## 1 Witt vectors

The goal of this section is to give a very important example of a comonad: the Witt vector construction is a functor  $CRing \rightarrow CRing$  which is used frequently in several mathematical fields, especially Number Theory and Algebraic Geometry. Historically, Witt vectors have been introduced by Ernst Witt in [Wit37], who defined what is today called *p-typical Witt vectors* while studying cyclic algebras of degree  $p^n$ . The ring structure on the Witt vectors is highly unintuitive and the whole construction is rather complicated, which is why this section starts with a rigorous, detailed and self-contained introduction to the topic. We will define the p-typical Witt vectors as well as the *big Witt vectors*, which are due to [Car67]. This is essentially an elaboration of [Hes08] (some of the material is also covered in [Hes15]), making the proofs as seamless as possible, while only stating what is needed for proving the final theorem. For different expositions to Witt vectors, consider [Rab14], [Ser79]. The most complete account of Witt vectors that I know of is [Haz09].

#### 1.1 Construction of the Witt vectors

**Definition 1.1** (truncation set). Let  $\mathbb{N}$  be the set of positive integers and let  $S \subseteq \mathbb{N}$  be a subset with the property that  $\forall n \in S$ : if d is a divisor of n, then  $d \in S$ . We then say that S is a *truncation set*.

Now let S be a truncation set. As a set, we define the *Witt ring*  $\mathbb{W}_S(A)$  to be  $A^S$ , and we will give it a unique ring structure such that the *ghost map* is a ring homomorphism. Furthermore, if  $f: A \to B$  is a ring homomorphism, we define  $\mathbb{W}_S(f): \mathbb{W}_S(A) \to \mathbb{W}_S(B)$  to be the function which applies f componentwise, that is  $(a_n)_{n \in S} \mapsto (f(a_n))_{n \in S}$ . This construction will turn out to be functorial and we will see that the Witt vector functor admits a comonadic structure.

**Definition 1.2** (ghost map). We define  $w: W_S(A) \to A^S$  by  $(a_n)_{n \in S} \mapsto (w_n)_{n \in S}$  where

$$w_n = \sum_{d|n} da_d^{n/d}$$

For  $a \in W_S(A)$ , we call  $(w_n(a))_n = (w_n)_n$  the ghost coordinates of a.

Recall that for every prime number *p*, we have the *p-adic valuation map*:

**Definition 1.3** (p-adic valuation).  $v_p \colon \mathbb{Z} \to \mathbb{N} \cup \{\infty\}$  is defined by

$$v_p(n) = \begin{cases} \max\{k \in \mathbb{N} : p^k \mid n\} & \text{if } n \neq 0 \\ \infty & \text{if } n = 0 \end{cases}$$

**Lemma 1.4** Let A be a ring,  $a, b \in A$ ,  $v \in \mathbb{N}$ , and p a prime number. Then:

$$a \equiv b \mod pA \implies a^{p^v} \equiv b^{p^v} \mod p^{v+1}A.$$

**PROOF**: We can write  $a = b + p\varepsilon$  for some  $\varepsilon \in A$ , then by the binomial theorem we get:

$$a^{p^v} = (b+p\varepsilon)^{p^v} = \sum_{i=0}^{p^v} \binom{p^v}{i} b^{p^v-i} (p\varepsilon)^i = b^{p^v} + \sum_{i=1}^{p^v} \binom{p^v}{i} b^{p^v-i} p^i \varepsilon^i.$$

**Claim**: for every  $1 \le i \le p^v$ :  $v_p(\binom{p^v}{i}) = v - v_p(i)$ .

*Proof of claim.* First, note that  $v_p(p^v-i)=v-v_p(i)$ . (Indeed: write  $i=p^{v_p(i)}\cdot k$  for some  $k\in\mathbb{Z}, p\nmid k$ . Then  $p^v-i=p^v-p^{v_p(i)}\cdot k=p^{v_p(i)}\cdot (p^{v-v_p(i)}-k)$ , hence  $p^{v_p(i)}\mid p^v-i$ . But  $p^{v_p(i)+1}\nmid p^v-i$ , since  $p\nmid k$ .)

Now we can apply the p-adic valuation to the following equality:

$$i! \cdot \binom{p^{v}}{i} = p^{v} \cdot (p^{v} - 1) \cdot \dots \cdot (p^{v} - (i - 1))$$

$$\implies v_{p} \left( i! \cdot \binom{p^{v}}{i} \right) = v_{p} (p^{v} \cdot (p^{v} - 1) \cdot \dots \cdot (p^{v} - (i - 1)))$$

$$\iff v_{p} (i!) + v_{p} \left( \binom{p^{v}}{i} \right) = v_{p} (p^{v}) + v_{p} (p^{v} - 1) + \dots + v_{p} (p^{v} - (i - 1))$$

$$\iff v_{p} (i!) + v_{p} \left( \binom{p^{v}}{i} \right) = v + v_{p} ((i - 1)!)$$

$$\iff v_{p} \left( \binom{p^{v}}{i} \right) = v + v_{p} ((i - 1)!) - v_{p} (i!)$$

$$\iff v_{p} \left( \binom{p^{v}}{i} \right) = v + v_{p} \left( \frac{(i - 1)!}{i!} \right)$$

$$\iff v_{p} \left( \binom{p^{v}}{i} \right) = v - v_{p} (i)$$

where we use the multiplicativity of the p-adic valuation.

It follows that

$$v_p\left(\binom{p^v}{i}\cdot p^i\right) = v - v_p(i) + i \ge v + 1$$

which means that those summands vanish mod  $p^{v+1}A$ .

The core of the construction is contained in the following Lemma:

**Lemma 1.5** (Dwork) Suppose that for every prime number p there exists a ring homomorphism  $\phi_p \colon A \to A$  with the property that  $\phi_p(a) \equiv a^p$  modulo pA. Then for every sequence  $x = (x_n)_{n \in S}$ , the following are equivalent:

- (i) The sequence x is in the image of the ghost map  $w : W_S(A) \to A^S$ .
- (ii) For every prime number p and every  $n \in S$  with  $v_p(n) \ge 1$ ,

$$x_n \equiv \phi_p(x_{n/p})$$
 modulo  $p^{v_p(n)}A$ .

