DK Forest LiDAR v.0.1.0 (beta)

Classifications of Denmark's forest quality using the EcoDes-DK15 dataset (https://github.com/jakobjassmann/ecodes-dk-lidar) and other spatial data.

Disclaimer: This project is under development and not yet peer-reviewed.

Project overview

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- Disturbance map v0.1.0 (36 MB, GeoTiff) (https://dkforestlidar2022.s3.eu-central-1.amazonaws.com/disturbance_since_2015_cog_epsg3857_v0.1.0.tif)
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[last update: 3 March 2022]

Workflow Overview

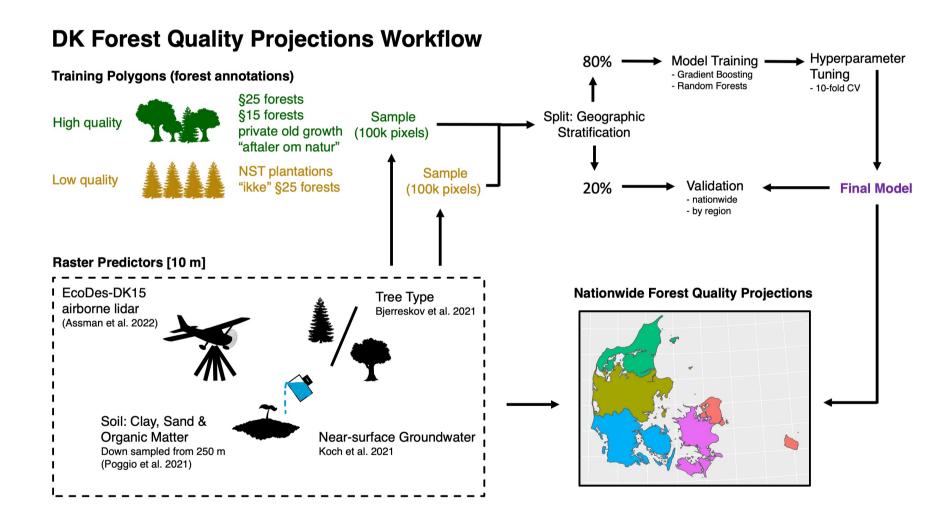
Jakob J. Assmann

03/03/2022

This document provides an overview on the workflow that we used to generate the models for predicting forest quality in Denmark.

In brief:

- 1. We gathered raster predictors with 10 m res. that we deemed meaningful for predicting the quality of forests in Denmark.
- 2. We gathered ~20k annotations for forests with high and low quality in Denmark.
- 3. We generated a training dataset of 200k pixels that fell within the annotated forests.
- 4. We split the training dataset 80%/20% prior model training using a geographic stratification.
- 5. We trained Gradient Boosting and Random Forest models.
- 6. We tuned the model hyperparameters using 10 fold cross validation based on the training dataset from the 80%/20% split.
- 7. We tested the final model performance on the validation dataset from the 80%/20% split.
- 8. We projected the forest quality across the whole of Denmark using the final models and the predictor rasters.



DK Forest LiDAR - Forest Annotations & Training Data

Jakob J. Assmann

03/03/2022

This document provides an overview of the forest annotations used for generating the training dataset that forms the base of our forest quality models for Denmark.

These annotations are vector polygons of forests in Denmark that are of known "high" or "low" quality. We used these polygons to generate a training dataset of 200k pixels based on the 10 m grid of Denmark that is used by our models. The grid is defined by the EcoDes-DK15 dataset. A brief description on how the final pixel training dataset was generated from the forest annotations can be found at the end of this document.

Note: What makes a "high" or "low" quality forest is to some degree arbitrary. Our definitions here are the result of a long discussion and have been developed over multiple years. The aim was to arrive at a workable definition that aligns with the current framework of forest designations in Denmark, while also ensuring that enough training data is available. We appreciate that our chosen definitions of forest quality are a simplification and not without flaws (e.g., we assume that all "plantations" are of low forest quality).

High quality forests

Total number of high quality forest polygons: 9400.

§25 and §15 forest

The core of the high quality forest annotations is made up by the polygons for the designated §25 ("naturmaessigt saerlig vaerdifuld skov") and §15 ("skovnatur") forests. The vector boundaries of these forests were retrieved from "Danmarks Miljøportal" (https://arealinformation.miljoeportal.dk/)):

- p25_offentligareal.shp (§25 forests, accessed on on 5 April 2019 • skov_kortlaegning_2016_2018.shp (§15 forests, accessed 24 September 2019).
- Number of forests: 9044 (§25 forests: 2906; and §15 forests: 6138).

Untouched forests and "aftaler om natur"

skovtilskud for private" (https://miljoegis3.mim.dk/spatialmapsecure?profile=privatskovtilskud (https://miljoegis3.mim.dk/spatialmapsecure? profile=privatskovtilskud)): tilsagn17_st_uroert_skov_privat_tilskud.shp (untouched forests, accessed on 6 July 2021)

The two other components of the high quality forest annotations are vector boundaries from the untouched forests (private and public), as well as areas with agreements on nature ("aftaler om natur"). The vector boundaries of these areas were retrieved from "Miljøgis - Ansøgning om

- tilsagn18_st_uroert_skov.shp (untouched forests, accessed on 6 July 2021) tilsagn19_st_uroert_skov_privat_tilskud.shp (untouched forests, accessed on 6 July 2021)
- tilsagn20_st_uroert_skov_privat_tilskud.shp (untouched forests, accessed on 6 July 2021)
- aftale_natur_tinglyst.shp (agreements on nature, accessed on 6 July 2021)
- Number of forests: 356 ("untouched": 118; "aftaler om natur": 238).

Low quality forests

Total number of low quality forest polygons: 10697.

communication on 19 November 2019.

"Ikke" §25 forests

These forests are forests that were considered for being designated as §25 forests, but did not meet the requirements (e.g., after completion of the field survey). The vector geometries for these forests were shared with us by Bjarne Aabrandt Jensen (Miljøstyrelsen) in a personal

Number of forests: 5848.

ikkeP25_skov.shp (personal communication, 19 November 2019)

NST plantations

These forests are plantations owned by Denmark's environment agency "Naturstyrelsen" (NST). The vector geometries and auxiliary data for

these forests were obtained by personal communication from Bjørn Ole Ejlersen at NST to Pil Pedersen on 11 June 2020. The source dataset includes all forests owned by NST. To subset only forests that are plantations, we filtered the data by excluding all forests

that had an "ANV 4" value of 1, were classified as "urørt" or designated as "historical". We then sub-sampled the plantations to ensure a balanced training dataset between high and low quality forests (target :~10k high & ~10k low quality forests). We drew a sample of 5000 plantations. To account for variation within stand ages, we stratified the sample based on the following stand ages classes (years): [0, 10], (10, 25], (25, 50], (50, 75], (75, ∞). For each stand age we drew 1000 forests at random. Not all forests that were drawn in the sample had an associated polygon in the separate vector geometry file (n missing = 151), these forests were not included in the final NST plantation subset. NST 2019 08012019 ber 16012020 til bios_au.xlsx (NST forests data table) LitraPolygoner_region.shp (NST forests polygons)

- Number of forests: 4849.

