

**Definition.** A topological space  $X$  is *irreducible* if for any two closed subsets  $V_1, V_2 \subseteq X$  such that  $V_1 \cup V_2 = X$ , then  $V_1 = X$  or  $V_2 = X$ .

**Proposition 1.** Let  $X$  be a topological space. Then the following are equivalent:

- (1)  $X$  is irreducible.
- (2) Every nonempty open subset of  $X$  is dense.
- (3) Every pair of nonempty open subsets of  $X$  intersect nontrivially.

*Proof.* (1)  $\Rightarrow$  (2) : Suppose there is a nonempty open subset  $U \subseteq X$  such that  $\overline{U} \neq X$ . Then  $\overline{U}$  is a proper closed subset of  $X$ . Also  $X \setminus U$  is a proper closed subset of  $X$ , since  $U$  is nonempty. Furthermore,  $X = \overline{U} \cup X \setminus U$ , so  $X$  is not irreducible.

(2)  $\Rightarrow$  (3) : Suppose there are two nonempty open subsets  $U_1, U_2 \subseteq X$  such that  $U_1 \cap U_2 = \emptyset$ . So  $U_1 \subseteq X \setminus U_2$ , which is closed. Thus  $\overline{U_1} \subseteq X \setminus U_2 \neq X$ . Therefore  $U_1$  is not dense.

(3)  $\Rightarrow$  (1) : Suppose every pair of open subsets of  $X$  intersect nontrivially. Then let  $X = V_1 \cup V_2$  be the union of two closed subsets. Then  $X \setminus V_1$  and  $X \setminus V_2$  are open subsets, but  $(X \setminus V_1) \cap (X \setminus V_2) = \emptyset$ . Therefore  $X \setminus V_1 = \emptyset$  (so  $X = V_1$ ) or vice versa. Therefore  $X$  is irreducible.  $\square$

Proposition 1 will prove to be very handy. We also have the following two equivalences:

**Proposition 2.** Let  $X$  be a topological space. Then  $X$  is irreducible if and only if every nonempty open subset of  $X$  is connected.

*Proof.* ( $\Rightarrow$ ) Suppose  $U \subseteq X$  is not connected. Then there are  $W_1, W_2 \subseteq U$  open in  $U$  such that  $W_1 \cup W_2 = U$  and  $W_1 \cap W_2 = \emptyset$ . Then  $W_1, W_2$  are also closed in  $U$ . Then  $W_1 = U \cap Y_1$  and  $W_2 = U \cap Y_2$  for some closed sets  $Y_1, Y_2$  closed in  $X$ . Furthermore,  $X \setminus U$  is closed. Then  $Y_1 \cup Y_2 \cup X \setminus U = X$ , so  $X$  is not irreducible (since none of these are  $X$ ).

( $\Leftarrow$ ) Suppose  $X$  is not irreducible. Then  $X = C_1 \cup C_2$  (each not equal to  $X$ ). Let  $C_1 + C_2$  denote the symmetric difference of  $C_1$  and  $C_2$ . Then  $C_1 + C_2 = X \setminus C_1 \cup X \setminus C_2$  is open. Then  $X \setminus C_1 \subseteq C_1 + C_2$  is closed in  $C_1 + C_2$  since  $X \setminus C_1 = C_2 \cap (C_1 + C_2)$ . Then symmetrically,  $X \setminus C_2$  is open in  $C_1 + C_2$ . Then  $X \setminus C_1$  is proper and closed and open in  $C_1 + C_2$ , so  $C_1 + C_2$  is not connected.  $\square$

**Proposition 3.** Let  $X$  be a topological space. Then  $X$  is irreducible if and only if every open subset of  $X$  is irreducible.

*Proof.* ( $\Rightarrow$ ) Let  $U \subseteq X$  not be irreducible. Then  $U = C_1 \cup C_2$ . Then there are closed subsets  $D_1, D_2 \subseteq X$  such that  $C_1 = U \cap D_1$  and  $C_2 = U \cap D_2$ . Then  $X = D_1 \cup D_2 \cup X \setminus U$ , so  $X$  is not irreducible.

( $\Leftarrow$ )  $X$  is open in itself, so  $X$  is irreducible.  $\square$

**Definition.** A ring  $A$  is *lucky* if its nilradical  $\sqrt{0A}$  is prime. (This is equivalent to  $\sqrt{0A}$  being the only minimal prime of  $A$ .)

Note that a (commutative) ring  $A$  is an integral domain if and only if  $A$  is both lucky and reduced.

**Definition.** A *generic point* (also called a *lucky point* (by me)) of a topological space  $X$  is a point  $\omega \in X$  such that  $\overline{\{\omega\}} = X$ .

Note that a topological space  $X$  need not have a unique generic point in general. For example in  $\mathbb{R}$  under the trivial topology, every point is a generic point.

**Lemma 1.** Let  $X$  be any topological space with a generic point  $\omega \in X$ . Then  $X$  is irreducible.

*Proof.* Since  $\omega$  is a generic point, then  $\overline{\{\omega\}} = X$  and so the smallest closed set that contains  $\omega$  is  $X$ . Then let  $U_1, U_2$  be nontrivial open sets of  $X$ . Then  $V_1 = X \setminus U_1$  and  $V_2 = X \setminus U_2$  are proper closed subsets of  $X$ . Therefore  $\omega \notin V_1 \cup V_2$ , so  $\omega \in U_1 \cap U_2$ . Thus all nonempty open sets intersect nontrivially (as all nonempty open sets contain  $\omega$ ), so  $X$  is irreducible.  $\square$

Note that the converse does not necessarily hold for all topological spaces. Counterexamples include  $\mathbb{R}$  with the finite-complement topology (for a compact example) or  $\mathbb{R}$  with the infinite-ray-to-the-right topology (for a non-compact example).

**Proposition 4.** Let  $f : X \rightarrow Y$  be a continuous map of topological spaces and let  $Z$  be an irreducible subset of  $X$ . Then  $f(Z)$  is an irreducible subset of  $Y$ . Furthermore, if  $\omega$  is a generic point of  $Z$ , then  $f(\omega)$  is a generic point of  $f(Z)$ .

*Proof.* Let  $U_1$  and  $U_2$  be nonempty open subsets of  $f(Z)$ . Then  $V_1 = f^{-1}(U_1) \cap Z$  and  $V_2 = f^{-1}(U_2) \cap Z$  are nonempty open subsets of  $Z \subseteq f^{-1}(f(Z))$ . Since  $Z$  is irreducible,  $V_1 \cap V_2 \neq \emptyset$  and so  $U_1 \cap U_2 \neq \emptyset$ . Since  $U_1$  and  $U_2$  are arbitrary nonempty open subsets of  $f(Z)$ , we know that  $f(Z)$  must be irreducible.

Now let  $Z$  be irreducible with generic point  $\omega$ . Then  $Z = \text{cl}_Z(\{\omega\})$ . We want to show that  $f(Z) = \text{cl}_{f(Z)}(\{f(\omega)\})$ . Again, let  $U$  be a nonempty open subset of  $f(Z)$ . Then  $V = f^{-1}(U) \cap Z$  is a nonempty open subset of  $Z$ . Therefore  $\omega \in V$ . Then  $f(\omega) \in U$ . and so  $f(\omega) \notin f(Z) \setminus U$ . Thus  $f(\omega)$  is in every open subset of  $f(Z)$  (except  $\emptyset$ ) and so  $f(\omega)$  is excluded from every closed subset of  $f(Z)$  other than  $f(Z)$  itself. Thus  $f(Z)$  is the smallest closed subset of  $f(Z)$  containing  $f(\omega)$  and so  $f(Z) = \text{cl}_{f(Z)}(\{f(\omega)\})$ . Thus  $f(\omega)$  is a generic point of  $f(Z)$ .  $\square$

**Proposition 5.** Let  $A$  be a ring. Then the following are equivalent.

- (1)  $A$  is lucky.
- (2)  $\sqrt{0A} \in \text{Spec}A$  is the unique generic point of  $\text{Spec}A$  under the Zariski topology.
- (3)  $\text{Spec}A$  is irreducible under the Zariski topology.

*Proof.* (1)  $\Rightarrow$  (2) : Suppose  $A$  is lucky. Then  $\sqrt{0A}$  is the only minimal prime of  $A$ . So  $\sqrt{0A} \in \text{Spec}A$  and for all  $\mathfrak{p} \in \text{Spec}A$ ,  $\sqrt{0A} \subseteq \mathfrak{p}$ . Thus  $\mathfrak{V}(\sqrt{0A}) = \text{Spec}A$ . Now consider  $\{\sqrt{0A}\}$ . Let  $\sqrt{0A} \in \mathfrak{V}(\mathfrak{a})$  for some radical ideal  $\mathfrak{a} \subseteq A$ . Then  $\mathfrak{a} \subseteq \sqrt{0A}$  and so all primes contain  $\mathfrak{a}$ . Thus  $\mathfrak{V}(\mathfrak{a}) = \text{Spec}A$ . Therefore  $\sqrt{0A}$  is a generic point.

Now to show uniqueness. Let  $\mathfrak{q} \in \text{Spec}A$  be a generic point of  $\text{Spec}A$ . Then every prime ideal contains  $\mathfrak{q}$ . Thus  $\mathfrak{q} \subseteq \bigcap_{\mathfrak{p} \in \text{Spec}A} \mathfrak{p} = \sqrt{0A}$ . Since  $\mathfrak{q}$  is prime and  $\sqrt{0A}$  is the unique minimal prime, we have  $\mathfrak{q} = \sqrt{0A}$ .

(2)  $\Rightarrow$  (3) : This is Lemma 1.

(3)  $\Rightarrow$  (1) : Suppose  $A$  is not lucky, so  $\sqrt{0A}$  is not prime. Then there is more than one minimal prime of  $A$ . Let  $\{\mathfrak{p}_\alpha\}_{\alpha \in J}$  be the set of all minimal primes of  $A$  (of size greater than 1). Then  $\mathfrak{V}(\mathfrak{p}_\alpha) \neq \text{Spec}A$ , since  $\mathfrak{p}_\beta \notin \mathfrak{V}(\mathfrak{p}_\alpha)$  for all  $\beta \neq \alpha \in J$ . Furthermore,  $\bigcup_{\alpha \in J} \mathfrak{V}(\mathfrak{p}_\alpha) = \mathfrak{V}(\bigcap_{\alpha \in J} \mathfrak{p}_\alpha) = \mathfrak{V}(\sqrt{0A}) = \text{Spec}A$ . Thus  $\text{Spec}A$  can be realized as the union of closed subsets and is equal to none of those subsets. Thus  $\text{Spec}A$  is not irreducible.  $\square$

**Problem 1.** (Hartshorne 2.2.9) Let  $X$  be a scheme. Then every irreducible closed subset  $Z \subseteq X$  contains a unique generic point.

*Proof.* First we know that if  $X$  is an affine scheme, then every irreducible closed subset  $Z$  is  $\text{Spec}A_Z$  for some lucky ring  $A_Z$ . Then by Proposition 5,  $Z$  has a unique generic point.