**PROOF**:  $(\Rightarrow)$  Suppose x is in the image of the ghost map, that means there is a sequence  $a = (a_n)_{n \in S}$  such that  $x_n = w_n(a)$  for all  $n \in S$ . We calculate:

$$\phi(x_{n/p}) = \phi(w_{n/p}(a)) = \phi(\sum_{d|n/p} da_d^{n/pd}) = \sum_{d|n/p} d \cdot \phi(a_d^{n/pd})$$

since  $\phi$  is a ring homomorphism and  $d \in \mathbb{N}$ . Now

$$\sum_{d|n/p} d \cdot \phi(a_d^{n/pd}) \equiv \sum_{d|n/p} d \cdot a_d^{n/d} \mod p^{v_p(n)} A \tag{1.1}$$

$$\equiv \sum_{d|n} d \cdot a_d^{n/d} \qquad \mod p^{v_p(n)} A \tag{1.2}$$

so we get

$$\phi(x_{n/p}) \equiv \sum_{d|n} d \cdot a_d^{n/d} = w_n(a) = x_n \quad \text{mod } p^{v_p(n)} A.$$

*Proof of (1.1).* First, note that

$$x \equiv y \mod p^m A \implies dx \equiv dy \mod p^{m+v_p(d)} A$$
 (\*)

for all  $m \in \mathbb{N}, d \in \mathbb{Z}$ . Now we can write  $n/pd = p^{\alpha} \cdot N$  for some  $N \in \mathbb{Z}$ ,  $p \nmid N$ ,  $\alpha = v_p(n/pd)$ . Now by the assumptions of the lemma we get that  $\phi_p(a_d^N) \equiv a_d^{p \cdot N} \mod pA$ , so we can calculate:

$$\phi_p(a_d^{n/pd}) \stackrel{\text{def.}}{=} \phi_p(a_d^{p^{\alpha} \cdot N}) = \phi_p(a_d^N)^{p^{\alpha}} \equiv a_d^{(p \cdot N)^{p^{\alpha}}} \mod p^{\alpha+1}A$$

using Lemma 1.4 for the last congruence. Now (\*) and the fact that

$$a_d^{(p \cdot N)^{p^{\alpha}}} = a_d^{p \cdot N \cdot p^{\alpha}} \stackrel{\text{def.}}{=} a_d^{p \cdot n/pd} = a_d^{n/d}$$

gives us

$$d \cdot \phi_p(a_d^{n/pd}) \equiv d \cdot a_d^{n/d} \mod p^{\alpha+1+v_p(d)}$$

But

$$\alpha+1+v_p(d)\stackrel{\mathrm{def.}}{=} v_p(n/pd)+1+v_p(d)=v_p(n/d)+v_p(d)=v_p(n)$$

so it follows that for every d

$$d \cdot \phi_p(a_d^{n/pd}) \equiv d \cdot a_d^{n/d} \mod p^{v_p(n)}$$

which implies (1).

*Proof of (1.2).* It suffices to show that if  $d \mid n, d \nmid n/p$ , the term  $d \cdot a_d^{n/d}$  vanishes mod  $p^{v_p(n)}A$ . But in this case,  $v_p(d) = v_p(n)$ , hence  $d \equiv 0 \mod p^{v_p(n)}A$ .

(⇐) Let  $(x_n)_{n \in S}$  be a sequence such that  $x_n \equiv \phi_p(x_{n/p}) \mod p^{v_p(n)} A \ \forall p \text{ prime}, n \in S, v_p(n) \ge 1$ . Define  $(a_n)_{n \in S}$  with  $w_n((a_n)_{n \in S}) = x_n$  as follows:

$$a_1 \coloneqq x_1$$

and if  $a_d$  has been chosen for all  $d \mid n$  such that  $w_d(a) = x_d$  we see that for every prime  $p \mid n$ :

$$x_n \equiv \phi_p(x_{n/p}) \mod p^{v_p(n)} A$$

$$= \phi_p(\sum_{d|n/p} d \cdot a_d^{n/pd})$$

$$= \sum_{d|n/p} d \cdot \phi(a_d^{n/pd})$$

because  $\phi_p$  is a ring homomorphism. Using our previous calculations, we see that

$$\sum_{d|n/p} d \cdot \phi(a_d^{n/pd}) \stackrel{\text{(1.1)}}{\equiv} \sum_{d|n/p} d \cdot a_d^{n/d} \quad \text{mod } p^{v_p(n)} A$$

$$\stackrel{\text{(1.2)}}{\equiv} \sum_{d|n} d \cdot a_d^{n/d} \quad \text{mod } p^{v_p(n)} A$$

$$\equiv \sum_{d|n,d\neq n} d \cdot a_d^{n/d} \quad \text{mod } p^{v_p(n)} A$$

In conclusion:

$$p^{v_p(n)} \mid \left( x_n - \sum_{d \mid n, d \neq n} d \cdot a_d^{n/d} \right)$$

for all  $p \mid n$ . But this implies that

$$n \mid \left( x_n - \sum_{d \mid n, d \neq n} d \cdot a_d^{n/d} \right)$$

hence  $\exists a_n \in A$  such that

$$x_n = \sum_{d \mid n, d \neq n} d \cdot a_d^{n/d} + n \cdot a_n = \sum_{d \mid n} d \cdot a_d^{n/d}.$$

We will often need the following

**Lemma 1.6** If A is a torsion-free ring, the ghost map is injective.

**PROOF:** Let  $a=(a_n)_{n\in S}$  such that w(a)=0. This means  $w_n=0$  for all  $n\in S$ . We will prove by induction, that  $a_n=0$  for all  $n\in S$ . First,  $a_1=w_1=0$ . And if  $a_d=0$  for all  $d\in S, d< n$  we see that

$$0 = w_n = \sum_{d|n} d \cdot a_d^{n/d} = n \cdot a_n$$

and since A is torsion-free, this implies  $a_n = 0$ .

Now we can finish the construction of the Witt vectors:

**Theorem 1.7** There exists a unique ring structure such that the ghost map

$$w: \mathbb{W}_S(A) \to A^s$$

is a natural transformation of functors from rings to rings.

