

PROMO COMPLEXITY AS A COMPUTATIONAL LENS

RT

LECTURE 23

Recap: We are prioritizing size-space trade-offs for cutting planes

We have seen:

- Short, space efficient representation of CNF formula F yields round-efficient protocol for $\text{Search}(F)$ with small total communication
- Can define lifted CNF formulas $\text{lift}_\ell(F)$ such that protocol for $\text{Search}(\text{lift}_\ell(F))$ can also solve LIFTED SEARCH PROBLEM $\text{lift}_\ell(\text{Search}(F))$

(TOTAL) SEARCH PROBLEM $S \subseteq \mathbb{Z} \times Q$

Given $z \in \mathbb{Z}$, find $q \in Q$ such that $(z, q) \in S$
Fix $\mathbb{Z} = \{0, 1\}^m$

LIFTED SEARCH PROBLEM $\text{lift}_\ell(S)$ for Alice & Bob

- Alice gets $x \in \{0, 1\}^m$
- Bob gets $y \in \{0, 1\}^{l \cdot m}$
- Find q such that $(\text{Ind}(x, y), q) \in S$

$$\text{Ind}(x_i, y_i) = y_{i, x_i}$$

$$\text{Ind}(x, y) = (\text{Ind}(x_1, y_1), \dots, \text{Ind}(x_m, y_m))$$

- Have seen (claim that) there are pebbling formulas that cannot be solved by shallow parallel decision trees with small total # queries

Missing piece (to be added today)

R II

Round-efficient communication protocol
with small total communication for lift(S)
⇒ Shallow parallel decision tree with
small total # queries for S

This SIMULATION THEOREM is needed for
REAL COMMUNICATION to obtain trade-offs
for general cutting planes

We do it for standard deterministic
communication (which is enough to get
trade-off results for resolution,
polynomial calculus, and cutting planes
with polynomially bounded coefficients).

Proof idea

Simulate two-party communication protocol
to extract decision tree

Problem: We don't know Alice's & Bob's inputs
Try to send maximally uninformative messages
back and forth. Keep track of subsets of
possible inputs A & B consistent with communication
so far. When protocol has leaked too
much information about a coordinate i , let
decision tree query i . Update A & B based on
query result. Bound # parallel queries in terms
of communication rounds and bits

$I \subseteq [m]$ set of non-queried indices

S_I notational aid to specify that vectors $\vec{s} \in S$ have coordinates in I

$\pi_I(S)$ projects vectors in S to coordinates in I (and shrinks dimension)

$\text{Graph}_i(A_I) \quad i \in I$

- Left vertices $[l]$

- Right vertices $[l]^{I \setminus \{i\}}$

- Edge $(x_{\ell, i}, x_{I \setminus \{i\}})$ if $x_{I \setminus \{i\}} \cdot x_i \in A_I$

"index-aware concatenation"

$\text{AvgDeg}_i(A_I)$ = average non-zero right degree

$\text{MinDeg}_i(A_I)$ = minimum non-zero right degree

Average degree high enough if $\geq l^2$ for $l \approx 1 - \epsilon$
(to be specified later)

To restrict inputs in A or B on coordinate i

to $U \subseteq [l]$ or $V \subseteq \{0, 1\}^l$, respectively, denote

$s_{i, U}(A) = \{x \in A \mid x_i \in U\}$

$s_{i, V}(B) = \{y \in B \mid y_i \in V\}$

The set of bitstrings that have bit b in all coordinates in U is denoted

$V^b(U) = \{w \in \{0, 1\}^l \mid \forall j \in U \quad w_j = b\}$

The restriction of inputs in B on coordinate i to songs that only have bit b in indices specified by U is denoted

$X_{i, U}^b(B) = s_{i, V^b(U)}(B)$

EXAMPLE

EVAL 1/2

$$I = [4]$$

Boolean variables in z

$$l = 5$$

lift length

$$u = \{1, 4, 5\}$$

For

$$A_I = \{(1, 1, 1, 1), (2, 3, 4, 5), (3, 2, 1, 3), (4, 4, 4, 4), (1, 2, 3, 4), (2, 5, 5, 2), (3, 4, 5, 3), (5, 4, 3, 2)\}$$

$$S_{1, u}(A_I) = \{(1, 1, 1, 1), (4, 4, 4, 4), (1, 2, 3, 4), (5, 4, 3, 2)\}$$

$$V^1(u) = \left\{ \left(\frac{1}{-}, 0, 0, \frac{1}{-}, \frac{1}{-} \right), \left(\frac{1}{-}, 0, 1, \frac{1}{-}, \frac{1}{-} \right), \left(\frac{1}{-}, 1, 0, \frac{1}{-}, \frac{1}{-} \right), \left(\frac{1}{-}, 1, 1, \frac{1}{-}, \frac{1}{-} \right) \right\}$$

