1 Witt vectors

The goal of this section is to give a very important example of a comonad: the Witt vector construction is a functor $CRing \rightarrow CRing$ which is used frequently in several mathematical fields, especially Number Theory and Algebraic Geometry. Historically, Witt vectors have been introduced by Ernst Witt in [Wit37], who discovered what is today called *p-typical Witt vectors* while studying cyclic algebras of degree p^n . The ring structure on the Witt vectors is highly unintuitive and the whole construction is rather complicated, which is why this section starts with a rigorous, detailed and self-contained introduction to the topic. We will define the p-typical Witt vectors as well as the *big Witt vectors*, which are due to [Car67]. This is essentially an elaboration of [Hes08] (some of the material is also covered in [Hes15]), making the proofs as seamless as possible, while only stating what is needed for proving the final theorem. For different expositions to Witt vectors, consider [Rab14], [Ser79]. The most complete account of Witt vectors that I know of is [Haz09].

1.1 Construction of the Witt vectors

Definition 1.1 (truncation set). Let \mathbb{N} be the set of positive integers and let $S \subseteq \mathbb{N}$ be a subset with the property that $\forall n \in \mathbb{N}$: if d is a divisor of n, then $d \in S$. We then say that S is a *truncation set*.

Now let S be a truncation set. As a set, we define the *big Witt ring* $\mathbb{W}_S(A)$ to be A^S , and we will give it a unique ring structure such that the *ghost map* is a ring homomorphism. Furthermore, if $f: A \to B$ is a ring homomorphism, we define $\mathbb{W}_S(f): \mathbb{W}_S(A) \to \mathbb{W}_S(B)$ to be the function which applies f componentwise, that is $(a_n)_{n \in S} \mapsto (f(a_n))_{n \in S}$. This construction will turn out to be functorial and we will see that the Witt vector functor admits a comonadic structure.

Definition 1.2 (ghost map). We define $w: W_S(A) \to A^S$ by $(a_n)_{n \in S} \mapsto (w_n)_{n \in S}$ where

$$w_n = \sum_{d \mid n} da_d^{n/d}$$

Recall that for every prime number *p*, we have the *p-adic valuation map*:

Definition 1.3 (p-adic valuation). $v_p: \mathbb{Z} \to \mathbb{N} \cup \{\infty\}$ is defined by

$$v_p(n) = \begin{cases} \max\{k \in \mathbb{N} : p^k \mid n\} & \text{if } n \neq 0 \\ \infty & \text{if } n = 0 \end{cases}$$

Lemma 1.4 Let A be a ring, $a, b \in A$, $v \in \mathbb{N}$, and p a prime number. Then:

$$a \equiv b \mod pA \implies a^{p^v} \equiv b^{p^v} \mod p^{v+1}A.$$

PROOF: We can write $a = b + p\varepsilon$ for some $\varepsilon \in A$, then by the binomial theorem we get:

$$a^{p^v}=(b+p\varepsilon)^{p^v}=\sum_{i=0}^{p^v}\binom{p^v}{i}b^{p^v-i}(p\varepsilon)^i=b^{p^v}+\sum_{i=1}^{p^v}\binom{p^v}{i}b^{p^v-i}p^i\varepsilon^i.$$

Claim. for every $1 \le i \le p^v : v_p(\binom{p^v}{i}) = v - v_p(i)$.

Proof of claim. First, note that $v_p(p^v-i)=v-v_p(i)$. (Indeed: write $i=p^{v_p(i)}\cdot k$ for some $k\in\mathbb{Z},p\nmid k$. Then $p^v-i=p^v-p^{v_p(i)}\cdot k=p^{v_p(i)}\cdot (p^{v-v_p(i)}-k)$, hence $p^{v_p(i)}\mid p^v-i$. But $p^{v_p(i)+1}\nmid p^v-i$, since $p\nmid k$.) Now we can apply the p-adic valuation to the following equality:

$$i! \cdot \begin{pmatrix} p^{v} \\ i \end{pmatrix} = p^{v} \cdot (p^{v} - 1) \cdot \dots \cdot (p^{v} - (i - 1))$$

$$\implies v_{p} \left(i! \cdot \begin{pmatrix} p^{v} \\ i \end{pmatrix} \right) = v_{p} (p^{v} \cdot (p^{v} - 1) \cdot \dots \cdot (p^{v} - (i - 1)))$$

$$\iff v_{p} (i!) + v_{p} \left(\begin{pmatrix} p^{v} \\ i \end{pmatrix} \right) = v_{p} (p^{v}) + v_{p} (p^{v} - 1) + \dots + v_{p} (p^{v} - (i - 1))$$

$$\iff v_{p} (i!) + v_{p} \left(\begin{pmatrix} p^{v} \\ i \end{pmatrix} \right) = v + v_{p} ((i - 1)!)$$

$$\iff v_{p} \left(\begin{pmatrix} p^{v} \\ i \end{pmatrix} \right) = v + v_{p} ((i - 1)!) - v_{p} (i!)$$

$$\iff v_{p} \left(\begin{pmatrix} p^{v} \\ i \end{pmatrix} \right) = v + v_{p} \left(\frac{(i - 1)!}{i!} \right)$$

$$\iff v_{p} \left(\begin{pmatrix} p^{v} \\ i \end{pmatrix} \right) = v - v_{p} (i)$$

where we use the multiplicativity of the p-adic valuation.

It follows that

$$v_p\left(\binom{p^v}{i} \cdot p^i\right) = v - v_p(i) + i \ge v + 1$$

which means that those summands vanish mod $p^{v+1}A$.

The core of the construction is contained in the following Lemma:

Lemma 1.5 (Dwork) Suppose that for every prime number p there exists a ring homomorphism $\phi_p: A \to A$ with the property that $\phi_p(a) \equiv a^p$ modulo pA. Then for every sequence $x = (x_n)_{n \in S}$, the following are equivalent:

- (i) The sequence x is in the image of the ghost map $w : W_S(A) \to A^S$.
- (ii) For every prime number p and every $n \in S$ with $v_p(n) \ge 1$,

$$x_n \equiv \phi_p(x_{n/p})$$
 modulo $p^{v_p(n)}A$.

