

# PROOF COMPLEXITY, AS A COMPUTATIONAL LENS

CO25

## LECTURE 11: GRAPH COLOURING

Graph  $G = (V, E)$  Finite, undirected, no self-loops or multi-edges

Valid  $k$ -colouring of  $G$  is  $\chi: V \rightarrow [k]$  s.t.  
 $\chi(u) \neq \chi(v)$  for all edges  $(u, v) \in E$

One of the classic 21 NP-complete problems considered by [Karp '72]:

GRAPH COLOURING: Given  $G = (V, E)$  and  $k \in \mathbb{N}^+$ , does  $G$  have a valid  $k$ -colouring?

NP-complete for fixed  $k \geq 3$

$\chi(G)$  CHROMATIC NUMBER: Min  $k$  such that  $G$  has valid  $k$ -colouring

Best approximation algorithm computes  $\chi(G)$  to within factor  $O(n (\log \log n)^2 / (\log n)^3)$  [Halldorsson '93]

Approximating to within factor  $n^{1-\epsilon}$  is NP-hard [Zuckerman '07]

Given promise that graph  $G$  is 3-colourable, best polynomial-time algorithm needs  $O(n^{0.19996})$  colours [Kamvalaayoshi & Thorup '17]

Lower bounds: NP-hard to  $(2k-1)$ -colours a  $k$ -colourable graph. Open whether colouring  $3$ -colourable graphs with 6 colours is NP-hard

What about unconditional lower bounds? cod 11

[McDiarmid '89] developed method that covers many algorithms used for random graphs

[Beame, Culberson, Mitchell, Moore '05] :

- This is captured by resolution proof system
- Average-case exponential resolution size lower bounds for proofs of non- $k$ -colourability for random graphs that are not  $k$ -colourable asymptotically almost surely (a.a.s.)

Algebraic approaches based on Nullstellensatz and Gröbner bases: Very good results in papers by De Loera, Margulies, et al

[ '08, '09, '11, '15]

[De Loera, Lee, Margulies, Onn '09]

Often constant-degree Nullstellensatz proofs!

For a long time best degree lower bound  $k+1$  for  $k$ -colouring [De Loera et al. '15]

Optimal  $\mathcal{O}(n)$  degree lower bounds for polynomial calculus in [Laurin & Nordström '17] via reduction from FPHP(G)

More general reduction framework by

[Anczisz & Ochremiak '19] — works also for Sherali-Adams and sum-of-squares  
But only worst-case bounds for specific constructions of graphs

Can we get average-case lower bound as for resolution in [BCMll '05]? COL III

Sum of squares only needs degree 2 to show that random d-regular graphs are not  $k$ -colourable a.a.s. when  $d \geq 4k^2$   
[ Banks, Kleinberg, & Moore '19 ]

Topic of today's lecture:

**THEOREM** [Connelly, de Rezende, Nordström, Pang, Risse '23]  
For any  $d \geq 6$ , polynomial calculus requires degree  $\Omega(n)$  a.a.s. to show that random  $d$ -regular graphs  $\sim G_{n,d}$  and Erdős-Rényi random graphs  $\sim G(n, d/n)$  are not 3-colourable.

In today's lecture:

- Lower bounds for 4-colouring, not 3-colouring
- And only for random regular graphs

Just to avoid some technical complications — all the main ingredients are there

Lower bounds hold in any field

But we need to make precise what encoding we are considering

Work in multilinear setting

$$F[\vec{x}] / \{x_j^e - x_j \mid j \in [n]\}$$

Variables  $x_{v,i}$   $v \in V, i \in [k]$

$x_{v,i} = 1 \Leftrightarrow$  "vertex  $v$  has colour  $i$ "

System of polynomials  $\text{Col}(G, k)$  consists of

$$\sum_{i=1}^k x_{v,i} - 1 \quad v \in V$$

$$x_{v,i} \cdot x_{v,i'} \quad v \in V \\ \text{if } i' \in [k]$$

$$x_{u,i} \cdot x_{v,i} \quad (u, v) \in E \\ i \in [k]$$

COLOUR AXIOM

FUNCTIONALITY AXIOM

EDGE AXIOM

(Results also hold  
for CNF encoding)