Now let  $X$  be an arbitrary scheme. Then for every point  $P \in X$ , there is a neighborhood  $U$  containing  $P$  such that  $U$  is an affine scheme (with the restricted sheaf). Then  $X$  can be covered by affine schemes as open subsets. So let  $Z$  be an irreducible closed subset of  $X$ . Then for each  $P \in Z$ , there is a neighborhood  $U_P \subseteq Z$  of  $P$  such that  $U_P$  is an affine scheme. Since  $Z$  is irreducible,  $U_P$  is irreducible (Proposition 3). Thus  $U_P$  has a unique generic point  $\omega_P$ . Thus  $\text{cl}_{U_P}(\{\omega_P\}) = U_P$ . Note that  $Z$  can be covered by such open sets, and such open sets are dense in  $Z$  ( $Z$  is irreducible).

Let  $P \in Z$  be fixed, then we claim that  $\omega_P$  is a generic point for all of  $Z$ . That is,  $\text{cl}_Z(\{\omega_P\}) = Z$ . We know that  $\text{cl}_{U_P}(\{\omega_P\}) = U_P$  (so the smallest closed set in  $U_P$  that contains  $\omega_P$  is  $U_P$ ) and  $\text{cl}_Z(U_P) = Z$  (so the smallest closed set in  $Z$  that contains  $U_P$  is  $Z$ ), then the smallest closed set in  $Z$  that contains  $\omega_P$  must be  $Z$ . Thus  $\omega_P$  is a generic point of  $Z$ .

Now to prove uniqueness. Since  $\omega_P$  is a generic point of  $Z$ , we know (by Lemma 1) that  $\omega_P$  is in every open subset of  $Z$  (including those which are themselves affine schemes). Thus  $\omega_P$  is a generic point in every affine subscheme, which from Proposition 5 have a *unique* generic point. Thus  $\omega_P$  is the unique generic point of every affine subscheme of  $Z$ . Thus  $\omega_P$  is the unique generic point of  $Z$ .  $\square$

**Proposition 6.** Let  $X$  be a topological space. Then  $X$  is noetherian if and only if every subspace of  $X$  is compact.

*Proof.* ( $\Rightarrow$ ) Let  $Y \subseteq X$  be an arbitrary subspace. Then let  $\mathcal{U} = \{U_\alpha\}_{\alpha \in J}$  be an open cover of  $Y$ . Consider the collection  $\mathcal{A} = \{\bigcup \mathcal{F} : \mathcal{F} \text{ is a finite subset of } \mathcal{U}\}$ . First we will show that  $\mathcal{A}$  has a maximal element.

Let  $\{\alpha_1, \alpha_2, \dots\}$  be a countable subset of  $J$ . Then

$$U_{\alpha_1} \subseteq U_{\alpha_1} \cup U_{\alpha_2} \subseteq U_{\alpha_1} \cup U_{\alpha_2} \cup U_{\alpha_3} \subseteq \dots$$

is an ascending chain of open subsets of  $Y$ . We know that for each  $U_\alpha \in \mathcal{U}$ , there is a  $V_\alpha$  open in  $X$  such that  $U_\alpha = X \cap V_\alpha$ . Since  $X$  is noetherian, the chain

$$V_{\alpha_1} \subseteq V_{\alpha_1} \cup V_{\alpha_2} \subseteq V_{\alpha_1} \cup V_{\alpha_2} \cup V_{\alpha_3} \subseteq \dots$$

stabilizes in  $X$ . Thus the chain stabilizes in  $Y$ .

Thus an arbitrary chain in the poset  $\langle \mathcal{A}, \subseteq \rangle$  has a maximal element. By Zorn's Lemma,  $\mathcal{A}$  then has a maximal element  $U = U_{\alpha_1} \cup \dots \cup U_{\alpha_n}$  where  $n \in \mathbb{N}$ . We claim  $U = Y$ . If  $U \neq Y$  then there is an  $y \in Y \setminus U$ . Since  $\mathcal{U}$  covers  $Y$ , there is an  $\alpha \in J$  such that  $y \in U_\alpha$ . Thus  $U \subsetneq U \cup U_\alpha \in \mathcal{A}$ . This contradicts the maximality of  $U$ . Thus  $U = Y$  and so  $Y \in \mathcal{A}$ . Thus  $Y$  is compact.

( $\Leftarrow$ ) Let

$$C_1 \supseteq C_2 \supseteq C_3 \supseteq \dots$$

be a descending chain of closed subsets of  $X$ . Then define  $U_i = X \setminus C_i$  for all  $i \geq 1$ , and see that

$$U_1 \subseteq U_2 \subseteq U_3 \subseteq \dots$$

is an ascending chain of open subsets of  $X$ . Observe that  $\{U_i\}_{i \geq 1}$  is an open cover of the subspace  $U := \bigcup_{i \geq 1} U_i$ . Since every subspace of  $X$  is compact, the open cover  $\{U_i\}_{i \geq 1}$  must have a finite subcover. Since  $U_i \subseteq U_j$  for all  $i < j$ , we know that there must exist an  $N \in \mathbb{N}$  such that  $U_i = U_N$  for all  $i \geq N$ , and  $U = U_N$ . Thus we know that  $C_i = C_N$  for all  $i \geq N$ , and so the descending chain of closed subsets stabilizes. Thus  $X$  is noetherian.  $\square$

**Definition.** A topological space  $X$  is a *Zariski space* if it is noetherian and every (nonempty) closed irreducible subset has a unique generic point.

**Lemma 2.** Let  $X$  be a topological space and  $P$  be a point in  $X$ . Then  $\text{cl}_X(\{P\})$  is irreducible. (This can also work as an alternative proof of Lemma 1.)

*Proof.* Let  $\text{cl}_X(\{P\}) = C_1 \cup C_2$ . Then one of  $C_1$  or  $C_2$  is a closed set containing  $P$ , and thus is equal to  $\text{cl}_X(\{P\})$ .  $\square$

**Problem 2.** (Hartshorne 2.3.17)

- (a) Show that if  $X$  is a noetherian scheme, then  $\text{sp}(X)$  is a Zariski space.
- (b) Show that any minimal nonempty closed subset of a Zariski space consists of one point. (These are called *closed points*.)
- (c) Show that any Zariski space  $X$  is  $T_0$ : Given any two distinct points in  $X$ , there is an open set containing one but not the other.
- (d) If  $X$  is an irreducible Zariski space, then its generic point is contained in every nonempty open subset. (Lemma 1!)

- (e) If  $x_0, x_1$  are points of a topological space  $X$ , we say  $x_1$  *specializes*  $x_0$  (written  $x_1 \rightsquigarrow x_0$ ) if  $x_0 \in \text{cl}_X(\{x_1\})$ . We also say  $x_0$  is a *specialization* of  $x_1$ , or  $x_1$  is a *generization* of  $x_0$ . Now let  $X$  be a Zariski space partially ordered by  $x_1 > x_0$  if  $x_1 \rightsquigarrow x_0$ . Show that the minimal points of the poset  $X$  are the closed points, and the maximal points are the generic points of the irreducible components of  $X$ . Show that a closed set contains every specialization of any of its points. (We say closed sets are *stable under specialization* and open sets are *stable under generization*.)
- (f) Let  $t$  be the Zariski functor. If  $X$  is a noetherian topological space, show  $t(X)$  is a Zariski space. Furthermore  $X$  itself is a Zariski space if and only if the map  $\alpha : X \rightarrow t(X)$  is a homeomorphism.

*Proof.*

- (a)  $\text{sp}(X)$  is a noetherian topological space because  $X$  is locally noetherian and so it is covered by noetherian open sets  $\text{Spec} A_i$  (each  $A_i$  is a noetherian ring, so  $\text{Spec} A_i$  is a noetherian space) and quasi-compact, so  $\text{sp}(X)$  is covered by finitely many noetherian subspaces.

Since being noetherian is equivalent with every subspace being compact, if we take an arbitrary subspace  $Y$  of  $\text{sp}(X)$ , we see that  $Y \cap \text{Spec} A_i \subseteq \text{Spec} A_i$  is compact for each of the finitely many  $i$ . Thus an arbitrary open cover of  $Y$  can be intersected with each of the  $\text{Spec} A_i$  to create an open cover of  $Y \cap \text{Spec} A_i$ . Each of these intersections of the open cover is equal to some finite subcover of  $Y \cap \text{Spec} A_i$  for each of the finitely many  $i$ . Thus the open cover of  $Y$  can be replaced by the finite union of each of these finite subcovers. Thus  $Y$  is compact for an arbitrary  $Y \subseteq \text{sp}(X)$ . Thus  $\text{sp}(X)$  is noetherian.

Furthermore, by Problem 2.9, every nonempty irreducible closed subset of any scheme has a unique generic point. Thus  $X$  is Zariski.

- (b) Let  $X$  be a Zariski space. Let  $C$  be a minimal nonempty closed set of  $X$ . That is, the only closed set of  $X$  properly contained in  $C$  is  $\emptyset$ . Then  $C$  is a nonempty irreducible closed subset of  $X$ , and so  $C$  contains a unique generic point  $\omega_C$ . However, *every* point  $P \in C$  is a generic point of  $C$ , since  $\emptyset \neq \text{cl}_X(\{P\}) \subseteq C$ , with equality coming from the minimality of  $C$ . Thus  $C$  contains just the unique point  $\omega_C$ .
- (c) Let  $P \neq Q \in X$ . Then by Lemma 2,  $\text{cl}_X(\{P\})$  is a nonempty irreducible closed subset of  $X$  (its unique generic point is  $P$ ). The same goes for  $\text{cl}_X(\{Q\})$ . We claim that either  $P \notin \text{cl}_X(\{Q\})$  or  $Q \notin \text{cl}_X(\{P\})$ .

Suppose  $P \in \text{cl}_X(\{Q\})$ . Then every closed set that contains  $Q$  also contains  $P$ . That is, every open set excluding  $Q$  also excludes  $P$ . Then  $\text{cl}_X(\{P\}) \subseteq \text{cl}_X(\{Q\})$ . If equality holds, then  $\text{cl}_X(\{Q\})$  has both  $P$  and  $Q$  as generic points. Since  $X$  is Zariski, generic points must be unique, but  $P \neq Q$ . Thus equality cannot hold. Therefore we have  $\text{cl}_X(\{P\}) \subsetneq \text{cl}_X(\{Q\})$ . Thus  $Q \notin \text{cl}_X(\{P\})$ . Thus  $Q \in X \setminus \text{cl}_X(\{P\})$  is open and excludes  $P$ . Thus  $X$  is  $T_0$ .

- (d) This is proved in the proof of Lemma 1 (but it's not the statement of Lemma 1).

- (e) Let  $m \in X$  be a minimal point under this partial ordering. That is,  $m$  specializes nothing other than  $m$ , or there are no points other than  $m$  in  $\text{cl}_X(\{m\})$ . Thus  $\text{cl}_X(\{m\}) = \{m\}$  and so  $m$  is a closed point of  $X$ .

Now let  $M \in X$  be a maximal point under this partial ordering. That is, nothing other than  $M$  specializes  $M$ , or  $M \in \text{cl}_X(\{P\})$  only if  $P = M$ . We will interpret Hartshorne to mean show  $M$  is the unique generic point for some *maximal* irreducible closed set of  $X$ , as showing  $\text{cl}_X(\{M\})$  is irreducible is Lemma 2.

Let  $C$  be an irreducible closed subset such that  $\text{cl}_X(\{M\}) \subseteq C$ . Since  $X$  is Zariski,  $C$  has a unique generic point  $\omega$ . Then  $C = \text{cl}_X(\{\omega\})$ . Then  $M \in \text{cl}_X(\{\omega\})$ , but by maximality of  $M$ , this implies  $M = \omega$ , and so  $\text{cl}_X(\{M\}) = C$ . Thus  $\text{cl}_X(\{M\})$  is a maximal irreducible closed subset of  $X$ .