**PROOF**: Step 1: Let  $A = \mathbb{Z}[a_n, b_n \mid n \in S]$ . Consider the unique ring homomorphism

$$\phi_p \colon A \to A; \ a_n \mapsto a_n^p, \ b_n \mapsto b_n^p$$

 $\phi_p$  satisfies that  $\phi_p(f) \equiv f^p$  modulo pA (Indeed: it suffices to show that  $\overline{\phi_p(f)} = \overline{f^p}$  in  $\mathbb{F}_p[a_n,b_n\mid n\in S]$ , which is apparent).

**Claim**: w(a) + w(b),  $w(a) \cdot w(b)$  and -w(a) are in the image of the ghost map.

*Proof of claim.* Since we can use Lemma 1.5 , it suffices to show that for all prime p, for all  $n \in S$  with  $p \mid n$ :

$$w_n(a) + w_n(b) \equiv \phi_p(w_{n/p}(a) + w_{n/p}(b)) \qquad \text{mod } p^{v_p(n)} A$$

$$w_n(a) \cdot w_n(b) \equiv \phi_p(w_{n/p}(a) \cdot w_{n/p}(b)) \qquad \text{mod } p^{v_p(n)} A$$

$$-w_n(a) \equiv \phi_p(-w_{n/p}(a)) \qquad \text{mod } p^{v_p(n)} A$$

but since  $w_n(a)$  and  $w_n(b)$  are both in the image of the ghost map, we know that  $w_n(a) \equiv \phi_p(w_{n/p}(a)) \mod p^{v_p(n)} A$  and  $w_n(b) \equiv \phi_p(w_{n/p}(b)) \mod p^{v_p(n)} A$ . The claim now follows using the fact that  $\phi_p$  is a ring homomorphism and that congruence is compatible with addition and multiplication.

It follows there are sequences  $S=(S_n)_{n\in S}, P=(P_n)_{n\in S}$  and  $I=(I_n)_{n\in S}$  of polynomials such that

$$w(S) = w(a) + w(b), \ w(P) = w(a) \cdot w(b), \ w(I) = -w(a)$$

Since A is torsion-free, the ghost map is injective by 1.6 and hence, these polynomials are unique.

Step 2: Now let A' be any ring. Let  $a' = (a'_n)_{n \in S}$ ,  $b' = (b'_n)_{n \in S}$  be two vectors in  $W_S(A')$ . Then there is a unique ring homomorphism

$$e: A \to A'; \ a_n \mapsto a'_n, \ b_n \mapsto b'_n$$

such that  $\mathbb{W}_S(e)(a) = a'$  and  $\mathbb{W}_S(e)(b) = b'$  (Remember that  $A = \mathbb{Z}[a_n, b_n \mid n \in S]$ ). We define:

$$a' + b' := \mathbb{W}_{S}(e)(S) = (S_{n}(a'_{1}, \dots, a'_{n}, b'_{1}, \dots, b'_{n}))_{n \in S}$$

$$a' \cdot b' := \mathbb{W}_{S}(e)(P) = (P_{n}(a'_{1}, \dots, a'_{n}, b'_{1}, \dots, b'_{n}))_{n \in S}$$

$$-a' := \mathbb{W}_{S}(e)(I) = (I_{n}(a'_{1}, \dots, a'_{n}, b'_{1}, \dots, b'_{n}))_{n \in S}$$

where e commutes with integer polynomials, since it is a ring homomorphism. This is the unique way to define the ring structure on  $W_S(A')$ , since functoriality of W forces  $W_S(e)$  to be a ring homomorphism.

**Claim**: These operations make  $W_S(A)$  into a ring.

*Proof of claim.* Suppose first that A' is torsion-free, then the ghost map is injective and hence the ring axioms are satisfied. For the general case, choose a surjective ring homomorphism  $g \colon A'' \to A'$  from a torsion-free ring A''(For example, one could take A'' to be  $\mathbb{Z}A'$ ). Then  $\mathbb{W}_S(g) \colon \mathbb{W}_S(A'') \to \mathbb{W}_S(A')$  is again surjective, and since the ring axioms are satisfied on the left-hand side, they are satisfied on the right-hand side.

**Claim**:  $w: \mathbb{W}_{S}(A) \to A^{S}$  is a natural ring homomorphism.

w is natural, because for  $f: A \rightarrow B$ :

commutes since f is a ring homomorphism, hence commutes with the integer polynomials  $w_n$ . To show that w is a ring homomorphism, let  $a', b' \in W_S(A)$ . Then:

$$w_n(a'+b') = w_n(\mathbb{W}_S(e)(S)) = e(w(S)) = e(w(a) + w(b))$$
  
=  $e(w(a)) + e(w(b)) = w(a') + w(b')$ 

and analogously  $w(a' \cdot b') = w(a') \cdot w(b')$ .

**Corollary 1.8**  $w_n : W_S(A) \to A$  is a natural ring homomorphism for all  $n \in S$ .

**Lemma 1.9** The zero element in  $W_S(A)$  is given by (0, 0, 0, ...) and the unit in  $W_S(A)$  is given by (1, 0, 0, ...).

**PROOF**: (For better readability, this proof assumes  $S = \mathbb{N}$ , but the general proof is exactly the same.) Suppose first that  $A = \mathbb{Z}[a_n, b_n \mid n \in \mathbb{N}]$ . Let  $a = (a_n)_n$  be a Witt vector. Then:

$$w((0,0,0,\dots)) = (0,0,0,\dots)$$

since  $w_n(0, 0, 0, ...) = 0$  for all n.

$$w((1,0,0,\dots)) = (1,1,1,\dots)$$

since  $w_n(1,0,0,\dots)=1^n=1$  for all n. By injectivity of the ghost map, the claim follows, because  $(0,0,0,\dots)$  and  $(1,0,0,\dots)$  are the zero element respectively the unit in  $A^{\mathbb{N}}$ . In the general case: For A' any ring,  $(a'_n)_n\in \mathbb{W}_S(A')$ ,  $(a'_n)_n+(0,0,\dots)$  is defined as  $(S_1(a'_1,0),S_2(a'_1,a'_2,0,0),\dots)$  and since  $(S_1(a_1,0),S_2(a_1,a_2,0,0),\dots)=(a_1,a_2,\dots)\in \mathbb{Z}[a_n,b_n\mid n\in\mathbb{N}]$ , these polynomial equations still hold if we plug in a different sequence. The same reasoning show that  $(1,0,\dots)$  is the unit.