PROOF: (\Rightarrow) Suppose x is in the image of the ghost map, that means there is a sequence $a = (a_n)_{n \in S}$ such that $x_n = w_n(a)$ for all $n \in S$. We calculate:

$$\phi(x_{n/p}) = \phi(w_{n/p}(a)) = \phi(\sum_{d|n/p} da_d^{n/pd}) = \sum_{d|n/p} d \cdot \phi(a_d^{n/pd})$$

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since ϕ is a ring homomorphism and $d \in \mathbb{N}$. Now

$$\sum_{d|n/p} d \cdot \phi(a_d^{n/pd}) \equiv \sum_{d|n/p} d \cdot a_d^{n/d} \qquad \text{mod } p^{v_p(n)} A$$

$$\equiv \sum_{d} d \cdot a_d^{n/d} \qquad \text{mod } p^{v_p(n)} A$$

$$(1.1)$$

$$\frac{\lambda}{d|n}$$

 $\mod p^{v_p(n)}A$ (1.2)

so we get

$$\phi(x_{n/p}) \equiv \sum_{d|n} d \cdot a_d^{n/d} = w_n(a) = x_n \quad \text{mod } p^{v_p(n)} A.$$

Proof of (1.1). First, note that

$$x \equiv y \mod p^m A \implies dx \equiv dy \mod p^{m+v_p(d)} A$$
 (*)

for all $m \in \mathbb{N}$, $d \in \mathbb{Z}$. Now we can write $n/pd = p^{\alpha} \cdot N$ for some $N \in \mathbb{Z}$, $p \nmid N$, $\alpha = v_p(n/pd)$. Now by the assumptions of the lemma we get that $\phi_p(a_d^N) \equiv a_d^{p \cdot N} \mod pA$, so we can calculate:

$$\phi_p(a_d^{n/pd}) \stackrel{\text{def.}}{=} \phi_p(a_d^{p^{\alpha} \cdot N}) = \phi_p(a_d^N)^{p^{\alpha}} \equiv a_d^{(p \cdot N)^{p^{\alpha}}} \mod p^{\alpha+1}A$$

using Lemma 1.4 for the last congruence. Now (*) and the fact that

$$a_d^{(p \cdot N)^{p^\alpha}} = a_d^{p \cdot N \cdot p^\alpha} \overset{\text{def.}}{=} a_d^{p \cdot n/pd} = a_d^{n/d}$$

gives us

$$d\cdot\phi_p(a_d^{n/pd})\equiv d\cdot a_d^{n/d} \qquad \text{mod } p^{\alpha+1+v_p(d)}$$

But

$$\alpha+1+v_p(d)\stackrel{\mathrm{def.}}{=} v_p(n/pd)+1+v_p(d)=v_p(n/d)+v_p(d)=v_p(n)$$

so it follows that for every d

$$d \cdot \phi_p(a_d^{n/pd}) \equiv d \cdot a_d^{n/d} \mod p^{v_p(n)}$$

which implies (1).

Proof of (1.2). It suffices to show that if $d \mid n, d \nmid n/p$, the term $d \cdot a_d^{n/d}$ vanishes mod $p^{v_p(n)}A$. But in this case, $v_p(d) = v_p(n)$, hence $d \equiv 0 \mod p^{v_p(n)} A$.

 $(\Leftarrow) \text{ Let } (x_n)_{n \in S} \text{ be a sequence such that } x_n \equiv \phi_p(x_{n/p}) \qquad \textit{mod } p^{v_p(n)} A \ \forall p \text{ prime}, n \in S, v_p(n) \geqslant 1. \text{ Define}$ $(a_n)_{n \in S}$ with $w_n((a_n)_{n \in S}) = x_n$ as follows:

$$a_1 \coloneqq x_1$$

and if a_d has been chosen for all $d \mid n$ such that $w_d(a) = x_d$ we see that for every prime $p \mid n$:

$$x_n \equiv \phi_p(x_{n/p}) \mod p^{v_p(n)} A$$

$$= \phi_p(\sum_{d|n/p} d \cdot a_d^{n/pd})$$

$$= \sum_{d|n/p} d \cdot \phi(a_d^{n/pd})$$

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because ϕ_p is a ring homomorphism. Using our previous calculations, we see that

$$\sum_{d|n/p} d \cdot \phi(a_d^{n/pd}) \stackrel{(1.1)}{\equiv} \sum_{d|n/p} d \cdot a_d^{n/d} \quad \mod p^{v_p(n)} A$$

$$\stackrel{(1.2)}{\equiv} \sum_{d|n} d \cdot a_d^{n/d} \quad \mod p^{v_p(n)} A$$

$$\equiv \sum_{d|n,d\neq n} d \cdot a_d^{n/d} \quad \mod p^{v_p(n)} A$$

In conclusion:

$$p^{v_p(n)} \mid \left(x_n - \sum_{d \mid n, d \neq n} d \cdot a_d^{n/d} \right)$$

for all $p \mid n$. But this implies that

$$n \mid \left(x_n - \sum_{d \mid n, d \neq n} d \cdot a_d^{n/d} \right)$$

hence $\exists a_n \in A$ such that

$$x_n = \sum_{d \mid n, d \neq n} d \cdot a_d^{n/d} + n \cdot a_n = \sum_{d \mid n} d \cdot a_d^{n/d}.$$

We will often need the following

Lemma 1.6 If A is a torsion-free ring, the ghost map is injective.

PROOF: Let $a=(a_n)_{n\in S}$ such that w(a)=0. This means $w_n=0$ for all $n\in S$. We will prove by induction, that $a_n=0$ for all $n\in S$. First, $a_1=w_1=0$. And if $a_d=0$ for all $d\in S, d< n$ we see that

$$0 = w_n = \sum_{d|n} d \cdot a_d^{n/d} = n \cdot a_n$$

and since A is torsion-free, this implies $a_n = 0$.

Now we can finish the construction of the Witt vectors:

Theorem 1.7 There exists a unique ring structure such that the ghost map

$$w: \mathbb{W}_{\varsigma}(A) \to A^{s}$$

is a natural transformation of functors from rings to rings.