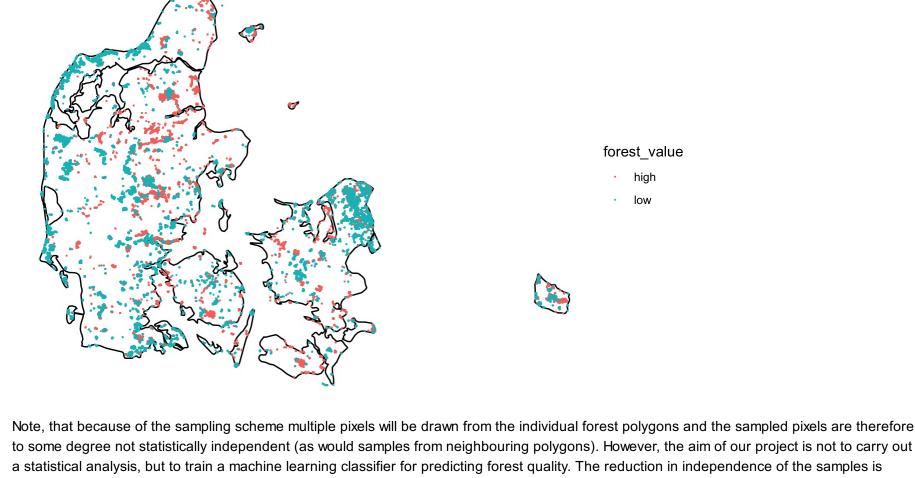
Pixel sampling

Pixel training dataset

We used the forest annotations (9400 high quality forests and 10697 low quality forests) to generate a sample of 200k pixels based on the EcoDes-DK14 grid to train our forest quality models.

The EcoDes-DK15 dataset uses a version of the Danish national grid that divides terrestrial Denmark into 10 m x 10 m cells / pixels (UTM32). For the training dataset we drew a sample of 100k pixels each from within the high quality and the low quality forest polygons. Specifically, the

sample was based on the EcoDes-DK15 "dtm10_m" descriptor raster). The sample was drawn completely at random. Pixel sample for training



therefore not an issue, as long as it does not lead to over fitting of the models (which it did not). Instead sub-sampling of the forest polygons is actually desirable as it allows us to capture the variation within the forest polygons themselves and therefore increase the predictive capabilities of our models. We also include focal (window) predictors variables to account for within landscape-scale (100 m x 100 m) variation in our models. Finally, we chose a sample size of 200k pixels as a compromise between available computing power and model performance. There are approximately 56.3 million forest pixels in Denmark and the training sample therefore represents ~0.36% of the total forest area in the country. Training / validation split (geographic stratifiaction)

To allow for an independent validation of the model performance, we split the data 80/20 (training / validation) before carrying out the training. To account for potential geographical covariation in the training data we used a geographic stratification when carrying out the split. This means

that the split was not conducted at random on the whole dataset, but randomly within regions (80/20 in each region). We used two different stratification schema of Denmark (see below).

Biowide stratification This stratification was developed for the BIOWIDE project (Brunbjerg et al. 2019). The geometries are not publicly available and were kindly shared with us by Ane Brunbjerg (personal communication on 1 September 2021). Some further clean up of the geometries was required on our end. We had to make sure the boundaries of geometries were flush among neighbouring regions and that the coastlines were buffered.

region

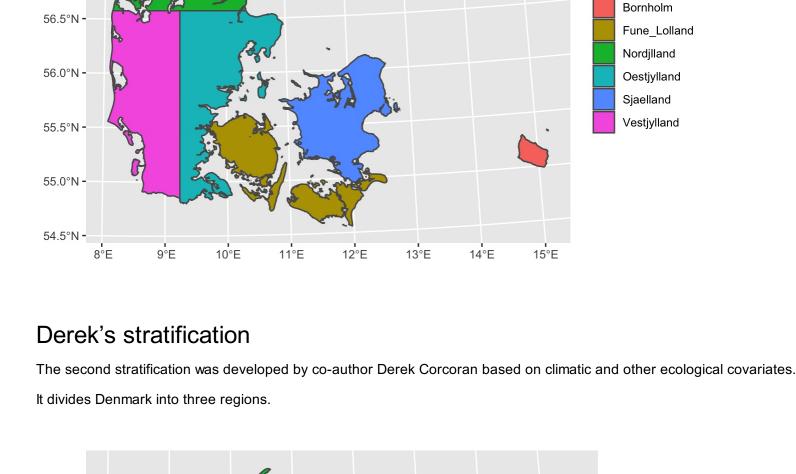
57.5°N

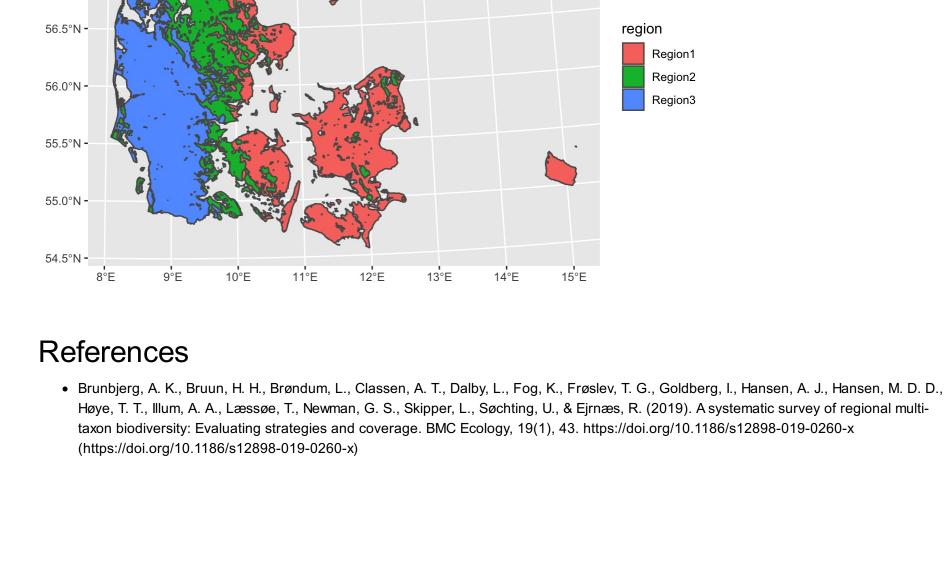
57.0°N

57.5°N

57.0°N

The stratification divides Denmark into six regions.





DK Forest LiDAR - Predictor Data Overview

Jakob J. Assmann 02/03/2022

Predictor variables and selection

EcoDes-DK15 descriptors

The core of the predictor variables is formed by the EcoDes-DK15 rasterised lidar descriptors (Assmann et al. 2022) generated from the 2014/15 national airborne laser scanning campaign conducted by the Danish government.

From the 76 available EcoDes-DK15 layers (incl. auxiliary layers), we removed the date_stamp_xxx, point_count_xxx, point_source and building_proportion layers as we deemed those non-informative for the task of predicting forest quality. We kept the sea and water mask layers to try out sub-setting of the training data to make sure only land pixels are included, but discarded the mask layers later in the analysis.

