

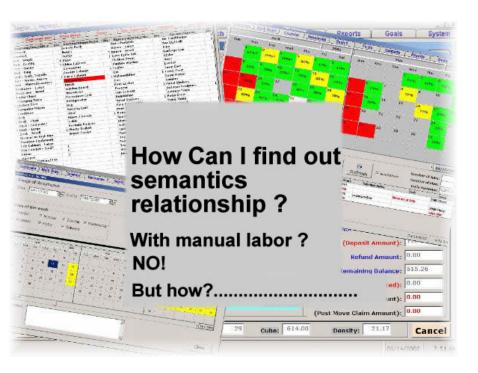
# **Self Organizing Map (SOM)**

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#### **Motivation**

 How to find out semantics relationship among lots of information without manual labor?





### **Motivation**

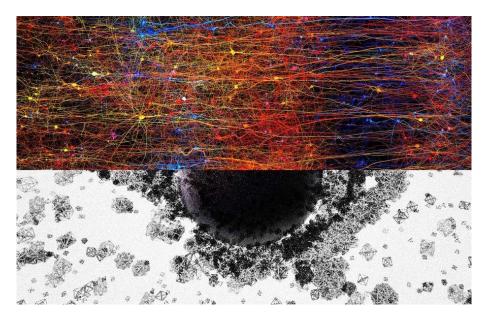


 Humans can categorize data using less than 1 percent of the original information [1].

[1] Georgia Institute of Technology. "How the brain can handle so much data." ScienceDaily. www.sciencedaily.com/releases/2015/12/151215160649.htm (accessed October 30, 2017).



#### **Motivation**



- "How do we make sense of so much data around us, of so many different types, so quickly and robustly?"
- our brain creates neural structures with **up to 11 dimensions** when it processes information (Blue Brain Project [1]).

[1] https://bluebrain.epfl.ch/



# **Self-organizing Maps**

- Unsupervised learning neural network
- Maps multidimensional data onto a 2 dimensional grid
- Use a neighborhood function to preserve the topological properties of the input space.



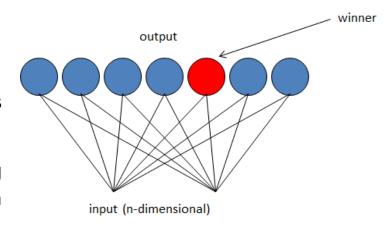
## **Background**

- Supervised training → Target output for each input pattern.
- Unsupervised training → Networks learn to form their own classifications of the training data without external help.
  - Common features.
  - Follow the neuro-biological organization of the brain.



### **Unsupervised Competitive Learning**

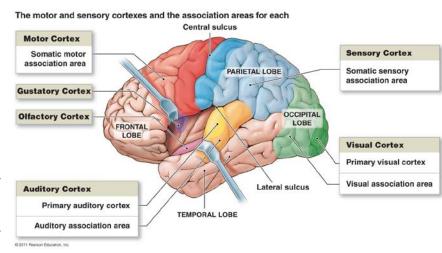
- SOMs are based on unsupervised competitive learning.
  - Winner-takes all neuron.
  - lateral inhibition connections
- The basic idea of competitive learning was introduced in the early 1970s.
- Ideas first introduced by C. von der Malsburg (1973), developed and refined by T. Kohonen (1982)
- Biological basis: 'brain maps'
- Primarily used for organization and visualization of complex data





# **Topographic Maps**

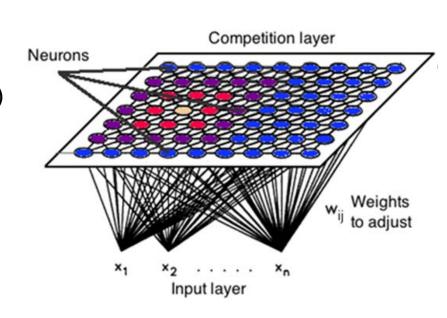
- Different sensory inputs (motor, visual, auditory, etc.) are mapped onto corresponding areas of the cerebral cortex in an orderly fashion.
- This **topographic map**, has two important properties:
  - At each stage of representation, or processing, each piece of incoming information is kept in its proper context/neighbourhood.
  - 2. Neurons dealing with closely related pieces of information are kept close together so that they can interact via short synaptic connections.