For

$$B_I = \{(00000, 00000, 00000, 00000), (00001, 00011, 00111, 01111), (10011, 10111, 11000, 10000), (10101, 01010, 00000, 11111), (11111, 11110, 11100, 11000)\}$$

$$X_{1, u}^1 = \{(10011, 10111, 11000, 10000), (11111, 11110, 11100, 11000)\}$$

eval_Π(z)

Node $v \in \Pi$ have children EVAL Π
 $v_0, v_1 \in \{0, 1\}$, when 0/1 spoken

- 1 $A := [t]^m, B := \{0, 1\}^m, I := m, v := \text{root}(\Pi)$
- 2 while v not a leaf do
- 3 $Q := \emptyset, (C_I) := \Pi_I(B)$ Bob's mimmed, you sat
while waiting for future queries
- 4 while v node where Alice speaks do
- 5 while $\exists i \in I$ s.t. $\text{AvgDeg}_i(\pi_I(A)) < l^2$ do
- 6 $u_i := \text{project}(A, C_I, I, i)$
- 7 $A := p_{i, u_i}(A)$
 $C_{I \setminus \{i\}}^0 = \Pi_{I \setminus \{i\}}(x_{i, u_i}^0(C_I))$
 $C_{I \setminus \{i\}}^1 = \Pi_{I \setminus \{i\}}(x_{i, u_i}^1(C_I))$
 $C_{I \setminus \{i\}} := C_{I \setminus \{i\}}^0 \cap C_{I \setminus \{i\}}^1$
 $I := I \setminus \{i\}$
 $Q := Q \cup \{i\}$
- 8 $b := \text{argmax} |\pi_I(A \cap x^{v_b})|$ ALICE SPEAKS b
- 9 $A := \text{prune}(A \cap x^{v_b}, I)$
 $v := v_b$
- 10 Query coordinates in Q to get string $Z_Q \in \{0, 1\}^{|Q|}$
- 11 for $i \in Q$ in order
- 12 $B := x_{i, u_i}^{Z_i}(B)$
- 13 while v node where Bob speaks do
- 14 $b := \text{argmax} |\pi_I(B \cap y^{v_b})|$ BOB SPEAKS b
- 15 $B := B \cap y^{v_b}$
- 16 $v := v_b$
- return answer at leaf v

Recall:

A_I is **THICK** if for all $i \in I$

$$\text{MinDeg}_i(A_I) \geq \ell^{\mu} \quad (\text{where we set } \mu = \frac{2}{3})$$

EVAL III

Throughout the protocol simulation, at every $V \in \Pi$ we will maintain that $\boxed{A \subseteq X^V}$ is thick.

When Alice speaks a bit b and we move to V_b

$A \cap X^{V_b}$ might no longer be thick

The **prime** method restores thickness and guarantees the following to be specified later

THICKNESS LEMMA

If for all $i \in I$ $\boxed{\text{AvgDeg}_i(\pi_I(A)) \geq \ell^{\lambda}/4}$,

then the subset $A' \subseteq A$ returned by **prime** (A, I) satisfies

(T1) $\pi_I(A')$ is thick

(T2) The density loss satisfies

$$\alpha(\pi_I(A')) \leq \alpha(\pi_I(A)) + 1$$

Recall that we defined Alice's DENSITY LOSS

$$\alpha(A_I) = -\log\left(\frac{|A_I|}{\ell^{|I|}}\right) = |I| \log \ell - \log |A_I|$$

We will take the Thickness lemma on faith for now, and prove it when we have used it in the main simulating lemma.
Recall also Bob's DENSITY LOSS

$$\beta(B_I) = -\log\left(\frac{|B_I|}{2^{\ell^{|I|}}}\right) = |I| \ell - \log |B_I|$$