Furthermore, we removed the following descriptors: canopy_openness, point_count, normalized_z_mean, heat_load_index, openness_mean, twi - as the ecological meaning of these was conceptually redundant with other descriptors (vegetation_density, canopy_height, solar_radiation, openness_difference and ground water respectively) and initial model runs indicated that these variables had a low predictive power. We also removed the aspect variable because it was a very weak predictor. This makes sense conceptually as the aspect at 10 m likely has little meaning

Finally, we removed all vegetation_proportion variables. These variables demonstrated a low predictive power by themselves. However, to capture the vertical variability in the lidar point cloud we calculated a foliage height diversity variable.

on whether a forest cell is of high quality or not (all cardinal directions would theoretically be expected to be of high quality).

The final set of used EcoDes-DK15 variables is:

- amplitude_mean
- amplitude_sd
- canopy_height
- dtm_10m
- normalized_z_sd
- openness_difference
- slope
- solar_radiation

vegetation_density

To capture the vertical variation in the forest canopy we calcualted the "foliage height diversity" (MacArthur and MacArthur 1961) from the EcoDes-DK15 point proportion descriptors We followed the height bins used by Wilson (1974): 0 m - 1.5 m, 1.5 m - 9 m, and >9 m.

foliage_height_diversity

Foliage height diversity

Tree type predictor

As we expected that most common tree type (broadleaf vs. coniferous) would play an important role in determining if and why a forest is of high or low quality, we included the tree type projections generated by Bjerreskov et al. (2021).

The authors used a multi-temporal Sentinel 1/2 data fusion (SAR and optical) approach to assign forest types in a binary classification (broadleaf vs. coniferous).

As both types are mutually exclusive we discarded the "is confierous" variable after one-hot encoding of the source data. The source data is currently not publicly avialable, but was kindly shared with us by Thomas Nord-Larsen (senior author on Bjerreskov et al. 2021).

treetype_bjer_dec

Soil predictors

Clay, sand and organic carbon content of soil

Soil type and composition are an important indicator in the key for the paragraph 25 forests. Here we used the following three predictors to account for differences in the soils across Denmark:

- Clay utm32 10m
- Sand_utm32_10m
- Soc_utm32_10m

These data were obtained from the Soilgrids 2.0 dataset (Poggio et al. 2021). The original data layers were queried using the geodata package (Hijmans, Ghosh, and Mandel 2021) and subset to the extent of Denmark. The original data have a grain size of 250 m and are in a "Interrupted_Goode_Homolosine" projection. We projected them to the EcoDes-DK grid with 10 m grain size (UTM32N) using nearest neighbor resampling.

Note that the nearest neighbour resampling strategy is conservative and makes no assumption about the spatial distribution of the variables during the downsampling of the 250 m dataset. However, the downsampling may give the wrong impression that we have used higher-resolution predictor data than we actually have. Finally, the resampling will inevitably introduce some uncertainties where the downsampled grid and the orignal grid not align.

Water availability

To account for the wetness of the forest ground and the water availability to the plants we use the summer near-surface ground water estimates by Koch et al. 2021.

• ns_groundwater_summer

Focal variables

To capture the spacial context around a pixel beyond the 10 m grid, we selected four key predictor variables and calculated their mean and variation (sd) for two window sizes of 110 m and 250 m around each pixel. We selected these window sizes as the best candidates based on variograms generated for all variables.

We conducted a collinearity analysis on the focal variables and reduced the v ariables in a step-wise selection process to the following final four focal variables included in the models:

- dtm_10m_sd_110m
- canopy_height_sd_110m
- vegetation_density_sd_110m
- ns_groundwater_summer_sd_110m

Additional documentation of the selection process can be found in the focal variable selection (focal_var_selection.html) document.

Overview table final predictor data sources

Here is an overview table of the final predictor data sources.

| Predictor | Source Dataset | Ecological Meaning |
|---------------------------------|---------------------------|---|
| amplitude_mean | EcoDes- DK15 | Quality of lidar signal reflected (proxy of biomass). |
| amplitude_sd | EcoDes- DK15 | Variation in quality of lidar signal reflected within 10 m pixel (proxy of variation in biomass). |
| canopy_height | EcoDes- DK15 | Lidar estimator of canopy height (95-percentile of height distribution of all vegetation points in 10 m pixel). |
| canopy_height_sd_110m | EcoDes- DK15 | Variation in lidar estimator of canopy height within 110 m focal window (11 x 11 pixels). |
| Clay_utm32_10m | Poggio et al. 2021 | Estimated percentage clay content of soil (250 m resolution downscaled to 10 m). |
| dtm_10m | EcoDes- DK15 | Terrain height above sea level. |
| dtm_10m_sd_110m | EcoDes- DK15 | Variation in terrain height above sea level within 110 m focal window (11 x 11 pixels). |
| foliage_height_diversity | EcoDes- DK15 | Foliage height diversity MacArthur and MacArthur (1979) based on height bins by Wilson (1974) |
| normalized_z_sd | EcoDes- DK15 | Estimated variation in canopy height within 10 m pixel. |
| ns_groundwater_summer_sd_110m | Koch et al. 2021 | Estimate of depth of near-surface groundwater during an average summer. |
| ns_groundwater_summer_utm32_10m | Koch et al. 2021 | Variation in the estimate of depth of near-surface groundwater during an average summer within a 110 m focal window (11 x 11 pixels). |
| openness_difference | EcoDes- DK15 | Presence of linear features in the terrain (valleys, ridges etc.) based on a 50 m search radius. |
| Sand_utm32_10m | Poggio et al. 2021 | Estimated percentage sand content of soil (250 m resolution downscaled to 10 m). |
| slope | EcoDes- DK15 | Terrain slope at 10 m |
| Soc_utm32_10m | Poggio et al. 2021 | Estimated percentage soil organic carbon content of soil (250 m resolution downscaled to 10 m). |
| solar_radiation | EcoDes- DK15 | Annual incident solar radiation based on terrain model (aspect and slope). |
| treetype_bjer_dec | Bjerreskov et al. 2021 | Decidous or coniferous forest. |
| vegetation_density | EcoDes- DK15 | Denisty of vegetation points in 10 m lidar pixel. |
| vegetation_density_sd_110m | EcoDes- DK15 | Variation of density of vegeation points amongst pixels within 110 m window (11 x 11 pixels). |

Assmann, Jakob J., Jesper E. Moeslund, Urs A. Treier, and Signe Normand. "EcoDes-DK15: High-resolution ecological descriptors of

References

- vegetation and terrain derived from Denmark's national airborne laser scanning data set." Earth System Science Data Discussions (2021): 1-32. -Bjerreskov, K. S., Nord-Larsen, T., and Fensholt, R.: Classification of Nemoral Forests with Fusion of Multi-Temporal Sentinel-1 and 2 Data, 13, 950, https://doi.org/10.3390/rs13050950 (https://doi.org/10.3390/rs13050950), 2021. Hijmans, Robert J., Aniruddha Ghosh, and Alex Mandel. 2021. Geodata: Download Geographic Data. https://CRAN.Rproject.org/package=geodata (https://CRAN.R-project.org/package=geodata). -Koch, J., Gotfredsen, J., Schneider, R., Troldborg, L.,
- Stisen, S., and Henriksen, H. J.: High Resolution Water Table Modeling of the Shallow Groundwater Using a Knowledge-Guided Gradient Boosting Decision Tree Model, 3, 2021. MacArthur, R. H., & MacArthur, J. W. (1961). On Bird Species Diversity. Ecology, 42(3), 594–598. https://doi.org/10.2307/1932254 (https://doi.org/10.2307/1932254)
- Poggio, Laura, Luis M De Sousa, Niels H Batjes, Gerard Heuvelink, Bas Kempen, Eloi Ribeiro, and David Rossiter. 2021. "SoilGrids 2.0: Producing Soil Information for the Globe with Quantified Spatial Uncertainty." Soil 7 (1): 217–40.
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DK Forest LiDAR - Focal predictor selection