PROOF: Step 1: Let $A = \mathbb{Z}[a_n, b_n \mid n \in S]$. Consider the unique ring homomorphism

$$\begin{split} \phi_p \colon A &\to A; \\ a_n &\mapsto a_n^p, \\ b_n &\mapsto b_n^p \end{split}$$

 ϕ_p satisfies that $\phi_p(f) \equiv f^p$ modulo pA (Indeed: it suffices to show that $\overline{\phi_p(f)} = \overline{f^p}$ in $\mathbb{F}_p[a_n, b_n \mid n \in S]$, which is apparent).

CLAIM. w(a) + w(b), $w(a) \cdot w(b)$ and -w(a) are in the image of the ghost map.

Proof of claim. Since we can use Lemma 1.5, it suffices to show that for all prime p, for all $n \in S$ with $p \mid n$:

$$\begin{split} w_n(a) + w_n(b) &\equiv \phi_p(w_{n/p}(a) + w_{n/p}(b)) & \mod p^{v_p(n)} A \\ w_n(a) \cdot w_n(b) &\equiv \phi_p(w_{n/p}(a) \cdot w_{n/p}(b)) & \mod p^{v_p(n)} A \\ -w_n(a) &\equiv \phi_p(-w_{n/p}(a)) & \mod p^{v_p(n)} A \end{split}$$

but since $w_n(a)$, $w_n(b)$ are in the image of the ghost map, we know that $w_n(a) \equiv \phi_p(w_{n/p}(a)) \mod p^{v_p(n)} A$ and $w_n(b) \equiv \phi_p(w_{n/p}(b)) \mod p^{v_p(n)} A$. The claim now follows using the fact that ϕ_p is a ring homomorphism and that congruence is compatible with addition and multiplication.

It follows there are sequences $S = (S_n)_{n \in S}$, $P = (P_n)_{n \in S}$ and $I = (I_n)_{n \in S}$ of polynomials such that

$$w(S) = w(a) + w(b), \ w(P) = w(a) \cdot w(b), \ w(I) = -w(a)$$

Since A is torsion-free, the ghost map is injective by 1.6 and hence, these polynomials are unique. Step 2: Now let A' be any ring. Let $a' = (a'_n)_{n \in S}$, $b' = (b'_n)_{n \in S}$ be two vectors in $W_S(A')$. Then there is a unique ring homomorphism

$$f: A \to A';$$

$$a_n \mapsto a'_n,$$

$$b_n \mapsto b'_n$$

such that $\mathbb{W}_S(f)(a)=a'$ and $\mathbb{W}_S(f)(b)=b'$ (Remember that $A=\mathbb{Z}[a_n,b_n\mid n\in S]$). We define:

$$a' + b' := \mathbb{W}_{S}(f)(S) = (S_{n}(a'_{1}, \dots, a'_{n}, b'_{1}, \dots, b'_{n}))_{n \in S}$$

$$a' \cdot b' := \mathbb{W}_{S}(f)(P) = (P_{n}(a'_{1}, \dots, a'_{n}, b'_{1}, \dots, b'_{n}))_{n \in S}$$

$$-a' := \mathbb{W}_{S}(f)(I) = (I_{n}(a'_{1}, \dots, a'_{n}, b'_{1}, \dots, b'_{n}))_{n \in S}$$

where f commutes with integer polynomials, since it is a ring homomorphism.

CLAIM. These operations make $W_S(A)$ into a ring.

Proof of claim. Suppose first that A' is torsion-free, then the ghost map is injective and hence the ring axioms are satisfied. For the general case, choose a surjective ring homomorphism $g \colon A'' \to A'$ from a torsion-free ring A'' (For example, one could take A'' to be $\mathbb{Z}A'$). Then $\mathbb{W}_S(g) \colon \mathbb{W}_S(A'') \to \mathbb{W}_S(A')$ is again surjective, and since the ring axioms are satisfied on the left-hand side, they are satisfied on the right-hand side.

ok so?

CLAIM. $w: W_S(A) \to A^S$ is a natural ring homomorphism.

Naturality: let $f: A \rightarrow B$.

$$\begin{array}{ccc}
\mathbf{W}_{S}(A) & \xrightarrow{\mathbf{W}_{S}(f)} & \mathbf{W}_{S}(B) \\
\downarrow^{w} & & \downarrow^{w} \\
A^{S} & \xrightarrow{f^{S}} & B^{S}
\end{array}$$

commutes because f is a ring homomorphism, hence commutes with the integer polynomials w_n . Let $a', b' \in \mathbb{W}_S(A)$. Then:

$$w(a' + b') = w(W_S(f)(S)) = f(w(s)) = f(w(a) + w(b)) = f(w(a)) + f(w(b)) = w(a') + w(b')$$

finish

Corollary 1.8 $w_n : W_S(A) \to A$ is a natural ring homomorphism for all $n \in S$.

PROOF: This follows immediately from 1.7.

Lemma 1.9 The zero element in $W_S(A)$ is given by $(0,0,0,\ldots)$ and the unit in $W_S(A)$ is given by $(1,0,0,\ldots)$.

PROOF: (For better readability, this proof assumes $S = \mathbb{N}$, but the general proof is exactly the same.) Suppose first that $A = \mathbb{Z}[a_n, b_n \mid n \in \mathbb{N}]$. Let $a = (a_n)_n$ be a Witt vector. Then:

$$w((0,0,0,\dots)) = (0,0,0,\dots)$$

since $w_n(0, 0, 0, ...) = 0$ for all n.

$$w((1,0,0,\dots)) = (1,1,1,\dots)$$

since $w_n(1,0,0,\dots)=1^n=1$ for all n. By injectivity of the ghost map, the claim follows, because $(0,0,0,\dots)$ and $(1,0,0,\dots)$ are the zero element respectively the unit in $A^{\mathbb{N}}$. In the general case: For A' any ring, $(a'_n)_n\in \mathbb{W}_S(A'), (a'_n)_n+(0,0,\dots)$ is defined as $(S_1(a'_1,0),S_2(a'_1,a'_2,0,0),\dots)$ and since $(S_1(a_1,0),S_2(a_1,a_2,0,0),\dots)=(a_1,a_2,\dots)\in \mathbb{Z}[a_n,b_n\mid n\in\mathbb{N}]$, these polynomial equations still hold if we plug in a different sequence. The same reasoning show that $(1,0,\dots)$ is the unit.