### **SOM: Network Architecture**

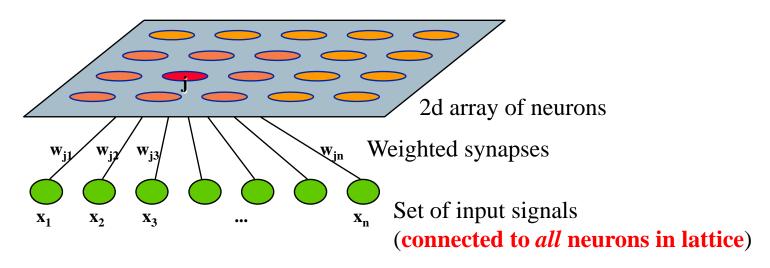
- Two layers of units
  - Input: *n* units (length of training vectors)
  - Output: *m* units (# of categories)
- Input units fully connected with weights to output units
- Intralayer (lateral) connections
  - Within output layer
  - Defined according to some topology
  - Not weights, but used in algorithm for updating weights





#### **SOM - Architecture**

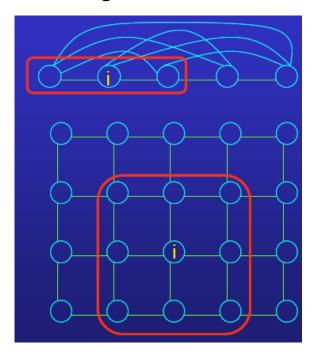
- Input patterns are shown to all neurons simultaneously
- Competitive learning: the neuron with the largest response is chosen
- Selected neuron activated together with 'neighbourhood' neurons
- Adaptive process changes weights to more closely resemble inputs





## **Common Output-layer Structures**

- Each grid point represents a output node
- The grid is initialized with random vectors



#### **One-dimensional**

(completely interconnected for determining "winner" unit)

#### **Two-dimensional**

(connections omitted, only neighborhood relations shown [green])

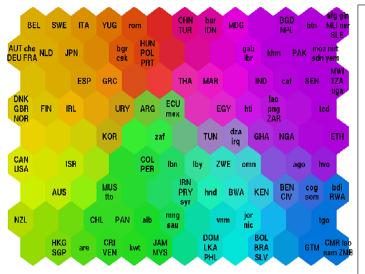


Neighborhood of neuron i

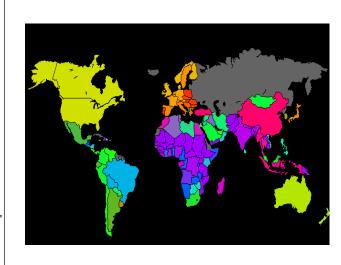


## **SOM** – Result Example

'Poverty map' based on 39 indicators from World Bank statistics (1992)





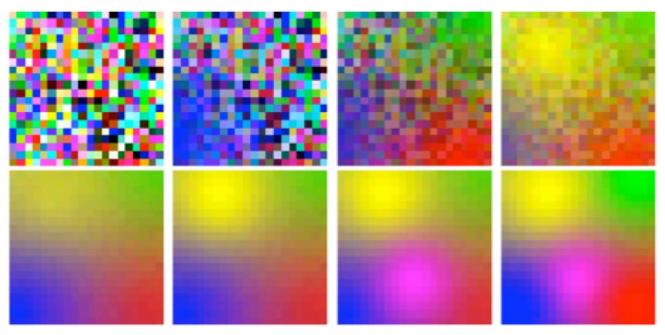




# **SOM – Result Example**

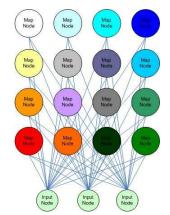
Map representation of 5 initial samples: blue, yellow, red, green, magenta







# **SOM – Algorithm Overview**



- 1. Randomly initialise all weights
- 2. Select input vector  $\mathbf{x} = [x_1, x_2, x_3, \dots, x_n]$
- 3. Compare x with weights  $w_j$  for each neuron j to determine winner
- 4. Update winner so that it becomes more like x, together with the winner's *neighbours*

