

1 Adjoint situations

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Satz

Proposition 1.1 Given two functors $A \xrightarrow{F} B$, $B \xrightarrow{G} A$, the following are equivalent:

- (a) $\exists \eta: \text{id}_B \rightarrow GF$ and $\epsilon: FG \rightarrow \text{id}_A$ such that $\forall a \in \text{Ob}(A), b \in \text{Ob}(B)$ the following two diagrams commute:

$$\begin{array}{ccc} A & \xrightarrow{F(\eta_b)} & B \\ & \searrow \text{id}_{F(b)} & \downarrow \epsilon_{F(b)} \\ & & C \end{array} \quad \text{and} \quad \begin{array}{ccc} A & \xrightarrow{F(\eta_b)} & B \\ & \searrow \text{id}_{F(b)} & \downarrow \epsilon_{F(b)} \\ & & C \end{array}$$

- (b) $\forall a \in \text{Ob}(A), b \in \text{Ob}(B)$ there is a bijection

$$\phi_{a,b}: \text{hom}(F(b), a) \rightarrow \text{hom}(b, G(a))$$

which is natural in a and b, i.e. for $p: a \rightarrow a'$:

$$\begin{array}{ccc} \text{hom}(F(b), a) & \longrightarrow & \text{hom}(b, G(a)) \\ \downarrow & & \downarrow \\ \text{hom}(F(b), a') & \longrightarrow & \text{hom}(b, G(a')) \end{array}$$

and for $q: b \rightarrow b'$:

$$\begin{array}{ccc} \text{hom}(F(b'), a) & \longrightarrow & \text{hom}(b', G(a)) \\ \downarrow & & \downarrow \\ \text{hom}(F(b), a) & \longrightarrow & \text{hom}(b, G(a)) \end{array}$$

PROOF: (a) \implies (b):
define

$$\phi_{a,b}: \text{hom}(F(b), a) \rightarrow \text{hom}(b, G(a))$$

by $g \mapsto G(g) \circ \eta_b$ for $g: F(b) \rightarrow a$

□

2 Monads and Comonads

2.1 Definition of Monads and Comonads

Definition 2.1 (Monad) A *Monad* (T, μ, η) in a Category \mathcal{X} consists of

- an endofunctor $T: \mathcal{X} \rightarrow \mathcal{X}$
- a natural transformation $\eta: \text{id}_{\mathcal{X}} \Rightarrow T$
- a natural transformation $\mu: T^2 \Rightarrow T$

such that the following diagrams commute:

$$(a) \quad \begin{array}{ccc} T^3 & \longrightarrow & T^2 \\ \downarrow & & \downarrow \\ T^2 & \longrightarrow & T \end{array} \quad \text{and} \quad \begin{array}{ccccc} T & \xrightarrow{\eta T} & T^2 & \xleftarrow{T\eta} & T \\ & \searrow \text{id}_T & \downarrow \mu & \swarrow \text{id}_T & \\ & & T & & \end{array}$$

Example 1 (preorder). Recall: A *preorder* (\mathcal{P}, \leq) is a category with \mathcal{P} as objects and a morphism between X and Y iff $X \leq Y$. A functor $T: \mathcal{P} \rightarrow \mathcal{P}$ is thus a monotonic function $\mathcal{P} \rightarrow \mathcal{P}$ ($x \leq y \implies Tx \leq Ty$). The existence of the natural transformations η is equivalent to

$$x \leq Tx \quad \forall x \in \mathcal{P}$$

and the existence of μ is equivalent to

$$T(Tx) \leq Tx \quad \forall x \in \mathcal{P}$$

because there is at most one morphism $x \rightarrow y$, so the necessary diagrams commute trivially.

Now suppose \mathcal{P} is a *partial order*, i.e. $x \leq y \leq x \implies x = y \quad \forall x, y \in \mathcal{P}$.

Then:

$$\begin{aligned} x \leq Tx &\implies Tx \leq T(Tx) \\ T(Tx) \leq Tx &\implies Tx = T(Tx) \end{aligned}$$

so a Monad T in a partial order \mathcal{P} is a *closure operation* in \mathcal{P} , i.e. a monotonic function $T: \mathcal{P} \rightarrow \mathcal{P}$ with $x \leq Tx$ and $T(Tx) = Tx \quad \forall x \in \mathcal{P}$.