### **Proposition 1.10** $\mathbb{W}_{S}(\ )$ *is a functor* $\mathbb{C}Ring \to \mathbb{C}Ring$ .

**PROOF:**  $W_S(id) = id$  and  $W_S(g \circ f) = W_S(g) \circ W_S(f)$  are clear, since  $W_S(\_)$  on morphisms is identical with the countable product functor  $(\_)^{\mathbb{N}}$ . All that is left to show is that for a

ring homomorphism  $f: A \to B$ ,  $W_S(f): W_S(A) \to W_S(B)$  is again a ring homomorphism.

$$W_S(f)(1,0,\ldots) = (f(1),f(0),\ldots) = (1,0,\ldots)$$

Now let  $x = (x_n)_n$ ,  $y = (y_n)_n$  be two Witt vectors.

$$W_{S}(f)(x + y) = W_{S}(f)(S_{n}(x_{1}, ..., x_{n}, y_{1}, ..., y_{n}))_{n}$$

$$= (f(S_{n}(x_{1}, ..., x_{n}, y_{1}, ..., y_{n})))_{n}$$

$$= (S_{n}(f(x_{1}), ..., f(x_{n}), f(y_{1}), ..., f(y_{n})))_{n}$$

$$= W_{S}(f)(x) + W_{S}(f)(y)$$

where f commutes with integer polynomials since it is a ring homomorphism. An identical computation shows that

$$W_S(f)(x \cdot y) = \mathbb{W}_S(f)(x) \cdot \mathbb{W}_S(f)(y)$$

## 1.2 The Verschiebung, Frobenius and Teichmüller maps

We have various operations on Witt vectors that are of interest.

**Definition 1.11** (Restriction map). If  $T \subseteq S$  are two truncation sets, the *restriction from S* to T

$$R_T^S \colon \mathbb{W}_S(A) \to \mathbb{W}_T(A)$$

is a natural ring homomorphism. This follows from the fact that for the polynomials used to define addition and multiplication in the Witt vector ring we have  $S_n, P_n \in \mathbb{Z}[a_1, \ldots, a_n, b_1, \ldots, b_n]$  (see the proof of Dwork's lemma,  $(\Leftarrow)$ ).

If  $S \subseteq \mathbb{N}$  is a truncation set,  $n \in \mathbb{N}$ , then

$$S/n := \{d \in \mathbb{N} \mid nd \in S\}$$

is again a truncation set.

**Definition 1.12** (Verschiebung). Define

$$V_n \colon \mathbb{W}_{S/n} \to \mathbb{W}_S(A); \ V_n((a_d)_{d \in S/n})_m \coloneqq \begin{cases} a_d, & \text{if } m = n \cdot d \\ 0, & \text{else} \end{cases}$$

which is called the *n-th Verschiebung map*. Furthermore define

$$\widetilde{V}_n \colon A^{S/n} \to A^S; \ \widetilde{V}_n((x_d)_{d \in S/n})_m \coloneqq \begin{cases} n \cdot x_d, & \text{if } m = n \cdot d \\ 0, & \text{else} \end{cases}$$

**Lemma 1.13** The Verschiebung map  $V_n$  is additive.

Proof:

 $\mathbf{Claim}: \begin{array}{c} \mathbb{W}_{S/n}(A) \stackrel{w}{\longrightarrow} A^{S/n} \\ \downarrow^{V_n} & \downarrow^{\widetilde{V_n}} \text{ commutes.} \\ \mathbb{W}_S(A) \stackrel{w}{\longrightarrow} A^S \end{array}$ 

*Proof of claim.* Let  $a = (a_d)_{d \in S/n} \in \mathbb{W}_{S/n}(A)$ . Let  $m \in S$ .

• case 1:  $m \neq n \cdot d \ \forall d \in S$ : Then  $\widetilde{V}_n(w(a))_m = (\widetilde{V}_n(w_d)_{d \in S/n})_m = 0$  and

$$w(V_n(a))_m = \sum_{k|m,k=nd} k \cdot a_d^{m/k} = 0$$

because if there would be  $k \mid m, k = nd$ , this would mean that  $m = k \cdot d' = n \cdot d \cdot d'$  for  $d, d' \in S$  and then  $d \cdot d' \mid m$  which is a contradiction to case 1.

• case 2:  $m = n \cdot d$  for some  $d \in S$ :

$$\begin{split} \widetilde{V_n}(w(a))_m &= (\widetilde{V_n}(w_d)_{d \in S/n})_m = n \cdot w_d = n \cdot \sum_{k|d} k \cdot a_k^{d/k}. \\ w(V_n(a))_m &= w_m(V_n(a)) = \sum_{k|nd} k \cdot (V_n(a))_k^{nd/k} \\ &= \sum_{k|nd,k=nd_k} k \cdot a_{d_k}^{nd/k} = n \cdot \sum_{k|nd,k=nd_k} d_k \cdot a_{d_k}^{nd/nd_k} \\ &= n \cdot \sum_{k|nd,k=nd_k} d_k \cdot a_{d_k}^{d/d_k} = n \cdot \sum_{k|d} k \cdot a_k^{d/k} \end{split}$$

because  $nd_k \mid nd \iff d_k \mid d \text{ for } d_k, d, n \in \mathbb{N}.$ 

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 $\widetilde{V_n}$  is obviously additive, so assume now that A is torsion-free. Then the ghost map is injective, so it is enough to check that  $w(V_n(a+b)) = w(V_n(a) + V_n(b))$  for  $a, b \in W_{S/n}$ . Since

$$\begin{aligned} \mathbf{W}_{S/n}(A) & \xrightarrow{w} A^{S/n} \\ \downarrow^{V_n} & & \downarrow^{\widetilde{V}_n} \\ \mathbf{W}_{S}(A) & \xrightarrow{w} A^{S} \end{aligned}$$

commutes, we calculate:

$$w(V_n(a+b)) = \widetilde{V}_n(w(a+b)) = \widetilde{V}_n(w(a) + w(b))$$
  
=  $\widetilde{V}_n(w(a)) + \widetilde{V}_n(w(b)) = w(V_n(a)) + w(V_n(b)) = w(V_n(a) + V_n(b))$ 