Jakob J. Assmann

02/03/2022

Content

We calculated the mean and sd in 110 m and 250 m windows for the following variables:

- dtm_10m
- canopy_height
- vegetation_density
- ns_ground_water

Here is how those measures are correlated with their focal variables:

canopy_height

| | cell_10m | mean_110m | mean_250m | sd_110m | sd_250m |
|-----------|----------|-----------|-----------|---------|---------|
| cell_10m | +1.00 | +0.88 | +0.80 | +0.40 | +0.56 |
| mean_110m | | +1.00 | +0.95 | +0.30 | +0.53 |
| mean_250m | | | +1.00 | +0.28 | +0.42 |
| sd_110m | | | | +1.00 | +0.81 |
| sd_250m | | | | | +1.00 |

dtm_10m

| | cell_10m | mean_110m | mean_250m | sd_110m | sd_250m |
|-----------|----------|-----------|-----------|---------|---------|
| cell_10m | +1.00 | +1.00 | +1.00 | +0.30 | +0.32 |
| mean_110m | | +1.00 | +1.00 | +0.30 | +0.32 |
| mean_250m | | | +1.00 | +0.30 | +0.33 |
| sd_110m | | | | +1.00 | +0.92 |
| sd 250m | | | | | +1.00 |

ns_groundwater_summer_mean_110m

| | cell_10m | ns_groundwater_summer_mean_250m | ns_groundwater_summer_sd_110m | ns_groundwater_summer_sd_250m | ns_groundwater_summer_utm32_10m |
|---------------------------------|----------|---------------------------------|-------------------------------|-------------------------------|---------------------------------|
| cell_10m | +1.00 | +0.97 | +0.33 | +0.38 | +0.96 |
| ns_groundwater_summer_mean_250m | | +1.00 | +0.36 | +0.41 | +0.91 |
| ns_groundwater_summer_sd_110m | | | +1.00 | +0.87 | +0.31 |
| ns_groundwater_summer_sd_250m | | | | +1.00 | +0.35 |
| ns groundwater summer utm32 10m | | | | | +1.00 |

vegetation_density

| | cell_10m | mean_110m | mean_250m | sd_110m | sd_250m |
|-----------|----------|-----------|-----------|---------|---------|
| cell_10m | +1.00 | +0.82 | +0.71 | +0.17 | +0.29 |
| mean_110m | | +1.00 | +0.93 | -0.03 | +0.16 |
| mean_250m | | | +1.00 | -0.07 | -0.00 |
| sd_110m | | | | +1.00 | +0.76 |
| sd 250m | | | | | +1 00 |

Variation Inflation Factors

To reduce the number of features systematically, we calculate variance inflation factors (vIFs). A VIF above 5 indicates that the variable introduces multicolliniearity in the dataset. A conservative rule is to only keep variables with VIFs below 2.5.

Here we carry out a step-wise selection based on the VIFs and the correlation tables above. VIFs exceeding 5 are highlighted in red.

1) All variables

| Variables | VIF |
|---------------------------------|---------|
| canopy_height | 6.66 |
| canopy height mean 110m | 33.43 |
| canopy_height_mean_250m | 25.65 |
| canopy_height_sd_110m | 6.12 |
| canopy_height_sd_250m | 8.93 |
| dtm_10m | 618.45 |
| dtm_10m_mean_110m | 1688.75 |
| dtm_10m_mean_250m | 511.75 |
| dtm_10m_sd_110m | 8.71 |
| dtm_10m_sd_250m | 8.92 |
| ns_groundwater_summer_mean_110m | 61.96 |
| ns_groundwater_summer_mean_250m | 28.76 |
| ns_groundwater_summer_sd_110m | 5.14 |
| ns_groundwater_summer_sd_250m | 5.27 |
| ns_groundwater_summer_utm32_10m | 19.16 |
| vegetation_density | 4.54 |
| vegetation_density_mean_110m | 23.77 |
| vegetation_density_mean_250m | 19.87 |
| vegetation_density_sd_110m | 5.33 |
| vegetation_density_sd_250m | 6.82 |

The mean variables seem to introduce a lot of collinearity (very high VIFs, and see correlation tables above). We drop them first.

2) Drop mean variables

| Variables | VIF |
|---------------------------------|------|
| canopy_height | 2.76 |
| canopy_height_sd_110m | 5.84 |
| canopy_height_sd_250m | 7.42 |
| dtm_10m | 1.26 |
| dtm_10m_sd_110m | 7.76 |
| dtm_10m_sd_250m | 7.76 |
| ns_groundwater_summer_sd_110m | 5.09 |
| ns_groundwater_summer_sd_250m | 5.27 |
| ns_groundwater_summer_utm32_10m | 1.38 |
| vegetation_density | 1.72 |
| vegetation_density_sd_110m | 4.79 |
| vegetation_density_sd_250m | 5.06 |

The focal variables of different window sizes are highly correlated with each other. The correlation tables (above) suggest the 110 m windows are less correlated with the 10 m cell values, so we drop the 250 m windows next.

3) Drop 250 m variables

| Variables | VIF |
|---------------------------------|------|
| canopy_height | 2.14 |
| canopy_height_sd_110m | 2.54 |
| dtm_10m | 1.25 |
| dtm_10m_sd_110m | 1.77 |
| ns_groundwater_summer_sd_110m | 1.5 |
| ns_groundwater_summer_utm32_10m | 1.39 |
| vegetation_density | 1.7 |
| vegetation_density_sd_110m | 2.19 |

The final set of variables includes only the 10 m cell values and the sd calculated for the 110 m windows.

DK Forest LiDAR - Gradient Boosting Model Performance

Jakob Assmann 02/03/2022

Training data overview

I generated a training dataset consisting of 200k pixel samples from the EcoDes- DK15 grid. 100k samples each come from one of the two forest polygon sets ("low" and "high" forest value). I then extracted the predictor data for the pixel centres for those data.

Pixel sample for training forest_value high low For the training I then split the data set into a training (80%) and validation partition (20%). This split was done based on two stratification. The BIOWIDE stratification and Derek's stratification. The samples were split so that they were distributed evenly in each strata (80/20).