Now every topological space X induces a partial order $\mathcal{P} = (\mathcal{P}(X), \subseteq)$. Here an example for a closure operation is taking the topological closure $A \mapsto \bar{A}$, since it holds for all $A \subseteq X$ that $A \subseteq \bar{A}$ and $\overline{\bar{A}} = \bar{A}$.

Definition 2.2 (Comonad) A *Comonad* (L, ε, ω) in a Category \mathcal{A} consists of

- an endofunctor $L: \mathcal{A} \rightarrow \mathcal{A}$
- a natural transformation $\varepsilon: L \Rightarrow \text{id}_{\mathcal{A}}$
- a natural transformation $\omega: L \Rightarrow L^2$

such that the following diagrams commute:

$$(a) \quad \begin{array}{ccc} L & \xrightarrow{L\omega} & L^2 \\ \omega L \downarrow & & \downarrow L\omega \\ L^2 & \xrightarrow{\omega L} & L^3 \end{array} \quad \text{and} \quad \begin{array}{ccccc} L & \xleftarrow{\varepsilon L} & L^2 & \xrightarrow{L\varepsilon} & L \\ & \searrow \text{id}_L & \uparrow \omega & \nearrow \text{id}_L & \\ & & L & & \end{array}$$

Definition 2.3 (Morphism of monads) Let \mathcal{X} be a category, let (T, η, μ) and (T', η', μ') be monads in \mathcal{X} . We say that a natural transformation $\delta: T \Rightarrow T'$ is a *morphism of monads* if it preserves the unit and the multiplication, i.e. the following diagrams commute:

$$\begin{array}{ccc} \text{id}_x & \xrightarrow{\eta_x} & Tx \\ & \searrow \eta'_x & \downarrow \delta_x \\ & & T'x \end{array}$$

$$\begin{array}{ccc} T^2x & \xrightarrow{\mu_x} & Tx \\ \delta T' \circ T\delta \downarrow & & \downarrow \delta_x \\ T'^2x & \xrightarrow{\mu'_x} & T'x \end{array}$$

Definition 2.4 (Morphism of comonads)

show that the other composition is the same (siehe iPad)

definition

3 Witt vectors

Construction of the witt vectors

Definition 3.1 (truncation set) Let \mathbb{N} be the set of positive integers and let $S \subseteq \mathbb{N}$ be a subset with the property that $\forall n \in \mathbb{N} : \text{if } d \text{ is a divisor of } n, \text{ then } d \in S$. We then say that S is a *truncation set*.

As a set, we define the *big Witt ring* $\mathbb{W}_S(A)$ to be A^S , we will give it a unique ring structure, such that the *ghost map* is a ring homomorphism.

Definition 3.2 (ghost map) We define $w : \mathbb{W}_S(A) \rightarrow A^S$ by $(a_n)_{n \in S} \mapsto (w_n)_{n \in S}$ where

$$w_n = \sum_{d|n} d a_d^{n/d}$$

Lemma 3.3 (Dwork) Suppose that for every prime number p there exists a ring homomorphism $\phi_p : A \rightarrow A$ with the property that $\phi_p(a) \equiv a^p \pmod{pA}$. Then for every sequence $x = (x_n)_{n \in S}$, the following are equivalent:

- (i) The sequence x is in the image of the ghost map $w : \mathbb{W}_S(A) \rightarrow A^S$.
- (ii) For every prime number p and every $n \in S$ with $v_p(n) \geq 1$,

$$x_n \equiv \phi_p(x_{n/p}) \pmod{p^{v_p(n)} A}.$$

PROOF: (\Rightarrow) Suppose x is in the image of the ghost map, that means there is a sequence $a = (a_n)_{n \in S}$ such that $x_n = w_n(a)$ for all $n \in S$. We calculate:

$$\phi(x_{n/p}) = \phi(w_{n/p}(a)) = \phi\left(\sum_{d|n/p} d a_d^{n/pd}\right) = \sum_{d|n/p} d \cdot \phi(a_d^{n/pd})$$

since ϕ is a ring homomorphism and $d \in \mathbb{N}$.

