

# 1 Monads and Comonads

Monads were first introduced in 1958 under the name *standard construction* or *construction fondamentale* by Roger Godement in [God58, Appendix, 3.], where he used them for applications in sheaf cohomology. They were also used in algebraic topology and homotopy theory, for example in [Hub61]. In the early category theory-literature monads were called *triples*, other names were *monoid*, *dual standard construction* and *triad*. The name *monad* first appeared in [Bén67], the exact reason for this name being unclear today, although it surely inspired by *monoids*, which monads are related to. Monads are closely connected to adjunctions, as we will explore in this chapter, besides giving lots of examples, with many interesting examples coming from [Per21]. In computer science, monads play an important role in functional programming. This chapter is based on [Mac98, Chapter VI], which is the standard resource for first learning about monads and comonads. Some of the proofs are taken from [HST14, Chapter II.3] instead. Another great exposition is [Per21, Chapter 5].

## 1.1 Definition of monads and comonads

A central notion in algebra is that of a *monoid*, that is, a set  $M$  equipped with a map  $\mu: M \times M \rightarrow M$ ;  $(a, b) \mapsto a \cdot b$  (often called *multiplication*) and an element  $e \in M$  such that the following two axioms hold:

$$\begin{aligned} (a \cdot b) \cdot c &= a \cdot (b \cdot c) && \text{for all } a, b, c \in M. && \text{(associativity)} \\ e \cdot a &= a \cdot e = a && \text{for all } a \in M && \text{(identity element)} \end{aligned}$$

We can give an equivalent definition in terms of maps and commuting diagrams as follows: A *monoid* is a set  $M$  together with two functions

$$\mu: M \times M \rightarrow M, \quad e: \{*\} \rightarrow M$$

such that the following diagrams commute:

$$\begin{array}{ccc} M \times M \times M & \xrightarrow{\text{id} \times \mu} & M \times M \\ \downarrow \mu \times \text{id} & & \downarrow \mu \\ M \times M & \xrightarrow{\mu} & M \end{array} \qquad \begin{array}{ccccc} \{*\} \times M & \xrightarrow{e \times \text{id}} & M \times M & \xleftarrow{\text{id} \times e} & M \times \{*\} \\ & \searrow l & \downarrow \mu & \swarrow r & \\ & & M & & \end{array}$$

where  $\text{id}$  is the identity on  $m$ , and  $l$  and  $r$  are the canonical bijections

$$\begin{aligned} l: \{*\} \times M &\rightarrow M; l(*, m) = m \\ r: M \times \{*\} &\rightarrow M; r(m, *) = m. \end{aligned}$$

Explicitly, the first diagram means that for all  $a, b, c \in M$ :

$$(a \cdot b) \cdot c = a \cdot (b \cdot c) \quad \text{for all } a, b, c \in M.$$

which is verbatim the associativity axiom, the second diagram means that for all  $m \in M$ :

$$e(*) \cdot m = l(*, m) = m = r(m, *) = m \cdot e(*)$$

which is clearly the identity element axiom for the element  $e(*)$ . This motivates the following definition:

**Definition 1.1** (monad). A *monad*  $(T, \mu, \eta)$  in a category  $\mathbf{X}$  consists of

- an endofunctor  $T: \mathbf{X} \rightarrow \mathbf{X}$
- a natural transformation  $\eta: \text{id}_{\mathbf{X}} \Rightarrow T$
- a natural transformation  $\mu: T^2 \Rightarrow T$

such that the following diagrams commute:

$$\begin{array}{ccc} T^3 & \xrightarrow{T\mu} & T^2 \\ \mu T \downarrow & & \downarrow \mu \\ T^2 & \xrightarrow{\mu} & T \end{array}$$

(associativity)

$$\begin{array}{ccccc} T & \xrightarrow{\eta T} & T^2 & \xleftarrow{T\eta} & T \\ & \searrow \text{id}_T & \downarrow \mu & \swarrow \text{id}_T & \\ & & T & & \end{array}$$

(unitality)

In terms of components, associativity and unitality mean that for every object  $x$  of  $\mathbf{X}$  the following diagrams commute:

$$\begin{array}{ccc} T(T(Tx)) & \xrightarrow{T(\mu_x)} & T(Tx) \\ \mu_{Tx} \downarrow & & \downarrow \mu_x \\ T(Tx) & \xrightarrow{\mu_x} & Tx \end{array}$$

(associativity)

$$\begin{array}{ccccc} Tx & \xrightarrow{\eta_{Tx}} & T(Tx) & \xleftarrow{T(\eta_x)} & Tx \\ & \searrow \text{id}_{Tx} & \downarrow \mu_x & \swarrow \text{id}_{Tx} & \\ & & Tx & & \end{array}$$

(unitality)

**Example 1** (preorder). Recall: A *preorder*  $(\mathbf{P}, \leq)$  is a category with  $\mathbf{P}$  as objects and a morphism between  $X$  and  $Y$  iff  $X \leq Y$ . A functor  $T: \mathbf{P} \rightarrow \mathbf{P}$  is thus a monotonic function  $\mathbf{P} \rightarrow \mathbf{P}$  ( $x \leq y \implies Tx \leq Ty$ ). The existence of the natural transformations  $\eta$  is equivalent

to

$$x \leq Tx \quad \forall x \in \mathbf{P}$$

and the existence of  $\mu$  is equivalent to

$$T(Tx) \leq Tx \quad \forall x \in \mathbf{P}$$

because there is at most one morphism  $x \rightarrow y$ , so the necessary diagrams commute trivially.

Now suppose  $\mathbf{P}$  is a *partial order*, i.e.  $x \leq y \leq x \implies x = y \quad \forall x, y \in \mathbf{P}$ .

Then:

$$\begin{aligned} x \leq Tx &\implies Tx \leq T(Tx) \\ T(Tx) \leq Tx &\implies Tx = T(Tx) \end{aligned}$$

so a monad  $T$  in a partial order  $\mathbf{P}$  is a *closure operation* in  $\mathbf{P}$ , i.e. a monotonic function  $T: \mathbf{P} \rightarrow \mathbf{P}$  with  $x \leq Tx$  and  $T(Tx) = Tx \quad \forall x \in \mathbf{P}$ .

Now every topological space  $X$  induces a partial order  $\mathbf{P} = (\mathcal{P}(X), \subseteq)$ . Here an example for a closure operation is taking the topological closure  $A \mapsto \bar{A}$ , since it holds for all  $A \subseteq X$  that  $A \subseteq \bar{A}$  and  $\bar{\bar{A}} = \bar{A}$ .

**Example 2** (M-action monad). Let  $(M, \cdot, 1)$  be a monoid. Then for each set  $X$  we can form the set  $X \times M$  and for a map  $f: X \rightarrow Y$  we have a map  $f \times \text{id}_M: X \times M \rightarrow Y \times M; (x, m) \mapsto (f(x), m)$ . This is functorial and the functor canonically has the structure of a monad, induced by the monoid structure of  $M$ .