Models trained using BIOWIDE stratification For these models the training data was split according to the BIOWIDE stratification. Variable importance

Sand utm32 10m 9.748158

dtm 10m 9.554361

I then trained GBM models on this (currently minimal hyperparameter tuning) using the training dataset. This document evaluates the

Variable importance for this boosted regression tree model. var rel.inf

treetype_bjer_dec treetype bjer dec 10.896051

performance of each stratification based on the validation dataset in both stratification.

dtm 10m ## ns groundwater summer utm32 10m ns groundwater summer utm32 10m 6.315921

Sand_utm32_10m

dtm 10m sd 110m dtm 10m sd 110m 6.155180 ## Clay_utm32 10m Clay utm32 10m 5.738498 ## amplitude sd amplitude sd 5.392395 ## Soc utm32 10m Soc utm32 10m 5.087160 ## solar_radiation solar_radiation 4.656150 ## canopy_height canopy_height 4.484893 ## canopy_height_sd_110m canopy_height_sd_110m 4.416347 ## vegetation density vegetation_density 4.257838 ## normalized_z_sd normalized z sd 4.075467 ns_groundwater_summer_sd_110m 3.991426 ## ns_groundwater_summer_sd_110m ## vegetation_density_sd_110m vegetation_density_sd_110m 3.963466 amplitude mean 3.932958 ## amplitude_mean ## foliage height diversity foliage height diversity 3.744206 ## slope slope 2.575710 ## openness difference openness_difference 1.013815 Performance in BIOWIDE regions: Performance map based on the independent validation data: Overall Performance

Accuracy: 0.72

Sjaelland Accuracy: 0.68 Sensitivity: 0.77

Region 1 Accuracy: 0.73 Sensitivity: 0.82

User Accuracy: 0.77

User Accuracy: 0.71

Bornholm

Sensitivity: 0.83 User Accuracy: 0.76 Nordjlland Accuracy: 0.86 **Oestjylland**

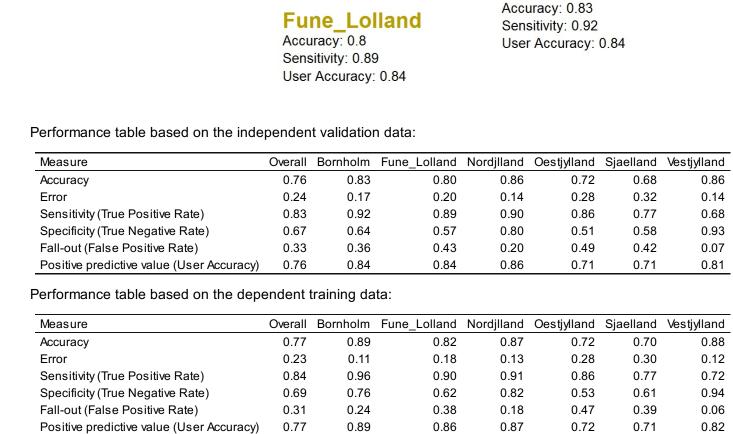
Sensitivity: 0.9

Accuracy: 0.76

Vestjylland

Accuracy: 0.86 Sensitivity: 0.68 User Accuracy: 0.81

User Accuracy: 0.86 Sensitivity: 0.86 User Accuracy: 0.71



Region 2 Accuracy: 0.74 Sensitivity: 0.87 User Accuracy: 0.75

Perfromance in Derek's regions:

Overall Performance

Accuracy: 0.76 Sensitivity: 0.83 User Accuracy: 0.76

Region 3

Accuracy: 0.82 Sensitivity: 0.76 User Accuracy: 0.71

Measure Accuracy

Measure

Accuracy

##

Sensitivity (True Positive Rate) Specificity (True Negative Rate)

Fall-out (False Positive Rate)

treetype_bjer_dec

canopy_height_sd_110m

Vestjylland

Accuracy: 0.84 Sensitivity: 0.66 User Accuracy: 0.77

Sand utm32 10m

solar_radiation

amplitude sd

dtm_10m

Positive predictive value (User Accuracy)

Performance map based on the independent validation data:

0.76

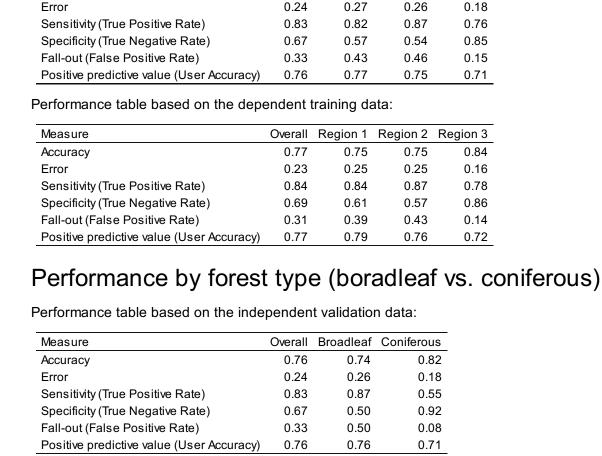
Overall Region 1 Region 2 Region 3

0.74

0.82

0.73

Performance table based on the independent validation data:



Performance table based on the dependent training data:

Variable importance for this boosted regression tree model.

Variable importance

Models trained using Derek's stratification

Overall

0.77

0.23

0.84

0.69

0.31

0.77

Broadleaf Coniferous

0.84

0.16

0.59

0.93

0.07

0.74

rel.inf

treetype_bjer_dec 10.294828

Sand utm32 10m 8.786217

Clay_utm32_10m 5.263973

solar radiation 4.578948

vegetation density 5.046986

foliage_height_diversity 4.823770

Soc utm32 10m 5.081004

amplitude sd 6.734123

dtm 10m 7.556530

0.75

0.25

0.87

0.53

0.47

0.77

ns_groundwater_summer_utm32_10m ns_groundwater_summer_utm32_10m 6.621882

Clay_utm32_10m ## Soc utm32 10m ## vegetation_density

foliage_height_diversity

canopy_height canopy_height 4.484568 ## amplitude mean amplitude mean 4.330737 dtm_10m_sd_110m 4.326786 ## dtm_10m_sd_110m ## vegetation_density_sd_110m vegetation_density_sd_110m 4.016476 normalized_z_sd 3.829831 ## normalized_z_sd ## slope slope 2.427349 ## openness_difference openness_difference 1.560213 Performance in BIOWIDE regions: Performance map based on the independent validation data: Overall Performance Accuracy: 0.74 Sensitivity: 0.82 User Accuracy: 0.74 Nordjlland Accuracy: 0.85 **Oestjylland** Sensitivity: 0.9 Accuracy: 0.71 User Accuracy: 0.84 Sensitivity: 0.85 User Accuracy: 0.7

> Sjaelland Accuracy: 0.69 Sensitivity: 0.79

User Accuracy: 0.7

Region 1 Accuracy: 0.71 Sensitivity: 0.82

Region 1 Region 2 Region 3

0.73

0.27

0.85

0.54

0.46

0.75

0.74

0.26

0.85

0.56

0.44

0.76

0.82

0.18

0.74

0.85

0.15

0.70

0.82

0.18

0.75

0.86

0.14

coniferous)