(Note that today we have  $1 = \text{true}$   
Doesn't really matter, but makes  
encoding simpler ... )

Another encoding popular in  
computational algebra by [Bayer '82]

Suppose field  $\mathbb{F}$  contains primitive nonzero root  
of unity  $\omega$

$$\{1, \omega, \omega^2, \dots, \omega^{k-1}\} \quad \text{all distinct}$$

$$\omega^k = 1$$

Variable  $y_v$  for  $v \in V$

$y_v = \omega^j \Leftrightarrow$  "vertex  $v$  has colour  $j$ "

$$y_v^k - 1$$

$$\sum_{j=0}^{k-1} (y_u)^j (y_v)^{k-1-j} \quad (u, v) \in E$$

$\mathbb{F}$  must contain  $k$ th root of unity  
 $\text{char}(\mathbb{F}) = 0$  or  $\text{char}(\mathbb{F}) \nmid k$ .

Focus on  $\{0,1\}$ -encoding

COL II

Degree lower bounds  $\Rightarrow$  size lower bounds [IPS'99]

Degree lower bounds also hold for Bayer's encoding

$\{0,1\}$ -degree  $\leq d \Rightarrow$  Bayer degree  $\leq \text{maxc}\{k, d\}$

[Lauria & Nordström '17]

Prove degree lower bounds by designing pseudo-reduction operators

LEMMA [Razborov '98]

Let  $P$  set of multilinear polynomials,  $D \in \mathbb{N}^+$

If there exists a degree- $D$  pseudo-reduction operator  $R$ , i.e., an  $H$ -linear operator over multilinear polynomials such that

$$(1) \quad R(f) = 0 \quad \text{for } f \in P;$$

(2) for term  $t$  of degree  $< D$  and any  $x$

$$R(xt) = R(xR(t));$$

$$(3) \quad R(1) \neq 0;$$

then  $\text{Deg}_{pc}(Pr_1) > D$

The lemma is true since for any PC derivation in degree  $\leq D$ , it is straightforward to show that  $R$  maps all derived polynomials  $p$  to  $R(p) = 0$ , so no such derivation can reach contradiction 1.

How to construct pseudoreduction operators?

For every monomial  $m$ , construct subset  $S(m) \subseteq P$  and define

$$R(m) = \underbrace{R_{\langle S(m) \rangle}(m)}_{+ extend by linearly}$$

Recall that we can define admissible order on monomials, e.g., degree-lex

$$x_1 x_5 < x_2 x_3 x_4 < x_2 x_3 x_5 < x_1 x_2 x_3 x_4$$

$\boxed{LT(p)}$  = largest term in  $p$  DEDUCTING TERM

$t$  is reducible mod ideal  $I$  if

$$\exists g \in I \text{ s.t. } t = LT(g)$$

Any  $p$  can be written uniquely as

$$\boxed{p = g + r}$$

for  $g \in I$  and  $r$  sum of irreducible terms mod  $I$

$$R_I(p) = r$$

Construct  $S(m)$  so that two lemmas hold

SIZE LEMMA If  $\deg(t)$  is not too large, then  $|S(m)|$  is not too large

"Heart of the proof lemma"

REDUCTION LEMMA If  $u = S(m)$  and  $|u|$  not too large, then  
 $m$  is reducible mod  $\langle u \rangle$  iff  
 $m$  is reducible mod  $\langle S(m) \rangle$