Let  $C$  be a closed set and let  $c \in C$ . Let  $z \in \text{cl}_X(\{c\}) \subseteq C$ , so  $z \in C$ . Thus  $C$  is closed under specialization.

- (f) Recall that given a topological space  $X$ , then  $t(X)$  is the set of all nonempty irreducible closed subsets of  $X$ , with the topology given by the closed sets are of the form  $t(Y)$ , where  $Y$  is a closed subset of  $X$ .

Let  $X$  be a noetherian space. Then we will show  $t(X)$  is noetherian. Let

$$t(Y_0) \supseteq t(Y_1) \supseteq t(Y_2) \supseteq \cdots$$

be a descending chain of closed sets of  $t(X)$ . Then  $t(Y_i)$  is the set of nonempty irreducible closed subsets of  $Y_i$  for all  $i$ . Since  $t(Y_{i+1}) \subseteq t(Y_i)$ , that means that every irreducible closed subset of  $Y_{i+1}$  is also an irreducible closed subset of  $Y_i$ . So let  $y \in Y_{i+1}$ . Then  $\text{cl}_X(\{y\})$  is an irreducible closed subset of  $Y_{i+1}$  (Lemma 2 and the fact  $Y_{i+1}$  is closed), and thus is an irreducible closed subset of  $Y_i$ . Thus  $y \in Y_i$ , so  $Y_{i+1} \subseteq Y_i$ . Therefore we have the descending chain of closed sets in  $X$

$$Y_0 \supseteq Y_1 \supseteq Y_2 \supseteq \cdots$$

which stabilizes since  $X$  is noetherian. Thus the original descending chain of closed sets of  $t(X)$  stabilizes, so  $X$  is noetherian.

Now to show  $t(X)$  is Zariski. Let  $t(Y) \subseteq t(X)$  be a nonempty irreducible closed subset. So  $t(Y)$  is the set of all nonempty irreducible closed subsets of  $Y$ , and it *itself* is irreducible as a nonempty closed subset of  $t(X)$ . This means that if  $t(Y) = t(Y_1) \cup t(Y_2)$ , then  $t(Y) = t(Y_1)$  or  $t(Y) = t(Y_2)$ .

We will show that  $t(Y) = t(Y_1) \cup t(Y_2)$  if and only if  $Y = Y_1 \cup Y_2$ . For the forwards direction, let  $y \in Y$ . Then  $\text{cl}_X(\{y\}) \in t(Y)$ , as shown earlier, so  $\text{cl}_X(\{y\}) \in t(Y_1)$  or  $t(Y_2)$ . Thus  $y \in Y_1 \cup Y_2$ . Now let  $z \in Y_1 \cup Y_2$ . Then  $\text{cl}_X(\{z\}) \subseteq Y_1$  or  $\text{cl}_X(\{z\}) \subseteq Y_2$ , so  $\text{cl}_X(\{z\}) \in t(Y)$ . Thus  $z \in Y$ .

For the backwards direction, let  $C \in t(Y)$ . Then  $C \subseteq Y$ , so  $C \subseteq Y_1 \cup Y_2$ . Then  $C = (Y_1 \cap C) \cup (Y_2 \cap C)$ , but since  $C$  is irreducible then  $C = Y_1 \cap C$  or  $C = Y_2 \cap C$ . Without loss of generality, let  $C = Y_1 \cap C$ , so then  $C \subseteq Y_1$ . Then  $C \in t(Y_1) \cup t(Y_2)$ . Now let  $D \in t(Y_1) \cup t(Y_2)$ . Then  $D$  is a nonempty irreducible closed subset of  $Y_1$  or

$Y_2$ . Without loss of generality, let  $D \in t(Y_1)$ . Then since  $t(Y_1) \subseteq t(Y)$ , we know from earlier that  $D \in t(Y)$ . Thus  $t(Y) = t(Y_1) \cup t(Y_2)$ .

Now suppose  $Y = Y_1 \cup Y_2$ . Then  $t(Y) = t(Y_1) \cup t(Y_2)$ . Since  $t(Y)$  is irreducible, then  $t(Y) = t(Y_1)$  or  $t(Y) = t(Y_2)$ . Then  $Y = Y_1$  or  $Y = Y_2$ . Therefore  $Y$  is irreducible. Thus  $Y \in t(Y)$ . We claim that  $Y$  is the unique generic point of  $t(Y)$ .

First we will show that  $\text{cl}_{t(Y)}(\{Y\}) = t(Y)$ . Note that  $\text{cl}_{t(Y)}(\{Y\}) \subseteq t(Y)$  since  $t(Y)$  is closed. Now suppose  $Z \in t(Y)$ . Then  $Z$  is a nonempty irreducible closed subset of  $Y$ . Then any closed set in  $t(X)$  that contains  $Y$  must also contain  $Z \subseteq Y$ . Therefore  $Z \in \text{cl}_{t(Y)}(\{Y\})$ , so  $\text{cl}_{t(Y)}(\{Y\}) = t(Y)$ .

Thus  $Y$  is a generic point of  $t(Y)$ . Now we must show uniqueness. Let  $Z \in t(Y)$  be such that  $t(Y) = \text{cl}_{t(Y)}(\{Z\}) = t(Z)$ . Then  $Y = Z$ . Therefore  $t(X)$  is a Zariski space.

( $\Rightarrow$ ) Now suppose  $X$  is Zariski. Then we will show  $\alpha : X \rightarrow t(X)$  is a homeomorphism. Recall that  $\alpha(x)$  is defined to be  $\text{cl}_X(\{x\})$ . Since  $X$  is Zariski, we know that every nonempty irreducible closed subset of  $X$  (i.e. every element of  $t(X)$ ) has a unique generic point. That is, for all  $Y \in t(X)$ , there is a unique  $y \in Y$  such that  $Y = \text{cl}_X(\{y\})$ .

Thus let us define  $\beta : t(X) \rightarrow X$  by  $\beta(\text{cl}_X(\{x\})) = x$ . We will show that  $\alpha$  and  $\beta$  are continuous, and  $\beta = \alpha^{-1}$ .

First we will show that  $\alpha$  is continuous. Let  $C \subseteq t(X)$  be closed, so then  $C = t(Y)$  for some closed subset  $Y$  of  $X$ . Then  $\alpha^{-1}(t(Y)) = \{x \in X : \text{cl}_X(\{x\}) \subseteq Y\} =: Q$ . We will show  $Q = Y$ . Let  $x \in Y$ , then  $\text{cl}_X(\{x\}) \subseteq Y$  since  $Y$  is closed. Thus  $x \in Q$ . Now let  $x \in Q$ . Then  $\text{cl}_X(\{x\}) \subseteq Y$ , so  $x \in Y$ . Thus  $Q = Y$ . Therefore  $\alpha^{-1}(t(Y))$  is closed, so  $\alpha$  is continuous.

Now let us first note that  $\beta$  is well-defined since every element  $Y$  of  $t(X)$  can be realized as the closure of a unique generic point  $\omega_Y \in Y$ . To show  $\beta$  is continuous, let us take a closed set  $C \subseteq X$  and its preimage  $\beta^{-1}(C)$ . We will show that  $\beta^{-1}(C) = t(C)$ . First let  $D \in t(C)$ . Then  $D$  is a nonempty irreducible closed subset of  $C$ , so  $D = \text{cl}_X(\{d\})$  for some  $d \in D$ . Then  $\beta(D) = \beta(\text{cl}_X(\{d\})) = d \in D \subseteq C$ . Thus  $t(C) \subseteq \beta^{-1}(C)$ .

Now let  $D \in \beta^{-1}(C)$ . Then  $D$  is an irreducible closed subset of  $X$ , so  $D = \text{cl}_X(\{d\})$  for some  $d \in D$ . Then since  $\beta(D) = d \in C$ , we have  $D \subseteq C$ . So  $D$  is a nonempty irreducible closed subset of  $C$ , and thus  $D \in t(C)$ . Thus  $\beta^{-1}(C) = t(C)$  is closed. Thus  $\beta$  is continuous.

Now to show that  $\beta = \alpha^{-1}$ . Let  $\text{cl}_X(\{y\}) \in t(X)$ . Then  $\alpha(\beta(\text{cl}_X(\{y\}))) = \alpha(y) = \text{cl}_X(\{y\})$ . Thus  $\alpha \circ \beta = \text{id}_{t(X)}$ . Now let  $x \in X$ . Then  $\beta(\alpha(x)) = \beta(\text{cl}_X(\{x\})) = x$ . Thus  $\beta \circ \alpha = \text{id}_X$ . Thus  $\beta = \alpha^{-1}$  and so  $\alpha$  is a homeomorphism.

( $\Leftarrow$ ) Now suppose  $\alpha : X \rightarrow t(X)$  is a homeomorphism. Then  $X \cong t(X)$  and we've already shown that  $t(X)$  is a Zariski space. Thus  $X$  is a Zariski space.

□

**Lemma 3.** Let  $A$  be a ring with ideal  $\mathfrak{a} \subseteq A$ . Then  $\mathfrak{V}(\mathfrak{a})$  is an irreducible subset of  $\text{Spec} A$  if and only if  $\sqrt{\mathfrak{a}} \in \text{Spec} A$ . In this case,  $\sqrt{\mathfrak{a}}$  is the unique generic point of  $\mathfrak{V}(\mathfrak{a})$ .

*Proof.* ( $\Rightarrow$ ) Suppose  $\sqrt{\mathfrak{a}} \notin \text{Spec} A$ . Then there is more than one minimal prime containing  $\mathfrak{a}$ , so  $\mathfrak{V}(\mathfrak{a}) \cap \min \text{Spec} A$  contains at least two distinct primes  $\mathfrak{p}_1$  and  $\mathfrak{p}_2$ . Then

$$\mathfrak{V}(\mathfrak{a}) = \mathfrak{V}\left(\bigcap_{\mathfrak{a} \subsetneq \mathfrak{p} \in \min \text{Spec} A} \mathfrak{p}\right) = \bigcup_{\mathfrak{a} \subsetneq \mathfrak{p} \in \min \text{Spec} A} \mathfrak{V}(\mathfrak{p}).$$

But  $\mathfrak{V}(\mathfrak{a}) \neq \mathfrak{V}(\mathfrak{p})$  for any  $\mathfrak{p} \in \min \text{Spec} A$ , since for example  $\mathfrak{p}_1 \in \mathfrak{V}(\mathfrak{a}) \setminus \mathfrak{V}(\mathfrak{p})$  for all  $\mathfrak{p} \neq \mathfrak{p}_1$  and  $\mathfrak{p}_2 \in \mathfrak{V}(\mathfrak{a}) \setminus \mathfrak{V}(\mathfrak{p})$  for all  $\mathfrak{p} \neq \mathfrak{p}_2$  (and  $\mathfrak{p}_1 \neq \mathfrak{p}_2$ ). Thus  $\mathfrak{V}(\mathfrak{a})$  is not irreducible.