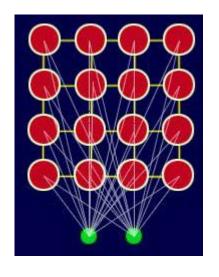
$$W_{ij}(n+1) = W_{ij}(n) + \eta(n)[x_i - W_{ij}(n)]$$

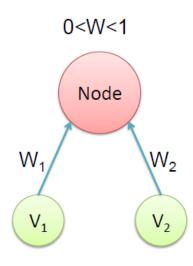
- 5. Adjust parameters: *learning rate* & *neighbourhood function*
- 6. Repeat from (2) until the map has converged (no noticeable changes in the weights / pre-defined no. of training cycles)

NB: Learning rate generally decreases with time:  $0 < \eta(n) \le \eta(n-1) \le 1$ 



#### **Initialisation**

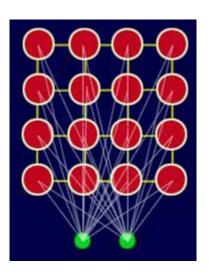




- Prior to training, each node's weights must be initialized
- Randomly initialise the weight vectors w<sub>j</sub> for all nodes j
- Typically these will be set to small standardized random values.



#### **Choose A Random Vector**



TEMP	HUMIDITY		
85	85		
80	90		
83	78		
70	96		
68	80		
65	70		
64	65		
72	95		
69	70		
75	80		
75	70		
72	90		
81	75		
	•••		

 A vector is chosen at random from the set of training data and presented to the lattice.

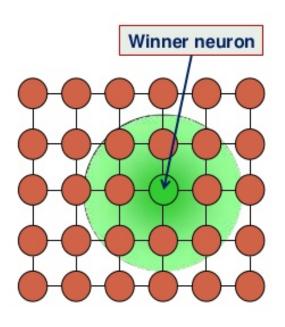


### Calculating the Best Matching Unit (BMU)

- Calculating the BMU can be done differently among the node's weights  $(W_1, W_2, ..., W_n)$  and the input vector's values  $(V_1, V_2, ..., V_n)$ :
  - Nearest neighbor
  - Farthest neighbor
  - Distance between means
  - Distance between medians
- Most common method is Euclidean distance.

$$\sqrt{\sum_{i=0}^{n} x_i^2}$$

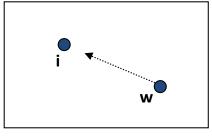
More than one contestant, choose randomly



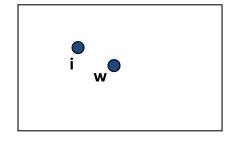


#### Winner - BMU

• Move the weight vector w of the winning neuron towards the input i



Before learning

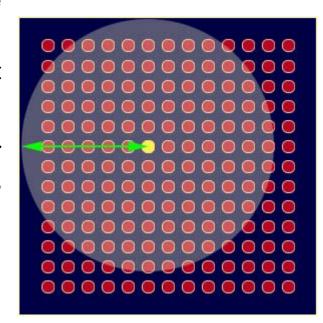


After learning



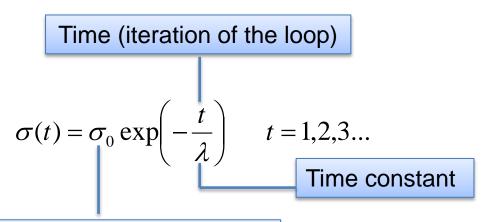
# **Determining BMU Neighborhood**

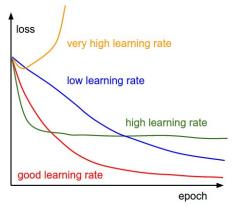
- To calculate which of the other nodes are within the BMU's neighbourhood.
  - All these nodes will have their weight vectors adjusted in the next step.
- The area of the neighbourhood shrinks over time until eventually the neighborhood is just the BMU itself.
- Several Methods.
  - Static
  - Exponential
  - Linear