The proof that  $R$  is a pseudo-reduction operator then goes like this

### Template proof

$$\textcircled{3} \quad \underline{R(1) \neq 0} \quad 1 \text{ has small degree} \Rightarrow$$

$$S(1) \text{ is not too large} \Rightarrow$$

$$S(1) \text{ is satisfiable} \Leftrightarrow$$

$$1 \notin \langle S(1) \rangle \Leftrightarrow$$

$$R_{\langle S(1) \rangle}(1) = 1 \neq 0$$

$$\textcircled{1} \quad \underline{R(f) = 0 \text{ for } f \in P}$$

$$\text{Let } m_f^* = \prod_{x \in \text{vars}(f)} x$$

Deg( $m_f^*$ ) not too large

For  $f = \sum_i t_i$  we get

$$\begin{aligned} R(f) &= R\left(\sum_i t_i\right) \\ &= \sum_i R(t_i) && [\text{linearity}] \\ &= \sum_i R_{\langle S(t_i) \rangle}(t_i) && [\text{definition}] \\ &= \sum_i R_{\langle S(m_i^*) \rangle}(t_i) && [\text{Repeated use of reduction lemma}] \\ &= R_{\langle S(m_f^*) \rangle}\left(\sum_i t_i\right) && [\text{linearity}] \\ &= R_{\langle S(m_f^*) \rangle}(f) \\ &= 0 \quad \text{since we argue} \\ &\text{that } f \in S(m_f^*). \end{aligned}$$

$$\textcircled{2} \quad R(xt) = R(xR(t))$$

| Col VIII

Start with right-hand side and write

$$R(xR(t)) = R\left(x \sum_{t' \in R(t)} t'\right) \quad [\text{unpacking}]$$

$$= \sum_{t' \in R(t)} R(xt') \quad [\text{linearity}]$$

$$= \sum_{t' \in R(t)} R_{\langle S(xt') \rangle}(xt') \quad [\text{definition}]$$

$$= \sum_{t' \in R(t)} R_{\langle S(xt) \rangle}(xt') \quad [\text{Reduction lemma plus some technicalities}]$$

$$= R_{\langle S(xt) \rangle} \left( \sum_{t' \in R(t)} xt' \right) \quad [\text{linearity}]$$

$$= R_{\langle S(xt) \rangle} (x \cdot R(t)) \quad [\text{padding}]$$

$$= R_{\langle S(xt) \rangle} (x \cdot R_{\langle S(t) \rangle}(t)) \quad [\text{definition}]$$

$$= R_{\langle S(xt) \rangle} (x \cdot t) \quad \begin{matrix} \text{by properties of ideal} \\ \text{reductions since} \\ \langle S(xt) \rangle \supseteq \langle S(t) \rangle \end{matrix}$$

So all we need to do is to associate  
map with set  $\subseteq \text{Col}(G, k)$  and  
show size & reduction lemmas

Natural to take  $S(m) = \text{Col}(G[V(m)], k)$

$G[u]$  subgraph of  $G$  induced on  $u$

Why did reduction lemma work before?  
In precise proof sketch:

Col IX

Take  $\mathcal{U}' \supseteq S(m)$ . Suppose  $m$  reducible mod  $\mathcal{U}$

Write

$$\underline{m} = \sum_{p \in S(m)} c_p \cdot p + \sum_{q \in \mathcal{U}' \setminus S(m)} c_q \cdot q + \sum r_k \quad (+)$$

Find  $g$  such that

- $\text{dom}(g) \cap (\text{Vars}(m) \cup S(m)) = \emptyset$
- $g(q) = 0$  for  $q \in \mathcal{U}' \setminus S(m)$
- $r_k \upharpoonright g$  still irreducible (automatic)

Apply  $g$  to  $(+)$  to get

$$\underline{m} = \sum_{p \in S(m)} c_p \upharpoonright g \cdot p + \sum r_k \upharpoonright g$$

So  $m$  reducible mod  $S(m)$ .

Problem For colouring,  $\mathcal{U}$  can contain  
neighbours of  $V(m)$

If  $g$  colours neighbours of  $V(m)$ , then  
constraints on  $V(m)$  affected

Solution  $g$  doesn't have to be assignment.