When the protocol has leaked too much

| EVAH IV

information about a coordinate in Alice's input so that we need to issue a query, the project method restricts Alice's input to a set \mathcal{U} such A is dense in the remaining coordinates also to be specified

We also want to make sure that Bob's input in this coordinate can handle query results both 0 and 1

PROJECTION LEMMA

If $\Pi_I(A)$ is thick

and $\beta(C_I) \leq 2\ell^\delta \log^2 \ell$

then index set \mathcal{U} returned by

project (A, C_I, I, i) satisfies

(P1) $\Pi_{I \setminus \{i\}}(g_{i,u}(A))$ is thick

(P2) $\alpha(\Pi_{I \setminus \{i\}}(g_{i,u}(\pi))) \leq \alpha(\Pi_I(A)) - \log \ell$
+ $\log \text{AvgDeg}_i(\Pi_I(A))$

(P3) For $b \in \{0, 1\}$ let

$$C_{I \setminus \{i\}}^b := \Pi_{I \setminus \{i\}}(x_{i,u}^b(C_I))$$

Then

$$\beta(C_{I \setminus \{i\}}^0 \cap C_{I \setminus \{i\}}^1) \leq \beta(C_I) + 1$$

We take this lemma, too, on faith for now
Note that if $b = \arg \max \Pi_I(A \cap X^{v_b})$, then

$$\alpha(\Pi_I(A \cap X^{v_b})) \leq \alpha(\Pi_I(A)) + 1$$

SIMULATION LEMMA

If deterministic communication protocol Π solves $\text{Liffo}(S)$ for $\ell = m^{3+\epsilon}$, $\epsilon > 0$, using $\leq r$ rounds and total communication

$C \leq \frac{m}{2} (1-\lambda) \log \ell$, then eval $_{\Pi}(z)$ encodes a parallel decision tree that has depth $\leq r$ and total query complexity $\leq \frac{2C}{(1-\lambda) \log \ell}$

Remark: If C is larger, then $C > m$, and a parallel decision tree of depth 1 that guesses all variables is sufficient to "simulate" the communication protocol

Notation:

v node in communication protocol Π

x^v Alice's inputs consistent with communication up to v

y^v Bob's inputs — || —

$R^v := x^v \times y^v$ (combinatorial rectangle)

Standard fact for deterministic communication:

R^v is exactly all consistent inputs at v

$c_v^A = \# \text{ bits sent by Alice up to node } v$

$c_v^B = \# \text{ bits sent by Bob } - || -$

A is "cleaned-up" subset of possible inputs for Alice

B — || —

Bob

INVARIANTS MAINTAINED THROUGHOUT eval_{II}(z)

(I1) $\pi_I(A)$ is thick

(I2) $A \times B \subseteq R^V$

(I3) $m - |I| \leq 2c_V^A / ((1-\lambda) \log l)$
quenched coordinates

(I4) $\beta(C_I) \leq m - |I| + c_V^B$

ADDITIONAL INVARIANTS AT BEGINNING OF ROUND for Alice or Bob

(I5) $\beta(\pi_I(B)) \leq m - |I| + c_V^B$

(I6) For all $(x, y) \in A \times B$ and all $i \notin I$
 $\text{Ind}(x_i, y_i) = z_i$
(returned by query to \mathcal{Z})

All invariants clearly true at start of algorithm.

Invariant (I1) A modified only at lines 7 & 9.

At line 7 $\pi_I(A)$ is thick by assumption.

(in lemma statement)

Also by assumption $c_V^A + c_V^B \leq c \leq m \log l = l^\delta \log l$

(I3) & (I4) say that

$$\begin{aligned}
 \beta(C_I) &\leq m - |I| + c_V^B \\
 &\leq \frac{2c_V^A}{(1-\lambda) \log l} + c_V^B \\
 &\leq c_V^A + c_V^B \\
 &\leq l^\delta \log l
 \end{aligned}$$

Hence conditions in Projection Lemma
satisfied (with some margin – the tech
lemmas are stated as needed for real communication)

Hence $\pi_I(A)$ remains thick

At line 9 we need to verify that conditions
for Thickness Lemma hold.