$$\text{CLAIM 1. } \sum_{d|n/p} d \cdot \phi(a_d^{n/pd}) \equiv \sum_{d|n/p} d \cdot a_d^{n/d} \pmod{p^{v_p(n)} A}.$$

PROOF (Proof of claim 1):

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$$\text{CLAIM 2. } \sum_{d|n/p} d \cdot a_d^{n/d} \equiv \sum_{d|n} d \cdot a_d^{n/d} \pmod{p^{v_p(n)} A}$$

PROOF (Proof of claim 2):

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so we get

$$\phi(x_{n/p}) \equiv \sum_{d|n} d \cdot a_d^{n/d} = w_n(a) = x_n \pmod{p^{v_p(n)} A}.$$

(\Leftarrow) Let $(x_n)_{n \in S}$ be a sequence such that $x_n \equiv \phi_p(x_{n/p}) \pmod{p^{v_p(n)} A} \forall p \text{ prime}, n \in S, v_p(n) \geq 1$. Define $(a_n)_{n \in S}$ with $w_n(a) = x_n$ as follows:

$$a_1 := x_1$$

and if a_d has been chosen for all $d \mid n$ such that $w_d(a) = x_d$ we see that

$$\begin{aligned} x_n &\equiv \phi_p(x_{n/p}) \mod p^{v_p(n)} A \\ &= \phi_p\left(\sum_{d \mid n/p} d \cdot a_d^{n/pd}\right) \\ &= \sum_{d \mid n/p} d \cdot \phi(a_d^{n/pd}) \end{aligned}$$

□

finish proof

We will often need the following

Lemma 3.4 if A is a torsion-free ring, the ghost map is injective.

Now we can finish the construction of the Witt vectors:

Theorem 3.5 There exists a unique ring structure such that the ghost map

$$w : \mathbb{W}_S(A) \rightarrow A^S$$

is a natural transformation of functors from rings to rings.

PROOF:

□

Corollary 3.6 $w_n : \mathbb{W}_S(A) \rightarrow A$ is a natural transformation for all $n \in S$.

Proposition 3.7 \mathbb{W}_S is a functor $\mathbf{CRing} \rightarrow \mathbf{CRing}$.

The Verschiebung, Frobenius and Teichmüller maps

Definition 3.8 (Restriction map) If $T \subseteq S$ are two truncation sets, the *restriction from S to T*

$$R_T^S : \mathbb{W}_S(A) \rightarrow \mathbb{W}_T(A)$$

is a natural ring homomorphism.

If $S \subseteq \mathbb{N}$ is a truncation set, then

$$S/n := \{d \in \mathbb{N} \mid nd \in S\}$$

is again a truncation set.

Definition 3.9 (Verschiebung) Define

$$V_n : \mathbb{W}_{S/n} \rightarrow \mathbb{W}_S(A); V_n((a_d)_{d \in S/n})_m := \begin{cases} a_d, & \text{if } m = n \cdot d \\ 0, & \text{else} \end{cases}$$

which is called the n -th *Verschiebung map*. Furthermore define

$$\widetilde{V}_n : A^{S/n} \rightarrow A^S; \widetilde{V}_n((x_d)_{d \in S/n})_m := \begin{cases} n \cdot x_d, & \text{if } m = n \cdot d \\ 0, & \text{else} \end{cases}$$

Lemma 3.10 The Verschiebung map V_n is additive.

PROOF:

$$\text{CLAIM. } \begin{array}{ccc} \mathbb{W}_{S/n}(A) & \xrightarrow{w} & A^{S/n} \\ \downarrow V_n & & \downarrow \widetilde{V_n} \\ \mathbb{W}_S(A) & \xrightarrow{w} & A^S \end{array} \text{ commutes.}$$

PROOF (Proof of claim):

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□

The comonad structure of witt vectors

We will need the following lemma:

Lemma 3.11 Let $m \in \mathbb{Z}$. If m is a non-zero divisor in A , then it is a non-zero divisor in $\mathbb{W}_S(A)$ as well.