( $\Leftarrow$ ) Let  $\sqrt{\mathfrak{a}} \in \text{Spec} A$ . We will show  $\text{cl}_{\text{Spec} A}(\{\sqrt{\mathfrak{a}}\}) = \mathfrak{V}(\mathfrak{a})$ . Note  $\text{cl}_{\text{Spec} A}(\{\sqrt{\mathfrak{a}}\}) \subseteq \mathfrak{V}(\mathfrak{a})$  since  $\sqrt{\mathfrak{a}} \in \mathfrak{V}(\mathfrak{a})$  is closed and  $\text{cl}_{\text{Spec} A}(\{\sqrt{\mathfrak{a}}\})$  is the smallest closed set that contains  $\sqrt{\mathfrak{a}}$ . Note that  $\text{cl}_{\text{Spec} A}(\{\sqrt{\mathfrak{a}}\}) = \mathfrak{V}(\mathfrak{b})$  for some ideal  $\mathfrak{b}$  of  $A$ . Then  $\sqrt{\mathfrak{a}} \in \mathfrak{V}(\mathfrak{b})$  and so  $\mathfrak{b} \subseteq \sqrt{\mathfrak{a}}$  and so every prime that contains  $\sqrt{\mathfrak{a}}$  also contains  $\mathfrak{b}$ . But we also know that every prime ideal that contains  $\mathfrak{b}$  is in  $\text{cl}_{\text{Spec} A}(\{\sqrt{\mathfrak{a}}\}) \subseteq \mathfrak{V}(\mathfrak{a})$ . Thus every prime ideal that contains  $\mathfrak{b}$  also contains  $\sqrt{\mathfrak{a}}$ . Thus  $\sqrt{\mathfrak{a}} = \sqrt{\mathfrak{b}}$  and so  $\mathfrak{V}(\mathfrak{a}) = \mathfrak{V}(\mathfrak{b}) = \text{cl}_{\text{Spec} A}(\sqrt{\mathfrak{a}})$ . Thus  $\sqrt{\mathfrak{a}}$  is a generic point for  $\mathfrak{V}(\mathfrak{a})$ , and so  $\mathfrak{V}(\mathfrak{a})$  is irreducible by Lemma 1.  $\square$

**Proposition 7.** Let  $A$  be a noetherian ring. Then  $\text{Spec} A$  is a Zariski space.

*Proof.* First we will show  $\text{Spec} A$  is noetherian. Let

$$\mathfrak{V}(\mathfrak{a}_0) \supseteq \mathfrak{V}(\mathfrak{a}_1) \supseteq \mathfrak{V}(\mathfrak{a}_2) \supseteq \cdots$$

be an arbitrary descending chain of closed subsets of  $\text{Spec} A$ . Then we have the ascending chain of ideals in  $A$

$$\mathfrak{a}_0 \subseteq \mathfrak{a}_1 \subseteq \mathfrak{a}_2 \subseteq \cdots$$

which stabilizes after finitely many links. Thus the descending chain of closed subsets of  $\text{Spec} A$  stabilizes after finitely many links. Thus  $\text{Spec} A$  is a noetherian space.

Now let  $\mathfrak{V}(\mathfrak{a})$  be an irreducible subset of  $\text{Spec} A$ . We know from Lemma 3 that  $\sqrt{\mathfrak{a}} \in \text{Spec} A$  and that  $\sqrt{\mathfrak{a}}$  is a generic point of  $\mathfrak{V}(\mathfrak{a})$ .

Now to show uniqueness. Suppose  $\mathfrak{V}(\mathfrak{a}) = \text{cl}_{\text{Spec} A}(\{\mathfrak{b}\})$  for some  $\mathfrak{b} \in \text{Spec} A$ . Then as we've already shown,  $\mathfrak{a} = \mathfrak{b}$ . Thus  $\mathfrak{a}$  is the unique generic point for  $\mathfrak{V}(\mathfrak{a})$  and so  $\text{Spec} A$  is Zariski.  $\square$

**Definition.** A scheme is *connected* if its topological space is connected. A scheme is *irreducible* if its topological space is irreducible.

**Definition.** A scheme is *reduced* if for every open set  $U$ , the ring  $\mathcal{O}_X(U)$  has no (nonzero) nilpotent elements (equivalently if the all the stalks have no nonzero nilpotent elements).

**Definition.** A scheme is *integral* if for every open set  $U \subseteq X$ , the ring  $\mathcal{O}_X(U)$  is an integral domain. (Note a scheme is integral if and only if it is reduced and irreducible.)

**Definition.** A scheme  $X$  is *locally noetherian* if it can be covered by open affine subsets  $\text{Spec} A_i$  where each  $A_i$  is a noetherian ring.  $X$  is *noetherian* if it is locally noetherian and quasi-compact (eqv. if it can be covered by finitely many such  $\text{Spec} A_i$ ).

**Definition.** A morphism  $f : X \rightarrow Y$  of schemes is *locally of finite type* if there is a covering of  $Y$  by open affine subsets  $V_i = \text{Spec} B_i$  such that for each  $i$ ,  $f^{-1}(V_i)$  can be covered by open affine subsets  $U_{ij} = \text{Spec} A_{ij}$ , where each  $A_{ij}$  is a finitely-generated  $B_i$ -algebra. The



morphism  $f$  is of *finite type* if in addition each  $f^{-1}(V_i)$  can be covered by finitely many of the  $U_{ij}$ .

**Definition.** A morphism  $f : X \rightarrow Y$  is a *finite morphism* if there is a covering of  $Y$  by open affine subsets  $V_i = \text{Spec} B_i$  such that for each  $i$ ,  $f^{-1}(V_i)$  is affine, equal to  $\text{Spec} A_i$ , where  $A_i$  is a  $B_i$ -algebra which is a finitely generated  $B_i$ -module.

**Definition.** A morphism of schemes  $f : X \rightarrow Y$  with  $Y$  irreducible is called *generically finite* if  $f^{-1}(\omega)$  is a finite set, where  $\omega$  is the unique generic point of  $Y$ .

**Definition.** A morphism of schemes  $f : X \rightarrow Y$  is *dominant* if  $f(X)$  is dense in  $Y$ .

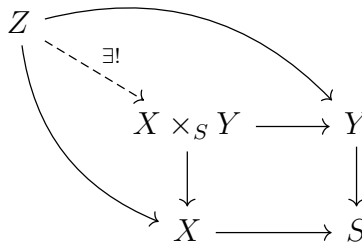
**Definition.** If  $X$  is an integral scheme with (unique) generic point  $\omega$ , the local ring  $\mathcal{O}_\omega$  is a field called the *function field* of  $X$  (also denoted  $K(X)$ ).

**Definition.** Let  $S$  be a graded ring. Then the *irrelevant ideal*  $S_+$  is the ideal generated by all homogeneous elements of degree at least one. Any prime homogeneous ideal that is not  $S_+$  is called a *relevant* prime ideal.

**Definition.** Let  $S$  be a graded ring. Then the *projectrum*  $\text{Proj} S$  of  $S$  is the set of all relevant prime ideals of  $S$ .

Note that  $X = \mathbb{P}_k^n = \text{Proj} k[x_0, \dots, x_n]$  is a scheme for all fields  $k$  and all  $n \in \mathbb{N}$ . Let  $a = [a_0 : \dots : a_n] \in X$ . Then there is some  $a_i \neq 0$  by necessity. The open set given by  $X \setminus \mathfrak{V}(x_i) = \mathfrak{D}_+(x_i) \cong \text{Spec} k[x_0, \dots, x_n]_{(x_i)}$  (with sheaf  $\mathcal{O}_{X|\mathfrak{D}_+(x_i)}$ ) contains  $a$  and is homeomorphic to the affine scheme  $\text{Spec} k[x_0, \dots, x_{i-1}, x_{i+1}, \dots, x_n]$ .

**Definition.** Let  $S$  be a scheme and let  $X, Y$  be schemes over  $S$  (schemes with morphisms to  $S$ ). The *fibred product* of  $X$  and  $Y$  over  $S$ , denoted  $X \times_S Y$  is a scheme, together with morphisms  $p_1 : X \times_S Y \rightarrow X$  and  $p_2 : X \times_S Y \rightarrow Y$ , which make a commutative diagram with the given morphisms  $X \rightarrow S$  and  $Y \rightarrow S$ , such that any scheme  $Z$  over  $S$ , and given morphisms  $f : Z \rightarrow X$  and  $g : Z \rightarrow Y$  which make a commutative diagram with the given morphisms  $X \rightarrow S$  and  $Y \rightarrow S$ , then there exists a unique morphism  $\theta : Z \rightarrow X \times_S Y$  such that  $f = p_1 \circ \theta$  and  $g = p_2 \circ \theta$ . The morphisms  $p_1$  and  $p_2$  are called the *projection morphisms* of the fibred product onto its factors.



The *product* of  $X$  and  $Y$ , denoted  $X \times Y$ , is  $X \times_{\text{Spec} \mathbb{Z}} Y$  ( $\text{Spec} \mathbb{Z}$  is the terminal object in the category of schemes).

**Definition.** An *open subscheme* of a scheme  $X$  is a scheme  $U$  whose topological space is an open subset of  $\text{sp}(X)$  and whose structure sheaf is isomorphic to the restriction  $\mathcal{O}_{X|U}$  of the structure sheaf of  $X$ . An *open immersion* is a morphism  $f : X \rightarrow Y$  which induces an isomorphism between  $X$  and an open subscheme of  $Y$ .

**Definition.** A *closed immersion* is a morphism of schemes  $f : Y \rightarrow X$  which induces a homeomorphism between  $\text{sp}(Y)$  and a closed subspace of  $\text{sp}(X)$  and furthermore the induced

map  $f^\# : \mathcal{O}_X \rightarrow f_*\mathcal{O}_Y$  of sheaves on  $X$  is surjective. A *closed subscheme* of a scheme  $X$  is an equivalence class of closed immersions, where we say  $f : Y \rightarrow X$  is equivalent to  $f' : Y' \rightarrow X$  if there is an isomorphism  $i : Y' \rightarrow Y$  where  $f' = f \circ i$ .

**Definition.** Let  $f : X \rightarrow Y$  be a morphism of schemes. The *diagonal map* is a unique map  $\Delta : X \rightarrow X \times_Y X$  whose composition with both the projection maps  $p_1, p_2 : X \times_Y X \rightarrow X$  is the identity map  $X \rightarrow X$ . The morphism  $f$  is *separated* if the diagonal map  $\Delta$  is a closed immersion. In this case  $X$  is *separated* over  $Y$ . A scheme is *separated* if it is separated over  $\text{Spec}\mathbb{Z}$ .

**Proposition 8.** If  $A$  is a ring, then  $\text{Spec}A \cong \text{Spec}A_{\text{red}}$ .

*Proof.* First consider the canonical quotient map  $q : A \rightarrow A/\sqrt{0A}$ . Then we will define  $\tilde{q} : \text{Spec}A \rightarrow \text{Spec}(A/\sqrt{0A})$  by  $\tilde{q}(\mathfrak{p}) = q(\mathfrak{p})$ . First we will show that  $\tilde{q}$  is well-defined.