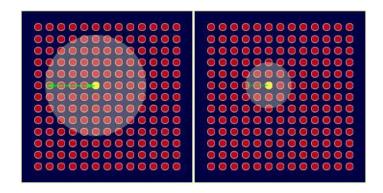
## **Exponential Decay Function**





Width of neighborhood at time  $t_0$ 

neighborhood shrinks on each iteration



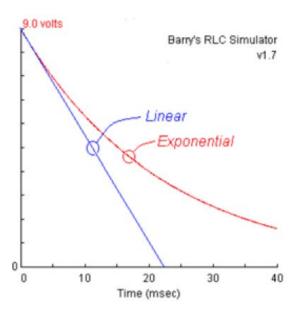


# **Linear Decay Function**

$$\sigma(t) = \sigma_0 + \lambda t\,, \qquad \lambda \langle 0, \quad t = 1, 2, 3... 
ightharpoonup {
m Slope} = -\lambda$$



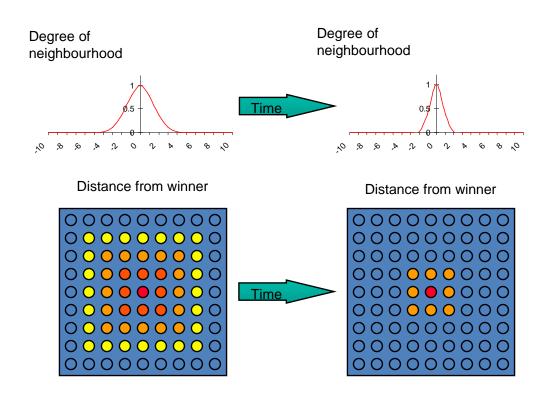
# Linear vs Exponential



how does a capacitor discharge?



## **Neighbourhood Function**





# **Adjusting the Weights**

 Every node within the BMU's neighbourhood (including the BMU) has its weight vector adjusted as:

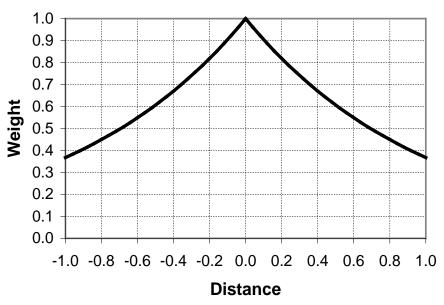
$$w_{ij}(t+1) = w_{ij}(t) + \eta(t)h_{ij(x)}[x_i - w_{ij}(t)]$$
A
B
C
D

Current learning rate (B) × Degree of neighbourhood with respect to winner (C) × Difference between current weights and input vector (D) to the current weights (A)"



# Weighting of the Neighborhood ( $h_{ij(x)}$ )

#### Weighting decreases exponentially

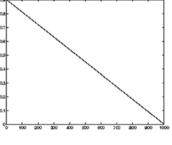


- -x-axis shows distance from winning node
- -y-axis shows 'degree of neighbourhood' (max. 1)

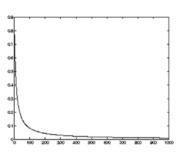


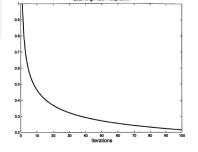
# **Adjusting Learning Rate**

- The learning rate is also an exponential decay function.
  - This ensures that the SOM will converge.



Time (iteration of the loop)  $\eta(t) = \eta_0 \exp\left(-\frac{t}{\lambda}\right) \qquad t = 1,2,3...$  Time constant





Width of neighborhood at time  $t_0$ 



# **Adjusting Learning Rate**

Linear

$$\alpha(t) = \frac{1}{t}$$

Inverse-of-time

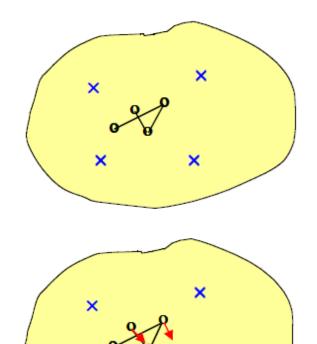
$$\alpha(t,T) = \left(1 - \frac{t}{T}\right)$$

Power Series

$$\alpha(t,T) = (0.005)^{\frac{t}{T}}$$



#### Visualizing the Self Organization Process [1]



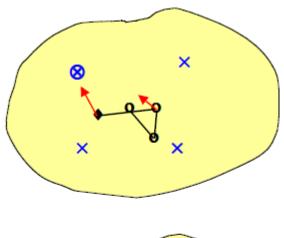
[1] http://www.cs.bham.ac.uk/ $\sim$ jxb/NN/l16.pdf

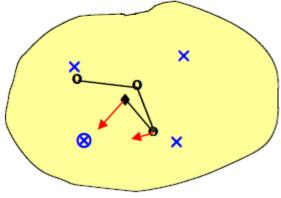
- 4 data points (x) in continuous 2D input space.
- Goal: to map four points in a discrete 1D output space.
- The output nodes map to points in the input space (o).
- Randomly pick one of the data points for training (⊗).
- The closest output point represents the winning neuron (♦).