Can be affine substitution as long as

- $g \upharpoonright g$  either  $= 0$  or  $\in \langle S(m) \rangle$
- $g$  maps terms  $t$  to smaller terms  $\epsilon/g$

Recall also for multilinear polynomial  $g$   
and polynomial set  $Q$

COL X

$$Q \vdash g \Leftrightarrow g \in \langle Q \rangle$$

We need to choose ordering very carefully

Idea from [Romero & Tengel '24] (arXiv '22)

- Colour  $G$  with  $\chi(G)$  colours  
 $\leq d$  for  $d$ -regular random graph
- Order vertices w.r.t. colour classes  
 $u < v$  if  $\chi(u) < \chi(v)$

Order variables  $x_{v_1,1} < x_{v_1,2} < x_{v_1,3} < \dots$

and  $x_{u,i} < x_{v,j}$  iff  $u < v$

Path  $(v_1, v_2, \dots, v_\ell)$  is **increasing** [**decreasing**]  
if  $v_i < v_{i+1}$  [ $v_i > v_{i+1}$ ] for all  $i$ .

$v$  is **descendant** of  $u$  if  $\exists$  decreasing path from

$D_u = \{ \text{all descendants of } u \in U \}$

$\text{Desc}(u) = \boxed{\text{descendant graph}} \text{ of } u =$   
induced subgraph  $G[u \cup D_u]$

A  **$\tau$ -hop** w.r.t  $\mathcal{U} \subseteq V$  is **simple path/cycle**  
of length  $\tau$  such that

- both endpoints in  $\mathcal{U}$
- all other vertices of path in  $V \setminus \mathcal{U}$

  
*No repetitions*

$\tau$ -hop wrt  $G[\mathcal{U}] = \tau$ -hop wrt  $\mathcal{U}$

$G[\mathcal{U}] = (\mathcal{U}, E \cap (\mathcal{U} \times \mathcal{U}))$

No $\ell$ -hops	Structure of $N(u)$
$\ell = 2$	Every $v \in N(u)$ has single neighbour in $U$
$\ell = 3$	$N(u)$ is independent set

Codd XI

To find  $S(m) \subseteq \text{Col}(G, k)$ , do following

- start with  $V(m) = \{v \mid x_{v,i} \in \text{Vars}(m)\}$
- include all descendants
- make vertex set  $\{2, 3\}$ -hop-free

DEFINITION 1: CLOSURE [Romero & Tunel]

Given  $U \subseteq V(G)$ , do the following

$$i := 0$$

$$H_i := \text{Desc}(U)$$

while exists  $\{2, 3\}$ -hop wrt  $H_i$

$$H_{i+1} := \text{Desc}(V(H_i) \cup V(Q_{i+1}))$$

$$i := i + 1$$

$$\text{CL}(U) := V(H_i)$$

This defines the CLOSURE  $\text{CL}(U)$  of  $U$

LEMMA 2

For any graph  $G = (V, E)$  with linear order on  $V$ :

- (1)  $\text{CL}(U)$  is uniquely defined
- (2)  $U \subseteq \text{CL}(U)$
- (3)  $U \subseteq U' \Rightarrow \text{CL}(U) \subseteq \text{CL}(U')$  MONOTONICITY
- (4)  $\text{CL}(\text{CL}(U)) = \text{CL}(U)$  IDEMPOTENCY

Proof of Lemma 2

(2) & (4) immediate. Exercise to prove (1) & (3)  
by induction □

The closure of a monomial  $m$  is

$$\text{CL}(m) := \text{CL}(\text{V}(m)) \quad (\text{For } \alpha m \in t = \alpha \cdot m \text{, } \text{CL}(t) = \text{CL}(m))$$

Our subset  $S(m) \subseteq \text{Col}(G, k)$  is going to be  $\text{Col}(G[\text{CL}(m)], k)$

For brevity, let us write for  $U \subseteq V$

$$I(U) = \langle \text{Col}(G[U], k) \rangle$$

Then we define

$$R(m) = R_{I(\text{CL}(m))}(m) \quad (R(\alpha m) = \alpha \cdot R(m))$$

We now need to prove size and reduction lemmas. The following observation makes the size lemma believable

OBSERVATION 3

If  $G = (V, E)$  has  $V$  ordered by a valid colouring  $\chi: V \rightarrow [c]$ , then every increasing/decreasing path has length  $\leq c-1$

If  $G$  has max degree  $d$ , then

$$|V(\text{Desc}(U))| \leq 2d^{c-1}|U|$$

We also need to argue that adding  $\{2, 3\}$ -hops doesn't increase the closure too much. For this, we need to use that

- (a) random graphs are sparse
- (b) adding  $\{2, 3\}$ -hops rapidly increases density.