For the general case, choose a surjective ring homomorphism  $g\colon A\to A'$ , where A is torsion-free. Then the diagram

$$\mathbb{W}_{S/n}(A) \xrightarrow{\mathbb{W}_{S/n}(g)} \mathbb{W}_{S/n}(A')$$

$$\downarrow V_n \qquad \qquad \downarrow V_n$$

$$\mathbb{W}_{S}(A) \xrightarrow{\mathbb{W}_{S}(g)} \mathbb{W}_{S}(A')$$

clearly commutes and since  $\mathbb{W}_{S/n}(g)$  is surjective, there are  $x,y\in\mathbb{W}_{S/n}(A)$  such that  $\mathbb{W}_{S/n}(g)(x)=a, \mathbb{W}_{S/n}(g)(y)=b$ . Then

$$\begin{split} V_n(a+b) &= V_n(\mathbb{W}_{S/n}(g)(x+y)) = \mathbb{W}_S(g)(V_n(x+y)) \\ &= \mathbb{W}_S(g)(V_n(x)) + \mathbb{W}_S(g)(V_n(y)) = V_n(\mathbb{W}_{S/n}(g)(x)) + V_n(\mathbb{W}_{S/n}(g)(y)) \\ &= V_n(a) + V_n(b) \end{split}$$

Next, we will introduce the *frobenius homomorphism*, which will play an important rule in the proof of the comonadic structure of **W** as well. For that, first define  $\widetilde{F}_n: A^S \to A^{S/n}$  by  $\widetilde{F}_n((x_m)_{m \in S}) = (x_{nm})_{m \in S/n}$ .

**Lemma 1.14** (Frobenius homomorphism) *There exists a unique natural ring homomorphism* 

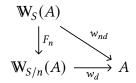
$$F_n \colon \mathbb{W}_S(A) \to \mathbb{W}_{S/n}(A)$$

such that the diagram

commutes.

We call  $\mathcal{F}_n$  the nth Frobenius homomorphism. The commutativity of the diagram above is

equivalent to commutativity of the following diagram for every  $d \in S/n$ :



*Proof of Lemma 1.14.* We construct  $F_n$  similar to the construction of the ring operations on  $\mathbb{W}_S(A)$  using Lemma 1.5 again. So let A be the polynomial ring  $\mathbb{Z}[a_i \mid i \in S]$ , let  $a = (a_i)_{i \in S}$  and let  $\phi_p$  be the unique ring homomorphism  $a_i \mapsto a_i^p$ . It satisfies  $\phi_p(a) \equiv a^p \mod pA$  (compare the proof of 1.7). Then Lemma 1.5 shows that the sequence  $\widetilde{F}_n(w(a)) \in A^{S/n}$  is in the image of a unique element

$$F_n(a) = (f_{n,d}(a))_{d \in S/n}$$

by the ghost map, where the  $f_{n,d}$  are integer polynomials with indeterminates  $a_i$ . (Indeed: we have

$$\begin{split} \phi_p((\widetilde{F}_n(w(a)))_{m/p}) &= \phi_p((w_{nm/p})) = \sum_{k|nm/p} k \cdot a_k^{nm/k} \\ \widetilde{F}_n(w(a))_m &= w_{nm} = \sum_{k|nm} k \cdot a_k^{nm/k} \end{split}$$

and both sums are congruent mod  $p^{v_p(m)}A$ .) If A' is any ring and if  $a' = (a'_i)_{i \in S}$  is a vector in  $W_S(A)$ , then we define

$$F_n(a') := \mathbb{W}_{S/n}(e_{a'})(F_n(a)) = (f_{n,d}(a'))_{d \in S/n}$$

where  $e_{a'}: A \to A'$  is the unique ringhomomorphism that maps a to a'. Now since  $\widetilde{F}_n$  is clearly a ring homomorphism, we can argue similar as in the proof of Lemma 1.13 to show that  $F_n$  is a ring homomorphism.  $F_n$  is natural, since for a ring homomorphism  $f: A' \to B'$  the diagram

$$\mathbb{W}_{S}(A') \xrightarrow{\mathbb{W}_{S}(f)} \mathbb{W}_{S}(B')$$

$$\downarrow^{F_{n}} \qquad \downarrow^{F_{n}}$$

$$\mathbb{W}_{S/n}(A') \xrightarrow{\mathbb{W}_{S/n}(f)} \mathbb{W}_{S/n}(B')$$

commutes, because f commutes with integer polynomials, as it is a ring homomorphism. Lastly, uniqueness of  $F_n$  follows from naturality, since for  $a' \in A'$ , the following diagram

has to commute:

$$\mathbb{W}_{S}(A) \xrightarrow{\mathbb{W}_{S}(e_{a'})} \mathbb{W}_{S}(A')$$

$$\downarrow^{F_{n}} \qquad \downarrow^{F_{n}}$$

$$\mathbb{W}_{S/n}(A) \xrightarrow{\mathbb{W}_{S/n}(e_{a'})} \mathbb{W}_{S/n}(A')$$

Note that for  $n, m \in \mathbb{N}$  we have (S/n)/m = S/nm by definition.

**Lemma 1.15** *Let*  $n, m \in \mathbb{N}$ *. Then* 

$$F_n \circ F_m = F_{nm}$$
.

**PROOF**: We have  $\widetilde{F}_n \circ \widetilde{F}_m = \widetilde{F}_{nm}$ , since

$$\widetilde{F}_n(\widetilde{F}_m(x_d)_{d \in S}) = \widetilde{F}_n((x_{md})_{d \in S/m}) = (x_{nmd})_{d \in S/nm} = \widetilde{F}_{nm}((x_d)_{d \in S}).$$

Now suppose that A is torsion-free, which means that the ghost map is injective. We have the following commutative diagram:

$$W_{S}(A) \stackrel{w}{\longleftarrow} A^{S}$$

$$\downarrow^{F_{n}} \qquad \downarrow^{\widetilde{F}_{n}}$$

$$W_{S/n}(A) \stackrel{w}{\longleftarrow} A^{S/n}$$

$$\downarrow^{F_{m}} \qquad \downarrow^{\widetilde{F}_{m}}$$

$$W_{S/nm}(A) \stackrel{w}{\longleftarrow} A^{S/nm}$$

and then  $w \circ (F_n \circ F_m) = \widetilde{F}_n \circ \widetilde{F}_m \circ w = \widetilde{F}_{nm} \circ w = w \circ (F_{nm})$  which implies  $F_n \circ F_m = F_{nm}$ , since w is injective, hence a mono. Now, for the general case choose  $g \colon A \to A'$  surjective, then we have the following commuting diagram:

and then  $F'_n \circ F'_m \circ \mathbb{W}(g) = \mathbb{W}(g) \circ F_n \circ F_m = \mathbb{W}(g) \circ F_{nm} = F'_{nm} \circ \mathbb{W}(g)$  which implies  $F'_n \circ F'_m$  since  $\mathbb{W}(g)$  is surjective, hence an epi.