Proposition 1.10 $\mathbb{W}_{S}(\)$ is a functor $\mathbb{C}Ring \to \mathbb{C}Ring$.

PROOF: $W_S(\mathrm{id}) = \mathrm{id}$ and $W_S(g \circ f) = W_S(g) \circ W_S(f)$ are clear, since $W_S(_)$ on morphisms is identical with the countable product functor $(_)^\mathbb{N}$. All that is left to show is that for a ring homomorphism $f \colon A \to B$, $W_S(f) \colon W_S(A) \to W_S(B)$ is again a ring homomorphism.

$$W_S(f)(1,0,...) = (f(1), f(0),...) = (1,0,...)$$

Now let $x = (x_n)_n$, $y = (y_n)_n$ be two Witt vectors.

$$\begin{split} \mathbb{W}_{S}(f)(x+y) &= \mathbb{W}_{S}(f)(S_{n}(x_{1},\ldots,x_{n},y_{1},\ldots,y_{n}))_{n} \\ &= (f(S_{n}(x_{1},\ldots,x_{n},y_{1},\ldots,y_{n})))_{n} \\ &= (S_{n}(f(x_{1}),\ldots,f(x_{n}),f(y_{1}),\ldots,f(y_{n})))_{n} \\ &= \mathbb{W}_{S}(f)(x) + \mathbb{W}_{S}(f)(y) \end{split}$$

where f commutes with integer polynomials since it is a ring homomorphism. An identical computation shows that

$$W_S(f)(x \cdot y) = \mathbb{W}_S(f)(x) \cdot \mathbb{W}_S(f)(y)$$

1.2 The Verschiebung, Frobenius and Teichmüller maps

We have various operations on Witt vectors that are of interest.

Definition 1.11 (Restriction map). If $T \subseteq S$ are two truncation sets, the *restriction from S to T*

$$R_T^S \colon \mathbb{W}_S(A) \to \mathbb{W}_T(A)$$

is a natural ring homomorphism. This follows from the fact that for the polynomials used to define addition and multiplication in the Witt vector ring we have $S_n, P_n \in \mathbb{Z}[a_1, \ldots, a_n, b_1, \ldots, b_n]$ (see the proof of Dwork's lemma, (\Leftarrow)).

is that obvious?

If $S \subseteq \mathbb{N}$ is a truncation set, $n \in \mathbb{N}$, then

$$S/n := \{ d \in \mathbb{N} \mid nd \in S \}$$

is again a truncation set.

Definition 1.12 (Verschiebung). Define

$$V_n \colon \mathbb{W}_{S/n} \to \mathbb{W}_S(A); \ V_n((a_d)_{d \in S/n})_m := \begin{cases} a_d, & \text{if } m = n \cdot d \\ 0, & \text{else} \end{cases}$$

which is called the *n-th Verschiebung map*. Furthermore define

$$\widetilde{V}_n \colon A^{S/n} \to A^S; \ \widetilde{V}_n((x_d)_{d \in S/n})_m \coloneqq \begin{cases} n \cdot x_d, & \text{if } m = n \cdot d \\ 0, & \text{else} \end{cases}$$

Lemma 1.13 The Verschiebung map V_n is additive.

Proof:

$$\begin{array}{ccc} \mathbb{W}_{S/n}(A) & \stackrel{w}{\longrightarrow} A^{S/n} \\ \mathbb{C}\text{LAIM.} & & & & & \\ \bigvee_{V_n} & & & & \bigvee_{\widetilde{V_n}} \text{ commutes.} \\ \mathbb{W}_S(A) & \stackrel{w}{\longrightarrow} A^S \end{array}$$

Proof of claim. Let $a = (a_d)_{d \in S/n} \in \mathbb{W}_{S/n}(A)$. Let $m \in S$.

• case 1: $m \neq n \cdot d \ \forall d \in S$: Then $\widetilde{V_n}(w(a))_m = (\widetilde{V_n}(w_d)_{d \in S/n})_m = 0$ and

$$w(V_n(a))_m = \sum_{k|m,k=nd} k \cdot a_d^{m/k} = 0$$

because if there would be $k \mid m, k = nd$, this would mean that $m = k \cdot d' = n \cdot d \cdot d'$ for $d, d' \in S$ and then $d \cdot d' \mid m$ which is a contradiction to case 1.

• case 2: $m = n \cdot d$ for some $d \in S$:

$$\begin{split} \widetilde{V_n}(w(a))_m &= (\widetilde{V_n}(w_d)_{d \in S/n})_m = n \cdot w_d = n \cdot \sum_{k \mid d} k \cdot a_k^{d/k}. \\ w(V_n(a))_m &= w_m(V_n(a)) = \sum_{k \mid nd} k \cdot (V_n(a))_k^{nd/k} \\ &= \sum_{k \mid nd, k = nd_k} k \cdot a_{d_k}^{nd/k} = n \cdot \sum_{k \mid nd, k = nd_k} d_k \cdot a_{d_k}^{nd/nd_k} \\ &= n \cdot \sum_{k \mid nd, k = nd_k} d_k \cdot a_{d_k}^{d/d_k} = n \cdot \sum_{k \mid d} k \cdot a_k^{d/k} \end{split}$$

because $nd_k \mid nd \iff d_k \mid d$ for $d_k, d, n \in \mathbb{N}$.