Since we have exited the while loop at lines 5-7

$$\text{AvgDeg}_i(\pi_I(A)) \geq \ell^2$$

Recall that in general

$$\begin{aligned} \text{AvgDeg}_i(A_I) &= \frac{\#\text{edges in Graph}_i(A_I)}{\#\text{non-isolated right vertices in Graph}_i(A_I)} \\ &= \frac{|A_I|}{|\pi_{I \setminus \{i\}}(A_I)|} \end{aligned}$$

Hence, we have

$$\begin{aligned} \text{AvgDeg}_i(\pi_I(A \cap X^{v_6})) &= \frac{|\pi_I(A \cap X^{v_6})|}{|\pi_{I \setminus \{i\}}(A \cap X^{v_6})|} \geq \\ &\geq \frac{\frac{1}{2} |\pi_I(A)|}{|\pi_{I \setminus \{i\}}(A)|} = \\ &= \frac{1}{2} \text{AvgDeg}_i(\pi_I(A)) \geq \frac{\ell^2}{2} \end{aligned}$$

(and again there is some margin)

It follows that the Thickness Lemma guarantees thickness

Invariant (I2)

A and B never increase

The node $v \in \Pi$ changes at lines 9 and 15

In both cases we make sure for new \sqrt{b} that $A \subseteq X^{\sqrt{b}}$ and $B \subseteq Y^{\sqrt{b}}$, respectively

Invariant (I3)

Show that

$$\alpha(\Pi_I(A)) \leq 2C_V^A - (m - |I|)(1-\lambda) \log l \quad (3)$$

and use $\alpha(\cdot) \geq 0$ by definition

At beginning $\alpha(\Pi_I(A)) = 0$

Want to show

- (a) Alice sends bit \Rightarrow density loss increase at most 2
- (b) Query issued \Rightarrow density loss decrease $(1-\lambda) \log l$

A, I, C_V^A only modified at lines 7 & 9

Line 7 Projection lemma says that when $|I|$ decreases by 1, then density loss changes according to (P2) by

$$-\log l + \log \text{AvgDeg}_i(\Pi_I(A))$$

$$\leq -\log l + \log l'$$

$$\leq -(1-\lambda) \log l$$

Line 8 chooses b s.t. $\alpha(\Pi_I(A \cap X^{\sqrt{b}})) \leq \alpha(\Pi_I(A)) + 1$

Line 9 Thickness lemma (T2) says further density loss of $\leq +1$ from previous

This shows that (3) holds.

Invariant (I⁴)

C_I is updated at lines 3 & 7

At line 3, (I⁴) is implied by (I⁵).

At line 7, we have already argued that the Projection Lemma applies. Then (P3) says that

$$\beta(C_{I \setminus E_S}) \leq \beta(C_I) + 1$$

so density loss $\leq \# \text{ coordinates queried}$

Invariant (I⁵)

Holds at beginning of round. Needs to be restored at end of round

If Bob speaks, B is updated at line 15

By choice of bits spoken by Bob

$$\left| \pi_I(B \cap Y^B) \right| \text{ increases by } \leq 1$$

since

$$\left| \pi_I(B \cap Y^B) \right| \geq \frac{1}{2} \left| \pi_I(B) \right|$$

If Alice speaks B is updated at line 12

Invariant I⁴ says that corresponding property holds for C_I

Suppose $Q = \{i_1, i_2, \dots, i_{|Q|}\}$

$$\text{Let } I_0 = Q \cup I$$

$$I_j = (Q \cup I) \setminus \{i_1, \dots, i_j\}$$

$$I_{|Q|} = I$$

EVTC X

Let update of B on line 12
be

$$B_0 = B$$

$$B_j = \chi_{i,j,u_j}^{z_i} (B_{j-1})$$

Then by construction computed earlier
on line 7

$$\pi_{I_j}(B_j) \supseteq C_{I_j}$$

and (I5) follows from (I4).

Invariant 6

Recall that A & B never increase

We only need to consider when I shrinks,
which happens at line 7

When i removed from I , Invariant 6
is false for i

At line 12 before Bob speaks

A has been restricted to only contain indices U_i
for coordinate i

B is now restricted so that in all positions
 $x_i \in U_i$ for $y \in B$ it holds that $y_{i,x_i} = z_i$

This is what $\chi_{i,u_i}^{z_i}(B)$ ensures
(the return)

After this $\text{Ind}(x_i, y_i) = z_i$

for all $(x, y) \in A \times B$

This shows that Invariants (I1)-(I6)
hold as claimed.