- The unit  $\eta$  is defined by  $\eta_X: X \rightarrow X \times M; x \mapsto (x, 1)$
- The multiplication  $\mu$  is defined by  $\mu_X: X \times M \times M \rightarrow X \times M; (x, m, n) \mapsto (x, m \cdot n)$

These are clearly natural maps and the monad axioms follow directly from the monoid axioms for  $M$ , if we look at the corresponding diagrams:

$$\begin{array}{ccc} X \times M \times M \times M & \xrightarrow{\mu_X \times \text{id}_M} & X \times M \times M \\ \downarrow \mu_{X \times M} & & \downarrow \mu_X \\ X \times M \times M & \xrightarrow{\mu_X} & X \times M \end{array} \qquad \begin{array}{ccccc} X \times M & \xrightarrow{\eta_X \times M} & X \times M \times M & \xleftarrow{\eta_X \times \text{id}_M} & X \times M \\ & \searrow \text{id}_{X \times M} & \downarrow \mu_X & \swarrow \text{id}_{X \times M} & \\ & & X \times M & & \end{array}$$

The associativity axiom means that  $(m \cdot n) \cdot k = m \cdot (n \cdot k)$  which is just the associativity axiom for the monoid  $M$ , while unitality means that  $1 \cdot m = m = m \cdot 1$  which holds by the identity element axiom for  $M$ . We will call this monad on **Set** the *M-action monad*, the reason for this name will be clear once we look at it's algebras, see Section 1.2.

**Example 3** (Maybe monad). The *Maybe monad*  $Y: \mathbf{Set} \rightarrow \mathbf{Set}$  is defined by  $X \mapsto X \cup \{*\}$  where  $f: X \rightarrow Y$  gets mapped to the function  $Y(f): X \cup \{*\} \rightarrow Y \cup \{*\}$  which maps  $x$  to  $f(x)$  and  $*$  to  $*$ .

- $\eta_X: X \rightarrow X \cup \{*\}; x \mapsto x$
- $\mu_X: X \cup \{*_1\} \cup \{*_2\} \rightarrow X \cup \{*\}; x \mapsto x, *_1 \mapsto *, *_2 \mapsto *$

finish

**Definition 1.2** (comonad). A *comonad*  $(L, \varepsilon, \omega)$  in a Category **A** consists of

- an endofunctor  $L: \mathbf{A} \rightarrow \mathbf{A}$
- a natural transformation  $\varepsilon: L \Rightarrow \text{id}_{\mathbf{A}}$
- a natural transformation  $\omega: L \Rightarrow L^2$

such that the following diagrams commute:

$$\begin{array}{ccc}
 L & \xrightarrow{\omega} & L^2 \\
 \omega \downarrow & & \downarrow L\omega \\
 L^2 & \xrightarrow{\omega L} & L^3
 \end{array}$$

(coassociativity)

$$\begin{array}{ccccc}
 & & L & & \\
 & \swarrow \text{id}_L & \downarrow \omega & \searrow \text{id}_L & \\
 L & \xleftarrow{\varepsilon L} & L^2 & \xrightarrow{L\varepsilon} & L
 \end{array}$$

(counitality)

In terms of components, this means that for every object  $x$  of **A** the following diagrams commute:

$$\begin{array}{ccc}
 Lx & \xrightarrow{\omega_x} & L(Lx) \\
 \omega_x \downarrow & & \downarrow L(\omega_x) \\
 L(Lx) & \xrightarrow{\omega_{Lx}} & L(L(Lx))
 \end{array}$$

(coassociativity)

$$\begin{array}{ccccc}
 & & Lx & & \\
 & \swarrow \text{id}_{Lx} & \downarrow \omega_x & \searrow \text{id}_{Lx} & \\
 Lx & \xleftarrow{\varepsilon_{Lx}} & L(Lx) & \xrightarrow{L(\varepsilon_x)} & Lx
 \end{array}$$

(counitality)

**Example 4** (Reader comonad). Let  $E$  be a set. Define a functor  $C_E: \mathbf{Set} \rightarrow \mathbf{Set}$  by  $C_E(X) = X \times E$  and, given  $f: X \rightarrow Y$ ,  $C_E(f) = f \times \text{id}_E: X \times E \rightarrow Y \times E$ . We can view  $E$  as "extra information" and give  $C_E$  a comonadic structure as follows:

- the counit  $\varepsilon_X: X \times E \rightarrow X; (x, e) \mapsto x$  "forgets the extra information"
- the comultiplication  $\omega_X: X \times E \rightarrow X \times E \times E; (x, e) \mapsto (x, e, e)$  "copies the extra information".

Now the comonad axioms say that the following diagrams have to commute:

$$\begin{array}{ccc}
 X \times E & \xrightarrow{\omega_X} & X \times E \times E \\
 \omega_X \downarrow & & \downarrow \omega_X \times \text{id}_E \\
 X \times E \times E & \xrightarrow{\omega_{X \times E}} & X \times E \times E \times E
 \end{array}$$

$$\begin{array}{ccccc}
 & & X \times E & & \\
 & \swarrow \text{id}_{X \times E} & \downarrow \omega_X & \searrow \text{id}_{X \times E} & \\
 X \times E & \xleftarrow{\varepsilon_{X \times E}} & X \times E \times E & \xrightarrow{\varepsilon_X \times \text{id}_E} & X \times E
 \end{array}$$

The first diagram commutes, because for a tuple  $(x, e, e)$ , copying the second or third element produces the same tuple. The second diagram commutes, because copying the extra information and the deleting either one of the copies gives the same result. The resulting comonad  $(C_E, \varepsilon, \omega)$  on **Set** is called the *reader comonad*. Note that as a functor, it is almost the same as the *writer comonad*, but we gave it kind of a dual structure.

We now consider another example of a comonad; the *free monoid comonad*.

**Definition 1.3** (monoid ring). Let  $R$  be a ring and let  $G$  be a monoid. The *monoid ring* of  $G$  over  $R$ , denoted  $R[G]$  or  $RG$  is the set of formal finite sums  $\sum_{g \in G} r_g \cdot g$  with addition and multiplication defined by:

$$\begin{aligned} \left( \sum_{g \in G} r_g \cdot g \right) + \left( \sum_{g \in G} s_g \cdot g \right) &:= \sum_{g \in G} (r_g + s_g) \cdot g \\ \left( \sum_{g \in G} r_g \cdot g \right) \cdot \left( \sum_{g \in G} s_g \cdot g \right) &:= \sum_{g \in G} \left( \sum_{k \cdot l = g} r_k \cdot s_l \right) \cdot g \end{aligned}$$

**Example 5.**  $R = \mathbb{R}, G = \{x^n \mid n \in \mathbb{N}\} \implies RG = \mathbb{R}[X]$

**Remark 1.4.**  $R[G]$  together with the ring homomorphism  $\alpha: R \rightarrow R[G]; r \mapsto r \cdot 1$  and the monoid homomorphism  $\beta: G \rightarrow R[G]; g \mapsto 1 \cdot g$  enjoys the following universal property:

$$\alpha(r) \cdot \beta(g) = \beta(g) \cdot \alpha(r) \quad \forall r \in R, g \in G$$

and if  $(S, \alpha', \beta')$  is another such triple with  $\alpha'(r) \cdot \beta'(g) = \beta'(g) \cdot \alpha'(r) \quad \forall r \in R, g \in G$ , there is a unique monoid homomorphism  $\gamma: R[G] \rightarrow S$  such that the following diagram commutes:

$$\begin{array}{ccccc} & & S & & \\ & \nearrow \alpha' & \uparrow \gamma & \nwarrow \beta' & \\ R & \xrightarrow{\alpha} & R[G] & \xleftarrow{\beta} & G \end{array}$$

Here,  $\gamma$  is defined by  $\sum_{g \in G} r_g \cdot g \mapsto \sum_{g \in G} \alpha'(r_g) \cdot \beta'(g)$ .