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 $\widetilde{V_n}$ is obviously additive, so assume now that A is torsion-free. Then the ghost map is injective, so it is enough to check that $w(V_n(a+b)) = w(V_n(a) + V_n(b))$ for $a, b \in \mathbb{W}_{S/n}$. Since

$$\begin{array}{ccc}
\mathbb{W}_{S/n}(A) & \xrightarrow{w} & A^{S/n} \\
\downarrow^{V_n} & & \downarrow^{\widetilde{V_n}} \\
\mathbb{W}_{S}(A) & \xrightarrow{w} & A^{S}
\end{array}$$

commutes, we calculate:

$$\begin{split} w(V_n(a+b)) &= \widetilde{V}_n(w(a+b)) = \widetilde{V}_n(w(a)+w(b)) \\ &= \widetilde{V}_n(w(a)) + \widetilde{V}_n(w(b)) = w(V_n(a)) + w(V_n(b)) = w(V_n(a)+V_n(b)) \end{split}$$

For the general case, choose a surjective ring homomorphism $g \colon A \to A'$, where A is torsion-free. Then the diagram

$$\begin{array}{ccc} \mathbb{W}_{S/n}(A) & \stackrel{\mathbb{W}_{S/n}(g)}{\longrightarrow} \mathbb{W}_{S/n}(A') \\ & & & \downarrow V_n & & \downarrow V_n \\ \mathbb{W}_S(A) & \stackrel{\mathbb{W}_S(g)}{\longrightarrow} \mathbb{W}_S(A') \end{array}$$

clearly commutes and since $\mathbb{W}_{S/n}(g)$ is surjective, there are $x,y\in \mathbb{W}_{S/n}(A)$ such that $\mathbb{W}_{S/n}(g)(x)=a$, $\mathbb{W}_{S/n}(g)(y)=b$. Then

$$\begin{split} V_n(a+b) &= V_n(\mathbb{W}g(x)) = V_n(\mathbb{W}_{S/n}(g)(x+y)) = \mathbb{W}_S(g)(V_n(x+y)) \\ &= \mathbb{W}_S(g)(V_n(x)) + \mathbb{W}_S(g)(V_n(y)) = V_n(a) + V_n(b) \end{split}$$

 $\mathbb{W}g$ statt $\mathbb{W}_S(g)$

Next, we will introduce the *frobenius homomorphism*, which will play an important rule in the proof of the comonadic structure of W as well. For that, first define $\widetilde{F}_n: A^S \to A^{S/n}$ by $\widetilde{F}_n((x_m)_{m \in S}) = (x_{nm})_{m \in S/n}$.

Lemma 1.14 (Frobenius homomorphism) There exists a unique natural ring homomorphism

$$F_n \colon \mathbb{W}_S(A) \to \mathbb{W}_{S/n}(A)$$

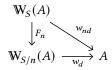
such that the diagram

$$\begin{array}{ccc}
W_{S}(A) & \xrightarrow{w} & A^{S} \\
\downarrow^{F_{n}} & & \downarrow^{\widetilde{F}_{n}} \\
W_{S/n}(A) & \xrightarrow{w} & A^{S/n}
\end{array}$$

commutes.

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We call F_n the *nth Frobenius homomorphism*. The commutativity of the diagram above is equivalent to commutativity of the following diagram for every $d \in S/n$:



Proof of Lemma 1.14. We construct F_n similar to the construction of the ring operations on $\mathbb{W}_S(A)$ using Lemma 1.5 again. So let A be the polynomial ring $\mathbb{Z}[a_n \mid n \in S]$, let $a = (a_n)_{n \in S}$ and let ϕ_p be the unique ring homomorphism $a_n \mapsto a_n^p$. Then Lemma 1.5 shows that the sequence $\widetilde{F}_n(w(a)) \in A^{S/n}$ is in the image of a unique element

$$F_n(a) = (f_{n,d})_{d \in S/n}$$

by the ghost map. (Indeed: we have

$$\begin{split} \phi_p((\widetilde{F}_n(w(a)))_{m/p}) &= \phi_p((w_{nm/p})) = \sum_{k|nm/p} k \cdot a_k^{nm/k} \\ \widetilde{F}_n(w(a))_m &= w_{nm} = \sum_{k|nm} k \cdot a_k^{nm/k} \end{split}$$

and both sums are congruent mod $p^{v_p(m)}A$.) If A' is any ring and if $a' = (a'_n)_{n \in S}$ is a vector in $\mathbb{W}_S(A)$, then we define

$$F_n(a') := \mathbb{W}_{S/n}(g)(F_n(a))$$

where $g\colon A\to A'$ is the unique ringhomomorphism that maps a to a'. Now since \widetilde{F}_n is clearly a ring homomorphism, we can argue similar as in the proof of Lemma 1.13 to show that F_n is a ring homomorphism. Finally, we show that F_n is natural. For that, let $f\colon A\to B$ be a ring homomorphism. Then we need to show that

$$\begin{array}{ccc} \mathbb{W}_{S}(A) & \xrightarrow{\mathbb{W}_{S}(F)} & \mathbb{W}_{S}(B) \\ \downarrow^{F_{n}} & & \downarrow^{F_{n}} \\ \mathbb{W}_{S/n}(A) & \xrightarrow{\mathbb{W}_{S}(f)} & \mathbb{W}_{S/n}(B) \end{array}$$

commutes, but it again suffices to show that it commutes after evaluating the ghost coordinates, i.e. we can

naturality

look at the following diagram:

$$\mathbb{W}_{S}(A) \xrightarrow{\mathbb{W}_{S}(F)} \mathbb{W}_{S}(B)$$

$$\downarrow^{F_{n}} \qquad \downarrow^{F_{n}}$$

$$\mathbb{W}_{S/n}(A) \xrightarrow{\mathbb{W}_{S}(f)} \mathbb{W}_{S/n}(B)$$

$$\downarrow^{w_{nd}} \qquad \downarrow^{w_{d}}$$

$$\downarrow^{w_{nd}} \qquad \downarrow^{w_{nd}}$$

$$\downarrow^{w_{nd}} \qquad \downarrow^{w_{nd}}$$

$$\downarrow^{w_{nd}} \qquad \downarrow^{w_{nd}}$$

but by naturality of w_{nd} (1.8), the claim follows.

Note that for $n, m \in \mathbb{N}$ we have (S/n)/m = S/nm by definition.

Lemma 1.15 Let $n, m \in \mathbb{N}$. Then

$$F_n \circ F_m = F_{nm}$$
.