**Visualizing the Self Organization Process:** 

**Next Steps** 







## **Example**

- The animals should be ordered by SOM.
- And the animals will be described with their attributes(size, living space).

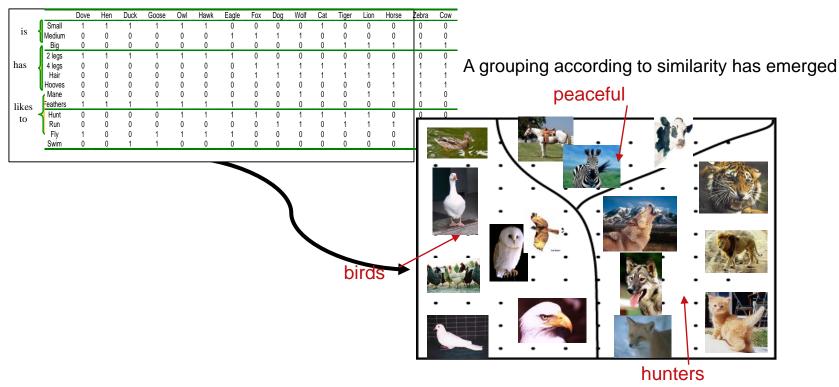
Size: Living space: small=0 medium=1 big=2 Land=0 Water=1 Air=2 e.g. Mouse = (0/0)

	Mouse	Lion	Horse	Shark	Dove
Size	small	medium	big	big	small
Living space	Land	Land	Land	Water	Air
	(0/0)	(1/0)	(2/0)	(2/1)	(0/2)



## Example

#### Animal names and their attributes



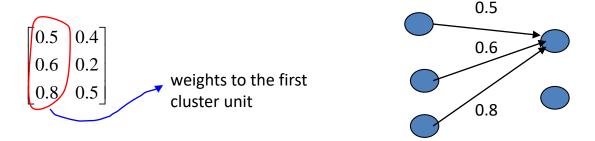
[Teuvo Kohonen 2001] Self-Organizing Maps; Springer;



## **Example**

An SOM network with three inputs and two cluster units is to be trained using the four training vectors:

[0.8 0.7 0.4], [0.6 0.9 0.9], [0.3 0.4 0.1], [0.1 0.1 02] and initial weights



The initial radius is 0 and the learning rate  $\eta$  is 0.5.

Calculate the weight changes during the first cycle through the data, taking the training vectors in the given order.



#### Solution

The Euclidian distance of the input vector 1 to cluster unit 1 is:

$$d_1 = (0.5 - 0.8)^2 + (0.6 - 0.7)^2 + (0.8 - 0.4)^2 = 0.26$$

The Euclidian distance of the input vector 1 to cluster unit 2 is:

$$d_2 = (0.4 - 0.8)^2 + (0.2 - 0.7)^2 + (0.5 - 0.4)^2 = 0.42$$

Input vector 1 is closest to cluster unit 1 so update weights to cluster unit 1:

out vector 1 is closest to cluster unit 1 so update weights to 
$$w_{ij}(n+1) = w_{ij}(n) + 0.5[x_i - w_{ij}(n)]$$
 
$$0.65 = 0.5 + 0.5(0.8 - 0.5)$$
 
$$0.65 = 0.6 + 0.5(0.7 - 0.6)$$
 
$$0.6 = 0.8 + 0.5(0.4 - 0.8)$$
 
$$0.60 = 0.5$$



### **Solution**

The Euclidian distance of the input vector 2 to cluster unit 1 is:

$$d_1 = (0.65 - 0.6)^2 + (0.65 - 0.9)^2 + (0.6 - 0.9)^2 = 0.155$$

The Euclidian distance of the input vector 2 to cluster unit 2 is:

$$d_2 = (0.4 - 0.6)^2 + (0.2 - 0.9)^2 + (0.5 - 0.9)^2 = 0.69$$

Input vector 2 is closest to cluster unit 1 so update weights to cluster unit 1 again:

$$w_{ij}(n+1) = w_{ij}(n) + 0.5[x_i - w_{ij}(n)]$$

$$0.625 = 0.65 + 0.5(0.6 - 0.65)$$

$$0.775 = 0.65 + 0.5(0.9 - 0.65)$$

$$0.750 = 0.60 + 0.5(0.9 - 0.60)$$

$$0.750 = 0.60 + 0.5(0.9 - 0.60)$$

$$0.750 = 0.60 + 0.5(0.9 - 0.60)$$

Repeat the same update procedure for input vector 3 and 4 also.



## **Another Example**

- From Fausett (1994)
- n = 4, m = 2
  - More typical of SOM application
  - Smaller number of units in output than in input; dimensionality reduction
- Training samples

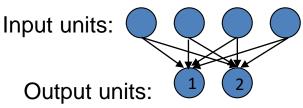
i1: (1, 1, 0, 0)

i2: (0, 0, 0, 1)

i3: (1, 0, 0, 0)

i4: (0, 0, 1, 1)

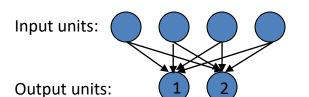
Network Architecture



What should we expect as outputs?



## **Example Details**



Training samples

- With only 2 outputs, neighborhood = 0
  - Only update weights associated with winning output unit (cluster) at each iteration
- Learning rate

$$\begin{split} &\eta(t)=0.6;\ 1 <= t <= 4\\ &\eta(t)=0.5\ \eta(1);\ 5 <= t <= 8\\ &\eta(t)=0.5\ \eta(5);\ 9 <= t <= 12\\ &etc. \end{split}$$

 Initial weight matrix (random values between 0 and 1)

$$\begin{cases} \text{Unit 1:} & \begin{bmatrix} .2 & .6 & .5 & .9 \\ .8 & .4 & .7 & .3 \end{bmatrix} \end{cases}$$

Weight update: 
$$w_j(t+1) = w_j(t) + \eta(t)(i_l - w_j(t))$$



### **First Weight Update**

i1: (1, 1, 0, 0)

i2: (0, 0, 0, 1)

i3: (1, 0, 0, 0)

i4: (0, 0, 1, 1)

- Training sample: i1
  - Unit 1 weights

• 
$$d^2 = (.2-1)^2 + (.6-1)^2 + (.5-0)^2 + (.9-0)^2 = 1.86$$

- Unit 2 weights

• 
$$d^2 = (.8-1)^2 + (.4-1)^2 + (.7-0)^2 + (.3-0)^2 = .98$$

- Unit 2 wins
- Weights on winning unit are updated

$$[.8 .4 .7 .3] + 0.6([1 1 0 0] - [.8 .4 .7 .3]) = [.92 .76 .28 .12]$$

Unit 1: [.2 .6 .5 .9] Unit 2: [.8 .4 .7 .3]

Giving an updated weight matrix:



## **Second Weight Update**

i1: (1, 1, 0, 0) i2: (0, 0, 0, 1) i3: (1, 0, 0, 0) i4: (0, 0, 1, 1)

Training sample: i2

Unit 1 weights

• 
$$d^2 = (.2-0)^2 + (.6-0)^2 + (.5-0)^2 + (.9-1)^2 = .66$$

- Unit 2 weights

• 
$$d^2 = (.92-0)^2 + (.76-0)^2 + (.28-0)^2 + (.12-1)^2 = 2.28$$

- Unit 1 wins
- Weights on winning unit are updated = [.2 .6 .5 .9] + 0.6([0 0 0 1] [.2 .6 .5 .9]) = [.08 .24 .20 .96]
- Giving an updated weight matrix:



## **Third Weight Update**

i1: (1, 1, 0, 0) i2: (0, 0, 0, 1) i3: (1, 0, 0, 0) i4: (0, 0, 1, 1)