PROOF (Proof of claim):

$$0 \longrightarrow A \xrightarrow{V_n} \mathbb{W}_S(A) \xrightarrow{R_T^S} \mathbb{W}_T(A) \longrightarrow 0$$

which we can extend to the following commutative diagram:

$$\begin{array}{ccccccc} 0 & \longrightarrow & A & \longrightarrow & \mathbb{W}_S(A) & \longrightarrow & \mathbb{W}_T(A) \longrightarrow 0 \\ & & \downarrow \cdot m & & \downarrow \cdot m & & \downarrow \cdot m \\ 0 & \longrightarrow & A & \longrightarrow & \mathbb{W}_S(A) & \longrightarrow & \mathbb{W}_T(A) \longrightarrow 0 \end{array}$$

finish

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Definition 3.12 $\mathbb{W}(A) := \mathbb{W}_N(A)$

For the construction of a natural transformation $\mathbb{W}(A) \rightarrow \mathbb{W}(\mathbb{W}(A))$ we want to use Lemma 3.3 again. Hence we first show:

Lemma 3.13 Let p be a prime number, let A be any ring. Then the ring homomorphism $F_p: \mathbb{W}(A) \rightarrow \mathbb{W}(A)$ satisfies $F_p(a) \equiv a^p \pmod{pA}$.

Proposition 3.14 There exists a unique natural transformation

$$\Delta: \mathbb{W}(A) \rightarrow \mathbb{W}(\mathbb{W}(A))$$

such that $w_n(\Delta(a)) = F_n(A)$ for all $a \in A, n \in \mathbb{N}$.

Theorem 3.15 The functor $\mathbb{W}(-): \mathbf{CRing} \rightarrow \mathbf{CRing}$ together with the natural transformations $\Delta: \mathbb{W} \Rightarrow \mathbb{W}^2$, $w_1: \mathbb{W} \Rightarrow \text{id}_{\mathbf{CRing}}$ form a comonad.

PROOF:

$$\text{CLAIM. } \begin{array}{ccc} \mathbb{W}(A) & \xrightarrow{\Delta_A} & \mathbb{W}(\mathbb{W}(A)) \\ \downarrow \Delta_A & \# & \downarrow \mathbb{W}(\Delta_A) \\ \mathbb{W}(\mathbb{W}(A)) & \xrightarrow{\Delta_{\mathbb{W}(A)}} & \mathbb{W}(\mathbb{W}(\mathbb{W}(A))) \end{array} \text{ commutes.}$$

PROOF (Proof of claim): evaluating the ghost coordinates leads to:

$$\begin{array}{ccccc} & & F_A & & \\ & \swarrow & \cdots & \searrow & \\ \mathbb{W}(A) & \xrightarrow{\Delta_A} & \mathbb{W}(\mathbb{W}(A)) & \xrightarrow{w} & \mathbb{W}(A)^N \\ \downarrow \Delta_A & & \downarrow \mathbb{W}(\Delta_A) & & \downarrow \Delta_A^N \\ \mathbb{W}(\mathbb{W}(A)) & \xrightarrow{\Delta_{\mathbb{W}(A)}} & \mathbb{W}(\mathbb{W}(\mathbb{W}(A))) & \xrightarrow{w} & \mathbb{W}(\mathbb{W}(A))^N \\ & \swarrow & F_{\mathbb{W}A} & \searrow & \end{array}$$

which simplifies to

$$\begin{array}{ccc} \mathbb{W}(A) & \xrightarrow{F_A} & \mathbb{W}(A)^N \\ \downarrow \Delta_A & & \downarrow \Delta_A^N \\ \mathbb{W}(\mathbb{W}(A)) & \xrightarrow{F_{\mathbb{W}(A)}} & \mathbb{W}(\mathbb{W}(A))^N \end{array}$$

now it suffices to show for an arbitrary n that the following diagram commutes:

$$\begin{array}{ccc} \mathbb{W}(A) & \xrightarrow{F_{nA}} & \mathbb{W}(A) \\ \downarrow \Delta_A & & \downarrow \Delta_A \\ \mathbb{W}(\mathbb{W}(A)) & \xrightarrow{F_{n\mathbb{W}(A)}} & \mathbb{W}(\mathbb{W}(A)) \end{array}$$

evaluating the ghost coordinates again, keeping in mind that by Lemma 9, $w: \mathbb{W}(\mathbb{W}(A)) \rightarrow \mathbb{W}(A)^N$ is injective as well, we get