0.71

0.29

0.82

0.50

0.50

0.71

0.29

0.83

0.51

0.49

0.75

Overall Broadleaf Coniferous

0.75

0.25

0.87

0.53

0.47

0.77

0.83

0.17

0.58

0.92

0.08

0.74

Overall Region 1 Region 2 Region 3

User Accuracy: 0.75

Bornholm

0.84

0.16

0.66

0.92

0.08

0.77

0.85

0.15

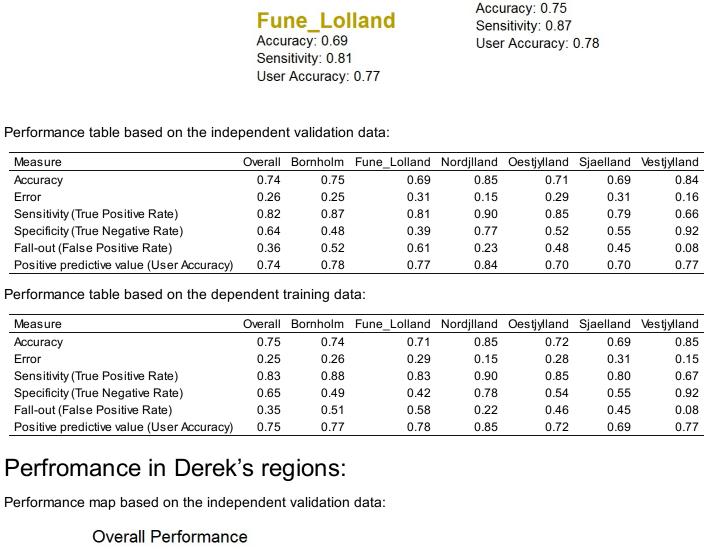
0.67

0.92

80.0

0.77

canopy_height_sd_110m 5.397279



Sensitivity: 0.74 User Accuracy: 0.7

Performance table based on the independent validation data:

Performance table based on the dependent training data:

Overall 0.74

0.26

0.82

0.64

0.36

0.74

0.75

0.25

0.83

0.65

0.35

0.75

0.75

0.25

0.83

0.65

0.35 0.75

Region 3

Accuracy: 0.82

Measure

Accuracy

Measure

Accuracy

Measure

Accuracy

Sensitivity (True Positive Rate)

Specificity (True Negative Rate)

Positive predictive value (User Accuracy)

Fall-out (False Positive Rate)

Error

Sensitivity (True Positive Rate)

Specificity (True Negative Rate)

Positive predictive value (User Accuracy)

Fall-out (False Positive Rate)

Sensitivity (True Positive Rate)

Specificity (True Negative Rate)

Positive predictive value (User Accuracy)

Fall-out (False Positive Rate)

Error

Accuracy: 0.74 Sensitivity: 0.82 User Accuracy: 0.74

Region 2 Accuracy: 0.73 Sensitivity: 0.85 User Accuracy: 0.75

| Performance by fores | | • | | √S. |
|---|----------|------------|------------|-----|
| Measure | Overall | | Coniferous | |
| | | | | |
| Accuracy | 0.74 | 0.75 | 0.83 | |
| Error | 0.26 | 0.25 | 0.17 | |
| Sensitivity (True Positive Rate) | 0.82 | 0.87 | 0.58 | |
| Specificity (True Negative Rate) | 0.64 | 0.53 | 0.92 | |
| Fall-out (False Positive Rate) | 0.36 | 0.47 | 80.0 | |
| Positive predictive value (User Accuracy) | 0.74 | 0.77 | 0.74 | |
| Performance table based on the dep | endent t | raining da | ta: | |

DK Forest LiDAR - Random Forest Model

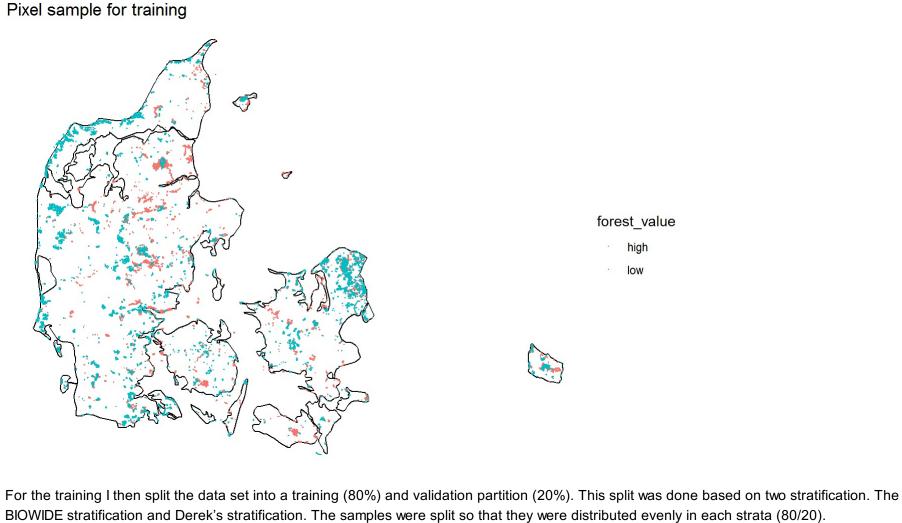
Performance

Jakob Assmann

02/03/2022

Training data overview

I generated a training dataset consisting of 200k pixel samples from the EcoDes- DK15 grid. 100k samples each come from one of the two forest polygon sets ("low" and "high" forest value). I then extracted the predictor data for the pixel centres for those data.



performance of each stratification based on the validation dataset in both stratification. Models trained using BIOWIDE stratification For these models the training data was split according to the BIOWIDE stratification.

I then trained GBM models on this (currently minimal hyperparameter tuning) using the training dataset. This document evaluates the

Variable importance for this random forest model, determined using the "permutation" option in ranger.

