# Teorija grafov - Zapiski predavanj

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#### 1 Introduction

A graph is defined as G = (V, E). n = |V| is the number of vertices, m = |E| is the number of edges. We also denote them as V(G), n(G), E(G), m(G).  $\delta(G)$  is the minimum degree of a vertex in G,  $\Delta(G)$  is the maximum degree. G[C] represents the induced subgraph of G on the vertex set C.

### 2 Independence, matching, covers

**Definition.** The set of vertices  $S \subseteq V$  is an **independent set** if G(S) contains no edges. (No two vertices in the independent set are adjacent)

The independence number  $\alpha(G)$  is the size of the maximum independent set.

**Definition.** The set of vertices  $T \subseteq V$  is a **vertex cover** if  $\forall e \in E \ T \cap e \neq \emptyset$ . (All edges have at least one endpoint in the vertex cover)

The vertex cover number  $\beta(G)$  is the size of the minimum vertex cover.

**Definition.** A matching is a set of edges  $M \subseteq E$  such that  $\forall e, f \in M \ e \neq f \ e \cap f \neq \emptyset$ . (No two edges share a vertex)

The matching number  $\alpha'(G)$  is the size of the maximum matching.

**Definition.** An edge cover is a set of edges  $C \subseteq E$  such that  $\forall v \in V \exists e \in C \ v \in e$ . (All vertices are covered by at least one edge from C)

The edge cover number  $\beta'(G)$  is the size of the minimum edge cover. Some graphs have no edge covers, for example graphs with isolated vertices.

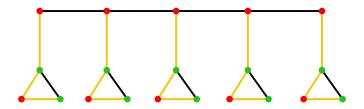


Figure 1: G from example

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Example. \alpha(G) = 8

h(G) = 20

\beta(G) = 12 \rightarrow complement of vertex set

\alpha'(G) = 10 \quad maximum \text{ for } \alpha' \text{ is } \frac{h(G)}{2}

\beta'(G) = 10
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#### Observations

•  $\alpha(G) + \beta(G) = |V|$  (the size of the maximum independent set plus the size of the minimum vertex cover is equal to the number of vertices)

*Proof.* For every independent set S, the complement  $\overline{S}$  is a vertex cover and vice versa.

 $\alpha'(G) \leq \beta(G)$  (the size of the maximum matching is less than or equal to the size of the minimum vertex cover)

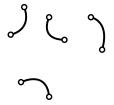


*Proof.* Every edge in a maximum matching must be covered by different vertices in the vertex cover.  $\Box$ 

•  $\alpha(G) \leq \beta'(G)$  (the size of the maximum independent set is less than or equal to the size of the minimum edge cover)

*Proof.* Every vertex in a maximum independent set must be covered by different edges in the edge cover.  $\hfill\Box$ 

• if G has no isolated vertices:  $\alpha'(G) \leq \frac{n}{2} \leq \beta(G)$ 



**Theorem** (Galloi's theorem). If G has no isolated vertices, then  $\alpha'(G) + \beta'(G) = n(G)$ .

Proof. (1) 
$$\beta'(G) + \alpha'(G) \le |V(G)|$$

Take a maximum matching M;  $M = \alpha'(G)$ . For every vertex not covered in

$$V(M)$$
  $V(M)$   $V(M)$   $V(M)$ 

M ( $\overline{V(M)}$ ), we can take an incident edge and add them to M. We get a set of edges R, which covers every vertex in G.

$$|R| = |M| + |\overline{V(M)}| = |M| + (|V(G)| - 2|M|)$$

$$= |V(G)| - |M|$$

$$\beta'(G) \le |R| = |V(G)| - \alpha'(G)$$

$$\beta'(G) + \alpha'(G) \le |V(G)|$$

(2) 
$$\beta'(G) + \alpha'(G) \ge |V(G)|$$

**Lemma.** Let C be a minimum edge cover. For every edge in C, at least one of its endpoints is covered only once by C.

*Proof.* Suppose  $uv \in G$  and u and v are covered by other edges in C. C' = C  $\{uv\}$  is also an edge cover and |C'| < |C| which is a contradiction.

Because of this, we can see that G[C] is a star forest (for all minimal edge covers). G[C] consists of k components: |C| = |V(G)| - k. A matching is obtained by chosing



one edge from every star component of G[C], the resulting matching has k edges (|M| = k)

$$\alpha'(G) \ge |M| = k \ge |V(G)| - |C| = |V(G)| - \beta'(G)$$
$$\alpha'(G) + \beta'(G) \ge |V(G)|$$

### 3 Matchings

Structure of the maximum matching M. For each  $uv \in M$  one of these holds:

(1) 
$$N(u) \cap \overline{V(M)} = \emptyset$$

$$N(v) \cap \overline{V(M)} = \emptyset$$

$$V(M)$$

$$\vdots$$

$$V(M)$$

$$\overline{V(M)}$$

(2) 
$$N(u) \cap \overline{V(M)} \neq \emptyset$$

$$N(v) \cap \overline{V(M)} \neq \emptyset$$

$$V(M)$$

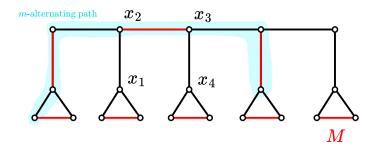
$$V(M)$$

$$V(M)$$

$$V(M)$$

(3) 
$$N(u) \cap \overline{V(M)} \neq \emptyset$$
 or  $N(u) \cap \overline{V(M)} = \emptyset$  
$$N(v) \cap \overline{V(M)} = \emptyset \qquad N(v) \cap \overline{V(M)} \neq \emptyset \qquad \overline{V(M)}$$

**Definition.** Let M be a matching. A path  $v_1u_1v_2u_2...v_ku_k(v_{k+1})$  is an **m-altering path** if the edges along the path alternate between M and  $\overline{M} = E$  M



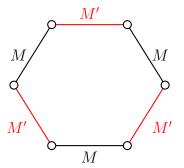
**Definition.** An m-alternating path is m-augmenting if both ends of the path are uncovered by M

For example  $x_1x_2x_3x_4$  in the above figure. This is important because  $M' = M \setminus \{x_2x_3\} \cup \{x_1x_2\}$  is a larger matching.

**Theorem.** Let M be a matching in G. There exists an M-augmenting path  $\iff M$  is not a maximum matching.

*Proof.*  $(\Rightarrow)$  trivial

( $\Leftarrow$ ) M is a matching, not maximum. Then there exists a M' matching such that |M'| > |M|. Consider  $M\Delta M'$  (symmetric difference). Let  $G' = G[M\Delta M']$ . In G', the maximum degree  $\Delta(G') \leq 2$ . G' contains only paths and cycles. If The component is a cycle:



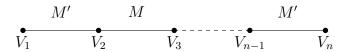
M and M' edges alternate in the cycle, therefore there are the same number of edges from M and M' in the cycle.

If The component is a path:

- path of even length, then M,M'-edges alternate, therefore there are the same number of edges from M and M' in the path.
- path of odd length, then there is one more edge from M' than from M or vice versa.

$$|M'| > |M| \Rightarrow |M' \setminus M| > |M \setminus M'|$$

 $\Rightarrow$  there exists a component  $G'_1$  in G' such that there are more edges from M' than from M. This component is a path of odd length that starts and ends with an edge from M'.



The following holds:

- $-G_1'$  is an M-alternating path
- $-v_1$  is not covered by M.
- in G'  $deg(v_1) = 1$  (because it is a path component), therefore no edge from  $M \setminus M'$  is incident to  $v_1$ .
- $-v_1$  is not incident to any edge from  $M \cap M'$  since  $v_1$  is already covered by an edge from M'.

In conclusion  $v_1, v_k$  are not covered by M so  $G'_1$  is an alternating path with uncovered endpoints and is therefore an M-augmenting path.

Algorithms for the above theorems:

- "Blossom algorithms" to find an M-augmenting path and improve the matching if possible. The best known algorithm is  $O(n\sqrt{n})$ .
- We can determine the matching number and the edge cover number in polynomial time.

**Definition** (Oddity). o(G): number of odd components in G

**Theorem** (Tuttes theorem). G has a perfect matching  $\iff \forall S \subseteq V(G) \ o(G-S) \leq |S|$   $o(G-S) \leq |S|$  is called the Tutte condition.

*Proof.* ( $\Rightarrow$ ) M is a perfect matching in G. For every  $S \subseteq V(G)$ .

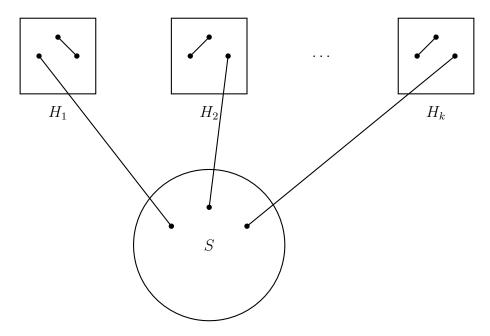


Figure 2: Graph G showing S and  $H_i$  which are odd components not in S

if  $H_1$  is an odd component then there exists at least one M-edge between  $V(H_i)$  and S. This holds for every odd component. Therefore there exists at least o(G - S) M-edges between S and  $\overline{S}$ . As M is a matching these edges are incident do different vertices from S. Therefore  $o(G - S) \leq |S|$  (Tuttes condition holds).

- $(\Leftarrow)$  If the Tuttes condition holds then there exists a perfect matching. Suppose that the lemma does not hold. Then there exists a counter example F on n vertices.
  - (1) F satisfies the Tuttes condition
  - (2) There does not exist a perfect matching in F

We can add edges to F while it remains a counter example, until we get a graph G. G is then the largest counter example.