Some graph notation

$$\mathcal{E}(U, W) = \{(u, w) \mid u \in U, w \in W, (u, w) \in E\}$$

$$\mathcal{E}(U) = \mathcal{E}(U, U)$$

For  $T$  seen we have

$$s = |V|^{1/2}$$

Say that  $G = (V, E)$  is an  $(s, \delta)$ -EDGE EXPANDER if  $\forall U \subseteq V, |U| \leq s \mid \mathcal{E}(U, V \setminus U) \geq \delta |U|$

Say that  $G = (V, E)$  is  $(s, \varepsilon)$ -SPARSE if  $\forall U \subseteq V, |U| \leq s \mid \mathcal{E}(U) \mid \leq (1 + \varepsilon) |U|$

For  $d$ -regular graphs, the two notions are equivalent

$$(s, \varepsilon)\text{-sparse} \iff (s, d - 2(1 + \varepsilon))\text{-expander}$$

We want to use

- random graphs are sparse
- small subgraphs of sparse graphs are  $3$ -colorable
- small subsets of sparse graphs have small closures

LEMMA 4 (SPARSITY LEMMA)

$\exists$  constant  $\delta > 0$  s.t. for  $n, d \geq 3$ ,

$$\varepsilon > \delta d^2 / \log n \quad \text{s.t.} \quad \varepsilon = o(\frac{1}{\log n}),$$

If  $G \sim G_{n,d}$  or  $G \sim G(n, d/n)$ , then

$G$  is  $(d^{-30(1+\varepsilon)/\varepsilon}, n, \varepsilon)$ -sparse

asymptotically almost surely

Proof Calculations.

LEMMA 5

If  $G = (V, E)$  is  $(s, \varepsilon)$ -sparse for  $\varepsilon < 1/2$ ,

then  $\forall U \subseteq V$ ,  $|U| \leq s$ , it holds that

$G[U]$  is 3-colourable.

Proof

By induction on  $|U|$ .

Base case  $|U| = 1$  obvious.

Consider  $U$  s.t.  $|U| \leq s$

Average degree in  $G[U]$  is

$$\frac{2|E(U)|}{|U|} \leq 2(1+\varepsilon) < 3 \quad \text{by sparsity}$$

so  $\exists u$  of degree  $< 3$

Colour  $U \setminus \{u\}$  by induction, then colour.  $\square$

But the chromatic number of random  $G$  is large,  
though not too large

LEMMA 6 [Kemkes, Pérez-Giménez, & Wormald '03]  
[ Achlioptas & Naor '05]

For  $G \sim G_{n,d}$  or  $G \sim G(n, d/n)$ , a.s. that:

$$- \chi(G) \leq 2d / \log d$$

$$- \text{if } d \geq 10, \text{ then } \chi(G) \geq 5$$

THEOREM 7

There exists constant  $\delta > 0$  such that for all integers  $n$  and  $d$  satisfying

$$\underline{\delta d^3 / \log d < \log n} \text{ it holds for}$$

$G \sim G_{n,d}$  and integer  $k \geq 4$  that

$$\boxed{\text{Degree}(\text{Col}(G, k) \vdash \perp) \geq 2^{-O(d)} \cdot n}$$

Constant  $d \Rightarrow \text{degree } \mathcal{S}(n) \Rightarrow \text{size } \exp(\mathcal{S}(n))$

LEMMA 8 (SIZE LEMMA)

Suppose for  $G = (V, E)$  that

- max vertex degree  $\leq cd$
- $\chi(G) \leq c$
- $G$  is  $(s, 1/\gamma_c)$ -sparse.

Then  $\forall U \subseteq V, |U| \leq s/20c$ , it holds that  $\boxed{|\text{Cl}(U)| \leq 40d^{c-1}|U|}$

Proof sketch

Descendant graph is not too large

by Observation 3

Adding 2-hops/3-hops increases density

$G$  is sparse.