Overall

treetype_bjer_dec Sand_utm32_10m

Clay_utm32_10m

openness_difference

normalized_z_sd

canopy_height Soc_utm32_10m

solar_radiation

amplitude_mean

vegetation_density

canopy_height_sd_110m

vegetation_density_sd_110m

amplitude_sd

slope

ns_groundwater_summer_utm32_10m

ns_groundwater_summer_sd_110m

Variable importance

dtm_10m 68.306076 dtm_10m_sd_110m 61.471200

100.000000

91.623261

49.774668

48.733725

31.449933 26.574606

25.213739

23.334754 21.993877

19.003812

12.008270

11.700365

8.569490

8.510326

4.557115

1.524886

| foliage_height_diversity | (| 0.000000 | | | | |
|--|----------|-------------------|-----------------------------|---------------|----------------|-----------|
| lonago_noigne_arroroity | | | | | | |
| Performance in BIOV | VIDE | region | s: | | | |
| Performance map based on the ind | ependent | validation | data: | | | |
| Overall Performar | nce | | | | | |
| Accuracy: 0.77 Sensitivity: 0.88 User Accuracy: 0.75 | | | | | | |
| Nordjlland | | | | | | |
| Accuracy: 0.87 | | | Occtivill | and | | |
| Sensitivity: 0.93 | | | Oestjyll | | | |
| User Accuracy: 0.86 | | 1 : | Accuracy: 0. Sensitivity: 0 | | | |
| | - AAA | ** | User Accurac | | | |
| | | | OSEI ACCUIAC | Jy. U.1 | | |
| | | _ " | 9 | Sjaellar | nd | |
| ↓ ~ | | | | ccuracy: 0. | | |
| Vactivilland | | क्ष्य. | | ensitivity: 0 | | |
| Vestjylland 👂 | Segret . | 1 - J | (0) | ser Accura | | |
| Accuracy: 0.87 | 7 | M. 3 | | | , | |
| Sensitivity: 0.73 | | , line | | | | |
| User Accuracy: 0.82 | 2 | The second second | | | | |
| | 200 | and to had | | Во | rnholm | |
| | _ | | .4 | Accı | uracy: 0.81 | |
| | Fu | ne_Lol | land | | sitivity: 0.92 | |
| | Accu | ıracy: 0.81 | | Use | r Accuracy: | 0.82 |
| | | sitivity: 0.94 | | | | |
| | User | Accuracy: | 0.83 | | | |
| | | | | | | |
| | | | | | | |
| Performance table based on the inc | dependen | ıt validatior | n data: | | | |
| Measure | Overall | Bornholm | Fune_Lolland | Nordjlland | Oestjylland | Sjaelland |
| Accuracy | 0.77 | 0.81 | 0.81 | 0.87 | 0.72 | 0.70 |
| Error | 0.23 | 0.19 | 0.19 | 0.13 | 0.28 | 0.30 |
| Sensitivity (True Positive Rate) | 0.88 | 0.92 | 0.94 | 0.93 | 0.91 | 0.83 |
| Specificity (True Negative Rate) | 0.63 | 0.59 | 0.51 | 0.79 | 0.45 | 0.52 |
| Fall-out (False Positive Rate) | 0.37 | 0.41 | 0.49 | 0.21 | 0.55 | 0.48 |

Measure Overall Bornholm Fune_Lolland Nordjlland Oestjylland Sjaelland Vestjylland 0.78 0.89 Accuracy

Performance table based on the dependent training data:

0.75

0.22

Positive predictive value (User Accuracy)

Accuracy: 0.77 Sensitivity: 0.88 User Accuracy: 0.75

Error

| Sensitivity (True Positive Rate) | 0.89 | 0.97 | 0.95 | 0.93 | 0.91 | 0.84 | 0.75 |
|---|-----------|------|----------------|------|------|------|------|
| Specificity (True Negative Rate) | 0.65 | 0.75 | 0.57 | 0.80 | 0.48 | 0.55 | 0.94 |
| Fall-out (False Positive Rate) | 0.35 | 0.25 | 0.43 | 0.20 | 0.52 | 0.45 | 0.06 |
| Positive predictive value (User Accuracy) | 0.76 | 0.88 | 0.85 | 0.86 | 0.71 | 0.70 | 0.82 |
| Performance in Derek's | s regi | ons: | | | | | |
| Performance man based on the indepe | endent va | | a [.] | | | | |
| Performance map based on the indepe | endent va | | a: | | | | |

0.82

0.11

0.83

0.84

0.16

0.86

0.88

0.12

Region 1 Accuracy: 0.75 Sensitivity: 0.88

User Accuracy: 0.76

0.70

0.73

0.27

0.70

0.71

0.29

Vestjylland 0.87 0.13 0.73 0.93 0.07

0.82

88.0

0.12

Region 3 Accuracy: 0.83 Sensitivity: 0.81

User Accuracy: 0.71

Sensitivity (True Positive Rate)

Measure

Accuracy

Sensitivity (True Positive Rate)

Specificity (True Negative Rate)

Positive predictive value (User Accuracy)

Fall-out (False Positive Rate)

Sensitivity (True Positive Rate)

Specificity (True Negative Rate)

Positive predictive value (User Accuracy)

ns_groundwater_summer_utm32_10m

 $dtm_10m_sd_110m$

Clay_utm32_10m

dtm_10m

Fall-out (False Positive Rate)

Error

Region 2 Accuracy: 0.75 Sensitivity: 0.91 User Accuracy: 0.74

Performance table based on the independent validation data: Overall Region 1 Region 2 Region 3 Measure 0.77 0.75 0.75 0.83 Accuracy 0.23 0.25 0.25 Error 0.17

88.0

0.88

Overall Broadleaf Coniferous

0.75

0.25

0.92

0.43

0.57

0.75

0.93

0.47

0.53

0.76

0.82

0.18

0.55

0.92

0.08

0.72

0.60

0.93

0.07

0.75

0.91

0.81

| Specificity (True Negative Rate) | 0.63 | 0.51 | 0.48 | 0.84 |
|---|----------|-------------|----------|----------|
| Fall-out (False Positive Rate) | 0.37 | 0.49 | 0.52 | 0.16 |
| Positive predictive value (User Accuracy) | 0.75 | 0.76 | 0.74 | 0.71 |
| Performance table based on the dep | endent t | training da | ata: | |
| Measure | Overall | Region 1 | Region 2 | Region 3 |
| Accuracy | 0.78 | 0.77 | 0.76 | 0.84 |
| Error | 0.22 | 0.23 | 0.24 | 0.16 |
| Sensitivity (True Positive Rate) | 0.89 | 0.89 | 0.91 | 0.83 |
| Specificity (True Negative Rate) | 0.65 | 0.56 | 0.51 | 0.85 |
| | | | | ~ |
| Fall-out (False Positive Rate) | 0.35 | 0.44 | 0.49 | 0.15 |

Performance table based on the independent validation data:

Performance table based on the dependent training data:

Measure Overall Broadleaf Coniferous 0.78 0.76 0.84 Accuracy Error 0.22 0.24 0.16

0.77

0.23

88.0

0.63

0.37

0.75

0.89

0.65

0.35

0.76

Models trained using Derek's stratification Variable importance Variable importance for this random forest model, determined using the "permutation" option in ranger. Overall treetype_bjer_dec 100.000000 Sand_utm32_10m 66.258856 amplitude_sd 48.823849

amplitude_mean 18.526821 16.996106 canopy_height normalized_z_sd 16.987006 openness_difference 15.870759 slope 12.880257

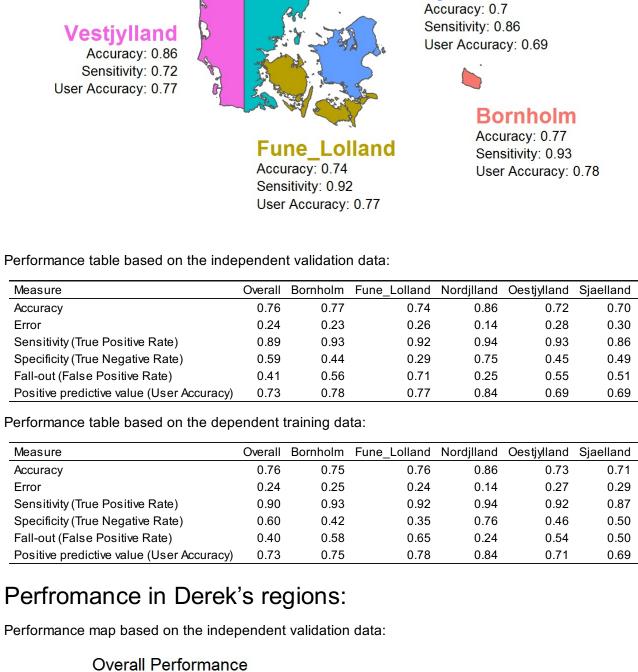
39.285113

36.397842

34.954002

34.801037

Soc_utm32_10m 11.485003 vegetation_density 9.652156 ns_groundwater_summer_sd_110m 9.431154 solar_radiation 8.900619 3.482463 canopy_height_sd_110m 1.739641 foliage_height_diversity vegetation_density_sd_110m 0.000000 Performance in BIOWIDE regions: Performance map based on the independent validation data: Overall Performance Accuracy: 0.76 Sensitivity: 0.89 User Accuracy: 0.73 Nordjlland Accuracy: 0.86 **Oestjylland** Sensitivity: 0.94 Accuracy: 0.72 User Accuracy: 0.84 Sensitivity: 0.93