When $\text{eval}_T(z)$ reaches a leaf, there have been $\leq r$ rounds of queries, at most once after every time Alice is finished speaking.

[$\# \text{rounds} = \# \text{times both parties get to speak}$]

By Invariant I3, total # queries

$$m - |I| \leq 2 c^A / ((1-\lambda) \log t) \\ \leq 2 c / ((1-\lambda) \log t)$$

We also need to prove that for any $z \in \{0,1\}^m$ the answer from the decision tree is $\text{eval}_T(z) = g$ such that $(z, g) \in S$

Argue this by showing that $\exists (x,y) \in A \times B$ such that $\text{Ind}(x,y) = z$.

Since protocol T computes g such that $(\text{Ind}(x,y), g) \in S$

this means that decision tree gives correct answer

The proof is essentially a special case of the Projection Lemma, so we skip it in the interest of time. 

Now we need to provide details on prune and project

prune (A, I)

$$A_I := \pi_I(A)$$

while $\exists i \text{ such that } \text{MinDeg}_I(A_I) < \ell^M$ do

$x_{I \setminus \{i\}} := \text{right vertex in Graph}_I(A_I)$
of degree $< \ell^M$

$$A_I := \{x_I \in A_I \mid \pi_{I \setminus \{i\}}(x_I) \neq x_{I \setminus \{i\}}$$

return $\{x \in A \mid \pi_I(x) \in A_I\}$

prune returns a set A' s.t. $\pi_I(A')$ is thick by definition.

We need to show $\alpha(\pi_I(A')) \leq \alpha(\pi_I(A)) + 1$

Equivalent to

$$|\pi_I(A')| \geq |\pi_I(A)|/2$$

TIME TO INTRODUCE MAGIC NUMBERS

Recall

$$\ell = m^{3+\varepsilon}$$

$$\gamma := \frac{1}{3+\varepsilon}$$

Choose $\delta, \lambda, \mu \in (0, 1)$ such that

$$\lambda - \gamma > \mu \quad (a)$$

$$\mu + \delta - 1 > \gamma \quad (b)$$

$$\gamma + \delta < 1 \quad (c)$$

Fix $\xi > 0$ such that $\gamma = \frac{1}{3} - 2\xi$

Can set

$$\lambda = 1 - \xi$$

$$\mu = 2/3$$

$$\delta = 2/3$$

By definition, # right vertices of positive degree

$$\frac{|\pi_I(A)|}{\text{Avg Deg.}(\pi_I(A))}$$

Write $A'_I = \pi_I(A')$ for brevity

Graph; (A'_I) is subgraph of Graph; $(\pi_I(A))$, so at most that many right vertices of positive degree there as well.

Upper-bounds # iterations for coordinate i , since every iteration removes non-isolated right vertex

iterations per coordinate

$$\leq \frac{|\pi_I(A)|}{\text{Avg Deg.}(\pi_I(A))} \leq \frac{|\pi_I(A)|}{l^\lambda / 2}$$

[since while loop at 5 exited]

Summed over all coordinates # iterations

$$\leq 2|I| \frac{|\pi_I(A)|}{l^\lambda} \leq \boxed{2l^{\gamma-\lambda} |\pi_I(A)|}$$

$$\begin{aligned} |I| &\leq m \\ &= l^\delta \end{aligned}$$

Each iteration removes $< l^\mu$ elements from A'_I

Total # removed

$$\leq \boxed{2l^{\gamma+\mu-\lambda}} |\pi_I(A)| \leq (*)$$

By (a) we have $\gamma + \mu - \lambda < 0$, so for $m (= l^\delta)$ large enough we get

$$(*) \leq |\pi_I(A)| / 2$$

which proves the Thickness \square lemma

project(A, C_I, I, i)

pick $\ell \in [\ell]$ such that $|U| = \ell^\delta$ uniformly at random

$$C^0 := \pi_{I \setminus EIS}(\chi_{i, U}^0(C_I))$$

$$C^1 := \pi_{I \setminus EIS}(\chi_{i, U}^1(C_I))$$

$$C^* := C^0 \cap C^1$$

$$\text{if } ((1/\beta)(C^*) \leq \beta(C_I) + 1)$$

$$\text{and } (\pi_{I \setminus EIS}(f_{i, U}(A)) = \pi_{I \setminus EIS}(A))$$

return U

else

return project(A, C_I, I, i')