**Example 6.** Let  $S$  be a ring,  $G$  be a monoid. Since there is a unique ring homomorphism  $\mathbb{Z} \rightarrow S$ , each monoid homomorphism  $G \rightarrow S$  induces a unique ring homomorphism  $\mathbb{Z}G \rightarrow S$  such that the following commutes:

$$\begin{array}{ccc} G & \xrightarrow{\quad} & S \\ & \searrow & \uparrow \\ & & \mathbb{Z}G \end{array}$$

Now if  $H$  is another monoid and  $f: G \rightarrow H$  a monoid morphism,  $G \xrightarrow{f} H \rightarrow \mathbb{Z}H$  is a monoid homomorphism, hence it extends uniquely to  $f: \mathbb{Z}G \rightarrow \mathbb{Z}H, \sum_{g \in G} r_g \cdot g \mapsto$

$$\sum_{g \in G} r_g \cdot f(g).$$

In this way, the free monoid ring construction over  $\mathbb{Z}$  is functorial.

Let  $G: \mathbf{CRing} \rightarrow \mathbf{CMon}$ ,  $(R, +, \cdot) \mapsto (R, \cdot)$  be the forgetful functor and let  $F: \mathbf{CMon} \rightarrow \mathbf{CRing}$  be the functor  $G \mapsto \mathbb{Z}G$ . Then the composition  $\mathbb{Z}[_] := F \circ G: \mathbf{CRing} \rightarrow \mathbf{CRing}$  is the functor  $R \mapsto \mathbb{Z}R$ , which we call the *free monoid ring functor*.

**Claim.**  $\mathbb{Z}[_]$  is a comonad on  $\mathbf{CRing}$ .

**PROOF:** Define the counit and comultiplication by

$$\begin{aligned} \varepsilon_R: \mathbb{Z}R &\rightarrow R \\ \sum_{r \in R} a_r \cdot [r] &\mapsto \sum_{r \in R} a_r \cdot r \end{aligned} \qquad \begin{aligned} \omega_R: \mathbb{Z}R &\rightarrow \mathbb{Z}\mathbb{Z}R \\ \sum_{r \in R} a_r \cdot [r] &\mapsto \left[ \sum_{r \in R} a_r \cdot [r] \right] \end{aligned}$$

those are clearly natural and the following diagrams commute:

$$\begin{array}{ccc} \mathbb{Z}R & \xrightarrow{\omega_x} & \mathbb{Z}\mathbb{Z}R \\ \omega_x \downarrow & & \downarrow L(\omega_x) \\ \mathbb{Z}\mathbb{Z}R & \xrightarrow{\omega_{Lx}} & \mathbb{Z}\mathbb{Z}\mathbb{Z}R \end{array} \qquad \begin{array}{ccccc} & & \mathbb{Z}R & & \\ & \swarrow \text{id}_{Lx} & \downarrow \omega_x & \searrow \text{id}_{Lx} & \\ \mathbb{Z}R & \xleftarrow{\varepsilon_{Lx}} & \mathbb{Z}\mathbb{Z}R & \xrightarrow{L(\varepsilon_x)} & \mathbb{Z}R \end{array}$$

□

**Remark 1.5.** We can define a variation of this, by setting  $\underline{\mathbb{Z}}R := \mathbb{Z}R / (0)$  where  $(0) = \{r \cdot 0 \mid r \in \mathbb{Z}R\}$  is the ideal generated by  $0 \in R$ .

**Lemma 1.6** For every object  $x$  in  $\mathbf{X}$ , the following diagram commutes:

$$\begin{array}{ccc} T(Tx) & \xrightarrow{T(\delta_x)} & T(T'x) \\ \downarrow \delta_{Tx} & & \downarrow \delta_{T'x} \\ T(T'x) & \xrightarrow{T'(\delta_x)} & T'(T'x) \end{array}$$

this means

$$\delta T' \circ T \delta = T' \delta \circ \delta T: T^2 \Rightarrow (T')^2.$$

We denote this natural transformation by  $\delta \otimes \delta$ , since this is actually the monoidal product of morphisms in the monoidal category of endofunctors on  $\mathbf{X}$ .

**PROOF:**  $\delta_x: Tx \rightarrow T'x$  is a ring homomorphism. Since  $\delta: T \Rightarrow T'$  is natural transformation, the square commutes. □

**Definition 1.7** (Morphism of monads). Let  $\mathbf{X}$  be a category, let  $(T, \eta, \mu)$  and  $(T', \eta', \mu')$  be monads in  $\mathbf{X}$ . We say that a natural transformation  $\delta: T \Rightarrow T'$  is a *morphism of monads* if it preserves the unit and the multiplication, i.e. the following diagrams commute:

$$\begin{array}{ccc}
 \text{id}_T & \xrightarrow{\eta} & T \\
 & \searrow \eta' & \downarrow \delta \\
 & & T'
 \end{array}
 \quad
 \begin{array}{ccc}
 T^2 & \xrightarrow{\mu} & T \\
 \delta \otimes \delta \downarrow & & \downarrow \delta \\
 T'^2 & \xrightarrow{\mu'} & T'
 \end{array}$$

(unit-preserving) (multiplication-preserving)

**Definition 1.8** (Morphism of comonads). Let  $\mathbf{A}$  be a category, let  $(L, \varepsilon, \omega)$  and  $(L', \varepsilon', \omega')$  be comonads in  $\mathbf{A}$ . We say that a natural transformation  $\delta: L \Rightarrow L'$  is a *morphism of monads* if it preserves the counit and the comultiplication, i.e. the following diagrams commute:

$$\begin{array}{ccc}
 L & \xrightarrow{\delta} & L' \\
 & \searrow \varepsilon & \downarrow \varepsilon' \\
 & & \text{id}_A
 \end{array}
 \quad
 \begin{array}{ccc}
 L & \xrightarrow{\omega} & L^2 \\
 \delta \downarrow & & \downarrow \delta \otimes \delta \\
 L' & \xrightarrow{\omega'} & L'^2
 \end{array}$$

(counit-preserving) (comultiplication-preserving)

**Example 7.** Consider the *subsingletons monad*  $\mathbb{P}^1: \mathbf{Set} \rightarrow \mathbf{Set}$ , which assigns to each set  $X$  the set of subsets of  $X$  containing *at most one* element, so an element of  $\mathbb{P}^1(X)$  is either  $\emptyset$  or a singleton  $\{x\}$ . For a function  $f: X \rightarrow Y$ , the induced function maps  $\emptyset$  to  $\emptyset$  and  $\{x\}$  to  $\{f(x)\}$ , compare this to the power set functor. If we define the unit  $\eta'$  by

$$\eta'_X: X \rightarrow \mathbb{P}^1(X); x \mapsto \{x\}$$

and the multiplication  $\mu'$  by

$$\mu'_X: \mathbb{P}^1(\mathbb{P}^1(X)) \rightarrow \mathbb{P}^1(X); \{\{x\}\} \mapsto \{x\}, \{\emptyset\} \mapsto \emptyset, \emptyset \mapsto \emptyset$$

then the resulting monad looks really similar to the *Maybe monad*. This is not a coincidence: the map

$$\delta_X: X \cup \{*\} \rightarrow \mathbb{P}^1(X); x \mapsto \{x\}, * \mapsto \emptyset$$

gives a natural isomorphism  $Y \Rightarrow \mathbb{P}^1$  which is indeed an isomorphism of monads. ausrechnen

The following theorem gives us a way to create many examples of monads and comonads. It was first proven in [Hub61].