PROOF: We have $\widetilde{F}_n \circ \widetilde{F}_m = \widetilde{F}_{nm}$, since

$$\widetilde{F}_n(\widetilde{F}_m(x_d)_{d \in S}) = \widetilde{F}_n((x_{md})_{d \in S/m}) = (x_{nmd})_{d \in S/nm} = \widetilde{F}_{nm}((x_d)_{d \in S}).$$

Now suppose that A is torsion-free, which means that the ghost map is injective. We have the following commutative diagram:

$$W_{S}(A) \xrightarrow{w} A^{S}$$

$$\downarrow^{F_{n}} \qquad \downarrow^{\widetilde{F}_{n}}$$

$$W_{S/n}(A), \xrightarrow{w} A^{S/n}$$

$$\downarrow^{F_{m}} \qquad \downarrow^{\widetilde{F}_{m}}$$

$$W_{S/nm}(A) \xrightarrow{w} A^{S/nm}$$

and then $w \circ (F_n \circ F_m) = \widetilde{F}_n \circ \widetilde{F}_m \circ w = \widetilde{F}_{nm} \circ w = w \circ (F_{nm})$ which implies $F_n \circ F_m = F_{nm}$, since w is injective, hence a mono. Now, for the general case choose $g \colon A \to A'$ surjective, then we have the following commuting diagram:

and then $F'_n \circ F'_m \circ \mathbb{W}(g) = \mathbb{W}(g) \circ F_n \circ F_m = \mathbb{W}(g) \circ F_{nm} = F'_{nm} \circ \mathbb{W}(g)$ which implies $F'_n \circ F'_m$ since $\mathbb{W}(g)$ is surjective, hence an epi.

Lemma 1.16 $F_1 = id: W_S(A) \to W_S(A)$.

Proof: clearly, $\widetilde{F}_1 = \mathrm{id}_{A^S}$, now if A is torsion-free, the claim follows, and in the general case we can argue as before.

Definition 1.17 (teichmüller representative). The *teichmüller representative* is the map

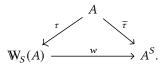
$$\tau \colon A \to \mathbb{W}_S(A)$$

defined by

$$(\tau(a))_m = \begin{cases} a, & \text{if } m = 1\\ 0, & \text{else} \end{cases}$$

Lemma 1.18 The teichmüller map is multiplicative.

PROOF: The map $\widetilde{\tau}: A \to A^S$; $(\widetilde{\tau}(a))_n = a^n$ is multiplicative and there is a commutative diagram



Indeed, $w_n(\tau(a)) = w_n((a, 0, 0, ...)) = a^n$ by definition of w_n .

1.3 The comonad structure of Witt vectors

We will need the following lemma:

Lemma 1.19 Let $m \in \mathbb{Z}$. If m is a non-zero divisor in A, then it is a non-zero divisor in $\mathbb{W}_S(A)$ as well.

PROOF: We can assume that S is finite, since $\mathbb{W}_S(A)$ is the projective limit of all $\mathbb{W}_T(A)$ where T is a finite subset of S. We will prove the Lemma by induction over |S|. If $S = \emptyset$, the statement is trivial, so let |S| = 1, this means that $S = \{n\}$ for some $n \in \mathbb{N}$, but then $\mathbb{W}_n(A) \cong A$ via w. Now for the induction step, let $n \in S$ be maximal and let $T = S - \{n\}$. Then $S/n = \{1\}$ and therefore we have a short exact sequence

$$0 \longrightarrow A \xrightarrow{V_n} \mathbb{W}_S(A) \xrightarrow{R_T^S} W_T(A) \longrightarrow 0$$

since V_n maps a to (0, ..., a) and R_T^S forgets the last coordinate. We can extend the sequence to the following commutative diagram:

$$0 \longrightarrow A \longrightarrow \mathbb{W}_{S}(A) \longrightarrow \mathbb{W}_{T}(A) \longrightarrow 0$$

$$\downarrow \cdot m \qquad \qquad \downarrow \cdot m \qquad \qquad \downarrow \cdot m$$

$$0 \longrightarrow A \longrightarrow \mathbb{W}_{S}(A) \longrightarrow \mathbb{W}_{T}(A) \longrightarrow 0$$

Now m being a non-zero divisor is equivalent to m being injective, but if the two outer vertical maps are injective, applying the snake lemma yields that the middle map has to be injective, too.

Corollary 1.20 If A is torsion-free, then $W_S(A)$ is torsion-free as well.

Definition 1.21. $W(A) := W_N(A)$

p-typical Witt vectors, big Witt vectors

For the construction of a natural transformation $\mathbb{W}(A) \to \mathbb{W}(\mathbb{W}(A))$ we want to use Lemma 1.5 again. Hence we first show:

Lemma 1.22 Let p be a prime number, let A be any ring. Then the ring homomorphism $F_p \colon \mathbb{W}(A) \to \mathbb{W}(A)$ satisfies $F_p(a) \equiv a^p \mod pA$.

PROOF: Suppose first, that $A = \mathbb{Z}[a_1, a_2, \dots]$ and let $a = (a_1, a_2, \dots)$. Since

$$\begin{split} F_p(a) &\equiv a^p & \mod p \mathbb{W}(A) \\ &\iff F_p(a) - a^p \equiv 0 & \mod p \mathbb{W}(A) \\ &\iff F_p(a) - a^p \in p \mathbb{W}(A) \end{split}$$

it suffices to show there exists $b \in \mathbb{W}(A)$ such that $F_p(a) - a^p = p \cdot b$. By Lemma 1.19, this element is unique. Applying the ghost map gives us:

$$w_n(F_p(a) - a^p) = w_n(F_p(a)) - w_n(a)^p = w_{pn}(a) - w_n(a)^p = \sum_{d \mid pn} d \cdot a_d^{pn/d} - (\sum_{d \mid n} d \cdot a_d^{n/d})^p$$

using Lemma 1.14. This is now congruent to 0 mod *pA*:

It follows that there exists $x = (x_n)_{n \in \mathbb{N}}$ such that

$$p \cdot x_n = w_n(F_p(a) - a^p) \iff x_n = \frac{1}{p} \cdot w_n(F_p(a) - a^p)$$
 (1.3)