**Lemma.** n(G) is even

$$|S| = 0 \ge o(G - s) = o(G) \ge 0 \implies o(G) = 0$$

Because the number of odd components is zero, n is even.

**Lemma.**  $\forall e \in E(\overline{(G)})$  G' = G + e has a perfect matching

*Proof.* -G + e is not a counter example (because of the maximality of G).

- -G + e satisfies TC: For every  $S \subseteq V(G + e)$   $o(G + e S) \le |S|$ . When adding an edge e to G we have 4 cases:
  - (a) If the edge e is inside S or inside a component of G-S, then the number of odd components does not change.
  - (b) If the edge e is between two even components of G S, then the number of odd components does not change.
  - (c) If the edge e is between odd-even components of G S, then the number of odd components does not change.
  - (d) If the edge e is between two odd components of G S, then the number of odd components decreases by two (the two odd components become an even component).

Therefore G' satisfies the Tuttes condition:

$$|S| \ge o(G - S) \ge o(G' - S)$$

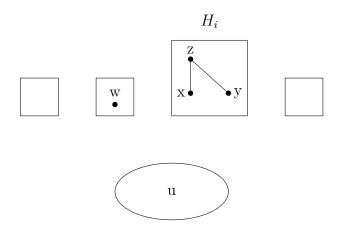
G' is not a counter example, therefore (2) does not hold and there exists a perfect matching in G'.

To end the proof we need to show that G has a perfect matching. Let u be the set of universal vertices (deg(v) = n - 1) in G. G[u] is a complete graph (fully connected). We can construct a perfect matching for different cases:

- 1. If every  $H_i$  induces a complete graph, then we can construct a matching M
  - If  $H_i$  is an even component then then we can cover every vertex with M-edges inside  $H_i$ .
  - If  $H_i$  is an odd component then we cover all but one vertex with M-edges inside  $H_i$ .
  - The remaining o(G-u) vertices fro the odd components can be covered with M-edges between u and the components using o(G-u) different vertices from u. This can be done as all edges are present in G between u and  $\overline{u}$  and  $o(G-u) \leq |u|$ .
  - If some vertices from u are uncovered then we define a matching on them. This is possible because G[u] is a complete graph, n(G) is even and M covered an even number of vertices so far.

Therefore G has a perfect matching.

2. If there exists a non-complete component  $H_i$ , then we can find a pair of vertices x, y such that dist(x, y) = 2.



We can define z as the shared neighbor of x and y. There exists a vertex w such that w is not connected to z. This vertex exists because z is not a universal vertex. We define 2 expansions (both have a perfect matching because of lemma 2):

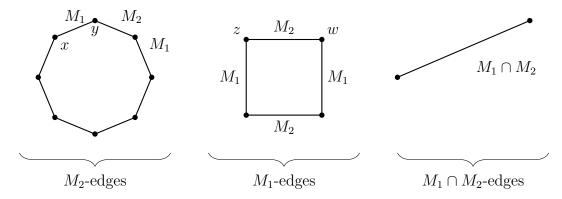
$$-G_1 = G + xy \rightarrow M_1$$

$$-G_2 = G + zw \rightarrow M_2$$

 $M_1$  contains xy, otherwise  $M_1$  is a perfect matching in G.  $M_2$  contains zw because of the same reason. Consider  $M_1 \Delta M_2$ . First remove the edges from  $M_1 \cup M_2$  (isolated vertices). For the remaining edges all vertices are of degree 2, therefore  $\exists v$  such that v is connected to one  $M_1$ -edge and one  $M_2$ -edge.

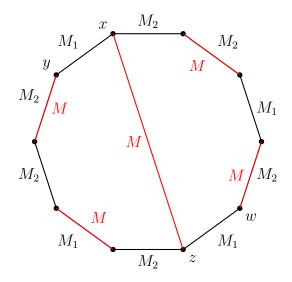
Every non-isolated vertex from  $G[M_1\Delta M_2]$  is of degree 2, therefore the graph is composed of only cycles. Because  $M_1$  and  $M_2$  alternate, these are even cycles.  $xy \in M_1$  and  $xy \notin M_2 \Rightarrow xy \in M_1\Delta M_2$ 

- If xy and zw belong to different components in  $G[M_1\Delta M_2]$ .



 $M = M_1 \Delta M_2$  is a perfect matching in G:

- $*\ M\subseteq E(G)\ xy\notin M,\, zw\notin M$
- \* Every vertex is covered exactly once by M
- If xy and zw belong to the same component, without loss of generality along the cycle the edges are ordered:  $zw \dots xy \dots (yx)$  is a symmetric case)



Borge-Tutte formula: A maximum matching in G leaves exactly

$$\max_{S\subseteq V(G)}\{o(G-S)-|S|\}$$

uncovered vertices. Equivalently:

$$\alpha'(G) = \frac{1}{2} \left( n - \max_{S \subseteq V(G)} \{ o(G - S) - |S| \} \right)$$