**Theorem 1.9** (Every adjunction induces a monad and a comonad) *Let  $F \overset{\eta}{\dashv} G: \mathbf{B} \rightleftarrows \mathbf{A}$  be an adjunction. Then  $(GF, \eta, G\varepsilon F)$  is a monad on  $\mathbf{B}$  and  $(FG, \varepsilon, F\eta G)$  is a comonad on  $\mathbf{A}$ , which we call the monad respectively comonad induced by the adjunction.*

**PROOF:** We have to show that the first of the following diagrams commutes, but by removing  $G$  from the left and  $F$  from the right, it suffices to show that the right diagram commutes.

$$\begin{array}{ccc}
 GF\!G\!F\!G\!F & \xrightarrow{GF\!G\!F} & GF\!G\!F \\
 \Downarrow G\!F\!G\!F & & \Downarrow G\!F \\
 GF\!G\!F & \xrightarrow{G\!F} & GF
 \end{array}
 \qquad
 \begin{array}{ccc}
 FG\!F\!G & \xrightarrow{FG\!\varepsilon} & FG \\
 \Downarrow \varepsilon\!F\!G & & \Downarrow \varepsilon \\
 FG & \xrightarrow{\varepsilon} & \text{id}_B
 \end{array}$$

The second diagram now commutes by the interchange law for natural transformations. To show unitality we need to show that the following diagram commutes.

$$\begin{array}{ccccc}
 GF & \xrightarrow{\eta\!GF} & GF\!G\!F & \xleftarrow{GF\!\eta} & GF \\
 \searrow \text{id}_{GF} & & \Downarrow G\!F & & \swarrow \text{id}_{GF} \\
 & & GF & & 
 \end{array}$$

but this is essentially the diagrams stating the left and right ?? for the adjunction after applying  $F$  respectively  $G$ . The proof that  $(FG, \varepsilon, F\eta G)$  is a comonad on  $A$  is dual.  $\square$

Now that we know that every adjunction induces a monad, one may ask, if the converse is true, that is if every monad is induced by an adjunction. This can be reformulated to asking if the following category is non-empty:

**Definition 1.10** (Category of  $T$ -inducing adjunctions). Let  $\mathbf{X}$  be a category,  $T = (T, \eta, \mu)$  a monad on  $\mathbf{X}$ . The *category of  $T$ -inducing adjunctions*, denoted  $\text{Adj}(T)$ , has as objects adjunctions  $F \xrightarrow[\varepsilon]{\eta} G: \mathbf{X} \rightleftarrows \mathbf{Y}$  for some category  $\mathbf{Y}$  (Note that the unit  $\eta$  is fixed for every adjunction). A morphism between two adjunctions  $F \xrightarrow[\varepsilon]{\eta} G: \mathbf{X} \rightleftarrows \mathbf{Y}$  and  $F' \xrightarrow[\varepsilon']{\eta'} G': \mathbf{X} \rightleftarrows \mathbf{Y}'$  is a functor  $H: \mathbf{Y} \rightarrow \mathbf{Y}'$  making the following diagram commute:

$$\begin{array}{ccc}
 & & \mathbf{Y} \\
 & \nearrow F & \downarrow H \\
 \mathbf{X} & \xleftarrow{G} & \mathbf{Y}' \\
 & \searrow F' & \downarrow H \\
 & & \mathbf{Y}'
 \end{array}$$

where a diagram of this form is said to commute if the  $F$ -diagram and the  $G$ -diagram commute, i.e. we have  $HF = F'$  and  $G'H = G$ .

We will see that indeed there exists objects in this category and there are even multiple ways to induce a given monad  $T$ . The first one is a construction called the *Eilenberg-Moore-Category* due to S. Eilenberg and J. Moore in [EM65], which is not only useful for forming the adjunction.



## 1.2 The Eilenberg-Moore-Category of a monad

**Definition 1.11** (Eilenberg-Moore-Category). Let  $T = (T, \eta, \mu)$  be a monad in a category  $\mathbf{X}$ . A  $T$ -algebra is a pair  $(x, h)$  where  $x$  is an object of  $\mathbf{X}$  and  $h: Tx \rightarrow x$  is an arrow such that the following diagrams commute:

$$\begin{array}{ccc} T^2x & \xrightarrow{Th} & Tx \\ \downarrow \mu_x & & \downarrow h \\ Tx & \xrightarrow{h} & x \end{array} \qquad \begin{array}{ccc} x & \xrightarrow{\eta_x} & Tx \\ & \searrow id_x & \downarrow h \\ & & x \end{array}$$

We call  $h$  the *structure map* of  $(x, h)$ . A *morphism of  $T$ -algebras*  $f: (x, h) \rightarrow (x', h')$  is an arrow  $f: x \rightarrow x'$  such that

$$\begin{array}{ccc} Tx & \xrightarrow{Tf} & Tx' \\ \downarrow h & & \downarrow h' \\ x & \xrightarrow{f} & x' \end{array}$$

commutes. The set of all  $T$ -algebras together with their morphisms clearly form a category, which is called the *Eilenberg-Moore-Category* and denoted by  $\mathbf{X}^T$ .

**Example 8** ( $M$ -action monad). A  $T_M$ -algebra is a set  $X$  together with a map  $h: X \times M \rightarrow X$  such that

$$\begin{array}{ccc} X \times M \times M & \xrightarrow{h \times id_M} & X \times M \\ \downarrow \mu_X & & \downarrow h \\ X \times M & \xrightarrow{h} & X \end{array} \qquad \begin{array}{ccc} X & \xrightarrow{\eta_x} & X \times M \\ & \searrow id_x & \downarrow h \\ & & X \end{array}$$

commute. If we denote  $h(x, m)$  by  $(x.m)$ , this means that  $(x.m).n = x.(m \cdot n)$  and  $x.1 = x$ . So  $T_M$ -algebras are nothing but sets equipped with a right  $M$ -action. In particular, if  $M$  is a group, the  $T_M$ -algebras are just right  $M$ -sets.