Let  $\mathfrak{p} \in \text{Spec}A$ . Then we will show  $q(\mathfrak{p})$  is prime in  $A/\sqrt{0A}$ . Let  $ab + \sqrt{0A} \in \mathfrak{p} + \sqrt{0A}$ . Then there is a nilpotent  $n \in \sqrt{0A}$  such that  $ab - n \in \mathfrak{p}$ . Note there is an  $N > 0$  such that  $n^N = 0$ . Then  $(ab - n)(ab + n) = a^2b^2 - n^2 \in \mathfrak{p}$ . Also  $(a^2b^2 - n^2)(a^2b^2 + n^2) = a^4b^4 - n^4 \in \mathfrak{p}$ . Continuing on we will eventually reach  $a^{2^m}b^{2^m} - n^{2^m} \in \mathfrak{p}$  where  $2^m \geq N$ . Then  $n^{2^m} = 0$  and so  $a^{2^m}b^{2^m} \in \mathfrak{p}$ . Since  $\mathfrak{p}$  is prime we have either  $a \in \mathfrak{p}$  or  $b \in \mathfrak{p}$ . We will remember this **trick** for later.

Thus  $a + \sqrt{0A} \in q(\mathfrak{p})$  or  $b + \sqrt{0A} \in q(\mathfrak{p})$ . Thus  $q(\mathfrak{p}) \in \text{Spec}A_{\text{red}}$  and so  $\tilde{q}$  is well-defined.

Now we shall show that  $\tilde{q}$  is continuous. Let  $\mathfrak{a} \subseteq A_{\text{red}}$  be an ideal. Then  $\mathfrak{V}(\mathfrak{a}) \subseteq \text{Spec}A_{\text{red}}$  is closed. We shall show that  $\tilde{q}^{-1}(\mathfrak{V}(\mathfrak{a}))$  is closed. Namely, that  $\tilde{q}^{-1}(\mathfrak{V}(\mathfrak{a})) = \mathfrak{V}(q^{-1}(\mathfrak{a}))$ .

Let  $\mathfrak{p} \in \tilde{q}^{-1}(\mathfrak{V}(\mathfrak{a}))$ . Then  $\tilde{q}(\mathfrak{p}) = q(\mathfrak{p}) \in \mathfrak{V}(\mathfrak{a})$ . Thus  $\mathfrak{a} \subseteq q(\mathfrak{p})$ . Then we will show that  $q^{-1}(\mathfrak{a}) \subseteq \mathfrak{p}$ . Let  $x \in q^{-1}(\mathfrak{a})$ . Then  $q(x) \in \mathfrak{a} \subseteq q(\mathfrak{p})$ , so  $x + \sqrt{0A} \in \mathfrak{p} + \sqrt{0A}$ . Then there exists an  $n \in \sqrt{0A}$  such that  $x - n \in \mathfrak{p}$ . By the same **trick** from earlier, we then have  $x \in \mathfrak{p}$ . Therefore  $q^{-1}(\mathfrak{a}) \subseteq \mathfrak{p}$ . Then  $\mathfrak{p} \in \mathfrak{V}(q^{-1}(\mathfrak{a}))$ . So  $\tilde{q}^{-1}(\mathfrak{V}(\mathfrak{a})) \subseteq \mathfrak{V}(q^{-1}(\mathfrak{a}))$ .

Now let  $\mathfrak{p} \in \mathfrak{V}(q^{-1}(\mathfrak{a}))$ . Then  $q^{-1}(\mathfrak{a}) \subseteq \mathfrak{p}$ , meaning if  $q(y) \in \mathfrak{a}$ , then  $y \in \mathfrak{p}$ . Now let  $x \in \mathfrak{a}$ . Then since  $q$  is surjective, there is a  $y \in A$  such that  $q(y) = x$ . Therefore  $y \in \mathfrak{p}$ , and so  $x \in q(\mathfrak{p})$ . Therefore  $\mathfrak{a} \subseteq q(\mathfrak{p})$ . Thus  $q(\mathfrak{p}) = \tilde{q}(\mathfrak{p}) \in \mathfrak{V}(\mathfrak{a})$ . Thus  $\mathfrak{p} \in \tilde{q}^{-1}(\mathfrak{V}(\mathfrak{a}))$ . Therefore  $\mathfrak{V}(q^{-1}(\mathfrak{a})) \subseteq \tilde{q}^{-1}(\mathfrak{V}(\mathfrak{a}))$ . So  $\tilde{q}$  is continuous.

Now let us define  $h : \text{Spec}A_{\text{red}} \rightarrow \text{Spec}A$  as  $h(\mathfrak{q}) = q^{-1}(\mathfrak{q})$ . We know that  $h$  is well-defined because the preimage of a prime ideal is always a prime ideal. We shall show that  $h$  is continuous.

Let  $\mathfrak{V}(\mathfrak{b}) \subseteq \text{Spec}A$  be closed. We will show that  $h^{-1}(\mathfrak{V}(\mathfrak{b})) = \mathfrak{V}(q(\mathfrak{b}))$ . Let  $\mathfrak{q} \in h^{-1}(\mathfrak{V}(\mathfrak{b}))$ . Then  $h(\mathfrak{q}) = q^{-1}(\mathfrak{q}) \in \mathfrak{V}(\mathfrak{b})$ . So  $\mathfrak{b} \subseteq q^{-1}(\mathfrak{q})$ , meaning if  $y \in \mathfrak{b}$  then  $q(y) \in \mathfrak{q}$ . Let  $x \in q(\mathfrak{b})$ . Then there is a  $y \in \mathfrak{b}$  such that  $q(y) = x$ . But we know that  $q(y) \in \mathfrak{q}$ . Thus  $x \in \mathfrak{q}$ . Therefore  $q(\mathfrak{b}) \subseteq \mathfrak{q}$ . Therefore  $\mathfrak{q} \in \mathfrak{V}(q(\mathfrak{b}))$ . So  $h^{-1}(\mathfrak{V}(\mathfrak{b})) \subseteq \mathfrak{V}(q(\mathfrak{b}))$ .

Now let  $\mathfrak{q} \in \mathfrak{V}(q(\mathfrak{b}))$ . Then  $q(\mathfrak{b}) \subseteq \mathfrak{q}$ . Then for all  $x \in \mathfrak{b}$ ,  $q(x) \in \mathfrak{q}$ , and so  $x \in q^{-1}(\mathfrak{q})$ . Thus  $\mathfrak{b} \subseteq q^{-1}(\mathfrak{q}) = h(\mathfrak{q})$ . So  $h(\mathfrak{q}) \in \mathfrak{V}(\mathfrak{b})$ , so  $\mathfrak{q} \in h^{-1}(\mathfrak{V}(\mathfrak{b}))$ . Therefore  $h^{-1}(\mathfrak{V}(\mathfrak{b})) = \mathfrak{V}(q(\mathfrak{b}))$  is closed, so  $h$  is continuous.

Now we will show  $h = \tilde{q}^{-1}$ . Let  $\mathfrak{p} \in \text{Spec}A$ . Then we will show  $h(\tilde{q}(\mathfrak{p})) = q^{-1}(q(\mathfrak{p})) = \mathfrak{p}$ . First let  $x \in q^{-1}(q(\mathfrak{p}))$ . Then  $q(x) \in q(\mathfrak{p})$ , so there is an  $n \in \sqrt{0A}$  such that  $x - n \in \mathfrak{p}$ . By the **trick**, we know that  $x \in \mathfrak{p}$ . Thus  $q^{-1}(q(\mathfrak{p})) \subseteq \mathfrak{p}$ . The other inclusion is true in general, so  $h(\tilde{q}(\mathfrak{p})) = \mathfrak{p}$ . So  $h \circ \tilde{q}$  is the identity on  $\text{Spec}A$ .

Since  $q$  is surjective, we have the equality  $q(q^{-1}(\mathfrak{p})) = \mathfrak{p}$ . Thus  $\tilde{q} \circ h$  is the identity on  $\text{Spec}A_{\text{red}}$ . So  $h = \tilde{q}^{-1}$ . Thus  $\tilde{q}$  is a homeomorphism.  $\square$

**Note:** A very similar proof shows that  $\mathfrak{V}(\mathfrak{a}) \cong \text{Spec} A/\mathfrak{a}$  for all ideals  $\mathfrak{a} \subseteq A$ . Thus every closed subscheme of an affine scheme is affine.

Let  $A$  be a ring and let  $X = \text{Spec} A$  be an affine scheme. Then  $\mathcal{O}_X$  is the sheaf corresponding to  $X$  which takes open sets  $U \subseteq X$  and sends them to  $\mathcal{O}_X(U)$  (also called  $\Gamma(U, \mathcal{O}_X)$ ) consisting of functions (called *sections* on  $U$ )  $s : U \rightarrow \prod_{\mathfrak{p} \in U} A_{\mathfrak{p}}$  that satisfy the following criteria:

- (1)  $s(\mathfrak{p}) \in A_{\mathfrak{p}}$  for all  $\mathfrak{p} \in U$ .
- (2)  $s$  is locally a quotient of elements of  $A$ : that is, for each  $\mathfrak{p} \in U$  there is a neighborhood  $V_{\mathfrak{p}} \subseteq U$  of  $\mathfrak{p}$ , and elements  $a, f \in A$  such that for each  $\mathfrak{q} \in V_{\mathfrak{p}}$ , we have  $f \notin \mathfrak{q}$  and  $s(\mathfrak{q}) = a/f \in A_{\mathfrak{q}}$ .

**Proposition 9.** Let  $A$  be a lucky ring, then  $X = \text{Spec} A$  be an irreducible affine scheme, and let  $U \subseteq X$  be open. Then for all  $s \in \Gamma(U, \mathcal{O}_X)$ , we have  $s$  is *globally* a quotient on  $A$ . That is, there are  $a, f \in A$  such that  $f \notin \mathfrak{p}$  for all  $\mathfrak{p} \in U$  and  $s(\mathfrak{p}) = a/f$  for all  $\mathfrak{p} \in U$ .

*Proof.* Let  $\mathfrak{p}, \mathfrak{q} \in U$  and let  $s \in \Gamma(U, \mathcal{O}_X)$ . Then since  $s$  is locally a quotient on  $A$ , there are  $V_{\mathfrak{p}} \subseteq U$  and  $V_{\mathfrak{q}} \subseteq U$  and  $a_{\mathfrak{p}}, a_{\mathfrak{q}}, f_{\mathfrak{p}}, f_{\mathfrak{q}} \in A$  such that  $f_{\mathfrak{p}} \notin \mathfrak{p}$  and  $f_{\mathfrak{q}} \notin \mathfrak{q}$  and  $s(\mathfrak{p}') = a_{\mathfrak{p}}/f_{\mathfrak{p}}$  for all  $\mathfrak{p}' \in V_{\mathfrak{p}}$  and  $s(\mathfrak{q}') = a_{\mathfrak{q}}/f_{\mathfrak{q}}$  for all  $\mathfrak{q}' \in V_{\mathfrak{q}}$ . Then since  $X$  is irreducible, all nonempty open subsets intersect nontrivially. Thus there is an  $\mathfrak{a} \in V_{\mathfrak{p}} \cap V_{\mathfrak{q}}$  such that  $s(\mathfrak{a}) = a_{\mathfrak{p}}/f_{\mathfrak{p}} = a_{\mathfrak{q}}/f_{\mathfrak{q}}$ . Thus  $f_{\mathfrak{p}} \notin \mathfrak{q}$  and  $f_{\mathfrak{q}} \notin \mathfrak{p}$  and  $s(\mathfrak{p}') = a_{\mathfrak{q}}/f_{\mathfrak{q}}$  for all  $\mathfrak{p}' \in V_{\mathfrak{p}}$  and  $s(\mathfrak{q}') = a_{\mathfrak{p}}/f_{\mathfrak{p}}$  for all  $\mathfrak{q}' \in V_{\mathfrak{q}}$ . Thus  $s(\mathfrak{p}) = a_{\mathfrak{q}}/f_{\mathfrak{q}}$  and  $s(\mathfrak{q}) = a_{\mathfrak{p}}/f_{\mathfrak{p}}$ . Thus the same choice of  $a$  and  $f$  can be used to represent  $s(\mathfrak{q})$  and  $s(\mathfrak{p})$ . Since  $\mathfrak{p}$  and  $\mathfrak{q}$  were arbitrary, we have that  $s$  is globally a quotient on  $A$ .  $\square$

**Example 1.** Let  $A = \mathbb{C}[x, y]/(y - x^2)$  and let  $X = \text{Spec} A$ . Consider the open set  $U = X \setminus \mathfrak{V}(x + 1)$ . Note that  $\mathfrak{V}(x + 1) = \{(x + 1)\}$  and thus  $U = \{(x - z), 0 : z \in \mathbb{C} \setminus \{-1\}\}$ . An example of a section  $s \in \Gamma(U, \mathcal{O}_X)$  is that which sends all elements of  $U$  to  $\frac{1}{x + 1}$ , since  $x + 1 \in A_{(x - z)}$  for  $z \in \mathbb{C} \setminus \{-1\}$  and  $x + 1 \in A_0$ .