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**Lemma 1.16**  $F_1 = id: W_S(A) \to W_S(A)$ .

**PROOF**: clearly,  $\widetilde{F}_1 = \mathrm{id}_{A^S}$ , now if A is torsion-free, the claim follows, and in the general case we can argue as before.

Definition 1.17 (teichmüller representative). The teichmüller representative is the map

$$\tau \colon A \to \mathbb{W}_{S}(A)$$

defined by

$$(\tau(a))_m = \begin{cases} a, & \text{if } m = 1\\ 0, & \text{else} \end{cases}$$

Lemma 1.18 The teichmüller map is multiplicative.

**PROOF**: The map  $\widetilde{\tau}$ :  $A \to A^S$ ;  $(\widetilde{\tau}(a))_n = a^n$  is multiplicative and there is a commutative diagram

Indeed,  $w_n(\tau(a)) = w_n((a, 0, 0, ...)) = a^n$  by definition of  $w_n$ .

#### 1.3 The comonad structure of Witt vectors

We will need the following lemma:

**Lemma 1.19** Let  $m \in \mathbb{Z}$ . If m is a non-zero divisor in A, then it is a non-zero divisor in  $W_S(A)$  as well.

**PROOF:** We can assume that S is finite, since  $\mathbb{W}_S(A)$  is the projective limit of all  $\mathbb{W}_T(A)$  where T is a finite subset of S. We will prove the Lemma by induction over |S|. If  $S = \emptyset$ , the statement is trivial, so let |S| = 1, this means that  $S = \{n\}$  for some  $n \in \mathbb{N}$ , but then  $\mathbb{W}_{\{n\}}(A) \cong \mathbb{W}_{\{1\}}(A) = A \text{ via } V_n$ . Now for the induction step, let  $n \in S$  be maximal and let  $T = S - \{n\}$ . Then  $S/n = \{1\}$  and therefore we have a short exact sequence

$$0 \longrightarrow A \xrightarrow{V_n} W_S(A) \xrightarrow{R_T^S} W_T(A) \longrightarrow 0$$

since  $V_n$  maps a to (0, ..., a) and  $R_T^S$  forgets the last coordinate. We can extend the sequence to the following commutative diagram:

$$0 \longrightarrow A \longrightarrow W_{S}(A) \longrightarrow W_{T}(A) \longrightarrow 0$$

$$\downarrow \cdot m \qquad \qquad \downarrow \cdot m \qquad \qquad \downarrow \cdot m$$

$$0 \longrightarrow A \longrightarrow W_{S}(A) \longrightarrow W_{T}(A) \longrightarrow 0$$

Now m being a non-zero divisor is equivalent to  $\cdot m$  being injective, but if the two outer vertical maps are injective, applying the snake lemma yields that the middle map has to be injective, too.

**Corollary 1.20** If A is torsion-free, then  $W_S(A)$  is torsion-free as well.

**Definition 1.21** (p-typical and big Witt vectors). For a prime p, the set  $P := \{1, p, p^2, \ldots\}$  is a truncation set. The ring  $W_p(A)$  is called the p-typical Witt vectors, the ring  $W_n(A) := W_{\{1,p,p^2,\ldots,p^n\}}(A)$  is called the p-typical Witt vectors of length n. In most of the literature, elements in those two rings are indexed by their exponent. We define the big Witt vectors to be  $W(A) := W_N(A)$ 

For the construction of a natural transformation  $W(A) \to W(W(A))$  we want to use Lemma 1.5 again. Hence we first show:

**Lemma 1.22** Let p be a prime number, let A be any ring. Then the ring homomorphism  $F_p \colon \mathbb{W}(A) \to \mathbb{W}(A)$  satisfies  $F_p(a) \equiv a^p \mod pA$ .

**PROOF:** Suppose first, that  $A = \mathbb{Z}[a_1, a_2, \dots]$  and let  $a = (a_1, a_2, \dots)$ . Since

$$\begin{split} F_p(a) &\equiv a^p & \mod p \mathbb{W}(A) \\ &\iff F_p(a) - a^p \equiv 0 & \mod p \mathbb{W}(A) \\ &\iff F_p(a) - a^p \in p \mathbb{W}(A) \end{split}$$

it suffices to show there exists  $b \in W(A)$  such that  $F_p(a) - a^p = p \cdot b$ . By Lemma 1.19, this element is unique. Applying the ghost map gives us:

$$w_n(F_p(a) - a^p) = w_n(F_p(a)) - w_n(a)^p = w_{pn}(a) - w_n(a)^p = \sum_{d \mid pn} d \cdot a_d^{pn/d} - (\sum_{d \mid n} d \cdot a_d^{n/d})^p$$

using Lemma 1.14. This is now congruent to 0 mod pA: modulo p,  $x \mapsto x^p$  is a ring homomorphism, so the second summand is congruent to  $\sum_{d|n} d \cdot a_d^{np/d}$ . Now if  $d \mid pn, d \nmid n$ ,

then  $p \mid n$ , which shows that the two summands are congruent. It follows that there exists  $x = (x_n)_{n \in \mathbb{N}}$  such that

$$p \cdot x_n = w_n(F_p(a) - a^p) \iff x_n = \frac{1}{p} \cdot w_n(F_p(a) - a^p)$$
 (1.3)