**Example 9** (preorder). If  $T: \mathbf{P} \rightarrow \mathbf{P}$  is a monotonic function viewed as a monad, then a  $T$ -algebra is an object  $x \in \mathbf{P}$  with  $Tx \leq x$ . Since  $x \leq Tx$ , it follows that  $x = Tx$ , which means that a  $T$ -algebra is just a *closed element* in  $\mathbf{P}$ . In particular, if we consider  $A \mapsto \bar{A}$  in a topological space, the  $T$ -algebras are exactly the closed sets.

**Example 10** (Maybe monad). The category of  $Y$ -algebras is isomorphic to the category of pointed spaces  $\mathbf{Set}_*$ . Indeed: by definition, a  $Y$ -algebra is a pair  $(X, h)$  where  $h: X \cup \{*\} \rightarrow X$  and since

$$\begin{array}{ccc} X & \xrightarrow{\eta_x} & X \cup \{*\} \\ & \searrow id_x & \downarrow h \\ & & X \end{array}$$

all correct?  
right/left?

commutes,  $h|_X = \text{id}_X$ . Now define  $F: \mathbf{Set}^Y \rightarrow \mathbf{Set}_*$  by

$$\begin{aligned} (X, h) &\mapsto (X, h(*)) \\ f: (X, h) &\rightarrow (Y, i) \mapsto f: (X, h(*)) \rightarrow (Y, i(*)) \end{aligned}$$

and define  $G: \mathbf{Set}_* \rightarrow \mathbf{Set}^Y$  by

$$\begin{aligned} (X, x) &\mapsto (X, \text{id}_X^x) \\ f: (X, x) &\rightarrow (Y, y) \mapsto f: (X, \text{id}_X^x) \rightarrow (Y, \text{id}_Y^y) \end{aligned}$$

**finish** where  $\text{id}_X^x: X \cup \{*\} \rightarrow X$  is the identity on  $X$  and maps  $*$  to  $x$ .

**Theorem 1.12** (Every monad is defined by its  $T$ -algebras) *Let  $(T, \eta, \mu)$  be a monad in a category  $\mathbf{X}$ . Then there is an adjunction  $F^T \dashv G^T: \mathbf{X} \rightleftarrows \mathbf{X}^T$  such that the monad induced by this adjunction is  $(T, \eta, \mu)$ . We call this the Eilenberg-Moore-adjunction.*

**PROOF:** • Define  $F^T: \mathbf{X} \rightarrow \mathbf{X}^T$  by

$$\begin{array}{ccc} x & \longmapsto & (Tx, \mu_x) \\ \downarrow f & & \downarrow Tf \\ x' & \longmapsto & (Tx', \mu_{x'}) \end{array}$$

$(Tx, \mu_x)$  is indeed a  $T$ -algebra, since  $\mu_x$  is an arrow  $T^2x \rightarrow Tx$  and the diagrams

$$\begin{array}{ccc} T^3x & \xrightarrow{T(\mu_x)} & T^2x \\ \downarrow \mu_{Tx} & & \downarrow \mu_x \\ T^2x & \xrightarrow{\mu_x} & Tx \end{array} \qquad \begin{array}{ccc} Tx & \xrightarrow{\eta_{Tx}} & T^x \\ \searrow \text{id}_{Tx} & & \downarrow \mu_x \\ & & Tx \end{array}$$

are just the commuting diagrams for the associativity respectively left unitality axioms from the definition of a monad.

$Tf: (Tx, \mu_x) \rightarrow (Tx', \mu_{x'})$  is indeed a morphism of  $T$ -algebras, since the commutativity of

$$\begin{array}{ccc} T^2x & \xrightarrow{T^2(f)} & T^2x' \\ \downarrow \mu_x & & \downarrow \mu_{x'} \\ Tx & \xrightarrow{T(f)} & Tx' \end{array}$$

is given by naturality of  $\mu$ . The functoriality of  $F^T$  follows from the functoriality of  $T$ .

- Define  $G^T : \mathbf{X}^T \rightarrow \mathbf{X}$  by

$$\begin{array}{ccc} (x, h) & \longmapsto & x \\ \downarrow f & & \downarrow f \\ (x', h') & \longmapsto & x' \end{array}$$

so  $G$  is just the forgetful functor.

**CLAIM.**  $G^T \circ F^T = T$  and  $F^T G^T(x, h) = (Tx, \mu_x)$ .

*Proof of claim.* Let  $x \in \mathbf{X}$ . Then  $G^T(F^T(x)) = G^T(Tx, \mu_x) = Tx$ . Now let  $f: x \rightarrow y$ . Then  $G^T(F^T(f)) = G^T(Tf) = Tf$ . Finally,  $F^T G^T(x, h) = F^T(x) = (Tx, \mu_x)$ .  $\square$

- So we can set

$$\eta^T := \eta: \text{id}_{\mathbf{X}} \Rightarrow G^T F^T$$

as the unit and we can define the counit  $\varepsilon^T: F^T G^T \Rightarrow \text{id}_{\mathbf{X}^T}$  by

$$\varepsilon_{(x, h)}^T := h: (Tx, \mu_x) \rightarrow (x, h).$$

$h$  is a morphism of  $T$ -algebras because  $(x, h)$  is a  $T$ -algebra, since both statements mean that the left of the following two diagrams commutes.

$$\begin{array}{ccc} T^2x & \xrightarrow{Th} & Tx \\ \downarrow \mu & & \downarrow h \\ T & \xrightarrow{h} & x \end{array} \qquad \begin{array}{ccc} Tx & \xrightarrow{Tf} & Tx' \\ \downarrow h & & \downarrow h' \\ x & \xrightarrow{f} & x' \end{array}$$

$\varepsilon^T$  is natural, because if  $f: (x, h) \rightarrow (x', h')$  is a morphism of  $T$ -algebras, naturality means that the right diagram above commutes, but this is exactly the definition of  $f$  being a morphism of  $T$ -algebras.

- To show the ??, we have to show that

$$\begin{array}{ccc} Tx & \xrightarrow{T\eta_x} & T^2x \\ & \searrow \text{id}_{Tx} & \downarrow \mu_x \\ & & Tx \end{array} \qquad \begin{array}{ccc} x & \xrightarrow{\eta_x} & Tx \\ & \searrow \text{id}_x & \downarrow h \\ & & x \end{array}$$

commute, but the first diagram commutes by the right unitality law for the monad  $T$ , the second one commutes, since  $(x, h)$  is a  $T$ -algebra.