Note that  $s(0) = s(x - z)$  for all  $z \in \mathbb{C} \setminus \{-1\}$  since 0 is in every nonempty open set of  $X$  (and thus  $U$ ). Since  $X$  is irreducible, we know that all open subsets of  $X$  intersect nontrivially, and thus  $s$  must be globally a quotient. This means that there are  $a, f \in A$  such that  $f \notin \mathfrak{p}$  and  $s(\mathfrak{p}) = a/f \in A_{\mathfrak{p}} \subseteq \prod_{\mathfrak{p} \in U} A_{\mathfrak{p}}$  for all  $\mathfrak{p} \in U$ .

**Proposition 10.** Let  $A$  be a ring. Then the open sets of the form  $\mathfrak{D}(f)$  where  $f \in A$ , form a basis for  $\text{Spec} A$  and  $\mathfrak{D}(f) \cong \text{Spec} A_f$ .

*Proof.* First note that  $\mathfrak{D}(f)$  is open since  $\mathfrak{D}(f) = \text{Spec} A \setminus \mathfrak{V}((f))$ . Furthermore, the  $\mathfrak{D}(f)$  cover  $\text{Spec} A$  since  $\mathfrak{D}(1) = \text{Spec} A$ .

Now let  $f, g \in A$  and consider  $\mathfrak{D}(f) \cap \mathfrak{D}(g)$ . Then  $\mathfrak{D}(fg) = \mathfrak{D}(f) \cap \mathfrak{D}(g)$  since if  $\mathfrak{p} \in \mathfrak{D}(fg)$ , then  $fg \notin \mathfrak{p}$ , and so  $f \notin \mathfrak{p}$  and  $g \notin \mathfrak{p}$ , and thus  $\mathfrak{p} \in \mathfrak{D}(f) \cap \mathfrak{D}(g)$ . Furthermore, if  $\mathfrak{p} \in \mathfrak{D}(f) \cap \mathfrak{D}(g)$ , then  $f \notin \mathfrak{p}$  and  $g \notin \mathfrak{p}$ . Since  $\mathfrak{p}$  is prime, this implies  $fg \notin \mathfrak{p}$ . Thus for all  $\mathfrak{p} \in \mathfrak{D}(f) \cap \mathfrak{D}(g)$ , we have  $\mathfrak{p} \in \mathfrak{D}(fg) \subseteq \mathfrak{D}(f) \cap \mathfrak{D}(g)$ , and so  $\{\mathfrak{D}(f)\}_{f \in A}$  forms a basis on  $\text{Spec} A$ .

Now let us define a map  $\varphi : \mathfrak{D}(f) \rightarrow \text{Spec}A_f$  by  $\varphi(\mathfrak{p}) = \mathfrak{p}_f$ . Let  $\frac{a}{f^n} \frac{b}{f^m} \in \mathfrak{p}_f$ . Then  $f^{n+m} \frac{a}{f^n} \frac{b}{f^m} = ab \in \mathfrak{p}_f = \mathfrak{p}A_f$ . Then since  $ab \in A$ , we have  $ab \in \mathfrak{p}A_f \cap A = \mathfrak{p}$ . Since  $\mathfrak{p}$  is prime, then  $a \in \mathfrak{p}$  or  $b \in \mathfrak{p}$ . Therefore  $\frac{a}{f^n} \in \mathfrak{p}_f$  or  $\frac{b}{f^m} \in \mathfrak{p}_f$ , respectively. Thus  $\mathfrak{p}_f$  is prime in  $A_f$ . Note also that  $\mathfrak{p}_f \neq A_f$  since  $1 \notin \mathfrak{p}_f$  since  $f \notin \mathfrak{p}$ . Thus  $\varphi$  is well-defined.

Now let  $\mathfrak{a}$  be an ideal of  $A_f$ . Then  $\mathfrak{V}(\mathfrak{a}) \subseteq \text{Spec}A_f$  is closed. We will show that  $\varphi^{-1}(\mathfrak{V}_{A_f}(\mathfrak{a})) = \mathfrak{V}_A(\mathfrak{a} \cap A) \cap \mathfrak{D}(f)$ . First let  $\mathfrak{p} \in \varphi^{-1}(\mathfrak{V}_{A_f}(\mathfrak{a}))$ . Then  $\varphi(\mathfrak{p}) \in \mathfrak{V}_{A_f}(\mathfrak{a})$  and so  $\mathfrak{a} \subseteq \varphi(\mathfrak{p}) = \mathfrak{p}A_f$ . Then  $\mathfrak{a} \cap A \subseteq \mathfrak{p}A_f \cap A = \mathfrak{p}$  and so  $\mathfrak{p} \in \mathfrak{V}_A(\mathfrak{a} \cap A)$ . Furthermore,  $\mathfrak{p} \in \mathfrak{D}(f)$  by assumption of being in a preimage under  $\varphi$ .

Now let  $\mathfrak{p} \in \mathfrak{V}_A(\mathfrak{a} \cap A) \cap \mathfrak{D}(f)$ . Then  $f \notin \mathfrak{p}$  and  $\mathfrak{a} \cap A \subseteq \mathfrak{p}$ . Then  $(\mathfrak{a} \cap A)A_f = \mathfrak{a} \subseteq \mathfrak{p}A_f = \varphi(\mathfrak{p})$ , so  $\mathfrak{p} \in \varphi^{-1}(\mathfrak{V}_{A_f}(\mathfrak{a}))$ . Thus  $\varphi$  is continuous.

Now to define a map  $\mu : \text{Spec}A_f \rightarrow \mathfrak{D}(f)$  by  $\mu(\mathfrak{q}) = \mathfrak{q} \cap A$ . First let  $ab \in \mathfrak{q} \cap A$ . Then  $ab \in \mathfrak{q}$  and since  $\mathfrak{q}$  is prime,  $a \in \mathfrak{q}$  or  $b \in \mathfrak{q}$ , so  $a \in \mathfrak{q} \cap A$  or  $b \in \mathfrak{q} \cap A$  respectively. So  $\mu$  is well-defined.

Now we will show that  $\mu$  is continuous. Let  $V = \mathfrak{V}_A(\mathfrak{a}) \cap \mathfrak{D}(f)$  be closed in  $\mathfrak{D}(f)$ . Then we will show  $\mu^{-1}(V) = \mathfrak{V}_{A_f}(\mathfrak{a}A_f)$ . First let  $\mathfrak{q} \in \mu^{-1}(V)$ . Then  $\mathfrak{a} \subseteq \mu(\mathfrak{q}) = \mathfrak{q} \cap A$  and  $f \notin \mu(\mathfrak{q})$ . Then  $\mathfrak{a}A_f \subseteq (\mathfrak{q} \cap A)A_f = \mathfrak{q}$ , so  $\mathfrak{q} \in \mathfrak{V}_{A_f}(\mathfrak{a}A_f)$ .

Now let  $\mathfrak{q} \in \mathfrak{V}_{A_f}(\mathfrak{a}A_f)$ . Then  $\mathfrak{a}A_f \subseteq \mathfrak{q}$  and also  $f \notin \mathfrak{q} \cap A = \mu(\mathfrak{q})$  since if  $f \in \mathfrak{q} \cap A$  then  $1 \in \mathfrak{q}$  and thus  $\mathfrak{q}$  is not prime. Thus  $(\mathfrak{a}A_f) \cap A = \mathfrak{a} \subseteq \mathfrak{q} \cap A = \mu(\mathfrak{q})$ . Thus  $\mu(\mathfrak{q}) \in V$  and so  $\mathfrak{q} \in \mu^{-1}(V)$ . Thus  $\mu$  is continuous.

Now note that  $\varphi(\mu(\mathfrak{q})) = (\mathfrak{q} \cap A)A_f = \mathfrak{q}$  and  $\mu(\varphi(\mathfrak{p})) = (\mathfrak{p}A_f) \cap A = \mathfrak{p}$ . Thus  $\varphi$  is a homeomorphism.  $\square$

So if  $\varphi : A \rightarrow B$  is a ring homomorphism then  $\text{Spec}\varphi(A) \cong \mathfrak{V}(\ker \varphi) \subseteq \text{Spec}A$ . Additionally, if  $\psi : \mathcal{F} \rightarrow \mathcal{G}$  is a surjective morphism of sheaves over  $X$ , then  $\psi(X) : \Gamma(X, \mathcal{F}) \rightarrow \Gamma(X, \mathcal{G})$  is a surjective ring homomorphism, so  $\mathfrak{V}(\ker \psi(X)) \cong \text{Spec}\Gamma(X, \mathcal{G}) \subseteq \text{Spec}\Gamma(X, \mathcal{F})$ .

**Proposition 11.** Let  $X$  be a scheme. Then affine schemes make up a basis for  $X$ .

*Proof.* We already know from the definition of a scheme that affine schemes form an open cover of  $X$ . Now let  $U = \text{Spec}A$  and  $V = \text{Spec}B$  be two nonempty open affine subschemes of  $X$ . Then let  $W = \text{Spec}A \cap \text{Spec}B \neq \emptyset$  is an open subspace of  $\text{Spec}A$ . Thus  $W$  is a union of open sets of the form  $\mathfrak{D}(f)$ , where  $f \in A$ , since  $\{\mathfrak{D}(f)\}_{f \in A}$  forms a basis on  $\text{Spec}A$ . Then there is an  $f \in A$  such that  $\mathfrak{p} \in \mathfrak{D}(f) \subseteq W = \text{Spec}A \cap \text{Spec}B$  and  $\mathfrak{D}(f) \cong \text{Spec}A_f$ . Thus affine subschemes form a basis on  $X$ . Furthermore, every open subscheme of an any scheme contains an affine scheme.  $\square$

**Lemma 4.** Let  $S$  be a graded ring and let  $\mathfrak{s}$  be an ideal of  $S$ . Then  $\mathfrak{s}$  is a homogeneous ideal if and only if for all  $s \in \mathfrak{s}$ , we have  $s_i \in \mathfrak{s}$  where  $s_i$  is the  $i^{\text{th}}$  homogeneous component of  $s$ , for all  $i$ .