User Accuracy: 0.69

Sjaelland

Region 1 Accuracy: 0.73 Sensitivity: 0.89

User Accuracy: 0.74

Vestjylland

0.86

0.14

0.72

0.91

0.09

0.77

0.14

0.74

0.91

0.09

0.77

Vestjylland

Region 3 Accuracy: 0.83 Sensitivity: 0.82 User Accuracy: 0.69

Fall-out (False Positive Rate)

Sensitivity (True Positive Rate)

Specificity (True Negative Rate)

Positive predictive value (User Accuracy)

Fall-out (False Positive Rate)

Measure

Accuracy

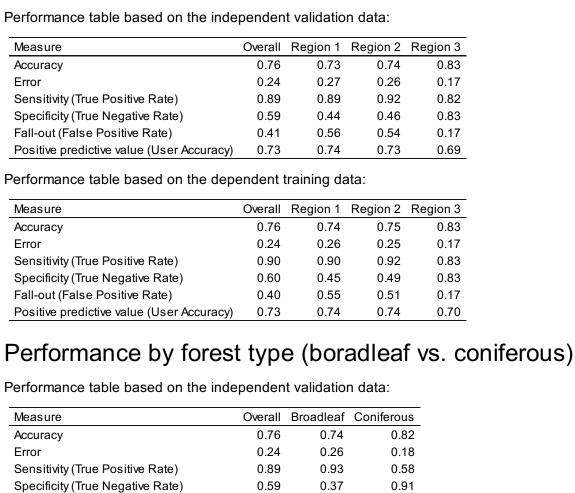
Error

Positive predictive value (User Accuracy)

Performance table based on the dependent training data:

Region 2 Accuracy: 0.74 Sensitivity: 0.92 User Accuracy: 0.73

Accuracy: 0.76 Sensitivity: 0.89 User Accuracy: 0.73



0.63

0.73

Overall Broadleaf Coniferous

0.74

0.26

0.94

0.39

0.61

0.74

0.09

0.70

0.83

0.17

0.60

0.91

0.09

0.71

0.41

0.73

0.76

0.24

0.90

0.60

0.40

0.73

DK Forest LiDAR Summary Stats for Projections

Jakob Johann Assmann

02/03/2022

This document provides summary stats for the forest quality projections. Here, we concentrate on the models (gradient boosting and random forests) trained with the "BIOWIDE" stratification as these performed best overall.

Content:

- 1. Forest area in Denmark according to Basemap 03.
- 2. Disturbance detected in forests overall.
- 3. Gradient Boosting model summary tats.
- Random Forest model summary stats.

Forests in Denmark according to Basemap 03

The forest mask used for our projections is based on the DCE Basemap 03 sub-layer "tree cover" for 2016 (Levin 2019) (https://dce2.au.dk/pub/TR159.pdf).

The sub-layer contains five "object types": 1) tree cover, 2) forest / afforestation, 3) Christmas trees / cut greenery, 4) nursery / plantation, and 5) energy forest.

The table below shows how much area each of the classes cover in the layer (see also Table 4.3, Levin 2019):

| Code | Name | Area [km²] | Proportion [%] |
|------|--------------------------------|------------|----------------|
| 1 | tree cover | 928.3 | 13.5 |
| 2 | forest / afforestation | 5633.9 | 81.9 |
| 3 | Christmas trees / cut greenery | 176.2 | 2.6 |
| 4 | nursery / plantation | 46.8 | 0.7 |
| 5 | energy forest | 91.4 | 1.3 |
| • | total | 6876.5 | 100.0 |
| | | | |

For our projections we only use the "forest / afforestation" layer (2).

To match the grid of the EcoDes-DK15 rasters we had to project the forest mask. For this we used a nearest neighbour algorithm. Here we simply confirm that the forest area (code 2) in the final mask "forest_mask.tif" matches the area noted in the table above.

| Layer | Area [km²] |
|-------------|------------|
| forest mask | 5633.89 |

Disturbance overall

We used a disturbance layer generated by Cornelius (Senf et al 2017) (https://linkinghub.elsevier.com/retrieve/pii/S0924271617302721) to estimate the disturbance in Denmark's forests since the lidar data for EcoDes-DK15 was collected.

Please note that this disturbance mask was projected and down-sampled from a 30 m Landsat grid to the 10 m EcoDes-DK15 grid (nearest neighbour algorithm), potentially adding small uncertainties to the area estimates. Currently, we also only account for disturbances from 2016 till 2020.

Disclaimer: The current disturbance layer requires an update with the new forest masks and applying a filtering step (MMU = 2). These will likely have a noticeable effect on the final estimates of the total area disturbed.

| Name | Area [km²] | Proportion [%] |
|------------------|------------|----------------|
| disturbed forest | 38.73 | 0.70 |
| total forest | 5633.89 | 100.00 |

Gradient Boosting projections summary stats

This gradient boosting model was trained based on the "BIOWIDE" stratification.

| Туре | Area [km²] | Proportion [%] |
|---------------------|------------|----------------|
| high quality forest | 2167.79 | 38.50 |
| low quality forest | 3871.18 | 68.70 |
| total forest | 5633 89 | 100.00 |

Disturbance statistics:

| Туре | Area [km²] | Proportion [%] |
|-------------------------------|------------|----------------|
| disturbed high quality forest | 9.24 | 0.40 |
| total high quality forest | 2167.79 | 100.00 |
| | | |
| Туре | Area [km²] | Proportion [%] |
| disturbed low quality forest | 29.50 | 0.80 |
| total low quality forest | 3871.18 | 100.00 |
| | | |
| Type | Area [km²] | Proportion [%] |

| Туре | Area [km²] | Proportion [%] |
|-------------------------------|------------|----------------|
| disturbed high quality forest | 9.24 | 23.80 |
| disturbed low quality forest | 29.50 | 76.20 |
| total disturbed forest | 38.73 | 100.00 |

Random Forest projections summary stats

This random forest model was trained based on the "BIOWIDE" stratification.

38.73

100.00

| Туре | Area [km²] | Proportion [%] |
|---------------------|------------|----------------|
| high quality forest | 2332.19 | 41.40 |
| low quality forest | 3706.78 | 65.80 |
| total forest | 5633.89 | 100.00 |

Disturbance statistics:

total disturbed forest

| Type | Area [km²] | Proportion [%] |
|-------------------------------|------------|----------------|
| disturbed high quality forest | 9.80 | 0.40 |
| total high quality forest | 2332.19 | 100.00 |
| | | |
| Туре | Area [km²] | Proportion [%] |
| disturbed low quality forest | 28.94 | 0.80 |
| total low quality forest | 3706.78 | 100.00 |
| | | |
| Type | Area [km²] | Proportion [%] |
| disturbed high quality forest | 9.80 | 25.30 |
| disturbed low quality forest | 28.94 | 74.70 |
| | | |