We want to show that x = w(b) for some $b \in W(A)$. Then

$$w(p \cdot b) = p \cdot w(b) = p \cdot x = w(F_p(a) - a^p)$$

which implies by injectivity of w that $p \cdot b = F_p(a) - a^p$. So we want to use Lemma 1.5 again. Consider the unique ring homomorphism $\phi_l \colon A \to A$ which maps a_n to a_n^l . It satisfies $\phi_l(f) \equiv f^l \mod lA$. (indeed:). so by Lemma 1.5 it suffices to show:

$$x_n \equiv \phi_l(x_{n/l}) \mod l^{v_l(n)}$$

for all primes l, for all $n \in N$ with $l \mid n$. But this is equivalent to:

$$w_n(F_p(a) - a^p) \equiv \phi_l(w_{n/l}(F_p(a) - a^p)) \qquad \text{mod } l^{v_l(n)A} \quad \forall l \neq p, \forall n \in l\mathbb{N}$$

and

$$w_n(F_p(a) - a^p) \equiv \phi_p(W_{n/p}(F_p(a) - a^p)) \quad \text{mod } p^{v_p(n) + 1}A \quad \forall n \in p\mathbb{N}$$

(Using 1.3 we have for l = p:

$$\begin{aligned} x_n &\equiv \phi_p(x_{n/p}) \bmod p^{v_p(n)} A \iff p \cdot x_n \equiv p \cdot \phi_p(x_{n/p}) & \mod p^{v_p(n)+1} A \\ & \stackrel{1.3}{\Longleftrightarrow} w_n(F_p(a) - a^p) \equiv \phi_p(w_{n/p}(F_p(a) - a^p)) & \mod p^{v_p(n)+1} A \end{aligned}$$

and for $l \neq p$:

$$\begin{aligned} x_n &\equiv \phi_l(x_{n/l}) \bmod l^{v_l(n)} A \iff p \cdot x_n \equiv p \cdot \phi_l(x_{n/l}) & \mod l^{v_l(n)} A \\ & \stackrel{1.3}{\Longleftrightarrow} w_n(F_p(a) - a^p) \equiv \phi_l(w_{n/l}(F_p(a) - a^p)) & \mod l^{v_l(n)} A. \end{aligned}$$

indeed

indeed

For $l \neq p$, the statement follows directly from Lemma 1.5. So now let l = p, let $n \in p\mathbb{N}$. Then:

$$\begin{split} & w_n(F_p(a) - a^p) - \phi_p(w_{n/p}(F_p(a) - a^p)) \\ &= w_{pn}(a) - w_n(a)^p - \phi_p(w_n(a)) + \phi_p(w_{n/p}(a))^p \\ &= \sum_{d|pn} d \cdot a_d^{pn/d} - (\sum_{d|n} d \cdot a_d^{n/d})^p - \sum_{d|n} d \cdot a_d^{np/d} + (\sum_{d|n/p} d \cdot a_d^{n/d})^p \end{split}$$

using Lemma 1.14 for the first equality. Now if $d \mid pn, d \nmid n$, then $v_p(d) = v_p(n) + 1$, hence the first and third summand cancel each other out, and for the second and forth summand, using 1.2 and 1.4 again we have

$$\sum_{d|n} d \cdot a_d^{n/d} \equiv \sum_{d|n/p} d \cdot a_d^{n/d} \bmod p^{v_p(n)} A \implies (\sum_{d|n} d \cdot a_d^{n/d})^p \equiv (\sum_{d|n/p} d \cdot a_d^{n/d})^p \bmod p^{v_p(n)+1} A$$

which proves the claim. Now in the general case, let $a' \in \mathbb{W}(A')$. Then $F_p(a') = \mathbb{W}g(F_p(a)) = \mathbb{W}g(a^p + p \cdot r) = a'^p + p \cdot \mathbb{W}g(r)$ for some $r \in A$.

Proposition 1.23 There exists a unique natural transformation

$$\Delta \colon \mathbb{W}(A) \to \mathbb{W}(\mathbb{W}(A))$$

such that $w_n(\Delta(a)) = F_n(A)$ for all $a \in A, n \in \mathbb{N}$.

PROOF: As before, we can assume $A = \mathbb{Z}[a_n \mid n \in \mathbb{N}]$. By applying Corollary 1.20 twice, we get that the ghost map

$$w \colon \mathbb{W}(\mathbb{W}(A)) \to \mathbb{W}(A)^{\mathbb{N}}$$

is injective. Now by Lemma 1.22, $F_p \colon \mathbb{W}(A) \to \mathbb{W}(A)$ satisfies $F_p(a) \equiv a^p \mod p \mathbb{W}(A)$, hence we can use Lemma 1.5 again and just show that

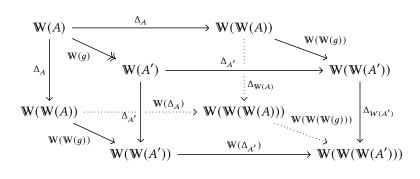
$$F_n(a) \equiv F_p(F_{n/p}(a)) \mod p^{v_p(n)} A$$

for all p prime, $n \in p\mathbb{N}$. But this immediately follows from Lemma 1.15, so there is a unique $\Delta(a) \in \mathbb{W}(\mathbb{W}(A))$ such that $w_n(\Delta(a)) = F_n(a)$.

Recall that by 1.8, $w_1 : \mathbb{W}(A) \to A$; $(a_n)_{n \in \mathbb{N}} \mapsto a_1$ is a natural transformation $\mathbb{W} \Rightarrow \mathrm{id}_{\mathrm{CRing}}$.

Theorem 1.24 The functor $W(_{-})$: CRing \to CRing together with the natural transformations $\Delta \colon W \Rightarrow W^2$, $w_1 \colon W \Rightarrow id_{CRing}$ form a comonad (W, w_1, Δ) .

PROOF: By naturality of Δ , we can assume that A is torsion-free, because if A' is an arbitrary ring, to show the associativity axiom, we can choose $g: A \to A'$ surjective as always and then consider the following cube:



Since all the other faces of the cube commute and W(g) is surjective, the front face has to commute as well. By the same reasoning we get the unitality axiom in the general case.