*Proof.* ( $\Rightarrow$ ) Let  $\mathfrak{s}$  be a homogeneous ideal of  $S$ . Then  $\mathfrak{s}$  can be generated by homogeneous elements of  $S$ . Let  $s = s_0 + s_1 + \dots + s_n \in \mathfrak{s}$  where  $s_i$  is a homogeneous element of  $S$  for all  $1 \leq i \leq n$ . Then since  $s \in \mathfrak{s}$ , we know that there exist homogeneous elements  $h_0, \dots, h_m \in \mathfrak{s}$  and elements  $r_0, \dots, r_m \in S$  such that  $s = r_0h_0 + r_1h_1 + \dots + r_mh_m$ . Without loss of generality, let  $r_i \in S_0$  for all  $1 \leq i \leq m$ . If  $r_i \notin S_0$ , then we know that either  $r_i$  is homogeneous, in which case  $r_ih_i$  is itself an homogeneous element of  $\mathfrak{s}$  that we could have taken instead, or

$r_i h_i$  is not homogeneous but could be broken down into homogeneous components, each of which are in  $\mathfrak{s}$  because they are each products of  $h_i \in \mathfrak{s}$ . In fact, we can assume without loss of generality that  $r_i = 1$  for all  $1 \leq i \leq m$ . Thus  $s = h_0 + \cdots + h_m = s_0 + \cdots + s_n$ . Thus  $m = n$  and  $h_i = s_i$  for all  $1 \leq i \leq n$  and so  $s_i \in \mathfrak{s}$  for all  $1 \leq i \leq n$ .

( $\Leftarrow$ ) Now assume that for all  $s \in \mathfrak{s}$ , each homogeneous component of  $s$  is in  $\mathfrak{s}$ . Then  $\mathfrak{s}$  is generated by  $\{r : r \text{ is a homogeneous component of } s, s \in \mathfrak{s}\}$  and so  $\mathfrak{s}$  is a homogeneous ideal.  $\square$

**Corollary 1.** The arbitrary intersection of homogeneous ideals is homogeneous.

*Proof.* Let  $\mathfrak{s} = \bigcap_{\alpha \in J} \mathfrak{s}_\alpha$  be an intersection of arbitrarily many homogeneous ideals of  $S$ . Then let  $s \in \mathfrak{s}$ . Then  $s \in \mathfrak{s}_\alpha$  for each  $\alpha$  and so each homogeneous component  $s_i$  of  $s$  is in  $\mathfrak{s}_\alpha$  for each  $\alpha$ . Then  $s_i \in \mathfrak{s}$  and so  $\mathfrak{s}$  is homogeneous.  $\square$

**Lemma 5.** Let  $S$  be a graded ring and let  $\mathfrak{s}$  be a homogeneous ideal of  $S$ . Then  $\sqrt{\mathfrak{s}}$  is a homogeneous ideal.

*Proof.* Let  $s \in \sqrt{\mathfrak{s}}$ , so  $s^n \in \mathfrak{s}$  for some  $n \in \mathbb{N}$ . Let  $s = s_0 + s_1 + \cdots + s_k$  where  $s_i \in S_i$  and  $k \in \mathbb{N}$ . Then  $s^n = u_0 + u_1 + \cdots + u_m$  for some  $u_i \in S_i$  and  $m \in \mathbb{N}$ . Then we know that  $s_k^n = u_m$ , since they are each the highest-degree homogeneous component of  $s^n$ . Then  $u_m \in \mathfrak{s}$  since  $\mathfrak{s}$  is homogeneous and so  $s_k^n \in \mathfrak{s}$  and thus  $s_k \in \sqrt{\mathfrak{s}}$ . Then  $s - s_k \in \sqrt{\mathfrak{s}}$ . This process repeats (ending in finite time) to show that all homogeneous components of  $s$  lie in  $\sqrt{\mathfrak{s}}$ . Thus  $\sqrt{\mathfrak{s}}$  is homogeneous.  $\square$

**Corollary 2.** For any graded ring  $S$ , the nilradical  $\sqrt{0S}$  is homogeneous.

*Proof.* Since  $0S$  is a homogeneous ideal of  $S$  (generated by 0), take  $\mathfrak{s} = 0S$  and apply Lemma 5.  $\square$

**Problem 3.** (Hartshorne 2.2.14) Let  $S$  be a graded ring.

- (a) Show  $\text{Proj} S = \emptyset$  if and only if every element of  $S_+$  is nilpotent.
- (b) Let  $\varphi : S \rightarrow T$  be a graded homomorphism of graded rings (preserving degrees). Let  $U = \{\mathfrak{p} \in \text{Proj} T : \varphi(S_+) \not\subseteq \mathfrak{p}\}$ . Show that  $U$  is an open subset of  $\text{Proj} T$  and that  $U$  determines a natural morphism  $f : U \rightarrow \text{Proj} S$ .
- (c) The morphism  $f$  can be an isomorphism even when  $\varphi$  is not. For example, suppose  $\varphi_d : S_d \rightarrow T_d$  is an isomorphism for all  $d \geq d_0$ , where  $d_0$  is some integer. Then show  $U = \text{Proj} T$  and the morphism  $f : \text{Proj} T \rightarrow \text{Proj} S$  is an isomorphism.
- (d) Let  $V$  be a projective variety with homogeneous coordinate ring  $S$ . Show that  $t(V) \cong \text{Proj} S$ .

*Proof.*

- (a) ( $\Rightarrow$ ) Let  $\text{hSpec}S$  denote the set of all homogeneous primes of  $S$ . Suppose  $\text{Proj}S = \emptyset$ . Then there are no homogeneous primes of  $\text{Spec}S$  not containing the irrelevant ideal. In other words, every homogeneous prime of  $S$  contains  $S_+$ , so  $S_+ \subseteq \bigcap_{\mathfrak{p} \in \text{hSpec}S} \mathfrak{p}$ . Now we wish to show that every prime of  $S$  contains  $S_+$ , or that  $S_+ \subseteq \sqrt{0S}$ .

Then since  $\sqrt{0S}$  is homogeneous, we know that an element  $s \in S$  is nilpotent if and only if each of its homogeneous components are nilpotent.

Then since  $\text{hSpec}S \subseteq \text{Spec}S$ , we have that

$$\sqrt{0S} = \bigcap_{\mathfrak{p} \in \text{Spec}S} \mathfrak{p} \subseteq \bigcap_{\mathfrak{p} \in \text{hSpec}S} \mathfrak{p}.$$

Now let  $s \in \bigcap_{\mathfrak{p} \in \text{hSpec}S} \mathfrak{p}$ . Then every homogeneous component of  $s$  is in every homogeneous prime.

- (b) Let us consider  $V = \text{Proj}T \setminus U = \{\mathfrak{p} \in \text{Proj}T : \varphi(S_+) \subseteq \mathfrak{p}\}$ . Then  $V = \mathfrak{V}(\varphi(S_+))$  and so is closed, so  $U$  is open.

Now let us define a function  $f : U \rightarrow \text{Proj}S$  by  $f(\mathfrak{p}) = \varphi^{-1}(\mathfrak{p})$ . We know that  $\varphi^{-1}(\mathfrak{p})$  is a homogeneous prime because  $\mathfrak{p}$  is a homogeneous prime. Furthermore,  $S_+ \not\subseteq \varphi^{-1}(\mathfrak{p})$  by the definition of  $U$ . Thus  $f(\mathfrak{p}) \in \text{Proj}S$  and so  $f$  is well-defined.

Now  $f$  is continuous because contraction preserves containment relations.

- (c) Let's have the example  $S = \mathbb{C}[x, z]/(z^2)$  and  $T = \mathbb{C}[y]$ . Then let's have  $\varphi : S \rightarrow T$  be defined so that  $\varphi_0 : \mathbb{C} \rightarrow \mathbb{C}$  is  $\text{id}_{\mathbb{C}}$ , and  $\varphi_1$  sends  $x$  and  $z$  to  $y$ . Thus  $\varphi_1$  is not an isomorphism, but  $\varphi_d$  is for all  $d \geq 2$ .

Now  $U = \{\mathfrak{p} \in \text{Proj}T : \varphi((x, z)) \not\subseteq \mathfrak{p}\}$ . Note that  $\varphi((x, z)) = (y) = T_+$ . Then  $U = \{\mathfrak{p} \in \text{Proj}T : T_+ \not\subseteq \mathfrak{p}\} = \text{Proj}T$ .

Then  $f : \text{Proj}T \rightarrow \text{Proj}S$  is defined by  $f(\mathfrak{p}) = \varphi^{-1}(\mathfrak{p})$ .

□

**Problem 4.** (Hartshorne 2.2.18) Let  $\varphi : A \rightarrow B$  be a ring homomorphism and  $f : Y = \text{Spec}B \rightarrow X = \text{Spec}A$  be the induced morphism of affine schemes.

- (a) Let  $a \in A$ . Show that  $a$  is nilpotent if and only if  $\mathfrak{D}(a)$  is empty.
- (b) Show that  $\varphi$  is injective if and only if the induced map on sheaves  $f^\# : \mathcal{O}_X \rightarrow f_*\mathcal{O}_Y$  is injective. Show furthermore that  $f$  is dominant in that case ( $f(Y)$  contains every minimal prime of  $A$ ?).
- (c) Show that if  $\varphi$  is surjective, then  $f^\#$  is surjective and  $f$  is a homeomorphism of  $Y$  onto a closed subset of  $X$ .
- (d) Prove the converse of (c).

*Proof.*

- (a) Let  $a \in \sqrt{0A}$ . Then  $a$  is in every prime ideal of  $A$ . Since  $\mathfrak{D}(a)$  is defined to be the set of prime ideals that do not contain  $a$ , it follows that  $\mathfrak{D}(a)$  must be empty.

Now let  $\mathfrak{D}(a) = \emptyset$ . Then there are no primes of  $A$  which do not contain  $a$ . In other words, every prime ideal of  $A$  contains  $a$ , and so  $a \in \bigcap_{\mathfrak{p} \in \text{Spec} A} \mathfrak{p} = \sqrt{0A}$ .

- (b) ( $\Rightarrow$ ) Let  $U \subseteq X$  be open. We must show that  $f^\sharp(U) : \mathcal{O}_X(U) \rightarrow \mathcal{O}_Y(f^{-1}(U))$  is injective. Since  $\varphi$  is injective, we know  $\varphi_{\mathfrak{q}} : A_{\varphi^{-1}(\mathfrak{q})} \rightarrow B_{\mathfrak{q}}$  is injective for all  $\mathfrak{q} \in \text{Spec} B$ . Note that  $f^\sharp$  satisfies the condition that for any point  $\mathfrak{q} \in Y$ , and any neighborhood  $U$  of  $\mathfrak{p} = f(\mathfrak{q})$  in  $X$ , a section  $s \in \mathcal{O}_X(U)$  vanishes at  $\mathfrak{p}$  if and only if the section  $f^\sharp(U)(s) \in f_*\mathcal{O}_Y = \mathcal{O}_Y(f^{-1}(U))$  vanishes at  $\mathfrak{q}$ . (Not necessarily  $f^\sharp(s) = s \circ f$ , see Eisenbud, Harris pg. 28-30).