- The induced monad of the adjunction now has unit  $\eta^T$  and multiplication  $\mu^T = G^T \varepsilon^T F^T$ . But  $G^T F^T = T$  and  $\eta^T = \eta$  is already shown and  $\mu_x^T = (G^T \varepsilon^T)_{F^T x} = (G^T \varepsilon^T)_{(Tx, \mu_x)} = G^T(\mu_x) = \mu_x$ .  $\square$

**Theorem 1.13** (Comparison of adjunctions with algebras) *Let  $F \dashv G: \mathbf{X} \rightleftarrows \mathbf{A}$  be an adjunction,  $T = (GF, \eta, G\eta F)$  the monad it defines in  $\mathbf{X}$ . Then there is unique functor  $K: \mathbf{A} \rightarrow \mathbf{X}^T$  such that the following diagram commutes:*

$$\begin{array}{ccc}
 & & \mathbf{A} \\
 & \nearrow F & \downarrow K \\
 \mathbf{X} & \xleftarrow{G} & \mathbf{X}^T \\
 & \nwarrow G^T & \\
 & & \mathbf{X}^T
 \end{array}$$

*In other words, the Eilenberg-Moore-adjunction  $F^T \dashv G^T: \mathbf{X} \rightleftarrows \mathbf{X}^T$  is a terminal object in  $\text{Adj}(T)$ .*

**PROOF:** Existence: For  $f: a \rightarrow a'$  in  $\mathbf{A}$  we define  $K$  by:

$$\begin{aligned}
 Ka &= (Ga, G(\varepsilon_a)) \\
 Kf &= Gf: (Ga, G(\varepsilon_a)) \rightarrow (Ga', G(\varepsilon_{a'}))
 \end{aligned}$$

We have to show that this is well-defined.

**CLAIM 0.**  $Ka$  is a  $T$ -algebra.

*Proof of claim.*  $Ka$  is the arrow  $GFGFa \xrightarrow{G(\varepsilon_a)} Ga$  and we need to show that the following diagrams commute:

$$\begin{array}{ccc}
 GFGFa & \xrightarrow{GFG(\varepsilon_a)} & GFGa \\
 \downarrow G(\varepsilon_{FGa}) & & \downarrow G(\varepsilon_a) \\
 GFGa & \xrightarrow{G(\varepsilon_a)} & Ga
 \end{array}
 \qquad
 \begin{array}{ccc}
 Ga & \xrightarrow{\eta_{Ga}} & GFGa \\
 \searrow \text{id}_{Ga} & & \downarrow G(\varepsilon_a) \\
 & & Ga
 \end{array}$$

The second diagram is just one of the ?? for the adjunction. The first diagram is the image under  $G$  of:

$$\begin{array}{ccc}
 FGFGa & \xrightarrow{FG(\varepsilon_a)} & FGa \\
 \downarrow \varepsilon_{FGa} & & \downarrow \varepsilon_a \\
 FGa & \xrightarrow{\varepsilon_a} & a
 \end{array}$$

which commutes by 1.6. //

**CLAIM 1.**  $Kf$  is a morphism of  $T$ -algebras.

*Proof of claim 1.* We have to show that the first of the following two diagrams commutes:

$$\begin{array}{ccc}
 GFGa & \xrightarrow{G(\varepsilon_a)} & Ga \\
 \downarrow GFG(f) & & \downarrow G(f) \\
 GFGa' & \xrightarrow{G(\varepsilon_{a'})} & Ga'
 \end{array}
 \qquad
 \begin{array}{ccc}
 FGa & \xrightarrow{\varepsilon_a} & a \\
 \downarrow FG(f) & & \downarrow f \\
 FGa' & \xrightarrow{\varepsilon_{a'}} & a'
 \end{array}$$

but the first diagram is the image of the second diagram under  $G$ , which commutes by naturality of  $\varepsilon: FG \Rightarrow \text{id}_A$ . //

Functoriality of  $K$  follows from the Functoriality of  $G$ . For the commutativity of the diagram in the statement, let  $f: a \rightarrow a'$  and  $g: x \rightarrow x'$  be morphisms. Then the  $G$ -diagram commutes, since we have:

$$\begin{aligned}
 G^T K a &= G^T (Ga, G(\varepsilon_a)) = Ga \\
 G^T K(f) &= G^T (Gf) = Gf
 \end{aligned}$$

and for the  $F$ -diagram we compute:

$$\begin{aligned}
 KF x &= (Gf x, G(\varepsilon_{Fx})) = (Tx, \mu_x) = F^T x \\
 KF(g) &= GF(g) = T(g) = F^T(g)
 \end{aligned}$$

Uniqueness:  $G^T K = G \implies$  for  $f: a \rightarrow a'$ ,  $K(f) = G(f)$  and  $Ka$  has to be of the form  $(Ga, h)$  for some structure map  $h$ . We will show that the commutativity of the two diagrams implies  $h = G(\varepsilon_a)$ . For that we need the following

**CLAIM 2.**  $K(\varepsilon_a) = \varepsilon_{Ka}^T$  for all  $a \in A$ .

*Proof of claim 2.* Denote by  $\phi, \psi, \phi^T, \psi^T$  the natural Hom-isomorphisms from the adjunctions  $F \dashv G$  respectively  $F^T \dashv G^T$ . The left of the following diagrams commutes, since going the upper way maps  $g$  to  $G(g) \circ \eta_x$ , while going the lower way maps it to  $GK(g) \circ \eta_x^T = G(g) \circ \eta_x$ .

$$\begin{array}{ccc}
 \text{Hom}_A(Fx, a) & \xrightarrow[\cong]{\phi_{a,x}} & \text{Hom}_X(x, Ga) \\
 \downarrow K & & \parallel \\
 \text{Hom}_{X^T}(KFx, Ka) & & \\
 \parallel & & \\
 \text{Hom}_{X^T}(F^T x, Ka) & \xrightarrow[\cong]{\phi_{Ka,x}^T} & \text{Hom}_X(x, G^T Ka)
 \end{array}
 \qquad
 \begin{array}{ccc}
 \text{Hom}_A(Fx, a) & \xleftarrow[\cong]{\psi_{a,x}} & \text{Hom}_X(x, Ga) \\
 \downarrow K & & \parallel \\
 \text{Hom}_{X^T}(KFx, Ka) & & \\
 \parallel & & \\
 \text{Hom}_{X^T}(F^T x, Ka) & \xleftarrow[\cong]{\psi_{Ka,x}^T} & \text{Hom}_X(x, G^T Ka)
 \end{array}$$

This means that the right diagram, which is just the inverses, commutes as well. Now let  $x := Ga$  and chase  $\text{id}_{Ga}$  in the the right diagram to see that  $K(\varepsilon_a) = \varepsilon_{Ka}^T$ . //

By definition of  $\varepsilon^T$ , it follows that

$$G(\varepsilon_a) = K(\varepsilon_a) = \varepsilon_{Ka}^T = h.$$

□

### 1.3 The Kleisli category of a monad

There is another way to induce a monad by an adjunction, which was introduced by Heinrich Kleisli in [Kle65]:

**Definition 1.14** (Kleisli category). Let  $\mathbf{X}$  be a category,  $T = (T, \eta, \mu)$  be a monad in  $\mathbf{X}$ . The *Kleisli category*  $\mathbf{X}_T$  is defined by

- objects the same as in  $\mathbf{X}$ , but we relabel  $x$  to  $x_T$  for all  $x \in \mathbf{X}$ .
- for  $x_T, y_T \in \mathbf{X}_T$ ,  $f: x \rightarrow Ty$  is a morphism which we denote by  $f^b: x_T \rightarrow y_T$ .
- composition will be denoted by  $\bullet$  for distinction and is defined by

$$g^b \bullet f^b := (\mu_z \circ Tg \circ f)^b: x_T \rightarrow z_T$$

for  $f^b: x_T \rightarrow y_T, g^b: y_T \rightarrow z_T$ .

