillis() - startTime) / 1000.0;
private static double test_sf_qsort_mid_sorted() {
    startTime = System.currentTimeMillis();
    sf_qsort_mid(mid_A);
    return (System.currentTimeMillis() - startTime) / 1000.0;
```