Now suppose  $f^\sharp(U)(s) = 0$ . Thus  $f^\sharp(U)(s)$  vanishes at  $\mathfrak{q}$  for all  $\mathfrak{q} \in f^{-1}(U)$ . Therefore  $s$  vanishes at  $f(\mathfrak{q})$ , by the definition of  $f^\sharp$ . So for all  $\mathfrak{q} \in f^{-1}(U)$ , we have  $s(f(\mathfrak{q})) = 0$ . If  $\varphi$  is an **integral injection**, then  $f$  is surjective. Thus for all  $\mathfrak{p} \in U$ , there is a  $\mathfrak{q} \in f^{-1}(U)$  such that  $f(\mathfrak{q}) = \mathfrak{p}$ . We know that  $s(f(\mathfrak{q})) = 0$  and so  $s(\mathfrak{p}) = 0$ , and so  $s$  is zero. Thus  $f^\sharp(U)$  is injective.

( $\Leftarrow$ ) Now suppose that  $f^\sharp(U)$  is injective. Then let  $a \in A$  be such that  $\varphi(a) = 0$ . Since  $f^\sharp(U)$  is injective, that means that if there is any  $\mathfrak{q} \in f^{-1}(U)$  for which  $f^\sharp(U)(s)(\mathfrak{q}) \neq 0$ , then  $s \neq 0$ . In this case let  $U = \mathfrak{D}(a)$  (note that if  $a \in \sqrt{0A}$  then  $\mathfrak{D}(a) = \emptyset$  and so  $\mathcal{O}_X(\mathfrak{D}(a)) = 0 = f_*\mathcal{O}_Y(\mathfrak{D}(a))$ , and so  $f^\sharp(\mathfrak{D}(a))$  is vacuously injective).

If  $a \notin \sqrt{0A}$  then  $\mathfrak{D}(a) \neq \emptyset$  and so there is at least one prime  $\mathfrak{p} \in \mathfrak{D}(a)$  not containing  $a$ . Then  $f^{-1}(\mathfrak{D}(a)) = \{\mathfrak{q} \in \text{Spec} B : a \notin f(\mathfrak{q})\} = \{\mathfrak{q} \in \text{Spec} B : a \notin \varphi^{-1}(\mathfrak{q})\} = \emptyset$  since  $\varphi(a) = 0 \in \mathfrak{q}$ . Thus  $f_*\mathcal{O}_Y(\mathfrak{D}(a)) = 0$ . Since we're given that  $f^\sharp$  is injective, we know that  $\mathcal{O}_X(\mathfrak{D}(a)) = 0$  as well. Thus  $\mathfrak{D}(a) = \emptyset$  and so  $a \in \sqrt{0A}$ . (wlog  $A$  is reduced?)

Now we will show that  $f$  is dominant if  $\varphi$  is injective. One way to accomplish this is to show that  $f(Y)$  contains every minimal prime of  $A$ . Let  $\mathfrak{p} \in \text{minSpec} A$ . Then we want to show that there is a prime  $\mathfrak{q} \in \text{Spec} B$  such that  $\mathfrak{p} = \varphi^{-1}(\mathfrak{q})$ . Note that  $\mathfrak{p}$  is the generic point for a maximal irreducible component of  $X$ , by Lemma 3. That is,  $X = \bigcup_{\mathfrak{p} \in \text{minSpec} A} \mathfrak{V}(\mathfrak{p})$ .

Let  $\mathfrak{q} \in \text{minSpec} B$ . Then  $\mathfrak{V}(\mathfrak{q})$  is a maximal irreducible closed subset of  $\text{Spec} B$ , with generic point  $\mathfrak{q}$ . Then  $f(\mathfrak{V}(\mathfrak{q}))$  is an irreducible subset of  $\text{Spec} A$ , and  $f(\mathfrak{V}(\mathfrak{q})) = \text{cl}_{f(\mathfrak{V}(\mathfrak{q}))}(\{f(\mathfrak{q})\})$  by Proposition 4.

Let  $\mathfrak{p} \in \text{minSpec} A$  and consider the ideal  $\varphi(\mathfrak{p})B$ . First we wish to show  $\mathfrak{p} = \varphi^{-1}(\varphi(\mathfrak{p})B)$ . Let  $a \in \mathfrak{p}$ . Then  $\varphi(a) \in \varphi(\mathfrak{p})B$  and so  $a \in \varphi^{-1}(\varphi(\mathfrak{p})B)$ . Then  $\mathfrak{p} \subseteq \varphi^{-1}(\varphi(\mathfrak{p})B)$ .

□

**Problem 5.** (Hartshorne 2.3.13) *Properties of Morphisms of Finite Type*

- (a) A closed immersion is a morphism of finite type.
- (b) A quasi-compact immersion is a morphism of finite type.
- (c) A composition of two morphisms of finite type is of finite type.

- (d) Morphisms of finite type are stable under base extension.
- (e) If  $X$  and  $Y$  are schemes of finite type over  $S$ , then  $X \times_S Y$  is a scheme of finite type over  $S$ .
- (f) If  $X \xrightarrow{f} Y \xrightarrow{g} Z$  are two morphisms, and  $f$  is quasi-compact, and  $g \circ f$  is of finite type, then  $f$  is of finite type.
- (g) If  $f : X \rightarrow Y$  is a morphism of finite type and  $Y$  is noetherian, then  $X$  is noetherian.

*Proof.*

- (a) Let  $U \subseteq Y$  be open affine. Then  $f|_{f^{-1}(U)} : f^{-1}(U) \rightarrow U$  is a closed immersion, since it is a homeomorphism onto the closed subset  $f(X) \cap U$  of  $U$  and because  $f|_{f^{-1}(U)}^\# : \mathcal{O}_{Y|U} \rightarrow f_*\mathcal{O}_{X|f^{-1}(U)}$  is surjective. We will show this latter assertion by showing the map is surjective on the stalks. We know that  $f^\# : \mathcal{O}_Y \rightarrow f_*\mathcal{O}_X$  is surjective and is therefore surjective on each local morphism of stalks  $f_p^\# : \mathcal{O}_{Y,f(p)} \rightarrow \mathcal{O}_{X,p}$  is surjective for  $p \in X$ . Since for all  $p \in f^{-1}(U)$ , we have  $\mathcal{O}_{Y,f(p)} = \mathcal{O}_{Y|U,f(p)}$  and  $\mathcal{O}_{X,p} = \mathcal{O}_{X|f^{-1}(U),p}$ , then surjectivity of  $f|_{f^{-1}(U),p}^\#$  is inherited from that of  $f_p^\#$ .

□

**Proposition 12.** Let  $(f, f^\#) : (\text{Spec} A, \mathcal{O}_{\text{Spec} A}) \rightarrow (\text{Spec} B, \mathcal{O}_{\text{Spec} B})$  be a morphism of schemes. Then  $(f, f^\#)$  is induced by a  $B$ -algebra homomorphism  $\varphi : B \rightarrow A$ . (See Hartshorne 2.3c)

*Proof.* Recall  $f^\#$  goes from  $\mathcal{O}_{\text{Spec} B}$  to  $f_*\mathcal{O}_{\text{Spec} A}$ . By taking global sections, we see that  $f^\#$  induces a ring homomorphism  $\varphi : \Gamma(\text{Spec} B, \mathcal{O}_{\text{Spec} B}) \rightarrow \Gamma(\text{Spec} A, \mathcal{O}_{\text{Spec} A})$ . By Hartshorne 2.2c, these rings are isomorphic to  $B$  and  $A$ , respectively. Now we will show that  $\varphi$  induces  $f$ .

For any  $\mathfrak{p} \in \text{Spec} A$ , we have the induced local homomorphism on the stalks,  $\mathcal{O}_{\text{Spec} B, f(\mathfrak{p})} \rightarrow \mathcal{O}_{\text{Spec} A, \mathfrak{p}}$ , or  $B_{f(\mathfrak{p})} \rightarrow A_{\mathfrak{p}}$ , which must be compatible with  $\varphi$  on the global sections and localization homomorphisms. In other words, we have the commutative diagram

$$\begin{array}{ccc} B & \xrightarrow{\varphi} & A \\ \downarrow & & \downarrow \\ B_{f(\mathfrak{p})} & \xrightarrow{f_{\mathfrak{p}}^\#} & A_{\mathfrak{p}} \end{array} .$$

Since  $f^\#$  is a local homomorphism, it follows that  $f(\mathfrak{p}) = \varphi^{-1}(\mathfrak{p})$ , which shows  $f$  coincides with the spectral map  $\text{Spec} A \rightarrow \text{Spec} B$  induced by  $\varphi$ . Then  $f^\#$  also comes from  $\varphi$ , so the morphism of schemes  $(f, f^\#)$  is indeed induced by  $\varphi$ . □

Note that  $\mathfrak{D}(f) \subseteq \mathfrak{D}(g)$  if and only if  $f \in \sqrt{(g)}$ . So there is an  $a \in A$  and  $n \in \mathbb{N}$  such that  $f^n = ag$ . Thus the induced restriction map under the  $\mathcal{O}_{\text{Spec} A}$  sheaf is

$$\rho : A \left[ \frac{1}{g} \right] = A \left[ \frac{a}{f^n} \right] \rightarrow A \left[ \frac{1}{f} \right]$$



given by inclusion.

Thus,  $\mathfrak{D}(f) = \mathfrak{D}(g)$  if and only if  $\sqrt{(f)} = \sqrt{(g)}$ .

Now let  $B$  be a Boolean ring and let  $f \in B$ . We shall show that  $\mathfrak{D}(f)$  is open and closed in  $\text{Spec}B$ . By construction,  $\mathfrak{D}(f)$  is open. Now we claim that  $\mathfrak{D}(f) = \mathfrak{V}(f+1)$ .

First let  $\mathfrak{p} \in \mathfrak{D}(f)$ . Then  $f \notin \mathfrak{p}$ . We know that  $f(f+1) = f^2 + f = f + f = 0 \in \mathfrak{p}$ . Since  $\mathfrak{p}$  is prime and  $f \notin \mathfrak{p}$ , we can conclude  $f+1 \in \mathfrak{p}$ . Thus  $\mathfrak{p} \in \mathfrak{V}(f+1)$ . Now let  $\mathfrak{p} \in \mathfrak{V}(f+1)$ . Then  $f+1 \in \mathfrak{p}$  and so  $f \notin \mathfrak{p}$  since  $1 \notin \mathfrak{p}$ . Thus  $\mathfrak{D}(f) = \mathfrak{V}(f+1)$ .

Now let  $f, g \in B$ . Then

$$\mathfrak{D}(f) \cup \mathfrak{D}(g) = \mathfrak{V}(f+1) \cup \mathfrak{V}(g+1) = \mathfrak{V}((f+1)(g+1)) = \mathfrak{V}(fg + f + g + 1) = \mathfrak{D}(fg + f + g).$$

Note that in a Bézout Domain  $A$  and  $a, a' \in A$ , that  $\mathfrak{D}(a) \cup \mathfrak{D}(a') = \mathfrak{D}(\gcd(a, a'))$ . In fact, the Boolean ring  $B$  is a Bézout Domain with  $\gcd(f, g) = fg + f + g =: h$ .

We can see that  $h|f$  as  $fh = fg + f + fg = f$  and  $h|g$  as  $gh = fg + fg + g = g$ . Now let  $b \in B$  be such that  $b|f$  and  $b|g$ . We must show that  $b|h$ . Let  $bx = f$  and  $by = g$ . Then  $bxg = fg$  and so

$$bxg + bx + by = b(xg + x + y) = h.$$

Thus  $b|h$  and so  $h = \gcd(f, g)$ .