We want to show that x = w(b) for some  $b \in W(A)$ . Then

$$w(p \cdot b) = p \cdot w(b) = p \cdot x = w(F_p(a) - a^p)$$

which implies by injectivity of w that  $p \cdot b = F_p(a) - a^p$ . For this, we want to use Lemma 1.5 again. Consider the unique ring homomorphism  $\phi_l \colon A \to A$  which maps  $a_n$  to  $a_n^l$ . By Lemma 1.5 it suffices to show:

$$x_n \equiv \phi_l(x_{n/l}) \mod l^{v_l(n)}$$

for all primes l, for all  $n \in N$  with  $l \mid n$ . But this is equivalent to:

$$w_n(F_p(a) - a^p) \equiv \phi_l(w_{n/l}(F_p(a) - a^p)) \quad \text{mod } l^{v_l(n)A} \quad \forall l \neq p, \forall n \in l\mathbb{N}$$

and

$$w_n(F_p(a) - a^p) \equiv \phi_p(W_{n/p}(F_p(a) - a^p)) \quad \text{mod } p^{v_p(n) + 1} A \quad \forall n \in p \mathbb{N}$$

(Using 1.3 we have for l = p:

$$\begin{aligned} x_n &\equiv \phi_p(x_{n/p}) \bmod p^{v_p(n)} A \iff p \cdot x_n \equiv p \cdot \phi_p(x_{n/p}) & \mod p^{v_p(n)+1} A \\ & \stackrel{1.3}{\Longleftrightarrow} w_n(F_p(a) - a^p) \equiv \phi_p(w_{n/p}(F_p(a) - a^p)) & \mod p^{v_p(n)+1} A \end{aligned}$$

and for  $l \neq p$ :

$$\begin{split} x_n &\equiv \phi_l(x_{n/l}) \bmod l^{v_l(n)} A \iff p \cdot x_n \equiv p \cdot \phi_l(x_{n/l}) \\ & \stackrel{1.3}{\Longleftrightarrow} \ w_n(F_p(a) - a^p) \equiv \phi_l(w_{n/l}(F_p(a) - a^p)) \ \bmod l^{v_l(n)} A. \end{split}$$

For  $l \neq p$ , the statement follows directly from Lemma 1.5. So now let l = p, let  $n \in p\mathbb{N}$ . Then:

$$\begin{split} & w_n(F_p(a) - a^p) - \phi_p(w_{n/p}(F_p(a) - a^p)) \\ &= w_{pn}(a) - w_n(a)^p - \phi_p(w_n(a)) + \phi_p(w_{n/p}(a))^p \\ &= \sum_{d|pn} d \cdot a_d^{pn/d} - (\sum_{d|n} d \cdot a_d^{n/d})^p - \sum_{d|n} d \cdot a_d^{np/d} + (\sum_{d|n/p} d \cdot a_d^{n/d})^p \end{split}$$

using Lemma 1.14 for the first equality. Now if  $d \mid pn, d \nmid n$ , then  $v_p(d) = v_p(n) + 1$ , hence the first and third summand cancel each other out, and for the second and forth summand,

using 1.2 and 1.4 again we have

$$\sum_{d|n} d \cdot a_d^{n/d} \equiv \sum_{d|n/p} d \cdot a_d^{n/d} \bmod p^{v_p(n)} A \implies (\sum_{d|n} d \cdot a_d^{n/d})^p \equiv (\sum_{d|n/p} d \cdot a_d^{n/d})^p \bmod p^{v_p(n)+1} A$$

which proves the claim. Now in the general case, let  $a' \in W(A')$ . Then

$$F_p(a') = \mathbb{W}g(F_p(a)) = \mathbb{W}g(a^p + p \cdot r) = (a')^p + p \cdot \mathbb{W}g(r)$$

for some  $r \in A$ .

**Proposition 1.23** There exists a unique natural transformation

$$\Delta \colon \mathbb{W}(A) \to \mathbb{W}(\mathbb{W}(A))$$

such that  $w_n(\Delta(a)) = F_n(a)$  for all  $a \in A$ ,  $n \in \mathbb{N}$ .

**PROOF:** By naturality of  $\Delta$ , we can assume A to be torsion-free. (If A' is an arbitrary ring, then the naturality implies uniqueness in the same way we argued in 1.14.) By applying Corollary 1.20 twice, we get that the ghost map

$$w \colon \mathbb{W}(\mathbb{W}(A)) \to \mathbb{W}(A)^{\mathbb{N}}$$

is injective. Now by Lemma 1.22,  $F_p \colon \mathbb{W}(A) \to \mathbb{W}(A)$  satisfies  $F_p(a) \equiv a^p \mod p\mathbb{W}(A)$ , hence we can use Lemma 1.5 again and just show that

$$F_n(a) \equiv F_p(F_{n/p}(a)) \quad \text{mod } p^{v_p(n)} A$$

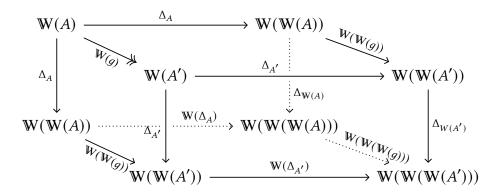
for all p prime,  $n \in p\mathbb{N}$ . But this immediately follows from Lemma 1.15, so there is a unique  $\Delta(a) \in \mathbb{W}(\mathbb{W}(A))$  such that  $w_n(\Delta(a)) = F_n(a)$ . Now  $\Delta$  is a natural ring homomorphism by construction, arguing as in 1.14.

Recall that by 1.8,  $w_1 \colon \mathbb{W}(A) \to A$ ;  $(a_n)_{n \in \mathbb{N}} \mapsto a_1$  is a natural transformation of functors  $\mathbb{W} \Rightarrow \mathrm{id}_{\mathrm{CRing}}$ .

**Theorem 1.24** The functor  $W(_{-})$ : CRing  $\to$  CRing together with the natural transformations  $\Delta \colon W \Rightarrow W^2$ ,  $w_1 \colon W \Rightarrow \operatorname{id}_{\operatorname{CRing}}$  form a comonad  $(W, w_1, \Delta)$ .