Otherwise get small set that contradicts sparsity

Iterative procedure to construct closure

terminates after  $O(|U|)$  steps  $\square$

Given that we believe the size lemma, all that remains to prove is the Reducible Lemma with associated technicalities.

LEMMA 9 (REDUCTION LEMMA)

If  $G = (V, E)$  is  $(s, \varepsilon)$ -sparse for  $\varepsilon < 1/2$ , then for every term  $t$  and every  $U \subseteq V$ ,  $U \supseteq \text{cl}(t)$ ,  $|U| \leq s$ , it holds that  $t$  is reducible modulo  $I(U)$  iff  $t$  is reducible modulo  $I(\text{cl}(t))$ .

Proof

Need to prove: If  $f \in I(U)$  with  $\text{LT}(f) = t$ , then  $\exists f' \in I(\text{cl}(t))$  with  $\text{LT}(f') = t$ .

Construct  $g$  on variables  $x_{v,i}$ ,  $v \in U \setminus \text{cl}(t)$  such that  $g(x_{v,i}) = 0/1$  or sum of  $x_{uj}$  for  $u \in U$ . Then for  $f = \sum_i t_i f_i$  we have

$$f \wedge g = \sum_i t_i f_i \wedge g = \text{LT}(f) + \sum_i t'_i f'_i \text{ for } t'_i \prec \text{LT}(f).$$

Need to ensure  $f \wedge g \in I(\text{cl}(m))$

$|U| \leq s$  and  $G(s, \varepsilon)$ -sparse  $\Rightarrow$

$G[U \setminus \text{cl}(m)]$  3-colourable by Lemma 5.

Fix such 3-colouring  $\chi_U$

Colour vertices "far away from  $\text{cl}(m)$ " by this colouring

For  $u \in U \setminus (\text{cl}(m) \cup N(\text{cl}(m)))$

$$g(x_{u,i}) = \begin{cases} 1 & \text{if } \chi_U(u) = i \\ 0 & \text{o/w} \end{cases}$$

Now we need to take care of

$$N_u^*(\text{cl}(m)) = (N(\text{cl}(m)) \cap U) \setminus \text{cl}(m)$$

$\chi_u$  is 3-colouring  $\Rightarrow$  neighbourhood of  $u \in N_u^*(C_l(m))$  in  $G[U \setminus C_l(m)]$  coloured by  $\leq 2$  colours.

Let  $c_1 \neq c_2$  be 2 colours not used

for  $U \setminus (C_l(m) \cup E_u)$

Since there are no 2-hops in  $U$  w.r.t.  $C_l(m)$ ,  
 $u$  has single neighbour  $v \in C_l(m)$

Define  $\varphi$  on  $u$  by

$$\varphi(x_{u,c_1}) = x_{v,c_2}$$

$$\varphi(x_{u,c_2}) = \sum_{i \in k, i \neq c_2} x_{v,i}$$

$$\varphi(x_{u,i}) = 0 \text{ for } i \notin \{c_1, c_2\}$$

Note that  $u > v$  — otherwise it would have been included among descendants.

There are no 3-hops in  $U$  w.r.t.  $C_l(m)$ ,  
so  $N_u^*(C_l(m))$  forms independent set.

$\Rightarrow \varphi$  extends any valid colouring of  $C_l(m)$  to valid colouring of  $U$

$\Rightarrow I(C_l(m)) \models f \varphi$  for  $f \in \text{Col}(G[U], k)$

$\Rightarrow f \varphi \in I(C_l(m))$  (Can also verify this syntactically by plugging in  $\varphi$ )

This proves Reducibility Lemma  $\square$

Proof of Thm 7

Let  $c$  be chromatic number  $\chi(G) = c$

Fix colouring and order vertices  $v \in V$  and variables  $x_{v,i}$  accordingly

Assume  $c \leq 2d / \log d$

and  $G(s, \frac{1}{4c})$  - sparse for

$s = 2^{-300d} n$  — holds a.a.s. by  
Lemmas 4 & 6.