• Training sample: i3

Unit 1: [.08 .24 .20 .96] Unit 2: [.92 .76 .28 .12]

Unit 1 weights

• 
$$d^2 = (.08-1)^2 + (.24-0)^2 + (.2-0)^2 + (.96-0)^2 = 1.87$$

- Unit 2 weights

• 
$$d^2 = (.92-1)^2 + (.76-0)^2 + (.28-0)^2 + (.12-0)^2 = 0.68$$

- Unit 2 wins
- Weights on winning unit are updated

$$= [.92 \quad .76 \quad .28 \quad .12] + 0.6([1 \quad 0 \quad 0] - [.92 \quad .76 \quad .28 \quad .12]) = [.97 \quad .30 \quad .11 \quad .05]$$

Giving an updated weight matrix:



## **Fourth Weight Update**

i1: (1, 1, 0, 0) i2: (0, 0, 0, 1) i3: (1, 0, 0, 0) i4: (0, 0, 1, 1)

• Training sample: i4

Unit 1: [.08 .24 .20 .96] Unit 2: [.97 .30 .11 .05]

Unit 1 weights

• 
$$d^2 = (.08-0)^2 + (.24-0)^2 + (.2-1)^2 + (.96-1)^2 = .71$$

- Unit 2 weights

• 
$$d^2 = (.97-0)^2 + (.30-0)^2 + (.11-1)^2 + (.05-1)^2 = 2.74$$

- Unit 1 wins
- Weights on winning unit are updated

$$= [.08 \quad .24 \quad .20 \quad .96] + 0.6([0 \quad 0 \quad 1 \quad 1] - [.08 \quad .24 \quad .20 \quad .96]) = [.03 \quad .10 \quad .68 \quad .98]$$

Giving an updated weight matrix:



# **Applying the SOM Algorithm**

Data sample utilized

time (t)	1	2	3	4	D(t)	η(t)
1	Unit 2				0	0.6
2		Unit 1			0	0.6
3			Unit 2		0	0.6
4				Unit 1	0	0.6
4				Unit 1	0	0.6

'winning' output unit

After many iterations (epochs) through the data set:

Unit 1:  $\begin{bmatrix} 0 & 0 & .5 & 1.0 \\ 1.0 & .5 & 0 & 0 \end{bmatrix}$ 

Did we get the clustering that we expected?



#### Training samples

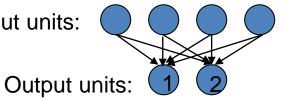
i1: (1, 1, 0, 0)

i2: (0, 0, 0, 1)

i3: (1, 0, 0, 0)

i4: (0, 0, 1, 1)

Input units:



Weights

Unit 1:  $\begin{bmatrix} 0 & 0 & .5 & 1.0 \\ 1.0 & .5 & 0 & 0 \end{bmatrix}$ 

### What clusters do the data samples fall into?



#### Training samples

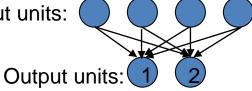
i1: (1, 1, 0, 0) i2: (0, 0, 0, 1)

i3: (1, 0, 0, 0)

i4: (0, 0, 1, 1)

### Solution

Input units:



### Weights

Unit 1:  $\begin{bmatrix} 0 & 0 & .5 & 1.0 \end{bmatrix}$ Unit 2: 1.0 .5 0 0

#### Sample: i1

Distance from unit1 weights

• 
$$(1-0)^2 + (1-0)^2 + (0-.5)^2 + (0-1.0)^2 = 1+1+.25+1=3.25$$

Distance from unit2 weights

• 
$$(1-1)^2 + (1-.5)^2 + (0-0)^2 + (0-0)^2 = 0 + .25 + 0 + 0 = .25$$
 (winner)

#### Sample: i2

Distance from unit1 weights

• 
$$(0-0)^2 + (0-0)^2 + (0-.5)^2 + (1-1.0)^2 = 0+0+.25+0$$
 (winner)

Distance from unit2 weights

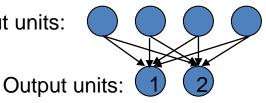
• 
$$(0-1)^2 + (0-.5)^2 + (0-0)^2 + (1-0)^2 = 1 + .25 + 0 + 1 = 2.25$$