$$\begin{array}{ccccc} \mathbb{W}(A) & \xrightarrow{F_{nA}} & \mathbb{W}(A) & & \\ \downarrow \Delta_A & & \downarrow \Delta_A & & \\ \mathbb{W}(\mathbb{W}(A)) & \xrightarrow{F_{n\mathbb{W}(A)}} & \mathbb{W}(\mathbb{W}(A)) & \xrightarrow{F_A} & \mathbb{W}(A)^N \\ \downarrow w & & \downarrow w & & \\ \mathbb{W}(A)^N & \xrightarrow{\widetilde{F}_{n\mathbb{W}(A)}} & \mathbb{W}(A)^N & & \end{array}$$

using the fact that $\begin{array}{ccc} \mathbb{W}(\mathbb{W}(A)) & & \\ \downarrow w & \searrow w_{nm} & \\ \mathbb{W}(A)^N & \xrightarrow{\widetilde{F}_{n\mathbb{W}(A)}} & \mathbb{W}(A)^N \end{array}$ commutes, we can simplify the situation to

$$\begin{array}{ccc} \mathbb{W}(A) & \xrightarrow{F_n} & \mathbb{W}(A) \\ \downarrow \Delta_A & \searrow F_{nm} & \downarrow F_m \\ \mathbb{W}(\mathbb{W}(A)) & \xrightarrow{w_{nm}} & \mathbb{W}(A) \end{array}$$

which can again be simplified to

$$\begin{array}{ccc} \mathbb{W}(A) & \xrightarrow{F_n} & \mathbb{W}(A) \\ & \searrow F_{nm} & \downarrow F_m \\ & & \mathbb{W}(A) \end{array}$$

now this commutes by ???, hence we are finished. //

CLAIM.
$$\begin{array}{ccc} \mathbb{W}(A) & & \\ \Delta_A \downarrow & \searrow \text{id}_{\mathbb{W}(A)} & \\ \mathbb{W}(\mathbb{W}(A)) & \xrightarrow{\mathbb{W}(\varepsilon_A)} & \mathbb{W}(A) \end{array} \text{ commutes.}$$

PROOF (Proof of claim): evaluate the ghost coordinates:

$$\begin{array}{ccc} \mathbb{W}(A) & & \\ \Delta_A \downarrow & \searrow \text{id}_{\mathbb{W}(A)} & \\ \mathbb{W}(\mathbb{W}(A)) & \xrightarrow{\mathbb{W}(\varepsilon_A)} & \mathbb{W}(A) \\ \downarrow w & & \downarrow w \\ \mathbb{W}(A)^{\mathbb{N}} & \xrightarrow{\varepsilon_A^{\mathbb{N}}} & A^{\mathbb{N}} \end{array}$$

(A dashed arrow labeled F points from $\mathbb{W}(A)$ to $\mathbb{W}(\mathbb{W}(A))$, and a dotted arrow labeled $\varepsilon_A^{\mathbb{N}}$ points from $\mathbb{W}(A)^{\mathbb{N}}$ to $A^{\mathbb{N}}$.)

we can then simplify to

$$\begin{array}{ccc} \mathbb{W}(A) & & \\ F \downarrow & \searrow w & \\ \mathbb{W}(A)^{\mathbb{N}} & \xrightarrow{\varepsilon_A^{\mathbb{N}}} & A^{\mathbb{N}} \end{array}$$

now it suffices to show for all n that

$$\begin{array}{ccc} \mathbb{W}(A) & & \\ F_n \downarrow & \searrow w_n & \\ \mathbb{W}(A) & \xrightarrow{\varepsilon_A} & A \end{array}$$

commutes, which is true by ??? ($\varepsilon = w_1$). //

CLAIM.
$$\begin{array}{ccc} & \mathbb{W}(A) & \\ \text{id}_{\mathbb{W}(A)} \swarrow & \downarrow \Delta_A & \\ \mathbb{W}(\mathbb{W}(A)) & \xleftarrow{\varepsilon_{\mathbb{W}(A)}} & \mathbb{W}(A) \end{array} \text{ commutes.}$$

PROOF (Proof of claim): Let $a \in \mathbb{W}(A)$.
 $\varepsilon(\Delta_A(a)) = w_1(\Delta_A(a)) = F_1(a) = a$, since $F_1 = \text{id}_{\mathbb{W}(A)}$. //

This concludes the proof. \square

The Teichmüller map induces a morphism of comonads

We now consider another example of a comonad; the *free monoid comonad*.