Use  $\delta d^3 / \log d \leq \log n$  to argue  
that  $1/4c$  is not too small.

We claim that  $R$  is a degree- $D$   
pseudo-reduction operator for

$$D = 2^{-300d} \cdot n / (40d^{c-1}).$$

(3)  $R(I) \neq 0$  Immediate —  $cl(I)$  is empty.

(1)  $R(f) = 0$  for  $f \in Col(G, k)$

Define  $m_f^* = \prod_{x \in Vars(f)} x$

$|V(m_f^*)| \leq 2 \Rightarrow cl(m_f^*)$  small

By template proof & Reduction Lemma,

$$R(f) = R_{\mathcal{I}(cl(m_f^*))}(f)$$

But by definition,  $f$  is one of  
the generators in  $\mathcal{I}(cl(m_f^*))$

$$\text{so } R(f) = 0$$

$$(3) \quad R(xt) = R(x R(*)) \quad \text{if } \deg(t) < 1 \quad | \quad \text{CO2 XIX}$$

Follows from template proof and

### MAGIC MULTIPLICATION ASSERTION

$$\deg(t) < D \quad t' \in R(t)$$

$$\Rightarrow R_I(c_1(xt')) = R_I(c_1(xt)) (xt')$$

$cl(xt)$  is small enough by size lemma,  
(check it)

so it is sufficient to show

$$cl(xt') \subseteq cl(xt) \text{ and}$$

use Reduction Lemma (as before)

Claim A

$$V(R(t)) \subseteq cl(t)$$

Suppose not. Then  $R(t) \neq 0$

Assign all variables mentioning vertices outside of  $cl(t)$  to false; let this be  $\bar{R}(t)$ .  $\bar{R}(t)$  is still irreducible.

Can write

$$t = g + R(t) \quad (+)$$

for  $g \in I(cl(m))$  uniquely

$$t/\bar{g} = t$$

$\bar{g}$  does not assign variables for vertices in  $cl(m)$

$$\text{so } g/\bar{g} \in I(cl(m))$$

Get

$$t/\bar{g} = t = g/\bar{g} + R(t)/\bar{g} \quad (+)$$

But  $(+)$  =  $(+)$  due to uniqueness

so  $R(t)/\bar{g} = R(t)$  and no variables mention vertices outside  $cl(m)$

$$V(x) \subseteq CL(xt)$$

by construction

COL XX

$$CL(t) \subseteq CL(xt)$$

by monotonicity

So for any term  $t' \in R(t)$  we have

$$V(x) \cup V(t') \subseteq CL(xt)$$

using claim A

Again by monotonicity

$$CL(xt') = CL(V(x) \cup V(t')) \subseteq CL(CL(xt))$$

By idempotency

$$CL(CL(xt)) = CL(xt)$$

Now MMT follows by Reduction Lemma,  
since all irreducible terms in (plus a little bit of induction)

$R_{I(CL(xt'))}(xt')$  remain irreducible

remain irreducible modulo the larger ideal  $I(CL(xt))$

□

The degree lower bound is in the form  $n/f(d)$  for  $f(d) = \exp(d)$

[Beame et al. '05] and [LN '17]

get dependence  $f(d) = \text{poly}(d)$

What is the correct dependence on  $d$ ?

## More open problems (see lecture 9)

CO2 XXI

- clique
- vertex cover
- Ramsey theory problems
- Dense linear order
- Weak PHP
- Onto-FPHP with  $n + p^d$  pigeons  
and  $n$  holes for  $\text{char}(\mathbb{F}) = p$
- Perfect matchings in non-bipartite graphs for  $\text{char}(\mathbb{F}) \neq 2$   
(improve [Ausoni & Risse '22])

Plus

### Dichotomy theorems for CSPs

[Chan & Molloy '13]

For certain random  $\mathfrak{S}^0$  problems, either

- almost surely at most polylogarithmic proof size
- or else almost surely exponentially hard

for RESOLUTION

Can we get something similar  
for polynomial calculus?