Training samples

i1: (1, 1, 0, 0) i2: (0, 0, 0, 1) i3: (1, 0, 0, 0) i4: (0, 0, 1, 1) Solution

Input units:



Weights

Unit 1:  $\begin{bmatrix} 0 & 0 & .5 & 1.0 \\ 1.0 & .5 & 0 & 0 \end{bmatrix}$ 

#### Sample: i3

Distance from unit1 weights

• 
$$(1-0)^2 + (0-0)^2 + (0-.5)^2 + (0-1.0)^2 = 1+0+.25+1=2.25$$

Distance from unit2 weights

• 
$$(1-1)^2 + (0-.5)^2 + (0-0)^2 + (0-0)^2 = 0 + .25 + 0 + 0 = .25$$
 (winner)

#### Sample: i4

Distance from unit1 weights

• 
$$(0-0)^2 + (0-0)^2 + (1-.5)^2 + (1-1.0)^2 = 0+0+.25+0$$
 (winner)

Distance from unit2 weights

• 
$$(0-1)^2 + (0-.5)^2 + (1-0)^2 + (1-0)^2 = 1 + .25 + 1 + 1 = 3.25$$



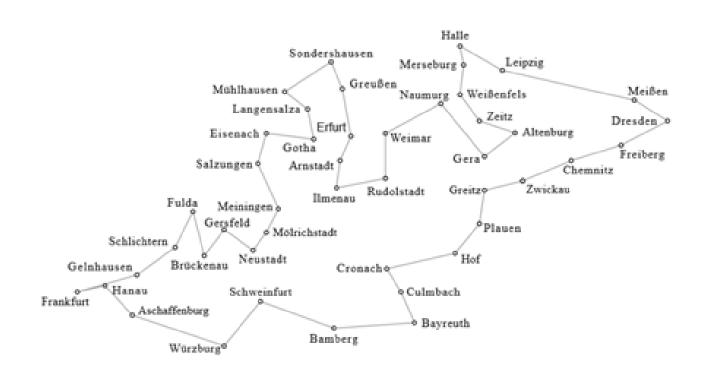
## Parameter Setup

- Number of iterations T
  - Convergence of SOM is rather slow ⇒ Should be set as high as possible
  - Roughly 100-1000 iterations at minimum.
- Size of the initial neighborhood  $\sigma_0$ 
  - Small enough to allow local adaption.
  - $-\sigma_0 = 0$  indicates no neighbor structure
- Maximum learning rate  $\eta(t_0)$ 
  - Higher values have mostly random effect.
  - Most critical are the final stages

Optimal choices of  $\sigma_0$  and  $\eta(t_0)$  highly correlated.



### **SOM in TSP**

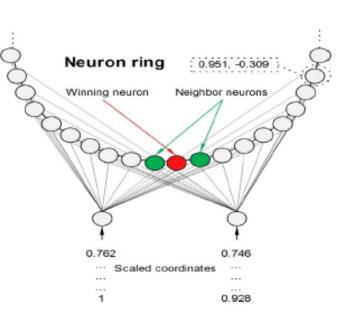




### **SOM in TSP**

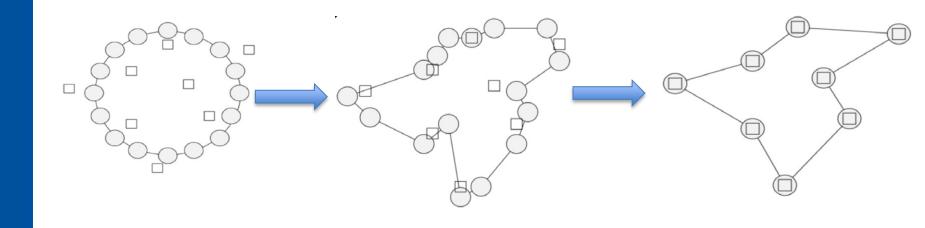
### Input layer:

- A two-dimensional input.
- defines the coordinates of the cities in the two dimensional Euclidian space.
- Output layer:
  - m (# of cities) output neurons.
- Topology:
  - One dimensional/Ring





## **SOM in TSP**





# SOM in TSP [1]