To prove the Projection lemma, we just pick $U \subseteq [\ell]$ of size ℓ^δ and argue that a.s. this U satisfies $(P1) - (P3)$

This boils down to two claims

CLAIM A

If $\pi_I(A)$ is thick, then

$$\pi_{I \setminus EIS}(f_{i, U}(A)) = \pi_{I \setminus EIS}(A)$$

with probability $1 - o(1)$ over randomly sampled $U \subseteq [\ell]$, $|U| = \ell^\delta$

CLAIM B

For $\delta \in \{0, 1\}$ let $C^{\delta} := \Pi_{I \setminus \{i\}}(x_{i,u}^{\delta}(C_I))$
and let $C^* := C^0 \cap C^1$
If $\beta(C_I) \leq 2 \ell^{\delta} \log \ell$, then

$$\boxed{\beta(C^*) \leq \beta(C_I) + 1}$$

with probability $1 - o(1)$ over random $U \subseteq [e]$, $|U| = \ell^{\delta}$

If we accept these claims, then we can prove the Projection Lemma:

By the union bound, there is a set U satisfying both Claim A and Claim B
conditions

Fix such an U .

Claim B yields property (P3)

Recall that min degree and thickness
can only increase under projection

$$\boxed{\forall j \in I \setminus \{i\} \quad \text{MinDeg}_j(\Pi_{I \setminus \{i\}}(A)) \geq \text{MinDeg}_j(\Pi_I(A))}$$

$\Pi_I(A)$ is thick by assumption.

Hence $\Pi_{I \setminus \{i\}}(A)$ is also thick

and if $\Pi_{I \setminus \{i\}}(g_{iu}(A)) = \Pi_{I \setminus \{i\}}(A)$ as in claim A
then property (P1) follows.

By plugging in the definitions, we verified last lecture that

$$\alpha(\pi_{I \setminus \{i\}}(A)) = \alpha(\pi_I(A)) - \log l + \log \text{MinDeg}_i(\pi_I(A))$$

The equality

$$\pi_{I \setminus \{i\}}(g_{in}(A)) = \pi_{I \setminus \{i\}}(A)$$

Proof of
Projection
Lemma

now yields property (P2) \square

Claim B is technically complicated, and we are running out of time and energy. Let us take it on faith (a big ask!) and instead do claim A.

Proof of Claim A

Equality

$$\pi_{I \setminus \{i\}}(g_{in}(A)) = \pi_{I \setminus \{i\}}(A)$$

holds if every right vertex of $\text{Graph}_i(\pi_I(A))$ of positive degree has an edge into U

$$\text{MinDeg}_i(\pi_I(A)) \geq l^M \quad \text{by thickness}$$

$$|U| = l^{\delta}$$

For fixed right vertex $R = x_{I \setminus \{i\}}$

$$\Pr_{U} [R \text{ has no neighbors in } U] \leq$$

$$\leq \frac{(l - |X_i(R)|)}{\left(\frac{l}{e^{\delta}}\right)^{|U|}} \leq$$

If $n \leq m$ then

$$\binom{n}{k} / \binom{m}{k} \leq \left(\frac{n}{m}\right)^k$$

$$\leq \frac{\left(\ell - \ell^m\right)}{\ell^\delta} / \left(\frac{\ell}{\ell^\delta}\right)$$

$$\leq \left(\frac{\ell - \ell^m}{\ell}\right) \ell^\delta = \left(1 - \ell^{m-1}\right) \ell^\delta$$

$$\leq \exp(-\ell^{\mu+\delta-1})$$

Taking a union bound over all right vertices, the probability that some vertex fails to have a neighbor in \mathcal{U} is at most

$$\ell^{|I|-1} \cdot \exp(-\ell^{\mu+\delta-1}) <$$

$$< \exp(|I| \log \ell - \ell^{\mu+\delta-1})$$

$$\leq \exp(\ell^\delta \log \ell - \ell^{\mu+\delta-1})$$

$$= o(1)$$

$$\boxed{\mu + \delta - 1 > \gamma \quad (6)}$$

and Claim A follows 