**PROOF**: By naturality of  $\Delta$ , we can assume that A is torsion-free, because if A' is an arbitrary ring, to show the associativity axiom, we can choose a torsion-free ring A and

 $g: A \to A'$  surjective as before and then consider the following cube:



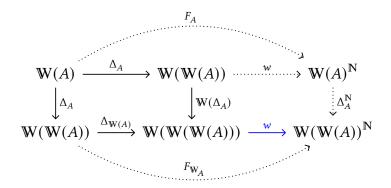
Since all the other faces of the cube commute and W(g) is surjective, the front face has to commute as well. By the same reasoning we get the unitality axiom in the general case.

$$\mathbf{W}(A) \xrightarrow{\Delta_A} \mathbf{W}(\mathbf{W}(A))$$

$$\mathbf{Claim}: \qquad \downarrow_{\Delta_A} \quad \# \qquad \downarrow_{\mathbf{W}(\Delta_A)} \quad \text{commutes.}$$

$$\mathbf{W}(\mathbf{W}(A)) \xrightarrow{\Delta_{\mathbf{W}(A)}} \mathbf{W}(\mathbf{W}(\mathbf{W}(A)))$$

*Proof of claim.* evaluating the ghost coordinates leads to:



which by Proposition 1.23 simplifies to the left of the following diagrams, now it suffices to show for an arbitrary n that the right diagram commutes.

$$\begin{array}{cccc}
\mathbb{W}(A) & \xrightarrow{F_A} & \mathbb{W}(A)^{\mathbb{N}} & \mathbb{W}(A) & \xrightarrow{(F_n)_A} & \mathbb{W}(A) \\
\downarrow^{\Delta_A} & \downarrow^{\Delta_A^{\mathbb{N}}} & \downarrow^{\Delta_A} & \downarrow^{\Delta_A} & \downarrow^{\Delta_A} \\
\mathbb{W}(\mathbb{W}(A)) & \xrightarrow{F_{\mathbb{W}(A)}} & \mathbb{W}(\mathbb{W}(A))^{\mathbb{N}} & \mathbb{W}(\mathbb{W}(A)) & \xrightarrow{(F_n)_{\mathbb{W}(A)}} & \mathbb{W}(\mathbb{W}(A))
\end{array}$$

evaluating the ghost coordinates again, keeping in mind that by 1.20 and 1.6, the map

 $w \colon \mathbb{W}(\mathbb{W}(A)) \to \mathbb{W}(A)^{\mathbb{N}}$  is injective as well, we get

$$\begin{array}{ccc}
\mathbb{W}(A) & \xrightarrow{(F_n)_A} & \mathbb{W}(A) \\
\downarrow^{\Delta_A} & & \downarrow^{\Delta_A} \\
\mathbb{W}(\mathbb{W}(A)) & \xrightarrow{(F_n)_{\mathbb{W}(A)}} & \mathbb{W}(\mathbb{W}(A)) \\
\downarrow^{w} & \downarrow^{w} \\
\mathbb{W}(A)^{\mathbb{N}} & \xrightarrow{(\widetilde{F}_n)_{\mathbb{W}(A)}} & \mathbb{W}(A)^{\mathbb{N}}
\end{array}$$

using the fact that W(W(A)) commutes, we can simplify the situation  $W(A)^{\mathbb{N}} \xrightarrow{(\widetilde{F}_n)_{W(A)}} W(A)^{\mathbb{N}}$ 

to the left of the following two diagrams which can again be simplified to the right diagram for every n.

$$\mathbb{W}(A) \xrightarrow{F_n} \mathbb{W}(A)$$

$$\downarrow^{\Delta_A} \xrightarrow{F_{nm}} \downarrow^{F_m}$$

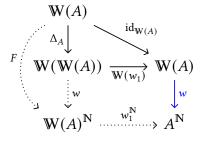
$$\mathbb{W}(W(A)) \xrightarrow{w_{nm}} \mathbb{W}(A)$$

$$\mathbb{W}(A) \xrightarrow{F_n} \mathbb{W}(A)$$

$$\mathbb{W}(A) \xrightarrow{F_n} \mathbb{W}(A)$$

Now this commutes by Lemma 1.15, hence we are finished.

*Proof of claim.* evaluate the ghost coordinates:



we can then simplify to the left of the following diagrams.

//



Again it suffices to show that for all n the right of the two diagrams commutes, which is true by Lemma 1.14.

Claim: W(A)  $\downarrow_{\Delta_A}$  commutes.  $W(A) \leftarrow_{w_1} W(W(A))$ 

Proof of claim. Let  $a \in W(A)$ .  $w_1(\Delta_A(a)) = F_1(a) = a$ , since  $F_1 = \mathrm{id}_{W(A)}$  by Lemma 1.16.

This concludes the proof.

# 1.4 The Teichmüller map induces a morphism of comonads

Now consider the *teichmüller map*  $\tau: A \to W(A); a \mapsto (a, 0, 0, 0, \dots)$ . It is multiplicative and preserves the unit, hence it extends uniquely to a natural ring homomorphism

$$\tau_A \colon \mathbb{Z}A \to \mathbb{W}(A)$$

**Theorem 1.25**  $\tau: \mathbb{Z}[_{-}] \Rightarrow \mathbb{W}(_{-})$  is a morphism of comonads.

**PROOF**: We need to show that the following diagrams commute:

$$\mathbb{Z}A \xrightarrow{\tau_A} \mathbb{W}(A) \qquad \mathbb{Z}A \xrightarrow{\omega_A} \mathbb{Z}\mathbb{Z}A$$

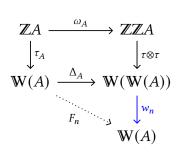
$$\downarrow^{(w_1)_A} \qquad \qquad \downarrow^{\tau_A} \qquad \qquad \downarrow^{\tau \otimes \tau}$$

$$\mathbb{W}(A) \xrightarrow{\Delta_A} \mathbb{W}(\mathbb{W}(A))$$

By the universal property of  $\mathbb{Z}A$ , it suffices to consider elements of the form [a] for  $a \in A$ . For the first diagram:  $w_1(\tau([a])) = a = \varepsilon([a])$ . For the second diagram, arguing as before,

//

it suffices to show commutativity after evaluating the ghost coordinates:



Note that  $F_n(\tau([a])) = \tau([a^n])$  since evaluating the ghost coordinates shows that the equation holds if A is torsion-free (using 1.14), and hence, in general. Using this, we see that  $w_n(\tau \otimes \tau(\omega([a]))) = w_n(\tau \otimes \tau([[a]])) = w_n((\tau([a]), 0, \dots)) = \tau([a])^n = (a^n, 0, \dots)$  and  $F_n(\tau([a])) = \tau([a^n]) = (a^n, 0, \dots)$ . This concludes the proof.