Definition 3.16 (monoid ring) Let R be a ring and let G be a monoid. The *monoid ring* of G over R , denoted $R[G]$ or RG is the set of formal finite sums $\sum_{g \in G} r_g \cdot g$ with addition and multiplication defined by:

$$\begin{aligned} \sum_{g \in G} r_g \cdot g + \sum_{g \in G} s_g \cdot g &:= \sum_{g \in G} (r_g + s_g) \cdot g \\ \sum_{g \in G} r_g \cdot g \cdot \sum_{g \in G} s_g \cdot g &:= \sum_{g \in G} \left(\sum_{k \cdot l = g} r_k \cdot s_l \right) \cdot g \end{aligned}$$

Example 2. $R = \mathbb{R}, G = \{x^n \mid n \in \mathbb{N}\} \implies RG = \mathbb{R}[X]$

Proposition 3.17 $R[G]$ together with the ring homomorphism $\alpha: R \rightarrow R[G]; r \mapsto r \cdot 1$ and the monoid homomorphism $\beta: G \rightarrow R[G]; g \mapsto 1 \cdot g$ enjoys the following universal property:

$$\alpha(r) \cdot \beta(g) = \beta(g) \cdot \alpha(r) \quad \forall r \in R, g \in G$$

and if (S, α', β') is another such triple with $\alpha'(r) \cdot \beta'(g) = \beta'(g) \cdot \alpha'(r) \quad \forall r \in R, g \in G$, there is a unique monoid homomorphism $\gamma: R[G] \rightarrow S$ such that the following diagram commutes:

$$\begin{array}{ccccc} & & S & & \\ & \nearrow \alpha' & \uparrow \gamma & \nwarrow \beta' & \\ R & \xrightarrow{\alpha} & R[G] & \xleftarrow{\beta} & G \end{array}$$

Here, γ is defined by $\sum_{g \in G} r_g \cdot g \mapsto \sum_{g \in G} \alpha'(r_g) \cdot \beta'(g)$.

Example 3. Let S be a ring, G be a monoid. Since there is a unique ring homomorphism $\mathbb{Z} \rightarrow S$, each monoid homomorphism $G \rightarrow S$ induces a unique ring homomorphism $\mathbb{Z}G \rightarrow S$ such that the following commutes:

$$\begin{array}{ccc} G & \xrightarrow{\quad} & S \\ & \searrow & \uparrow \\ & & \mathbb{Z}G \end{array}$$

Now if H is another monoid and $f: G \rightarrow H$ a monoid morphism, $G \xrightarrow{f} H \rightarrow \mathbb{Z}H$ is a monoid homomorphism, hence it extends uniquely to $f: \mathbb{Z}G \rightarrow \mathbb{Z}H, \sum_{g \in G} r_g \cdot g \mapsto \sum_{g \in G} r_g \cdot f(g)$. In this way, the free monoid ring construction over \mathbb{Z} is functorial.

Let $G: \mathbf{CRing} \rightarrow \mathbf{CMon}, (R, +, \cdot) \mapsto (R, \cdot)$ be the forgetful functor and let $F: \mathbf{CMon} \rightarrow \mathbf{CRing}$ be the *free monoid ring functor*, $G \mapsto \mathbb{Z}G$.

Proposition 3.18 There is an adjoint situation $\mathbf{CMon} \begin{array}{c} \xrightarrow{F} \\ \perp \\ \xleftarrow{G} \end{array} \mathbf{CRing}$

Now consider the *teichmüller map* $\tau: A \rightarrow \mathbb{W}(A)$. τ is multiplicative and preserves the unit, hence it extends uniquely to a ring homomorphism

$$\tau: \mathbb{Z}A \rightarrow \mathbb{W}(A)$$

Theorem 3.19 $\tau: \mathbb{Z}A \rightarrow \mathbb{W}(A)$ is a morphism of comonads.