This is indeed again a morphism:  $x \xrightarrow{f} Ty \xrightarrow{Tg} T^2z \xrightarrow{\mu_z} Tz$

**Claim.** *This defines a category.*

*Proof of claim.* associativity: Let  $x_T \xrightarrow{f^b} y_T \xrightarrow{g^b} z_T \xrightarrow{h^b} w_T$  be objects and morphisms in the Kleisli category.

$$\begin{aligned} (h^b \bullet g^b) \bullet f^b &= (\mu_w \circ Th \circ g)^b \bullet f^b \\ &= (\mu_w \circ T(\mu_w \circ Th \circ g) \circ f)^b \\ &= (\mu_w \circ T\mu_w \circ T^2h \circ Tg \circ f)^b. \end{aligned}$$

Now the associativity axiom for the monad  $T$  states that

$$\begin{array}{ccc} T(T(Tw)) & \xrightarrow{T(\mu_w)} & T(Tw) \\ \mu_{Tw} \downarrow & & \downarrow \mu_w \\ T(Tw) & \xrightarrow{\mu_w} & Tw \end{array}$$

commutes, hence

$$(\mu_w \circ T\mu_w \circ T^2h \circ Tg \circ f)^b = (\mu_w \circ \mu_{Tw} \circ T^2h \circ Tg \circ f)^b$$

By naturality of  $\mu$ , the diagram

$$\begin{array}{ccc} T^2z & \longrightarrow & T^3w \\ \downarrow & & \downarrow \\ Tz & \longrightarrow & T^2w \end{array}$$

commutes, so it follows that

$$\begin{aligned} (\mu_w \circ \mu_{Tw} \circ T^2 h \circ Tg \circ f)^b &= (\mu_w \circ Th \circ \mu_z \circ Tg \circ f)^b \\ &= h^b \bullet (g^b \bullet f^b) \end{aligned}$$

identity axiom: Let  $f^b: x_T \rightarrow y_T$  be a morphism.

$$f^b \bullet (\eta_x)^b = (\mu_x \circ Tf \circ \eta_x)^b = (\mu_x \circ \eta_{Ty} \circ f)^b = (\text{id}_{Ty} \circ f)^b = f^b$$

where the second equality follows from the naturality of  $\eta$  and the third equality is due to the left unitality law for  $T$ .

$$(\eta_y)^b \bullet f^b = (\mu_y \circ T\eta_y \circ f)^b = (\text{id}_{Ty} \circ f)^b = f^b$$

where the second equality is due to the right unitality law for  $T$ . This proves that for  $x_T \in X_T$  we have  $\text{id}_{x_T} = (\eta_x)^b \in \text{Hom}_{X_T}(x_T, x_T)$   $\square$

beispiel

**Theorem 1.15** *Let  $(T, \eta, \mu)$  be a monad in a category  $X$ . Then there is an adjunction  $F_T \dashv G_T: X \rightleftarrows X_T$  such that the monad induced by this adjunction is  $(T, \eta, \mu)$ . We call this the Kleisli-adjunction.*

**PROOF:** • Define  $F_T: X \rightarrow X_T$  by

$$\begin{aligned} x &\mapsto x_T \\ f: x \rightarrow y &\mapsto (Tf \circ \eta_x)^b: x_T \rightarrow y_T \end{aligned}$$

Then  $F_T(\text{id}_x) = (\eta_x)^b$ , which is the identity on  $x_T$ . Now

$$F_T(g \circ f) = (T(g \circ f) \circ \eta_x)^b = (Tg \circ Tf \circ \eta_x)^b$$

$$\begin{aligned} F_T(g) \bullet F_T(f) &= (Tg \circ \eta_y)^b \bullet (Tf \circ \eta_x)^b && \text{(Definition of } F^T) \\ &= (\mu_z \circ T(Tg \circ \eta_y) \circ Tf \circ \eta_x)^b && \text{(Definition of Kleisli composition)} \\ &= (\mu_z \circ T^2 g \circ T\eta_y \circ Tf \circ \eta_x)^b && \text{(Functoriality of } T) \\ &= (Tg \circ \mu_z \circ T\eta_y \circ Tf \circ \eta_x)^b && \text{(Naturality of } \mu) \\ &= (Tg \circ Tf \circ \eta_x)^b && \text{(right unitality law for } T) \end{aligned}$$

This proves that  $F_T$  is a functor.

- Define  $G_T: \mathbf{X}_T \rightarrow \mathbf{X}$  by

$$\begin{aligned} x_T &\mapsto Tx \\ f^b: x_T \rightarrow y_T &\mapsto \mu_y \circ Tf: Tx \rightarrow Ty \end{aligned}$$

Then  $G_T(\text{id}_{x_T}) = G_T(\eta_x^b) = \mu_x \circ T\eta_x = \text{id}_x$  by the right unitality law for  $T$ . Now we compute that

$$\begin{aligned} G_T(g^b \bullet f^b) &= G_T((\mu_z \circ Tg \circ f)^b) = \mu_z \circ T\mu_z \circ T^2g \circ Tf \\ G_T(g^b) \circ G_T(f^b) &= \mu_z \circ Tg \circ \mu_z \circ Tf \end{aligned}$$

so it suffices to show that the following diagram commutes:

$$\begin{array}{ccccccc} Tx & \xrightarrow{Tf} & T^2y & \xrightarrow{T^2g} & T^3z & \xrightarrow{T\mu_z} & T^2z \\ \downarrow Tf & & & & & & \downarrow \mu_z \\ T^2y & \xrightarrow{\mu_y} & Ty & \xrightarrow{Tg} & T^2z & \xrightarrow{\mu_z} & Tz \end{array}$$

But we can fill it in to get the following:

$$\begin{array}{ccccccc} Tx & \xrightarrow{Tf} & T^2y & \xrightarrow{T^2g} & T^3z & \xrightarrow{T\mu_z} & T^2z \\ \downarrow Tf & (1) & \downarrow \mu_y & (2) & \downarrow \mu_{Tz} & (3) & \downarrow \mu_z \\ T^2y & \xrightarrow{\mu_y} & Ty & \xrightarrow{Tg} & T^2z & \xrightarrow{\mu_z} & Tz \end{array}$$

where (1) commutes trivially, (2) by naturality of  $\mu$  and (3) by the associativity of  $T$ .