Proof of claim. evaluating the ghost coordinates leads to:

which by Proposition 1.23 simplifies to

$$\begin{array}{ccc}
\mathbb{W}(A) & \xrightarrow{F_A} & \mathbb{W}(A)^{\mathbb{N}} \\
\downarrow^{\Delta_A} & & \downarrow^{\Delta_A^{\mathbb{N}}} \\
\mathbb{W}(\mathbb{W}(A)) & \xrightarrow{F_{\mathbb{W}(A)}} & \mathbb{W}(\mathbb{W}(A))^{\mathbb{N}}
\end{array}$$

now it suffices to show for an arbitrary n that the following diagram commutes:

$$\mathbb{W}(A) \xrightarrow{(F_n)_A} \mathbb{W}(A)$$

$$\downarrow^{\Delta_A} \qquad \qquad \downarrow^{\Delta_A}$$

$$\mathbb{W}(\mathbb{W}(A)) \xrightarrow{(F_n)_{\mathbb{W}(A)}} \mathbb{W}(\mathbb{W}(A))$$

evaluating the ghost coordinates again, keeping in mind that by 1.20 and 1.6, $w \colon \mathbb{W}(\mathbb{W}(A)) \to \mathbb{W}(A)^{\mathbb{N}}$ is injective as well, we get

$$\begin{array}{ccc}
\mathbb{W}(A) & \xrightarrow{(F_{n})_{A}} & \mathbb{W}(A) \\
\downarrow^{\Delta_{A}} & & \downarrow^{\Delta_{A}} \\
\mathbb{W}(\mathbb{W}(A)) & \xrightarrow{(F_{n})_{\mathbb{W}(A)}} & \mathbb{W}(\mathbb{W}(A)) \\
\downarrow^{w} & & \downarrow^{w} \\
\mathbb{W}(A)^{\mathbb{N}} & \xrightarrow{(\widetilde{F}_{n})_{\mathbb{W}(A)}} & \mathbb{W}(A)^{\mathbb{N}}
\end{array}$$

using the fact that $\begin{array}{c} \mathbb{W}(\mathbb{W}(A)) \\ & \stackrel{w}{\longrightarrow} & \text{commutes, we can simplify the situation to} \\ \mathbb{W}(A)^{\mathbf{N}} \xrightarrow{(\widetilde{F}_n)_{\mathbb{W}(A)}} & \mathbb{W}(A)^{\mathbf{N}} \end{array}$

$$\begin{array}{ccc}
\mathbb{W}(A) & \xrightarrow{F_n} & \mathbb{W}(A) \\
\downarrow^{\Delta_A} & \xrightarrow{F_{nm}} & \downarrow^{F_m} \\
\mathbb{W}(\mathbb{W}(A)) & \xrightarrow{w_{nm}} & \mathbb{W}(A)
\end{array}$$

which can again be simplified to

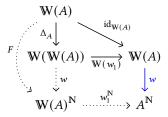
$$\mathbb{W}(A) \xrightarrow{F_n} \mathbb{W}(A)$$

$$\downarrow_{F_{nm}} \qquad \qquad \downarrow_{F_m} \qquad \qquad \mathbb{W}(A)$$

now this commutes by Lemma 1.15, hence we are finished.

Claim. W(A) $d_{W(A)}$ commutes. $W(W(A)) \xrightarrow{W(W_A)} W(A)$

Proof of claim. evaluate the ghost coordinates:



we can then simplify to

$$\begin{array}{c}
W(A) \\
\downarrow \\
W(A)^{\mathbb{N}} \xrightarrow{w^{\mathbb{N}}} A^{\mathbb{N}}
\end{array}$$

now it suffices to show for all n that

$$\begin{array}{c|c}
W(A) \\
F_n \downarrow & \stackrel{w_n}{\longrightarrow} \\
W(A) & \stackrel{w_1}{\longrightarrow} A
\end{array}$$

commutes, which is true by Lemma 1.14.

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Proof of claim. Let $a \in W(A)$. $w_1(\Delta_A(a)) = F_1(a) = a$, since $F_1 = \mathrm{id}_{W(A)}$ by Lemma 1.16.

This concludes the proof.

1.4 The Teichmüller map induces a morphism of comonads

Now consider the *teichmüller map* $\tau: A \to W(A); a \mapsto (a, 0, 0, 0, \dots)$. It is multiplicative and preserves the unit, hence it extends uniquely to a ring homomorphism

$$\tau \colon \mathbb{Z}A \to \mathbb{W}(A)$$

Theorem 1.25 $\tau: \mathbb{Z}A \to \mathbb{W}(A)$ is a morphism of comonads.

PROOF: We need to show that the following diagrams commute:

$$\mathbb{Z}A \xrightarrow{\tau_A} \mathbb{W}(A) \qquad \mathbb{Z}A \xrightarrow{\omega_A} \mathbb{Z}\mathbb{Z}A$$

$$\downarrow^{(w_1)_A} \qquad \qquad \downarrow^{\tau_A} \qquad \downarrow^{\tau \otimes \tau}$$

$$\mathbb{W}(A) \xrightarrow{\Delta_A} \mathbb{W}(\mathbb{W}(A))$$

By the universal property of $\mathbb{Z}A$, it suffices to consider elements of the form [a] for $a \in A$. For the first diagram: $w_1(\tau([a])) = a = \varepsilon([a])$. For the second diagram, arguing as above, it suffices to show commutativity after evaluating the ghost coordinates:

$$\mathbb{Z}A \xrightarrow{\omega_A} \mathbb{Z}\mathbb{Z}A$$

$$\downarrow^{\tau_A} \qquad \downarrow^{\tau \otimes \tau}$$

$$\mathbb{W}(A) \xrightarrow{\Delta_A} \mathbb{W}(\mathbb{W}(A))$$

$$\downarrow^{w_n}$$

$$\downarrow^{w_n}$$

$$\mathbb{W}(A)$$

Note that $F_n(\tau(a)) = \tau(a^n)$ since evaluating the ghost coordinates shows that the equation holds if A is torsion-free, and hence, in general. Using this, we see that $w_n(\tau \otimes \tau(\omega([a]))) = w_n(\tau \otimes \tau([[a]])) = w_n(((a,0,\ldots),0,\ldots)) = (a,0,\ldots)^n = (a^n,0,\ldots)$ and $F_n(\tau([a])) = F_n((a,0,\ldots)) = (a^n,0,\ldots)$

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