**CLAIM.**  $G_T F_T = T$ .

*Proof of claim 2.*

$$\begin{aligned} G_T(F_T(x)) &= G_T(x_T) = Tx \\ G_T(F_T(f)) &= G_T((Tf \circ \eta_x)^b) = \mu_y \circ T^2f \circ T\eta_x \\ &= \mu_y \circ T\eta_y \circ Tf && \text{(naturality of } \eta) \\ &= Tf && \text{(unitality of } T) \end{aligned}$$

//

- We now set the unit and counit to be

$$\begin{aligned} \eta_x: x &\rightarrow Tx \\ \varepsilon_x &= \text{id}_{Tx}^b: (Tx)_T \rightarrow x_T \end{aligned}$$



We need to show that  $\eta$  and  $\varepsilon$  satisfy the triangle identities:

$$\begin{array}{ccc}
 F_T x & \xrightarrow{F_T(\eta_x)} & F_T G_T F_T x \\
 & \searrow \text{id}_{F_T x} & \downarrow \varepsilon_{F_T x} \\
 & & F_T x
 \end{array}
 \qquad
 \begin{array}{ccc}
 G_T x_T & \xrightarrow{\eta_{G_T x_T}} & G_T F_T G_T x_T \\
 & \searrow \text{id}_{G_T x_T} & \downarrow G_T(\varepsilon_x) \\
 & & G_T x_T
 \end{array}$$

the left diagram commutes, since we have

$$\begin{aligned}
 \varepsilon_{F_T x} \bullet F_T(\eta_x) &= (\text{id}_{T_x})^b \bullet (T\eta_x \circ \eta_x)^b = (\mu_x \circ T(\text{id}_{T_x}) \circ T\eta_x \circ \eta_x)^b \\
 &= (\mu_x \circ T\eta_x \circ \eta_x)^b = (\eta_x)^b = \text{id}_{F_T x}
 \end{aligned}$$

using the right unitality of  $T$ . The right diagram commutes, since we have

$$G_T(\varepsilon_x) \circ \eta_{G_T x_T} = \mu_x \circ T(\text{id}_{T_x}) \circ \eta_{T_x} = \mu_x \circ \eta_{T_x} = \text{id}_{T_x}$$

using the left unitality of  $T$ . The only thing left to show is that  $\mu = G_T \varepsilon F_T$ :

$$G_T(\varepsilon_{F_T x}) = G_T(\varepsilon_{x_T}) = G_T(\text{id}_{T_x}^b) = \mu_x \circ T(\text{id}_{T_x}) = \mu_x$$

□

**Theorem 1.16** (Comparison of adjunctions with the Kleisli-construction) *Let  $F \overset{\eta}{\underset{\varepsilon}{\dashv}} G: \mathbf{X} \rightleftarrows \mathbf{A}$  be an adjunction,  $T = (GF, \eta, G\eta F)$  the monad it defines in  $\mathbf{X}$ . Then there is a unique functor  $L: \mathbf{X}_T \rightarrow \mathbf{A}$  such that the following diagram commutes:*

$$\begin{array}{ccc}
 & & \mathbf{X}_T \\
 & \nearrow F_T & \downarrow L \\
 \mathbf{X} & \xleftarrow{G_T} & \mathbf{A} \\
 & \nwarrow G & \\
 & & \mathbf{A}
 \end{array}$$

*In other words, the Kleisli-adjunction  $F_T \dashv G_T: \mathbf{X} \rightleftarrows \mathbf{X}_T$  is an initial object in  $\text{Adj}(T)$ .*

**PROOF:** • Define  $L$  by

$$\begin{aligned}
 x_T &\mapsto Fx \\
 f^b: x_t \rightarrow y_t &\mapsto \varepsilon_{Fy} \circ F(f): Fx \rightarrow FGFy \rightarrow Fy
 \end{aligned}$$

- $L(\text{id}_{x_t}) = L(\eta_x^b) = \varepsilon_{Fx} \circ F(\eta_x) = \text{id}_{Fx}$  by the ?? of the adjunction.

- Let  $x_T \xrightarrow{f} y_T \xrightarrow{g} z_T$ .

$$\begin{aligned} L(g \bullet f) &= \varepsilon_{Fz} \circ F(\mu_z \circ GF(g) \circ f) = \varepsilon_{Fz} \circ F(\mu_z) \circ FGF(g) \circ F(f) \\ L(g) \circ L(f) &= \varepsilon_{Fz} \circ Fg \circ \varepsilon_{Fy} \circ F(f) \end{aligned}$$

so we have to show that the following diagram commutes:

$$\begin{array}{ccccccc} Fx & \xrightarrow{F(f)} & FGFy & \xrightarrow{FGF(g)} & FGF GFz & \xrightarrow{F(\mu_z)} & FGFz \\ \downarrow F(f) & & & & & & \downarrow \varepsilon_{Fz} \\ FGFy & \xrightarrow{\varepsilon_{Fy}} & Fy & \xrightarrow{F(g)} & FGFz & \xrightarrow{\varepsilon_{Fz}} & Fz \end{array}$$

but we can fill in the counit morphisms:

$$\begin{array}{ccccccc} Fx & \xrightarrow{F(f)} & FGFy & \xrightarrow{FGF(g)} & FGF GFz & \xrightarrow{F(\mu_z)} & FGFz \\ \downarrow F(f) & (1) & \downarrow \varepsilon_{Fy} & (2) & \downarrow \varepsilon_{FGFz} & (3) & \downarrow \varepsilon_{Fz} \\ FGFy & \xrightarrow{\varepsilon_{Fy}} & Fy & \xrightarrow{F(g)} & FGFz & \xrightarrow{\varepsilon_{Fz}} & Fz \end{array}$$

and now (1) commutes trivially, while (2) and (3) commute by naturality of  $\varepsilon$  since  $\mu_z = G(\varepsilon_{Fz})$ .

- Commutativity of the diagrams: Let  $f: x \rightarrow y$ .

$$\begin{aligned} GLx_T &= GFx = Tx = G_T x_T \\ GL(f^b) &= G(\varepsilon_{Fy} \circ F(f)) = G(\varepsilon_{Fy}) \circ GF(f) = \mu_y \circ T(f) = G_T(F^b) \\ LF_T x &= Lx_T = Fx \\ LF_T(f) &= L((T(f) \circ \eta_x)^b) = \varepsilon_{Fy} \circ F(T(f) \circ \eta_x) = \varepsilon_{Fy} \circ FGF(f) \circ F(\eta_x) \\ &= F(f) \circ \varepsilon_{Fx} \circ F(\eta_x) && \text{(naturality of } \varepsilon) \\ &= F(f) \circ \text{id}_{Fx} = F(f) && (??) \end{aligned}$$

- Uniqueness: Let  $L'$  be another functor making the diagrams commute. Then since  $F_T$  is surjective on objects we have

$$L'F_T = F \Rightarrow L'x_T = Fx = Lx_T \text{ for all objects } x_T$$

and for  $f^b: x_T \rightarrow y_T$  we can precompose with the identity to see that

$$\begin{aligned}
L'(f^b) &= L'(f^b) \circ \text{id}_{L'_x} = L'(f^b) \circ \varepsilon_{Fx} \circ F(\eta_x) & (??) \\
&= \varepsilon_{Fy} \circ FGL'(f^b) \circ F(\eta_x) = \varepsilon_{Fy} \circ FG_T(f^b) \circ F(\eta_x) \\
&= \varepsilon_{Fy} \circ F(\mu_y \circ T(f)) \circ F(\eta_x) = \varepsilon_{Fy} \circ F(\mu_y) \circ FGF(f) \circ F(\eta_x) \\
&= \varepsilon_{Fy} \circ F(\mu_y) \circ F(\eta_{GFy}) \circ F(f) & (\text{naturality of } \eta) \\
&= \varepsilon_{Fy} \circ F(\mu_y \circ \eta_{GFy})F(f) \\
&= \varepsilon_{Fy} \circ F(f) = L(f^b) & (??)
\end{aligned}$$

□